



US Forest Service Landscape Change Monitoring System Methods



Version: 2020.5

Mapping Areas: Conterminous United States and Southeastern Alaska

Geospatial Technology and Applications Center

125 S. State Street, Suite 7105

Salt Lake City, Utah 84138

GTAC Intranet website:

<https://apps.fs.usda.gov/gtac>

Ian Housman

Technical Lead

Senior Remote Sensing Specialist

RedCastle Resources, onsite contractor

801-975-3006, ian.housman@usda.gov

Leah Campbell

Technical Lead

Statistical Analyst and Programmer

RedCastle Resources, onsite contractor

leah.campbell@usda.gov

Wendy Goetz

Geospatial Project Manager

RedCastle Resources, onsite contractor

801-975-3841, wendy.goetz@usda.gov

Mark Finco

Senior Remote Sensing Specialist

RedCastle Resources, onsite contractor

801-975-3767, mark.finco@usda.gov

Nathan Pugh

Assistant Program Leader/Geospatial Specialist

Resource Mapping, Inventory and Monitoring (RMIM) Program

801-975-3827, nathan.pugh@usda.gov

Kevin Megown

Program Leader

Resource Mapping, Inventory and Monitoring (RMIM) Program

801-975-3826, kevin.megown@usda.gov





Non-Discrimination Policy

The U.S. Department of Agriculture (USDA) prohibits discrimination against its customers, employees, and applicants for employment on the bases of race, color, national origin, age, disability, sex, gender identity, religion, reprisal, and where applicable, political beliefs, marital status, familial or parental status, sexual orientation, or whether all or part of an individual's income is derived from any public assistance program, or protected genetic information in employment or in any program or activity conducted or funded by the Department. (Not all prohibited bases will apply to all programs and/or employment activities.)

To File an Employment Complaint

If you wish to file an employment complaint, you must contact your agency's EEO Counselor within 45 days of the date of the alleged discriminatory act, event, or personnel action. *Additional information can be found online at http://www.ascr.usda.gov/complaint_filing_file.html.

To File a Program Complaint

If you wish to file a Civil Rights program complaint of discrimination, complete the USDA Program Discrimination Complaint Form, found online at http://www.ascr.usda.gov/complaint_filing_cust.html, or at any USDA office, or call (866) 632-9992 to request the form. You may also write a letter containing all of the information requested in the form. Send your completed complaint form or letter to us by mail at U.S. Department of Agriculture, Director, Office of Adjudication, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, by fax (202) 690-7442 or email at program.intake@usda.gov.

Persons with Disabilities

Individuals who are deaf, hard of hearing or have speech disabilities and who wish to file either an EEO or program complaint please contact USDA through the Federal Relay Service at (800) 877-8339 or (800) 845-6136 (in Spanish).

Persons with disabilities who wish to file a program complaint, please see information above on how to contact us by mail directly or by email. If you require alternative means of communication for program information (e.g., Braille, large print, audiotape, etc.) please contact USDA's TARGET Center at (202) 720-2600 (voice and TDD).





Table of Contents

Executive Summary.....	4
Background	4
Methods.....	5
Computing platforms	5
Model calibration data.....	5
Model calibration data sample design.....	5
Calibration Data Collection	8
Calibration Data Finalization	12
Model predictor data	13
Remote sensing spectral data.....	13
Terrain data.....	20
Summary	21
Modeling (Supervised Classifications)	21
Predictor variable selection	22
Model validation	23
Final output creation.....	23
LCMS products	24
Useful Resources.....	25
References	26



Executive Summary

The Landscape Change Monitoring System (LCMS) is a remote sensing-based system produced by the USDA Forest Service for mapping and monitoring changes related to vegetation canopy cover, as well as land cover and land use. Data produced by LCMS extend from 1985 to the most recently completed growing year. LCMS is intended to provide a consistent monitoring method for applications including, but not limited to, post-disturbance monitoring, broad-scale vegetation cover change, land cover and land use conversion trends monitoring, and sensitive habitat monitoring.

This document details the methods employed to create all map products for LCMS version 2020.5. These methods will be revisited annually to ensure they reflect the best available science. Current methods involve utilizing Landsat and Sentinel 2 data in the Landsat-based detection of Trends in Disturbance and Recovery (LandTrendr) and Continuous Change Detection and Classification (CCDC) temporal segmentation algorithms. These data are used as predictor variables in random forest models that are calibrated using training data from TimeSync. The broad categories of LCMS products are vegetation cover change, land cover, and land use.

All LCMS products are freely available for download at:

<https://data.fs.usda.gov/geodata/rastergateway/LCMS>

The data can be interactively visualized and summarized here: <https://apps.fs.usda.gov/lcms-viewer>

Background

Our landscape is continually changing. Monitoring change in vegetation cover and conversion of land cover and land use is important for making data-driven land management decisions. The USDA Forest Service has developed the Landscape Change Monitoring System (LCMS) to consistently monitor changes in vegetation cover, land cover, and land use across the United States from 1984 to present.

The LCMS Science Team (<https://www.fs.usda.gov/rmrs/groups/landscape-change-monitoring-system-lcms-science-team>) initially developed all LCMS methods (Healey et al. 2018; Cohen et al. 2018). This team evaluated the best available science about landscape change detection methods and provided guidance for the adapted operational LCMS methods employed by the LCMS Production Team described in this document.

The Science Team and Production Team jointly re-evaluate the methods annually to ensure the mapping process is still based on the best available science. This document describes the methods used to create LCMS version 2020.5 products. The version naming convention is YYYY.v where “YYYY” denotes the most recent year mapped, and the “v” denotes the version of the methods used. We recreate all map products annually from 1985 to the most recent full growing season. Annual production ensures LCMS methods can be updated when appropriate and all maps will be produced in a consistent manner.

LCMS mapping areas include all the United States and its territories. The first operational set of outputs, included in v2020.5, covers the conterminous United States (CONUS) and southeastern Alaska (SEAK).

Future mapping efforts will extend to Hawaii and Puerto Rico. This document outlines methods used over the CONUS and SEAK study areas.

The core LCMS products are annual vegetation cover change, land cover, and land use raster maps. Vegetation cover change is broken into slow loss, fast loss, and gain. Change products are intended to address needs centered around monitoring variations in vegetation cover or water extent that may or may not result in a transition of land cover and/or land use. Land cover products can be used to meet more general land cover monitoring needs over time. Land use products can be used to monitor land use conversion patterns.

Methods

Computing platforms

LCMS utilizes Google Earth Engine (GEE) (Gorelick 2017), through an enterprise agreement between the USDA Forest Service and Google, for all remote sensing raster data acquisition and processing. GEE is a parallel computing environment that provides access to many publicly available earth observation datasets, along with common data processing methods, and computing infrastructure to process these data. While GEE's data processing methods are quite extensive, currently it cannot meet the breadth of methods available in common scientific computing platforms such as R and the Python package Scikit-Learn (Pedregosa et al. 2011). Due to these limitations, we use Scikit-Learn for sample design, model predictor variable selection, and model validation.

Model calibration data

All supervised statistical models need a set of calibration data (dependent variable or training data), and predictor variables (independent variables) to train the model. The model is then applied to the predictor data where there are no calibration data. This section will outline how LCMS calibration data locations are selected and attributed.

Model calibration data sample design

The goal of a sample design is to efficiently sample the expected variability of the dependent variable. Since LCMS maps vegetation cover change, land cover, and land use, the sample design needs to account for expected variability in each of these categories across the US.

Pilot projects we completed throughout the United States revealed that many of the classes, such as vegetation cover loss and impervious land cover, are relatively rare across the landscape. The simple random sample we initially used proved insufficient to capture an adequate proportion of these rare classes. To improve our sampling approach, we moved to a stratified random sample design following the guidance from Olofsson et al. 2014. Specifically, *"The recommended allocation of sample size to the strata defined by the map classes is to increase the sample size for the rarer classes making the sample size per stratum more equitable than what would result from proportional allocation, but not pushing to the point of equal allocation."*

Based on this guidance, the design first involves stratifying the landscape using the 2016 NLCD land cover / land use map, and LandTrendr (Kennedy et al. 2010; Kennedy et al. 2018) to identify loss areas. Final strata and their spatial extent are shown in Figure 1 for CONUS and Figure 2 for SEAK.

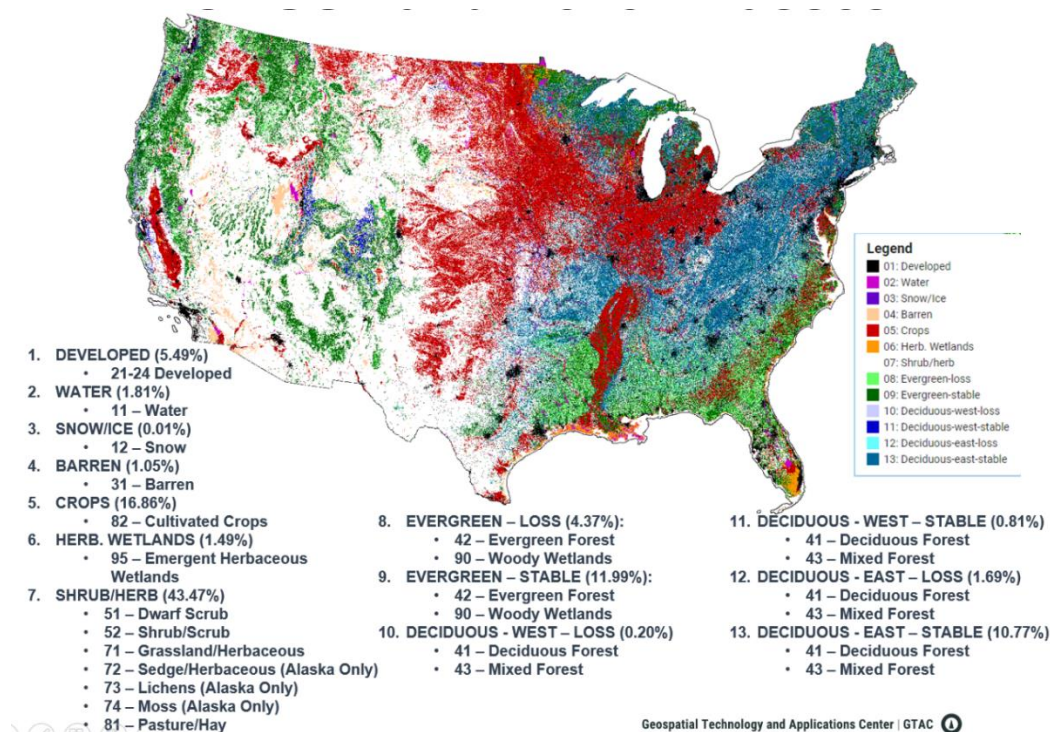


Figure 1 Map depicting strata used for the LCMS CONUS calibration/validation sample design. Final strata are listed below the map, with the percentage of total pixels represented by that stratum in parentheses and NLCD land cover classes included in that stratum listed below.

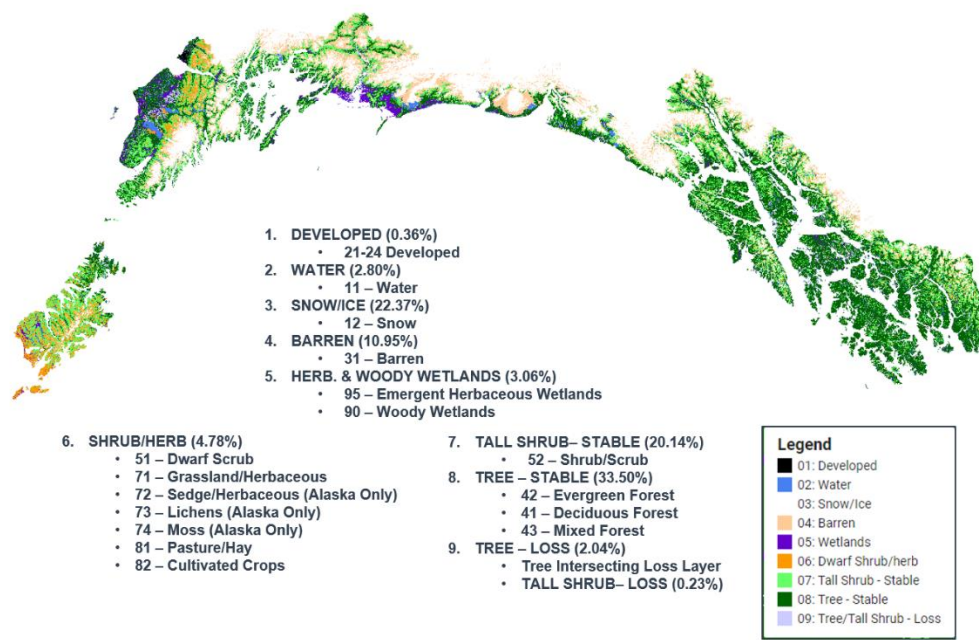


Figure 2 Map depicting strata used for the LCMS SEAK calibration/validation sample design. Final strata are listed below the map, with the percentage of total pixels represented by that stratum in parentheses and NLCD land cover classes included in that stratum listed below.

We chose the strata shown in Table 1 and Table 2 in order to adequately sample rare classes that are of specific interest to LCMS applications and/or had high model error in LCMS pilot studies. This includes tree loss, deciduous tree loss in the western US, wetlands, and developed areas. Areas such as water and snow/ice typically have low model error, and therefore we allocated fewer samples to those classes.

The final sample size is 10,010 across CONUS and 994 across SEAK. We start the final sample count with an allocation halfway between equal and proportional. We set a maximum value of 1000 and 200 for CONUS and SEAK respectively for each stratum. We then proportionally recursively allocate the remainder. Lastly, we set a fixed sample number of 30 for snow/ice and 200 (30 for SEAK) for water (because these are “easier”, less variable classes). We equally allocate the remaining samples across the three disturbance (*loss*) strata. Table 1 and Table 2 show the final sample counts by strata for CONUS and SEAK, respectively.

DRAFT

Table 1 Final sample counts by strata for the CONUS calibration sample.

Strata:	proportional	equal	equal/proportional	max 1000	set_values_applied
01: Developed	549	770	660	1000	1000
02: Water	182	770	476	715	200
03: Snow/Ice	1	770	386	386	30
04: Barren	106	770	438	578	578
05: Crops	1686	770	1228	1007	1007
06: Herb. Wetlands	149	770	460	659	659
07: Shrub/herb	4348	770	2559	1017	1017
08: Evergreen-loss	437	770	604	1000	1290
09: Evergreen-stable	1200	770	985	1000	1000
10: Deciduous-west-loss	20	770	395	419	709
11: Deciduous-west-stable	81	770	426	534	534
12: Deciduous-east-loss	170	770	470	696	986
13: Deciduous-east-stable	1077	770	924	1000	1000
TOTAL:	10000	10010	10011	10011	10010

Table 2 Final sample counts by strata for the SEAK calibration sample.

Stratum	COUNT	PERCENT	proportional	equal	equal/proportional	min/max 30/200	set_values_applied
01: Developed	670861	0.36%	4	110	57	57	30
02: Water	5165532	2.80%	28	110	69	70	30
03: Snow/Ice	41313696	22.37%	222	110	166	173	30
04: Barren	20211947	10.95%	109	110	110	113	113
05: Herb. & Woody Wetlands	5642129	3.06%	31	110	71	72	72
06: Dwarf Shrub/Herb	8818866	4.78%	48	110	79	81	81
07: Tall Shrub - Stable	37192517	20.14%	200	110	155	161	161
08: Tree - Stable	61864335	33.50%	332	110	221	200	200
09: Tree/Tall Shrub - Loss	3769700	2.04%	21	110	66	67	277
TOTAL	184649583	1	995	990	994	994	994

Calibration Data Collection

We collected model calibration data using the TimeSync attribution tool (Cohen et al. 2010). TimeSync is a web-based application that allows users to look at a time series of Landsat images, along with available high-resolution images in Google Earth Pro and other ancillary data in the Ancillary Data Viewer web application (made at GTAC), to attribute yearly land cover, land use, and change process at each training point location (Figure 3).

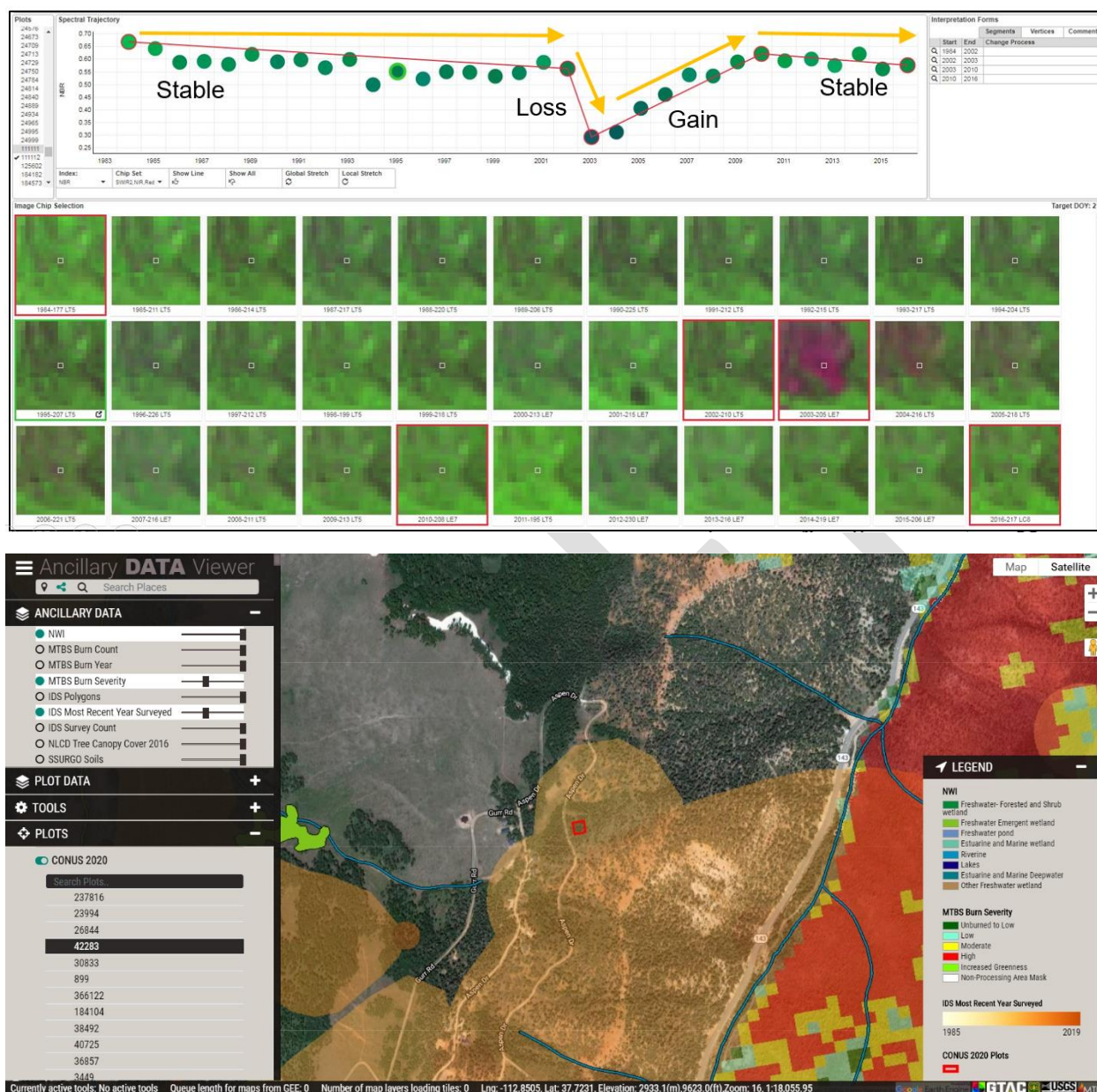


Figure 3 Example of the TimeSync tool (top) and the Ancillary Data Viewer (bottom). These tools, along with Google Earth Pro, are used in unison to attribute change process, land cover, and land use for each year for each model calibration plot

LCMS TimeSync interpretation utilizes the LCMAP/LCMS Joint Response Design. This response design provides a consistent method for attributing a common set of classes for change process, land cover, and land use (see supplementary materials in Pengra et al. 2020). The classes and their definitions are as follows:

- Change process
 1. FIRE: Land altered by fire, regardless of the cause of the ignition (natural or anthropogenic), severity, or land use.
 2. HARVEST: Forest land where trees, shrubs or other vegetation have been severed or removed by anthropogenic means. Examples include clearcutting, salvage logging after fire or insect outbreaks, thinning and other forest management prescriptions (e.g. shelterwood/seedtree harvest).
 3. MECHANICAL: Non-forest land where trees, shrubs or other vegetation has been mechanically severed or removed by chaining, scraping, brush sawing, bulldozing, or any other methods of non-forest vegetation removal.
 4. STRUCTURAL DECLINE: Land where trees or other woody vegetation is physically altered by unfavorable growing conditions brought on by non-anthropogenic or non-mechanical factors. This type of loss should generally create a trend in the spectral signal(s) (e.g. NDVI decreasing, Wetness decreasing; SWIR increasing; etc.), however the trend can be subtle. Structural decline occurs in woody vegetation environments, most likely from insects, disease, drought, acid rain, etc. Structural decline can include defoliation events that do not result in mortality such as in Gypsy moth and spruce budworm infestations which may recover within 1 or 2 years.
 5. SPECTRAL DECLINE: A plot where the spectral signal shows a trend in one or more of the spectral bands or indices (e.g. NDVI decreasing, Wetness decreasing; SWIR increasing; etc.). Examples include cases where: a) non-forest/non-woody vegetation shows a trend suggestive of decline (e.g. NDVI decreasing, Wetness decreasing; SWIR increasing; etc.), or b) where woody vegetation shows a decline trend which is not related to the loss of woody vegetation, such as when mature tree canopies close resulting in increased shadowing, when species composition changes from conifer to hardwood, or when a dry period (as opposed to stronger, more acute drought) causes an apparent decline in vigor, but no loss of woody material or leaf area.
 6. WIND/ICE: Land (regardless of use) where vegetation is altered by wind from hurricanes, tornados, storms and other severe weather events including freezing rain from ice storms.
 7. HYDROLOGY: Land where flooding has significantly altered woody cover or other land cover elements regardless of land use (e.g. new mixtures of gravel and vegetation in and around streambeds after a flood).
 8. DEBRIS: Land (regardless of use) altered by natural material movement associated with landslides, avalanches, volcanos, debris flows, etc.
 9. OTHER: Land (regardless of use) where the spectral trend or other supporting evidence suggests a disturbance or change event has occurred but the definitive cause cannot be determined or the type of change fails to meet any of the change process categories defined above.
 10. GROWTH/RECOVERY: Land exhibiting an increase in vegetation cover due to growth and succession over one or more years. Applicable to any areas that may express spectral

change associated with vegetation regrowth. In developed areas, growth can result from maturing vegetation and/or newly installed lawns and landscaping. In forests, growth includes vegetation growth from bare ground, as well as the over topping of intermediate and co-dominate trees and/or lower-lying grasses and shrubs. Growth/Recovery segments recorded following forest harvest will likely transition through different land cover classes as the forest regenerates. For these changes to be considered growth/recovery, spectral values should closely adhere to an increasing trend line (e.g. a positive slope that would, if extended to ~20 years, be on the order of .10 units of NDVI) which persists for several years.

- Land cover
 1. TREES: Live or standing dead trees.
 2. TALL SHRUBS (SEAK only): Shrubs > 1m in height.
 3. SHRUBS: Shrubs.
 4. GRASS/FORB/HERBACEOUS: Perennial grasses, forbs, or other forms of herbaceous vegetation.
 5. BARREN OR IMPERVIOUS: 1) Bare soil exposed by disturbance (e.g., soil uncovered by mechanical clearing or forest harvest), as well as perennially barren areas such as deserts, playas, rock outcroppings (including minerals and other geologic materials exposed by surface mining activities), sand dunes, salt flats, and beaches. Roads made of dirt and gravel are also considered barren or 2) man-made materials that water cannot penetrate, such as paved roads, rooftops, and parking lots.
 6. SNOW/ICE: Snow and/or ice.
 7. WATER: Water.
- Land use
 1. AGRICULTURE: Land used for the production of food, fiber and fuels which is in either a vegetated or non-vegetated state. This includes but is not limited to cultivated and uncultivated croplands, hay lands, orchards, vineyards, confined livestock operations, and areas planted for production of fruits, nuts or berries. Roads used primarily for agricultural use (i.e. not used for public transport from town to town) are considered agriculture land use.
 2. DEVELOPED: Land covered by man-made structures (e.g. high density residential, commercial, industrial, mining or transportation), or a mixture of both vegetation (including trees) and structures (e.g., low density residential, lawns, recreational facilities, cemeteries, transportation and utility corridors, etc.), including any land functionally altered by human activity.
 3. FOREST: Land that is planted or naturally vegetated and which contains (or is likely to contain) 10% or greater tree cover at some time during a near-term successional sequence. This may include deciduous, evergreen and/or mixed categories of natural forest, forest plantations, and woody wetlands.
 4. NON-FOREST WETLAND: Lands adjacent to or within a visible water table (either permanently or seasonally saturated) dominated by shrubs or persistent emergents. These wetlands may be situated shoreward of lakes, river channels, or estuaries; on river floodplains; in isolated catchments; or on slopes. They may also occur as prairie potholes, drainage ditches and stock ponds in agricultural landscapes and may also

appear as islands in the middle of lakes or rivers. Other examples also include marshes, bogs, swamps, quagmires, muskegs, sloughs, fens, and bayous.

5. OTHER: Lands which are perennially covered with snow and ice, water, salt flats and other undeclared classes. Glaciers and ice sheets or places where snow and ice obscure any other land cover call are included (assumed is the presence of permanent snow and ice). Water includes rivers, streams, canals, ponds, lakes, reservoirs, bays, or oceans. This assumes permanent water (which can be in some state of flux due to ephemeral changes brought on by climate or anthropogenic).
6. RANGELAND/PASTURE: This class includes any area that is either a.) Rangeland, where vegetation is a mix of native grasses, shrubs, forbs and grass-like plants largely arising from natural factors and processes such as rainfall, temperature, elevation and fire, although limited management may include prescribed burning as well as grazing by domestic and wild herbivores; or b.) Pasture, where vegetation may range from mixed, largely natural grasses, forbs and herbs to more managed vegetation dominated by grass species that have been seeded and managed to maintain near monoculture.

Calibration Data Finalization

Since the classes listed above can be too detailed to model with remote sensing data, we bin (cross-walk) them into larger classes appropriate for LCMS' modeling methods. Change processes are cross-walked into 3 final classes:

- Slow Loss
 - Structural decline
 - Spectral decline
- Fast Loss
 - Fire
 - Harvest
 - Mechanical
 - Wind/ice
 - Hydrology
 - Debris
 - Other
- Gain
 - Growth/recovery

Land cover requires a different cross-walking approach. All TimeSync plots have a primary land cover class that makes up the majority of the plot. Any additional land cover class that comprises 10% or more of the plot is assigned as a secondary land cover class. Since a plot may have any number of secondary land cover classes, primary/secondary combinations of interest are modeled separately. We include any primary/secondary combination that is common along typical succession, focusing on pairings with a secondary class that is higher along the successional order. The expected land cover successional order is barren --> grass/forb/herb --> shrub --> tree. With this in mind, the primary/secondary land cover combinations we model in LCMS are shown in Table 3.

Table 3 List of primary and secondary land cover classes modeled in LCMS. Successional classes are grouped and highlighted with bold font.

Primary	Secondary
Trees	NA
Tall Shrubs	Trees
Shrubs	Trees
Grass/forb/herb	Trees
Barren	Trees
Tall Shrubs	NA
Shrubs	NA
Grass/forb/herb	Shrubs
Barren	Shrubs
Grass/forb/herb	NA
Barren	Grass/forb/herb
Barren or Impervious	NA

- The snow ice, or water classes are not modeled with any secondary land cover classes since they are not likely to be part of vegetation succession. Snow or ice

We take the land use classes directly from the TimeSync plots:

- Agriculture
- Developed
- Forest
- Non-forest wetland
- Other
- Rangeland or pasture

Model predictor data

We use spectral information from Landsat and Sentinel 2 imagery and topographic information from the USGS National Elevation Dataset (NED) for modeling. Descriptions of each of these datasets are provided below.

Remote sensing spectral data

Data preparation

LCMS uses USGS Tier 1 Landsat 4, 5, 7, and 8 and Sentinel 2a and 2b level 1C top of atmosphere reflectance data. We do not use surface reflectance data because the Sentinel 2 surface reflectance data

available within GEE are terrain-corrected. This makes it difficult to utilize in unison with Landsat surface reflectance data that are not terrain-corrected.

For cloud masking Landsat data, we apply the CFmask cloud masking algorithm (Foga et al. 2017), which is an implementation of Fmask 2.0 (Zhu and Woodcock 2012), as well as the cloudScore algorithm (Chastain et al. 2019). For cloud masking Sentinel 2 data, we utilize the s2Cloudless (<https://github.com/sentinel-hub/sentinel2-cloud-detector>) algorithm. We mask cloud shadows in both Landsat and Sentinel 2 using the Temporal Dark Outlier Mask (TDOM) method (Chastain et al. 2019). All remote sensing data preparation procedures can be accessed in the GTAC GEE data processing and visualization library (<https://pypi.org/project/geeViz/>, <https://github.com/gee-community/geeViz>).

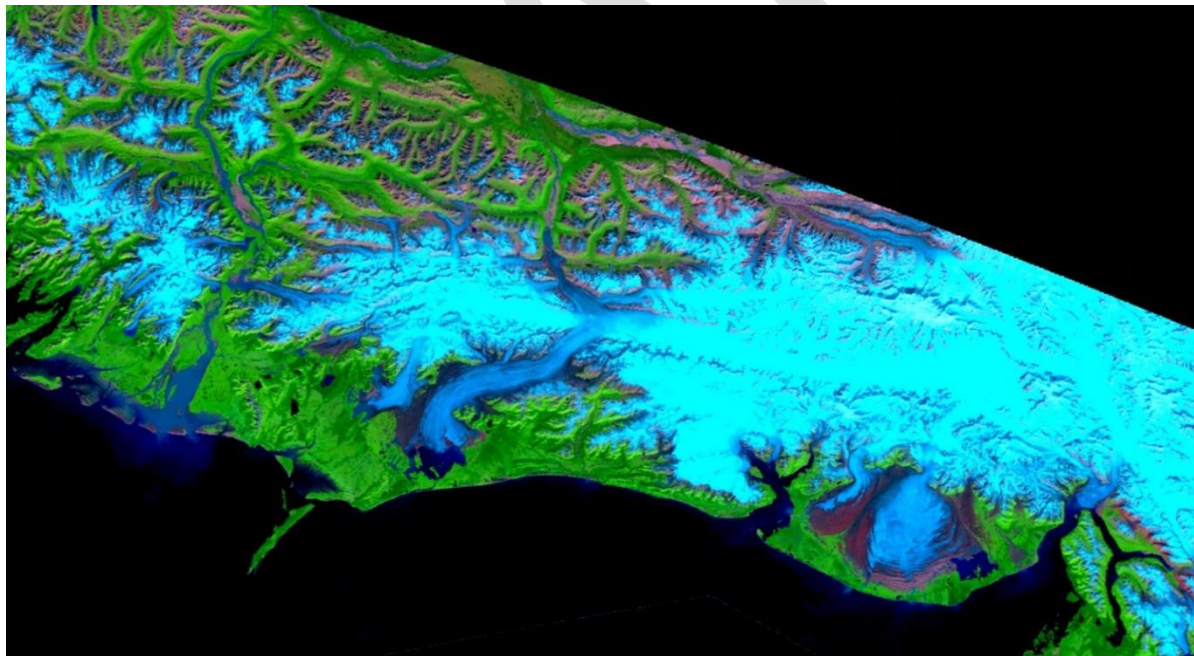
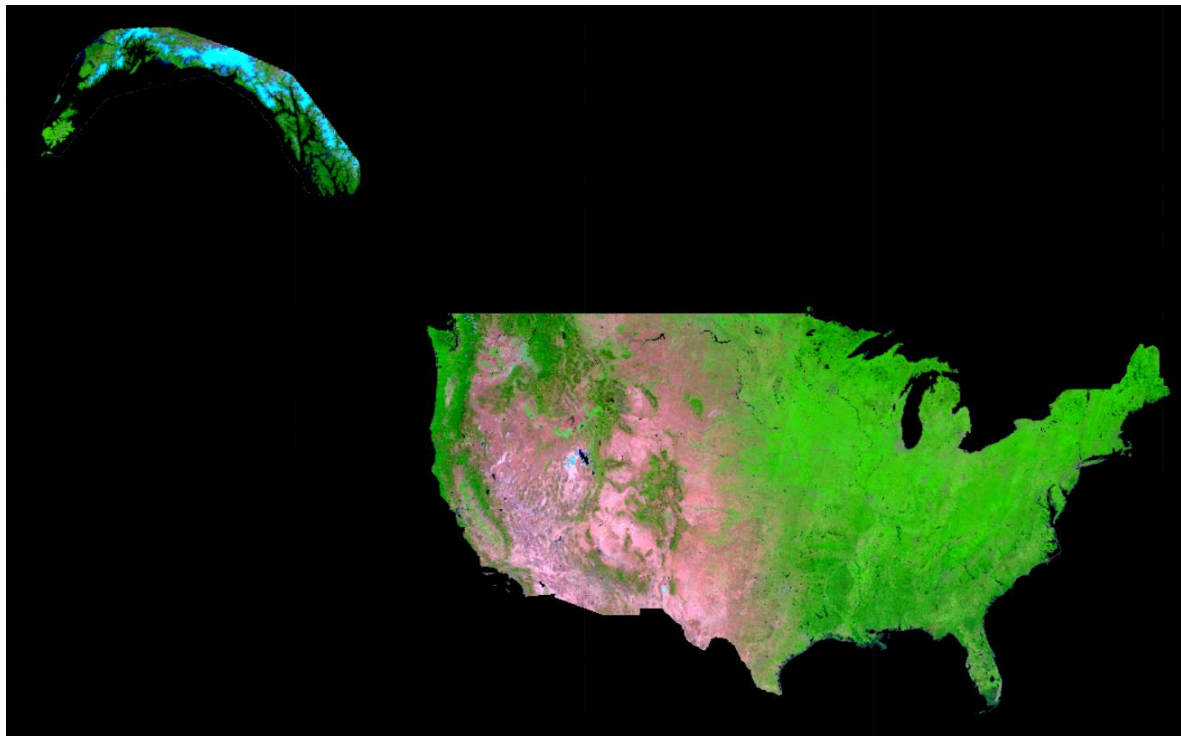
Annual compositing

LCMS utilizes cloud/cloud shadow masked data as well as annual composites of these data to meet the needs of the temporal segmentation methods. Annual composite values are the geometric medoid of all values not masked as cloud or cloud shadow from a specified date range for each year. Due to differences in data availability and seasonality, we vary the date range across different modeling regions and time (Table 4).

Table 4 Dates used for annual compositing of Landsat and Sentinel 2 data.

Study Area	Pre Sentinel 2 Start Date	Pre Sentinel 2 End Date	Post Sentinel 2 Start Date	Post Sentinel 2 End Date
CONUS	June 1	September 30	July 1	September 1
SEAK	June 15	September 15	June 15	September 15

The geometric medoid is the value that minimizes the sum of the square difference between the median value of each band's values. This ensures that the center-most value in a multi-dimensional feature space is chosen. The value from all bands is from the same observation date. The bands that we include in the feature space are green, red, NIR, SWIR1, and SWIR2. We omit blue because it is more prone to atmospheric scattering and can inappropriately influence the medoid algorithm. Any pixel that does not have a cloud or cloud shadow free value for a given year is left as NULL and excluded from any map for that year. The 2020 composite images for CONUS and SEAK are shown in Figure 4 as an example.



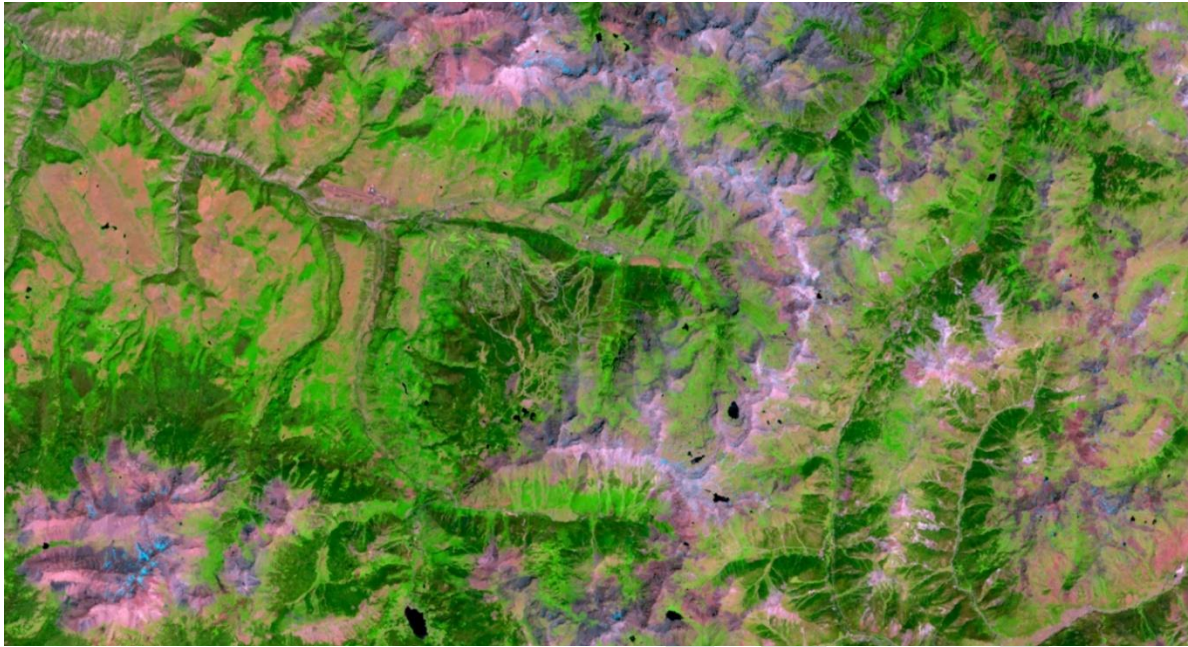


Figure 4 Example of the 2020 composites used in LCMS. The red, green, and blue channels are the SWIR2, NIR, and red bands respectively. The top image shows both SEAK and CONUS. The middle image shows a portion of coastal AK, while the bottom image shows a zoomed in view over Telluride, CO.

Temporal segmentation

The goal of temporal segmentation is to identify periods of time that likely have similar land cover and/or change processes. Since different segmentation methods have advantages and disadvantages, LCMS utilizes the ensemble approach outlined in Cohen et al. 2018 and Healey et al. 2018. Currently, the operational version of LCMS utilizes LandTrendr (Kennedy et al. 2010; Kennedy et al. 2018) and CCDC (Zhu and Woodcock 2014) to segment the prepared time series of Landsat and Sentinel 2 data. LandTrendr requires a maximum of one observation per year (i.e., an annual composite), while CCDC utilizes every available cloud and cloud shadow-free observation.

LandTrendr Methods

LandTrendr iteratively breaks the time series of annual composites and returns a set of segments. Each segment has a start and end year and a start and end fitted value at the start and end vertices respectively (Figure 5).

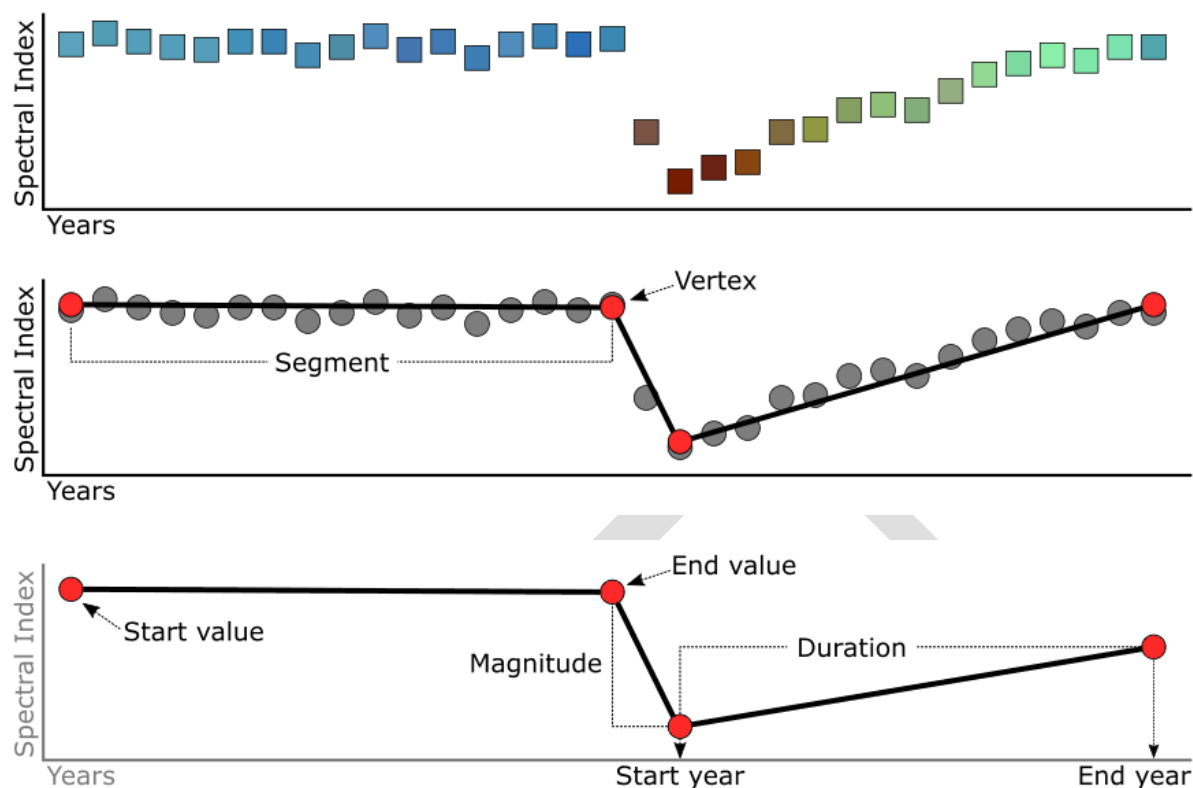


Figure 5 Illustration from <https://emapr.github.io/LT-GEE/> depicting how LandTrendr breaks a time series and the information that can be taken from the output.

From this information, we assign each band/index for each year the following values:

- Fitted value
- Difference of that year's fitted value from the fitted value of the start vertex
- Difference from the start to end fitted value of the segment that year falls in
- The duration of the segment that year falls in
- The slope of the segment that years falls in

LCMS uses the GEE version of LandTrendr outlined in Kennedy et al. 2018. The parameters that are used are the same as those in Kennedy et al. 2018 (Table 5).

Table 5 LandTrendr parameters used

Parameter Name	Value	Description
maxSegments	6	Maximum number of segments to be fitted on the time series.
spikeThreshold	0.9	Threshold for dampening the spikes (1.0 means no dampening).
vertexCountOvershoot	3	The initial model can overshoot the maxSegments + 1 vertices by this amount.

		Later, it will be pruned down to $\text{maxSegments} + 1$.
<code>preventOneYearRecovery</code>	<code>true</code>	Prevent segments that represent one year recoveries.
<code>recoveryThreshold</code>	0.25	If a segment has a recovery rate faster than $1/\text{recoveryThreshold}$ (in years), then the segment is disallowed.
<code>pvalThreshold</code>	0.05	If the p-value of the fitted model exceeds this threshold, then the current model is discarded and another one is fitted using the Levenberg-Marquardt optimizer.
<code>bestModelProportion</code>	1.25	Takes the model with most vertices that has a p-value that is at most this proportion away from the model with lowest p-value.

Further documentation of the LandTrendr method used can be found here:

<https://developers.google.com/earth-engine/apidocs/ee-algorithms-temporalsegmentation-landtrendr>

CCDC Methods

CCDC segments the time series by identifying outliers from a harmonic regression model. The idea is that different land covers and/or land uses have different seasonality signatures. A departure from the seasonality signature indicates a change (Figure 6).

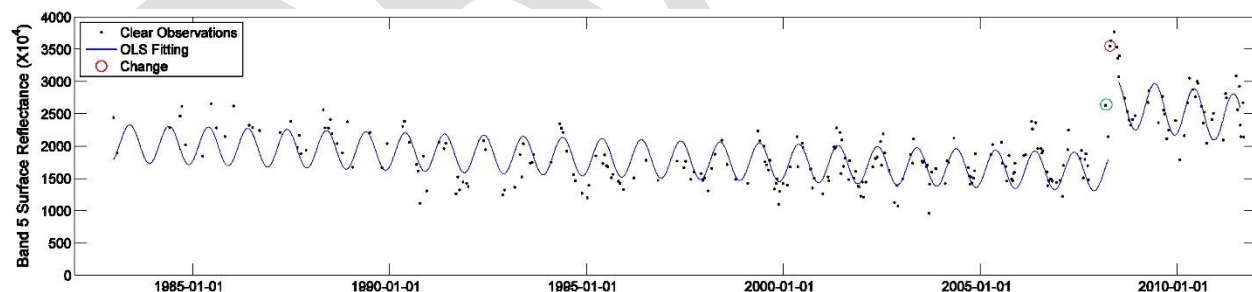


Figure 6 An example of how CCDC segments a time series of data. The clear observations for band 5 (SWIR1 for LCMS) are shown as dots, while the modeled value is shown as a blue line. Notice the dots depart from the typical values around 2008. CCDC then starts a new model following this departure when a new consistent seasonal pattern is re-established. (Source: Zhu and Woodcock 2014 figure 21)

Input data include all Landsat cloud and cloud shadow-free values. LCMS uses all cosine and sine coefficients from the first 3 harmonics (2π , 4π , and 6π) (see Zhu and Woodcock 2014) from the CCDC outputs. We do not use the slope and intercept generated by CCDC. Instead, we use the predicted value based on the harmonic model on September 1 in place of the intercept (Figure 7), and the difference

between that year and the previous year's fitted values as the slope. This allows for CCDC to work properly within the LCMS annual ensemble framework.

The GEE version of CCDC is used for LCMS. The parameters used are:

Table 6 CCDC parameters used

Parameter Name	Value	Description
breakpointBands	<code>["green", "red", "nir", "swir1", "swir2", "NDVI"]</code>	The name or index of the bands to use for change detection. If unspecified, all bands are used.
tmaskBands	<code>null</code>	The name or index of the bands to use for iterative TMask cloud detection. These are typically the green band and the SWIR2 band. If unspecified, TMask is not used. If specified, 'tmaskBands' must be included in 'breakpointBands'.
minObservations	6	The number of observations required to flag a change.
chiSquareProbability	0.99	The chi-square probability threshold for change detection in the range of [0, 1]
minNumOfYearsScaler	1.33	Factors of minimum number of years to apply new fitting.
dateFormat	1	The time representation to use during fitting: 0 = jDays, 1 = fractional years, 2 = unix time in milliseconds. The start, end and break times for each temporal segment will be encoded this way.
lambda	0.002	Lambda for LASSO regression fitting. If set to 0, regular OLS is used instead of LASSO.
maxIterations	25000	Maximum number of runs for LASSO regression convergence. If set to 0, regular OLS is used instead of LASSO.

Further documentation of the methods used can be found here:

<https://developers.google.com/earth-engine/apidocs/ee-algorithms-temporalsegmentation-ccdc>

Summary

Visualizing how the medoid composites and fitted LandTrendr and CCDC values relate can be quite difficult. Figure 7 attempts to illustrate how these relate for two example pixels. The pixel depicted in the left column shows a fire event, while the right column shows insect-related tree mortality.

The first row shows the time series of the medoid composite values. Notice how each band relates to the other during the change events. The middle row shows the normalized burn ratio (NBR) (a vegetation index related to moisture levels) fitted CCDC output, along with the annualized CCDC value from September 1 for each year. Notice how CCDC finds a break for the fire example, but shows a single long-term declining trend of NBR for the insect-related mortality. The bottom row shows the annual values of NBR from the medoid composites, LandTrendr, and CCDC. This illustrates how all three directly relate to each other. Each is different, but not necessarily right or wrong. Both LandTrendr and CCDC

reduce inter-annual noise, but identify breaks at different points in time. These are all used in the random forest model outlined below to produce final LCMS products.

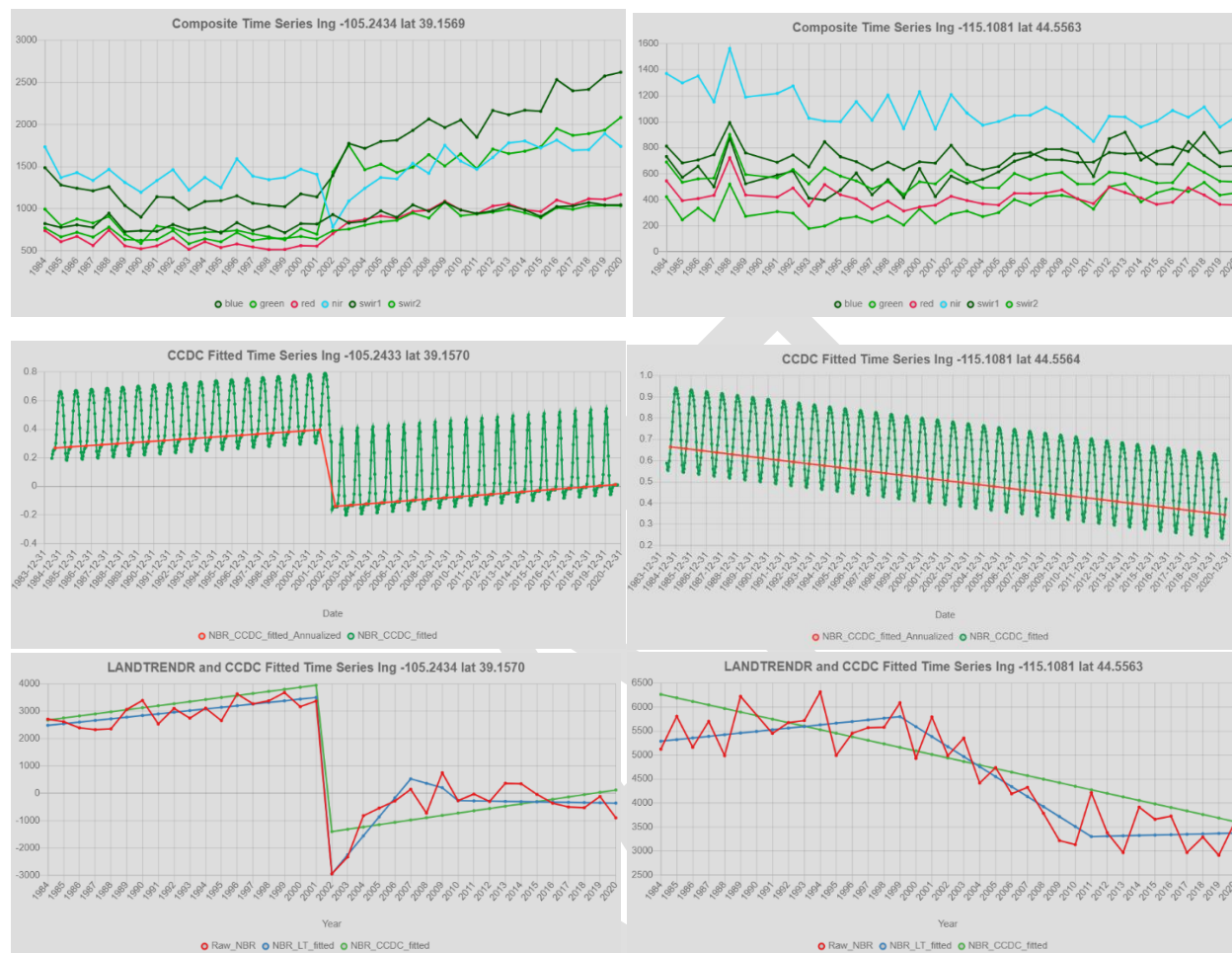


Figure 7 An example of predicted values from a pixel. The left column depicts a pixel with a fire event, while the right column depicts a pixel with insect-related tree mortality. The top row shows the raw spectral bands from the annual medoid composites. The second row shows the CCDC output for the NBR vegetation index, as well as the annualized values used in LCMS. The bottom row shows the raw NBR, LandTrendr fitted NBR, and CCDC fitted NBR on a single graph. This illustrates how these data complement each other as well as how they differ.

Terrain data

LCMS also uses terrain metrics to provide elevation, slope, aspect, and slope-position information to the model. The specific variables that are used are:

- Elevation
- Sine(Asspect)
- Cosine(Asspect)
- Slope
- Slope-position (circular kernel with 11 pixel window, 21 pixel window, and 41 pixel window) (Weiss 2001)

For CONUS, the 10m NED (Gesch et al. 2009; https://developers.google.com/earth-engine/datasets/catalog/USGS_NED) was used, and for SEAK, the 30m NED was used. All resampling was performed using cubic convolution.

Summary

All variables covered in this section are utilized in the methods outlined below. Table 7 shows a full list of all predictor variables considered for modeling.

			Composites	LANDTRENDR					CCDC							Terrain
			Raw	LANDT RENDER Fitted	LANDTR ENDR Diff	LANDT RENDER Dur	LANDT RENDER Mag	LANDT RENDER Slope	CCDC Fitted Slope	CCDC COS 1	CCDC COS 2	CCDC COS 3	CCDC SIN 1	CCDC SIN 2	CCDC SIN 3	Raw
Annual	Spectral Bands	blue	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		green	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		red	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		nir	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		swir1	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		swir2	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
	Indices	NDVI	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		NBR	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		NDMI	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		NDSI	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
	Tasseled Cap Transformation	brightness	✓	✓	✓	✓	✓	✓								
		greenness	✓	✓	✓	✓	✓	✓								
		wetness	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
		brightness / greenness angle	✓	✓	✓	✓	✓	✓								
Single Value	Terrain	Elevation														✓
		Slope														✓
		cos(Aspect)														✓
		sin(Aspect)														✓
		TPI (11 pixel)														✓
		TPI (21 pixel)														✓
		TPI (41 pixel)														✓

Table 7 List of LCMS model predictor variables. Annual values are different for each year of the analysis period, while the single value terrain variables remain constant.

Modeling (Supervised Classifications)

All supervised classifications for LCMS utilize the random forest modeling method (Breiman 2001).

Random forest randomly selects a subset of the predictor variables and training sites in many different classification and regression trees. The class from each of the trees can then be used to determine the final modeled class.

LCMS utilizes the GEE instance of random forests called “smileRandomForest” for all raster-based classification. Local processing that is utilized for variable selection and map validation uses the sklearn.ensemble.RandomForestClassifier method.

LCMS uses a separate random forest model for each of the following products:

- Change
 - Slow Loss
 - Fast Loss

- Gain
- Land cover
 - Trees
 - Tall Shrubs & Trees Mix (SEAK only)
 - Shrubs & Trees Mix
 - Grass/Forb/Herb & Trees Mix
 - Barren & Trees Mix
 - Tall Shrubs (SEAK only)
 - Shrubs
 - Grass/Forb/ & Shrubs Mix
 - Barren & Shrubs Mix
 - Grass/Forb/Herb
 - Barren & Grass/Forb/Herb Mix
 - Barren or impervious
 - Snow or ice
 - Water
- Land use
 - Agriculture
 - Developed
 - Forest
 - Non-forest wetland
 - Other
 - Rangeland or pasture

Each of these products has an annual model output that is the proportion of trees within the random forest model that chose that class. For example, if the fast loss random forest model had a total of 100 classification trees in it, and 45 of those trees chose “fast loss” and 55 chose “not fast loss” in 2005, that pixel would have a value of 0.45 in 2005. This model confidence, which can also be thought of as a probability, can have values between 0 and 1 and is available for each model for each year from 1985 to the most recent complete growing season. Figure 8 illustrates this concept in more detail.

Predictor variable selection

To reduce predictor variable co-variation and inclusion of variables that do not improve the model, we filter predictor variables in a two-step process. The first step involves filtering out any predictor pairs that have an r-squared greater than 0.95 (<https://pandas.pydata.org/pandas-docs/stable/reference/api/pandas.DataFrame.corr.html>). The variable with the lowest mean r-squared across all pairs is retained. The next step is a recursive feature elimination using a 5-fold grouped cross validation (`sklearn.feature_selection.RFECV`). We retain the variable combination with the highest accuracy for land use and land cover or highest ROC_AUC (Area Under the Receiver Operating Characteristic Curve) score for change.

Model validation

We determine an optimum model confidence threshold by assessing the precision and recall at every possible threshold (from 0-100) and selecting the threshold that maximizes both precision and recall. We then use this threshold in a stratified 5-fold cross validation following Stehman 2014 for each change, land cover, and land use model. We use the stratified random sample of 30mx30m plot locations as the sample, and group training points by plot id so that all training points from the same plot (but that occurred in different years) are always included in the same fold.

Final output creation

As explained above, each class within the change, land cover, and land use products has a model confidence score, which represents the proportion of trees within the random forest model that classified a given pixel as that class for that model. Some examples of model confidence time series from individual pixels are shown in Figure 8. For each year, the line with the highest confidence is the class that is chosen for the given LCMS product (change, land cover, and land use). For change classes, the line with the highest confidence must also have a value above that model's threshold. This is done because the "Stable" class is not modeled explicitly.

In Figure 8, the pixel time series shown in the left column has been affected by a fire, while the pixel shown in the right column depicts long-term tree mortality from insects. The first, second, and third rows show the change, land cover, and land use time series, respectively.

Beginning with the fire example, the change time series (first row, left column) shows that the *fast loss* model confidence peaks in the year of the fire (2012), to a value that exceeds the fast loss threshold of 0.29. In the years following the fire (2013-2020), the *gain* model confidence rises to levels above the gain threshold of 0.29, as one might expect with growth and recovery following a fire. Complementing the change time series, the land cover time series (second row, left column) shows that the *tree* class had a very high model confidence for each year until the fire in 2012. Following the fire, the *tree* model confidence goes down, but it remains the most confident class. This often occurs when the trees are damaged or not all burned, but the understory burns. In the following years, we see the probability of *grass/forb/herb & trees mix* increase, most likely indicating that there are live trees in this pixel with grasses becoming more and more prevalent. Since a fire generally does not indicate a land use transition, the land use *forest* model's confidence dips (third row, left column), but remains the highest.

The time series of long-term tree mortality caused by beetles (right column), is quite different. In this case, the *slow loss* model confidence is elevated for about two decades (first row, right column). While the *gain* model confidence is elevated slightly during the second decade of this trend, the *slow loss* model remains the highest. Although there was indeed slow loss at this pixel, there was no transition of land cover or land use classes (second and third rows, right column). It is important to note that many instances of loss and gain do not result in a change of land cover or land use.

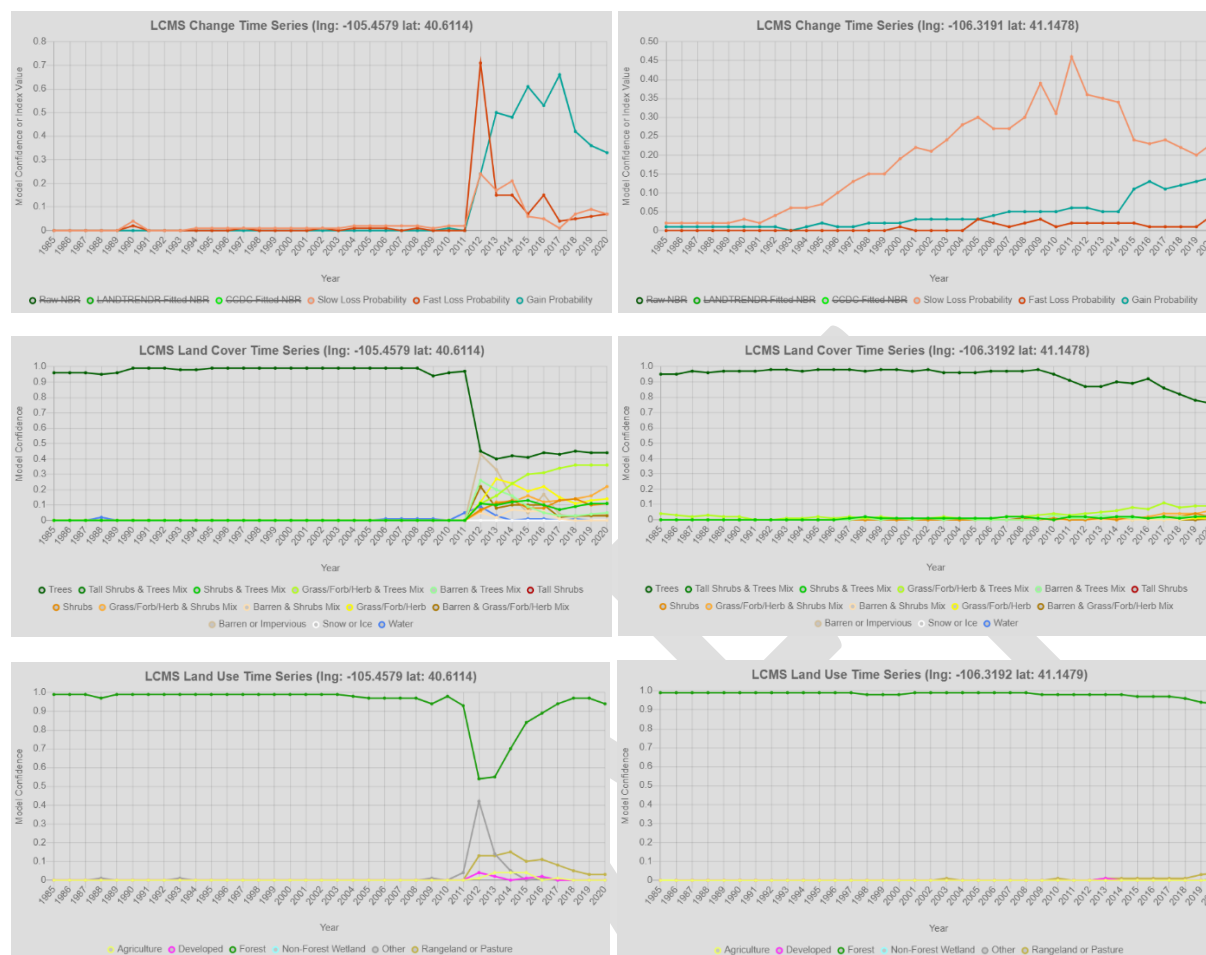


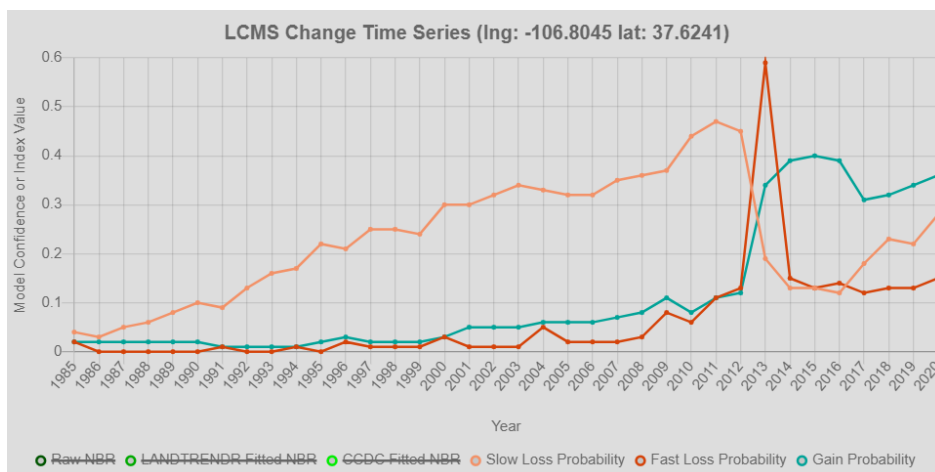
Figure 8 Time series of LCMS raw modeled probabilities for each year for a fire (left column) and tree mortality due to beetles (right column). The first, second, and third rows of this figure show the change, land cover, and land use time series, respectively. The map product assumes the class with the highest confidence for each year. Notice that it is possible to have a change event without a change in land cover or land use.

LCMS products

We package the final LCMS deliverables in two ways: annual and summarized layers. For each product (change, land cover, and land use) we assemble annual maps, as discussed above. We only provide summary products for change since only change products can easily be summarized. Beyond providing the mode for land cover and land use products, summarizing them is rather difficult.

To summarize the change layers, we use two methods: *most recent* and *most probable*. The *most recent* method chooses the year of the respective change class that occurred most recently, while the *most probable* method chooses the year of the respective change class with the highest model confidence. The former can be useful for applications that need to know the most recent year a given change class was present, while the latter is useful for applications that need to know when a given change event peaked.

For example, in the following pixel, the time series of change model confidences, or probabilities, is:



The *most recent* change years for this example are:

- Slow loss: 2012
- Fast loss: 2013
- Gain: 2020

The *most probable* change years are:

- Slow loss: 2011
- Fast loss: 2013
- Gain: 2015

Generally, the two summary methods differ most for long-term change processes such as gain and slow loss.

Useful Resources

LCMS	
Homepage	https://data.fs.usda.gov/geodata/rastergateway/LCMS
Product Description	Pilot Product Description
Data Explorer	https://apps.fs.usda.gov/lcms-viewer/
Data Download	https://data.fs.usda.gov/geodata/rastergateway/LCMS/index.php
Image Services	https://apps.fs.usda.gov/fsgisx01/rest/services/RDW_LandscapeAndWildlife
Contact	sm.fs.lcms@usda.gov

References

- Breiman, L. (2001). Machine Learning (Vol. 45, Issue 3, pp. 261–277).
<https://doi.org/10.1023/a:1017934522171>
- Chastain, R., Housman, I., Goldstein, J., Finco, M., & Tenneson, K. (2019). Empirical cross sensor comparison of Sentinel-2A and 2B MSI, Landsat-8 OLI, and Landsat-7 ETM top of atmosphere spectral characteristics over the conterminous United States. In *Remote Sensing of Environment* (Vol. 221, pp. 274–285). <https://doi.org/10.1016/j.rse.2018.11.012>
- Cohen, W. B., Yang, Z., Healey, S. P., Kennedy, R. E., & Gorelick, N. (2018). A LandTrendr multispectral ensemble for forest disturbance detection. In *Remote Sensing of Environment* (Vol. 205, pp. 131–140). <https://doi.org/10.1016/j.rse.2017.11.015>
- Cohen, W. B., Yang, Z., & Kennedy, R. (2010). Detecting trends in forest disturbance and recovery using yearly Landsat time series: 2. TimeSync — Tools for calibration and validation. In *Remote Sensing of Environment* (Vol. 114, Issue 12, pp. 2911–2924). <https://doi.org/10.1016/j.rse.2010.07.010>
- Foga, S., Scaramuzza, P.L., Guo, S., Zhu, Z., Dilley, R.D., Beckmann, T., Schmidt, G.L., Dwyer, J.L., Hughes, M.J., Laue, B. (2017). Cloud detection algorithm comparison and validation for operational Landsat data products. *Remote Sensing of Environment*, 194, 379-390.
<http://doi.org/10.1016/j.rse.2017.03.026>.
- Gesch, D., Evans, G., Mauck, J., Hutchinson, J., & Carswell, W. J. (2009). The National Map - Elevation. In *Fact Sheet*. <https://doi.org/10.3133/fs20093053>
- Gorelick, N., Hancher, M., Dixon, M., Ilyushchenko, S., Thau, D., & Moore, R. (2017). Google Earth Engine: Planetary-scale geospatial analysis for everyone. In *Remote Sensing of Environment* (Vol. 202, pp. 18–27). <https://doi.org/10.1016/j.rse.2017.06.031>
- Healey, S. P., Cohen, W. B., Yang, Z., Kenneth Brewer, C., Brooks, E. B., Gorelick, N., Hernandez, A. J., Huang, C., Joseph Hughes, M., Kennedy, R. E., Loveland, T. R., Moisen, G. G., Schroeder, T. A., Stehman, S. V., Vogelmann, J. E., Woodcock, C. E., Yang, L., & Zhu, Z. (2018). Mapping forest change using stacked generalization: An ensemble approach. In *Remote Sensing of Environment* (Vol. 204, pp. 717–728). <https://doi.org/10.1016/j.rse.2017.09.029>
- Kennedy, R. E., Yang, Z., & Cohen, W. B. (2010). Detecting trends in forest disturbance and recovery using yearly Landsat time series: 1. LandTrendr — Temporal segmentation algorithms. In *Remote Sensing of Environment* (Vol. 114, Issue 12, pp. 2897–2910).
<https://doi.org/10.1016/j.rse.2010.07.008>
- Kennedy, R., Yang, Z., Gorelick, N., Braaten, J., Cavalcante, L., Cohen, W., & Healey, S. (2018). Implementation of the LandTrendr Algorithm on Google Earth Engine. In *Remote Sensing* (Vol. 10, Issue 5, p. 691). <https://doi.org/10.3390/rs10050691>
- Olofsson, P., Foody, G. M., Herold, M., Stehman, S. V., Woodcock, C. E., & Wulder, M. A. (2014). Good practices for estimating area and assessing accuracy of land change. In *Remote Sensing of Environment* (Vol. 148, pp. 42–57). <https://doi.org/10.1016/j.rse.2014.02.015>
- Pedregosa, F., Varoquaux, G., Gramfort, A., Michel, V., Thirion, B., Grisel, O., Blondel, M., Prettenhofer, P., Weiss, R., Dubourg, V., Vanderplas, J., Passos, A., Cournapeau, D., Brucher, M., Perrot, M. and Duchesnay, E. (2011). Scikit-learn: Machine Learning in Python. In *Journal of Machine Learning Research* (Vol. 12, pp. 2825-2830).
- Pengra, B. W., Stehman, S. V., Horton, J. A., Dockter, D. J., Schroeder, T. A., Yang, Z., Cohen, W. B., Healey, S. P., & Loveland, T. R. (2020). Quality control and assessment of interpreter consistency of annual land cover reference data in an operational national monitoring program. In *Remote Sensing of Environment* (Vol. 238, p. 111261). <https://doi.org/10.1016/j.rse.2019.111261>



- Weiss, A.D. (2001). Topographic position and landforms analysis Poster Presentation, ESRI Users Conference, San Diego, CA
- Zhu, Z., & Woodcock, C. E. (2012). Object-based cloud and cloud shadow detection in Landsat imagery. In *Remote Sensing of Environment* (Vol. 118, pp. 83–94). <https://doi.org/10.1016/j.rse.2011.10.028>
- Zhu, Z., & Woodcock, C. E. (2014). Continuous change detection and classification of land cover using all available Landsat data. In *Remote Sensing of Environment* (Vol. 144, pp. 152–171). <https://doi.org/10.1016/j.rse.2014.01.011>

DRAFT

