

1 Negative Niche Construction Favors the
2 Evolution of Cooperation

3

4

Abstract

5

TODO

6 **Introduction**

7 Cooperative behaviors are common across all branches of the tree of life. In-
8 sects divide labor within their colonies, plants and soil bacteria exchange es-
9 sential nutrients, birds care for others' young, and the trillions of cells in the
10 human body coordinate to provide vital functions. Each instance of cooper-
11 ation presents an evolutionary challenge: How can individuals that sacrifice
12 their own well-being to help others avoid subversion by those that do not? Over
13 time, we would expect these *defectors* to rise in abundance at the expense of
14 others, eventually driving cooperators—and perhaps the entire population—to
15 extinction.

16 Several factors can prevent this *tragedy of the commons* (Hamilton, 1964;
 17 Nowak, 2006; West *et al.*, 2007). One such factor involves non-random so-
 18 cial interaction, in which cooperators benefit more from the cooperative act
 19 than defectors. This can occur when cooperators are clustered together in
 20 spatially-structured populations (Fletcher and Doebeli, 2009; Nadell *et al.*,
 21 2010; Kuzdzal-Fick *et al.*, 2011) or when cooperators use communication
 22 (Brown and Johnstone, 2001; Darch *et al.*, 2012) or other cues (Sinervo *et al.*,
 23 2006; Gardner and West, 2010; Veelders *et al.*, 2010) to cooperate condition-
 24 ally with kin. Cooperation can also be bolstered by pleiotropic connections to
 25 personal benefits (Foster *et al.*, 2004; Dandekar *et al.*, 2012) or through associ-
 26 ation with alleles encoding self-benefitting traits (Asfahl *et al.*, 2015). In these
 27 cases, the alleles may provide private benefits that are completely independent
 28 from the public benefits of cooperation. In asexual populations of cooperators
 29 and defectors, this sets the stage for an “adaptive race” in which both types
 30 vie for the first highly beneficial adaptation (Waite and Shou, 2012; Morgan
 31 *et al.*, 2012). The tragedy of the commons can be deferred if a cooperator, by
 32 chance, wins the adaptive race.

33 Hammarlund *et al.* (2015) recently showed that in spatially-structured pop-
 34 ulations, the “Hankshaw effect” can give cooperators a substantial leg up on
 35 defectors in an adaptive race. This advantage is reminiscent of Sissy Han-
 36 kshaw, a fictional character in Tom Robbins’ *Even Cowgirls Get the Blues*,
 37 whose oversized thumbs—which were otherwise an impairment—made her a
 38 prolific hitchhiker. Similarly, cooperation is costly, but it increases local pop-
 39 ulation density. As a result, cooperators are more likely to acquire beneficial

40 mutations. By hitchhiking along with these adaptations, cooperation can rise
41 in abundance. Nevertheless, this advantage is fleeting. As soon as the oppor-
42 tunities for adaptation are exhausted, cooperators are once again at a selective
43 disadvantage against equally-adapted defectors that arise via mutation. How-
44 ever, Hammarlund et al. (2015) also demonstrated that cooperation can be
45 maintained when frequent environmental changes produce a steady stream of
46 new adaptive opportunities. Although organisms typically find themselves in
47 dynamic environments, the nature and frequency of these changes might not
48 ensure long-term cooperator survival.

49 However, organisms do more than simply experience changing environments
50 passively. Through their activities, their interactions with others, and even
51 their deaths, organisms constantly modify their environment. This niche con-
52 struction process can produce evolutionary feedback loops in which environ-
53 mental change alters selection, which, in turn, alters the distribution of types
54 and their corresponding influence on the environment (Odling-Smee *et al.*,
55 2003). The nature of this feedback can have dramatic evolutionary conse-
56 quences. One critical distinction is whether the constructing type is favored in
57 the resulting environment. Under positive niche construction, selection favors
58 the constructor, and evolution stagnates as this type fixes. Under negative
59 niche construction, selection favors a type other than the constructor, which
60 creates an opportunity for adaptation. If an adapted type also engages in
61 negative niche construction, cycles of construction and adaptation can ensue,
62 such that populations find themselves continually chasing beneficial mutations
63 as their adaptive landscape perpetually shifts.

64 Here, we show that the selective feedbacks that result from niche construction
65 can maintain cooperation indefinitely. We find that it is specifically negative
66 niche construction that is responsible for this result because of the endless
67 opportunities for adaptation that it produces. These results indicate that
68 cooperators can ensure their survival when they play an active role in their
69 own evolution.

70 **Methods**

71 Building upon Hammarlund et al. (2015), we describe an individual-based
72 model in which cooperators and defectors evolve and compete in a population
73 of subpopulations (i.e., a metapopulation). Through mutations, individuals
74 gain adaptations to their environment, which increase reproductive fitness,
75 and allow those lineages to rise in abundance. More successful lineages spread
76 to neighboring subpopulations by migration.

77 In this expanded model, subpopulations additionally modify their local envi-
78 ronment. As this process occurs, environmental changes feed back to affect
79 selection. We explore how niche construction affects the evolution of coop-
80 eration; specifically, how cooperative behavior can hitchhike with adaptive
81 mutations to modified environments.

82 **Model Description**

83 **Individual Genotypes and Adaptation**

84 Each individual has a haploid genome with $L + 1$ loci (see [Table 1](#) for model
85 parameters and their values). Different alleles at each locus are represented by
86 different integers. A binary allele at the first locus (here, locus zero) determines
87 whether that individual is a cooperator (1), which carries fitness cost c , or a
88 defector (0). The remaining L loci are *adaptive loci*, and are each occupied by
89 0 or a value from the set $\{1, 2, \dots, A\}$. Allele 0 represents a lack of adaptation,
90 while a non-zero allele represents one of the A possible adaptations at that
91 locus.

92 These non-zero alleles signify two types of adaptations, both of which increase
93 fitness. First, adaptations to the external environment confer a fitness benefit δ .
94 This selective value is the same regardless of which non-zero allele is present
95 and is not affected by other individuals. We assume $\delta > c$, which allows a
96 minimally adapted cooperator to recoup the cost of cooperation and gain a
97 fitness advantage.

98 **Niche Construction and Selective Feedbacks**

99 Individual fitness is also affected by aspects of the local environment that are
100 affected by organisms. We represent this constructed “niche” implicitly based
101 on the specific allelic states present in the subpopulation. As allelic states
102 change, the subpopulation alters its environment, creating a unique niche. As

described below, the specific alleles that are present at each locus matter.

In our model, the feedback from niche construction takes the form of density dependent selection, and individuals evolve to better match their niche. Specifically, the selective value of non-zero allele a at adaptive locus l —and consequently the fitness of an individual carrying that allele—increases with the number of individuals in the subpopulation that have allele $a - 1$ at locus $l - 1$. For example, when $L = 5$ and $A = 6$, and allele 4 has fixed at locus 2, a genotype with allele 5 at locus 3 is favored. And once allele 5 has fixed at locus 3, the niche that this population constructs will favor allele 6 at locus 4. As a consequence, genotypes with sequentially increasing allelic states will tend to evolve. We treat both adaptive loci and their non-zero allelic states as “circular”: the selective value of an allele at locus 1 is affected by the allelic composition of the subpopulation at locus L . Similarly, the selective value of allele 1 at any locus increases with the number of individuals carrying allele A at the previous locus. This circularity is represented by the function $\beta(x, X)$, which gives the integer that is below an arbitrary value x in the set $\{1, 2, \dots, X\}$:

$$\beta(x, X) = \text{mod}_X(x - 2 + X) + 1 \quad (1)$$

Here, $\text{mod}_X(x)$ is the integer remainder when dividing x by X . Using this function, the selective value of allele a at adaptive locus l is increased by ϵ for each individual in the subpopulation that has allele $\beta(a, A)$ at locus $\beta(l, L)$. Thus, ϵ specifies the intensity of selection due to niche construction.

124 Consider a genotype g with allelic state $a_{g,l}$ at locus l ; the fitness of an indi-
 125 vidual with this genotype is defined as:

$$W_g = z - \underbrace{ca_{g,0}}_{\text{cost of cooperation}} + \underbrace{\delta \sum_{l=1}^L I(a_{g,l})}_{\text{adaptation to external environment}} + \underbrace{\epsilon \sum_{l=1}^L n(\beta(a_{g,l}, A), \beta(l, L))}_{\text{adaptation to constructed environment}} \quad (2)$$

126 where z is a baseline fitness, $n(a, l)$ is the number of individuals in the sub-
 127 population with allele a at locus l , and $I(a)$ indicates whether a given allele is
 128 non-zero:

$$I(a) = \begin{cases} 1 & \text{if } a \in \{1, 2, \dots, A\} \\ 0 & \text{otherwise} \end{cases} \quad (3)$$

129 Thus, an individual's fitness is determined both by adaptations to the external
 130 environment and by adaptations to its constructed environment. **Figure 1**
 131 illustrates the effects of these two components. While cooperation is costly, we
 132 assume its effects are independent of the external and constructed components
 133 of the environment.

134 **Population Growth and the Benefit of Cooperation**

135 Cooperation enables a subpopulation to reach a greater density. This benefit
 136 affects all individuals equally and accumulates linearly with the proportion
 137 of cooperators in the subpopulation. If p is the proportion of cooperators
 138 present at the beginning of a growth cycle, then that subpopulation reaches

139 the following size:

$$S(p) = S_{min} + p(S_{max} - S_{min}) \quad (4)$$

140 During subpopulation growth, individuals compete through differential repro-
141 duction. Each individual's probability of success is determined by its fitness.
142 The composition of a subpopulation with size P and cooperator proportion p
143 after growth is multinomial with parameters $S(p)$ and $\{\pi_1, \pi_2, \dots, \pi_P\}$, where
144 π_i represents individual i 's reproductive fitness relative to others in the sub-
145 population (using Equation 2).

146 **Mutation**

147 For simplicity, we apply mutations after subpopulation growth. Mutations
148 occur independently at each locus and cause an allelic state change. At the
149 binary cooperation locus, mutations occur at rate μ_c . These mutations flip
150 the allelic state, causing cooperators to become defectors and vice versa. Mu-
151 tations occur at rate μ_a at each adaptive locus. These mutations replace the
152 existing allele with a random selection from the set $\{0\} \cup \{1, 2, \dots, A\}$. Be-
153 cause mutations are stochastic, the allelic sequences that evolve depend on
154 which allele arises first and at which locus.

155 Migration

156 Populations are composed of N^2 patches arranged as an $N \times N$ lattice, where
157 each patch can support a subpopulation. After mutation, individuals emigrate
158 to an adjacent patch with probability m . During each migration event, a single
159 destination patch is randomly chosen from each source patch’s Moore neigh-
160 borhood, which is composed of the nearest 8 patches on the lattice. Because
161 the population lattice has boundaries, patches located on the periphery have
162 smaller neighborhoods.

163 Population Initialization and Simulation

164 Following Hammarlund et al. (2015), we begin simulations with sparse pop-
165 ulations. Subpopulations are first seeded at all patches with size $S(p_0)$ and
166 cooperator proportion p_0 . The population is then thinned. Each individual
167 survives this bottleneck with probability σ . Starting from this initial state,
168 simulations then proceed for T cycles, where each discrete cycle consists of
169 subpopulation growth, mutation, migration, and dilution. Dilution reduces
170 the population to support growth in the next cycle. Each individual remains
171 with probability d , regardless of its genotype.

172 Simulation Source Code and Software Dependencies

173 The simulation software and configurations for the experiments reported are
174 available online.¹ Simulations used Python 3.4, NumPy 1.9.1, Pandas 0.15.2

¹These materials will be made public prior to publication.

175 (McKinney, 2010), and NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data analy-
176 ses were performed with R 3.1.3 (R Core Team, 2015). Reported confidence
177 intervals were estimated by bootstrapping with 1000 resamples.

178 Results

179 Using the model described in the previous section, we perform simulations
180 that follow the evolution of cooperation in a population consisting of subpopu-
181 lations that are connected by spatially-limited migration. Individuals increase
182 their competitiveness by gaining adaptations. While cooperation does not di-
183 rectly affect the fitness benefits that these adaptations confer, cooperation has
184 indirect effects on the adaptive process. Specifically, cooperation increases
185 subpopulation density. As a result, larger subpopulations of cooperators ex-
186 perience more mutational opportunities. Cooperation can rise in abundance
187 by hitchhiking along with beneficial mutations, which compensate for the cost
188 of cooperation. Importantly, subpopulations alter their local environments,
189 which feeds back to influence selection. Here, we explore how such niche con-
190 struction affects the evolution of cooperation.

191 Cooperation Persists with Niche Construction

192 Without any opportunity for adaptation ($L = 0$), cooperators are swiftly elim-
193 inated in competition with defectors (Figure 2A). Despite an initial lift in
194 cooperator abundance due to increased productivity, the cost of cooperation

195 becomes disadvantageous as migration mixes the initially isolated subpopula-
 196 tions. When populations can adapt to the external environment ($L = 5$ and
 197 $\delta > 0$), but niche construction is absent ($\epsilon = 0$), cooperators are maintained
 198 only transiently (Figure 2B). Here, larger cooperator subpopulations can more
 199 quickly adapt to their external environment. However, as previously described
 200 by Hammarlund et al. (2015), cooperation is subsequently lost once popula-
 201 tions become fully adapted to their environment. This occurs when isogenic
 202 defectors (i.e., defectors with identical adaptive loci) arise via mutation and
 203 displace cooperators due to their selective advantage. However, when niche
 204 construction feeds back to influence selection ($\epsilon > 0$), cooperation persists in
 205 the majority of the replicate populations (Figure 2C). We see in Figure 3A that
 206 despite oscillations in the proportion of cooperators, cooperation is maintained
 207 at high levels in these populations.

208 **Fitness Increases Alone do not Support Persisting Coop-** 209 **eration**

210 In the model, adaptations to both the external environment and the con-
 211 structed environment contribute to an individual's fitness. To determine
 212 whether cooperation is maintained solely due to the larger selective values
 213 that result from the contributions of niche construction (ϵ), we performed
 214 simulations in which these contributions were removed ($\epsilon = 0$), and we in-
 215 stead increased the fitness benefits conferred by adaptation to the external,
 216 non-constructed environment ($\delta = 0.6$). In doing so, we conservatively esti-

mate the selective effects of niche construction by supplementing the selective benefits of adaptations to the external environment by the maximum possible selective benefit that results from niche construction. Nevertheless, we find that simply increasing selective values does not enable cooperators to persist (Figure 3B). Niche construction, therefore, plays a decisive role here.

Negative Niche Construction is Critical to Cooperator Persistence

In our model, an adaptation to a constructed aspect of the environment initiates a new instance of niche construction, leading to sequentially increasing allelic states across the adaptive loci. Under certain conditions, this construction always makes the constructor suboptimal for the niche it creates (see Box 1). This negative niche construction occurs when the number of adaptive alleles (A) does not divide evenly into the number of adaptive loci (L). In such a case, any sequence of integers on the circular genome will always contain a break in the sequence; that is, one locus with an allele that is not one less than the allele at the next locus. Given this unavoidable mismatch, any type that has fixed will always construct a niche that favors selection for a new type. When negative niche construction is removed (by setting $L = 5$, $A = 5$), cooperators are again driven extinct after an initial lift in abundance (Figure 3C). These results indicate that the type of niche construction matters. Specifically, negative niche construction is crucial for maintaining cooperation.

Selective Feedbacks Limit Defector Invasion

The adaptation resulting from selective feedbacks can limit invasion by defectors, which arise either through immigration from neighboring patches or through mutation from a cooperator ancestor. The latter challenge is particularly threatening, as these isogenic defectors are equally adapted, yet do not incur the cost of cooperation. As demonstrated in [Figure 4A](#), isogenic defectors rapidly spread when introduced at a single patch in the center of a population of cooperators if mutations do not occur. However, when cooperators can gain adaptations via mutation, cooperators resist defector invasion in over half of the replicate populations ([Figure 4B](#)). [Figure 5](#) depicts one such instance. In that population, defectors quickly began to spread. However, an adaptation arose in a neighboring cooperator population. This type spreads more quickly, stopping the spread of defectors and eventually driving them to extinction. Because this adaptation occurred in a cooperator population, cooperation was able to hitchhike to safety. Importantly, this new cooperator was favored because of the niche that its ancestor created. Here, cooperators can find safety in numbers—because their larger populations have more mutational opportunities, they are more likely to gain adaptations that rescue them from invasion. Further, the larger number of cooperators more strongly constructs their niche, and thus more strongly favors an adapted type. This allows that type to appear and to spread more quickly in the population. [Figure 4C](#) shows how quickly an adapted cooperator type can invade a population of defectors.

Discussion

Despite their negative effects, deleterious traits can rise in abundance due to genetic linkage with other traits that are strongly favored by selection (Maynard Smith and Haigh, 1974). In a process termed the “Hankshaw effect”, Hammarlund et al. (2015) recently demonstrated that cooperation can actively prolong its existence by increasing its likelihood of hitchhiking with a beneficial trait. In that work and in ours, populations of cooperators grow to a higher density than those of defectors. Because of this, these cooperator populations experience more mutations and are therefore more likely to gain adaptations. While this process does favor cooperation in the short term, it eventually reaches a dead end; when the opportunities for adaptation are exhausted and cooperators can no longer hitchhike, they face extinction. In our current model, we have considered whether niche construction might serve to perpetually generate new adaptive opportunities and thus favor cooperation indefinitely.

When niche construction occurs, cooperation can indeed persist (Figures 2C and 3A). In our model, niche construction introduces additional selective effects that influence the evolutionary process, leading to a more pronounced Hankshaw effect. However, simply raising the fitness benefits conferred by adaptations does not maintain cooperators at high proportion (Figure 3B). This indicates that niche construction does play a crucial role.

Further, we find that it is specifically *negative* niche construction that maintains cooperation (Figure 3C). As cooperator and defector types gain adapta-

tions, they change the environment in a way that favors other types. In this way, negative niche construction serves as a perpetual source of adaptation. Here we observe another facet of the Hankshaw effect: because populations of cooperators are larger, they are better able to respond to the adaptive opportunities that result from negative niche construction. These opportunities can allow cooperators to resist invasion by defectors (Figure 4B). Although defectors initially have an advantage by saving on the cost of cooperation, subpopulations of cooperators can quickly gain an advantage because they are larger. Even after defector invasion, subpopulations of cooperators are more likely to produce the next adapted mutant, which can then displace the slower evolving defectors. It is these recurring cycles of defector invasion and cooperator adaptation that underlie the oscillations in cooperator proportion that we see in Figure 3A. When an adaptation to the constructed environment does not occur in the cooperator background before the defector dominates, the defector can drive the cooperator to extinction. This is something that we see occur stochastically in Figures 3A and 4B.

Cooperation as Niche Construction

In our model, cooperation is orthogonal to niche construction, which allows us to focus on hitchhiking. However, by increasing the size of the subpopulation, this form of cooperation can itself be seen as form of niche construction. Cooperative benefits often take similar forms in natural systems. For example, bacteria produce a host of extracellular products that scavenge soluble iron

305 (Griffin *et al.*, 2004), digest large proteins (Diggle *et al.*, 2007; Darch *et al.*,
306 2012), and reduce the risk of predation (Cosson *et al.*, 2002). As in our model,
307 such cooperative acts are likely to increase local population density. While
308 many studies have focused on how the environment affects the evolution of
309 these cooperative traits, relatively few have addressed how the environmental
310 changes created by public goods feed back to influence evolution.

311 Perhaps most similar to our work, Van Dyken and Wade (2012) demonstrated
312 that when two negative niche constructing, cooperative behaviors co-evolve,
313 selection can increasingly favor these traits, which were disfavored when alone.
314 In that model, “reciprocal niche construction” occurred when the negative feed-
315 back created by one strategy positively influenced selection on the other, cre-
316 ating a perpetual cycle that maintained both forms of cooperation. Arguably,
317 this can be viewed as an instance of hitchhiking: the currently-maladaptive
318 form of cooperation is maintained by association with the adaptive form.

319 When dispersal is limited, competition among kin can undermine cooperation.
320 To separate kin competition from kin selection, Lehmann (2007) developed
321 a model in which the selective feedbacks produced by a cooperative, niche-
322 constructing behavior only benefitted future generations. Kin competition
323 thereby was reduced, and cooperation instead benefitted descendants. This
324 work highlights an important aspect of niche construction—often, the rate of
325 selective feedback from niche construction is different from the rate at which
326 populations grow.

327 Evolution at Multiple Timescales

328 In our work, the niche is modeled implicitly by the composition of the popula-
329 tion. Any changes, therefore, in the population produce immediate effects on
330 the constructed environment and the resulting feedbacks. However, timescales
331 in our model could be de-coupled in two ways. First, cooperators modify their
332 niche by enabling their population to reach larger density (Equation 4). These
333 increased population sizes play a critical role by effectively increasing the rate
334 of evolution in these populations. Because of the importance of this process, it
335 would be very informative to explore how sensitive our results are to changes
336 in how long the increases in population size are upheld. Similarly, changes
337 in the timescale at which the niche at a patch change also have potential to
338 dramatically alter our results. Not only would changes in timescale affect the
339 selective values of alleles as the population changed, but they could also in-
340 fluence whether or not populations were able to evolve adapted types and if
341 so, how well those adapted types can propagate through the population to
342 address the threat of a defector.

343 Other studies, while not focused on cooperation, have similarly shown that the
344 timescales at which niche construction feedbacks occur can strongly influence
345 evolutionary outcomes (Laland *et al.*, 1996, 1999). This perspective is likely to
346 be crucial for understanding the evolution of cooperative behaviors like the pro-
347 duction of public goods. In these instances, environmental changes are likely
348 to occur on different timescales than growth, which can have profound effects.
349 For example, a multitude of factors including protein durability (Brown and

350 Taddei, 2007; Kümmerli and Brown, 2010), diffusion (Allison, 2005; Driscoll
 351 and Pepper, 2010), and resource availability (Zhang and Rainey, 2013; Ghoul
 352 *et al.*, 2014) influence both the rate and the degree to which public goods alter
 353 the environment. While Lehmann (2007) showed that cooperation was favored
 354 when selective feedbacks act over longer timescales, niche construction may in
 355 fact hinder cooperation when selection is more quickly altered. For example,
 356 when public goods accumulate in the environment, cooperators must decrease
 357 production to remain competitive (Kümmerli and Brown, 2010; Dumas and
 358 Kümmerli, 2012). This favors cooperation that occurs facultatively, perhaps
 359 by sensing the abiotic (Bernier *et al.*, 2011; Koestler and Waters, 2014) or
 360 biotic environment (Brown and Johnstone, 2001; Darch *et al.*, 2012). To allow
 361 our model to address how traits such as these evolve, we would first need to
 362 de-couple the niche from the composition of the population by representing
 363 the niche explicitly.

364 **Cooperation and Niche Construction in Host-Symbiont** 365 **Co-Evolution**

366 As niche construction becomes more independent, it develops its own state and
 367 dynamics. A logical next step, then, could be to treat the environment as a bi-
 368 ological entity itself, which could introduce additional evolutionary feedbacks.
 369 As the host population changes, so too does selection on their symbiont pop-
 370 ulations. Here, evolutionary outcomes depend greatly on the degree of shared
 371 interest between the host and symbiont. Future models could explicitly cap-

372 ture the environment as a biological entity to explore the rich coevolutionary
373 dynamics that these systems might offer.

374 For example, the cooperative production of virulence factors by the human
375 pathogen *P. aeruginosa* in lung infections is harmful to hosts with cystic fi-
376 brosis (Harrison, 2007). Similarly to what we have shown in this work, these
377 antagonistic, negative niche constructing behaviors might actually work to
378 maintain these infections. If this is the case, however, perhaps the case could
379 be made for developing treatments that target the selective feedback loop that
380 is created by niche construction. If these populations do indeed perpetually
381 benefit from adaptations that are created by niche construction, as we have
382 shown, case could perhaps be made for developing treatments that target the
383 selective feedback loop that provides adaptive opportunities in these spatial
384 environments. While the idea of removing negative selective feedbacks and sup-
385 porting stability may seem counterintuitive, if it makes the population more
386 susceptible, then perhaps pairing such a treatment with ones in which mutants
387 are introduced (e.g., Rumbaugh et al. (2009)), could significantly improve host
388 fitness. Expanding models such as ours to address the additional dynamics
389 present in host-symbiont systems such as these could be quite productive.

390 Or conversely, cooperative light production by *A. fischeri* is vital for the sur-
391 vival of its host, the Hawaiian bobtail squid (Ruby, 1996). While our current
392 model and that of Van Dyken and Wade (2012) have showed that negative
393 niche construction can play a decisive role in the evolution of cooperation, this
394 instance of positive niche construction is a textbook example of cooperation
395 and mutualism. Therefore, a greater understanding of the additional feedbacks

396 created in symbioses such as these could be gained from modeling. Similar to
397 our model, these host-symbiont systems likely have many other traits that are
398 orthogonal to cooperation. Perhaps combinations of certain types of behaviors
399 are important for maintaining cooperation, similar to what was shown by Van
400 Dyken and Wade (2012).

401 It was recently argued that incorporating the effects of niche construction is
402 critical for improving our understanding of viral evolution (Hamblin *et al.*,
403 2014) and evolution in co-infecting parasites (Hafer and Milinski, 2015). In-
404 corporating host dynamics, co-evolution, and the feedbacks that they produce
405 into models is likely to be equally important for gaining an understanding of
406 how cooperative behaviors, both positive and negative, evolve in these host-
407 symbiont settings.

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415 resources were provided by an award from Google Inc. (to BDC and BK).

⁴¹⁶ **Figures**

⁴¹⁷ **Figure 1**

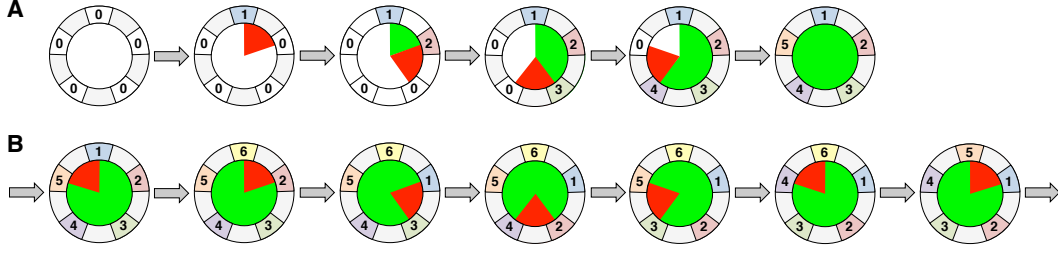


Figure 1: Adaptation to External and Constructed Environments. (A) We begin with the case with five adaptive loci ($L = 5$) and five non-zero alleles ($A = 5$). All simulations are initialized with a non-adapted genotype with allele 0 at every locus (far left). Random mutation will introduce a non-zero allele, which will increase in frequency. In this example, allele 1 arises at the first locus (in the “12 o’clock” position). The rest of this schematic focuses on niche construction. Every non-zero allele at any locus influences selection at the next locus in the clockwise direction. There is a “mismatch” in this genotype (highlighted by the red sector), because the niche constructed by allele 1 at the first locus favors allele 2, not 0, at its immediate clockwise neighbor (the second locus). Once the appropriate allele arises, it will be selected. In this case, the genotype $[1,2,0,0,0]$ receives an epsilon effect in addition to the extra delta. The “match” at the first and second locus is highlighted as a green sector. However, now there is a new mismatch between the second and third locus, which a new round of mutation and selection corrects, and so on. The green sector grows as the red sector ticks clockwise. Importantly, because A divides evenly into L , this genotype can evolve into a perfectly reinforcing sequence $[1,2,3,4,5]$, which enjoys a maximal epsilon increment of fitness due to niche construction. (B) The case of negative niche construction is illustrated for the case of five loci ($L = 5$) and six non-zero alleles ($A = 6$). Here we start with a population fixed for the genotype on the far left $[1,2,3,4,5]$. There is a single mismatch in this genotype (highlighted by the red sector), because the niche constructed by allele 5 favors allele 6, not 1, at its immediate clockwise neighbor. If the fitter mutant $[6,2,3,4,5]$ arises (see next genotype to the right), it will fix. (We note that the strength of selection will drop as its frequency increases). However, now there is a new mismatch in the genotype (highlighted again with a red sector). We see that correcting one mismatch generates a new mismatch. Thus, this system will never escape its mismatches—the red sector just clicks clockwise around the genome. Indeed, after six (or A) rounds of mismatch correction and generation, we have ended back where we started with the original genotype turned clockwise by one locus. Here, the adaptation to previous niche construction generates further niche construction that leads to novel adaptation.

418 **Figure 2**

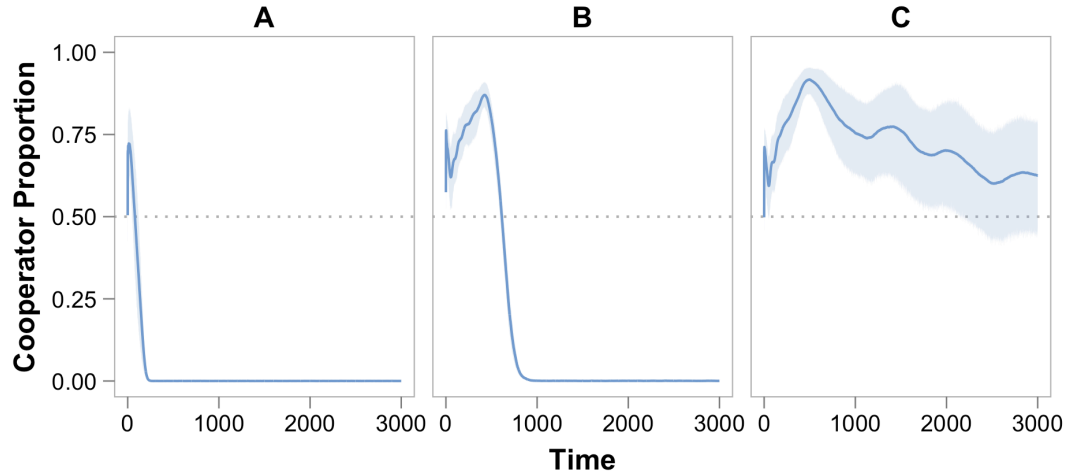


Figure 2: **Adaptation, Hitchhiking, and the Evolution of Cooperation.** Curves show the average cooperator proportion among replicate populations for the duration of simulations, and shaded areas indicate 95% confidence intervals. Unless otherwise noted, parameter values are listed in [Table 1](#). **(A)** Without any opportunity to adapt ($L = 0$), cooperation is quickly lost. **(B)** When adaptation can occur ($L = 5$), but niche construction does not affect selection ($\epsilon = 0$), cooperators rise in abundance by hitchhiking along with adaptations to the external environment. Nevertheless, this effect is transient, and cooperators eventually become extinct. **(C)** Niche construction enables cooperation to be maintained indefinitely. In the majority of populations, cooperation remained the dominant strategy. The trajectories of individual populations are shown in Figure 3A.

419 **Figure 3**

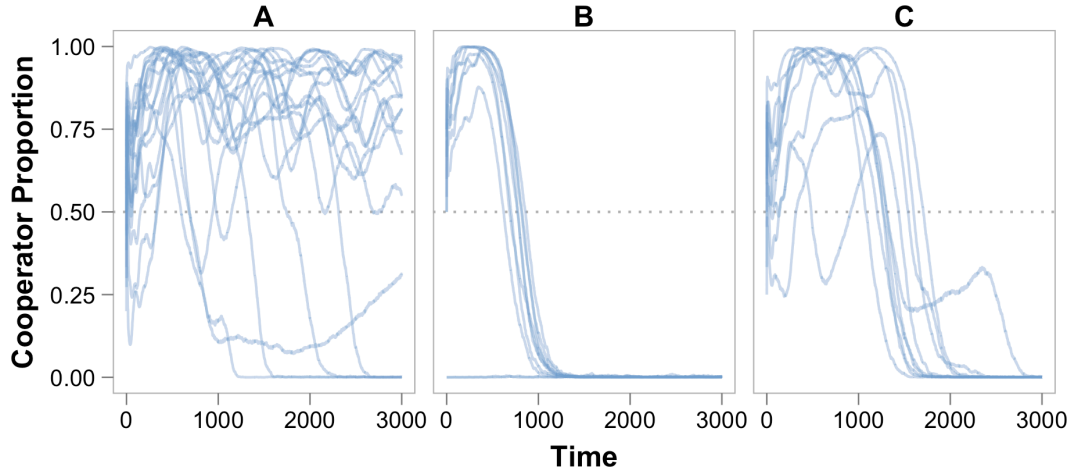


Figure 3: Niche Construction and the Evolution of Cooperation. The proportion of cooperators present in each replicate population is shown for the duration of simulations. **(A)** Despite some oscillations, cooperation dominates in 13 of 18 populations when niche construction affects selection. **(B)** When the selective effects of niche construction (ϵ) are removed, and the selective benefit of adaptation to the external environment (δ) is increased to compensate, cooperators are driven to extinction by isogenic defectors that arise by mutation ($\epsilon = 0$, $\delta = 0.6$). Note that cooperation was not present after initialization in one replicate population. **(C)** Cooperators are also driven to extinction without negative niche construction ($A = 5$).

420 **Figure 4**

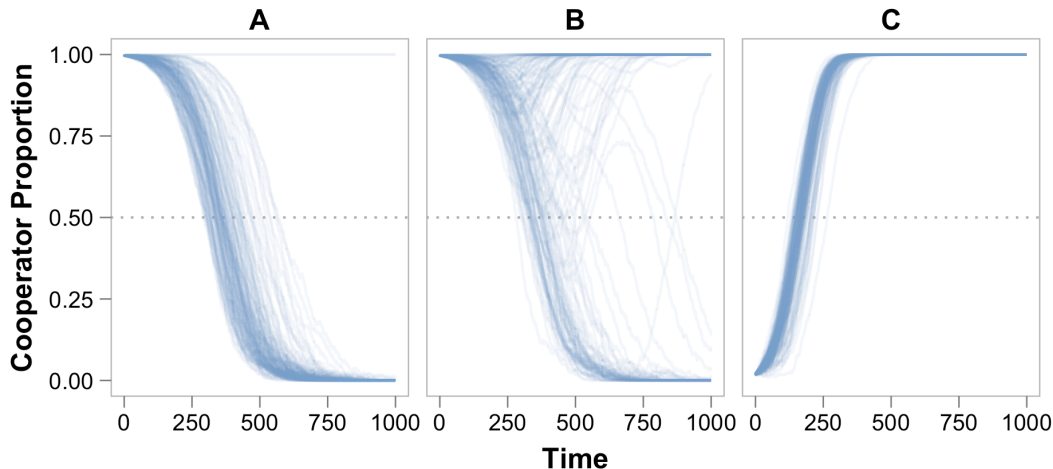


Figure 4: **Niche Construction and Invasion.** Curves trace the proportion of cooperators present in each replicate population for the duration of simulations ($T = 1000$). In each simulation, a rare type was initiated at a single patch in the center of the population lattice ($N^2 = 121$). Unless otherwise noted, mutations are disabled in these ecological simulations to highlight the dynamics of invasion ($\mu_a = 0, \mu_c = 0$). **(A)** When cooperators and defectors are isogenic (i.e., both types have stress alleles [1,2,3,4,5]), rare defectors quickly invade and drive cooperators to extinction due to the cost of cooperation. Defectors were stochastically eliminated in 2 replicate populations. **(B)** However, negative niche construction creates adaptive opportunities that enable cooperators to resist invasion by isogenic defectors. Here, cooperation remained the dominant in 91 of 160 populations ($\mu_a = 0.00005$). Results from simulations where mutations also occurred at the cooperation locus are shown in Figure S1. **(C)** In fact, an adapted cooperator type (stress alleles [6,2,3,4,5], see Figure 1) can swiftly displace defectors when isogenic defectors cannot arise or adapt via mutation.

⁴²¹ **Figure 5**

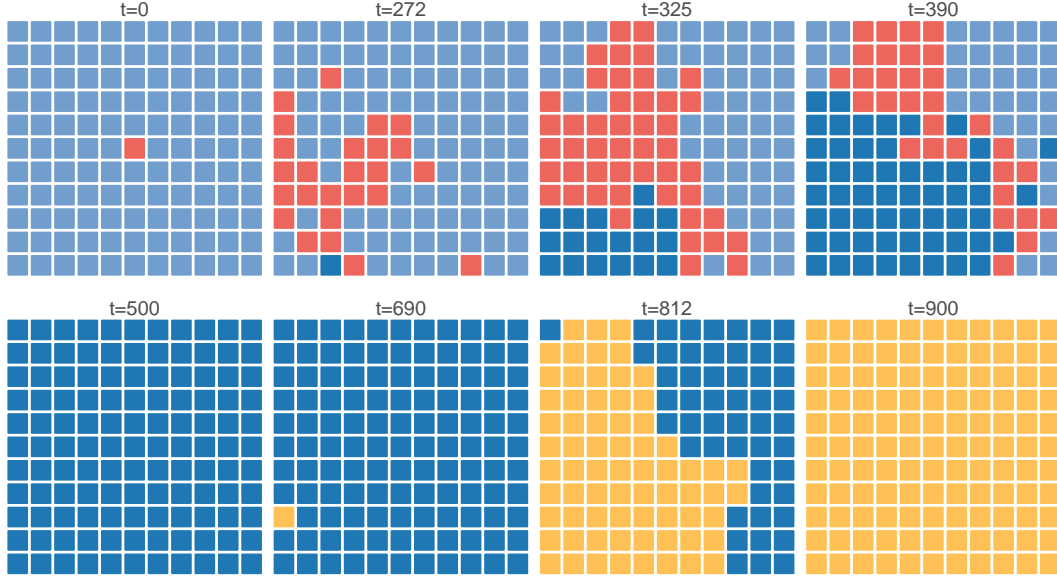


Figure 5: **Cooperator Adaptation Prevents Defector Invasion.** Here we depict the distribution of dominant types among subpopulations over time for one representative simulation in which isogenic defectors arise. To highlight the effects of adaptation, mutations did not occur at the cooperation locus ($\mu_c = 0$). At time $t = 0$ (upper left panel), a single isogenic defector population (red) is placed among cooperator populations (light blue). Because these defectors do not bear the costs of cooperation, they spread ($t = 272$). However, cooperators in one population gain an adaptation that gives them a fitness advantage over defectors (second panel, dark blue, lower left). At $t = 325$, defectors continue to invade cooperator populations. However, the adapted cooperator type spreads more quickly due to its fitness advantage, invading both defector populations and ancestral cooperator populations ($t = 390$), until it eventually fixes in the population ($t = 500$). At $t = 690$, a new cooperator type emerges that is favored due to negative niche construction (orange). This new type spreads rapidly ($t = 812$) until reaching fixation ($t = 900$). At this point, it becomes susceptible to invasion by the next “adapted” cooperator type, and the cycle continues.

422 **Supplemental Figure 1**

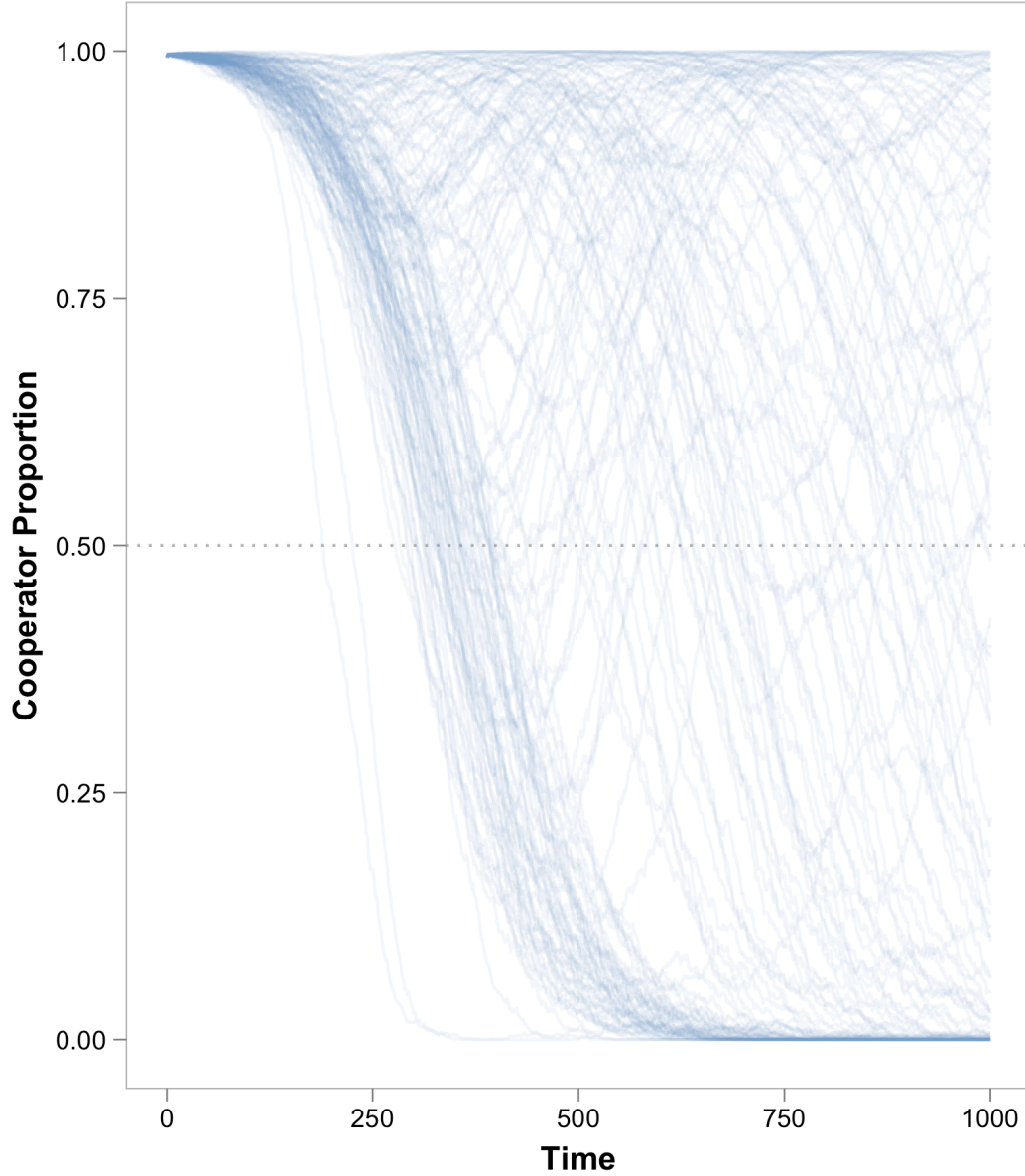


Figure S1: **Defector Invasion with Mutations.** The proportion of co-operators present in each replicate population is shown for the duration of simulations ($T = 1000$). When mutations occur both at the adaptive loci and the cooperation locus ($\mu_a = \mu_c = 0.00005$), cooperation remains dominant in 58 of 160 replicate populations.

Table 1: Model parameters and their value

Parameter	Description	Base Value
L	Number of adaptive loci	5
c	Fitness cost of cooperation	0.1
A	Number of alleles	6
δ	Fitness benefit, adaptation to external environment	0.3
ϵ	Fitness benefit, adaptation to constructed environment	0.00015
z	Baseline fitness	1
S_{min}	Minimum subpopulation size	800
S_{max}	Maximum subpopulation size	2000
μ_a	Mutation rate (adaptation)	10^{-5}
μ_c	Mutation rate (cooperation)	10^{-5}
N^2	Number of patches	625
m	Migration rate	0.05
p_0	Initial cooperator proportion	0.5
σ	Survival rate at population initialization	10^{-5}
T	Number of simulation cycles	3000
d	Subpopulation dilution factor	0.1

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