

# 4D/RCS Reference Model Architecture for Unmanned Vehicle Systems

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- Hierarchical structure of goals and commands
- Representation of the world at many levels
- Planning, replanning, and reacting at many levels
- Integration of many sensors stereo CCD & FLIR, LADAR, radar, inertial, acoustic, GPS, internal



# What is RCS?

A reference model architecture  
for Real-time Control System design

RCS has been used to design control systems for many intelligent systems including robots , machine tools, automation systems, and unmanned vehicles

# **What is 4D/RCS?**

**The latest version of RCS**

**A reference model architecture**

**designed to enable any desired level of intelligence**

**up to and including human level intelligence**

# What does 4D/RCS specify?

- Functions, entities, events, relationships
- Interaction and information flow between systems and subsystems
- Structures for representation of knowledge, goals, plans, tasks, schedules, intentions, beliefs, values
- Mechanisms for perception, attention, cognition
- Mechanisms for reasoning, modeling, and learning

# Attributes of RCS

- Combines AI with control theory
- Hierarchical representation of tasks, space, & time
- Combines deliberative with reactive at many levels
- Depends strongly on sensing and perception
- Supports a rich dynamic world model at many levels
- Integrates prior knowledge with current observations
- Models functional architecture of the human brain
- Addresses the full range of human behavior
- Is mature with engineering tools and software libraries

# Contrast 4D/RCS and SOAR

## 4D/RCS

**Bottom up**

**Control system**

**Inspired by modeling  
of cerebellum function**

**Knowledge: Iconic and symbolic**

**Hardware applications:**  
**robot manipulators**  
**machine tools**  
**automation systems**  
**unmanned ground vehicles**

## SOAR

**Top down**

**AI system**

**Inspired by modeling  
of human cognition**

**Knowledge: Symbolic**

**Simulation applications:**  
**semi-automated forces**  
**pilot's associate**  
**unmanned air vehicles**  
**battle management**



# Compare 4D/RCS and SOAR

## 4D/RCS

Attempt to model  
human intelligence

Hierarchical task  
decomposition

Represent behavior as  
finite state machines

Long history of  
successful applications

Potential application to FCS

## SOAR

Attempt to model  
human intelligence

Hierarchical task  
decomposition

Represent behavior as  
finite state machines

Long history of  
successful applications

Potential application to FCS

**Strong FCS interest in tactical behaviors**



# RCS Versions

**1979 – RCS-1 Laboratory robot control**

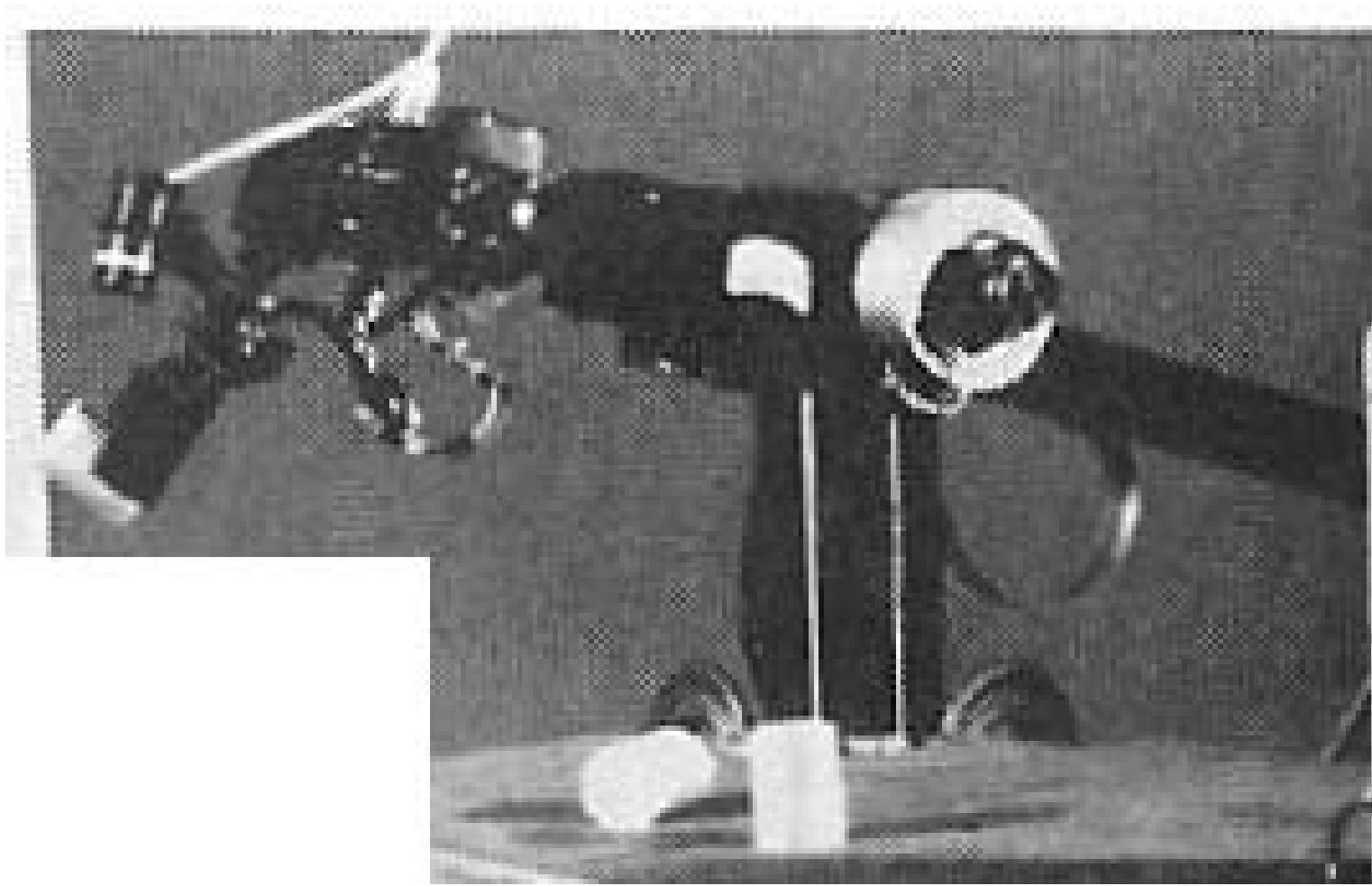
**1981 – RCS-2 Automated Manufacturing**

**1987 – RCS-3 NASREM Space Telerobotics**

**1988 – RCS-4 DARPA Multiple AUVs**

**1998 – 4D/RCS Demo III Multiple UGVs**

# RCS-1 Robot Control with Camera and Line-of-Light Flash



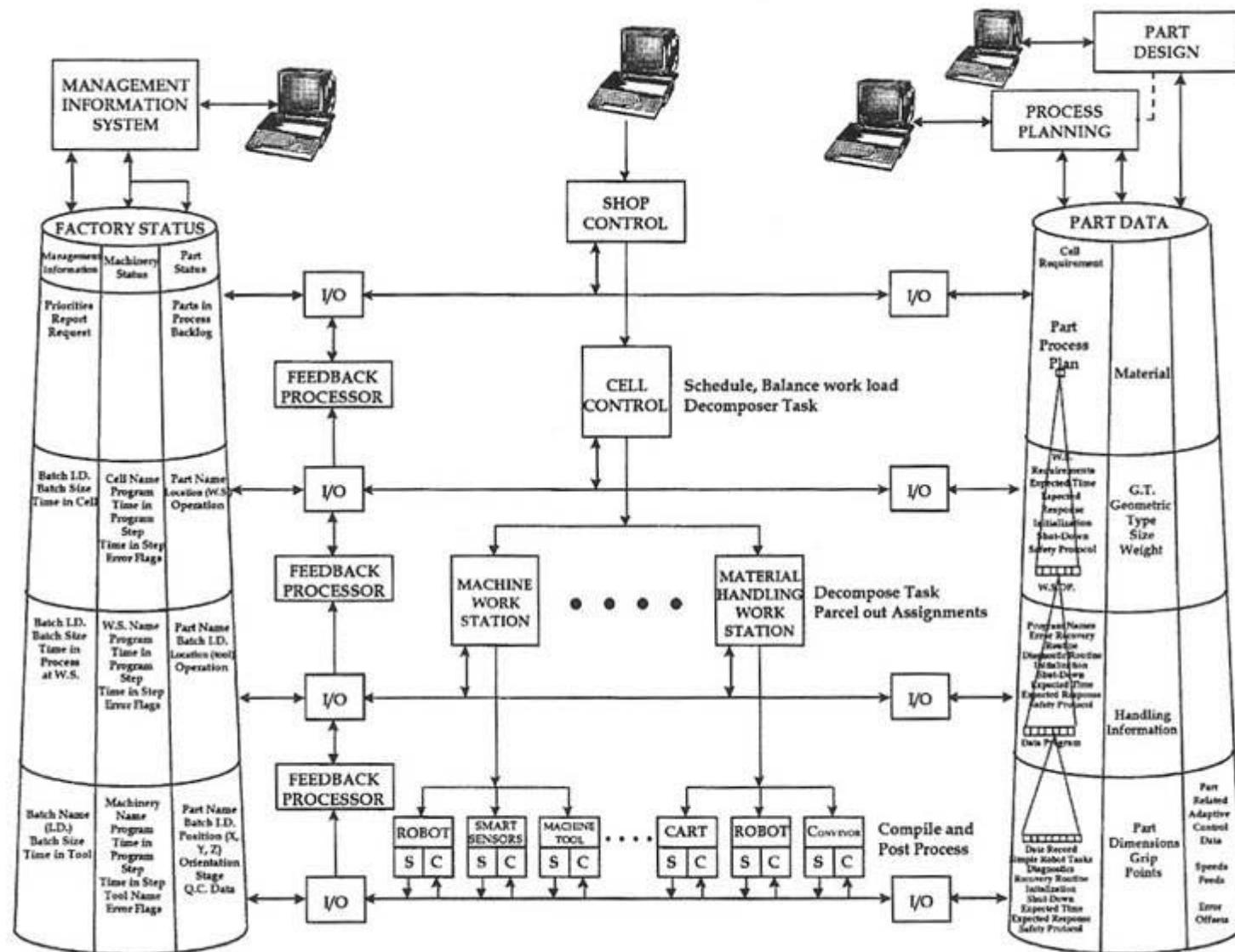
1979



Intelligent Systems Division  
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# RCS-2 Automated Manufacturing Research Facility



1981

# RCS-2 Machining Workstation



1981



Intelligent Systems Division  
National Institute of Standards and Technology



# RCS-2 Cleaning and Deburring Workstation



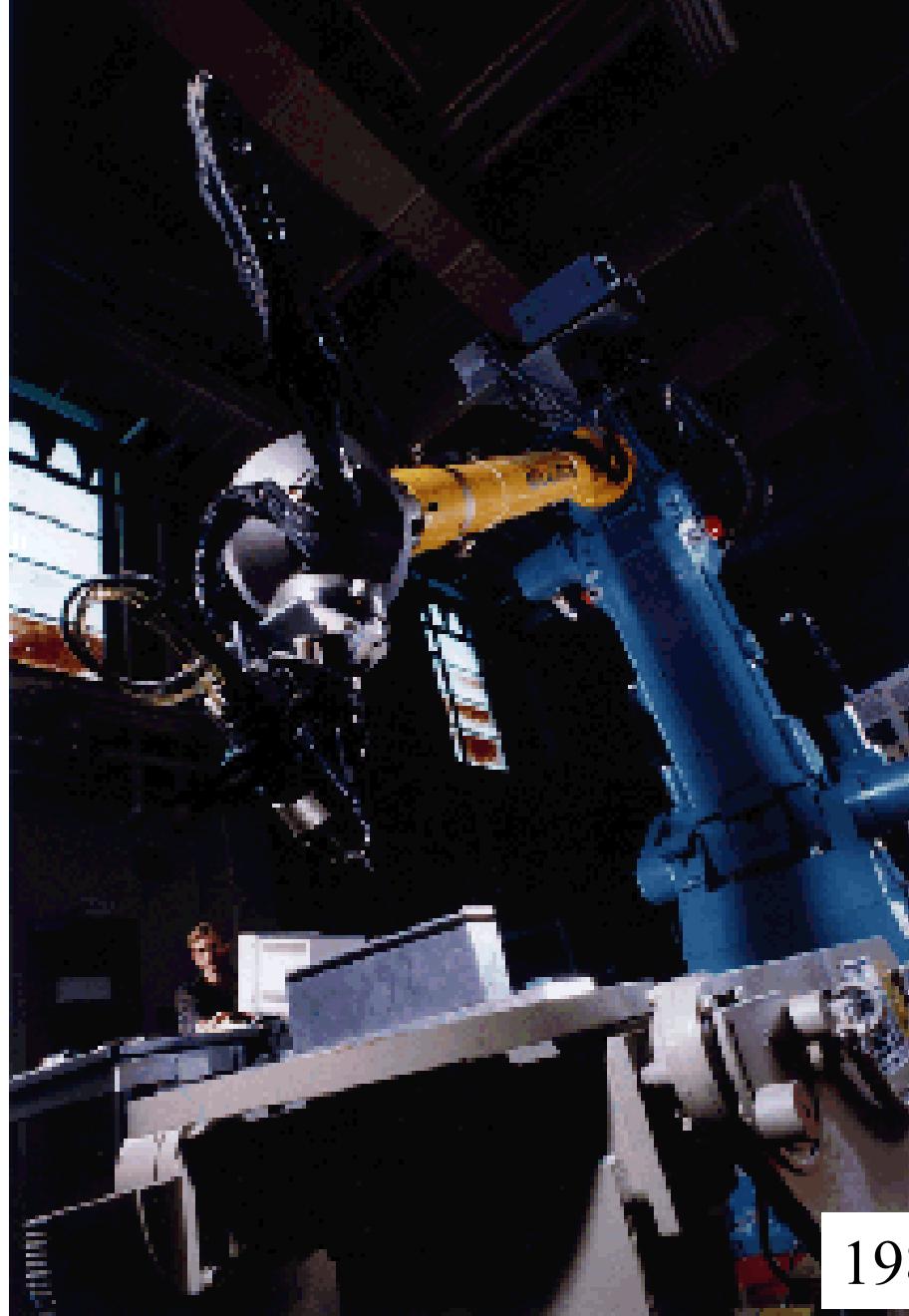
1982

# RCS-2 Automated Deburring & Chamfering System



1986

# RCS-2 Force Controlled Deburring & Chamfering Tool



1986

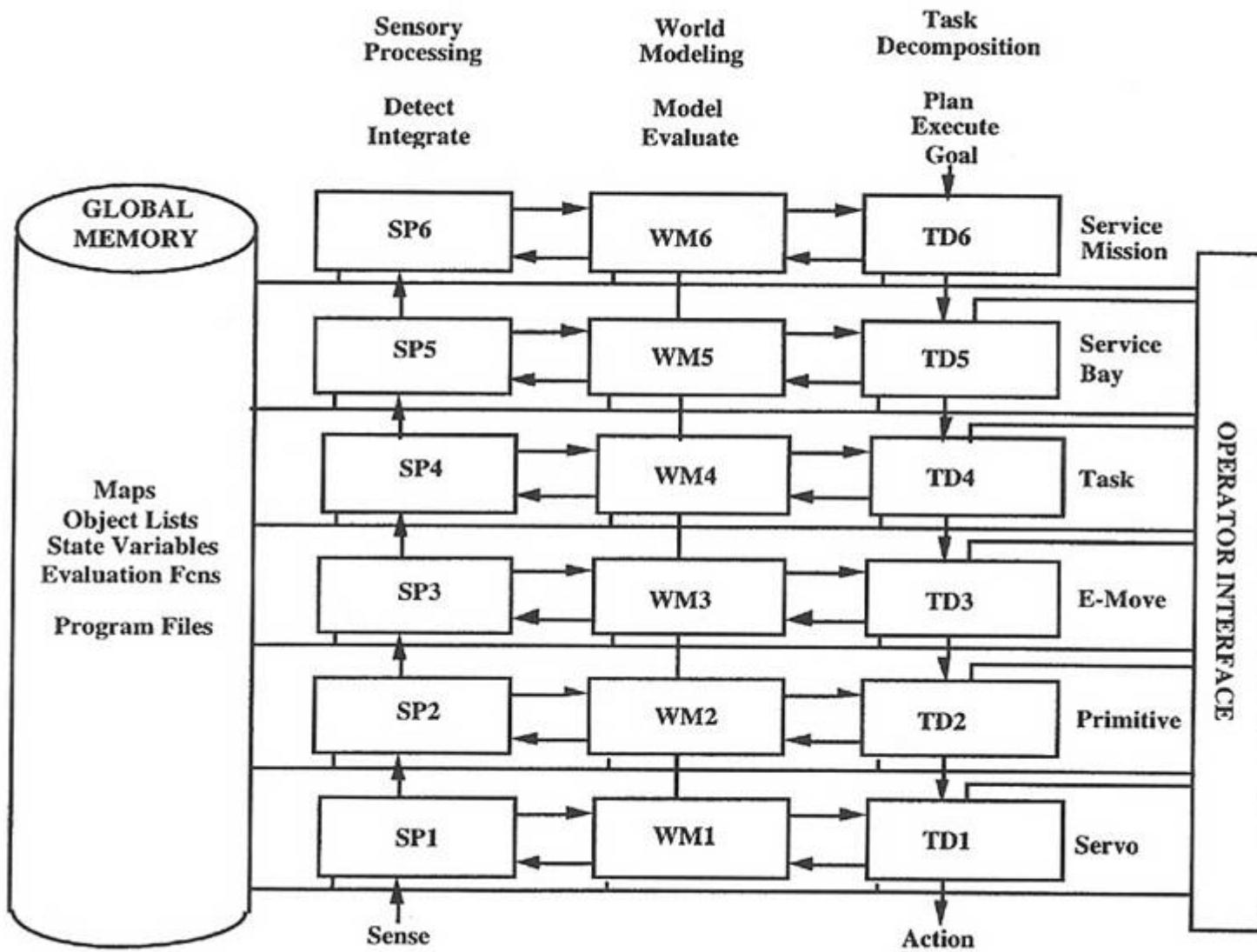


Intelligent Systems Division  
National Institute of Standards and Technology



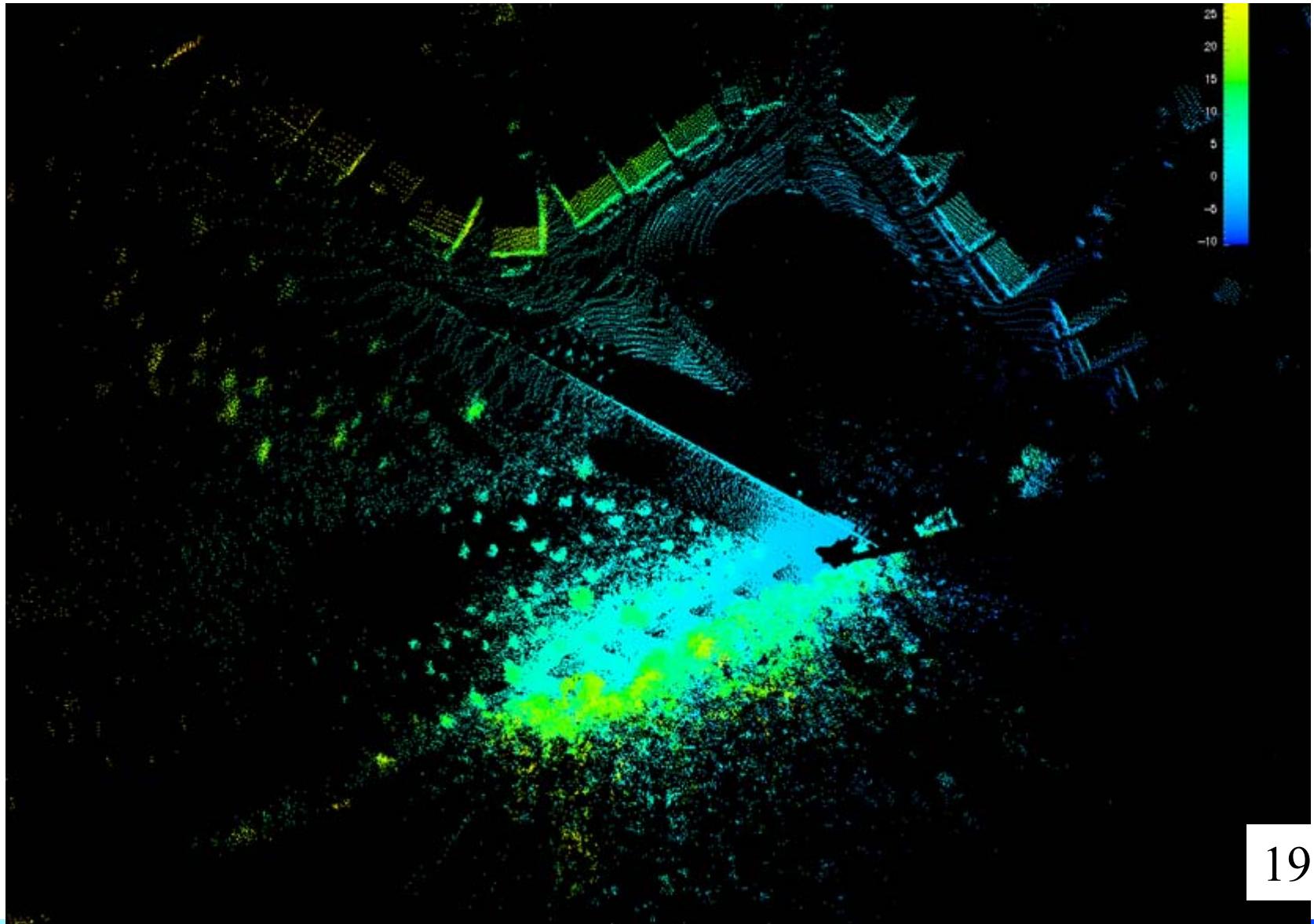
# RCS-3 NASREM

## NBS/NASA Reference Model Architecture



1987

# Space Station Telerobotic Servicer



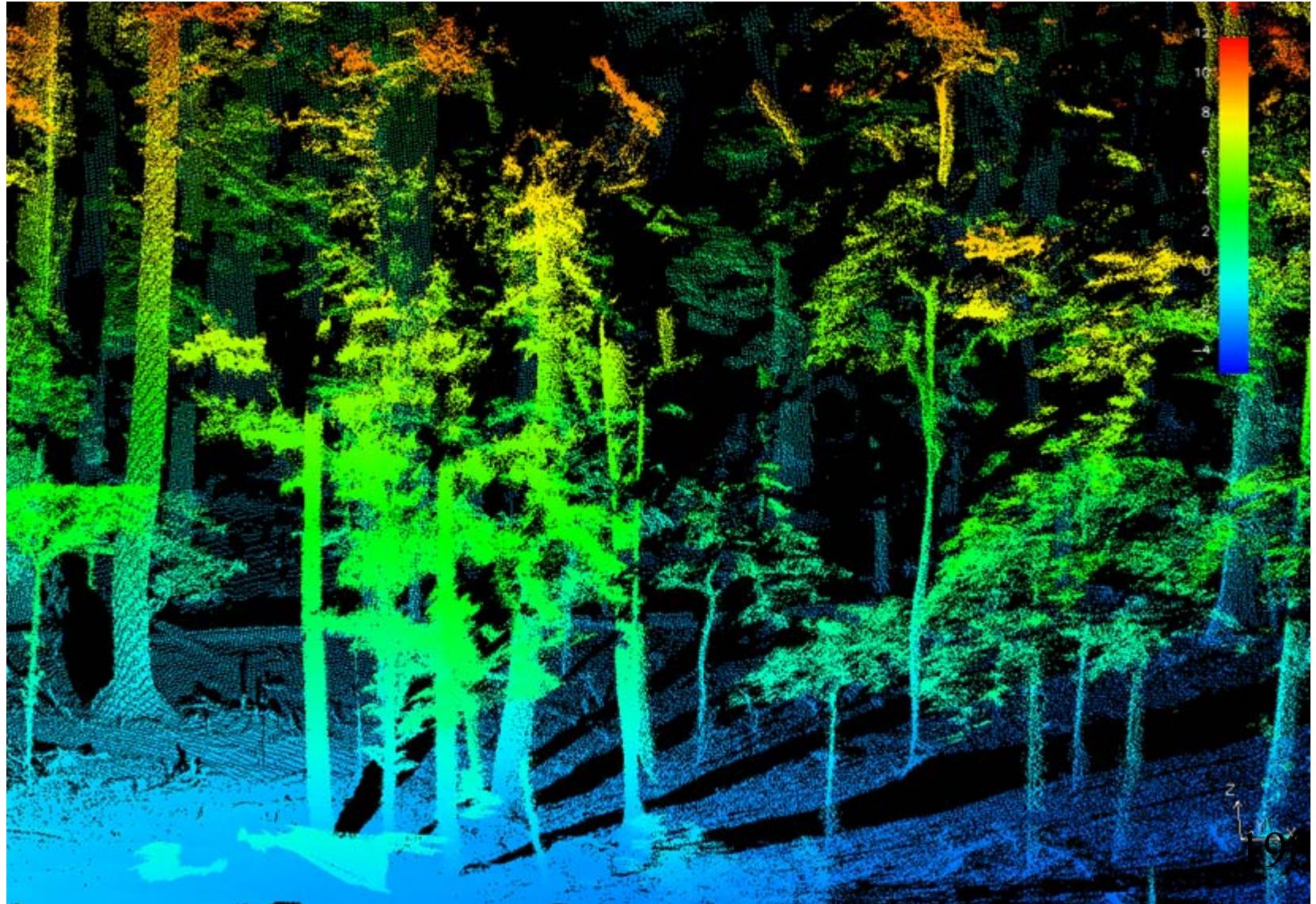
1987



Intelligent Systems Division  
National Institute of Standards and Technology



# RCS-3 Automated Coal Mining Machine

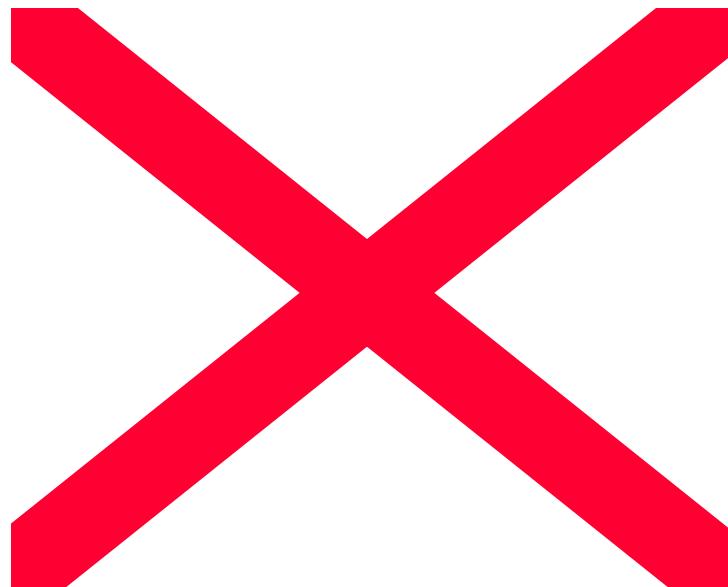


# RCS-4 Multiple Autonomous Undersea Vehicles



1989

# RCS-4 Autonomous Vehicle Control



1993



Intelligent Systems Division  
National Institute of Standards and Technology



# 4D/RCS Demo III

## Experimental Unmanned Vehicle



1998



# **Integrated Combat Demo**

## **Ft. Bliss**

### **February 2003**



# RCS Application Summary

NBS/NIST – Robot control, Automated Manufacturing Research Facility

DARPA -- Multiple Unmanned Undersea Vehicles (MAUV)

DARPA -- Submarine Operational Automation System (SOAS)

GD Electric Boat -- Next generation nuclear submarine control

NASA - Space Station Flight Telerobotic Servicer (NASREM)

Bureau of Mines - Coal mine automation

U.S. Postal Service -- Stamp distribution center, General mail facility

Army - TEAM, TMAP, MDARS, Picatinny Interior UGV, Demo I and III  
ARL Collaborative Technology Alliance, JAUGS, VTA

Navy – Double Hull Robot, Multiple UAV SWARM

General Motors – CNC & Inspection Control

Boeing – Cell Control, Riveting, Hi Speed machine tool

Commercial CNC - plasma & water jet cutting

DARPA – MARS, PerceptOR

FCS / Boeing - Autonomous Navigation System, Integrated Combat Demo

DOT -- Intelligent vehicle

# 4D/RCS Software on Demo III

- NML – Neutral Messaging Language that provides the basic communication services for the Demo III software
- LADAR image processing, terrain analysis, obstacle detection and avoidance, object classification
- World model map, real-time map generation and maintenance, object representation, iconic-symbolic pointers
- Path planning software – Real time cost/benefit optimization based on traversibility, risk, and mode (aggressive vs. stealthy)

# 4D/RCS Software in the Pipeline

- Vehicle level control
- Tactical behavior generation, value based planning
- Multi-vehicle tactical planning and behavior
- Next generation LADAR image processing, attention based fixation and tracking of important objects,
- Next generation world modeling, high resolution terrain maps,
  - moving object representation, complex relationships
- Integration into real/virtual world of OneSAF
- Software development tools, simulation, and testing at all levels – from physics-based to force-on-force

# What is the level of maturity?

- A free public domain software library
- A variety of software development tools
- A variety of process visualization tools for analysis, debugging, control, and human interface design
- Documentation and training materials

NIST provided an initial version of most of the Perception and Autonomous Mobility Software on the Demo III Unmanned Ground Vehicles

# **4D/RCS Documentation**

**Version 0.1 Issued with Demo III RFP, 1997**

**Version 1.0 January 1999**

**Version 2.0 August 2002**

**Books:**

**Engineering of Mind - Wiley, 2001**

**RCS Handbook – Wiley, 2001**

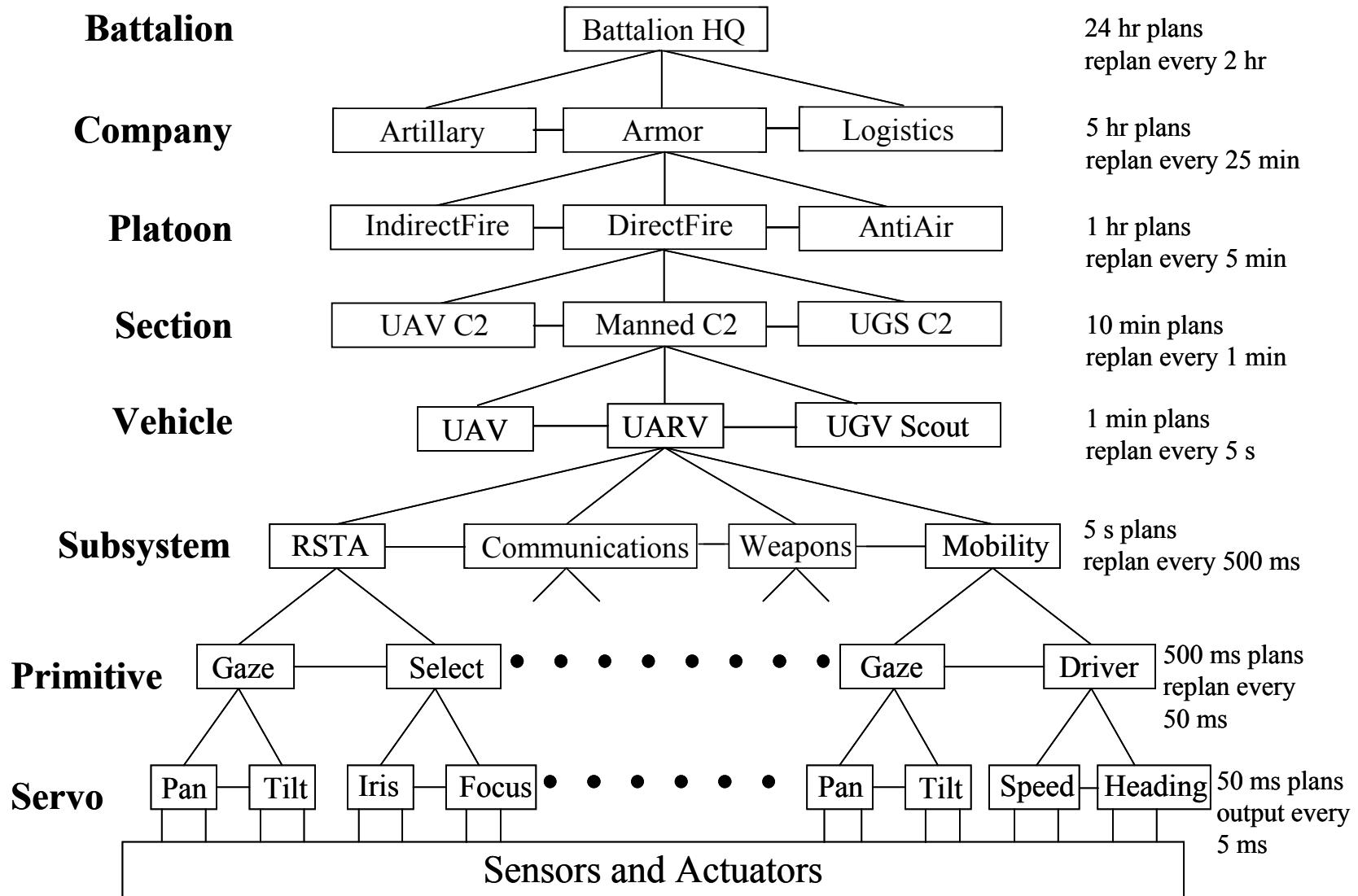
**Intelligent Systems – Wiley, 2002**

**Numerous journal articles, reports, and conference papers**

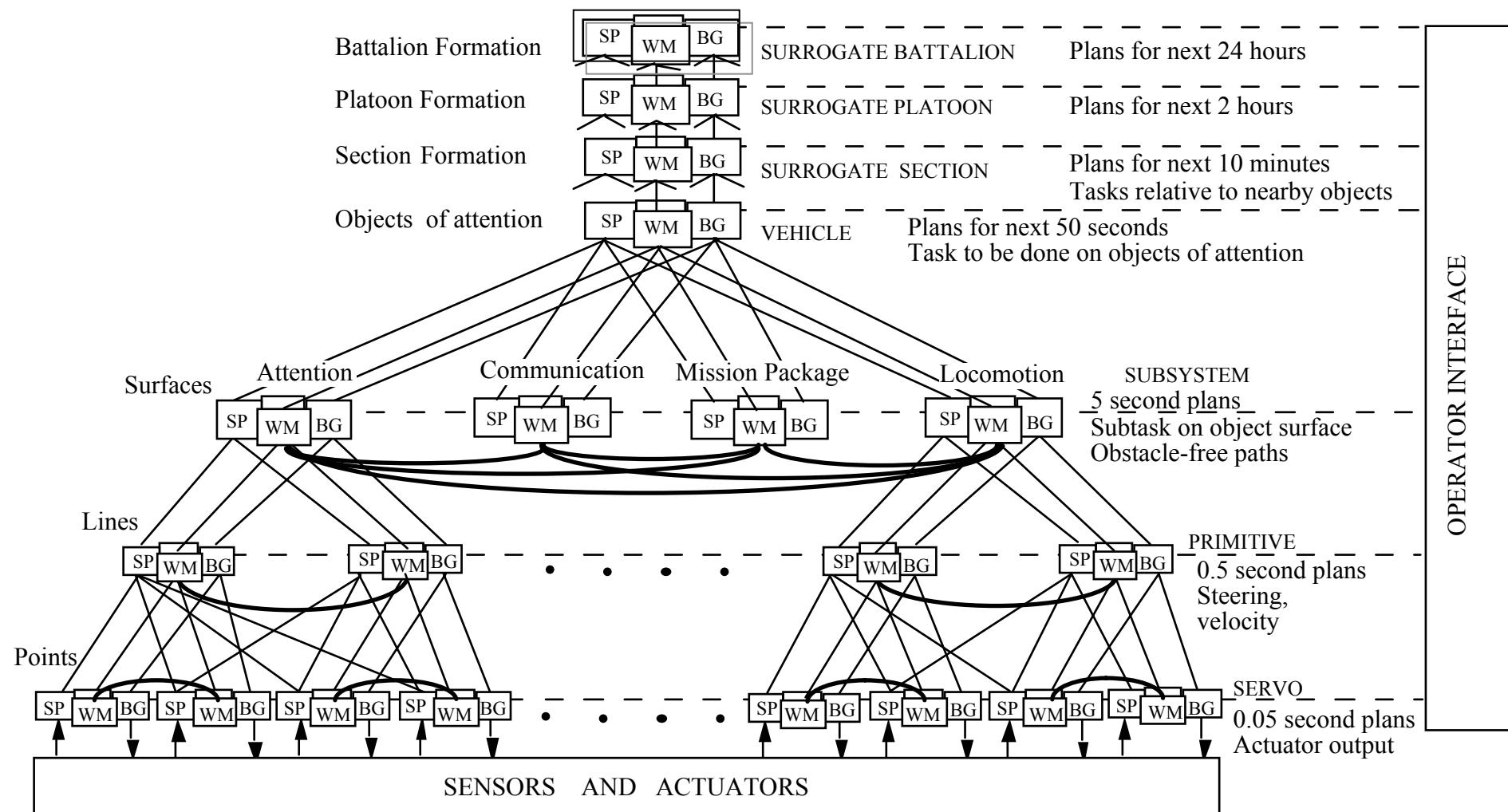
**Extensive software library <http://www.isd.mel.nist.gov/projects/rcslib>**



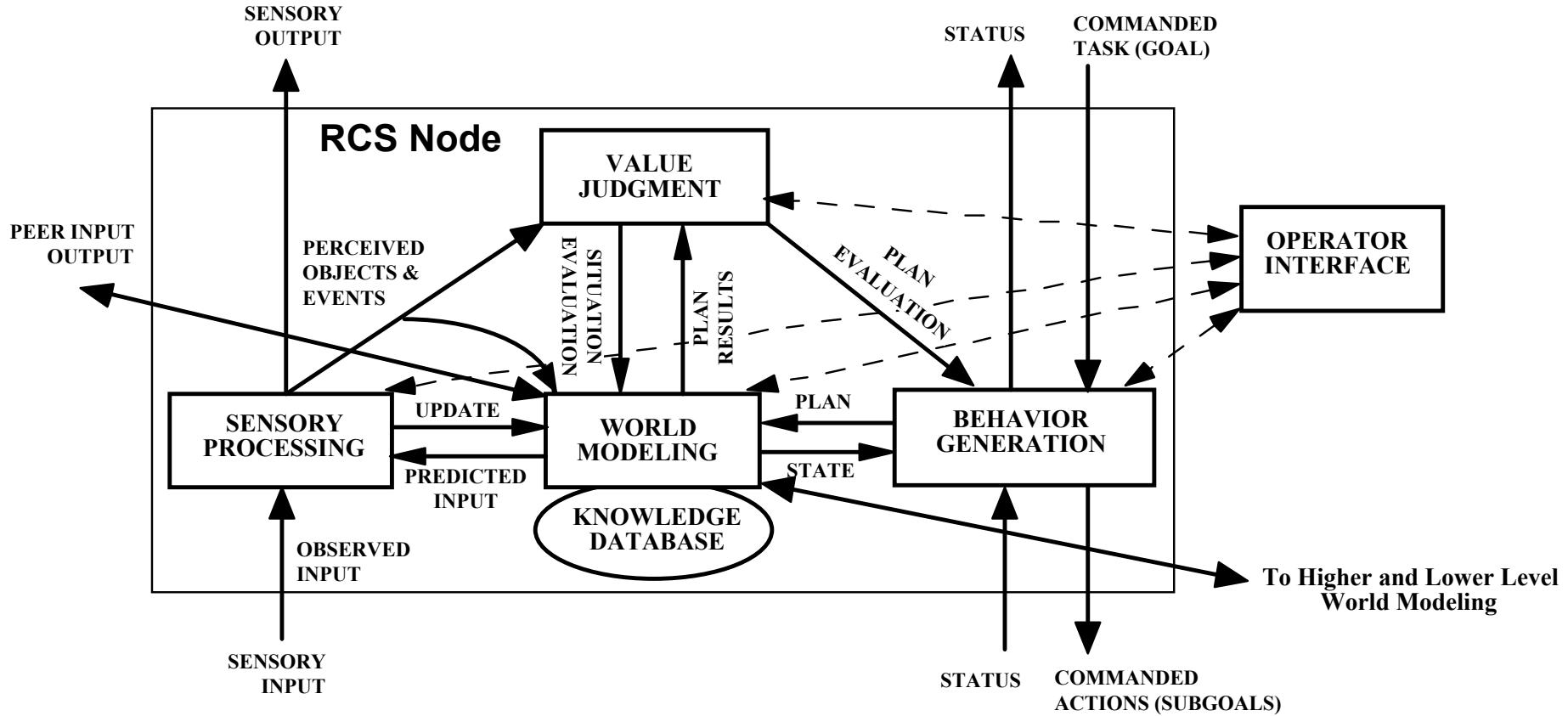
# 4D/RCS for Future Combat System



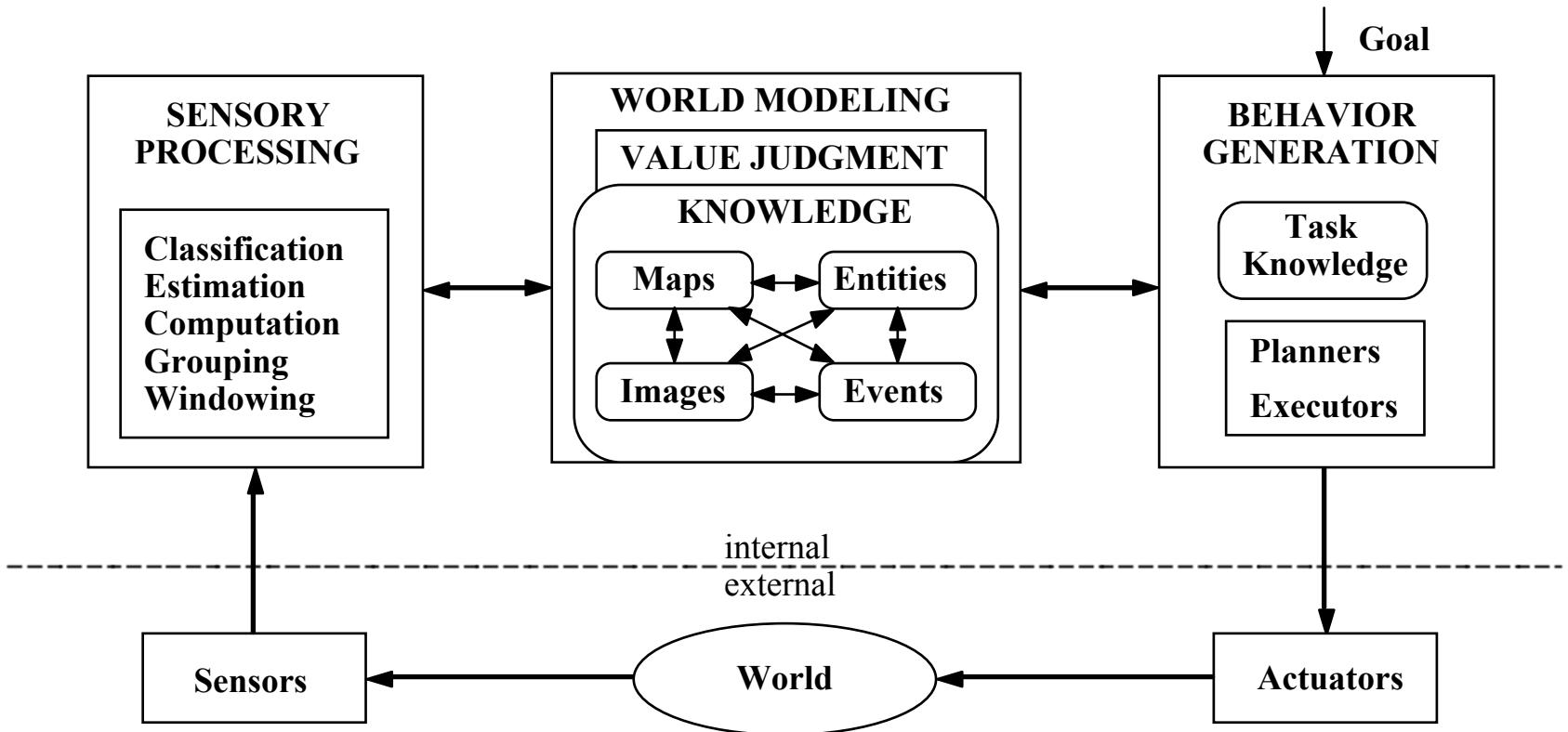
# 4D/RCS Reference Architecture



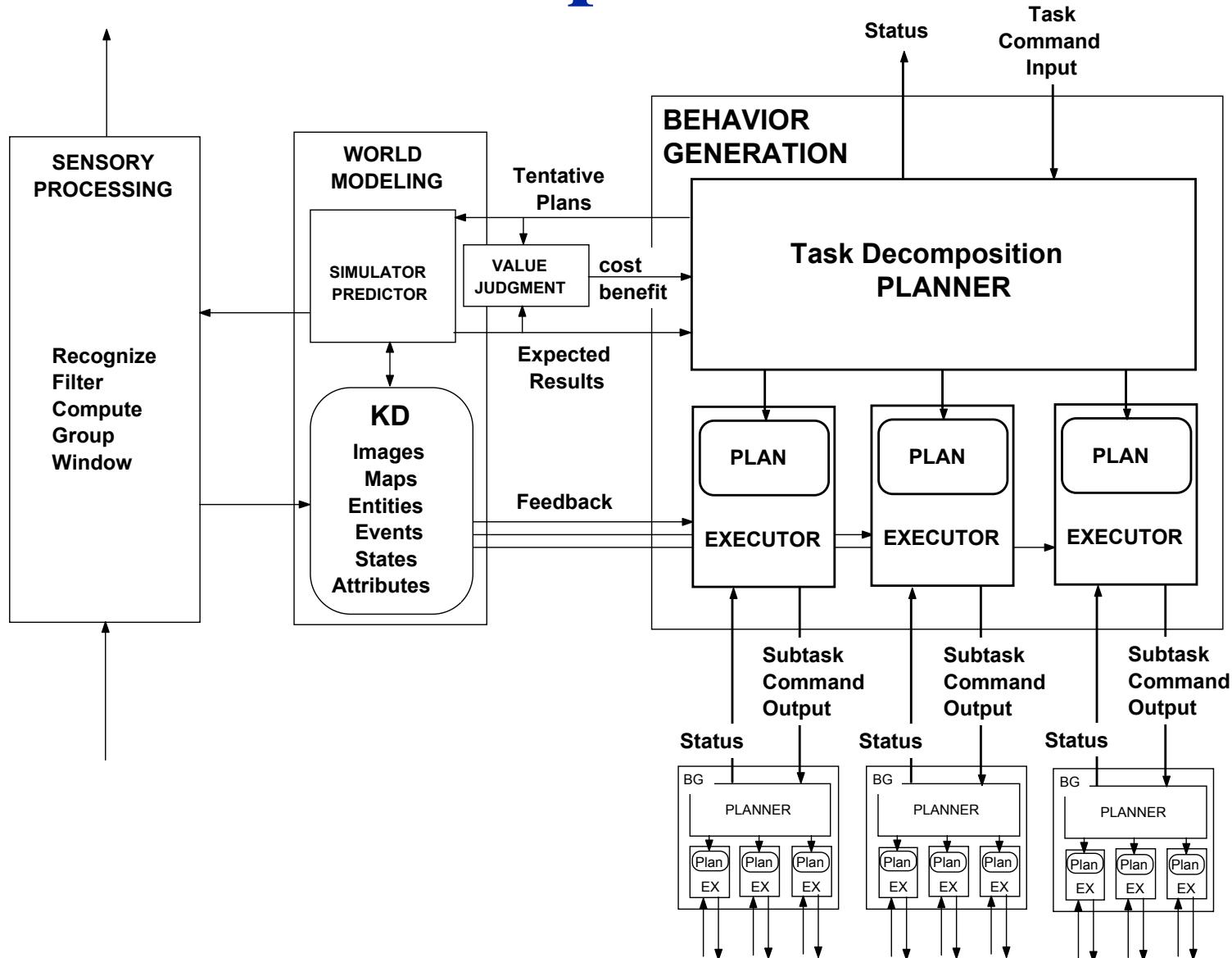
# 4D/RCS Computational Node



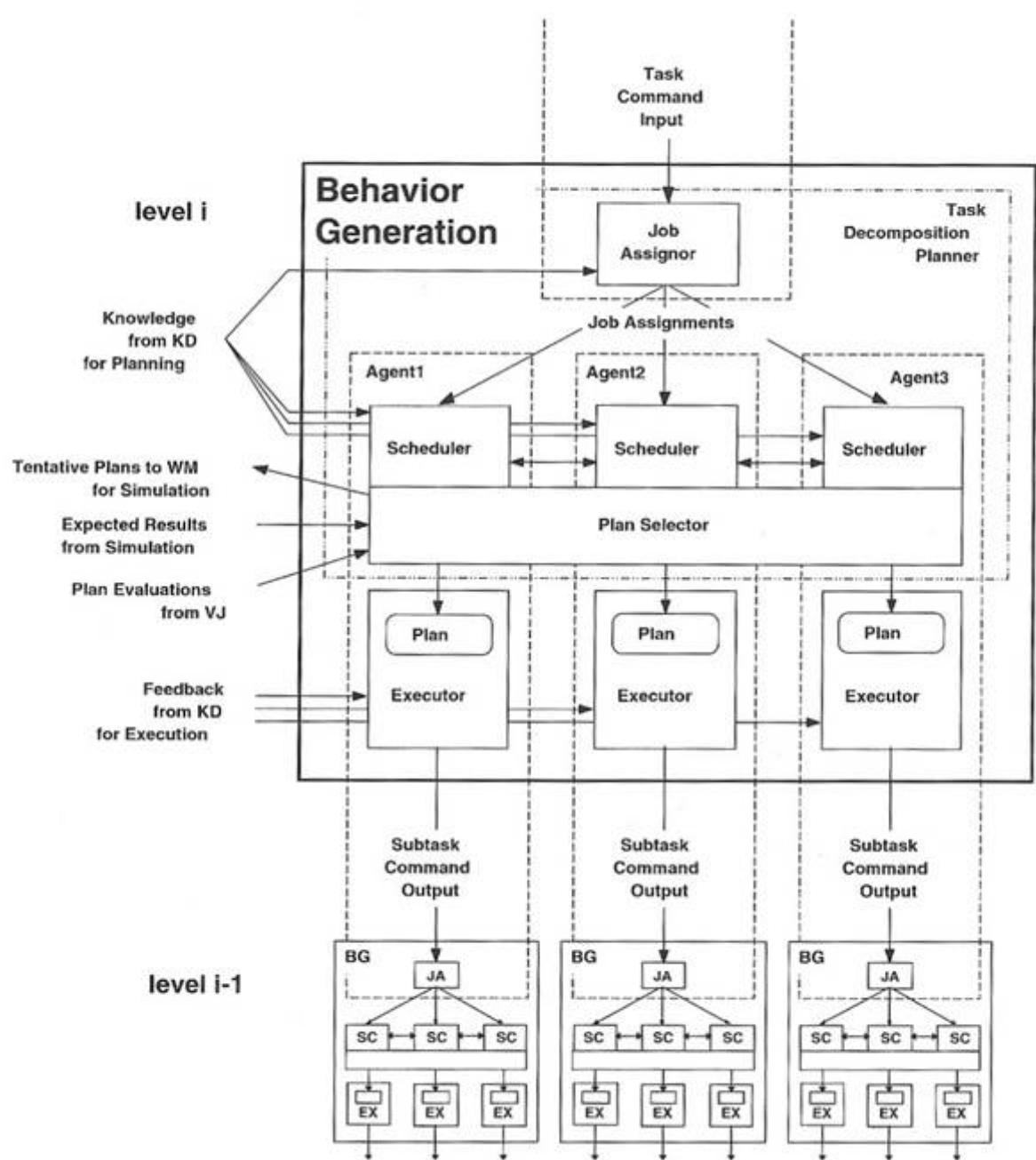
# 4D/RCS Computational Node



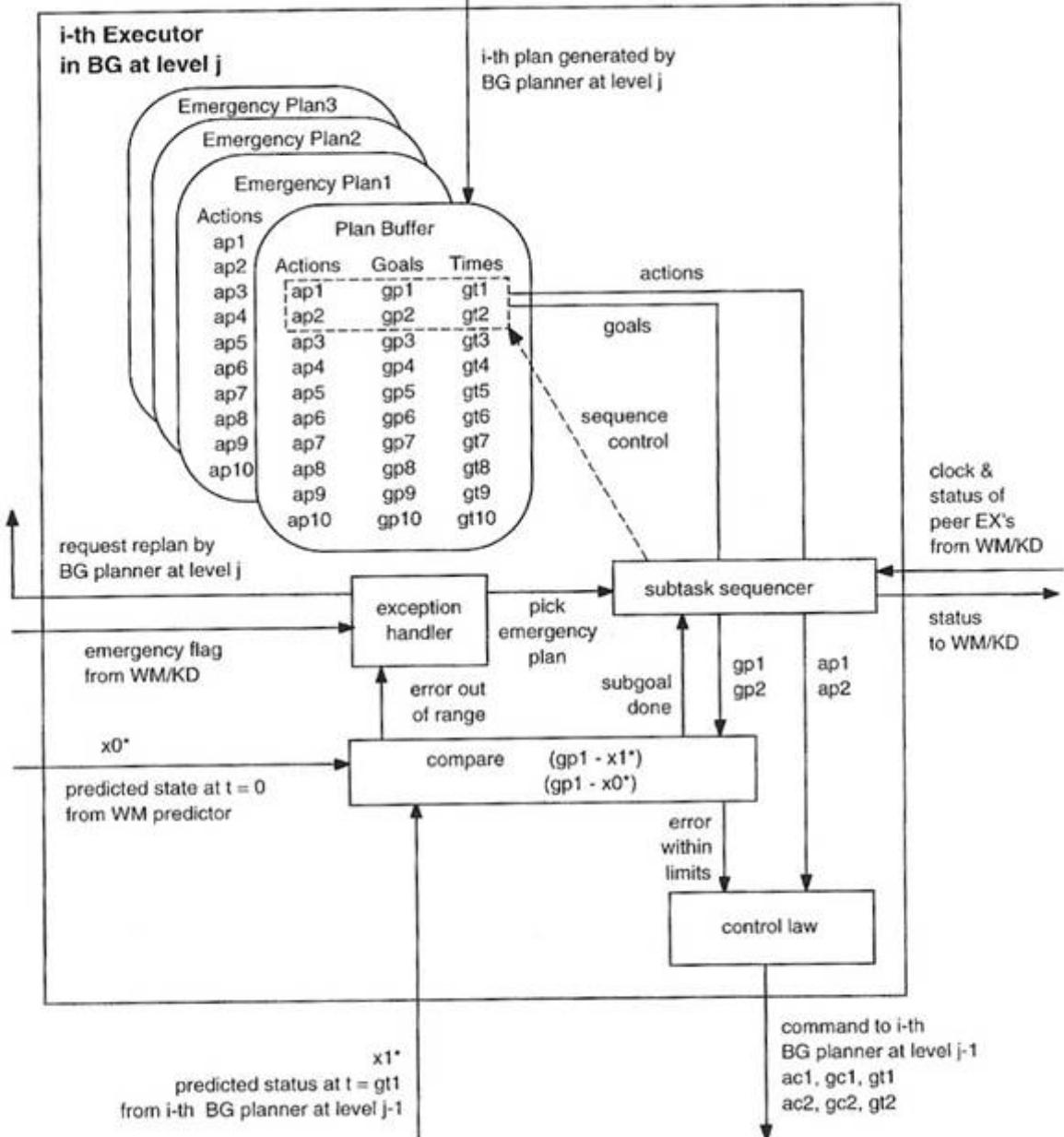
# 4D/RCS Computational Node



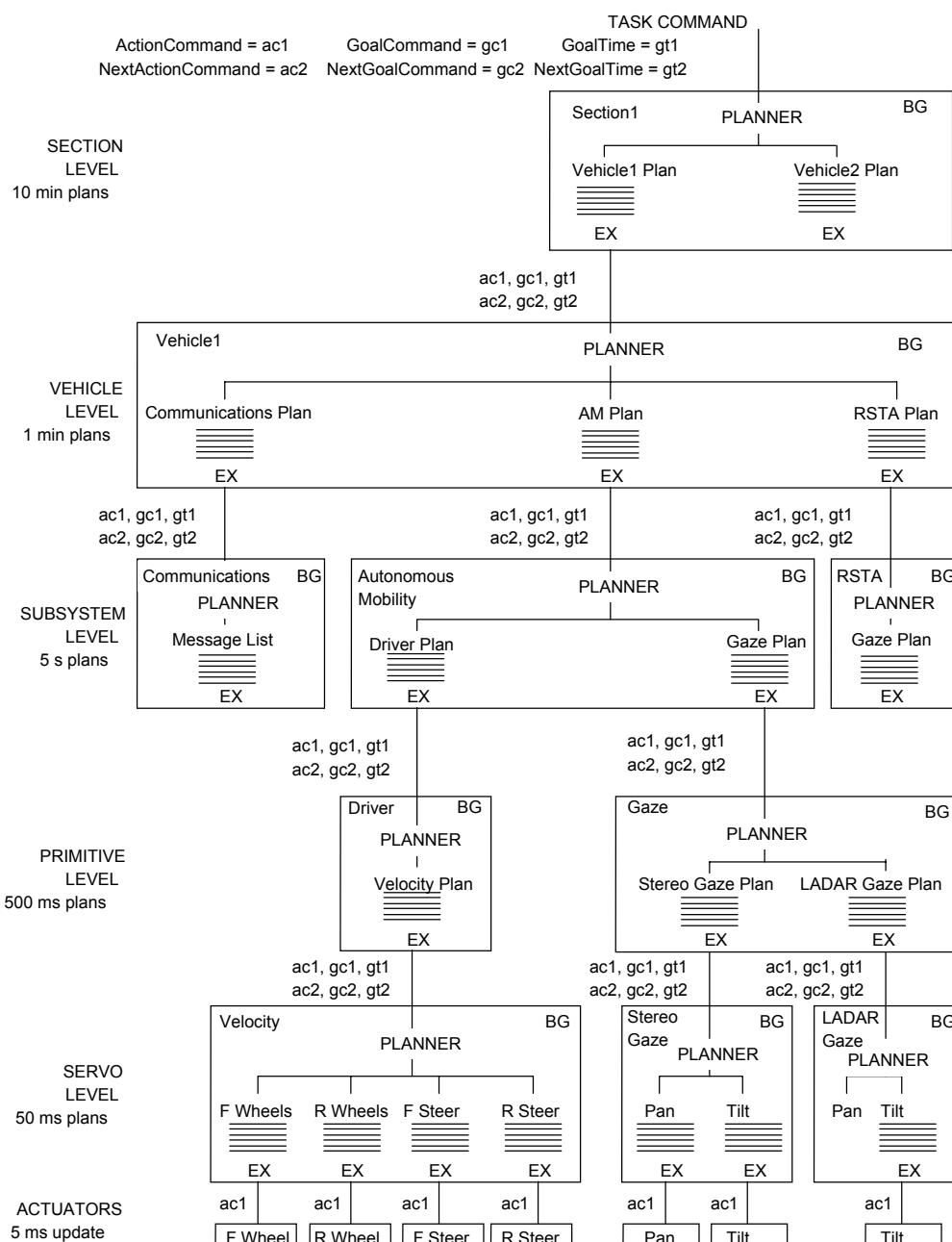
# Behavior Generation Process



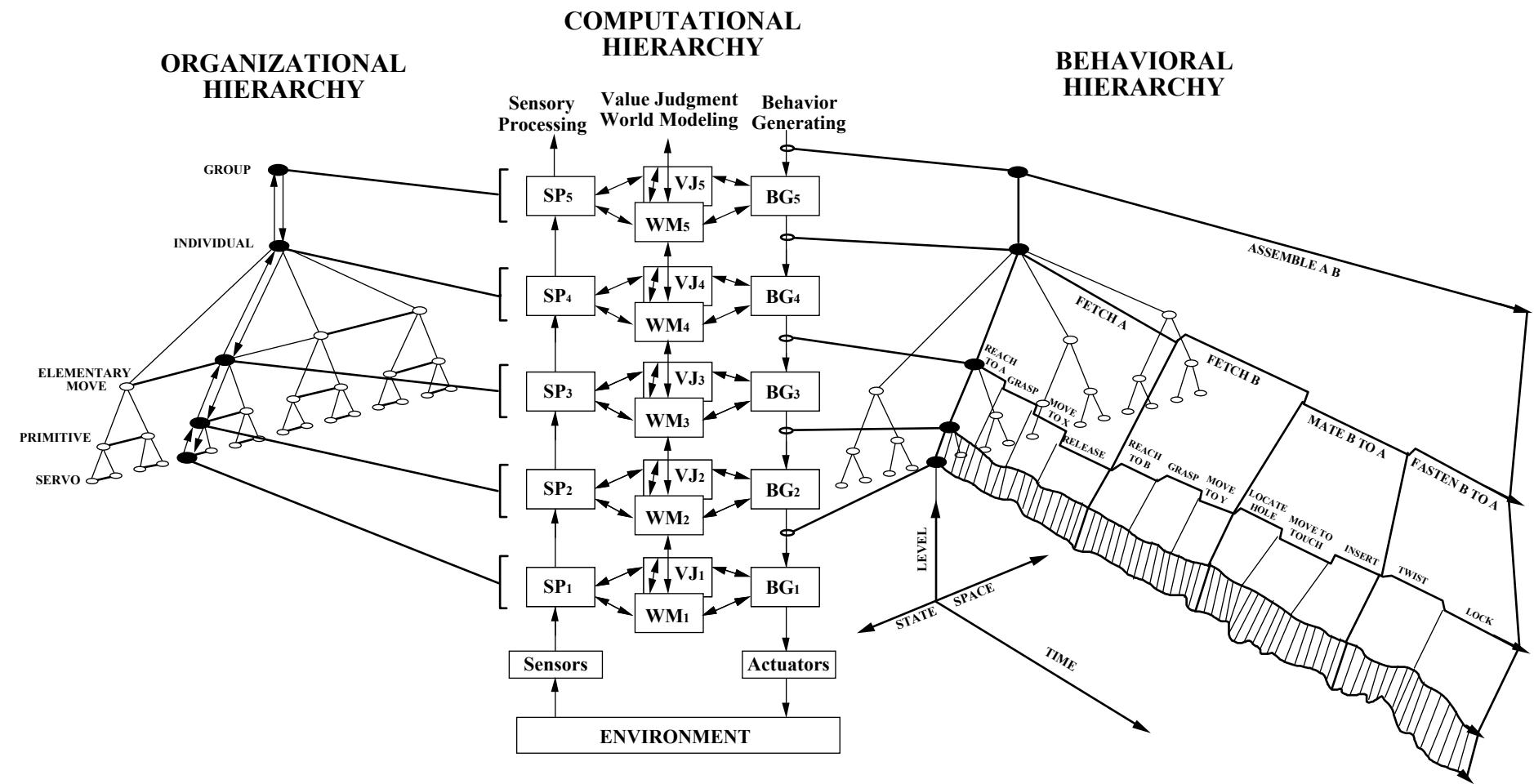
# Internal Structure of an Executor



# BG Hierarchy for Demo III



# Three Aspects of 4D/RCS

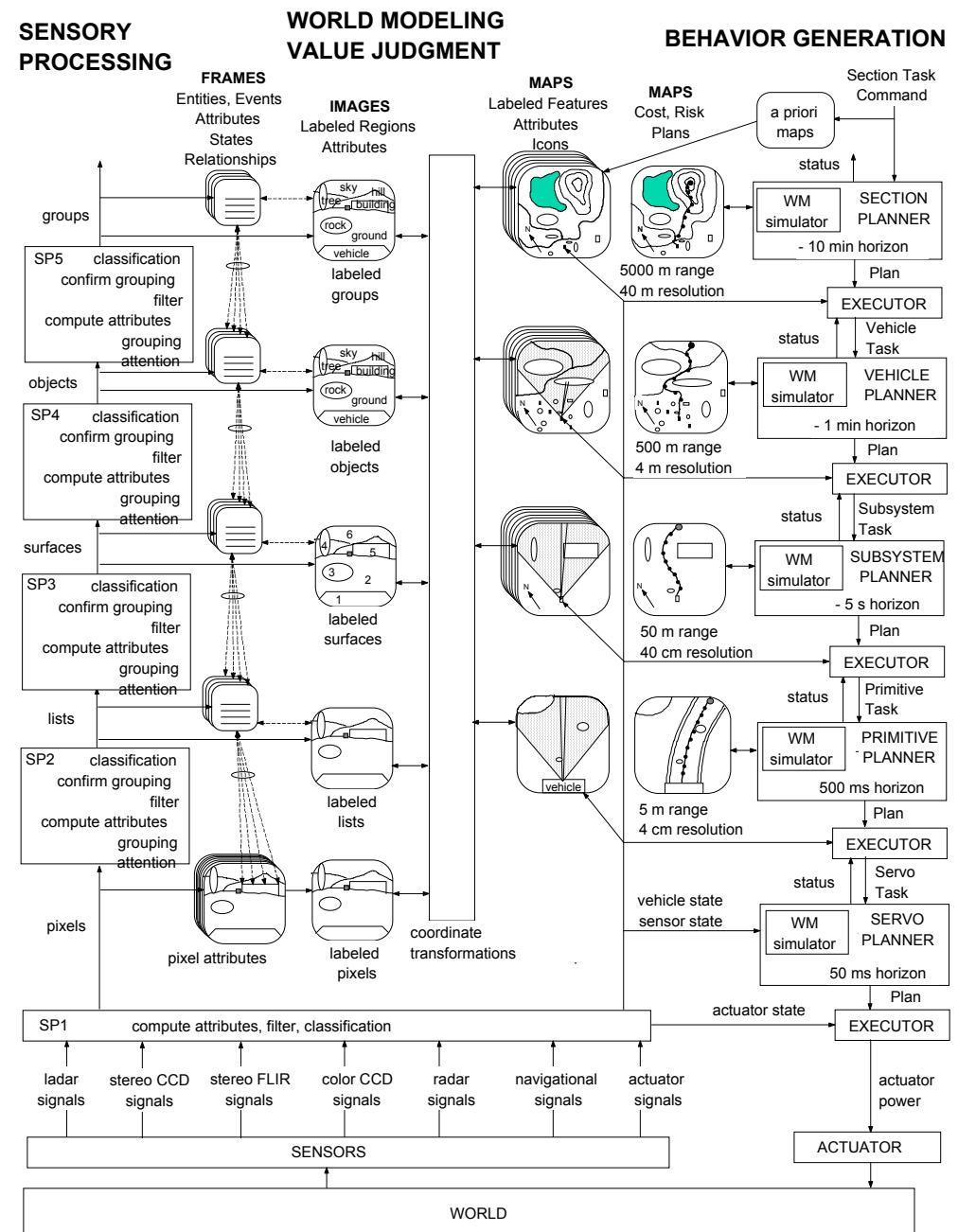


# 4D/RCS

## for

# Demo III

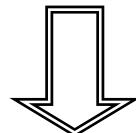
## Computational Hierarchy



# RCS

# Timing

# 4D/RCS Reference Model

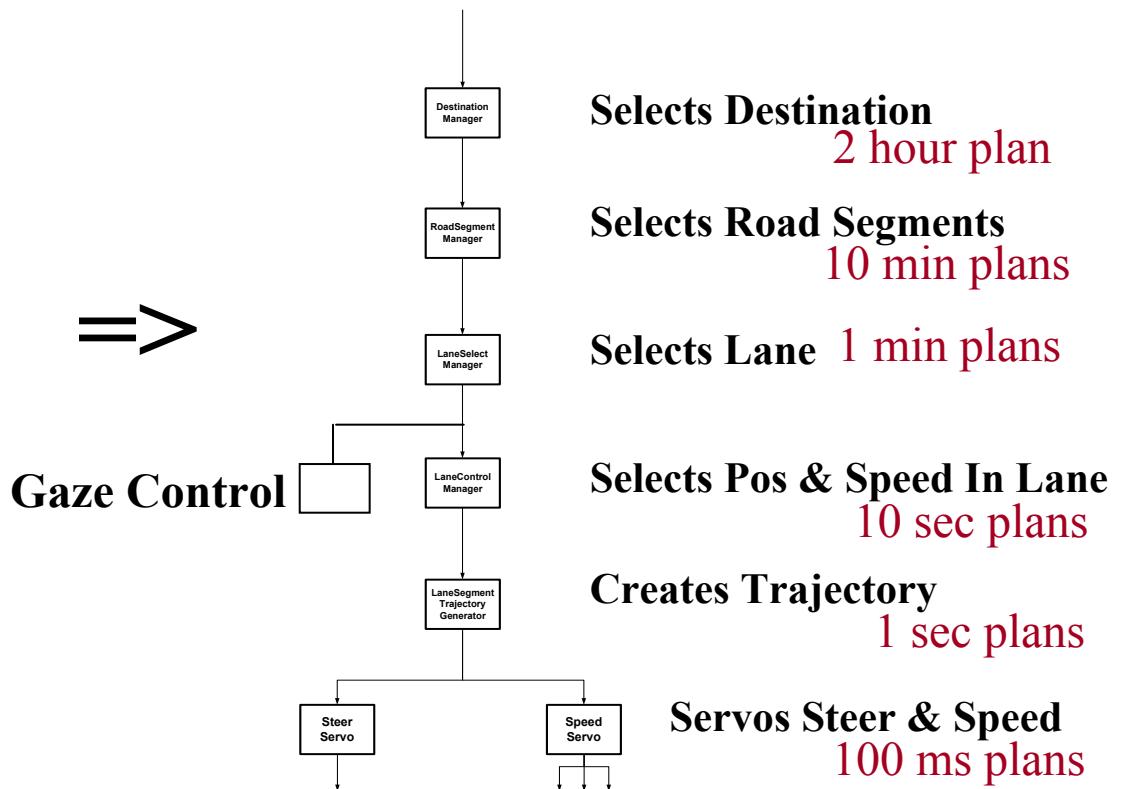
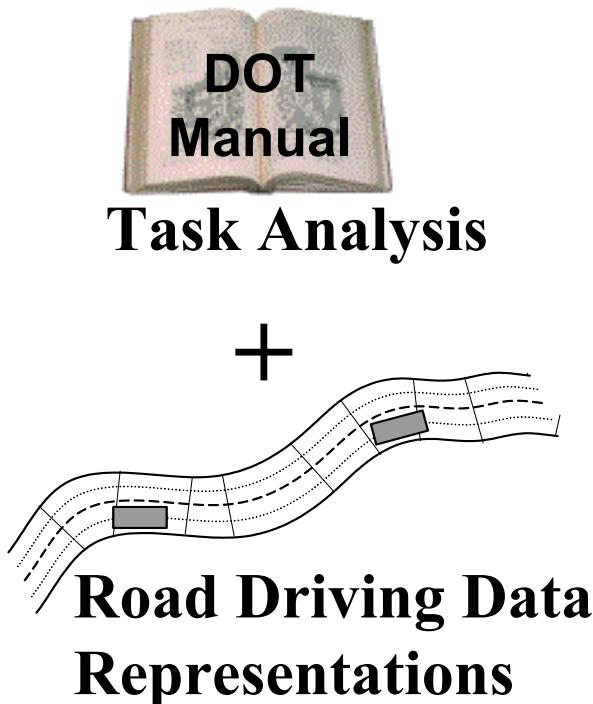


# System Engineering Guidelines

- Software development methodology
- Software library and development tools
- Hardware design and testing experience
- Test and evaluation methods and procedures
- Integration and testing methodology
- Field experiments and operational testing results

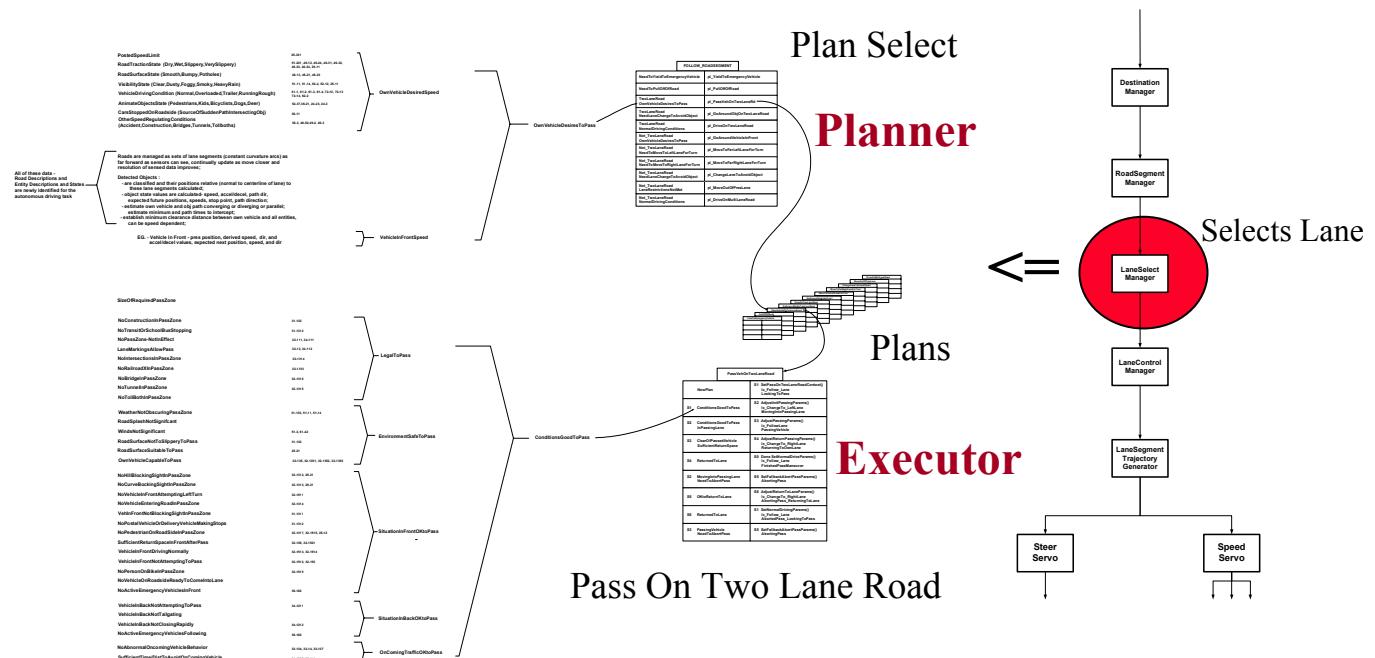
# Driving Task Analysis

## 1) Analyze Autonomous Driving Tasks & Develop a Task Architecture



# Driving Task Analysis

## 2) From task architecture, derive dependencies on World Model Situations and Value Judgments

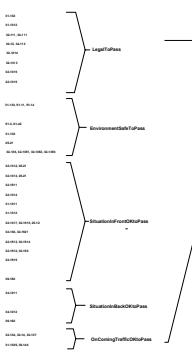


World Model  
Situation

Value  
Judgment

Behavior  
Generation

# Driving Task Analysis



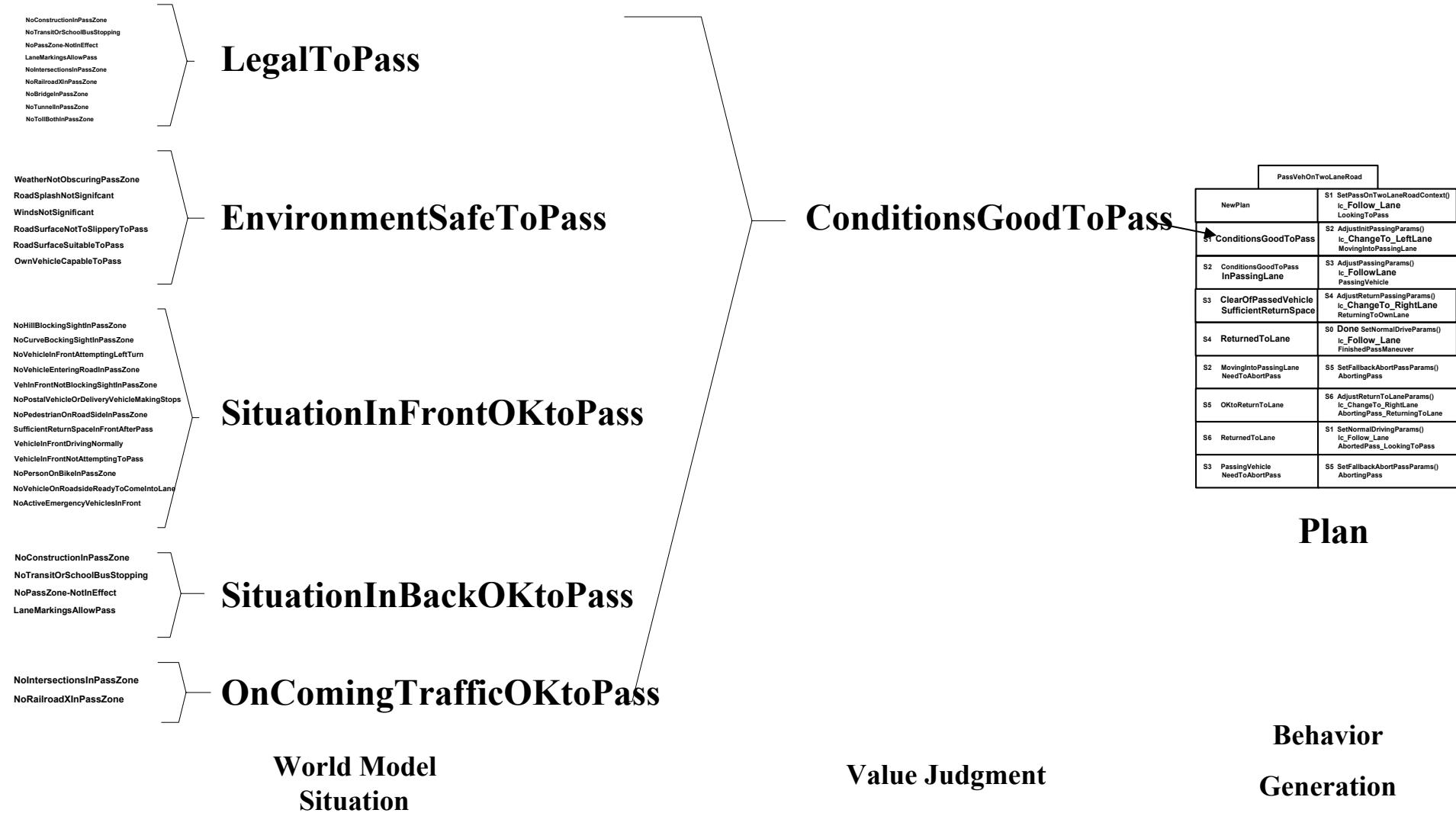
# World Model Situation

## Value Judgment

Pass on Two Lane Road	
NewPlan	S1 SetPassOnTwoLaneRoadContext() lc_Follow_Lane LookingToPass
S1 ConditionsGoodToPass	S2 AdjustInitPassingParams() lc_ChangeTo_LeftLane MovingIntoPassingLane
S2 ConditionsGoodToPass InPassingLane	S3 AdjustPassingParams() lc_FollowLane PassingVehicle
S3 ClearOfPassedVehicle SufficientReturnSpace	S4 AdjustReturnPassingParams() lc_ChangeTo_RightLane ReturningToOwnLane
S4 ReturnedToLane	S0 Done SetNormalDriveParams() lc_Follow_Lane FinishedPassManeuver
S2 MovingIntoPassingLane NeedToAbortPass	S5 SetFallbackAbortPassParams() AbortingPass
S5 OKtoReturnToLane	S6 AdjustReturnToLaneParams() lc_ChangeTo_RightLane AbortingPass_ReturningToLane
S6 ReturnedToLane	S1 SetNormalDrivingParams() lc_Follow_Lane AbortedPass_LookingToPass
S3 PassingVehicle NeedToAbortPass	S5 SetFallbackAbortPassParams() AbortingPass

Plan

# Driving Task Analysis

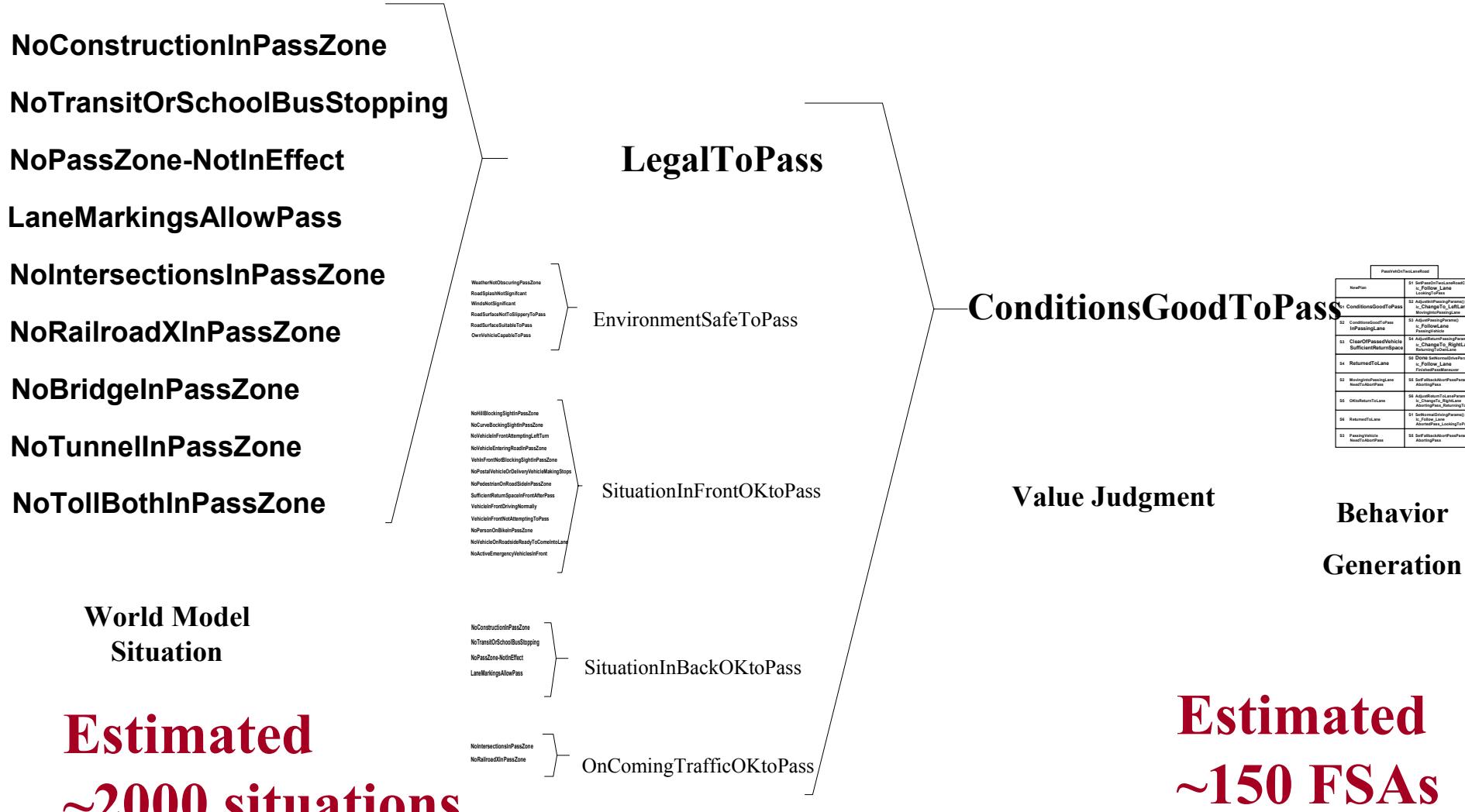


World Model  
Situation

Value Judgment

Behavior  
Generation

# Driving Task Analysis

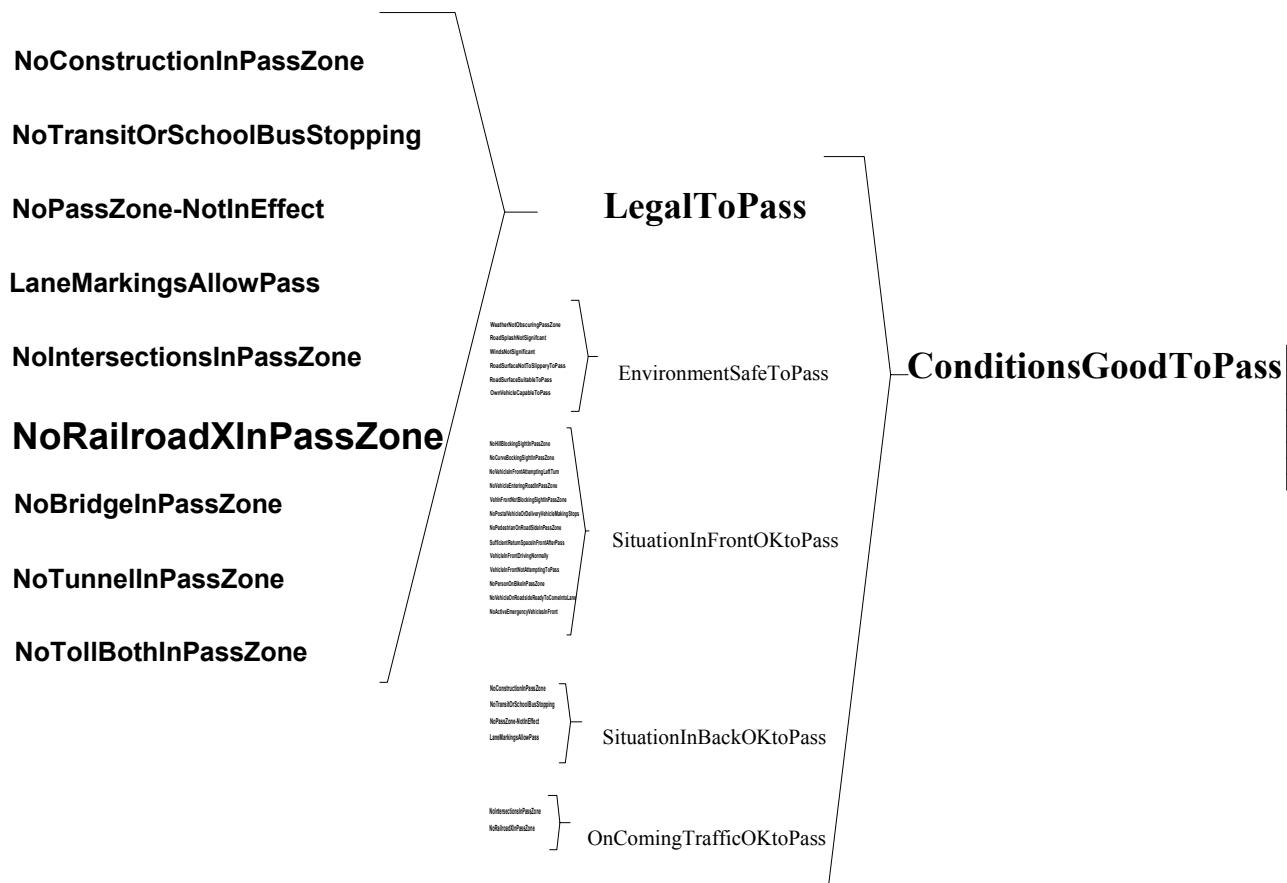


# Driving Task Analysis

## 3) Define Entities, Events, Attributes, Resolutions, and Tolerances

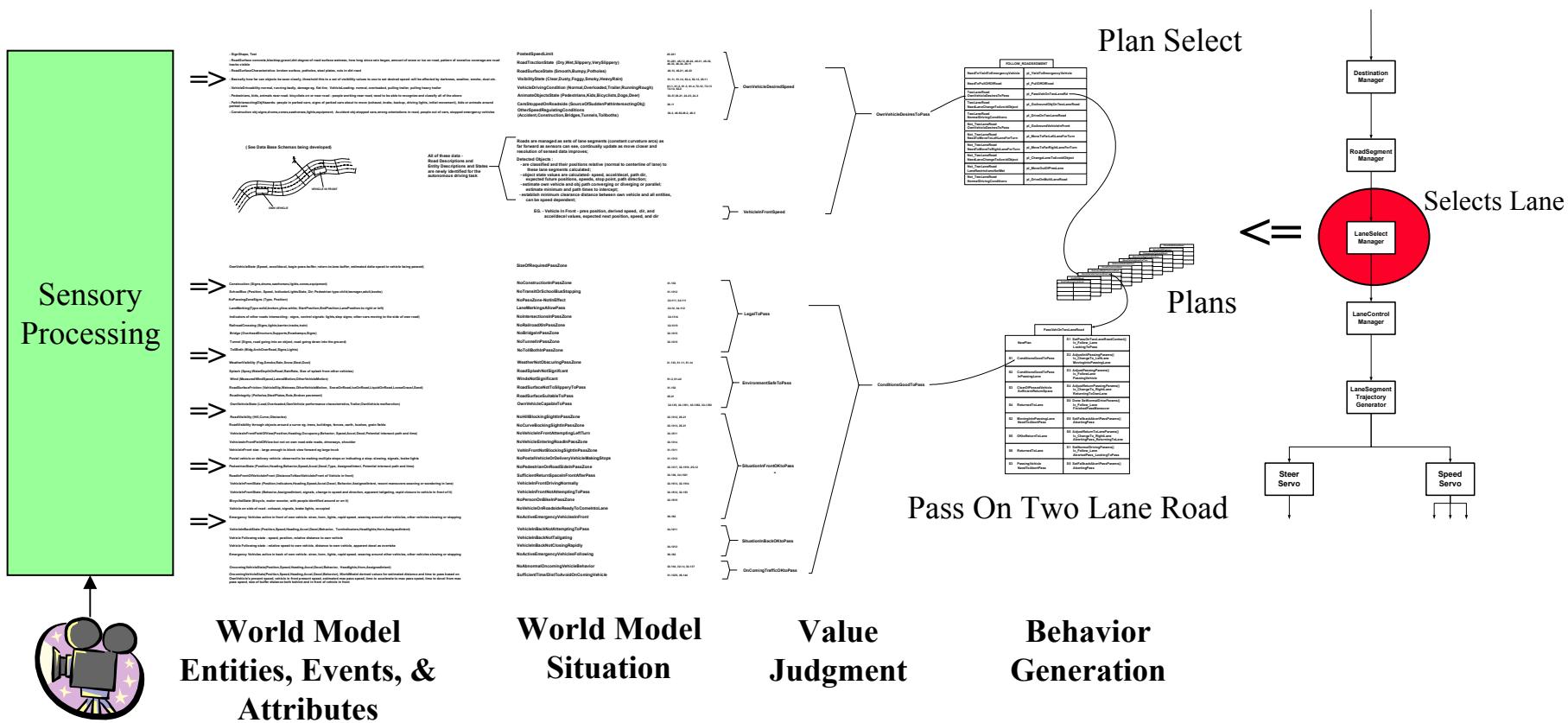
CrossBuck(pos)  
Lights(pos, state)  
Crossing Gate(pos)  
Signs(pos, facing-dir, text and graphics)  
Tracks(pos, dir)  
Train(pos, dir)  
Lanes(pos, dir, width, curvature)  
PassingZone(veh speeds, safety buffer, accel)  
eg. All attributes must be recognizable out to 600 to 800 feet

**World Model**  
Entities, Events, and Attributes

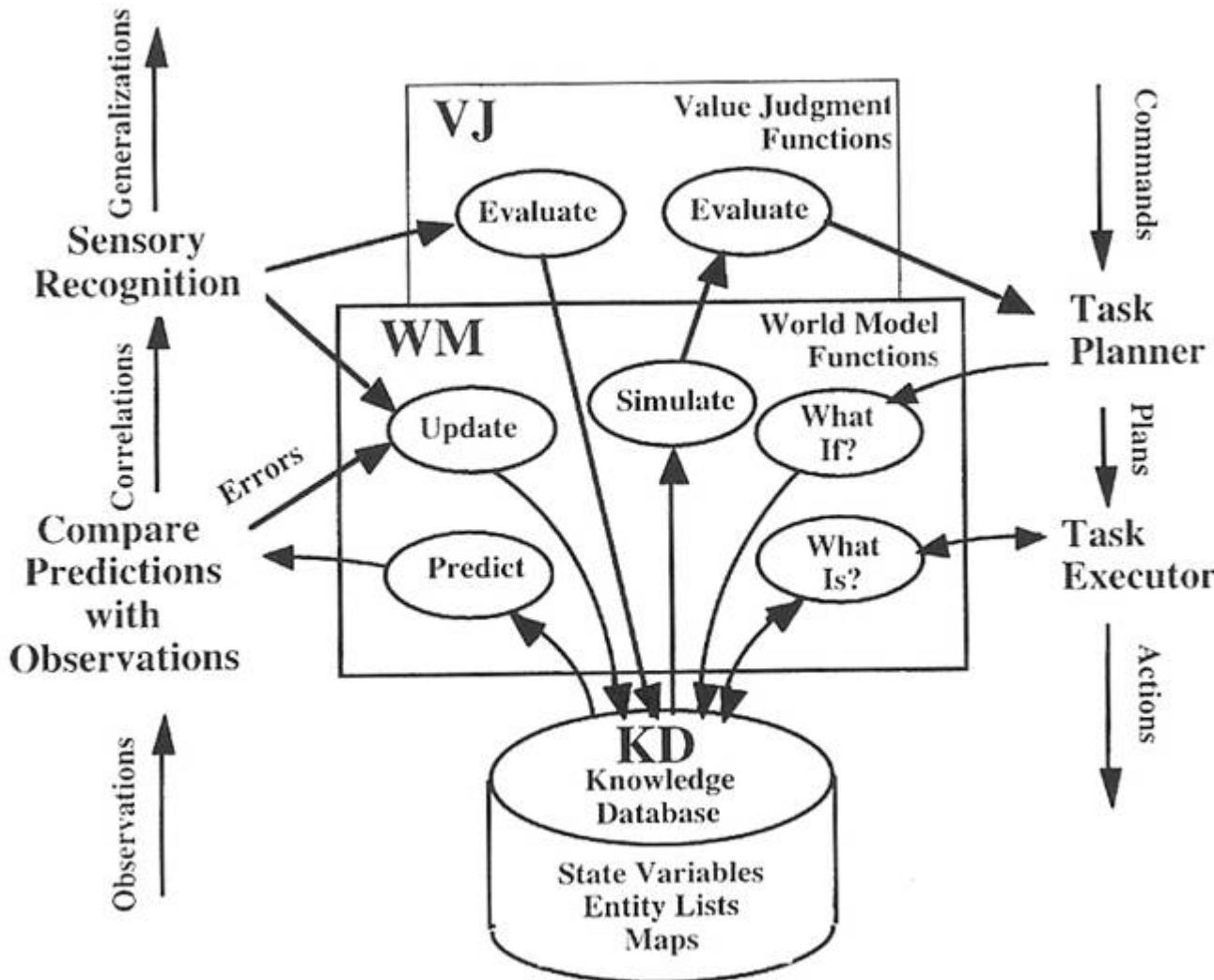


# Driving Task Analysis

**4) Use defined World Model Situations, Entities, Events, and Attributes as the Requirements for Perception**



# World Modeling



# Forms of Representation

## Iconic

- signals, images, maps (arrays)
- Support communication, geometry, and navigation
- Have range and resolution in space and time

## Symbolic

- objects, events, classes (abstract data structures)
- Support mathematics, logic, and linguistics
- Have vocabulary and ontology

## Links

- relationships (pointers)
- Support syntax, grammar, and semantics
- Have direction and type

# Types of Knowledge

**About the environment** – places, conditions, situations

**About things** – entities, states, attributes, classes, relationships

**About actions** – tasks, skills, motives, plans, behaviors

**About feelings** – experiences, tastes, beliefs, emotions, pain, pleasure, grief, hope, fear, guilt, need

**About experiences** – events, situations, scenarios, sights, sounds, smells, tastes

**About rules** – logic, mathematics, geometry, language, physics

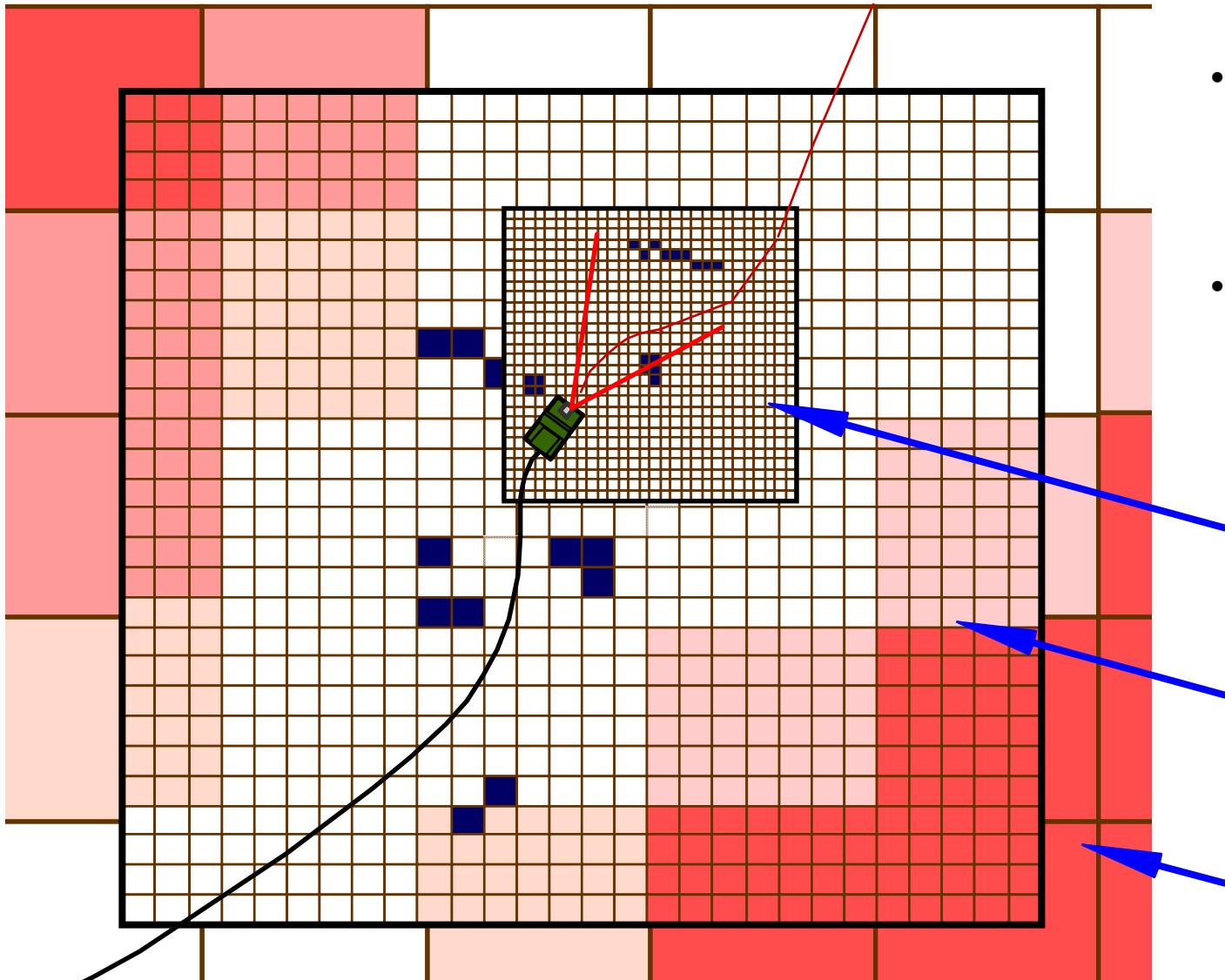
**About models** – dynamics, kinematics, simulation, visualization



# Types of Representation

- Immediate experience < 100 ms, transitory
- Short term memory – seconds to minutes, volatile
- Long term memory – indefinite, non-volatile
- Prediction of future conditions
  - ~ immediate experience for perception
  - ~ short term memory for planning
- Entities – things that occupy space
- Events – things that occupy time
  - attributes and relationships of entities and events
- Skills – knowledge of how to act so as to achieve goals

# MULTI-RESOLUTION MAPS



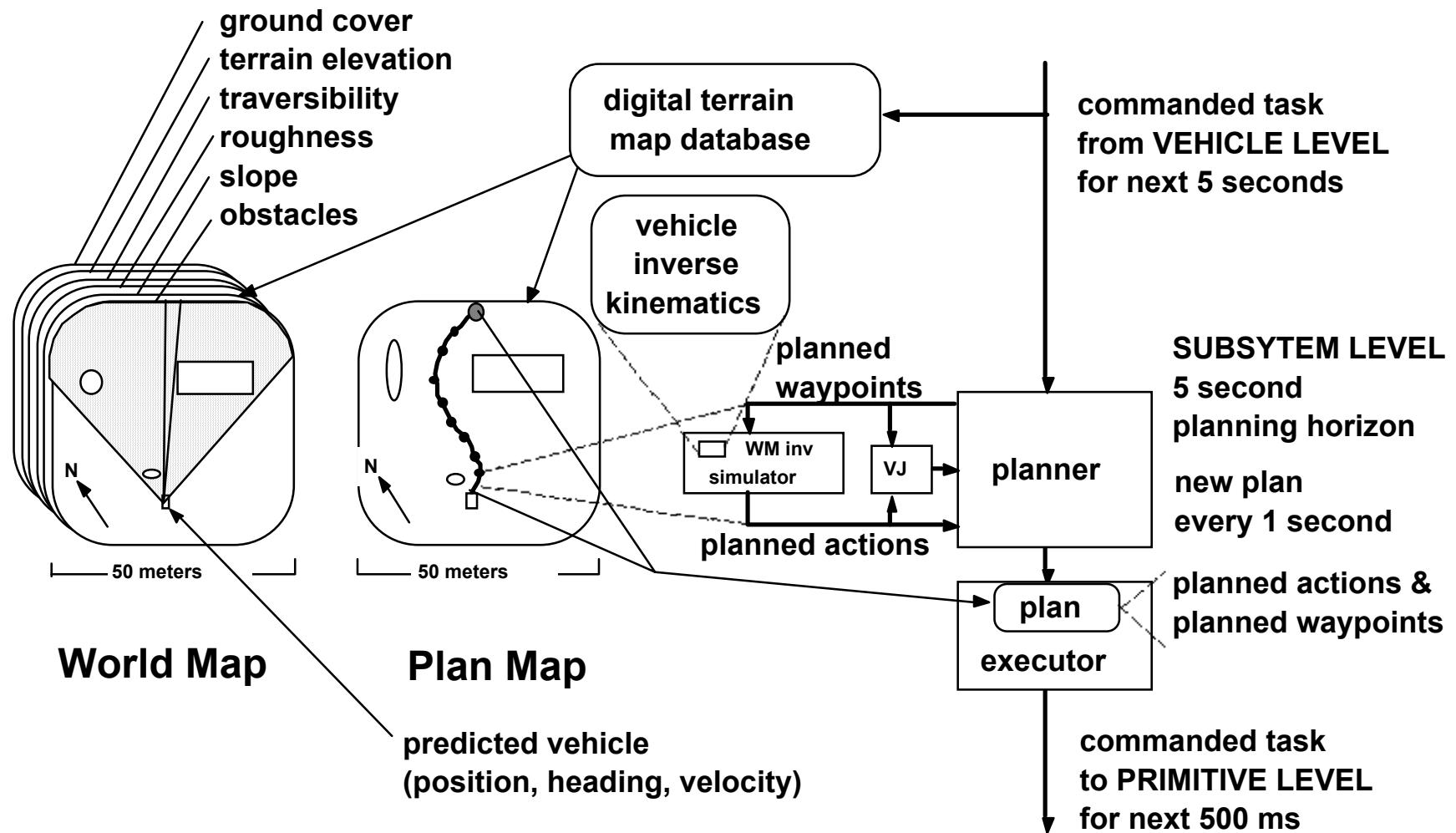
- Data flows up and down between the different maps
- Path planning occurs at each level

0.4 m grid  
50 m wide

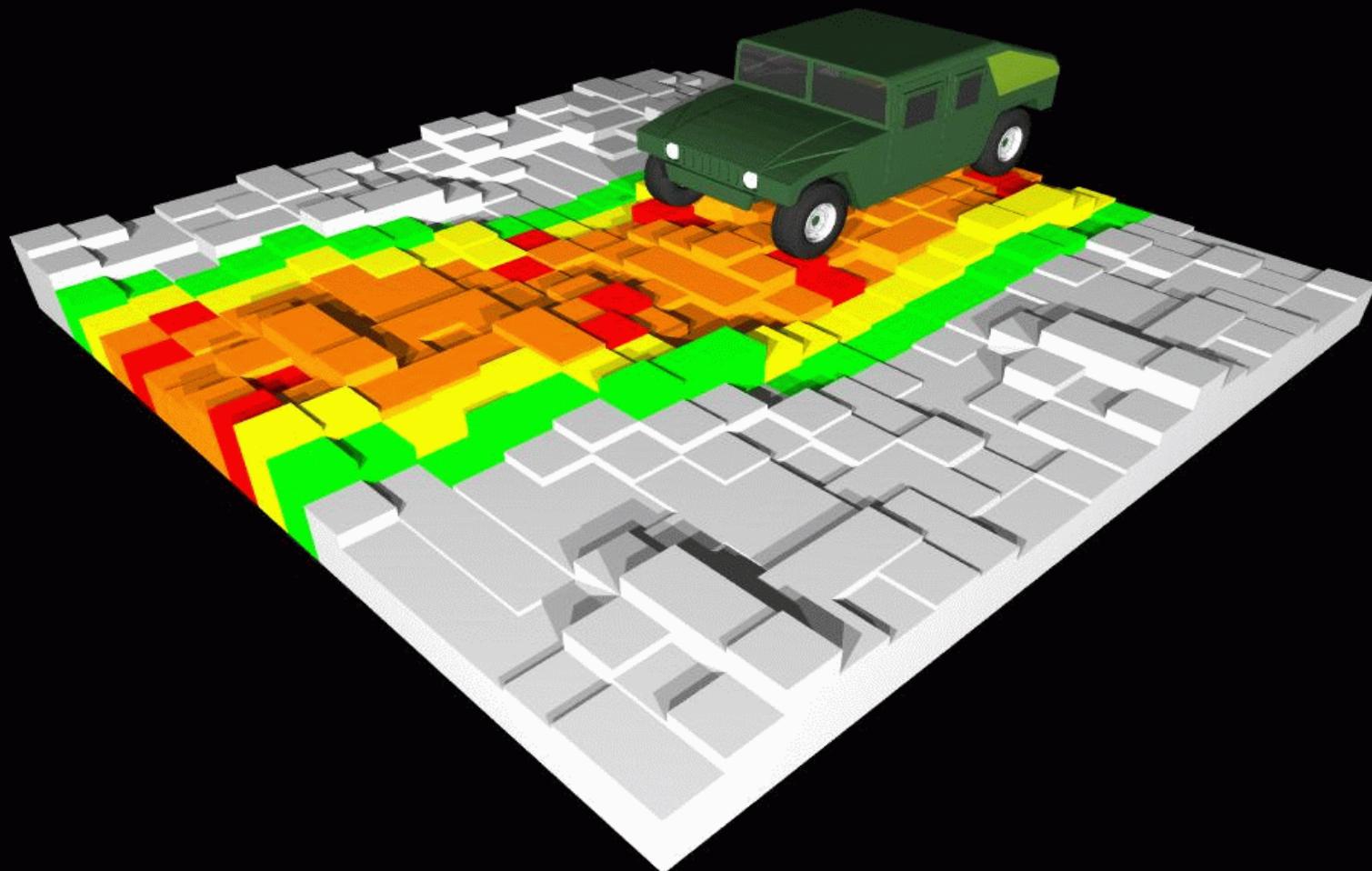
4 m grid  
500 m wide

30 m grid  
Terrain map

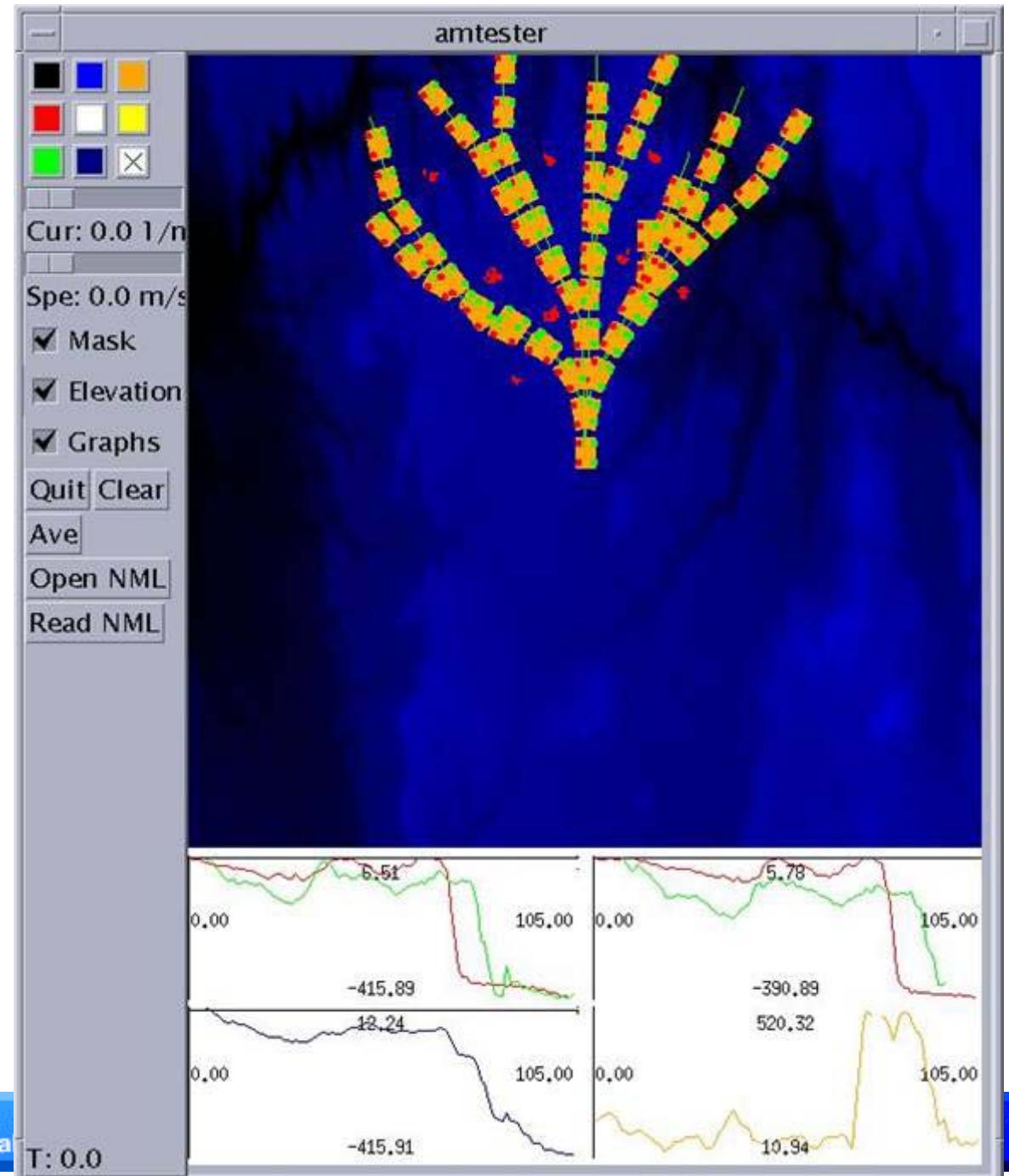
# Planning at Subsystem Level



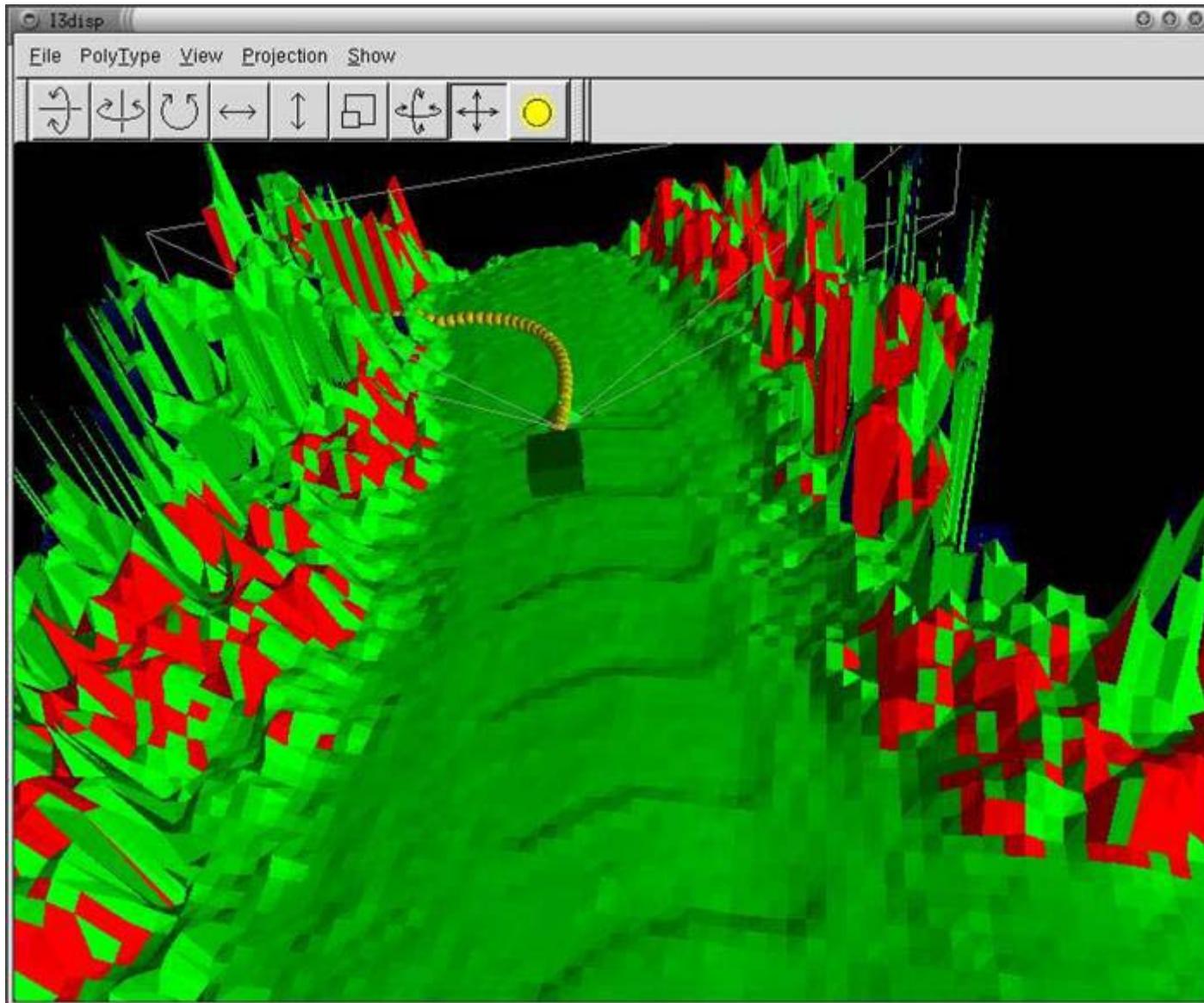
# 3-D Terrain Traversability



# Path Cost Evaluation



# Planning to Turn Off-Road



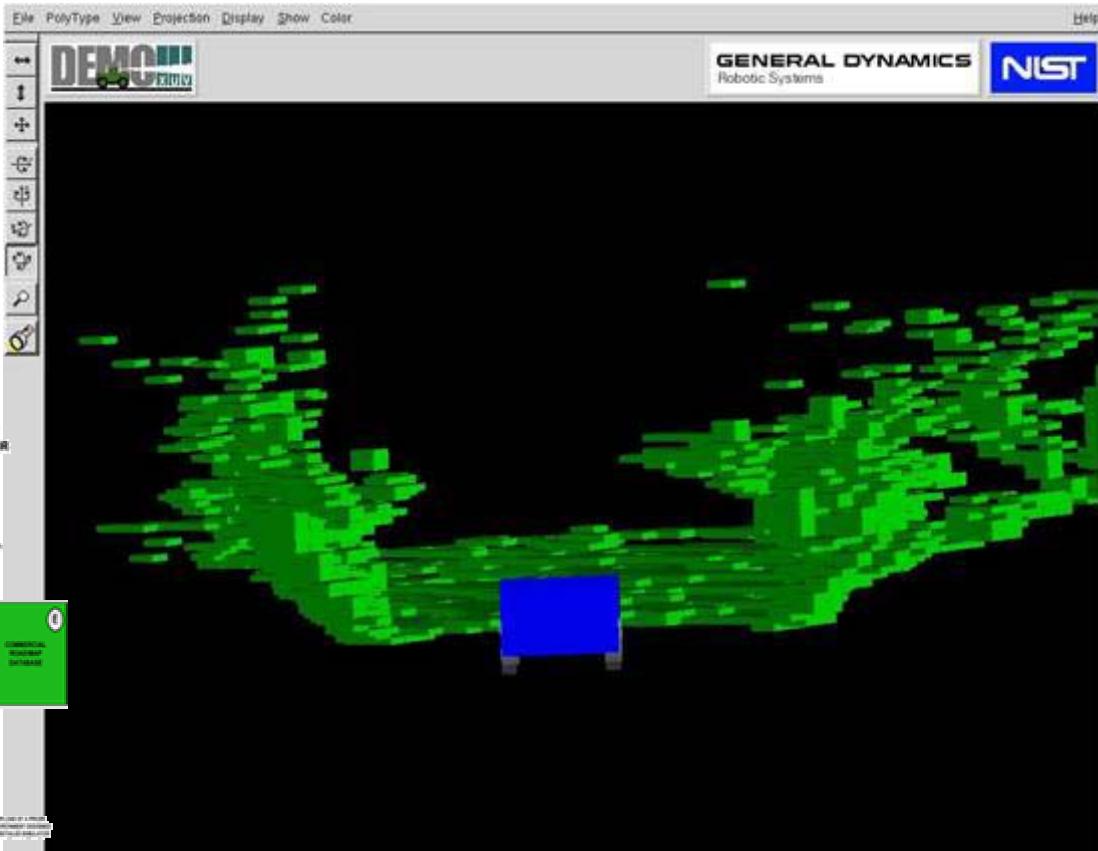
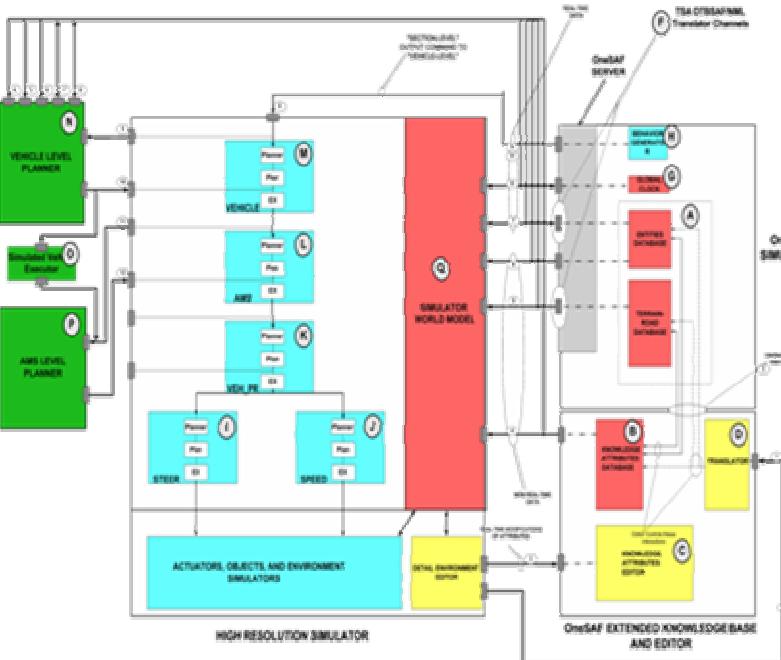


DEMO III

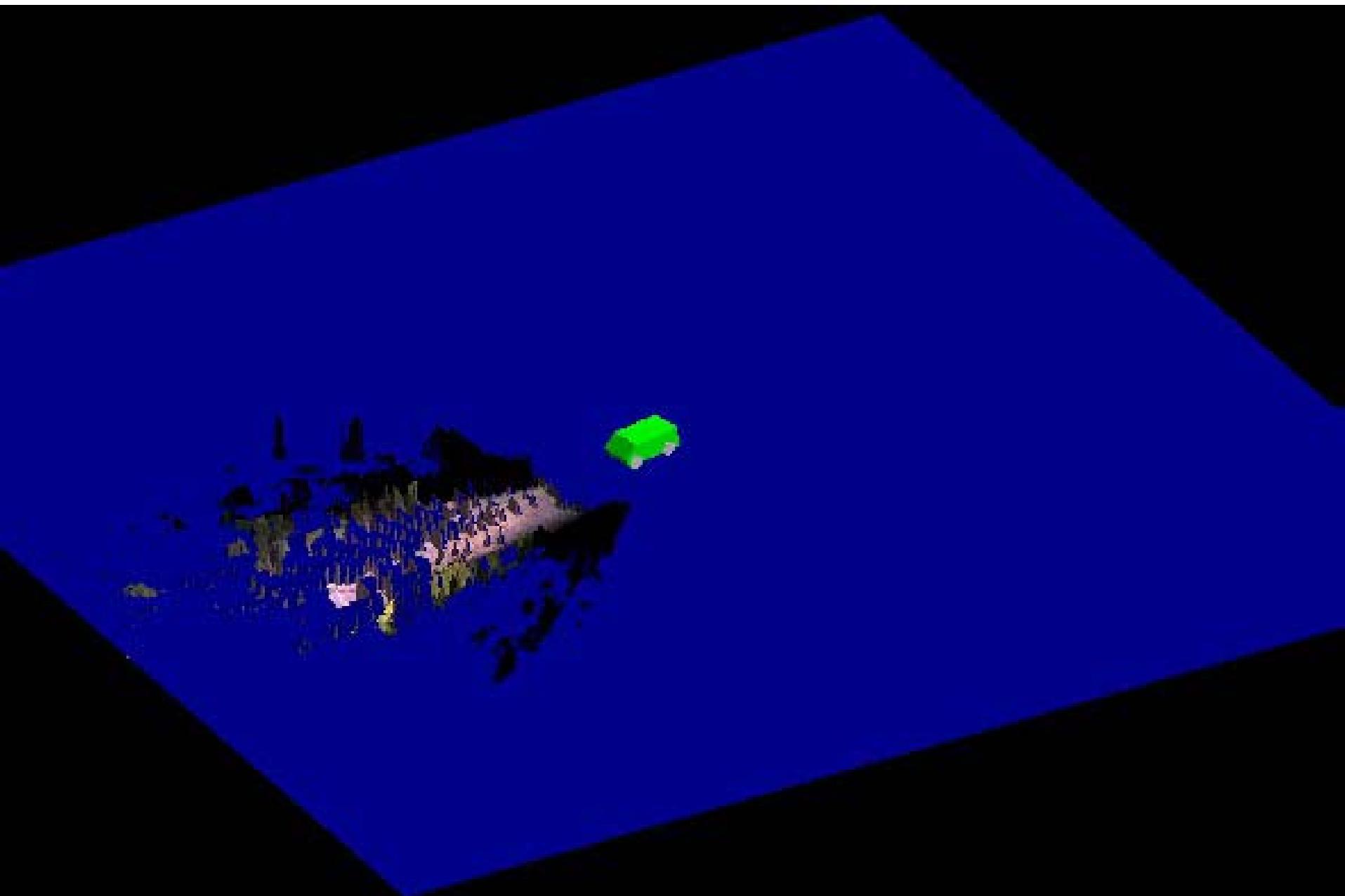
# LADAR is a Critical Break-Through

## Range Image

# Color Image



# Color overlaid on LADAR



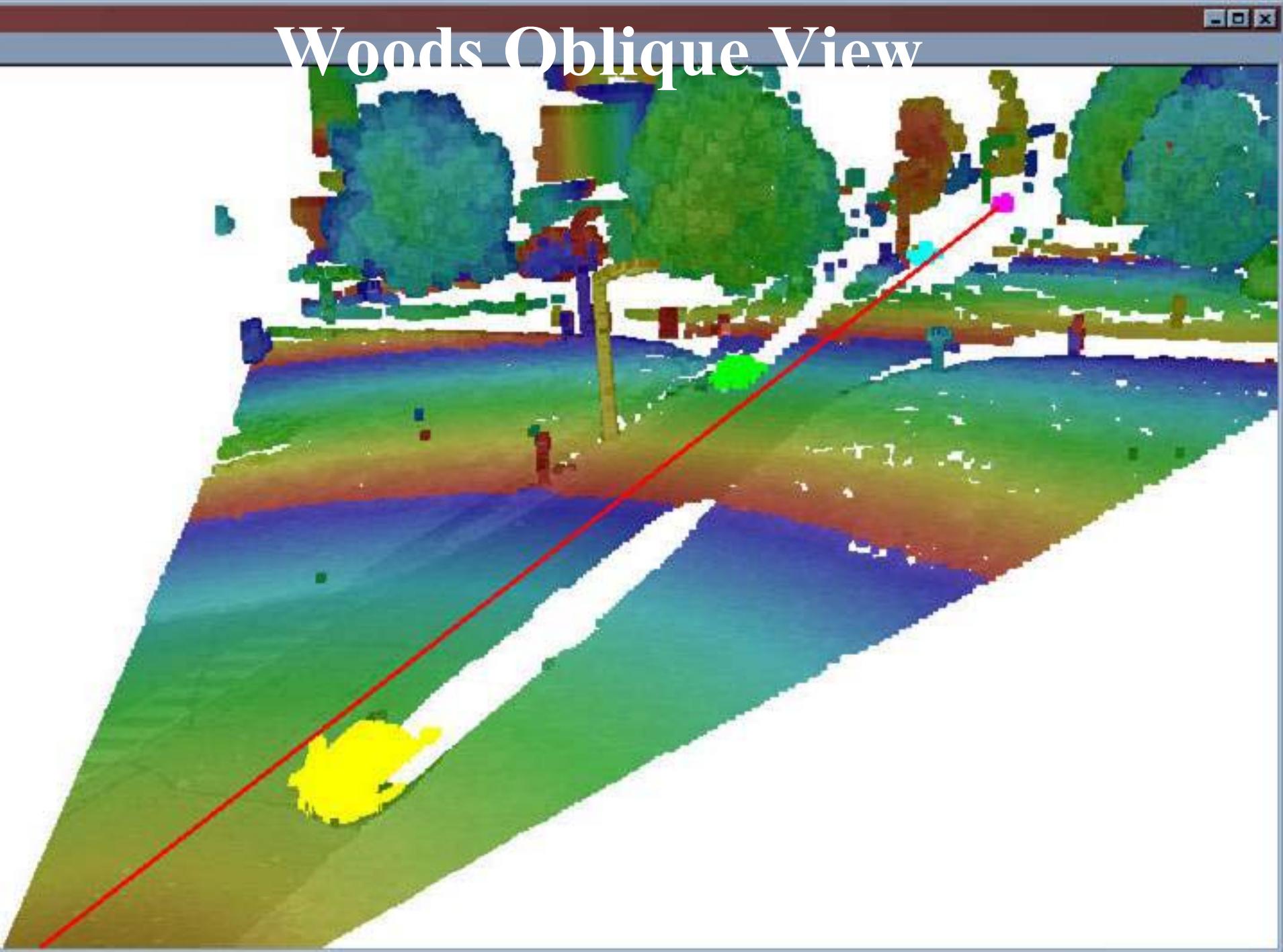
# ARL/NIST LADAR Testbed



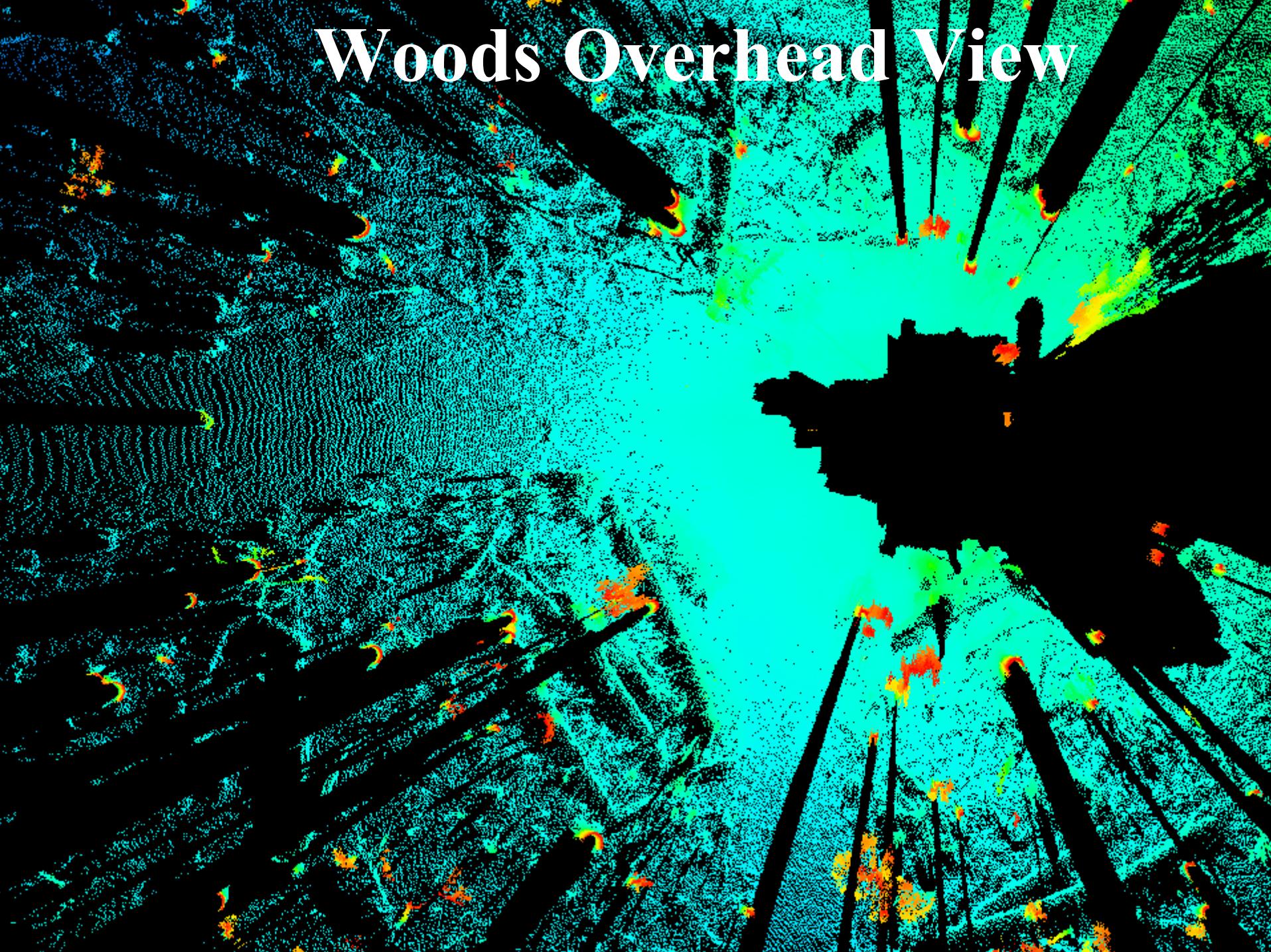
# LADAR Intensity Image in the Woods



# Woods Oblique View



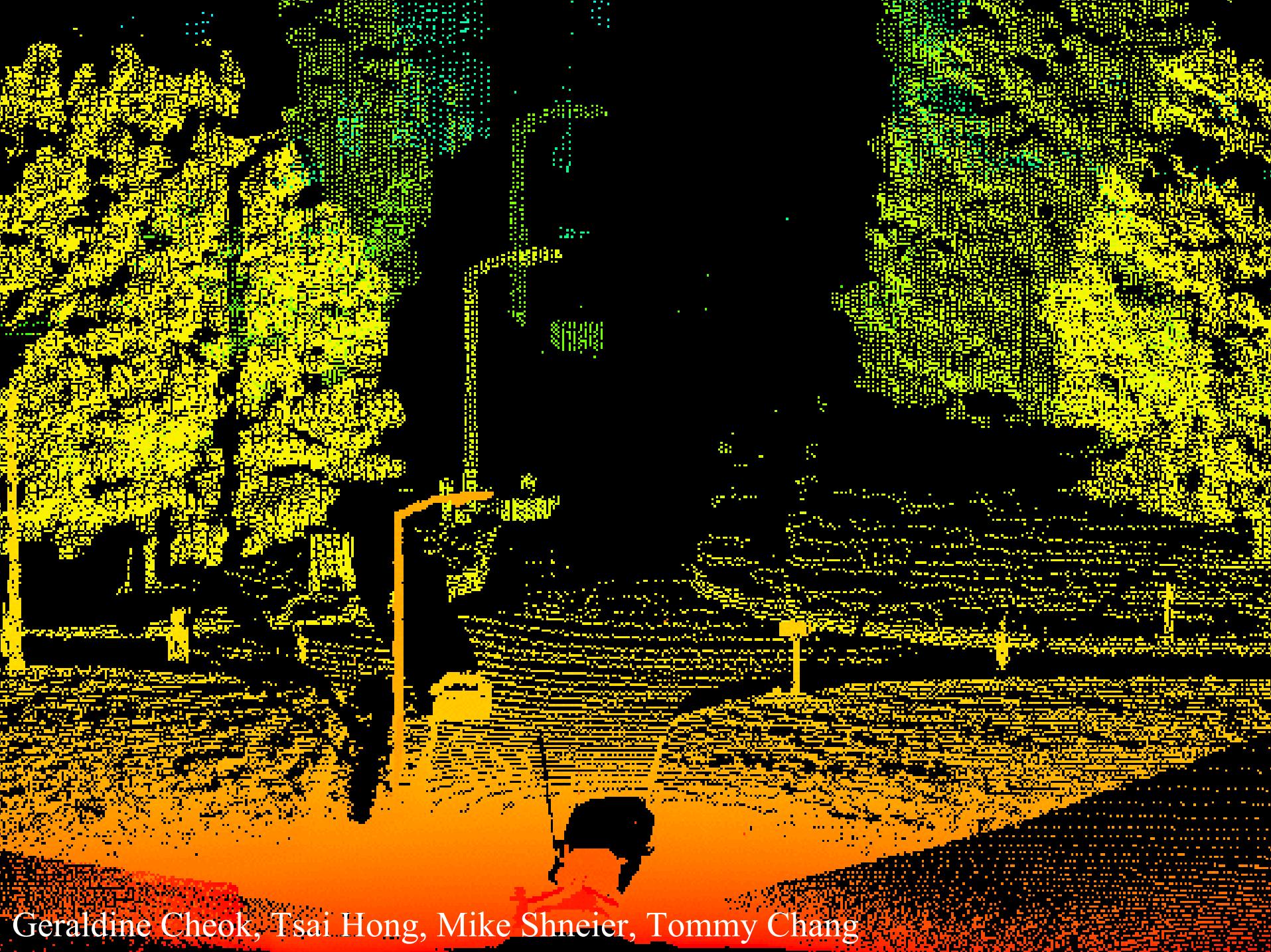
# Woods Overhead View



# On a Road at NIST

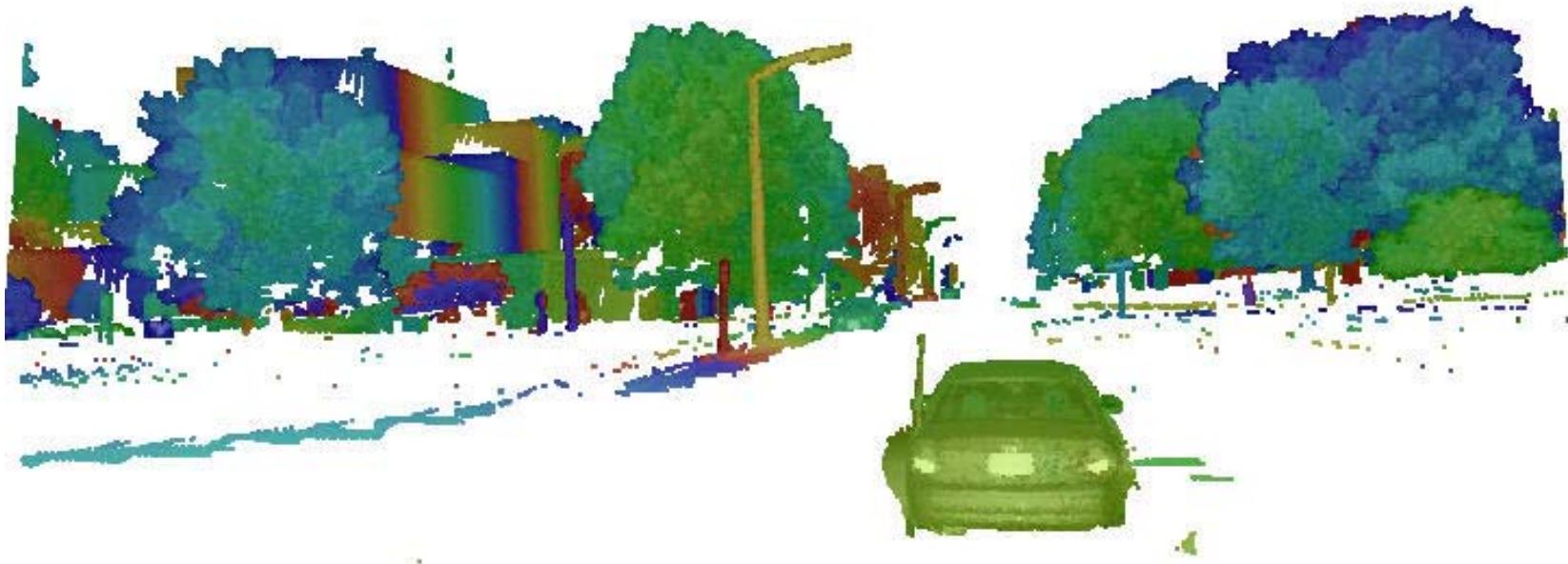


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# Segmentation Remove Ground Plane



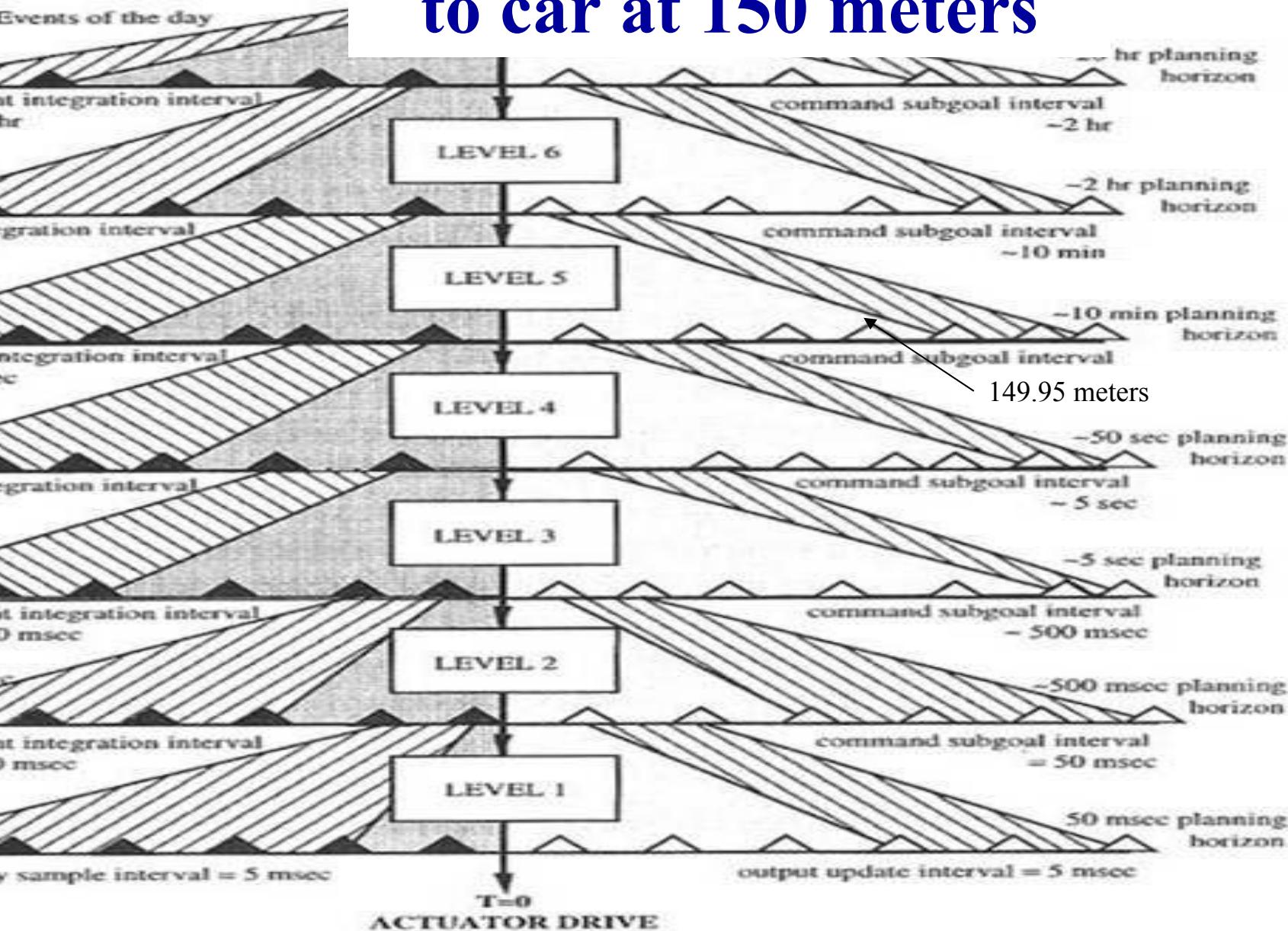
Repeating False Color Range Image

# Segmentation of Cars

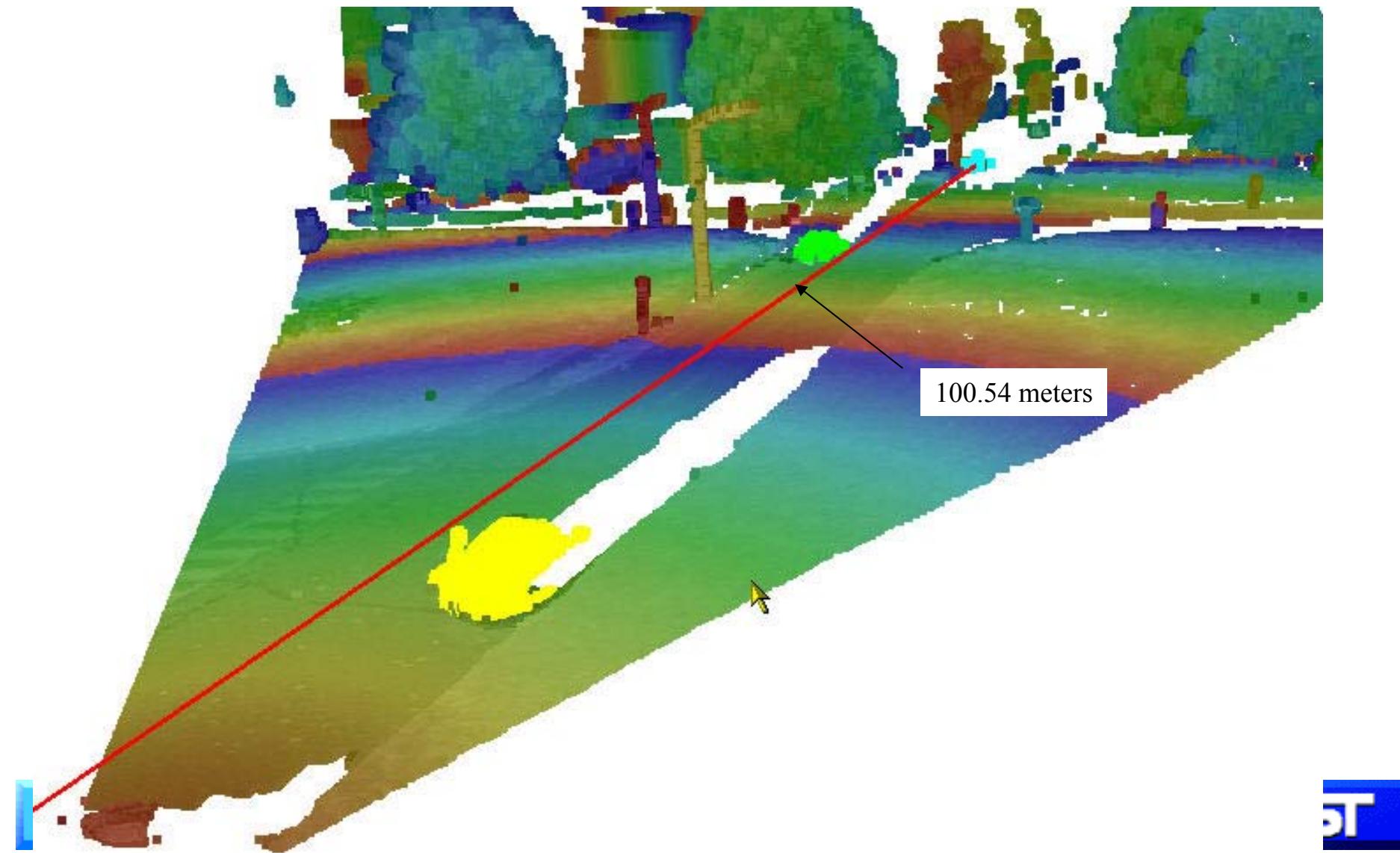
## False Color Range



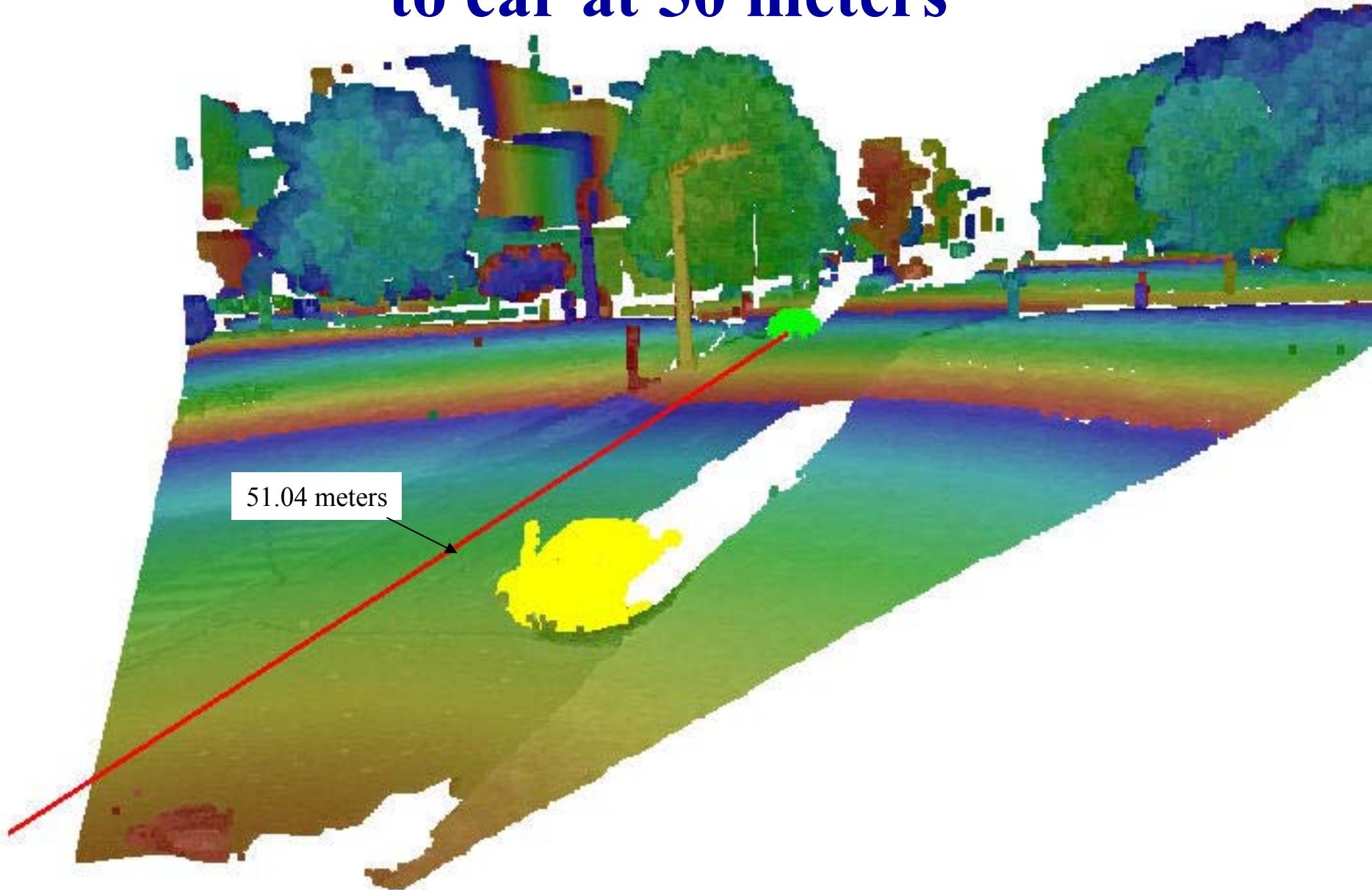
# Distance Measured to car at 150 meters



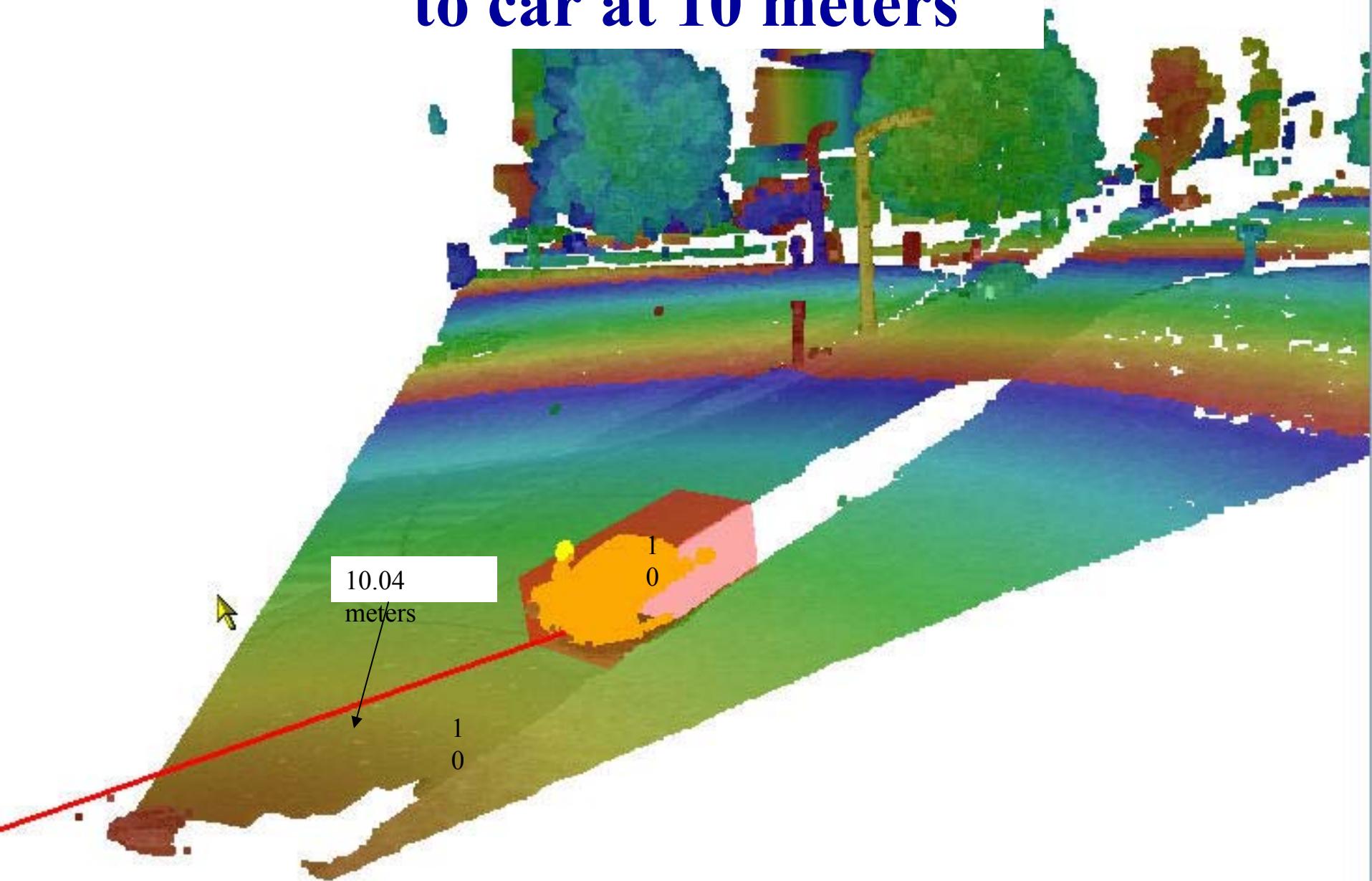
# Distance Measured to car at 100 meters



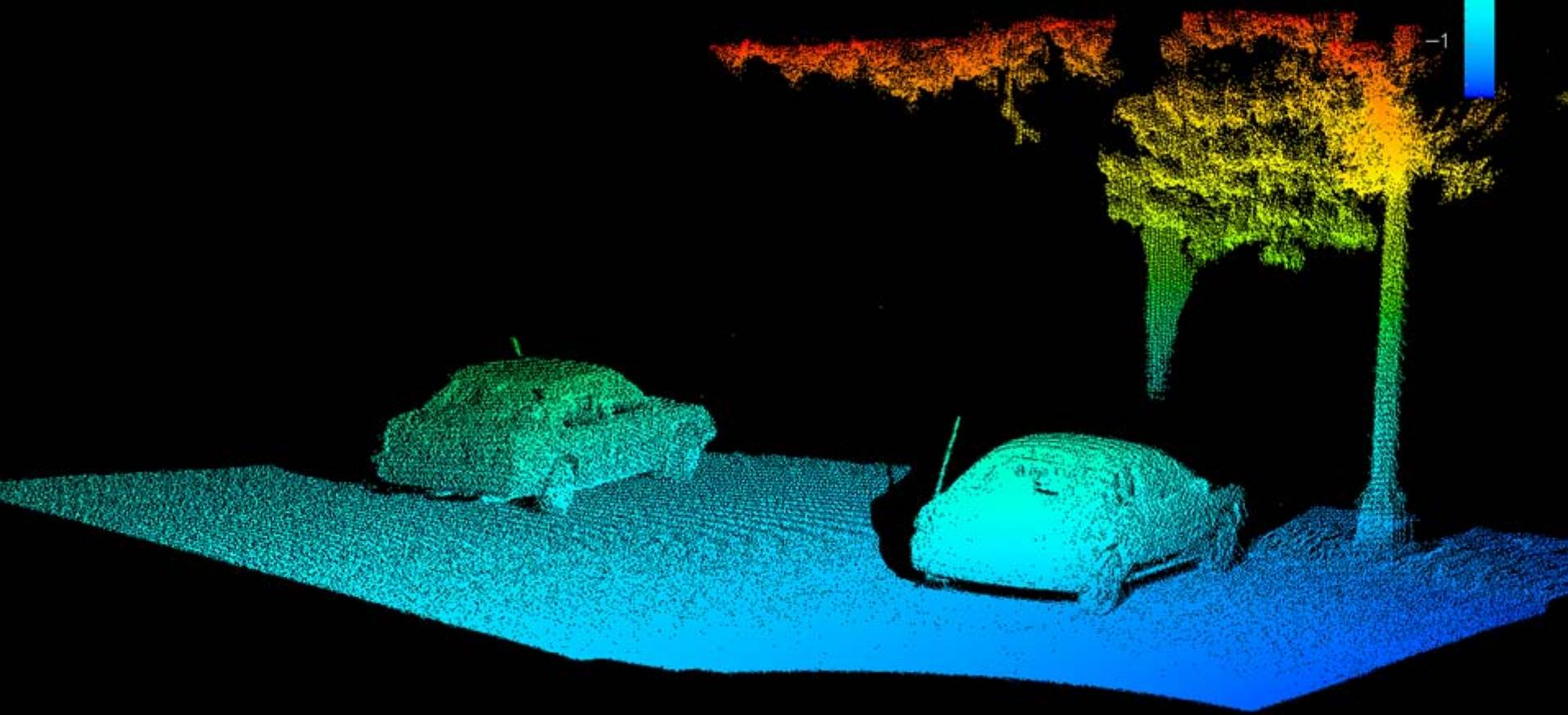
# Distance Measured to car at 50 meters



# Distance Measured to car at 10 meters

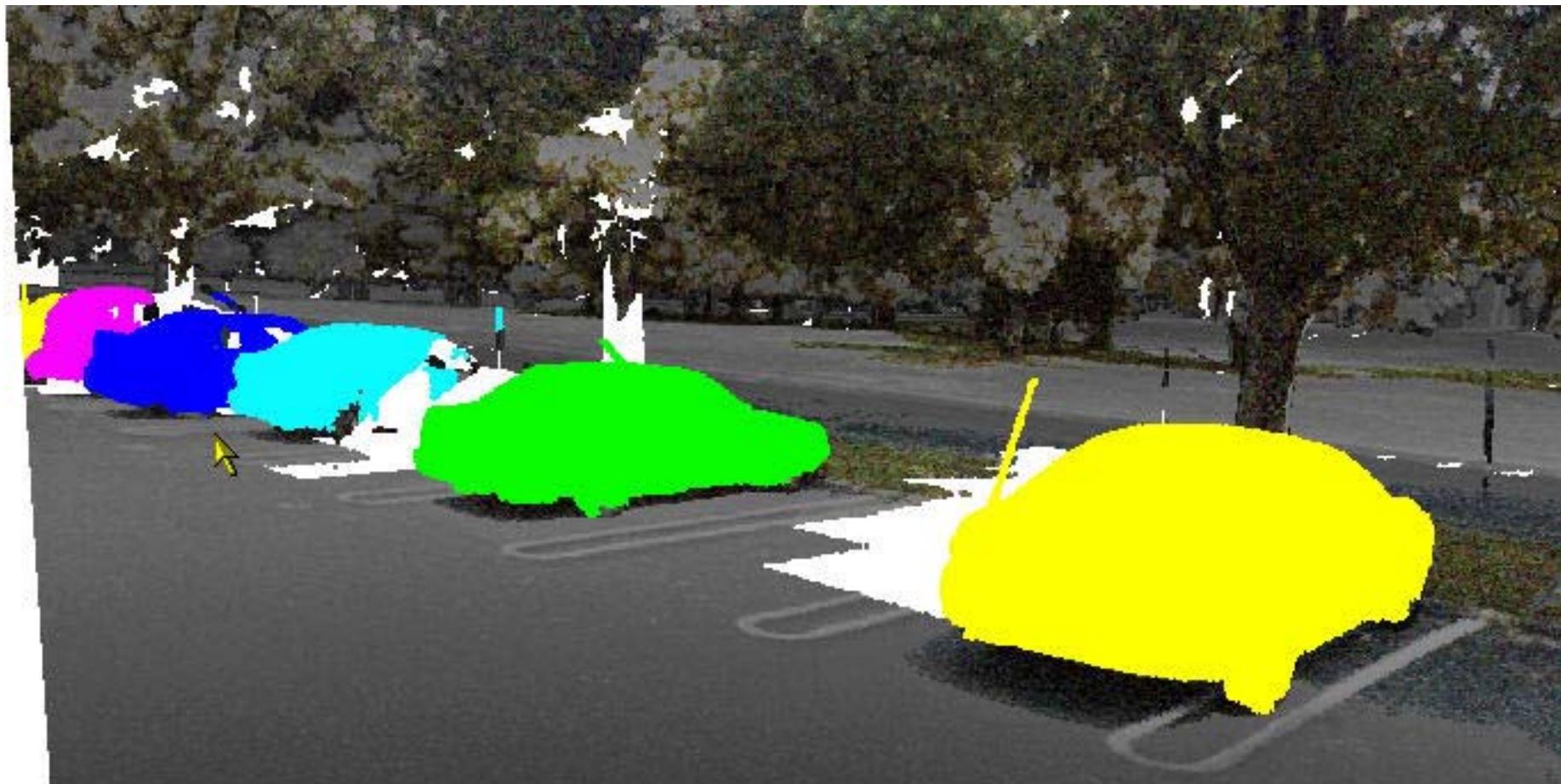


# In the NIST Parking Lot

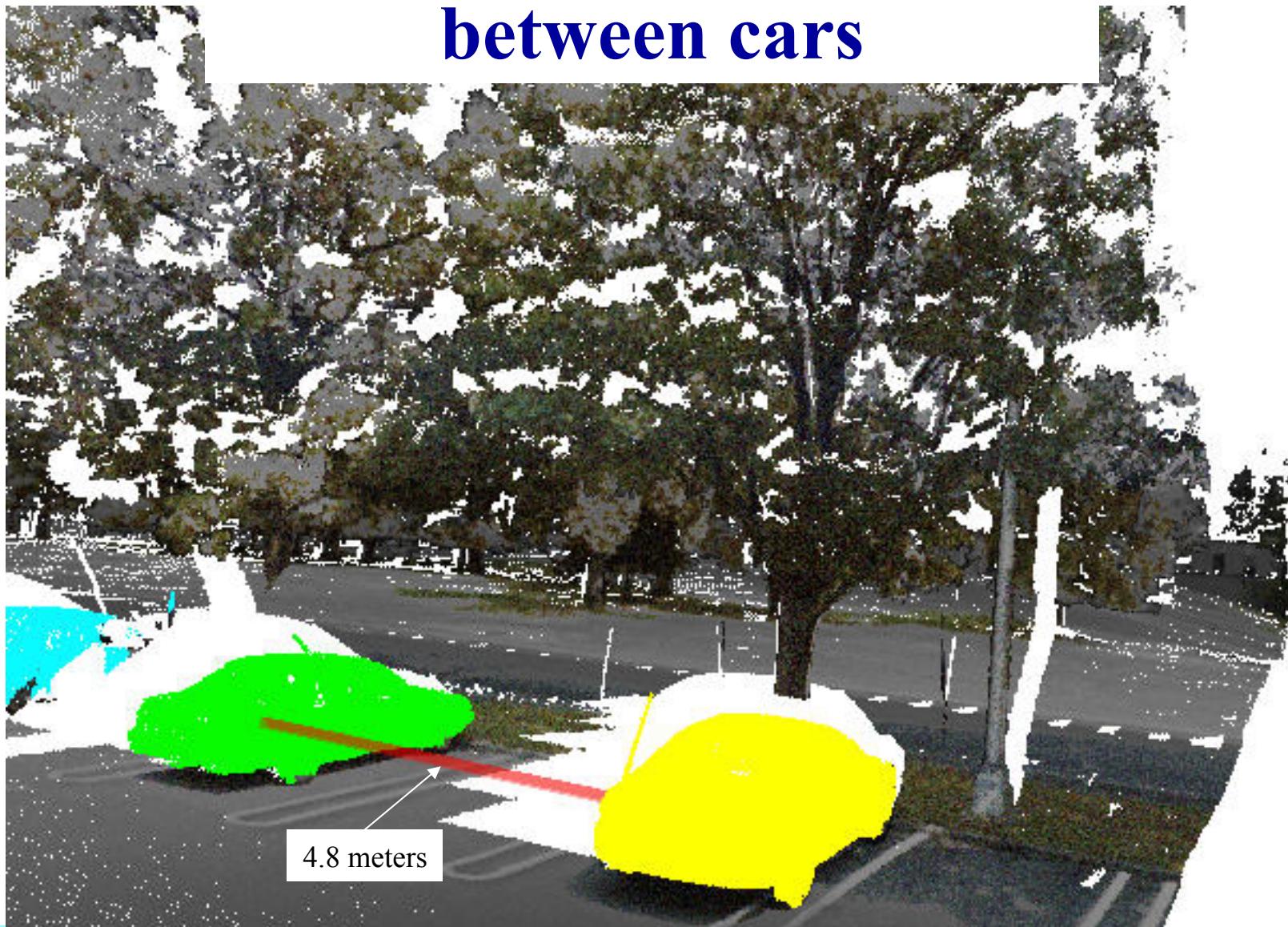


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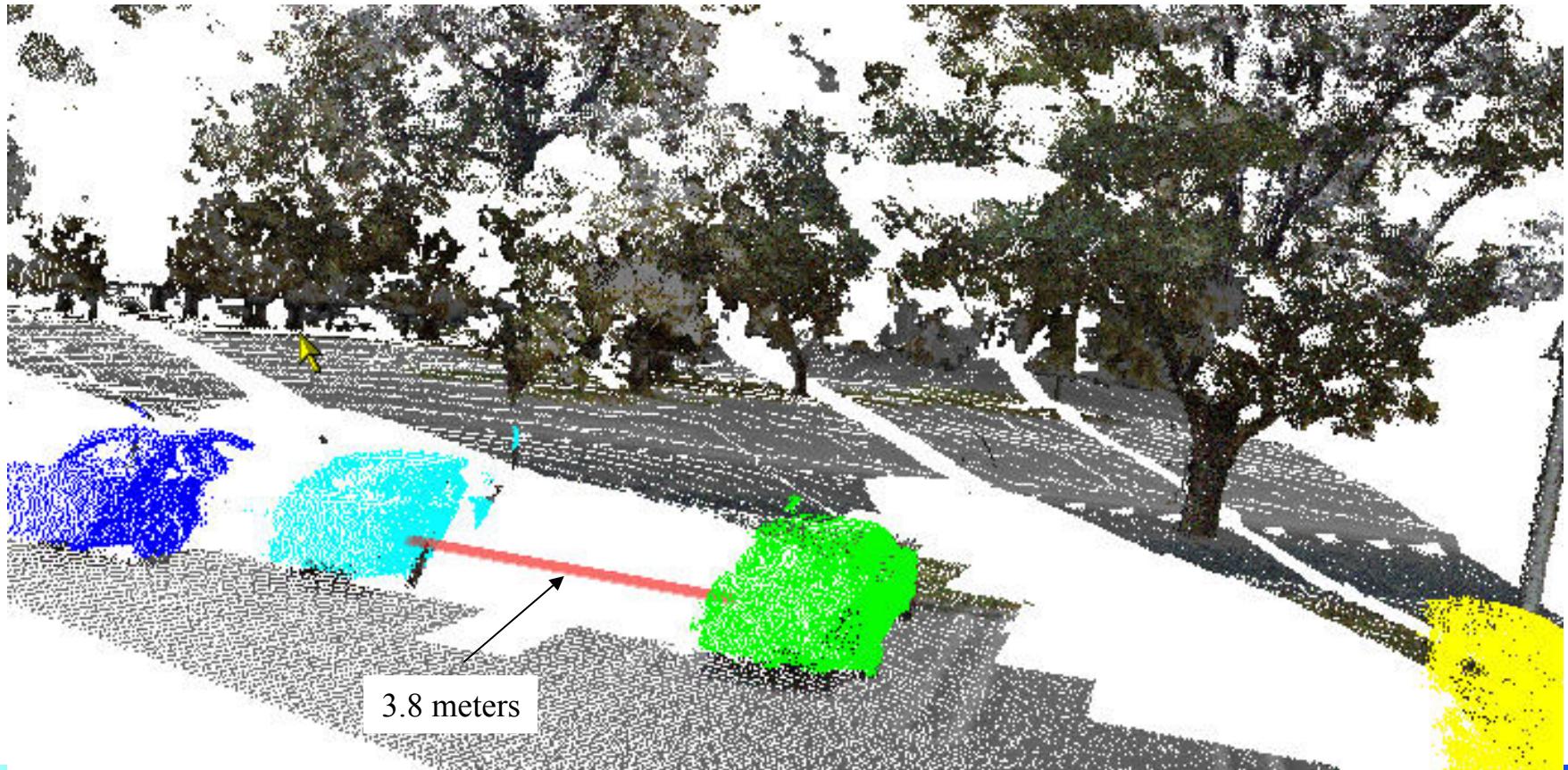
# Segmentation of Cars in parking lot



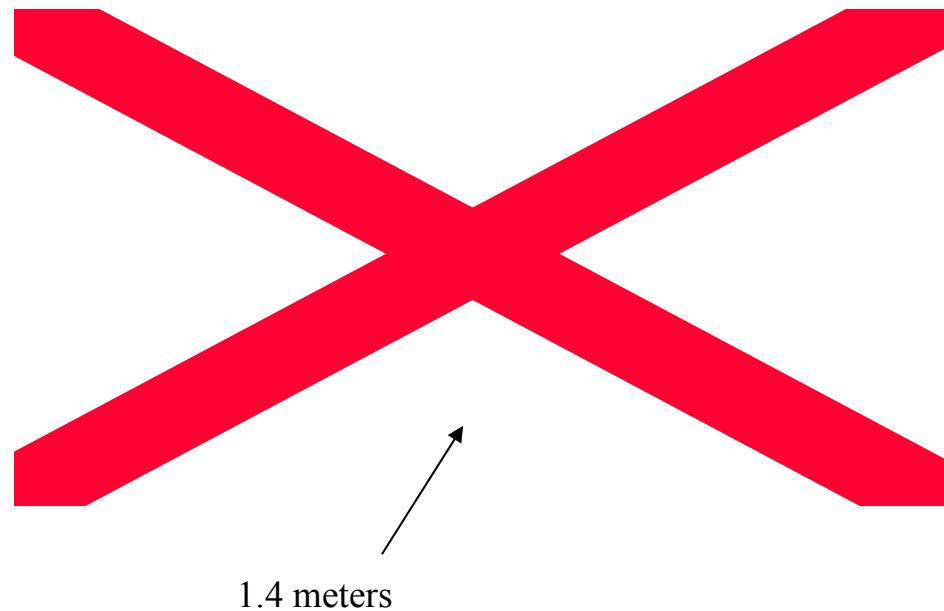
# Measured Separation between cars



# Measured Separation between second and third car

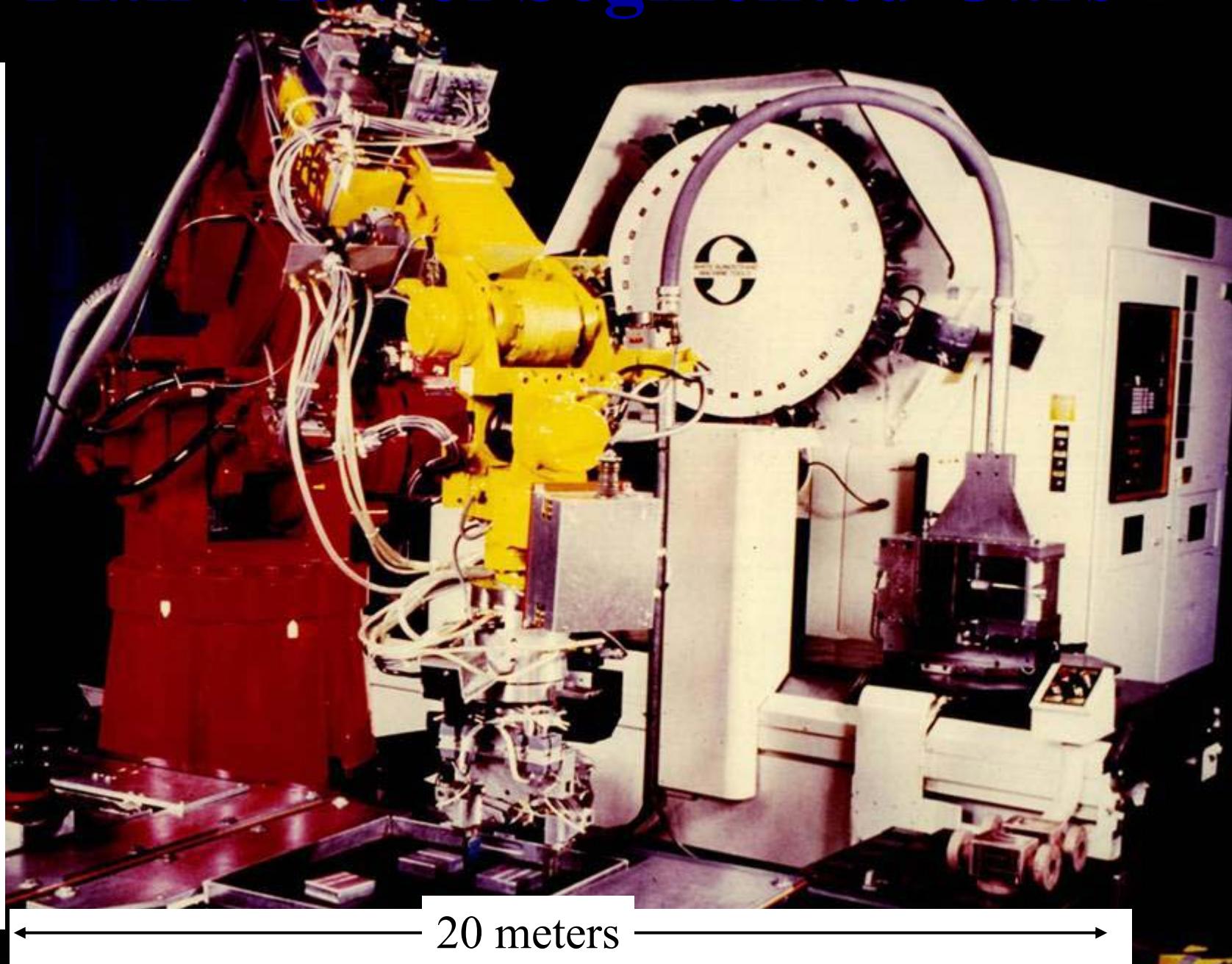


# Measured Separation between third and fourth car

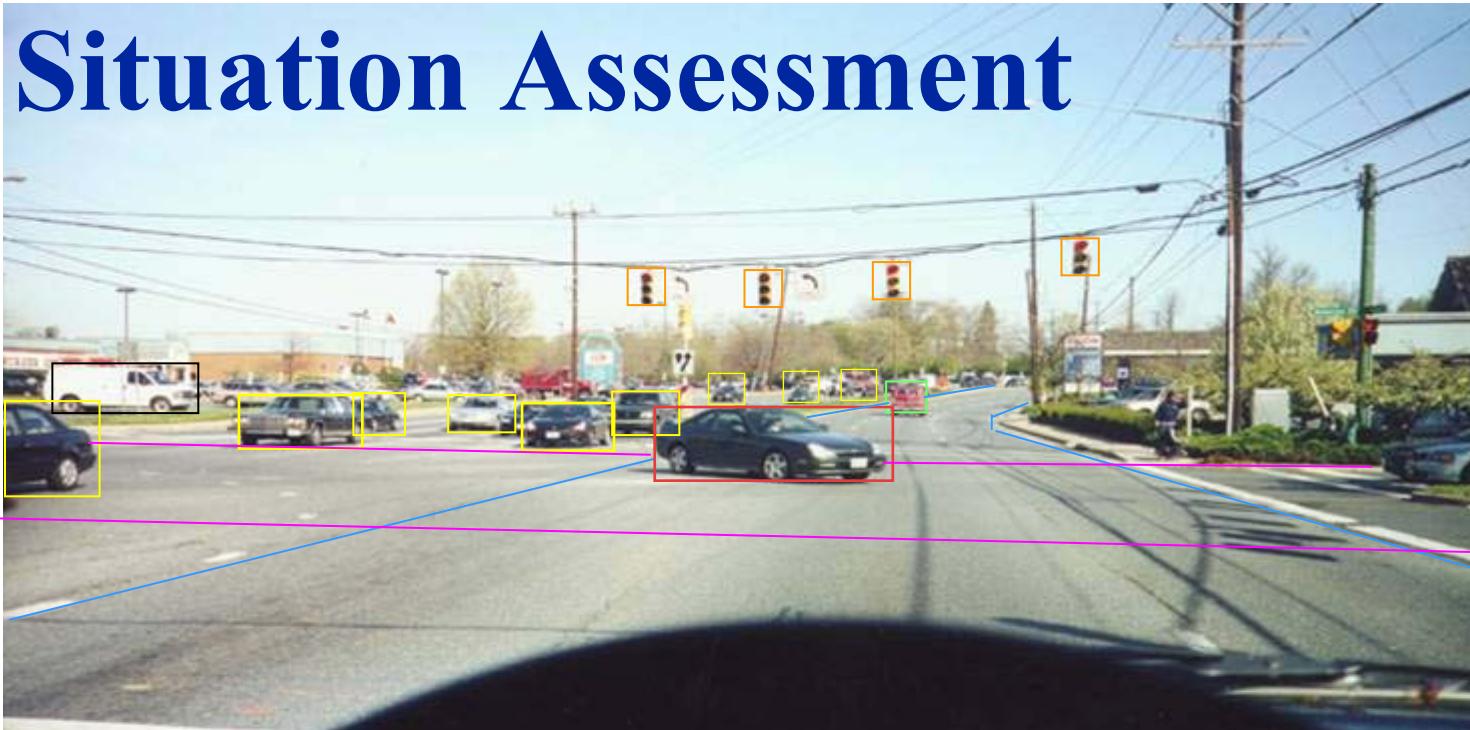


# Plan View of Segmented Cars

20 meters

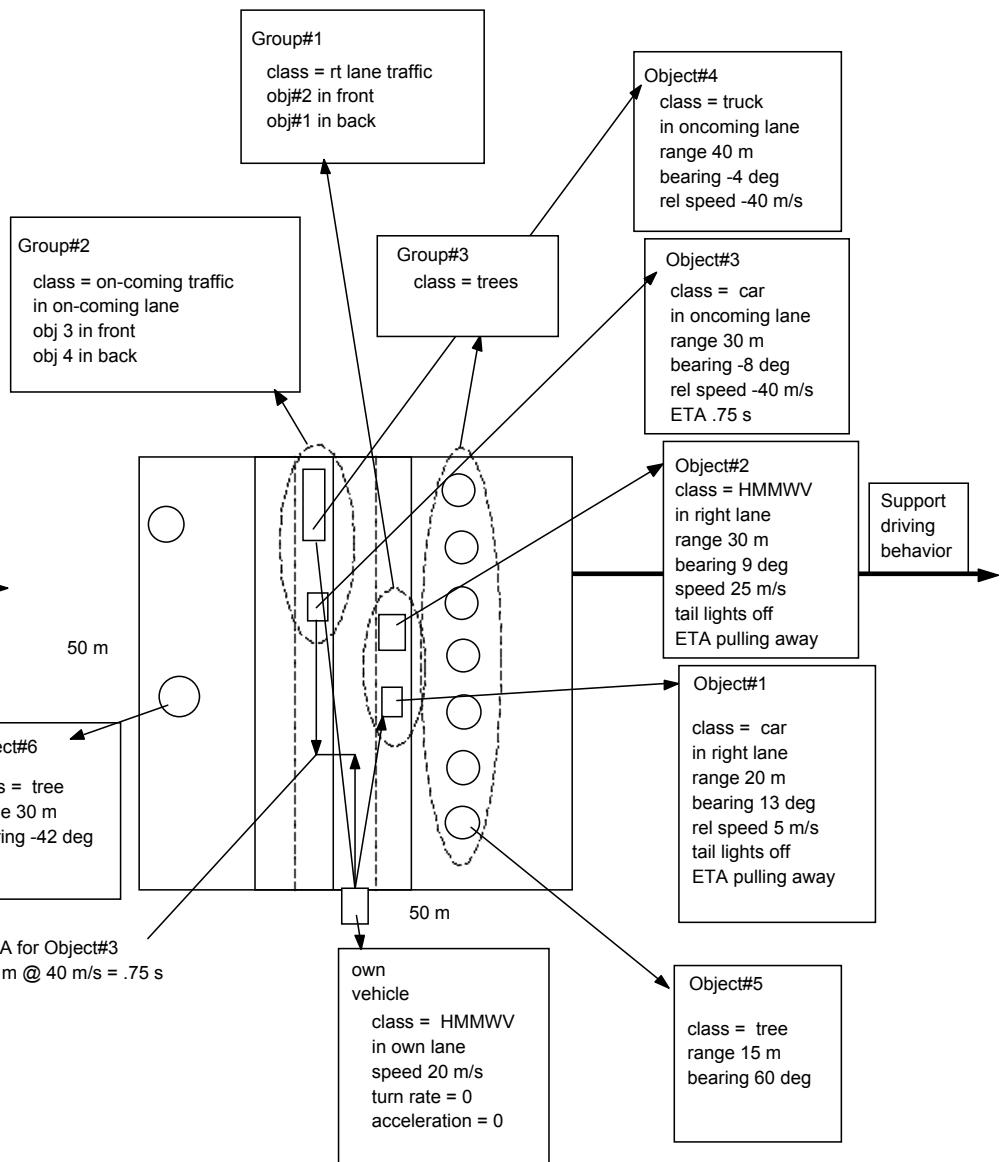
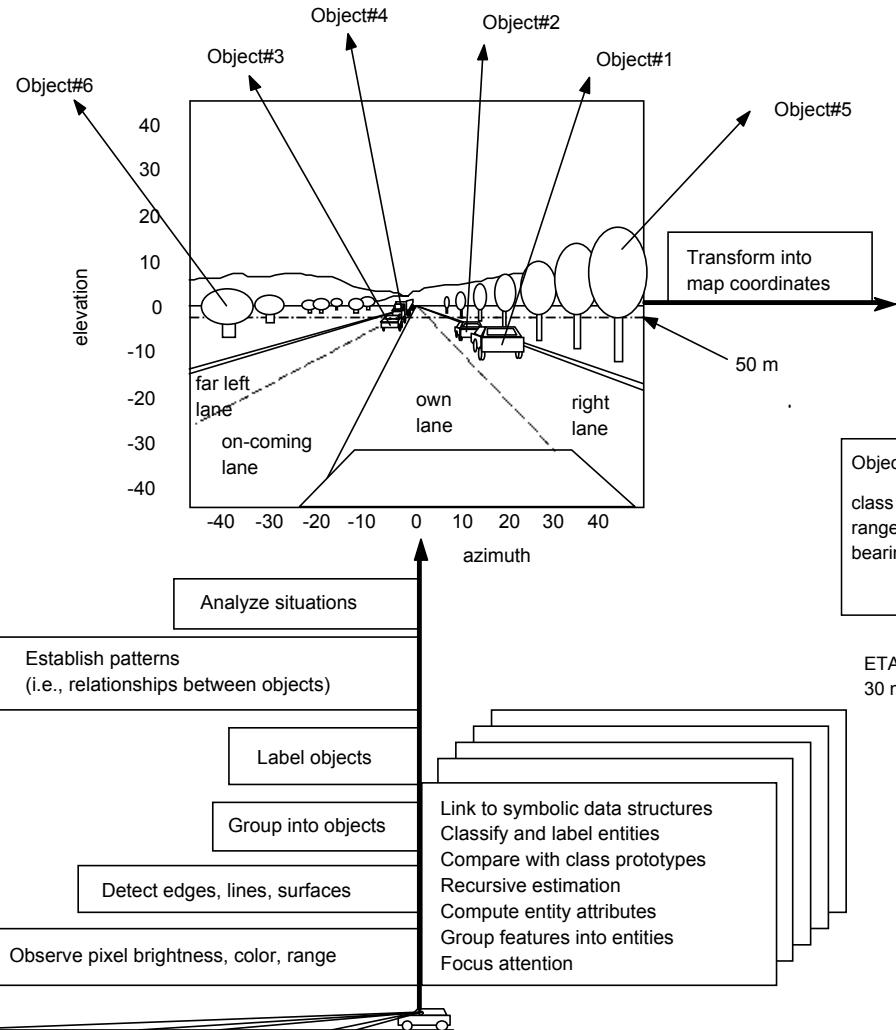


# Situation Assessment



**Car turning left (position, velocity)**  
**Oncoming cars (position, velocity)**  
**Traffic signals (stop)**  
**Truck on own road (position, velocity)**  
**Own road edges (Old Georgetown Road, heading North)**  
**Intersecting road edges (Democracy Boulevard, to West)**  
**Self in lane 2 (position, velocity) intent (go straight)**

# Model Based Perception



# New Perception of What is Possible

**Autonomous ground vehicles with  
human level performance  
are achievable within the FCS time frame**

Useable autonomous driving could be achieved by:  
2008 for convoy, leader-follower, mule  
2010 for smoke, point-man, indirect fires, scout

Near human level performance could be achieved by:  
2015 for driving (on-road and off-road)  
2020 for tactical behaviors

Performance superior to humans in all areas by 2025

# Why now?

**We understand how to deal with complexity**

Hierarchical decomposition in time and space

Multi-resolutional representations

Multiple representations

**Iconic:** Signals, Images, Maps

**Symbolic:** Entities, Events

**Relationships:** Pointers, Classes

4D/RCS architecture validated by Demo III

**We understanding how to acquire and use knowledge**

Model-based perception

Model-based behavior

**We understand how to make decisions**

Value-driven control



# Summary

**4D/RCS provides for:**

- Many sensors, a rich world model**
- High speed sensory processing**
- Deliberative and reactive behavior**
- Engineering tools and methodology**

**4D/RCS is a reference model architecture that is:**

- Open**
- Portable**
- Reliable**
- Intelligent**
- Mature**



# Conclusions

- 1. Useful autonomous on-road and off-road driving is feasible within this decade.**
- 2. Human level performance in autonomous on-road and off-road driving is feasible by 2015**
- 3. Future Combat System will provide the rational and funding to build intelligent vehicle systems**

# Conclusions

- 1. 4D/RCS shares many concepts with SOAR**
- 2. 4D/RCS is complementary to SOAR**
- 3. 4D/RCS and SOAR should collaborate on tactical behaviors for FCS**

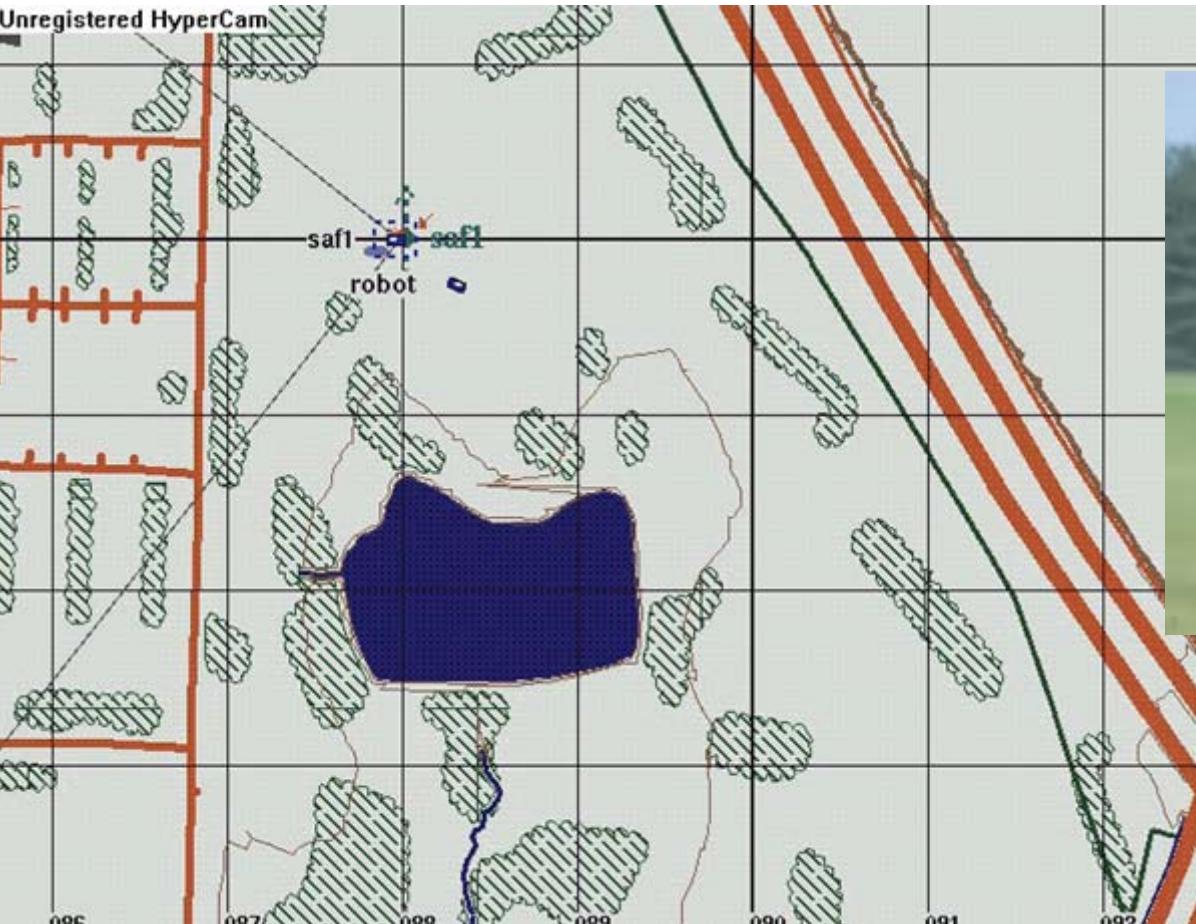


# Back Up Slides



# Real/Virtual Environment for Off-Road Driving

Steve Balakirsky



NIST HMMV in  
Real World

Follower Vehicle in OneSAF Virtual World

NIST

# Prediction of road position in the image from map data



# Job Assignor

1. Accepts task commands from an executor in a higher level BG processes, or from an operator
2. Decomposes each task into a set of jobs for subordinate BG processes
3. Transforms job into coordinate frame of reference appropriate for subordinate BG process
4. Allocates resources to subordinate BG processes

# Schedulers

- 1. Accept jobs from Job Assignor**
- 2. Decompose each job into a tentative sequence of planned actions and desired states to accomplish the assigned job**

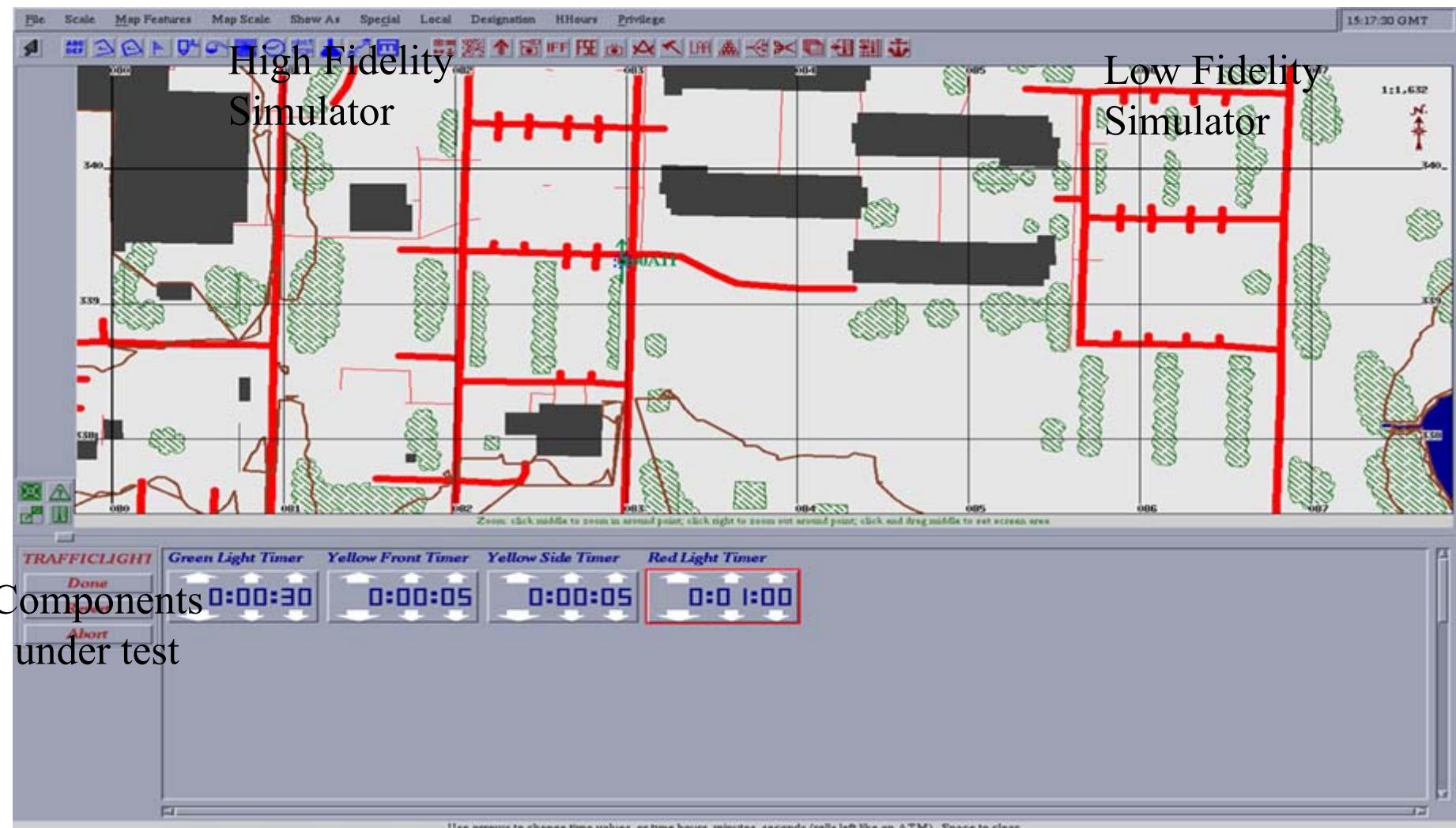
**There is a scheduler for each subordinate BG process**

**The scheduler for each subordinate BG process coordinates its job plan with other schedulers**

# Plan Selector

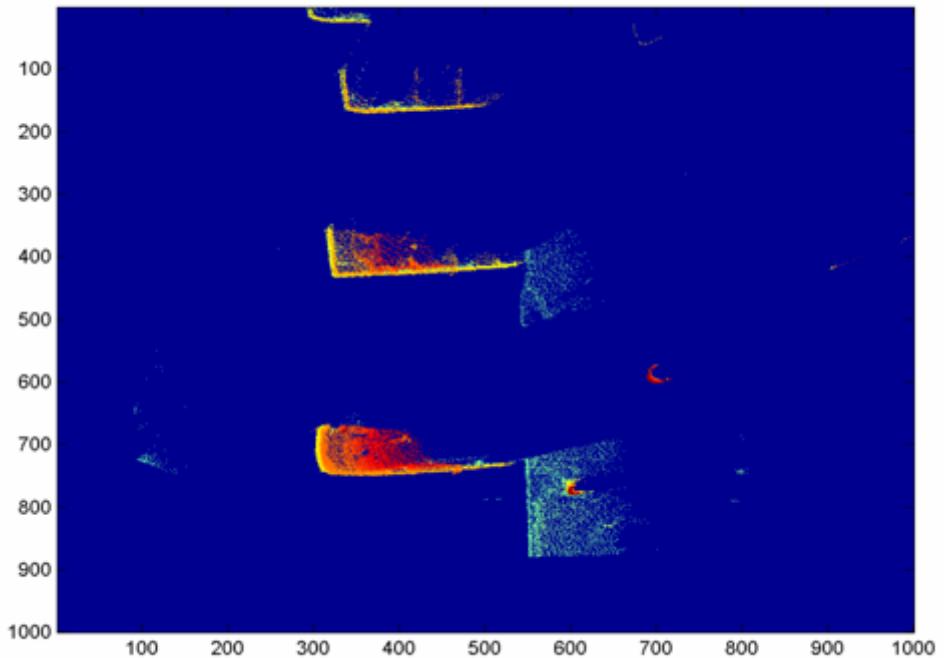
- 1. Submits tentative plans to Value Judgment for cost/benefit/risk evaluation**
- 2. Stores the tentative plan with the best evaluation to date**
- 3. At the end of each planning cycle, inserts the best job plan into a plan buffer for each Executor**

# Real/Virtual Environment Architecture



# Low-Fidelity Simulator

- Based on OTBSaf
  - Maintains all of OTBSaf's behavior generation modules (e.g. convoy)
- New simulation capabilities added:
  - Static and dynamic traffic signals
  - NML channels
    - Traffic channel
    - Entity channel
    - Terrain feature channel
    - Master clock channel  
**(under development)**
  - High-level mysql editor  
**(under development)**



# Advances Needed in LADAR

**Most LADARs developed for ATR, air reconnaissance,  
or construction site metrology**

**Need LADAR for driving on the ground  
10 frames/sec, range and color, 1 - 200 meters**

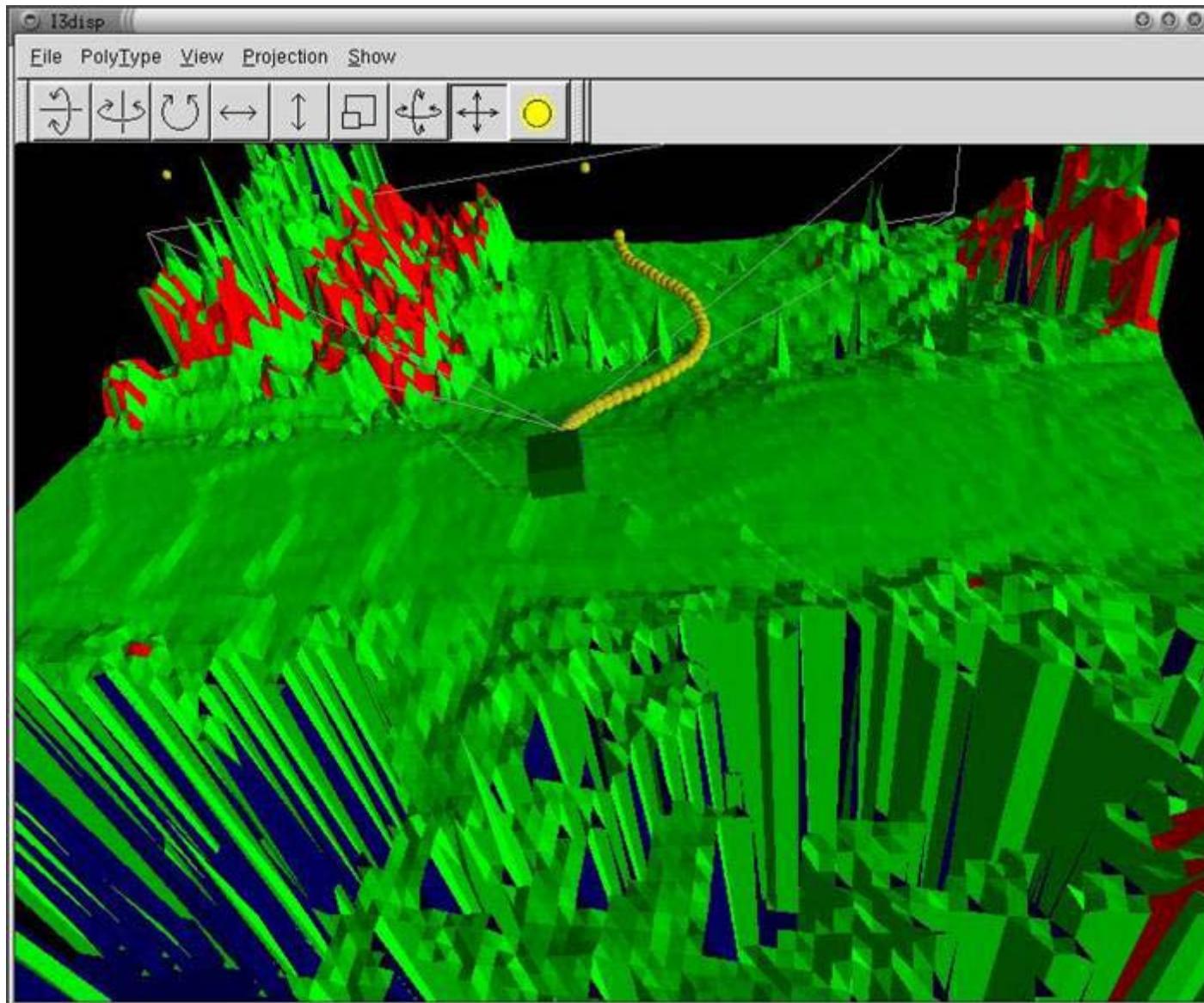
**Need foveal / peripheral / wrap-around imaging, pan / tilt, neck  
Need saccades, stabilization, tracking  
Need inexpensive, rugged systems**

**Need penetration of foliage, smoke, dust  
Need to determine load bearing properties of ground  
under tall grass, weeds, marsh, mud, snow, and water**

**BAA issued, 15 proposals evaluated, 4 funded**



# Replanning to Avoid Obstacle During Turn



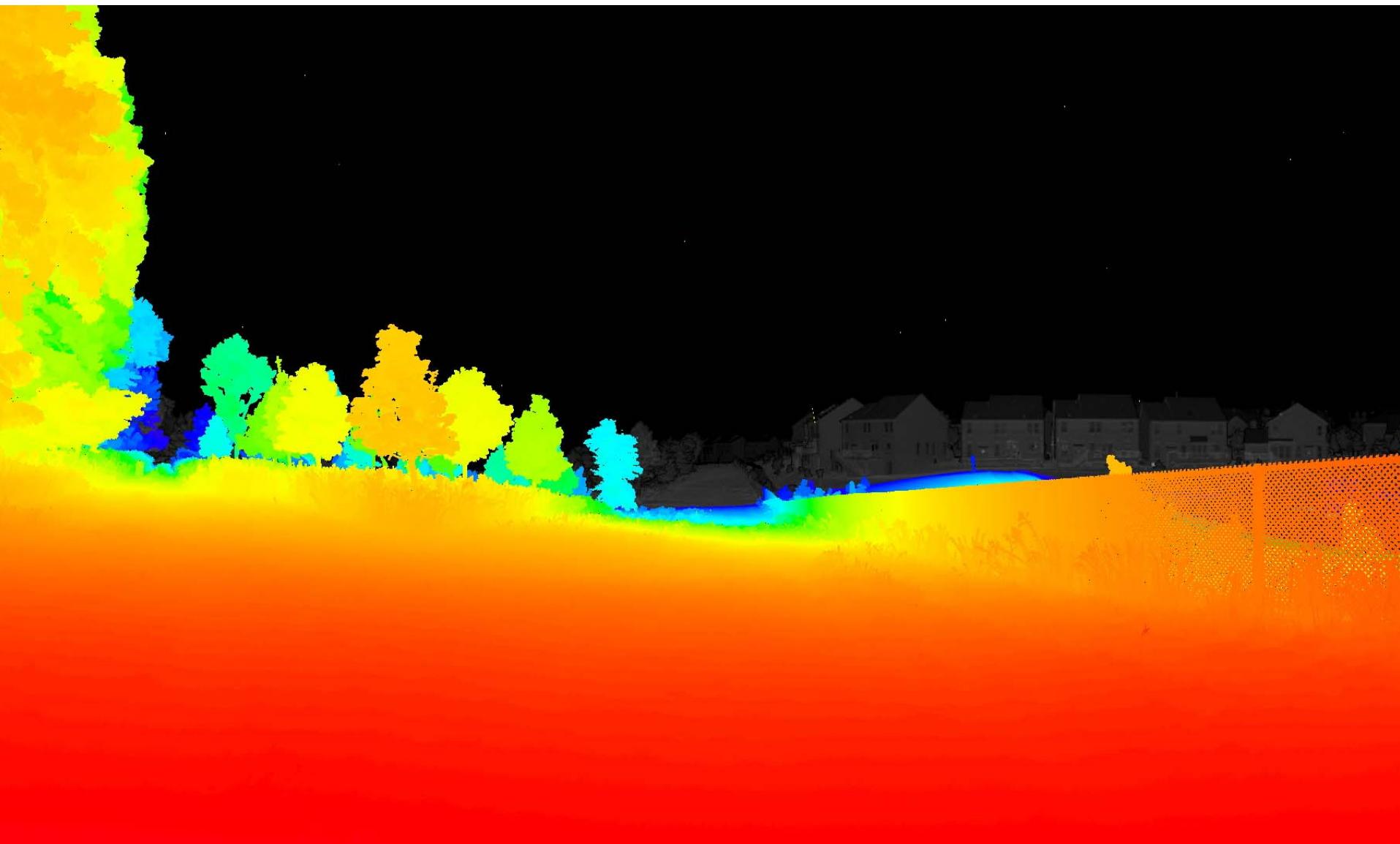
# Next Generation LADAR

## Grassy Knoll Ground Level View Color Camera Mosaic



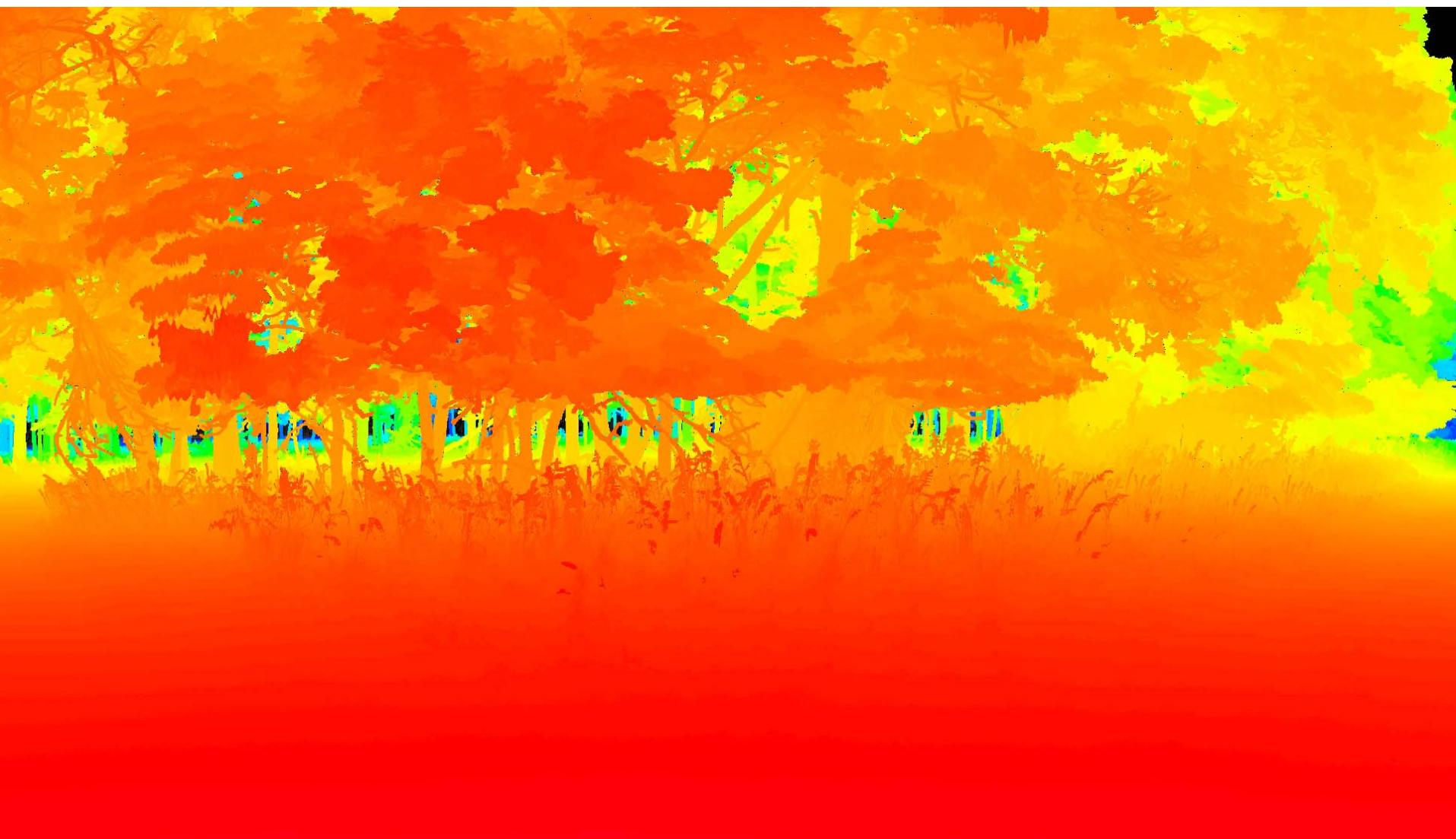
# Grassy Knoll Ground Level View

## LADAR range image



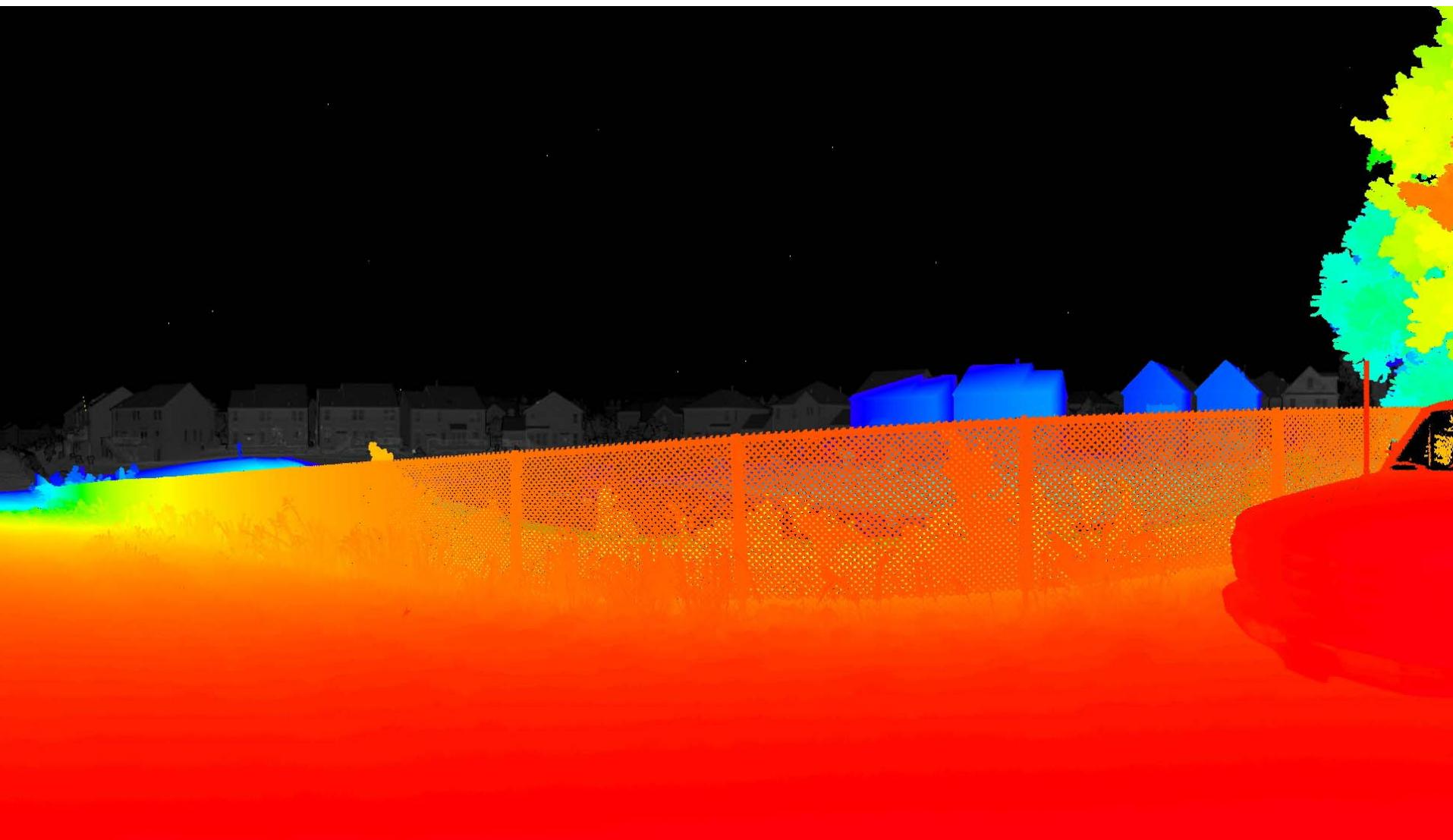
# Look Left into Woods from Grassy Knoll

## LADAR range image



# Look Right at Fence from Grassy Knoll

## LADAR range image



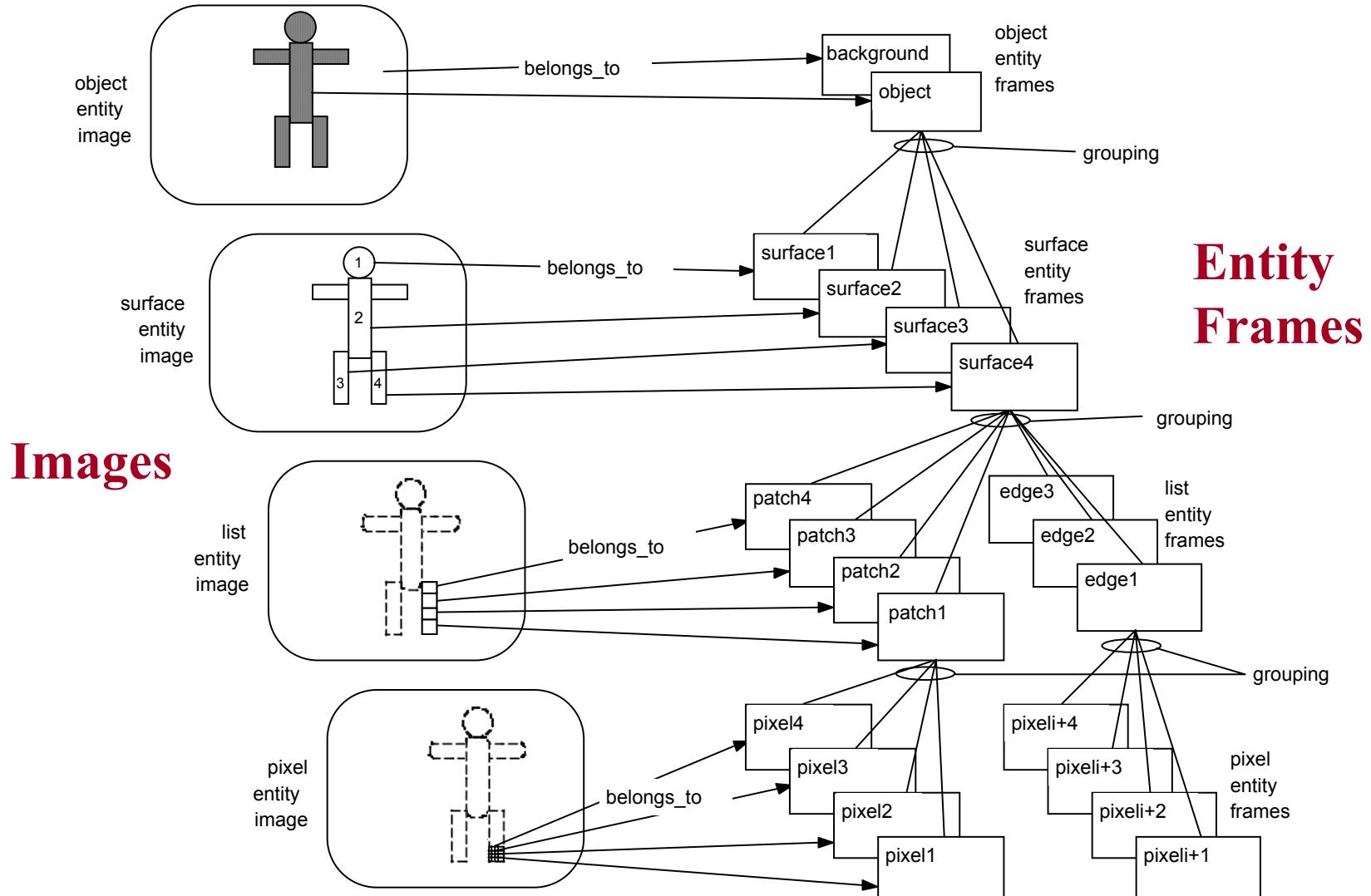
# Grassy Knoll Overhead Perspective



# Five Level SP Hierarchy

- 1) Pixel entities
- 2) List entities (edges, vertices, surface patches)
- 3) Surface entities (boundaries, surfaces)
- 4) Object entities
- 5) Group entities

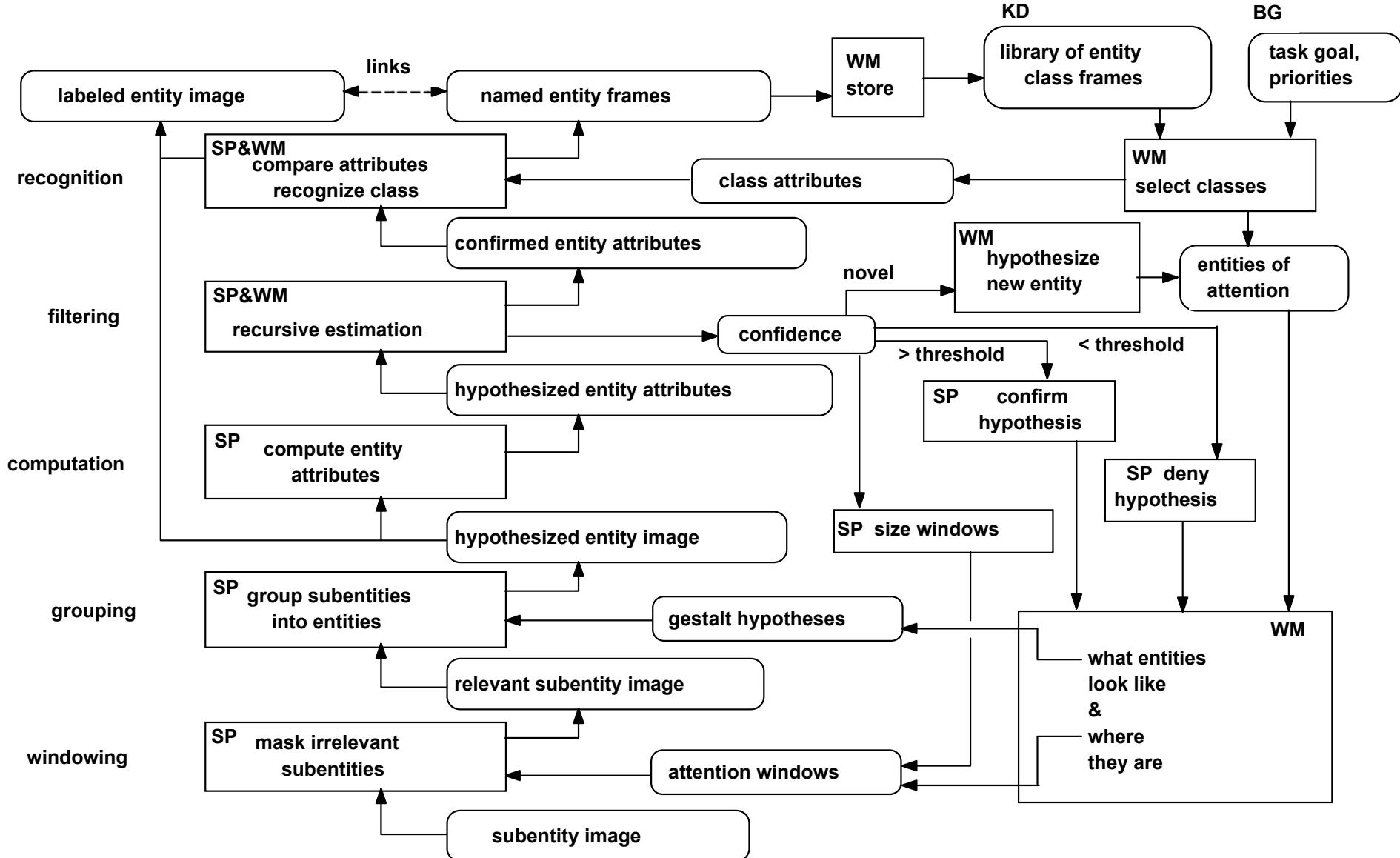
# Perception Hierarchy



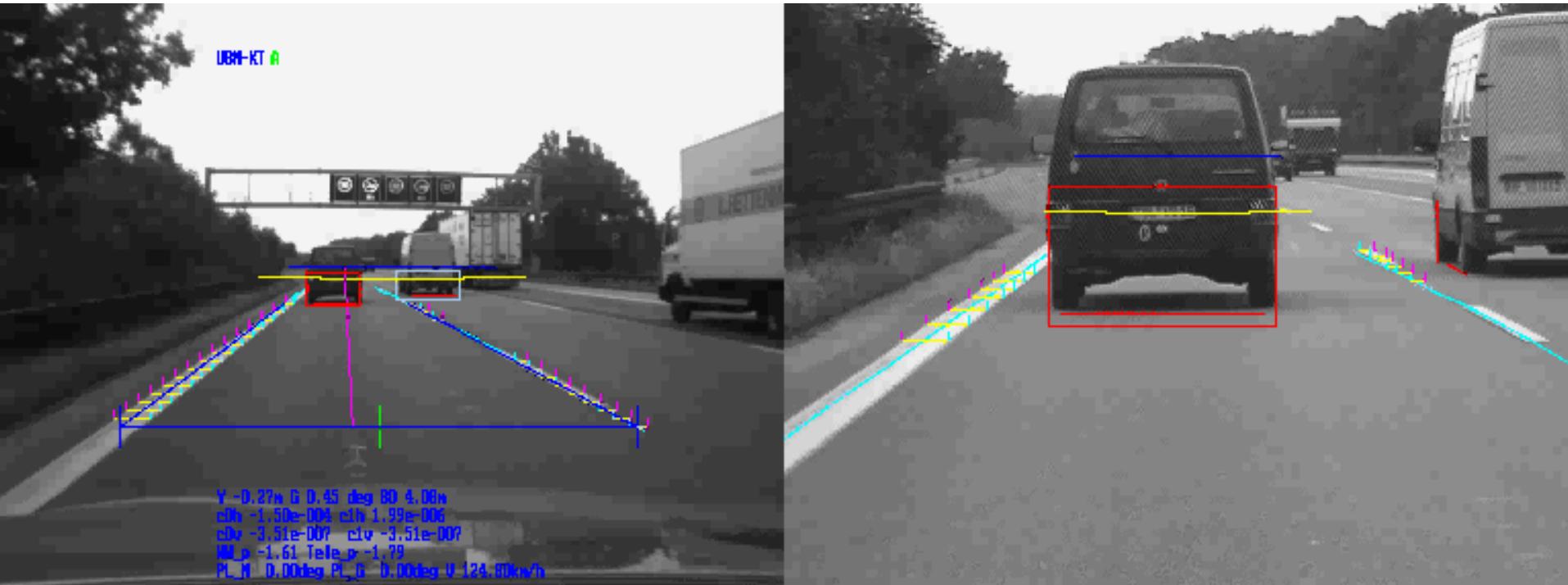
# At each level in the SP hierarchy

- 1) Focus of attention (or windowing)
- 2) Grouping / Segmentation
- 3) Compute group attributes
- 4) Filter (recursive estimation)
- 5) Classification, Recognition

# Image Processing



# The 4-D in 4D/RCS Recursive Estimation in the Image

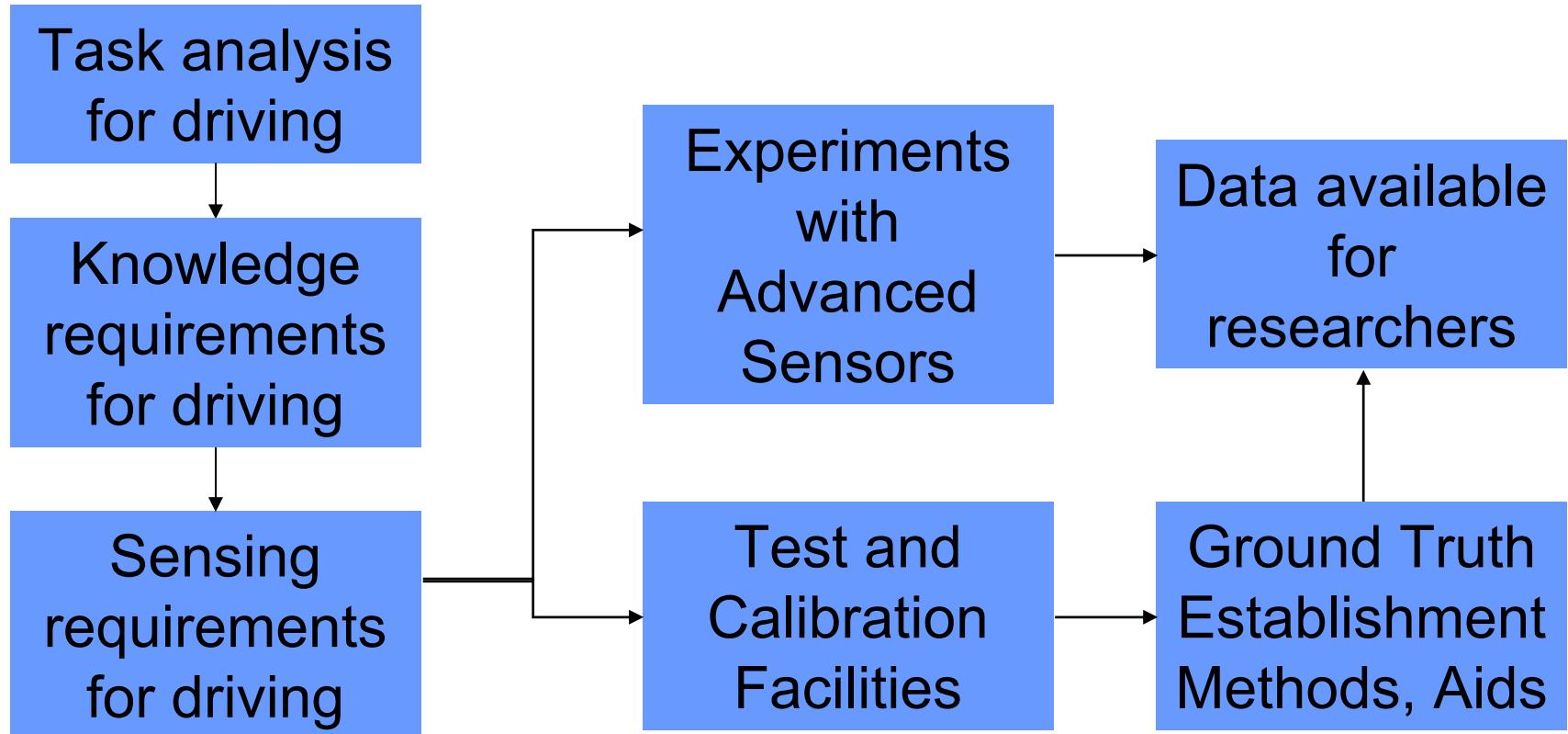


Developed at Universitat der Bundeswehr, Munich  
by Ernst Dickmanns et al

# Task Analysis for Driving

- Understanding of the problem scope and challenges grows through several investigations
  - Scoping:
    - Task analysis is going beyond behaviors
    - Define requirements for world model, knowledge base, perception, and sensing imposed by behaviors
  - e.g., perceive and plan in a world filled with moving objects
  - compare approaches for representation and planning
  - inject *a priori* data into system's world model

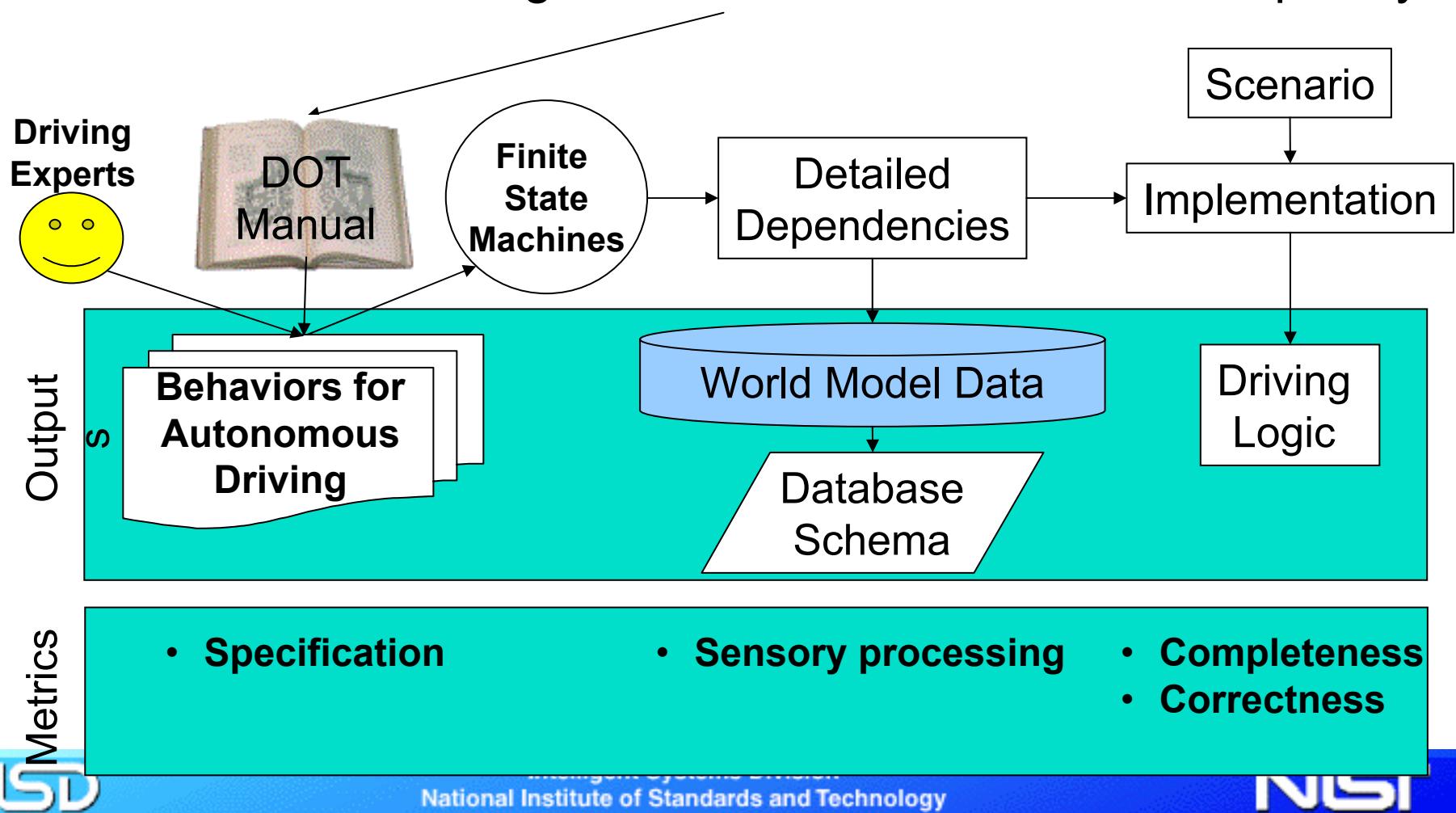
# Technology Analysis



Capabilities of advanced sensors currently being used to help establish ground truth will be available for future on-board perception systems

# Driving Task Analysis

**Goal:** Quantify the complexity of on-road autonomous driving tasks, in terms of design, execution, and resource complexity



# Driving Task Analysis

- 1) Analyze Autonomous Driving Tasks & Develop a Task Architecture**
- 2) From Task FSAs, define dependencies on World Model Situations and Value Judgments**
- 3) From World Model Situations, define Entities, Events, Attributes and their Resolutions and Tolerances**
- 4) From these World Model Data, define Requirements for Perception**

# Driving Task Analysis

**Next Steps - Provide the following Deliverables:**

- 1) Generate Task Analysis Document for Autonomous Driving**
- 2) Develop the Task Dependencies to define the required World Model Situations, Entities, Events, and Attributes along with their Resolutions and Tolerances**
- 3) Interact with other MARS contractors (PercepTek) to refine World Model Data Attributes and Schemas**
- 4) Use this Definition of World Model Data as the Requirements for Perception for Autonomous Driving**
- 5) Derive an initial Set of Performance Metrics for Perception, Representation, and Planning Algorithms**

# A Demonstration: Automated Driving to DARPA



## 1. Drive around NIST grounds

curbs, moving obstacles, two lane roads, same lane and on-coming traffic, intersections, traffic signs, pedestrians, deer

## 2. Drive to NIST-North and park

intersection with 4 lane road, traffic signals, cross traffic, find parking space

## 3. Drive to Quince Orchard Plaza and park

turn into intersection, multiple traffic signals, left turn across multiple lanes, negotiate traffic in parking lot, choice of various routes

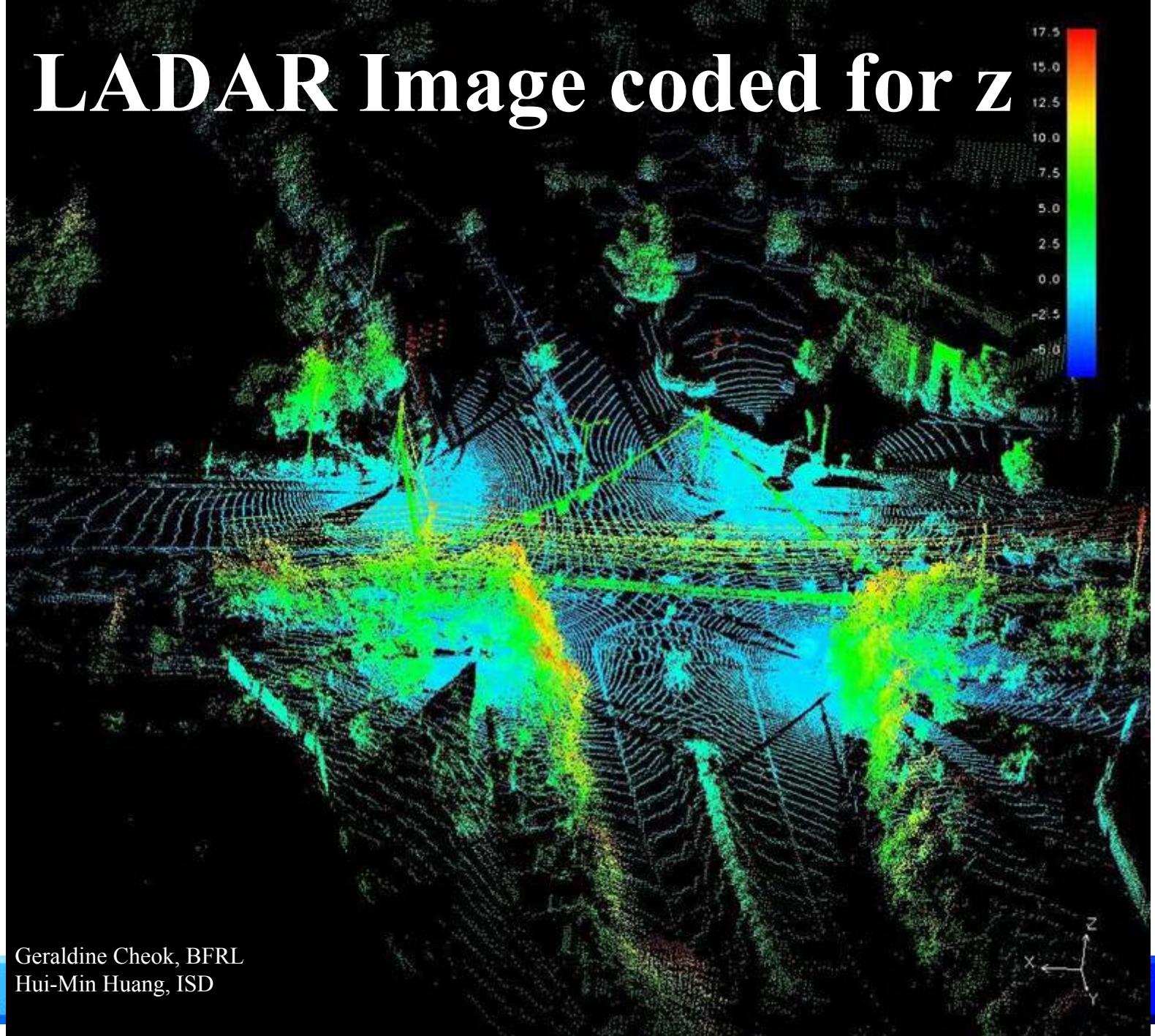
## 4. Drive to Montgomery Mall and park

enter and exit freeway, high speed traffic, merge, lane change, passing, road signs, construction barriers, parking garage

## 5. Drive to DARPA and park

multiple freeways, interchanges, city driving, dense traffic, difficult parking

# LADAR Image coded for z



Geraldine Cheok, BFRL  
Hui-Min Huang, ISD

