

# Relative Lempel-Ziv Compression of Suffix Arrays

Simon J. Puglisi and Bella Zhukova

SPIRE 2020

# Problem (Pattern Matching)

Find all occurrences of a pattern  $P$  in a text  $T$

Popular solution: find the interval of the suffix array (SA) that contains them

- Binary search using SA and text, or
- Backward search on the Burrows-Wheeler Transform of  $T$  (FM-index)
- Lots of compressed versions of the SA
  - Problem then becomes: how do we decompress the interval's contents?

# Previous work decoding intervals

- r-index (Gagie et al., SODA 2018)
  - recent, very fast, very small — a huge leap forward in compressed indexing
- CDAWG: succinct acyclic word graph (Belazzougui et al., CPM 2015)
  - faster than r-index on some data
  - current implementations only work for DNA

# Our contribution

A compressed SA that is bigger than the r-index, smaller than the CDAWG,  
and much much faster in practice than both

# Our interest in the problem

Our recent algorithms for the variable-length gap pattern matching problem (SOFSEM 2020) make scans of intervals of uncompressed SA

How to compress a SA so that decompression of random intervals would be fast?

# Core idea

$SA$					30	25	20	15	10	5											29	24	19	14	9						
------	--	--	--	--	----	----	----	----	----	---	--	--	--	--	--	--	--	--	--	--	----	----	----	----	---	--	--	--	--	--	--

repetitions that are off by 1 (Mäkinen, CPM 2000)

differences will turn into actual repetitions (González, Navarro, CPM 2007)

# Overview

Compression:

1. form differentially encoded  $SA^{diff}$  from  $SA$
2. form reference  $R$  by selecting substrings from  $SA^{diff}$
3. use Relative Lempel-Ziv (RLZ) to parse  $SA^{diff}$  relative to  $R$
4. output reference  $R$  plus set of phrases (pointers into  $R$ )

Decompression requires:

1. predecessor data structure containing phrase starting positions (in order to find the phrase covering the start of an interval)
2. absolute  $SA$  value for a starting position of the phrase





# Example

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
$T$	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	\$
$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2

$x'$ 
 $x$

$SA[x, y]$  preceded by symbol  $c \Rightarrow$

$\exists SA[x', x' + (y - x)] :$

$$\forall i \in [0, y - x] \quad SA[x + i] = SA[x' + i] + 1$$

(González and Navarro, CPM 2007)

# Example

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
$T$	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	\$
$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27

$$i \in [1, n - 1] \quad SA^{diff}[i] = SA[i] - SA[i - 1] + n$$

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
-------------	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
-------------	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

phrases

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

$P \qquad S$



# Example

[illegible]

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$

31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

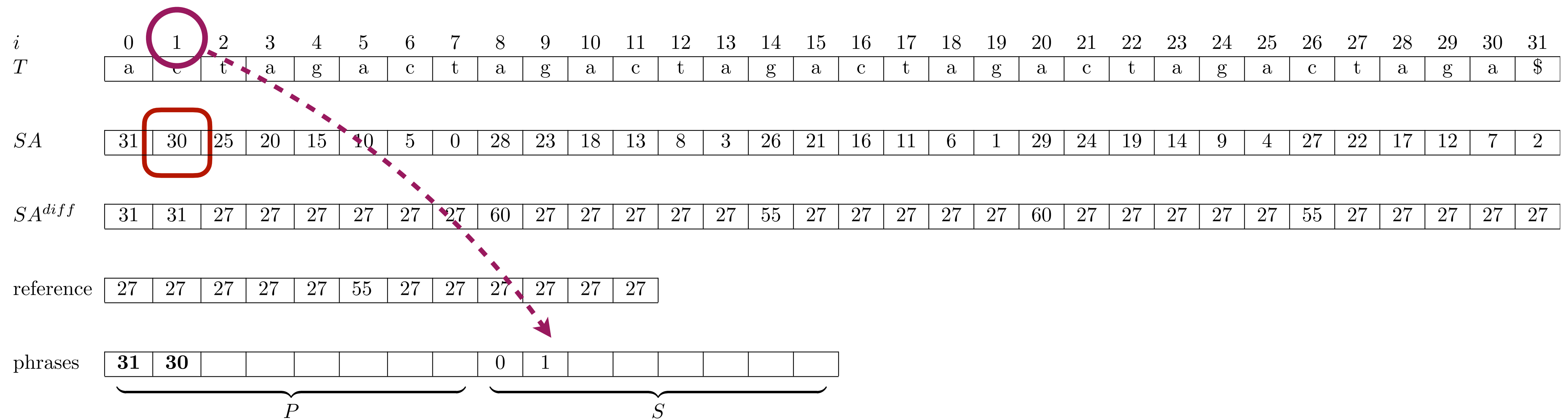
reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

phrases

<b>31</b>								0							
-----------	--	--	--	--	--	--	--	---	--	--	--	--	--	--	--

$\underbrace{\hspace{10em}}_P$   $\underbrace{\hspace{10em}}_S$

# Example





# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$

31	31	27	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

A diagram illustrating a phrase table. It consists of a horizontal row of 14 cells. The first two cells contain the bold text "31" and "30". The next six cells are empty. The next two cells contain "0" and "1". The final four cells are empty. Below the first eight cells (from "31" to the first empty cell after "1") is a horizontal curly brace labeled  $P$ . Below the next six cells (from the second empty cell after "1" to the last empty cell) is a horizontal curly brace labeled  $S$ . The word "phrases" is written to the left of the first cell.

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$

31	31	27	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference

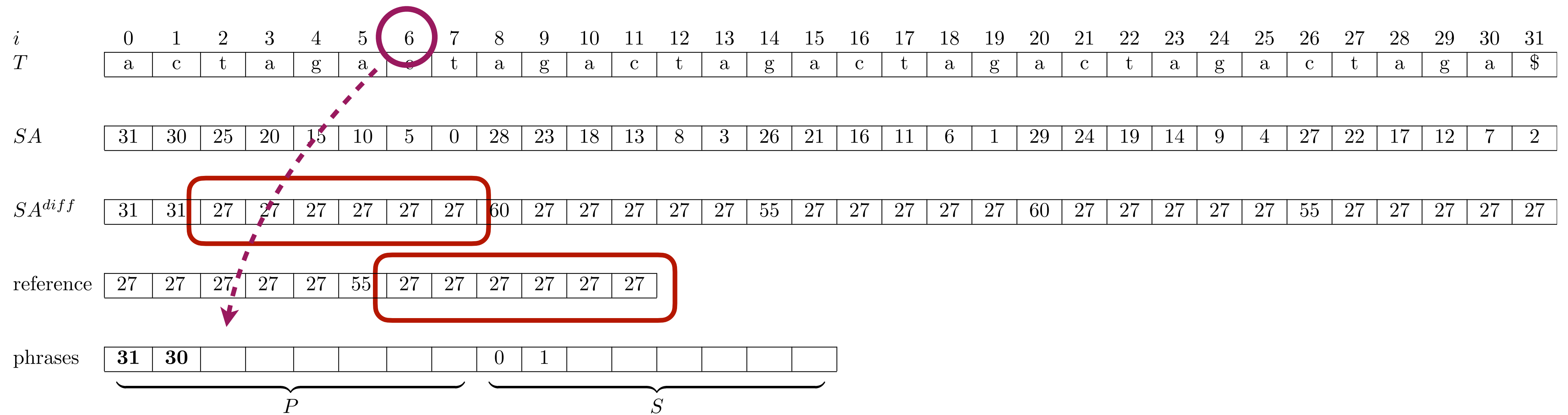
27	27	27	27	27	55	27	27	27	27	27	27
----	----	----	----	----	----	----	----	----	----	----	----

A diagram illustrating a phrase table. It consists of a horizontal row of 14 cells. The first two cells contain the bold text "31" and "30". The next six cells are empty. The next two cells contain "0" and "1". The final four cells are empty. Below the first eight cells (from "31" to the first empty cell after "1") is a horizontal curly brace labeled  $P$ . Below the next six cells (from the second empty cell after "1" to the last empty cell) is a horizontal curly brace labeled  $S$ . The word "phrases" is written to the left of the first cell.

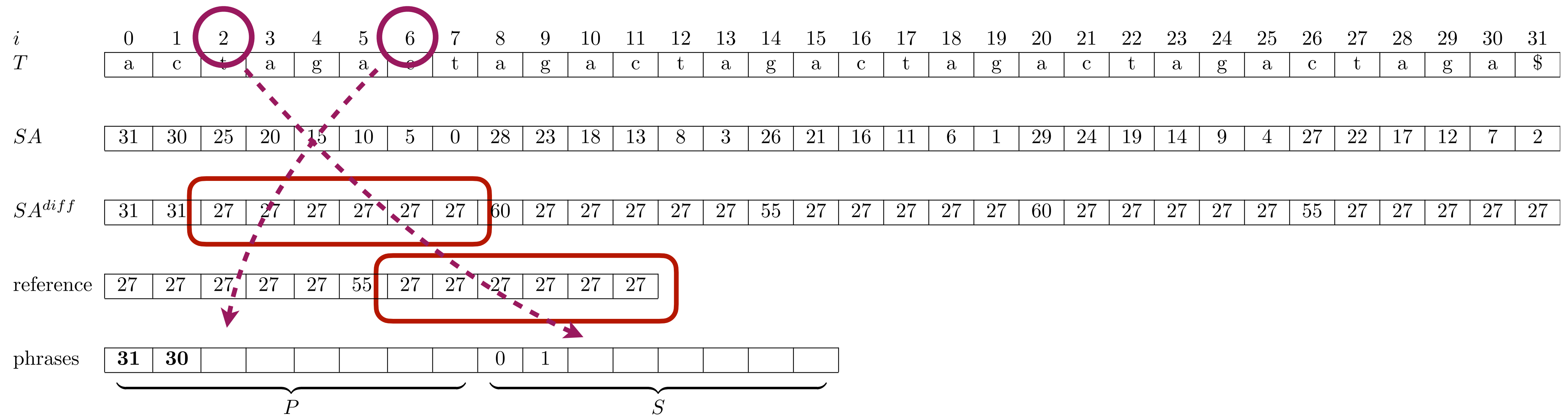
# Example

$i$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
$T$	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	c	t	a	g	a	\$
$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
reference	27	27	27	27	27	55	27	27	27	27	27	27																				
phrases	<b>31</b>	<b>30</b>							0	1																						
	$P$							$S$																								

# Example



# Example



# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$

31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

phrases

31	30	6	28					0	1	2	8				
----	----	---	----	--	--	--	--	---	---	---	---	--	--	--	--

$\underbrace{\hspace{15em}}_P \qquad \underbrace{\hspace{15em}}_S$

# Example

[illegible]

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$

31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

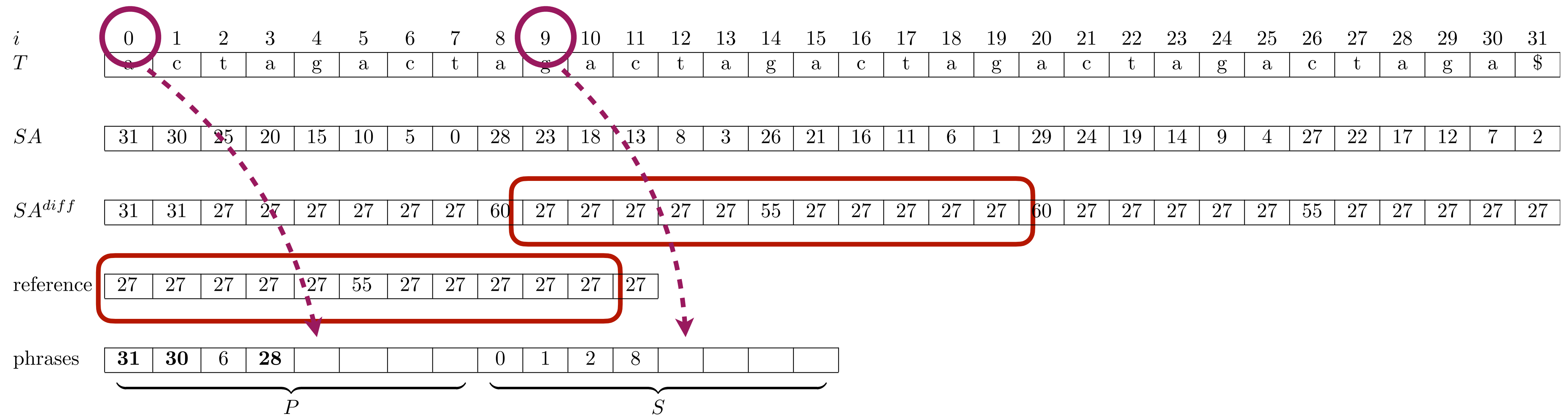
phrases

31	30	6	28					0	1	2	8				
----	----	---	----	--	--	--	--	---	---	---	---	--	--	--	--

$\underbrace{\hspace{15em}}_P$ 
 $\underbrace{\hspace{15em}}_S$



# Example



# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
-------------	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

phrases	<b>31</b>	<b>30</b>	6	<b>28</b>	0	<b>29</b>			0	1	2	8	9	20	
---------	-----------	-----------	---	-----------	---	-----------	--	--	---	---	---	---	---	----	--

$$P$$

*S*

# Example

[illegible]

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
-------------	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

phrases	<b>31</b>	<b>30</b>	6	<b>28</b>	0	<b>29</b>			0	1	2	8	9	20		
---------	-----------	-----------	---	-----------	---	-----------	--	--	---	---	---	---	---	----	--	--

$$P$$

*S*



# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
-------------	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

phrases	<b>31</b>	<b>30</b>	6	<b>28</b>	0	<b>29</b>			0	1	2	8	9	20		
---------	-----------	-----------	---	-----------	---	-----------	--	--	---	---	---	---	---	----	--	--

$$P$$

*S*

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
-------------	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

phrases

<b>31</b>	<b>30</b>	6	<b>28</b>	0	<b>29</b>	0	-	0	1	2	8	9	20	21	32
-----------	-----------	---	-----------	---	-----------	---	---	---	---	---	---	---	----	----	----

$\underbrace{\hspace{15em}}_P$ 
 $\underbrace{\hspace{15em}}_S$

# Example

[illegible]

$SA$	31	30	25	20	15	10	5	0	28	23	18	13	8	3	26	21	16	11	6	1	29	24	19	14	9	4	27	22	17	12	7	2
------	----	----	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---	----	----	----	----	---	---

$SA^{diff}$	31	31	27	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27	60	27	27	27	27	27	55	27	27	27	27	27
-------------	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

reference	27	27	27	27	27	55	27	27	27	27	27	27
-----------	----	----	----	----	----	----	----	----	----	----	----	----

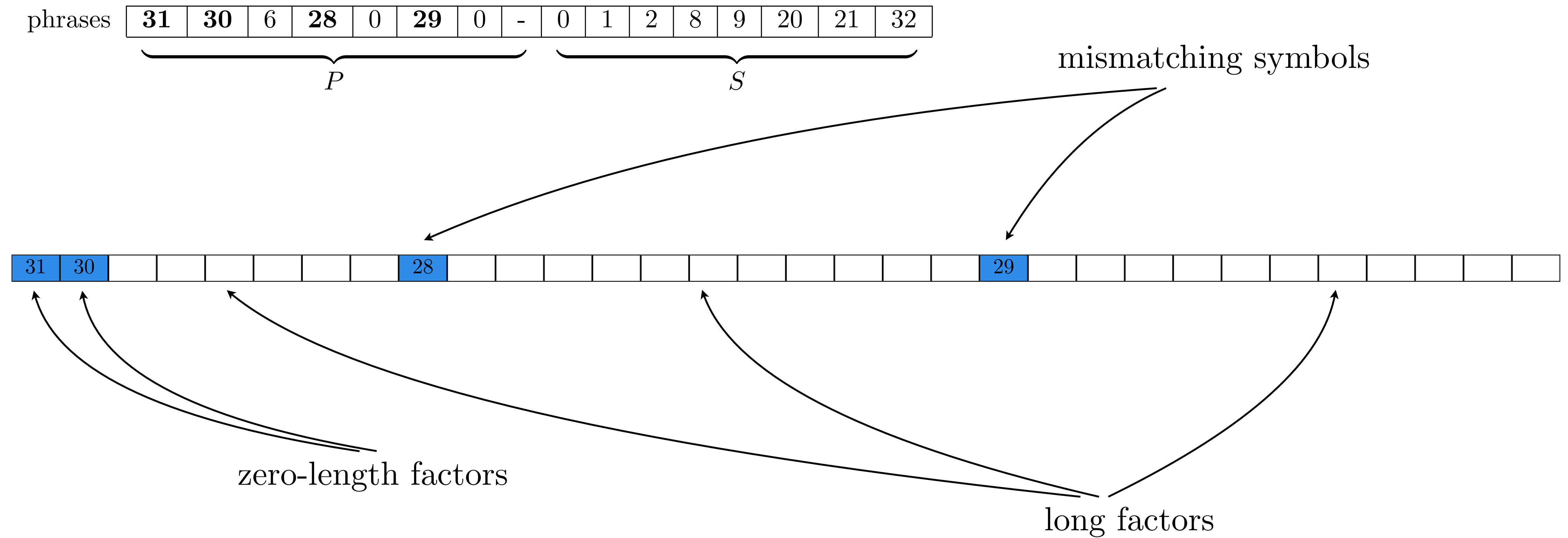
phrases	<b>31</b>	<b>30</b>	6	<b>28</b>	0	<b>29</b>	0	-	0	1	2	8	9	20	21	32
---------	-----------	-----------	---	-----------	---	-----------	---	---	---	---	---	---	---	----	----	----

$$P$$

*S*



# Example

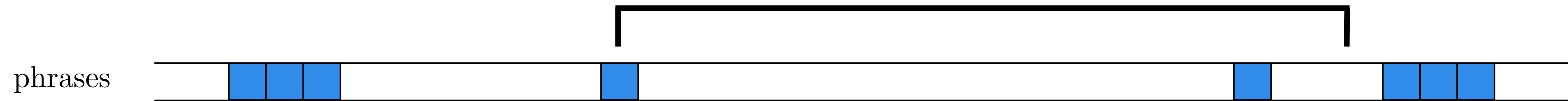


# Example

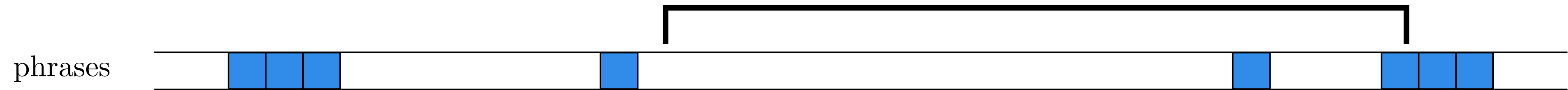
phrases



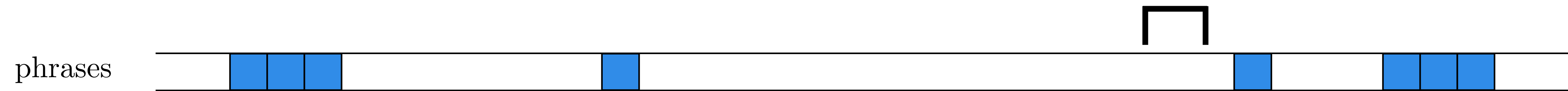
# Example



# Example



# Example



# Example



- predecessor structure to find the phrase that contains the start of the SA interval

# Example



- predecessor structure to find the phrase that contains the start of the SA interval

$$\text{- } value[i] = \begin{cases} phrases[i], & \text{if } phraseLength[i] = 1 \\ reference[phrases[i]] + prevSaValue - n, & \text{otherwise} \end{cases}$$

# Experiment

We compared our prototype (*rlzsa*) to other compressed indexes, replicating the experimental design used in the *r-index* paper (Gagie, Navarro, and Prezsa, SODA 2018)

Datasets:

- boost — concatenated versions of GitHub's boost library — 600Mbyte
- DNA — concatenated copies of a DNA sequence of length 1000 with mutations — 600 Mbyte
- einstein — concatenated versions of Wikipedia's Einstein page — 600 Mbyte
- world — pdf files of CIA World Leaders from Jan 2003 to Dec 2009 — 45Mbyte

Search queries:

- 1000 patterns
- length = 8



# Experiment

We compared our prototype (*rlzsa*) to other compressed indexes, replicating the experimental design used in the *r-index* paper (Gagie, Navarro, and Prezza, SODA 2018)

Datasets:

- boost — concatenated versions of GitHub’s boost library — 600Mbyte
- DNA — concatenated copies of a DNA sequence of length 1000 with mutations — 600 Mbyte
- einstein — concatenated versions of Wikipedia’s Einstein page — 600 Mbyte
- world — pdf files of CIA World Leaders from Jan 2003 to Dec 2009 — 45Mbyte

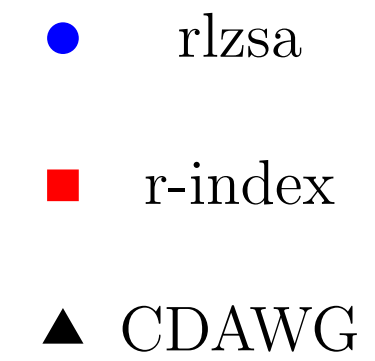
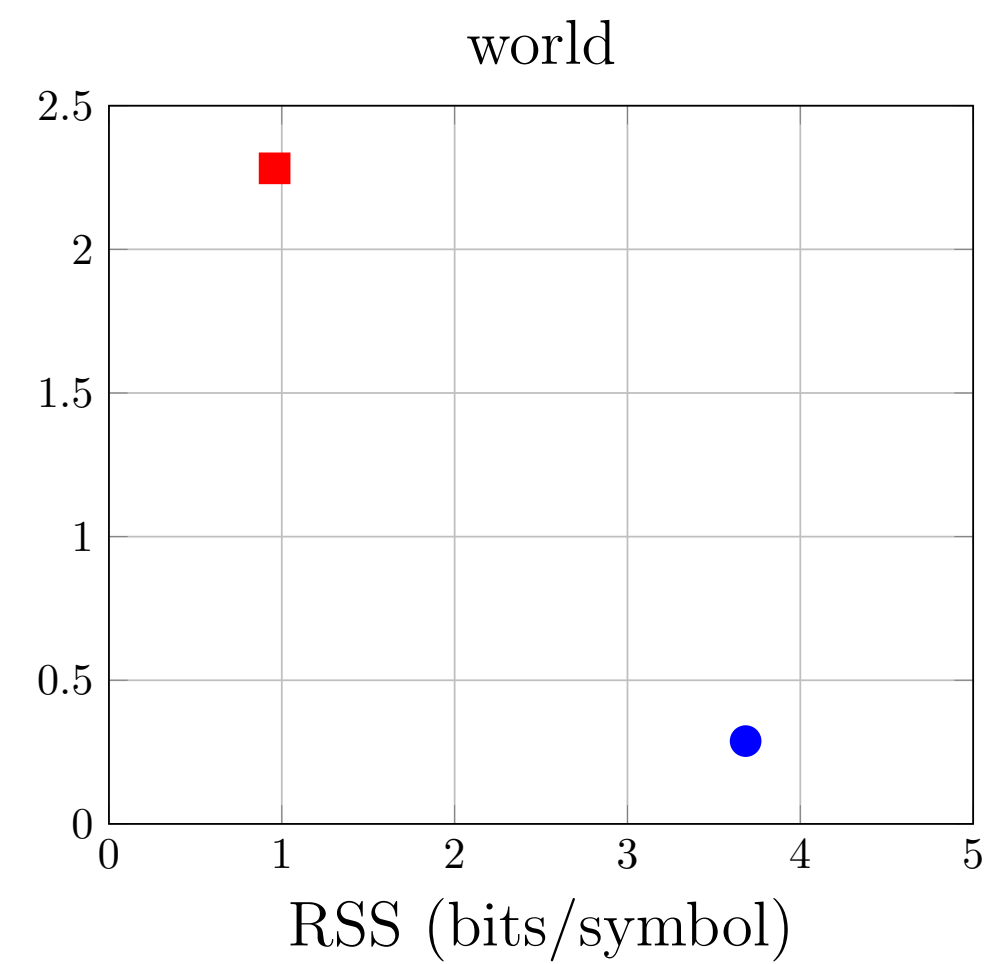
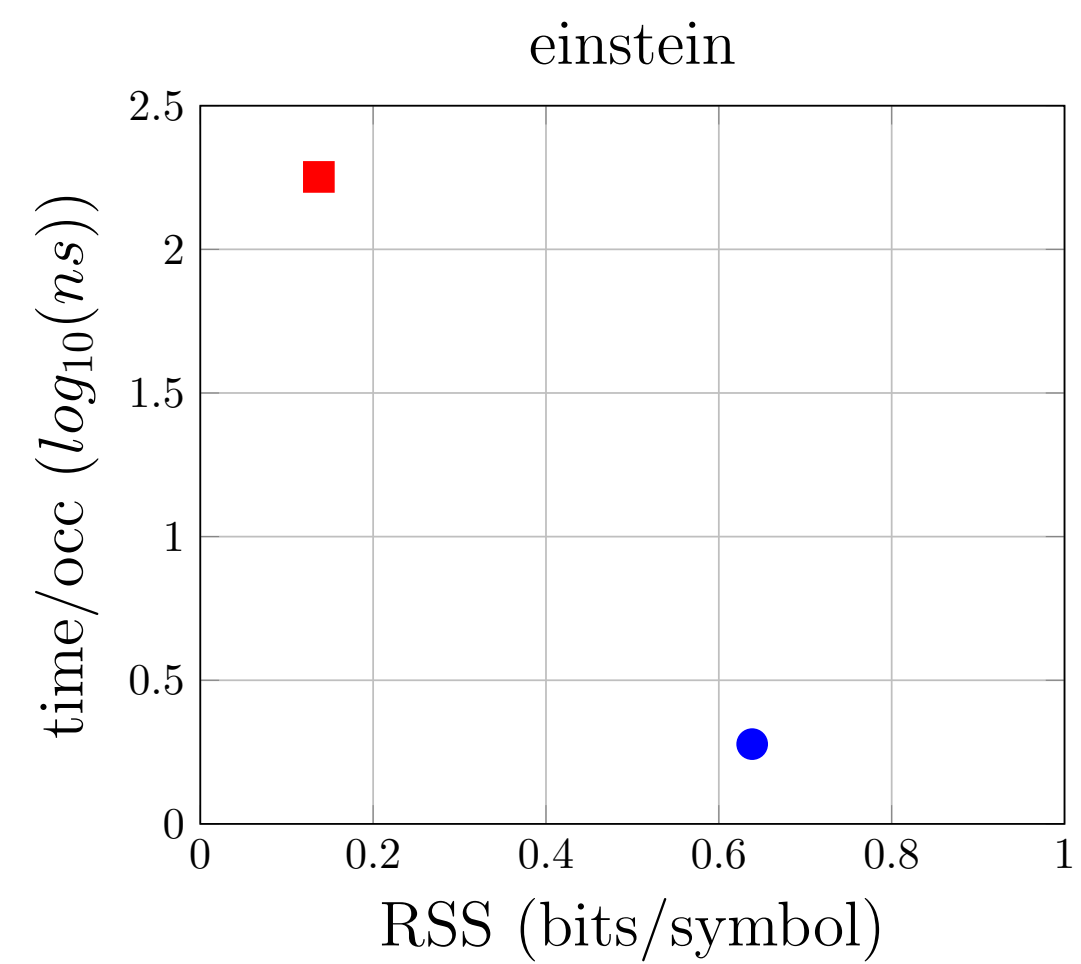
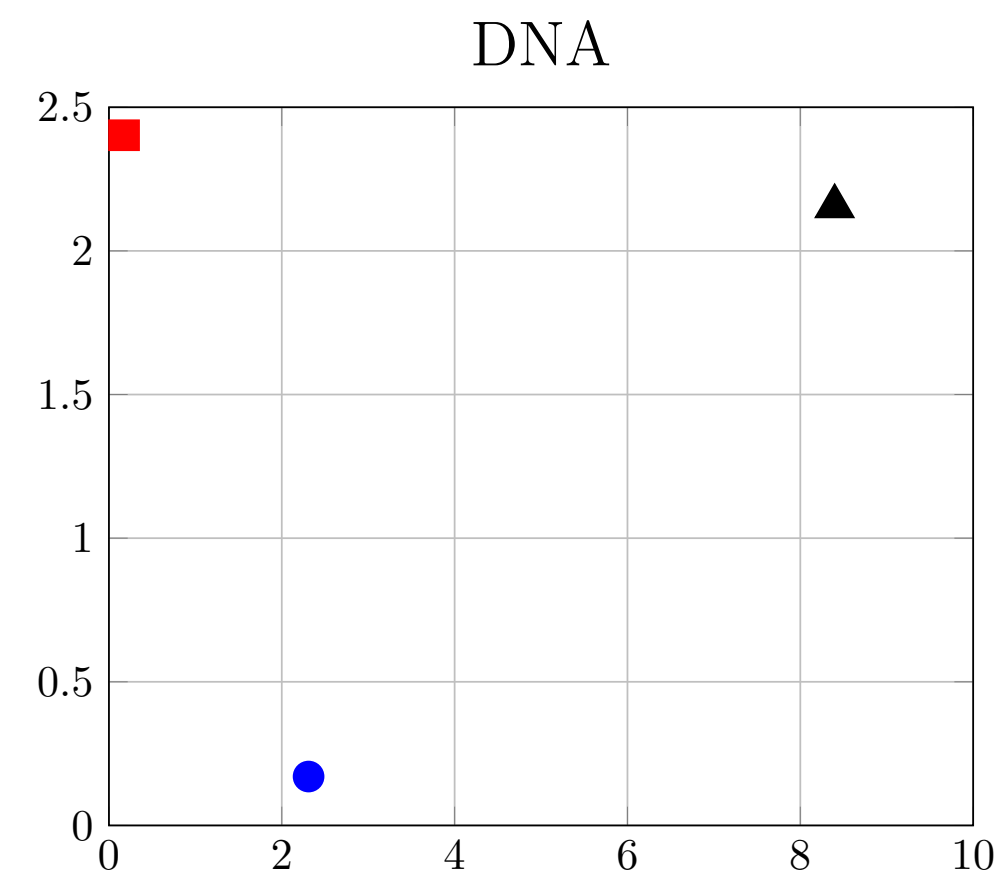
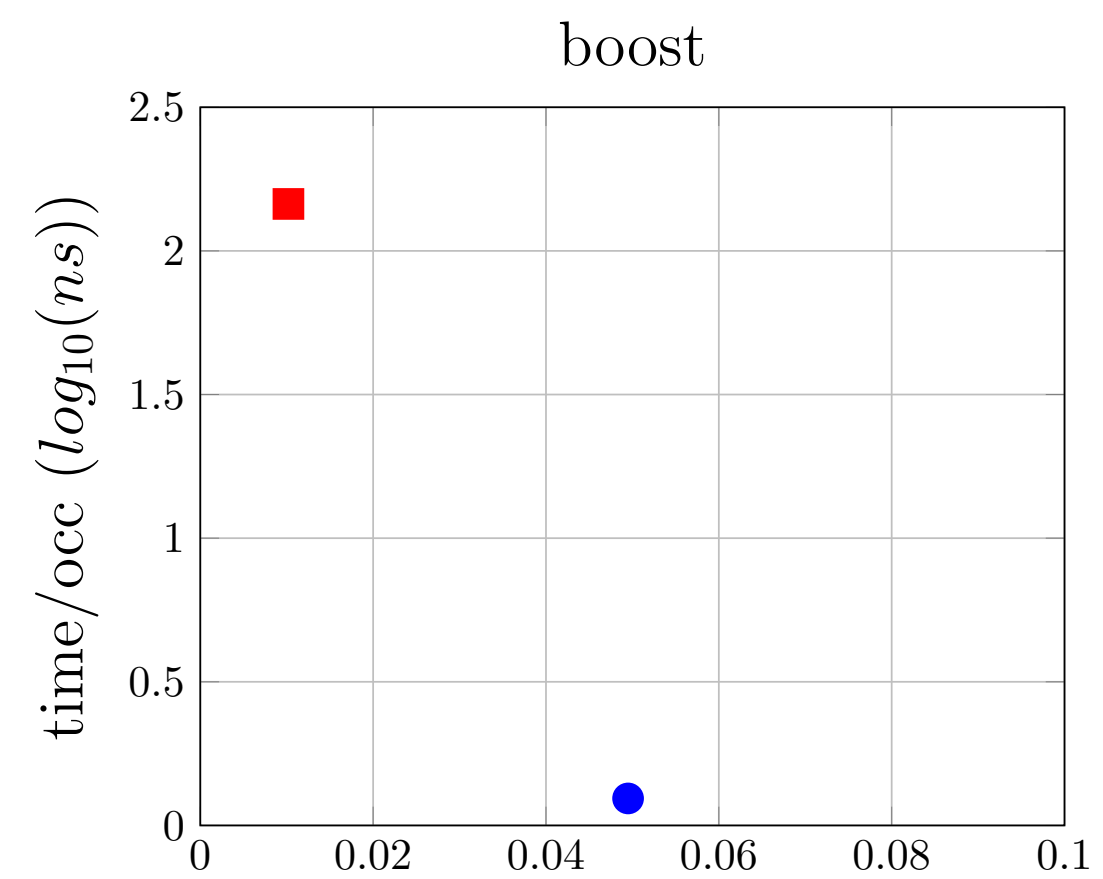
Search queries:

- 1000 patterns
- length = 8

References:

- boost — 21 samples \* 4096
- einstein — 2089 samples \* 3072
- DNA — 11377 samples \* 2048
- world — 498 samples \* 4096

# Experimental results



# Comparison to r-index

	more space	much faster
boost	4.88	115.32
DNA	13.19	149.10
einstein	4.65	96.27
world	3.84	89.08

# Future work

- Reducing space:
  - Our prototype uses word-aligned units everywhere (16-, 32-, 64-bit ints)
  - We can save space by using succinct representations instead (Elias-Fano for the predecessor structure, packed int vectors for phrases, etc.) (progress here)
  - Improved reference construction (we have some progress here already as well)
- Apply it to document (D) array
- Best of both worlds?
  - Is there a way to derive a hybrid of the **r-index** and **rlzsa**?

Thank you!



r-index time =  $O(occ * \log \log n)$

CDAWG time =  $O(m(\log \log n + \log z) + pocc * \log^\epsilon z + socc * \log \log n)$

**rlzsa** time =  $O(\log \log n + occ + l_{max})$