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3 Abstract

Through their interactions, their activities, and even their mere presence, organisms change the environment for themselves and others. This "niche construction" process becomes particularly interesting when it creates evolutionary feedback, whereby selective pressures are altered in response to environmental change. Here we consider how niche construction influences the evolution of cooperation, which has been a longstanding challenge to evolutionary theory. We simulate populations of individuals that cooperatively produce a public good that permits increased growth in a stressful environment and investigate how local- and global-scale niche construction affects the ability of these populations to resist invasion by non-producing cheats. We find that niche construction profoundly impacts the evolution of cooperation by creating new opportunities for adaptation. Cooperators are able to escape subversion by cheats as long as niche construction clears these paths of adaptation. This work provides a crucial step towards understanding how evolution occurs in complex environments like those found in nature.

## 20 Introduction

- Cooperative behaviors are common across all branches of the tree of life. Insects divide labor within their colonies, plants and soil bacteria exchange essential nutrients, birds care for others' young, and the trillions of cells in the human body restrain their growth and coordinate to provide vital functions. Each instance of cooperation presents an evolutionary challenge: How can individuals that sacrifice their own well-being to help others avoid subversion by those that do not? Over time, we would expect these defectors to rise in abundance at the expense of others, eventually driving cooperators—and perhaps the entire population—to extinction. Several factors can defer this potential tragedy of the commons (Hamilton, 1964; Hardin, 1968; Nowak, 2006; West et al., 2007b). For example, cooperators must benefit more from the cooperative act than others. This can occur when cooperators are clustered together in spatially-structured populations 33 (Fletcher and Doebeli, 2009; Nadell et al., 2010; Kuzdzal-Fick et al., 2011) or when cooperators use communication (Brown and Johnstone, 2001; Darch et al., 2012) or other cues (Sinervo et al., 2006; Gardner and West, 2010; Veelders et al., 2010) to cooperate conditionally with kin. Interestingly, cooperation can also be bolstered by genetic linkage with self-benefitting traits (Foster et al., 2004; Dandekar et al., 2012; Asfahl et al., 2015), setting the stage for an "adaptive race" in which cooperators and defectors vie for the first highly-beneficial non-social adaptation (Waite and Shou, 2012; Morgan et al., 2012).
- Using a model of public goods production, Hankshaw and Kerr (2015) recently

showed that in spatially-structured populations, cooperators gain a substantial leg up on defectors in an adaptive race when the cooperative behavior increases local population density, thus increasing the likelihood of acquiring beneficial non-social mutations. By hitchhiking along with these adaptations, cooperators can rapidly rise in abundance. Nevertheless, this advantage is fleeting. As soon as the opportunities for adaptation are exhausted, cooperators are once again at a disadvantage against defectors, particularly those that arise from within via mutations that disable cooperation. However, cooperation can be maintained indefinitely when frequent environmental changes produce a steady stream of non-social adaptive opportunities. Although organisms typically find themselves in dynamic environments, change might not occur rapidly enough to provide the adaptive opportunities that cooperators need to ensure their long-term survival. Here, we extend the model presented in Hankshaw and Kerr (2015) to explore whether the selective feedbacks produced as populations modify their environment can act as an additional source of adaptive opportunities. As previously described, this model follows the evolution of cooperation in a metapopulation of populations connected by spatially-limited migration. Cooperators produce a public good that increases the local carrying capacity. Through mutation, individuals gain non-social adaptations that confer fitness benefits. These benefits are large enough so that an adapted cooperator is more fit than a lessadapted defector (note that for simplicity, we refer to all non-cooperators as "defectors", regardless of their origin). Because of their larger sizes, cooperator

populations are more likely to acquire these adaptations. However, cooper-

ator populations remain susceptible to invasion by adapted defectors either immigrate from a nearby populaion or arise via social mutation. In our expanded version of this model, populations after their local environment based on the presence of different non-social adaptations. Frequency-dependent selection on these adaptations creates an eco-evolutionary feedback that increasingly favors the adaptations present in each population. We focus on two aspects in which the production of public goods is affected by these selective feedbacks. First, the creation of unique niches may diminish the ability of both cooperators and defectors to invade neighboring patches due to maladaptation. However, because cooperator populations are larger, the greater number of emigrants that they produce will allow them to "export" their niche at a higher rate than defectors. As a result, cooperators may be able to ex-78 pand more quickly. Second, larger cooperator populations will experience more mutations, which better enables these populations to adapt to changing environments. If niche construction produces continual change, can the resulting 81 adaptive opportunities maintain cooperation? By their very nature, public goods benefit populations by making their environment more hospitable. For example, bacteria produce extracellular products that find soluble iron (Griffin et al., 2004), digest large proteins (Diggle et al., 2007; Darch et al., 2012), and reduce the risk of predation (Cosson et al., 2002), among many others (West et al., 2007a). While many studies have explored how the environment affects the evolution of cooperative bahviors, relatively few have examined how those behaviors affect the environment and the resulting change in evolutionary trajectories. Lehmann (2007) demonstrated analytically that when niche construction act benefits future generations, cooperation is favored due to reduced competition among kin. When rate-benefitting and yield-benefitting altruistic acts co-evolve, Van Dyken and Wade (2012) showed that "reciprocal niche construction", where the selective feedbacks produced by one act benefitted the other, can lead to increased selection for both traits. While these studies have focused on the niche constructing effects of cooperation, we instead focus our attention here on how niche construction enables cooperators to escape defection by hitchhiking along with non-social traits.

## 99 Materials and Methods

We develop a computational model to observe the evolution of public goods cooperation in a spatially-structured metapopulation of populations. As described below, populations grow to carrying capacity, mutate, and migrate to neighboring patches. During this process, populations adapt to their local environments. The environments are, in turn, modified by the presence of these adapted individuals, allowing each population to construct a unique niche along its evolutionary trajectory. Model parameters and their values are listed in Table 1.

#### 108 Individuals and Fitness

Each individual has a length L+1 genotype. A binary allele at the first locus determines whether or not the individual is a cooperator, which carries cost

c. Note that we refer to all individuals with allelic state 0 at this locus as a "defector", regardless of origin. The remaining L loci are stress loci, and 112 are each occupied by a 0 or an integer from the set  $A = \{1, \dots, a_{max}\}$ , where 113  $a_{max}$  is the number of possible alleles. These alleles represent adaptations to the environment, and the number of loci determines the number of possible 115 adaptations. All non-zero alleles carry fitness benefit  $\delta$ . When  $\delta \geq c$ , adapted 116 cooperators recoup the cost of cooperation. Organisms also influence their 117 environment, which can feed back to influence selection. We model this as a 118 form of frequency dependent selection. Specifically, the selective value of stress 119 allele a at locus i increases with the proportion of the population that has allele 120 a-1 (modulo  $a_{max}$ ) at locus i-1. The slope of this increase is  $\epsilon$  (which gauges 121 the intensity of niche construction). As a consequence of this form of frequency 122 dependence, genotypes with sequentially increasing allelic states will tend to 123 evolve. Because mutations are random, as described below, each population 124 will evolve sequences that start with different allelic states. These different 125 sequences represent the unique niches constructed by populations. Under this 126 model, the fitness of an individual with genotype g is: 127

$$W_g = z + a_{g,1}c + \delta \sum_{l=2}^{L+1} I_A(a_{g,l}) + \epsilon \sum_{h=1}^{N} I_{a_{h,1}}(a_{g,1}) + \epsilon \sum_{l=2}^{L} n(a_{g,l})$$

where  $a_{g,l}$  represents the allelic state of genotype g at locus l, z is a baseline fitness, L is the number of stress loci, N is the population size at that patch, and c is the cost of the cooperative allele.  $I_x(y)$  indicates whether the allelic state y matches allelic state x (1) or not (0).  $n(a_{g,l})$  is the number of individuals in the population with allelic state at the previous locus equal to one less than that at the focal locus  $a_{g,l}$ , or:

$$n(a_{g,l}) = \sum_{h=1}^{N} I_{a_{g,l}} (1 + a_{h,l-1}(\bmod a_{max}))$$

#### Population Growth

If p is the proportion of cooperators in a population at the beginning of a growth cycle, then that population reaches the following size during the growth phase:

$$S(p) = S_{min} + p(S_{max} - S_{min})$$

Therefore, a population composed entirely of defectors reaches size  $S_{min}$ , while one composed entirely of cooperators reaches size  $S_{max}$  (with  $S_{max} \geq S_{min}$ ). The function S(p) gauges the benefit of public good production, as population size increases linearly with the proportion of cooperators. During growth, competition occurs. Consider an arbitrary genotype g. Let  $n_g$  be the number of individuals with genotype g, and let  $W_g$  be the fitness of genotype g (see equation [1]). The composition of genotypes after population growth is multinomial with parameters S(p) and  $\{\pi_1, \pi_2, \ldots, \pi_{|G|}\}$ , where:

$$\pi_g = \frac{n_g W_g}{\sum_{i=1}^G n_i W_i}$$

Thus,  $\pi_g$  is the probability that an individual in the population after growth

has genotype g (such that  $\sum \pi_g = 1$ ). G represents the set of all  $(a_{max} + 1)^L$  genotypes.

#### 149 Mutation

For simplicity, we apply mutation after population growth. These mutations occur independently at each locus and result in an allelic state change. At the binary cooperation locus, mutations flip the allelic state at rate  $\mu_c$ , causing cooperators to become defectors and vice versa. Mutations at a stress locus cause a new allelic state to be chosen at random from the set  $\{0\} \cup A$ . These mutation occur at each stress locus at rate  $\mu_s$ . Therefore, the probability that genotype g mutates into genotype g' is given by:

$$\tau_{g \to g'} = \mu_s^{H_s(g, \ g')} (1 - \mu_s)^{\{L - H_s(g, \ g')\}} \mu_c^{H_p(g, \ g')} (1 - \mu_c)^{\{1 - H_p(g, \ g')\}}$$

where  $H_s(g, g')$  and  $H_p(g, g')$  are the Hamming distances between genotypes g and g' at the stress loci and cooperation locus, respectively. The Hamming distance is the number of loci at which allelic states differ. Because there is no inherent relationship among alleles, each of the  $a_{max} + 1$  alleles is equally likely to arise via mutation at a given locus.

## Migration and Metapopulation Structure

The metapopulation consists of  $N^2$  patches arranged in a  $N \times N$  lattice. After mutation, individuals emigrate to an adjacent patch with probability m. This

adjacent patch is randomly chosen with uniform probability from the source patch's Moore neighborhood, which is composed of the nearest 8 patches on the lattice. Because the metapopulation lattice has boundaries, patches located on an edge have smaller neighborhoods.

#### Initialization and Simulation

Metapopulations are initiated in a state that follows the onset of an environ-170 mental stress. First, populations are seeded at each patch with cooperator 171 proportion  $p_0$  and grown to density  $S(p_0)$ . Stress is then introduced by sub-172 jecting the population to a bottleneck. The number of survivors with each genotype g is sampled from a binomial distribution, where the number of tri-174 als is  $n_g$ . The probability of success is  $\mu_t$ , which represents the likelihood that 175 a mutation occurs that enables survival. Because individuals have not yet 176 adapted to this new stress, the allelic state of each genotype is set to 0 at each 177 stress locus ( $\forall g \in G, l \in \{2, ..., L+1\} : a_{g,l} = 0$ ). Following initialization, 178 simulations are run for T discrete cycles, where each cycle consists of growth, 179 mutation, and migration. After migration, populations are thinned to allow 180 for growth in the next cycle. The number of survivors for each genotype g is 181 sampled from a binomial distribution, where the number of trials is  $n_g$  and 182 the probability of success is d. 183

#### Source Code and Software Environment

The simulation software and configurations for the experiments reported are available online (Us, 2015). Simulations used Python 3.4.0, NumPy 1.9.1, Pandas 0.15.2 (McKinney, 2010), NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data analyses were performed with R 3.1.3 (R Core Team, 2015).

## 189 Results

190 results...

## Discussion

- summary of results
- similarities/differences from previous work
- Schwilk and Kerr (2002)
- future primacy/recency
- Laland et al. (1996)
- Lehmann (2007)
- public goods as niche construction
- Host symbiont many instances of cooperation occur among pathogens.
- future QS or other environmental sensing
- Facultative cooperation

- Rodrigues (2012)
- Dumas and Kümmerli (2010)
- Kümmerli and Brown (2010)
- Darch/Diggle
- QS?
- Environmental Sensing?
- 208 Niche construction and selective feedbacks
- Niche construction and other social interactions # Acknowledgments
- PRFB
- BEACON
- Google
- Organizers?

# $_{214}$ Figures

## 215 Tables

Table 1: Model parameters and their value.

Parameter	Description	Base Value
$\overline{L}$	Number of Stress Loci	5
$a_{max}$	Number of alleles	6
δ	Fitness benefit, nonzero alleles	0.3
$\epsilon$	Fitness benefit, sequential alleles	TODO
c	Production Cost	0.1
z	Baseline fitness	1
$S_{min}$	Minimum Population Size	800
$S_{max}$	Maximum Population Size	2000
$\mu_s$	Mutation Rate (Stress)	$10^{-5}$
$\mu_c$	Mutation Rate (Cooperation)	$10^{-5}$
$\mu_t$	Mutation Rate (Tolerance to New Stress)	$10^{-5}$
m	Migration Rate	0.05
d	Population Dilution Factor	0.1
$N^2$	Number of Metapopulation Sites	625
$p_0$	Initial Cooperator Proportion	0.5
<i>T</i>	Number of Simulation Cycles	TODO

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