- A Combination of Positive and Negative Niche
- 2 Construction Favors the Evolution of

Cooperation

4

5 Abstract

6 TODO

7 Introduction

- 8 Cooperative behaviors are common across all branches of the tree of life. In-
- sects divide labor within their colonies, plants and soil bacteria exchange es-
- sential nutrients, birds care for others' young, and the trillions of cells in the
- 11 human body restrain their growth and coordinate to provide vital functions.
- Each instance of cooperation presents an evolutionary challenge: How can in-
- dividuals that sacrifice their own well-being to help others avoid subversion by
- those that do not? Over time, we would expect these defectors to rise in abun-
- dance at the expense of others, eventually driving cooperators—and perhaps
- the entire population—to extinction.

Several factors can prevent this tragedy of the commons (Hamilton, 1964; Hardin, 1968; Nowak, 2006; West et al., 2007b). One important factor involves non-random social interaction, in which cooperators benefit more from the cooperative act than defectors. This can occur when cooperators are clustered together in spatially-structured populations (Fletcher and Doebeli, 2009; Nadell et al., 2010; Kuzdzal-Fick et al., 2011) or when cooperators use communication (Brown and Johnstone, 2001; Darch et al., 2012) or other cues (Sinervo et al., 2006; Gardner and West, 2010; Veelders et al., 2010) to cooperate conditionally with kin. Cooperation can also be bolstered by pleiotropic connections to personal benefits (Foster et al., 2004; Dandekar et al., 2012) or association with alleles encoding self-benefitting traits (Asfahl et al., 2015). 27 In the latter case, the alleles may provide private benefits that are completely 28 independent from the public benefits of cooperation. In a mixed population of cooperators and defectors, this sets the stage for an "adaptive race" in which both types vie for the first highly beneficial adaptation (Waite and Shou, 2012; 31 Morgan et al., 2012). The tragedy of the commons can be deferred if a coop-32 erator, by chance, wins the adaptive race. Hammarlund et al. (2015) recently demonstrated that in spatially-structured populations, cooperators can gain a substantial leg up on defectors in an adaptive race by the "Hankshaw effect". When cooperation increases local population density, the likelihood of acquiring beneficial mutations is also increased. By hitchhiking along with these adaptations, the cooperative trait can rapidly rise in abundance. Nevertheless, this advantage is fleeting. As soon as the opportunities for adaptation are exhausted, cooperators are once again at a se-

- lective disadvantage against adapted defectors. However, Hammarlund et al.
- 42 (2015) demonstrated that cooperation can be maintained indefinitely when
- 43 frequent environmental changes produce a steady stream of adaptive opportu-
- 44 nities. Although organisms typically find themselves in dynamic environments,
- the nature and frequency of these changes might not ensure long-term cooper-
- 46 ator survival.
- ⁴⁷ Importantly, this environmental influence is not a completely passive process.
- 48 Through their activities, their interactions with others, and even through their
- deaths, organisms constantly modify their environment. These changes can
- 50 produce evolutionary feedback loops in which environmental change alters
- selection, which, in turn, alters the distribution of phenotypes and their cor-
- responding influence on the environment (Odling-Smee et al., 2003). Because
- 53 of these feedback loops, populations may find themselves continually chasing
- beneficial mutations as their adaptive landscape shifts beneath them.
- 55 Here, we explore whether the selective feedbacks that arise during niche con-
- 56 struction can maintain cooperation indefinitely. We expand the model pre-
- 57 sented by Hammarlund et al. (2015) to allow populations to modify their
- local environments in ways that affect their fitness. We first use this model to
- ⁵⁹ address whether niche construction can prolong the Hangar effect, allowing co-
- 60 operation to continue hitchhiking as populations continually adapt. We then
- 61 focus on how niche construction influences outcomes when cooperator popula-
- tions encounter populations of defectors, either through migration or through
- 63 mutations that inevitably produce defectors that share the same adaptations.
- 64 Finally, the niche construction process can increase diversity (???). We ex-

65 plore whether this diversity helps or hinders cooperation.

66 Methods

- We develop an individual-based model in which populations of cooperators
- and defectors evolve and compete in a spatially-structured metapopulation (a
- 69 collection of populations). Through mutations, individuals gain adaptations to
- their environment, which increase reproductive fitness, and allow those lineages
- 71 to rise in abundance. Migration among neighboring populations allows more
- ⁷² successful lineages to spread.
- We expand upon the model described by Hammarlund et al. (2015) to allow
- 74 populations to modify their local environment. As this process occurs, envi-
- 75 ronmental changes feed back to affect selection. We perform simulations using
- 76 this model to explore how niche construction affects this adaptation process
- and whether selective feedbacks allow cooperation to be maintained.

78 Model Description

79 Individual Genotypes and Adaptation

- Each individual in a population has a genotype, which is an ordered list of L+1
- 1 integers, or loci (see Table 1 for model parameters and their values). Different
- values at these loci represent different alleles. A binary allele at locus L+1
- determines whether that individual is a defector (0) or a cooperator (0), which

carries fitness cost c. Cooperation is independent from adaptation to the environment. The first L loci are adaptive loci, and are each occupied by 0 or an integer from the set $\{1, 2, ..., A\}$. Allele 0 represents a lack of adaptation, while a non-zero allele represents one of the A possible adaptations at that locus. The presence of any of these adaptations confers a fitness benefit δ . We choose $\delta > c$, which allows a minimally adapted cooperator to recoup the cost of cooperation and gain a fitness advantage. The benefits that these adaptations engender are purely endogenous, and are not affected by the other individuals or the state of the environment.

93 Niche Construction and Selective Feedbacks

- Individual fitness is also affected by the current state of the local environment.
- Here, we represent the "niche" implicitly based on the allelic states present in
- ₉₆ the population. As allelic states change, populations alter their environment
- 97 in different ways, creating a unique niche.
- We use a form of density dependent selection to favors individuals that better match their niche. Specifically, the selective value of adaptive allele a at locus l increases with the number of individuals in the population that have allele a+1 at locus l+1. As a consequence, genotypes with sequentially increasing allelic states will tend to evolve. We treat both adaptive loci and allelic states as "circular", so the selective value of an allele at locus L is affected by the allelic composition of the population at locus 1. Similarly, the selective value of allele A at any locus increases with the number of individuals carrying allele 1 at

the next locus. This circularity is represented by the function $\beta(x, X)$, which gives the integer that follows an arbitrary value x in the set $\{1, 2, ..., X\}$:

$$\beta(x, X) = \text{mod}_X(x) + 1 \tag{1}$$

Here, $\operatorname{mod}_X(x)$ is the integer remainder when dividing x by X. Thus, the selective value of adaptive allele a at locus l increases with the number of individuals that have allele $\beta(a, A)$ at locus $\beta(l, L)$. The slope of this increase is ϵ , which specifies the intensity of niche construction.

Consider a genotype g with the allelic state at locus l given by $a_{g,l}$; the fitness of an individual with this genotype is defined as:

$$W_g = z + \delta \sum_{l=1}^{L} I(a_{g,l}) + \epsilon \sum_{l=1}^{L} n(\beta(a_{g,l}, A), \beta(l, L)) - ca_{g,L+1}$$
 (2)

where z is a baseline fitness and I(a) indicates whether a given adaptive allele is non-zero:

$$I(a) = \begin{cases} 1 & \text{if } a \in \{1, 2, \dots, A\} \\ 0 & \text{otherwise} \end{cases}$$
 (3)

Thus, an individual's fitness is determined both endogenously by adaptation (δ) and exogenously by its niche (ϵ) .

Because mutations occur randomly (see below), each population will evolve different consecutive sequences. These different sequences represent the unique

niches constructed by populations.

Population Growth and the Benefit of Cooperation

Cooperation benefits a population by allowing it to reach greater density. This benefit affects all individuals equally and accumulates linearly with the proportion of cooperators in the population. If p is the proportion of cooperators in a population at the beginning of a growth cycle, then that population reaches the following size:

$$S(p) = S_{min} + p(S_{max} - S_{min}) \tag{4}$$

During growth, individuals compete for inclusion in the resulting population. Each individual's probability of success is determined by its fitness. The composition of a population with size P and cooperator proportion p after growth is multinomial with parameters and S(p) and $\{\pi_1, \pi_2, \dots, \pi_P\}$, where:

$$\pi_i = \frac{W_{\gamma(i)}}{\sum_{j=1}^P W_{\gamma(j)}} \tag{5}$$

Here, $W_{\gamma(i)}$ is the fitness of an individual i with genotype $\gamma(i)$ (see Equation 2).

The value π_i represents an individual's reproductive fitness relative to others in the population.

134 Mutation

For simplicity, we apply mutations after population growth. Mutations occur independently at each locus and cause an allelic state change. At each adaptive locus, mutations occur at rate μ_a . These mutations replace the existing allele with a random selection from the set $\{0\} \cup \{1, 2, ..., A\}$. Note that this allows for the possibility of an allele replacing itself, thus slightly reducing the effective mutation rate. At the binary cooperation locus, mutations occur at rate μ_c . These mutations flip the allelic state, causing cooperators to become defectors and vice versa.

143 Migration

Our simulated environment consists of N^2 patches arranged as an $N \times N$ lattice, where each patch can support a population. After mutation, individuals emigrate to an adjacent patch at rate m. During each migration event, a single destination patch is randomly chosen with uniform probability from each source patch's Moore neighborhood, which is composed of the nearest patches on the lattice. Because the metapopulation lattice has boundaries, patches located on an edge have smaller neighborhoods.

Metapopulation Initialization and Simulation

Metapopulations are initiated in a state that follows an environmental change, which leaves most patches empty. First, populations are seeded at all patches with cooperator proportion p_0 and grown to density $S(p_0)$. An environmental

challenge is then introduced, which subjects the population to a bottleneck. 155 For each individual, the probability of survival is μ_t , which represents the like-156 lihood that a mutation occurs that confers tolerance. Because individuals have 157 not yet adapted to this new environment, the allelic state of each individual's 158 genotype is 0 at each adaptive locus. Following initialization, simulations are 159 run for T cycles, where each discrete cycle consists of population growth, mu-160 tation, and migration. At the end of each cycle, populations are thinned to 161 allow for growth in the next cycle. Each individual persists with probability d, regardless of allelic state.

64 Source Code and Software Environment

The simulation software and configurations for the experiments reported are available online. Simulations used Python 3.4, NumPy 1.9.1, Pandas 0.15.2 (McKinney, 2010), and NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data analyses were performed with R 3.1.3 (R Core Team, 2015). Reported confidence intervals were estimated by bootstrapping with 1000 resamples.

170 Results

We follow the evolution of cooperation in a metapopulation consisting of populations connected by spatially-limited migration. Individuals in these populations can gain a limited number of adaptations that confer selective benefits. While the allele at an individual's cooperation locus does not directly affect

the value of alleles at adaptive loci, cooperation can have indirect effects on the process of adaptation. Specifically, because cooperation increases population 176 density, isolated cooperators experience more mutational opportunities to gain 177 adaptations. Cooperation can hitchhike along with these adaptations, which 178 compensate for the cost of cooperation. Additionally, populations after their 179 environment. Here, we explore how niche construction can favor the evolution 180 of cooperation. Our simulation environment is defined by the parameter val-181 ues listed in Table 1. Unless otherwise noted, 10 replicate simulations were performed for each experiment. We quantify cooperator success using the area 183 under the cooperator proportion curve. This measure of cooperator presence 184 increases as cooperators rise in abundance or remain in the population longer. 185

Niche Construction Maintains Cooperation

Without any opportunity for adaptation (L=0), cooperators are swiftly elim-187 inated in competition with defectors (Figure 1A). Despite an initial lift due 188 to increased productivity, the cost of cooperation becomes disadvantageous as 189 migration mixes the initially isolated populations. When there are opportun-190 ties for adaptation (L=5) but no niche construction $(\epsilon=0)$, cooperators are 191 maintained transiently (Figure 1B). Here, the additional mutational oppor-192 tunities provided by their larger sizes allows cooperator populations to more 193 quickly adapt to their environment. As previously described by Hammarlund 194 et al. (2015), however, cooperation is subsequently lost as adapted defector 195 populations arise via mutation. When niche construction ins incorporated, cooperation persists (Figure 1C).

Fitness Increases Alone do not Support Persisting Cooperation

In our model, niche construction introduces additional selective benefits. To determine how these selective effects influence evolutionary outcomes, we per-201 formed simulations in which the selective effects of niche construction were 202 removed ($\epsilon = 0$), and we instead increased the fitness benefits conferred by 203 adaptation ($\delta = 0.6$). Here, we are consevative by lifting the selective value of 204 exogenous adaptation by the maximum value possible from niche construction. 205 We find that higher selective values do not provide a significant increase in 206 cooperator presence (Figure 2B). As shown in Figure 3, cooperators gain adap-207 tations more quickly than defectors, which provides a fitness advantage. How-208 ever, the cost of cooperation puts defectors at an advantage once these popu-209 lations become fully adapted. 210

Negative Niche Construction is Critical to Cooperator Persistence

Negative niche construction occurs in our model due to selection for sequentially-increasing allelic states and the circular arrangement of these alleles. When the genome length (L) is not evenly divided by the number of adaptive alleles (A), then it is not possible for the population to be fixed for a genotype that is perfectly adapted to the constructed environment.

Technically (in terms of the model) this is because the equality:

$$\beta(a_{g,l}, A) = a_{g,\beta(l,L)}$$

cannot simultaneously hold for all l.

For example, consider genotype (1,2) when L=2 and A=3. Here, allelic 220 state 2 at locus 2 will be be beneficial, because it follows allelic state 1 at 221 locus 1. However, due to the circular effects, allelic state 1 at locus 1 will be deleterious relative to allelic state 3 at locus 1. Yet, fixation for genotype (3, 2) does not solve the problem, because a mutant (3,1) is fitter, and so on. We first focus on the effects of positive niche construction by removing the 225 allelic conflict that leads to negative niche construction ($L=5,\,A=5$). In 226 the absence of this conflict, cooperator presence is significantly increased (Fig-227 ure 2C). Within these environments, we find that positive niche construction 228 prolongs the fitness advantage that cooperators have over defectors (Figure 229 3C). 230

Positive niche construction is important to cooperator persistence

To determine how negative niche construction influences the evolution of cooperation, we maximize the allelic conflict (L=1, A=6). Here, selection for increasing allelic states among the adaptive loci means that any allelic state will not be greater than at the previous allele (itself), and thus there will always be opportunity for adaptation. Despite this constant opportunity, niche construction does not increase cooperator presence (Figure 2D).

NC Enables Cooperator Spread

 240 Figure 4 - if not, could be why thinning is a must.

NC Prevents Defector Invasion

Figure 5

243 How Cooperation Fuels all of this

To directly explore how the increase in population size affects evolutionary outcomes, we vary the maximum size that a population can reach $(S_{max}, \text{ see})$ Equation 4). Figure 6A shows the result of these simulations. (TODO de-246 scription of results) 247 To address how migration affects the evolutionary process in this system, we 248 vary the rate at which migration occurs (m). As seen in Figure 6B, cooperation 249 decreases as migration rate increases. This is likely because migration defines 250 the spatial structuring in this system. As migration increases, the population 251 becomes more like a well-mixed system, where defectors are better able to 252 exploit the benefits of cooperation (Griffin et al., 2004; Kümmerli et al., 2009). 253 # Discussion

Despite their negative effects, deleterious traits can rise in abundance due to genetic linkage with other traits that are strongly favored by selection (May-256 nard Smith and Haigh, 1974). In a process termed the "Hankshaw effect", 257 Hammarlund et al. (2015) recently demonstrated that cooperative behaviors 258 can prolong their existence by actively increasing their likelihood of hitchhik-259 ing with a beneficial trait. While this process does favor cooperation in the short term, it eventually reaches a dead end. When the opportunities for 261 adaptation are exhausted, and cooperators can no longer hitchhike, they face extinction. In this work, we have considered whether niche construction can 263 maintain cooperation indefinitely. 264

We demonstrate that when niche construction occurs, cooperation can indeed 265 persist (Figure 1C). But what aspects of niche construction produce this re-266 sult? In our model, niche construction introduces additional selective effects 267 that could influence the evolutionary process. However, simply raising the 268 selective benefits provided by adaptations does not significantly increase co-269 operator presence (Figure 2B), and indicates that niche construction plays an 270 important role. Although cooperators benefit greatly from positive niche con-271 struction, it does not fully explain our results (Figure 2C). Indeed, despite an 272 initial increase in abundance, cooperators are eventually driven to extinction 273 when environmental change produces only positive fitness effects. As with the Hankshaw effect, adaption eventually slows, allowing defectors to outcompete cooperators (Figure 3C). While it does not benefit cooperation when alone (Figure 3E), negative niche construction acts to prevent this stasis. Combined, we find that both positive and negative niche construction are required to main

279 cooperation.

When successful, we observe that populations do not reach the maximum possible fitness (Figure 3A). Although cooperation is the focus of this study, it 281 can be seen as deleterious. Previous work has shown that niche construction 282 can favor deleterious alleles (Laland et al., 1996, 1999). Similarly here, coop-283 eration is maintained in the presence of niche construction, but lost otherwise. 284 Van Dyken and Wade (2012) showed that when two cooperative behaviors 285 co-evolve and niche construction feedbacks benefit the other type, niche con-286 struction can increasingly favor these traits, which were otherwise disfavored 287 when alone. 288 By their very nature, public goods benefit populations by making their envi-289 ronment more hospitable (West et al., 2007a). For example, bacteria produce 290 a host of extracellular products that find soluble iron (Griffin et al., 2004), 291 digest large proteins (Diggle et al., 2007; Darch et al., 2012), and reduce the risk of predation (Cosson et al., 2002). While many studies have explored how 293 the environment affects the evolution of cooperative behaviors such as these, 294 relatively few have examined how those behaviors affect the environment and how the resulting feedbacks influence evolutionary trajectories. In our model, the environmental state was implicitly modeled, and depended 297 solely on the current state of the population. In natural settings, however, the 298 timescales at which environments are modified and reproduction are likely to 299 be decoupled. For example, a multitude of factors including protein durabil-300

ity (Brown and Taddei, 2007; Kümmerli and Brown, 2010), diffusion (Allison,

2005; Driscoll and Pepper, 2010), and resource availability (Zhang and Rainey, 302 2013; Ghoul et al., 2014) influence both the rate and the degree to which public 303 goods alter the environment. These factors are likely to influence evolution-304 ary trajectories (Laland et al., 1996). Lehmann (2007) demonstrated that a 305 cooperative, niche constructing behavior can be favored when it only affected 306 selection for future generations, thus reducing the potential for competition among contemporary kin. The evolutionary inertia that this creates, however, 308 may ultimately work against cooperators. When public good accumulates in the environment, cooperators must reduce their investment in production to re-310 main competitive (Kümmerli and Brown, 2010; Dumas and Kümmerli, 2012). 311 Cooperation that occurs facultatively, perhaps by sensing the abiotic (Bernier 312 et al., 2011; Koestler and Waters, 2014) or biotic environment (Brown and 313 Johnstone, 2001; Darch et al., 2012), are likely to be favored in these environ-314 ments. 315

In many instances of cooperation, the environment is itself a biological entity, 316 which can produce additional evolutionary feedbacks. As the host population 317 changes, so too will selection on their symbiont populations. Here, evolution-318 ary outcomes depend greatly on the degree of shared interest between the host 319 and symbiont. For example, the cooperative production of virulence factors by 320 the human pathogen P. aeruqinosa in lung infections is harmful to those with 321 cystic fibrosis (Harrison, 2007). Conversely, cooperative light production by A. fischeri is vital for the survival of its host, the Hawaiian bobtail squid (Ruby, 323 1996). It was recently argued that incorporating the effects of niche construction is critical for improving our understanding of viral evolution (Hamblin et

al., 2014) and evolution in co-infecting parasites (Hafer and Milinski, 2015).

Incorporating host dynamics, co-evolution, and the feedbacks that they produce into models is likely to be equally important for gaining an understanding of how cooperative behaviors evolve in these host-symbiont settings.

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Figures 5

Figure 1

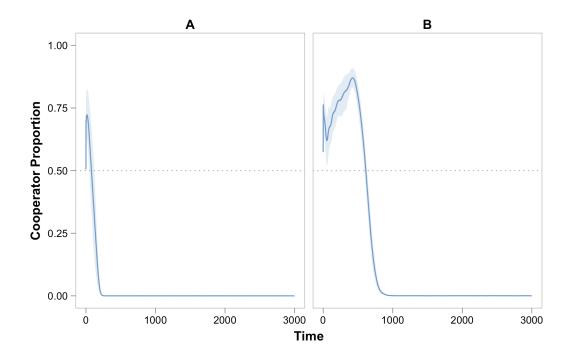


Figure 1: Adaptation, Hitchhiking, and the Evolution of Cooperation. The proportion of cooperators present in the population is shown for the duration of simulations. Curves show the average among replicate populations, and shaded areas indicate 95% confidence intervals. Unless otherwise noted, parameter values are listed in Table 1. (A) Without any opportunity to adapt (L, the number of adaptive loci, is zero), cooperation is quickly lost. (B) When adaptation can occur (L=5), but populations do not alter their environment $(\epsilon, \text{the intensity of niche construction, is zero)}$, cooperation hitchhikes along with adaptions, allowing cooperators to temporarily rise in abundance before eventually going extinct.

Figure 2

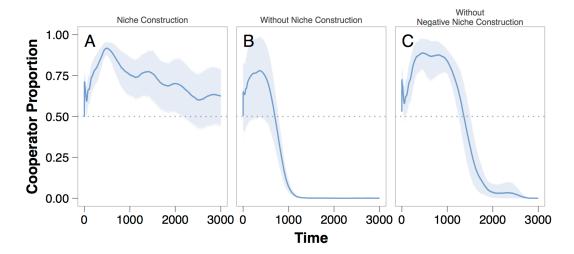


Figure 2: Niche Construction and the Evolution of Cooperation. The proportion of cooperators present in the population is shown for the duration of simulations. Curves show the average among replicate populations, and shaded areas indicate 95% confidence intervals. (A) Niche construction enables cooperation to be maintained indefinitely. In the majority of populations, cooperation remained as the dominant strategy. (B) When niche construction is removed and the fitness benefit of adaptation is increased as compensation ($\epsilon = 0, \delta = 0.6$), adapted defectors arise and drive cooperators to extinction. (C) Without negative niche construction, cooperation is not maintained (A = 5). Here again, cooperators are at a selective disadvantage against equally-adapted defectors.

Figure 3

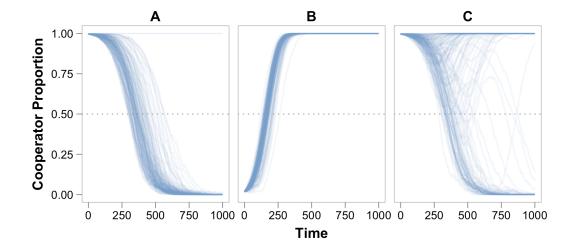


Figure 3: Niche Construction and Invasion. Curves trace the proportion of cooperators present in the population for the duration of 160 replicate simulations. These experiments examine whether a strategy initiated at a single population in the center of the metapopulation lattice $(N^2 = 121)$ can invade. Unless otherwise noted, we disable mutations $(\mu_a = 0, \mu_c = 0)$ to focus on how invasion depends on particular combinations of genotypes. (A) When cooperators and defectors are matched (i.e., genotypes [1,2,3,4,5]) and adaptation can not occur, defectors quickly drive cooperators to extinction due to the cost of cooperation. Defectors were stochastically eliminated in 2 replicate populations. (B) However, the adaptive opportunities produced by negative niche construction and density dependence enable an adapted cooperator (genotype [1,2,3,4,6]) to invade a population of defectors. (C) These same adaptive opportunities can allow cooperators to resist invasion by initially-matching defectors, which arise via mutation and remain a constant threat. Here, cooperation persisted in 91 populations ($\mu_a = 0.00005$, the base mutation rate).

Tables

Table 1: Model parameters and their value

Parameter	Description	Base Value
L	Number of adaptive loci	5
c	Fitness cost of cooperation	0.1
A	Number of alleles	6
δ	Fitness benefit, nonzero alleles	0.3
ϵ	Fitness benefit, sequential alleles	0.00015
z	Baseline fitness	1
S_{min}	Minimum population size	800
S_{max}	Maximum population size	2000
μ_a	Mutation rate (adaptation)	10^{-5}
μ_c	Mutation rate (cooperation)	10^{-5}
N^2	Number of metapopulation sites	625
m	Migration rate	0.05
p_0	Initial cooperator proportion	0.5
μ_t	Mutation rate (tolerance to new environment)	10^{-5}
d	Population dilution factor	0.1
T	Number of simulation cycles	1000

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