

# CS 488/588 Applied Data Science with Python

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### Lecture 1

# A Short History and Current State of Artificial Intelligence

(not required for quizzes or assignments)

### Lecture Overview

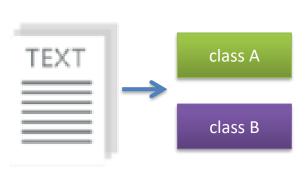
- Artificial Intelligence vs. Machine Learning vs. Deep Learning vs. Data Science
- How to develop intelligent machines?
- AI timeline
  - DL success in Computer Vision
  - DL success in Natural Language Processing
  - Generative text-to-image models
  - Foundation models
- AI limitations and challenges
- Prospective trends in AI

# Artificial Intelligence

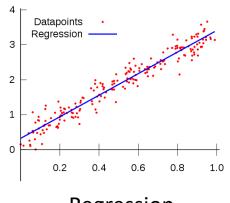
- Artificial Intelligence (AI) is a scientific field concerned with the development of algorithms that allow computers to reason or learn without being explicitly programmed
  - AI is opposite to natural (biological) intelligence displayed by humans and animals
- AI as an academic discipline was founded in 1956
- AI studies theories and technologies related to:
  - Planning and reasoning
  - Knowledge representation
  - Machine learning
  - Natural language processing
  - Perception
  - Motion and manipulation

# Machine Learning

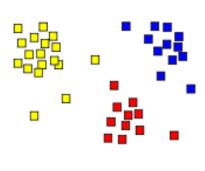
- Machine Learning (ML) is a subfield of Artificial Intelligence, that studies methods that learn from data and make predictions on unseen data
- Categories of ML approaches
  - Supervised learning: learning with labeled data
    - o Example: image classification, email classification
    - o Example: regression for predicting real-valued outputs
  - Unsupervised learning: discover patterns in unlabeled data
    - o Example: cluster similar data points
  - Reinforcement learning: learn to act based on feedback/reward
    - o Example: learn to play Go or Minecraft



Classification



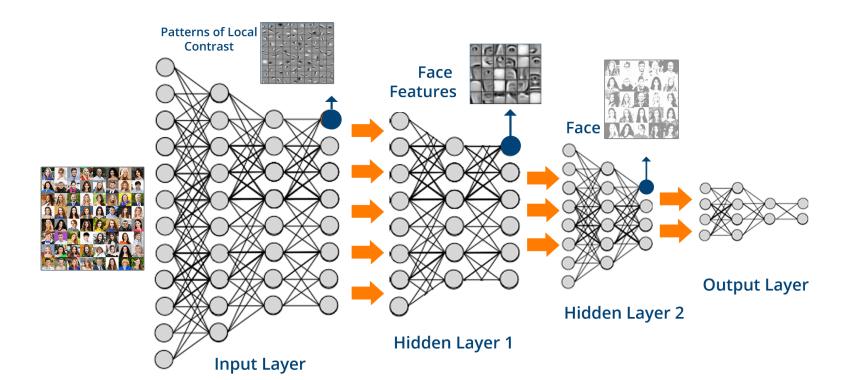
Regression



Clustering

# Deep Learning

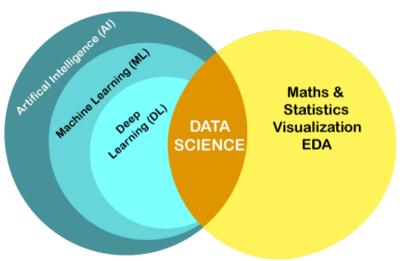
- Deep Learning (DL) is a sub-area in Machine Learning that uses artificial neural networks (ANNs) with multiple layers for learning data representations
  - Advantages of DL: ability to automatically extract features in data, processing complex high-dimensional data, scalable with data, model size, and computational power
  - The most common architectures in deep ANNs are: multi-layer perceptron NNs, convolutional NNs, recurrent NNs, graph NNs, transformer NNs



### Data Science

- *Data Science (DS)* is an interdisciplinary field that uses scientific methods and algorithms to extract knowledge from data, and applies the insights to application domains (such as to make business decisions)
- Data Science versus Machine Learning
  - DS has overlaps with ML (as well as with AI and DL)
  - DS can rely on ML approaches, but it can also obtain insights from data via mathematical and statistical analysis, data visualization techniques, exploratory data analysis (EDA), or other approaches that don't necessarily require training an ML model

AI vs. ML vs. DL vs. DS



# What is Intelligence?

How to Develop Intelligent Machines?

- An intelligent agent is any system that perceives the environment and takes
  actions to maximize the chances of achieving its goals
  - Goals can vary, e.g., human goals can be to make a coffee, build a wall, solve a math problem, drive a car, cook a meal, etc.
- **Definition**: *Intelligence* is an agent's ability to achieve goals in a <u>wide range of environments</u>
- Intelligent agents should be able to acquire and retain knowledge, and use it to respond effectively to new tasks or act in new situations and environments
  - E.g., more intelligent humans should be able to solve many physics problems that they haven't seen before (e.g., think of Einstein)
- Intelligence encompasses many related abilities for:
  - Reasoning and rational thinking, comprehending ideas, applying planning, problemsolving
  - Learning and adaptation, dealing with unexpected situations and uncertainties
  - Interacting with the real world to perceive, understand, and act

# How to Develop Intelligent Machines?

How to Develop Intelligent Machines?

- AI scientists in 1950s believed that machines with human-level intelligence can be developed within 10 to 20 years
- Initial AI approaches included:
  - Imitate step-by-step reasoning that humans use to solve a problem
  - Create a knowledge database based on human domain knowledge about a task, and develop an inference engine to process the states and make decisions
- Challenges: handling uncertainties, combinatorial explosion (the space of solutions quickly becomes too large for most problems)
  - These approaches failed to deliver, as the scientists underestimated the complexity of human intelligence
- Various misconceptions about intelligence has perpetuated in the AI field
  - E.g., computers can process information -> human thinking is similar to logic processing -> encoding human thinking into a program can lead to intelligent machines
  - E.g., chess is a game of intellect and chess players are very intelligent people ->
    developing computers that can reason and play chess at a human expert level can lead
    to machines with human-level intelligence

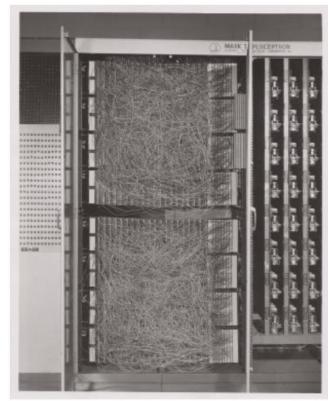
## Weak vs. Strong AI

How to Develop Intelligent Machines?

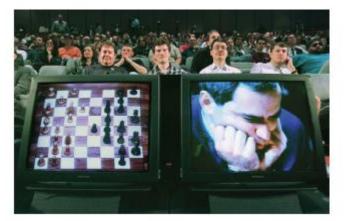
- AI systems can be classified into weak AI and strong AI systems
- Weak AI, or narrow AI: can solve one specific task
  - E.g., image classification ML models
  - E.g., Deep Blue computer that defeated the world chess champion
- *Strong AI*, of *artificial general intelligence (AGI)*: can solve a variety of tasks
  - AGI is the ability to understand or learn any intellectual task that a human being can
    - o AGI performance would be indistinguishable from that of humans
  - At present, AGI systems do not exist
- How to evaluate AI?
  - Turing test, proposed by Alan Turing in 1950
    - "A computer would deserve to be called intelligent if it could deceive a human into believing that it was human"
    - Test: a human interacts with other humans and an AI agent; the test is passed if the human cannot distinguish the AI agent from the humans
    - o Turing called the test "Imitation Game"
    - o ChatGPT and Claude models passed the Turing Test in 2024
      - The test is no longer considered to be a valid measure of intelligence



- 1943 The first model of a simple artificial neuron proposed
- 1950 Alan Turing introduced the Turing test
- 1955 The term Artificial Intelligence used for the first time
- 1956 Workshop on AI held in Dartmouth College, New Hampshire, organized by John McCarthy, Marvin Minsky, Nathaniel Rochester, Claude Shannon
  - Official beginning of AI as academic discipline
  - A statement from the workshop proposal: "Every aspect of learning or any other feature of intelligence can in principle be so precisely described that a machine can be made to simulate it."
- 1958 Perceptron algorithm proposed by Rosenblatt
  - Shown is the Mark I Perceptron computer, used for implementing the algorithm



- 1958 The term Machine Learning was used for the first time
- 1961 The first industrial robot Unimate was installed on an assembly line at General Motors in New Jersey
- 1966 Eliza, a chatbot that simulates conversations with a psychotherapist
- 1970-1980 First AI winter, agencies reduced funding for AI projects due to unsatisfactory progress
- 1982 An expert systems deployed for configuring computer orders
- 1987-1992 Second AI winter, DARPA cut AI funding for expert systems
- 1995 The advent of machine learning and statistical methods
- 1997 IBM's supercomputer Deep Blue won against world chess champion Gary Kasparov



- 2002 Vacuum robots Roomba released
- 2006 Facebook, Netflix, Twitter started using AIbased recommender systems
- 2011 IBM's supercomputer Watson won against two human rivals in the quiz show Jeopardy



- 2012 Deep NN model AlexNet won image classification contest *beginning of the era of deep learning*
- 2015 GAN (Generative Adversarial Network) introduced
- 2016 Google's DeepMind program AlphaGo defeated the Go grandmaster Lee Sedol
  - The game of Go is more difficult than chess, because the number of possible moves is much greater



- 2017 Transformer network architecture was introduced in the paper by Vaswani et al. "Attention Is All You Need"
- 2020 OpenAI's GPT-3 is the first large language model with 175B parameters, performed well on many NLP tasks
- 2021 DeepMind's AlphaFold achieved high accuracy in predicting the 3dimensional shape of proteins
- 2022 OpenAI's DALL·E 2 generated photorealistic images with remarkable quality
- 2022 Facebook's NLLB (No Language Left Behind) model for machine translation between 200 languages
- 2022 Deep Minds' Gato model was trained to perform over 450 tasks
- 2022 OpenAI released ChatGPT, a large language model with human-like abilities in answering questions and chatting

- 2023 Meta AI' Llama 2 is the first open-source large language model that is freely available for commercial use
- 2023 Mistral released Mixtral LLM, a mixture-of-experts models that integrates 8 LLMs, and outperformed larger models on standard benchmarks
- 2023 Google launched Gemini, Anthropic launched Claude 3: both reached the top spot on standards benchmarks at the time of launching
- 2024 Vision-Language models (GPT-4 omni, LlaVA, Claude) for image interpretation and analysis
- 2024 Multi-Agent frameworks (LangChain, AutoGPT, AutoGen) connect to other services and provide assistance in making reservations, planning a trip, etc.
- 2024 Meta released Llama 3.1 with 405B parameters, an open-source model that reduced the performance gap with proprietary models
- 2024 GPT-5 is expected to be released in October 2024, featuring advanced reasoning capabilities



## DL Success in Computer Vision

DL Success in Computer Vision

- *Computer Vision* tasks
  - Image and video recognition/classification, segmentation, object detection, image synthesis
- Important architectures in CV
  - AlexNet 2012
    - Convolutional NNs for image recognition, 5 layers, GPU for parallel processing
    - o ImageNet Large Scale Visual Recognition Challenge (ILSVRC): AlexNet reduced the error on ImageNet from 26% by traditional ML approaches to 15%
  - VGG 2014
    - o 16 layers CNN architecture
  - Inception 2015
    - Stacked 1x1 convolutions, 22 convolutional layers
  - ResNet 2015
    - o Introduced residual connections, it is a family of networks with 18, 34, 50, 101, and 152 layers
    - o Several related models were proposed afterwards, e.g., ResNeXt (2017), EfficientNet (2019)
  - Vision Transformers (ViT) 2020
    - o Employ attention layers, inspired by the transformer models used in NLP



### DL Success in Natural Language Processing

DL Success in Natural Language Processing

- Natural Language Processing (NLP) tasks
  - Text classification, text summarization, speech recognition, machine translation, dialog generation, part-of-speech tagging
- In the last 6 years, *Large Language Models (LLMs)* powered by transformer NNs achieved unprecedented success in NLP tasks
  - The quality of generated text by recent LLMs is often undistinguishable from humanwritten text
- Examples of LLMs include:
  - GPT-4: OpenAI, 1.76T (trillion) parameters
  - Gemini 1.5 Pro: Google, 1.5T parameters
  - Claude 3 Opus: Anthropic, 2T parameters
  - Llama 3.1: Meta AI, 405B parameters
- Note that compared to the human brain having between 100 and 500 trillion synaptic connections, current LLM models are still fairly small



# Large Language Models

DL Success in Natural Language Processing

#### • *Training data* for LLMs

LLMs are typically trained on diverse sets of text data gathered from the web
 E.g., GPT-3 was trained on 45 TB of text collected from Wikipedia, e-books, and other sources

#### • LLMs training

- Data preprocessing: LLMs take as inputs words projected into an embeddings space, where each word is replaced with a numerical token
- Given a sequence of words (tokens) from a dictionary, the training objective is to predict the next word (i.e., assign the probability of the next token)

Input: A quick brown Output: fox

Input: Marry had a little Output: lamb

Input: Nothing is Output: impossible

This is a form of unsupervised learning, since there is no need to label the data

#### • Fine-tuning

- To adapt LLMs for specific tasks, the trained models are typically fine-tuned on smaller, specific datasets
- Recent LLMs are often fine-tuned via Reinforcement Learning from Human Feedback,
   where humans rank the generated text, and the feedback is used to fine-tune the model



# Large Language Models

DL Success in Natural Language Processing

#### LLM network architecture

- The architecture of all LLMS is based on the transformer neural networks
- Transformers employ the attention mechanism to identify the words in a sentence that impact the meaning of other words
- I.e., an important characteristic is the ability for modeling words based on the context

#### Challenges

- Training LLMs requires substantial computational resources and time
  - E.g., GPT-3 was trained for 36 days with 1,024 NVIDIA A100 GPUs, resulting in an estimated training cost of \$12M
  - o GPT-4 was probably trained on between 10,000 and 20,000 A100 GPUs
  - Currently, Meta AI has about 20,000 A100 GPUs, Microsoft has about 40,000 H100 GPUs, Stability.ai has about 5,000 A100 GPUs, Inflection AI plans to purchase 22,000 H100 GPUs
    - E.g., purchasing cost of A100 GPU is about \$15K -> cost of 10,000 A100 GPUs is about \$150M
    - E.g., purchasing cost of H100 GPU is about \$40K -> cost of 20,000 H100 GPUs is about \$800M

#### Concerns regarding LLMs

 Misuse and unethical use of AI, amplifying disinformation, environmental impact (high carbon emissions), increasing economic inequalities, centralization of power (e.g., affordable only by the largest corporations)

### ChatGPT

DL Success in Natural Language Processing

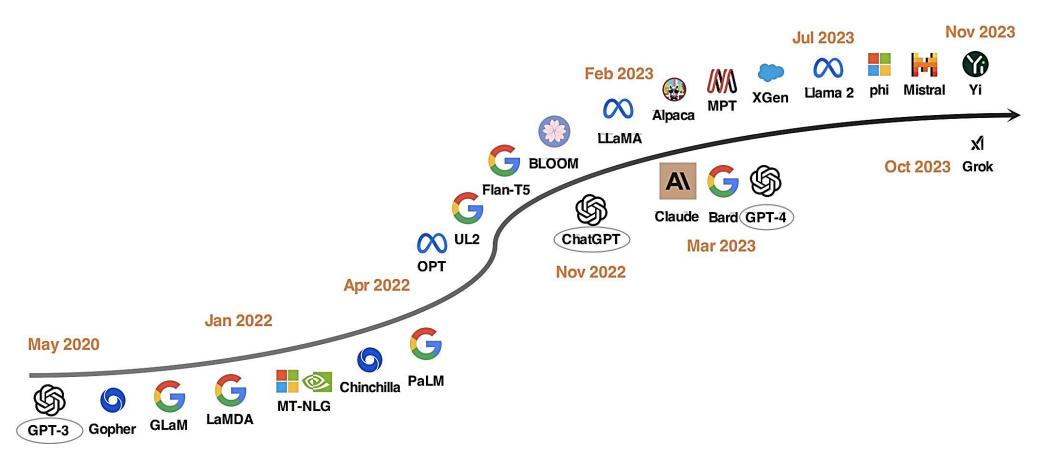
- ChatGPT is an LLM developed by OpenAI, released in November 2022
  - It is based on the GPT-4 (Generative Pretrained Transformer 4) network architecture
  - OpenAI didn't release any information about the architecture or data for GPT-4
    - o Unofficially, GPT-4 employs a mixture of 8 transformer networks, each with 220B parameters
- Capabilities
  - Answering questions, summarizing long documents, translating languages
  - Generating text by writing poems, essays, and completing text prompts with creative text
  - Chatting, with an ability to remember and reference what was said earlier in the session
- Usage and applications
  - Drafting emails, writing code, creating written content, and developing AI-based products and applications
- The importance of ChatGPT is in the substantial improvement in performance in comparison to all other predecessor LLMs



# Timeline of Large Language Models

DL Success in Natural Language Processing

• Figure: timeline of LLMs



# LLMs Leader Board by LMSYS

DL Success in Natural Language Processing

Rank* (UB)	Model	Arena Score 🔺	95% CI 🔺	Votes 🔺	Organization .	License A	Knowledge Cutoff
1	ChatGPT-4o-latest (2024-08-08)	1314	+6/-5	11555	OpenAI	Proprietary	2023/10
2	Gemini-1.5-Pro-Exp-0801	1297	+4/-4	20674	Google	Proprietary	2023/11
3	GPT-40-2024-05-13	1286	+2/-3	78496	OpenAI	Proprietary	2023/10
4	GPT-40-mini-2024-07-18	1274	+5/-3	20089	OpenAI	Proprietary	2023/10
4	Claude 3.5 Sonnet	1271	+3/-3	48546	Anthropic	Proprietary	2024/4
4	Gemini_Advanced_App_(2024-05-14).	1266	+4/-3	52249	Google	Proprietary	Online
5	Meta-Llama-3.1-405b-Instruct	1263	+5/-4	19909	Meta	Llama 3.1 Community	2023/12
7	Gemini-1.5-Pro-001	1260	+3/-3	70339	Google	Proprietary	2023/11
7	Gemini-1.5-Pro-Preview-0409	1257	+3/-2	55650	Google	Proprietary	2023/11
7	GPT-4-Turbo-2024-04-09	1257	+3/-3	85076	OpenAI	Proprietary	2023/12
11	GPT-4-1106-preview	1251	+3/-3	92780	OpenAI	Proprietary	2023/4
11	Mistral-Large-2407	1249	+4/-5	12394	Mistral	Mistral Research	2024/7
11	Claude 3 Opus	1248	+2/-3	156550	Anthropic	Proprietary	2023/8
11	Athene-70b	1247	+6/-4	12128	NexusFlow	CC-BY-NC-4.0	2024/7

Source: <a href="https://chat.lmsys.org/?leaderboard">https://chat.lmsys.org/?leaderboard</a>



## Generative Text-to-Image Models

Generative Text-to-Image Models

- Generative models learn to generate new data instances, given a training set
  - The family of GAN models (StyleGAN, CycleGAN, SRGAN) were the most important generative models for images since 2014 when GAN was introduced
  - In 2022, a new family of generative text-to-image models were introduced, which outperformed GANs
- Latest text-to-image models introduced in 2022 include:
  - DALL·E 2 by OpenAI
  - Imagen by Google
  - <u>Stable Diffusion</u> by Stability.ai
- These text-to-image models employ text embeddings from pretrained LLMs (e.g., GPT-3 used with DALL·E 2)
  - Produce images with remarkable photorealism, accurate fine details, compositionally, spatial relations of the objects in images, and even with creativity in image synthesis
  - They employ diffusion probabilistic models, which use NNs to learn the steps of adding and removing noise to images
  - Can create new images which are unlikely to have been seen in the training data

# Images Generated by DALL·E 2

Generative Text-to-Image Models

These are a few (cherry-picked) examples of images generated by DALL·E 2

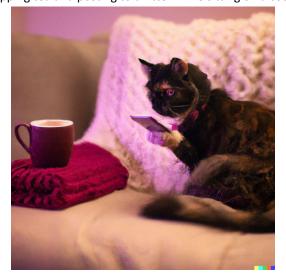
A photo of a quaint flower shop storefront with a pastel green and clean white facade and open door and big window



A lion in a hoodie hacking on a laptop



Cat sipping tea and posting to twitter while sitting on a couch



Teddy bears shopping for groceries in ancient Egypt



A rabbit detective sitting on a park bench and reading a newspaper in a victorian setting



Teddy bears working on new AI research on the moon in the 1980s





### Foundation Models

#### Foundation Models

- Foundation models are large NN models trained at scale with high capabilities for transfer learning to many other applications
  - Early examples of foundation models are LLMs, such as GPT-4 and PaLM
- The scale of these models results in new emergent capabilities e.g., they perform well on tasks on which they were not explicitly trained to do
  - "Emergence is when quantitative changes in a system result in qualitative changes in behavior"
  - This allow fine-tuning to new tasks with small number of training data instances
     E.g., few-shot learning refers to fine-tuning with only a few instances
- Notable applications of pretrained LLMs include:
  - Programming code completion models: CoPilot, AlphaCode, Codex, Codegen
  - Text-to-image generative models: DALL·E 2, Imagen, Stable Diffusion
  - Protein sequence prediction, solving math problems, preparing legal documents (other task examples are listed on the next page)
- Transfer learning is what makes foundation models possible, but scale is what makes them powerful

### Foundation Models

Foundation Models

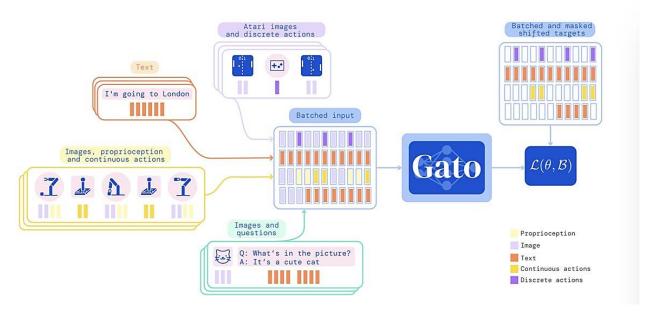
 Examples of applications and downstream tasks in which foundations models are being used

Program writing	Image captioning	Generate images	Parse data	Classify text	
Use natural language to generate SQL/ Python/Java code	Describe and classify images	Create images based on natural language	Extract data from images	Identify entities, parts-of-speech, and other text categories	
Q&A	Writing assistant	Summarize	Solve homework	Translate	
Answer natural language questions based on knowledge base	Correct your writing	Summarize text to key concepts	Solve basic math and programming problems	Translate text from one language to another	
Code explanation	Copywriting	Sentiment rating	Recipe creation	Chat	
Writes the description of code functionality in natural language	Generate ad/product/job descriptions based on short prompts	Rates the sentiment, toxicity, warmth, etc. of text	Use at your own risk	Talks like a human	

## Gato – A Generalist Agent

#### Foundation Models

- *Gato* by Deep Mind is a multi-modal, multi-task, multi-environment network
- The same model with the same weights can: play games, manipulate a robot, caption images, generate dialog, navigate in 3D, and many other tasks
  - Inputs: text, images, robotic joint torques (proprioception), button presses (for games)
  - Outputs are based on context: text (dialog, translate, summarize), torque powers (for the actuators of a robotic arm), button presses (to play games), etc.
- Gato demonstrates versatility and adaptability to many tasks (over 450 tasks)
  - The model has "only" 1.2 billion parameters

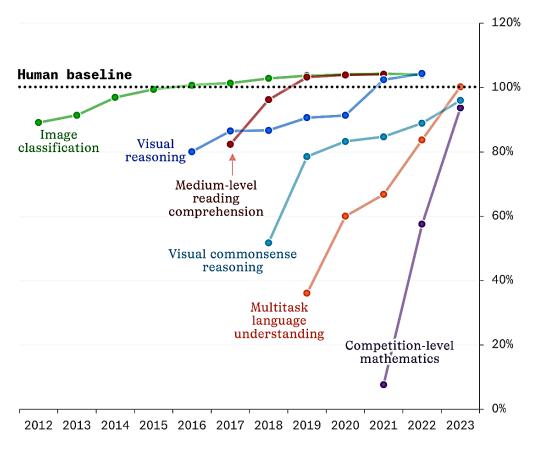




# Progress in AI

#### Progress in AI

- The graph shows a comparison between AI performance and human baseline performance (100%) on benchmarks dataset for several tasks
  - E.g., handwritten recognition is evaluated on MNIST dataset, image recognition is based on ImageNet dataset, etc.





### AI Limitations and Challenges

- Despite excellent pattern recognition abilities, current DL models are unable to reason about the objects in images or take context into consideration
- E.g., predictions by a DL model on images of randomly positioned parts
  - The model assigns weights to different features in images, and outputs a category based on the sum of weights for all features
  - It does not take into account the spatial relations between the features in making the prediction

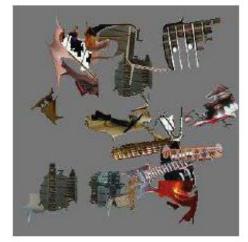
Basketball



Zebra



Electric Guitar





## Trustworthy AI

- *Trustworthy AI* efforts to address the limitations to ensure that end-users can trust the predictions by AI models
- Topics in trustworthy AI include:
  - Robustness
    - o Even unnoticeably small perturbations can impact the model predictions
  - Generalization
    - OOD (out-of-distribution) inputs; e.g., a model trained on medical images in one hospital performs poorly on images in another hospital (due to different equipment or settings used)
  - Explainability
    - o The decision-making process of large models is non-transparent and difficult to understand
  - Fairness
    - o Predictions can show bias against demographic groups, based on gender, age, culture
  - Privacy protection
    - Models can memorize and reveal input data; e.g., a model can reveal sensitive private information in medical records used for training
  - Ethics
    - The models should produce ethical decisions that are aligned with our human values (also referred to as AI Alignment)

### Moravec's Paradox

- The paradox is based on observations by the researcher Hans Moravec: the hard problems for humans are easy for computers, and the easy problems for humans are hard for computers
  - E.g., it is easier for computers to play chess or solve logic problems, than to make a coffee or plant a tree
  - Robotic tasks that involve sensorimotor and perception skills are more difficult that planning and reasoning tasks
- One possible explanation of Moravec's paradox is because sensorimotor skills are older and developed earlier in the evolution than reasoning skills
  - We can perform skills like recognizing a face, moving in space, or catching a ball unconsciously and effortlessly, because they have been optimized over millions of years
  - Abstract thinking, reasoning, or solving math problems are thousands of years old skills that we haven't mastered completely, and we need to put more effort
- We should expect that the difficulty to reverse-engineer a skill is proportional to the amount of time it took for the skill to develop in animals



### Engineering vs Science Phase of Technology

- Theoretical guarantees about the AI performance are lacking at present time
  - Currently, AI is in *Engineering phase*: models are designed to solve tasks, are integrated into new products, add value to companies, have economic impact
  - *Science phase* of AI is to follow: theory is developed to guarantee convergence, prove stability, interpret the decisions, explain successes and failures of models
- Various technologies historically began with an engineering phase (inventions made, products built) to be later followed by a science phase (theory developed)
  - Steam engines were used in paper mills and factories since 1776; the theory of Thermodynamics was developed between 1820s and 1850s
  - Airplanes were constructed and flown since 1904-1905; the modern theory of Aerodynamics was developed in 1930s
  - Electric circuits were discovered around 1800; the theory of Electromagnetism was founded between 1820s and 1830s

### The Bitter Lesson

- *The Bitter Lesson* (2019) is a short paper by Rich Sutton
  - http://www.incompleteideas.net/IncIdeas/BitterLesson.html
- The Bitter Lesson is based on his observations regarding the historical development of AI methods, which can be characterized with three phases:
  - Phase 1 AI researchers incorporate human domain knowledge into their AI methods, which helps in short term
  - Phase 2 In the long term, the performance of such models plateaus without further progress
  - Phase 3 Progress is eventually achieved by general methods that scale computation with search and learning
- In conclusion:
  - AI methods that leverage computation and search at scale are the most effective
  - Human-centric approaches complicate methods and make them less suited to leveraging computation and search at scale
  - The search for solutions should be done by our methods, not by us
  - We need AI methods that can discover like us, and not based on our discoveries

### Prospective Trends in AI

#### Prospective Trends in AI

- Unsupervised/self-supervised learning
  - Increased use of methods that learn form raw data without annotations or labels
- Homogenization
  - Convergence of architectures and methodologies in building AI systems across different applications
  - E.g., transformers are replacing convolutional NNs, recurrent NNs, and are increasingly being used in Computer Vision, NLP, for time-series, tabular data tasks
- Training at scale
  - We can expect to see further scaling along the three main factors: amount of computation, number of model parameters, and training dataset size
- Multi-modal learning
  - Capacity to learn from multiple simultaneous sources of information (like humans)
  - Task-specific models being replaced with general models that can solve multiple tasks
- New algorithms (e.g., causal learning, neuro-symbolic learning)
  - Development of new algorithms that are capable of learning cause and effect, or combine neural and symbolic learning can improve current models