## TODO title

<sub>2</sub> TODO

1

10

11

12

13

14

15

16

17

18

19

3 Abstract

Through their interactions, their activities, and even their mere presence, organisms change the environment for themselves and others. This "niche construction" process becomes particularly interesting when it creates evolutionary feedback, whereby selective pressures are altered in response to environmental change. Here we consider how niche construction influences the evolution of cooperation, which has been a longstanding challenge to evolutionary theory. We simulate populations of individuals that cooperatively produce a public good that permits increased growth in a stressful environment and investigate how local- and global-scale niche construction affects the ability of these populations to resist invasion by non-producing cheats. We find that niche construction profoundly impacts the evolution of cooperation by creating new opportunities for adaptation. Cooperators are able to escape subversion by cheats as long as niche construction clears these paths of adaptation. This work provides a crucial step towards understanding how evolution occurs in complex environments like those found in nature.

## 20 Introduction

- Cooperative behaviors are common across all branches of the tree of life. Insects divide labor within their colonies, plants and soil bacteria exchange essential nutrients, birds care for others' young, and the trillions of cells in the human body restrain their growth and coordinate to provide vital functions. Each instance of cooperation presents an evolutionary challenge: How can individuals that sacrifice their own well-being to help others avoid subversion by those that do not? Over time, we would expect these defectors to rise in abundance at the expense of others, eventually driving cooperators—and perhaps the entire population—to extinction. Several factors can defer this potential tragedy of the commons (Hamilton, 1964; Hardin, 1968; Nowak, 2006; West et al., 2007b). For example, cooperators must benefit more from the cooperative act than others. This can occur when cooperators are clustered together in spatially-structured populations 33 (Fletcher and Doebeli, 2009; Nadell et al., 2010; Kuzdzal-Fick et al., 2011) or when cooperators use communication (Brown and Johnstone, 2001; Darch et al., 2012) or other cues (Sinervo et al., 2006; Gardner and West, 2010; Veelders et al., 2010) to cooperate conditionally with kin. Interestingly, cooperation can also be bolstered by genetic linkage with self-benefitting traits (Foster et al., 2004; Dandekar et al., 2012; Asfahl et al., 2015), setting the stage for an "adaptive race" in which cooperators and defectors vie for the first highly-beneficial non-social adaptation (Waite and Shou, 2012; Morgan et al., 2012).
- Hankshaw and Kerr (2015) recently showed that in spatially-structured pop-

ulations, cooperators gain a substantial leg up on defectors in an adaptive race when the cooperative behavior increases local population density, thus increasing the likelihood of acquiring beneficial non-social mutations. Nevertheless, this advantage is fleeting. As soon as the opportunities for adaptation are exhausted, cooperators are once again at a disadvantage against defectors. However, cooperation can be maintained indefinitely when frequent environmental changes produce a stream of non-social adaptive opportunities (If this isn't included in Hankshaw paper, remove this sentence and re-write **next**). Although natural organisms typically find themselves in changing environments, cooperators may not be able to rely on the the environment to provide sufficient adaptive opportunities for their long-term survival. Here, we extend the model presented in Hankshaw and Kerr (2015) to address whether the selective feedbacks generated by niche construction can act as a source of adaptive opportunities that enables cooperators to persist. In the model, cooperators produce a public good that increases the local carrying capacity for their population. As in Hankshaw and Kerr (2015), populations can also acquire non-social adaptations, which present an opportunity for cooperation to hitchhike along. In our extended model, populations alter their local environments based on the presence of these different non-social adaptations. As a result, selection for non-social alleles is altered, creating an eco-evolutionary feedback. This has several potential benefits for cooperators. First, because populations of cooperators are larger, they are more likely to gain mutations that are beneficial in the changing environment. Similarly, this difference in size means that larger cooperator populations "export" their

- niche at a higher rate than defectors. Finally, because each population constructs a unique niche, the threat of immigrant defectors may be diminished by maladaptation to a cooperator population's niche.
- Many instances of cooperatively-produced public goods benefit populations by making the environment more hospitable. For example, bacteria produce 71 a wide variety of extracellular products such as iron-scavenging siderophores (Griffin et al., 2004), proteases for digesting protein sources (Diggle et al., 2007; Darch et al., 2012), and many more (West et al., 2007a). While many studies have explored how the environment affects the evolution of these bahviors, relatively few have examined how the behaviors affect the environment or how that alters evolutionary trajectories. Lehmann (2007) demonstrated analytically that when niche construction via a cooperative act benefits future generations, cooperation is favored due to reduced competition among 79 kin. When rate-benefitting and yield-benefitting altruistic acts co-evolve, Van 80 Dyken and Wade (2012) showed that "reciprocal niche construction", where 81 the feedbacks produced by one act benefitted the other, can lead to increased selection for both traits. Finally, in a model of fire-prone ecosystems, Schwilk and Kerr (2002) observed an increase in flammability when that altruistic trait provided a clearing for offspring to grow and acquire adaptations to changing environments.
- Niche construction and cooperation \* (Platt and Bever, 2009) \* What's unique here—hitchhiking aspect
- $_{89}$  Here we explore how selective feedbacks from non-social phenotypes affect

- 90 TODO.
- Niche construction and selective feedbacks
- 92 Niche construction and other social interactions

## 93 Materials and Methods

We develop a computational model to observe the evolution of public goods
cooperation in a spatially-structured metapopulation of populations. As described below, populations grow to carrying capacity, mutate, and migrate
to neighboring patches. During this process, populations adapt to their local environments. The environments are, in turn, modified by the presence
of these adapted individuals, allowing each population to construct a unique
niche along its evolutionary trajectory. Model parameters and their values are
listed in Table 1.

#### 102 Individuals and Fitness

Each individual has a genotype of length L+1. A binary allele at the first locus determines whether or not the individual is a cooperator, which carries cost c. Note that we refer to all individuals with allelic state 0 at this locus as a "defector", regardless of origin. The remaining L loci are  $stress\ loci$ , and are each occupied by a 0 or an integer from the set  $A = \{1, \ldots, a_{max}\}$ , where  $a_{max}$  is the number of possible alleles. These alleles represent adaptations to the environment, and the number of loci determines the number of possible adaptations.

tations. All non-zero alleles carry fitness benefit  $\delta$ . Organisms also influence their environment, which can feed back to influence selection. We model this 111 as a form of frequency dependent selection. Specifically, the selective value 112 of stress allele a at locus i increases with the proportion of the population 113 that has allele a-1 (modulo  $a_{max}$ ) at locus i-1. The slope of this increase 114 is  $\epsilon$  (which gauges the intensity of niche construction). As a consequence of this form of frequency dependence, genotypes with sequentially increasing al-116 lelic states will tend to evolve. Because mutations are random, as described 117 below, each population will evolve sequences that start with different allelic 118 states. These different sequences represent the unique niches constructed by 119 populations. Under this model, the fitness of an individual with genotype q 120 is: 121

$$W_g = z + a_{g,1}c + \delta \sum_{l=2}^{L+1} I_A(a_{g,l}) + \epsilon \sum_{h=1}^{N} I_{a_{h,1}}(a_{g,1}) + \epsilon \sum_{l=2}^{L} n(a_{g,l})$$

where  $a_{g,l}$  represents the allelic state of genotype g at locus l, z is a baseline fitness, L is the number of stress loci, N is the population size at that patch, and c is the cost of the cooperative allele.  $I_x(y)$  indicates whether the allelic state y matches allelic state x (1) or not (0).  $n(a_{g,l})$  is the number of individuals in the population with allelic state at the previous locus equal to one less than that at the focal locus  $a_{g,l}$ , or:

$$n(a_{g,l}) = \sum_{h=1}^{N} I_{a_{g,l}} (1 + a_{h,l-1} (\bmod a_{max}))$$

## 28 Population Growth

If p is the proportion of cooperators in a population at the beginning of a growth cycle, then that population reaches the following size during the growth phase:

$$S(p) = S_{min} + p(S_{max} - S_{min})$$

Therefore, a population composed entirely of defectors reaches size  $S_{min}$ , while
one composed entirely of cooperators reaches size  $S_{max}$  (with  $S_{max} \geq S_{min}$ ).

The function S(p) gauges the benefit of public good production, as population size increases linearly with the proportion of cooperators. During growth,
competition occurs. Consider an arbitrary genotype g. Let  $n_g$  be the number of individuals with genotype g, and let  $W_g$  be the fitness of genotype g(see equation [1]). The composition of genotypes after population growth is multinomial with parameters S(p) and  $\{\pi_1, \pi_2, \dots, \pi_{|G|}\}$ , where:

$$\pi_g = \frac{n_g W_g}{\sum_{i=1}^G n_i W_i}$$

Thus,  $\pi_g$  is the probability that an individual in the population after growth has genotype g (such that  $\sum \pi_g = 1$ ). G represents the set of all  $(a_{max} + 1)^L$  genotypes.

#### 143 Mutation

For simplicity, we apply mutation after population growth. These mutations occur independently at each locus and result in an allelic state change. At the binary cooperation locus, mutations flip the allelic state at rate  $\mu_c$ , causing cooperators to become defectors and vice versa. Mutations at a stress locus cause a new allelic state to be chosen at random from the set  $\{0\} \cup A$ . These mutation occur at each stress locus at rate  $\mu_s$ . Therefore, the probability that genotype g mutates into genotype g' is given by:

$$\tau_{g \to g'} = \mu_s^{H_s(g,\ g')} (1 - \mu_s)^{\{L - H_s(g,\ g')\}} \mu_c^{H_p(g,\ g')} (1 - \mu_c)^{\{1 - H_p(g,\ g')\}}$$

where  $H_s(g, g')$  and  $H_p(g, g')$  are the Hamming distances between genotypes g and g' at the stress loci and cooperation locus, respectively. The Hamming distance is the number of loci at which allelic states differ. Because there is no inherent relationship among alleles, each of the  $a_{max} + 1$  alleles is equally likely to arise via mutation at a given locus.

## 156 Migration and Metapopulation Structure

The metapopulation consists of  $N^2$  patches arranged in a  $N \times N$  lattice. After mutation, individuals emigrate to an adjacent patch with probability m. This adjacent patch is randomly chosen with uniform probability from the source patch's Moore neighborhood, which is composed of the nearest 8 patches on the lattice. Because the metapopulation lattice has boundaries, patches located

on an edge have smaller neighborhoods.

#### <sub>63</sub> Initialization and Simulation

Metapopulations are initiated in a state that follows the onset of an environ-164 mental stress. First, populations are seeded at each patch with cooperator 165 proportion  $p_0$  and grown to density  $S(p_0)$ . Stress is then introduced by sub-166 jecting the population to a bottleneck. The number of survivors with each 167 genotype g is sampled from a binomial distribution, where the number of tri-168 als is  $n_g$ . The probability of success is  $\mu_t$ , which represents the likelihood that 169 a mutation occurs that enables survival. Because individuals have not yet adapted to this new stress, the allelic state of each genotype is set to 0 at each 171 stress locus ( $\forall g \in G, l \in \{2, ..., L+1\} : a_{g,l} = 0$ ). Following initialization, 172 simulations are run for T cycles, where each cycle consists of growth, mutation, 173 and migration. After migration, populations are thinned to allow for growth in 174 the next cycle. The number of survivors for each genotype q is sampled from 175 a binomial distribution, where the number of trials is  $n_g$  and the probability 176 of success is d. 177

#### Source Code and Software Environment

The simulation software and configurations for the experiments reported are available online (Us, 2015). Simulations used Python 3.4.0, NumPy 1.9.1, Pandas 0.15.2 (McKinney, 2010), NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data analyses were performed with R 3.1.3 (R Core Team, 2015).

## 183 Results

184 results...

## Discussion

```
• summary of results
186
       • future primacy/recency
187
           - Laland et al. (1996)
188
           - Lehmann (2007)
189
       • public goods as niche construction
190
       • Host symbiont - many instances of cooperation occur among pathogens.
191
         future QS or other environmental sensing
192
       • Facultative cooperation
193
           - Rodrigues (2012)
194
           - Dumas and Kümmerli (2010)
195
           - Kümmerli and Brown (2010)
196
           - Darch/Diggle
197
           - QS?
198
           - Environmental Sensing? # Acknowledgments
199

    PRFB

200
       • BEACON
201
       • Google
202
```

• Organizers?

# $_{204}$ Figures

## Tables

Table 1: Model parameters and their value.

Parameter	Description	Base Value
$\overline{L}$	Number of Stress Loci	3
$a_{max}$	Number of alleles	4
δ	Fitness benefit, nonzero alleles	0.5
$\epsilon$	Fitness benefit, sequential alleles	TODO
c	Production Cost	0.1
z	Baseline fitness	1
$S_{min}$	Minimum Population Size	800
$S_{max}$	Maximum Population Size	2000
$\mu_s$	Mutation Rate (Stress)	$10^{-5}$
$\mu_c$	Mutation Rate (Cooperation)	$10^{-5}$
$\mu_t$	Mutation Rate (Tolerance to New Stress)	$10^{-5}$
m	Migration Rate	0.05
d	Population Dilution Factor	0.1
$N^2$	Number of Metapopulation Sites	625
$p_0$	Initial Cooperator Proportion	0.5
<i>T</i>	Number of Simulation Cycles	TODO

## References

- <sup>207</sup> Asfahl, K.L., Walsh, J., Gilbert, K. and Schuster, M. 2015. Non-social adap-
- 208 tation defers a tragedy of the commons in Pseudomonas aeruginosa quorum
- <sup>209</sup> sensing. The ISME Journal, doi: 10.1038/ismej.2014.259.
- 210 Brown, S.P. and Johnstone, R.A. 2001. Cooperation in the dark: Signalling
- 211 and collective action in quorum-sensing bacteria. Proceedings of the Royal
- 212 Society of London B: Biological Sciences, 268: 961–965.
- Dandekar, A.A., Chugani, S. and Greenberg, E.P. 2012. Bacterial quorum
- sensing and metabolic incentives to cooperate. Science, 338: 264–266.
- Darch, S.E., West, S.A., Winzer, K. and Diggle, S.P. 2012. Density-dependent
- 216 fitness benefits in quorum-sensing bacterial populations. Proceedings of the
- National Academy of Sciences, 109: 8259–8263.
- Diggle, S.P., Griffin, A.S., Campbell, G.S. and West, S.A. 2007. Cooperation
- and conflict in quorum-sensing bacterial populations. *Nature*, **450**: 411–414.
- Fletcher, J.A. and Doebeli, M. 2009. A simple and general explanation for the
- evolution of altruism. Proceedings of the Royal Society B: Biological Sciences,
- 222 **276**: 13–19.
- Foster, K., Shaulsky, G., Strassmann, J., Queller, D. and Thompson, C. 2004.
- Pleiotropy as a mechanism to stabilize cooperation. *Nature*, **431**: 693–696.
- Nature Publishing Group.
- Gardner, A. and West, S.A. 2010. Greenbeards. Evolution, 64: 25–38.
- Griffin, A.S., West, S.A. and Buckling, A. 2004. Cooperation and competition

- in pathogenic bacteria. Nature, 430: 1024–1027.
- Hagberg, A.A., Schult, D.A. and Swart, P.J. 2008. Exploring network struc-
- ture, dynamics, and function using NetworkX. In: Proceedings of the 7th
- 231 Python in science conference (SciPy2008), pp. 11–15.
- Hamilton, W.D. 1964. The genetical evolution of social behaviour I & II.
- 233 Journal of Theoretical Biology, 7: 1–52.
- Hankshaw, S. and Kerr, B. 2015. Hitching a ride on the evolutionary super-
- highway. Unknown Journal, 0: 0–0.
- <sup>236</sup> Hardin, G. 1968. The tragedy of the commons. *Science*, **162**: 1243–1248.
- Kuzdzal-Fick, J.J., Fox, S.A., Strassmann, J.E. and Queller, D.C. 2011. High
- 238 relatedness is necessary and sufficient to maintain multicellularity in Dic-
- 239 tyostelium. *Science*, **334**: 1548–1551.
- Laland, K.N., Odling-Smee, F.J. and Feldman, M.W. 1996. The evolutionary
- 241 consequences of niche construction: A theoretical investigation using two-locus
- theory. Journal of Evolutionary Biology, 9: 293–316.
- Lehmann, L. 2007. The evolution of trans-generational altruism: Kin selec-
- tion meets niche construction. Journal of Evolutionary Biology, 20: 181–189.
- 245 Blackwell Publishing Ltd.
- McKinney, W. 2010. Data structures for statistical computing in python. In:
- 247 Proceedings of the 9th python in science conference (S. van der Walt and J.
- <sup>248</sup> Millman, eds), pp. 51–56.
- Morgan, A.D., Quigley, B.J.Z., Brown, S.P. and Buckling, A. 2012. Selection

- on non-social traits limits the invasion of social cheats. *Ecology Letters*, 15:
- 251 841-846.
- Nadell, C.D., Foster, K.R. and Xavier, J.B. 2010. Emergence of spatial struc-
- ture in cell groups and the evolution of cooperation. PLoS Computational
- 254 Biology, **6**: e1000716.
- Nowak, M.A. 2006. Five rules for the evolution of cooperation. Science, 314:
- 256 1560-1563.
- Platt, T.G. and Bever, J.D. 2009. Kin competition and the evolution of coop-
- eration. Trends in Ecology & Evolution, 24: 370–377.
- 259 R Core Team. 2015. R: A language and environment for statistical computing.
- <sup>260</sup> Vienna, Austria: R Foundation for Statistical Computing.
- Schwilk, D.W. and Kerr, B. 2002. Genetic niche-hiking: An alternative expla-
- 262 nation for the evolution of flammability. Oikos, 99: 431–442.
- Sinervo, B., Chaine, A., Clobert, J., Calsbeek, R., Hazard, L. and Lancaster,
- Let al. 2006. Self-recognition, color signals, and cycles of greenbeard mutu-
- alism and altruism. Proceedings of the National Academy of Sciences, 103:
- 266 7372-7377.
- Us. 2015. Name for data and code.
- Van Dyken, J.D. and Wade, M.J. 2012. Origins of altruism diversity II: Run-
- 269 away coevolution of altruistic strategies via "reciprocal niche construction".
- 270 Evolution, **66**: 2498–2513.
- Veelders, M., Brückner, S., Ott, D., Unverzagt, C., Mösch, H.-U. and Essen,

- 272 L.-O. 2010. Structural basis of flocculin-mediated social behavior in yeast.
- 273 Proceedings of the National Academy of Sciences, 107: 22511–22516.
- Waite, A.J. and Shou, W. 2012. Adaptation to a new environment allows coop-
- erators to purge cheaters stochastically. Proceedings of the National Academy
- of Sciences, **109**: 19079–19086.
- West, S.A., Diggle, S.P., Buckling, A., Gardner, A. and Griffin, A.S. 2007a.
- 278 The social lives of microbes. Annual Review of Ecology, Evolution, and Sys-
- 279 *tematics*, **38**: 53–77.
- West, S.A., Griffin, A.S. and Gardner, A. 2007b. Evolutionary explanations
- for cooperation. Current Biology, 17: R661–R672.