# A Combination of Positive and Negative Niche

# 2 Construction Favors the Evolution of

# Cooperation

4 TODO

5 Abstract

6 TODO

## 7 Introduction

- 8 Cooperative behaviors are common across all branches of the tree of life. In-
- 9 sects divide labor within their colonies, plants and soil bacteria exchange es-
- sential nutrients, birds care for others' young, and the trillions of cells in the
- 11 human body restrain their growth and coordinate to provide vital functions.
- Each instance of cooperation presents an evolutionary challenge: How can in-
- dividuals that sacrifice their own well-being to help others avoid subversion by
- those that do not? Over time, we would expect these defectors to rise in abun-
- dance at the expense of others, eventually driving cooperators—and perhaps
- the entire population—to extinction.

Several factors can prevent this tragedy of the commons (Hamilton, 1964; Hardin, 1968; Nowak, 2006; West et al., 2007b). For example, cooperators 18 must benefit more from the cooperative act than others. One important fac-19 tor involves non-random social interaction, in which cooperators benefit more from the cooperative act than defectors. This can occur when cooperators are clustered together in spatially-structured populations (Fletcher and Doebeli, 2009; Nadell et al., 2010; Kuzdzal-Fick et al., 2011) or when cooperators use communication (Brown and Johnstone, 2001; Darch et al., 2012) or other cues (Sinervo et al., 2006; Gardner and West, 2010; Veelders et al., 2010) to cooperate conditionally with kin. Cooperation can also be bolstered by pleiotropic connections to personal benefits (Foster et al., 2004; Dandekar et al., 2012) or 27 alleles encoding self-benefitting traits (Asfahl et al., 2015). In the latter case, 28 the alleles may provide (private) benefits that are completely independent from the (public) benefits of cooperation. In a population of both cooperators and defectors, this sets the stage for an "adaptive race" in which both types vie 31 for the first highly beneficial adaptation (Waite and Shou, 2012; Morgan et al., 2012). The tragedy of the commons can be deferred if a cooperator, by chance, wins the adaptive race. Hammarlund et al. (2015) recently showed that in spatially structured populations, cooperators can gain a substantial leg up on defectors in an adaptive race. Specifically, cooperation increases local population density, thus increasing the likelihood of acquiring beneficial mutations. By hitchhiking along with these adaptations, the cooperative trait can rapidly rise in abundance. Nevertheless, this advantage is fleeting. As soon as the opportunities for adaptation

are exhausted, cooperators are once again at a disadvantage against defectors. However, Hammarlund et al. (2015) demonstrated that cooperation can be maintained indefinitely when frequent environmental changes produce a steady stream of adaptive opportunities. Although organisms typically find themselves in dynamic environments, change might not occur at a rate that provides sufficient adaptive opportunities to ensure long-term cooperator persistence.

In this work, we explore whether cooperation can be maintained indefinitely by niche construction. We expand upon the model presented in Hammarlund et al. (2015) to allow populations to alter their local environment. As environments change, so too does selection, which creates an eco-evolutionary feedback whereby selection is dependent on the genotypes present in the population, and the composition of genotypes is dependent on selection. Niche construction can be positive or negative, depending on whether the environmental change increases or decreases the fitness of the niche-constructing individual. We investigate whether these selective feedbacks can act as a continual source of adaptive opportunities for cooperators.

Although niche construction occurs independently of cooperation in our model, the increase in density that results from cooperation has a profound effect on how populations evolve in the presence of selective feedbacks. First, these populations exert greater influence on their environments, which better enables them to benefit from positive niche construction. Additionally, as environments change, either through negative niche construction or external influences, these larger populations can adapt more quickly. Finally, because large

- populations produce more emigrants, these populations will exert a stronger in-
- 66 fluence on neighboring populations, effectively exporting their niche. Because
- of these potential benefits, we also focus our attention on how population size
- and migration rate influence evolutionary outcomes in these environments.

## 69 Methods

We build upon the model described in Hammarlund et al. (2015), in which cooperators and defectors compete and evolve in a metapopulation (a collection
of populations). Individuals in each of the populations reproduce, mutate, and
migrate to neighboring populations. Importantly, adaptation that is independent of cooperation can occur. In our model here, we further allow populations
to modify their local environment, and these modifications feed back to affect
selection.

## 77 Model Description

Our simulated environment consists of  $N^2$  patches arranged as an  $N \times N$  lattice (see Table 1 for model parameters and their values), where each patch can support a population. Each individual in a population has a genotype, which is an ordered list of L+1 integers (loci). The first L loci are adaptive loci, and are each occupied by 0 or an integer from the set  $\{1, 2, ..., A\}$ , where A is the number of alleles conferring a selective benefit. Specifically, the presence of a non-zero allele at any of these loci represents an adaptation that confers fitness benefit  $\delta$ . A binary allele at locus L+1 determines whether or not that individual is a cooperator. Individuals with allelic state 1 at this locus are cooperators, carrying a cost c, while individuals with allelic state 0 are defectors. When  $\delta \geq c$ , a minimally adapted cooperator recoups the cost of cooperation.

Organisms also influence their environment, which, in turn, influences selection. 90 We model this as a form of density dependent selection. Specifically, the 91 selective value of adaptive allele a at locus l increases with the number of 92 individuals in the population that have allele a-1 at locus l-1. We treat 93 both adaptive loci and allelic states as "circular", so the allelic state at locus 94 1 is affected by the allelic composition of the population at locus L, and the 95 selective value of allele 1 at any locus increases with the number of individuals 96 carrying allele A at the previous locus. To make this circularity mathematically 97 crisp, we define a function giving the integer below x in the set  $\{1, 2, \dots, X\}$ 

$$\beta(x, X) = \operatorname{mod}_X(x - 2 + X) + 1 \tag{1}$$

Where  $\operatorname{mod}_Y(y)$  is the integer remainder after dividing y by Y. Thus, the value of adaptive allele a at locus l increases with the number of individuals that have allele  $\beta(a,A)$  at locus  $\beta(l,L)$ , which we represent as n(a,l) below. The slope of this increase is  $\epsilon$ , which specifies the intensity of niche construction. Consider a genotype g with allelic state at locus l given by  $a_{g,l}$ ; its fitness is defined as:

$$W_g = z + \delta \sum_{l=1}^{L} I_A(a_{g,l}) + \epsilon \sum_{l=1}^{L} n(\beta(a_{g,l}, A), \beta(l, L)) - ca_{g,L+1}$$
 (2)

where z is a baseline fitness, and  $I_A(a)$  indicates whether an adaptive allele is non-zero:

$$I_A(a) = \begin{cases} 1 & \text{if } a \in \{1, 2, \dots, A\} \\ 0 & \text{otherwise} \end{cases}$$
 (3)

As a consequence of this form of density dependent selection, genotypes with sequentially increasing allelic states will tend to evolve. Because mutations are random (see below), each population will evolve different consecutive sequences. These different sequences represent the unique niches constructed by populations.

Cooperators produce a public good that is equally accessible to all members

Cooperators produce a public good that is equally accessible to all members
of the population. This public good increases the carrying capacity at that
patch, allowing the population to reach greater density. This benefit increases
linearly with the proportion of cooperators. Thus, if p is the proportion of
cooperators in a population at the beginning of a growth cycle, then that
population reaches the following size during the growth phase:

$$S(p) = S_{min} + p(S_{max} - S_{min}) \tag{4}$$

The function S(p) reflects the benefit of public good production. A population composed entirely of defectors reaches size  $S_{min}$ , while one composed

entirely of cooperators reaches size  $S_{max}$  (with  $S_{max} \geq S_{min}$ ). During growth, individuals compete for inclusion in the resulting population. The composition of a population with size P and cooperator proportion p after growth is multinomial with parameters and S(p) and  $\{\pi_1, \pi_2, \dots, \pi_P\}$ , where:

$$\pi_i = \frac{W_{\gamma(i)}}{\sum_{j=1}^P W_{\gamma(j)}} \tag{5}$$

Here,  $W_{\gamma(i)}$  is the fitness of an individual i with genotype  $\gamma(i)$  (see Equation 2). The value  $\pi_i$  therefore reflects an individual's relative reproductive fitness. 125 For simplicity, we apply mutations after population growth. Mutations occur 126 independently at each locus and cause the allelic state to change. Mutations 127 occur at each adaptive locus at rate  $\mu_a$ , in which a new allele is chosen at 128 random from the set  $\{0\} \cup \{1, 2, \dots, A\}$ . At the binary cooperation locus, 129 mutations occur at rate  $\mu_c$ . These mutations flip the allelic state, causing 130 cooperators to become defectors and vice versa. Therefore, the probability 131 that genotype q mutates into genotype q' is given by: 132

$$\tau_{q \to q'} = \mu_a^{H_a(g, g')} (1 - \mu_a)^{\{L - H_a(g, g')\}} \mu_c^{H_c(g, g')} (1 - \mu_c)^{\{1 - H_c(g, g')\}}$$
(6)

where  $H_a(g, g')$  and  $H_c(g, g')$  are the Hamming distances between genotypes gand g' at the cooperation locus and adaptive loci, respectively. The Hamming distance is the number of loci at which allelic states differ (Hamming, 1950). After mutation, individuals emigrate to an adjacent patch at rate m. The destination patch is randomly chosen with uniform probability from the source

patch's Moore neighborhood, which is composed of the nearest 8 patches on the 138 lattice. Because the metapopulation lattice has boundaries, patches located 139 on an edge have smaller neighborhoods. 140 Metapopulations are initiated in a state that follows an environmental change. 141 First, populations are seeded at all patches with cooperator proportion  $p_0$  and 142 grown to density  $S(p_0)$ . An environmental challenge is then introduced, which 143 subjects the population to a bottleneck. For each individual, the probability 144 of survival is  $\mu_t$ , which represents the likelihood that a mutation occurs that 145 confers tolerance. Survivors are chosen by binomial sampling. Because indi-146 viduals have not yet adapted to this new environment, the allelic state of each 147 individual's genotype is set to 0 at each adaptive locus. Following initializa-148 tion, simulations are run for T cycles, where each discrete cycle consists of 149 growth, mutation, and migration. At the end of each cycle, populations are 150 thinned to allow for growth in the next cycle. The individuals that remain are 151 chosen by binomial sampling, where each individual persists with probability 152 d, regardless of allelic state. 153

#### Source Code and Software Environment

The simulation software and configurations for the experiments reported are available online. Simulations used Python 3.4.0, NumPy 1.9.1, Pandas 0.15.2 (McKinney, 2010), and NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data analyses were performed with R 3.1.3 (R Core Team, 2015).

## Results

We use the model described earlier to follow the evolution of cooperation in a metapopulation of populations that are connected by spatially-limited migra-161 tion. Individuals in these populations gain a limited number of adaptations 162 that confer selective benefits. Adaptation is independent of cooperation. How-163 ever, because cooperation increases population density, these populations have 164 more mutational opportunities to gain adaptations. Cooperation can hitchhike 165 along with these adaptations, which compensate for the cost of public good 166 production. During this process, individuals also alter their environment based 167 on the genotypes present in the population. This niche construction process 168 can be either positive or negative, depending on its effects on fitness. Here, 169 we explore how niche construction can favor the evolution of cooperation. Our simulation environment is defined by the parameter values listed in Table 1.

## Niche Construction Maintains Cooperation

Without the opportunity for adaptation (L=0), cooperators are swiftly eliminated in competition with defectors (Figure 1). Despite an initial lift due to
increased productivity, the cost of cooperation becomes disadvantageous as
migration mixes the initially isolated populations. With adaptive opportunities  $(L=5, \epsilon=0)$ , cooperators are maintained transiently (Figure 1B). Here,
the additional mutational abilities provided by their larger sizes allows cooperator populations to more quickly adapt to their environment. As previously
described by Hammarlund et al. (2015), however, this advantage diminishes

as defector populations become equally adapted, and cooperators are outcompeted. When populations affect their environment and these changes feed
back on selection, we find that cooperation can persist, perhaps indefinitely
(Figure 1C, 3A). In these environments, cooperators maintain higher fitness
than cooperators, which enables survival (Figure 3A).

## Fitness Increases do not Support Cooperation

In our model, niche construction provides additional selective benefits. To determine how these selective effects contribute to our results, we performed 188 simulations in which the selective effects of niche construction were removed  $(\epsilon = 0)$ . As compensation, we increased the fitness benefits conferred by adap-190 tation ( $\delta = 0.6$ ). Here, the selective effects of niche construction are exag-191 gerated, as a fitness benefit of 0.3 (our increase in  $\delta$ ) is the maximum value 192 possible (see 2). To quantify cooperator success and permit comparison, we 193 use the area under the cooperator proportion curve. This measure of coop-194 erator presence increases as cooperators rise in abundance or remain in the 195 population longer. 196 We find that higher selective values do not provide a significant increase in 197 cooperator presence (Figure 2, column C). As shown in Figure 3, cooperators 198

gain adaptations more quickly than defectors, which provides a fitness advan-

tage. However, the cost of cooperation puts defectors at an advantage once

these populations become fully adapted.

199

# Positive Niche Construction Prolongs Cooperation but is not Sufficient

We first focus on the effects of positive niche construction by removing the allelic conflict that leads to negative niche construction (L=5, A=5). In the absence of this conflict, cooperator presence is significantly increased (Figure 2, column D). Within these environments, we find that positive niche construction prolongs the fitness advantage that cooperators have over defectors (Figure 3C). Nevertheless, cooperators are eventually driven to extinction once defectors gain the fitness advantage.

#### Negative Niche Construction is not Sufficient

To determine how negative niche construction influences the evolution of cooperation, we maximize the allelic conflict (L=1, A=6). However, selection for increasing allelic states among the stress loci means that any allelic state will not be greater than at the previous allele (itself), and thus there will always be opportunity for adaptation. Despite this constant opportunity, niche construction does not increase cooperator presence (Figure 2, column E). Here, defectors rapidly gain the fitness advantage.

## NC Enables Cooperator Spread

Figure 4 - if not, could be why thinning is a must.

#### NC Prevents Defector Invasion

Figure 5

#### How Public Good Fuels all of this

To directly explore how the increase in population size affects evolutionary outcomes, we vary the maximum size that a population can reach  $(S_{max}, \text{ see})$ 225 Equation 4). Figure 6A shows the result of these simulations. (TODO de-226 scription of results) 227 To address how migration affects the evolutionary process in this system, we 228 vary the rate at which migration occurs (m). As seen in Figure 6B, cooperation 229 decreases as migration rate increases. This is likely because migration defines 230 the spatial structuring in this system. As migration increases, the population 231 becomes more like a well-mixed system, where defectors are better able to 232 exploit the benefits of cooperation (Griffin et al., 2004; Kümmerli et al., 2009). 233 # Discussion Despite their negative effects, deleterious traits can rise in abundance due to 235 genetic linkage with other traits that are strongly favored by selection (Maynard Smith and Haigh, 1974). In a process termed the "Hankshaw effect", Hammarlund et al. (2015) recently demonstrated that cooperative behaviors 238 can prolong their existence by actively increasing their likelihood of hitchhik-239 ing with a beneficial trait. While this process favors cooperation in the short 240 term, it eventually reaches a dead end. When the opportunities for adaptation 241 are exhausted and cooperators can no longer hitchhike, they face extinction. In this work, we have considered whether niche construction can maintain cooperation indefinitely.

Our results reveal that with niche construction, cooperation can indeed persist (Figure 1C). But what it is it about this process that maintains cooperation? In our model, niche construction introduces additional selective effects that 247 could influence the evolutionary process. However, simply raising the selective 248 benefits provided by adaptations does not significantly increase cooperator presence (Figure 2, columns C and A), and indicates that niche construction 250 plays an important role. Although cooperators benefit greatly from positive 251 niche construction, it does not fully explain our results (Figure 2 D). Indeed, 252 despite an initial increase in abundance, cooperators are eventually driven to 253 extinction when environmental change produces only positive fitness effects 254 (Figure 1D). As with the "Hankshaw effect", adaption eventually slows, al-255 lowing defectors to outcompete cooperators (Figure 3C). While it does not 256 benefit cooperation when alone (Figure 3E), negative niche construction acts 257 to prevent this stasis. Combined, we find that both positive and negative niche 258 construction are required to main cooperation. 259

When successful, we observe that populations do not reach the maximum possible fitness (Figure 3A). Although cooperation is the focus of this study, it can be seen as deleterious. Previous work has shown that niche construction can favor deleterious alleles (Laland *et al.*, 1996, 1999). Similarly here, cooperation is maintained in the presence of niche construction, but lost otherwise (Figure 2). Van Dyken and Wade (2012) showed that when two cooperative behaviors co-evolve and niche construction feedbacks benefit the other type,

niche construction can increasingly favor these traits, which were otherwise disfavored when alone.

By their very nature, public goods benefit populations by making their environment more hospitable (West et al., 2007a). For example, bacteria produce
a host of extracellular products that find soluble iron (Griffin et al., 2004),
digest large proteins (Diggle et al., 2007; Darch et al., 2012), and reduce the
risk of predation (Cosson et al., 2002). While many studies have explored how
the environment affects the evolution of cooperative behaviors such as these,
relatively few have examined how those behaviors affect the environment and
how the resulting feedbacks influence evolutionary trajectories.

In our model, the environmental state was implicitly modeled, and depended 277 solely on the current state of the population. In natural settings, however, the 278 timescales at which environments are modified and reproduction are likely to 279 be decoupled. For example, a multitude of factors including protein durabil-280 ity (Brown and Taddei, 2007; Kümmerli and Brown, 2010), diffusion (Allison, 281 2005; Driscoll and Pepper, 2010), and resource availability (Zhang and Rainey, 282 2013; Ghoul et al., 2014) influence both the rate and the degree to which public 283 goods alter the environment. These factors are likely to influence evolution-284 ary trajectories (Laland et al., 1996). Lehmann (2007) demonstrated that a 285 cooperative, niche constructing behavior can be favored when it only affected 286 selection for future generations, thus reducing the potential for competition 287 among contemporary kin. The evolutionary inertia that this creates, however, 288 may ultimately work against cooperators. When public good accumulates in 289 the environment, cooperators must reduce their investment in production to remain competitive (Kümmerli and Brown, 2010; Dumas and Kümmerli, 2012).
Cooperation that occurs facultatively, perhaps by sensing the abiotic (Bernier et al., 2011; Koestler and Waters, 2014) or biotic environment (Brown and Johnstone, 2001; Darch et al., 2012), are likely to be favored in these fluctuating environments.

In many instances of cooperation, the environment is itself a biological entity, 296 which can produce additional evolutionary feedbacks. As the host population 297 changes, so too will selection on their symbiont populations. Here, evolution-298 ary outcomes depend greatly on the degree of shared interest between the host 299 and symbiont. For example, the cooperative production of virulence factors 300 by the human pathogen P. aeruginosa in lung infections is harmful to those 301 with cystic fibrosis (Harrison, 2007). Conversely, cooperative light produc-302 tion by A. fischeri is vital for the survival of its host, the Hawaiian bobtail 303 squid (Ruby, 1996). It was recently argued that incorporating the effects of 304 niche construction is critical for improving our understanding of viral evolu-305 tion (Hamblin et al., 2014) and evolution in co-infecting parasites (Hafer and 306 Milinski, 2015). Incorporating host dynamics, co-evolution, and the feedbacks 307 that they produce into models is likely to be equally important for gaining 308 an understanding of how cooperative behaviors evolve in these host-symbiont 309 settings. # Acknowledgments 310

- TODO: Organizers?
- TODO: lab comments

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## $\mathbf{Figures}$

## Figure 1

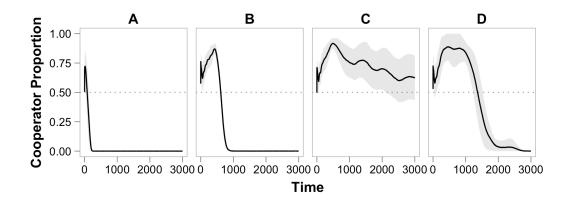


Figure 1: Adaptation, niche construction, and the evolution of cooperation. Curves show the average cooperator proportion among replicate
populations, while shaded areas indicate 95% confidence intervals. Unless otherwise noted, parameter values used are listed in Table 1. (A) When there
is no opportunity for adaptation (L, the number of adaptive loci, is zero),
cooperation is quickly lost. (B) When adaptation can occur (L = 5), but populations do not alter their environment ( $\epsilon$ , the intensity of niche construction,
is zero), cooperators temporarily rise in abundance before eventually going
extinct. (C) Selective feedbacks from niche construction allows cooperation to
be maintained in 13 of 18 replicate populations. (D) While it does contribute
to success, positive niche construction alone does not maintain cooperation
(A = 5).

## Figure 2

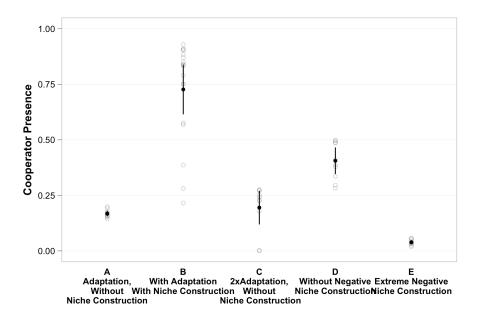


Figure 2: Evolutionary Processes and their Effect on Cooperator Presence. For each process shown, the cooperator presence among each replicate population is shown as an open circle. Their mean is showed as a filled circle, and bars indicate 95% confidence intervals. (A) In our model, adaptations allow cooperation to hitchhike. This effect is transient, which limits cooperator presence. (B) In the presence of niche construction (positive and negative), cooperator presence is significantly increased. (C) When incorporating the potential benefits that it provides, but removing the selective feedback produced by niche construction, cooperator presence is unaffected. (D) Positive niche construction increases cooperator proportion, but not to the levels seen in B. (E) Negative niche construction alone does not account for the increase in cooperator presence.

# Figure 3

- $_{325}$  Mean fitness over time for the treatments shown in Figure 2
- $_{326}$  Figure 3A Fitness for base case: niche construction

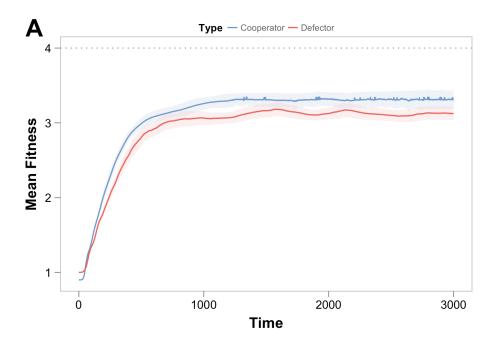


Figure 3: Fitness Effects of Mutation Accumulation by Cooperators and Defectors. Lines indicate the grand mean of cooperator (blue) and defector (red) fitness among replicate populations, while shaded areas indicate 95% confidence intervals. Dashed lines indicate the maximum fitness values achievable by cooperators and defectors. (A) In the presence of niche construction, cooperators persist in the population by maintaining a greater mean fitness. (B) When selective values are increased ( $\delta = 0.6$ ), populations rapidly adapt. In the absence of niche construction ( $\epsilon = 0$ ), defectors eventually become equally adapted and surpass cooperators. At this point, cooperators are driven from the population due to the cost of cooperation. (C) With the effects of niche construction removed ( $\epsilon = 0$ ), positive niche construction prolongs the time when cooperators are at an advantage over defectors. Once again, however, defectors eventually become equally adapted, leading to the loss of cooperation. (D) Without positive niche construction or further adaptive opportunities  $(L=1, a_{max}=6)$  cooperators do not benefit from niche construction.

## Figure 3B

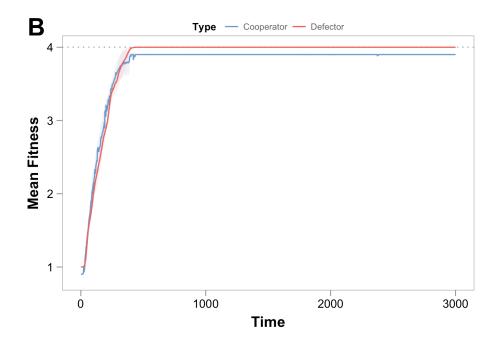


Figure 4: Will share caption with 3A

# Figure 3C

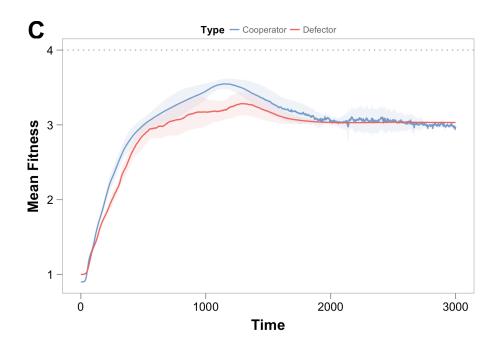


Figure 5: Will share caption with 3A

## Figure 3D

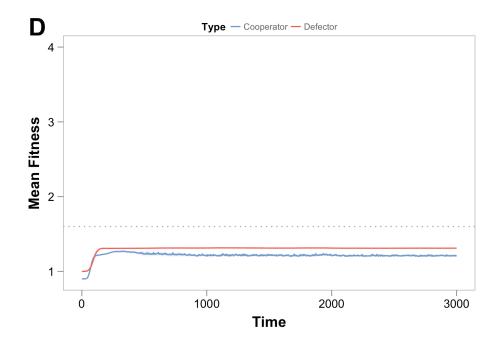


Figure 6: Will share caption with 3A

## Figure 4

Cooperators invade defector population. Fully adapted but mismatched. Negative NC.

## Figure 5

Defectors invade cooperator population. Fully adapted and matched. Role of Export.

## Figure 6

Figure 6A - Effect of Public Good Benefit (Smax-Smin)

Figure 6B - Effect of Migration Rate (m)

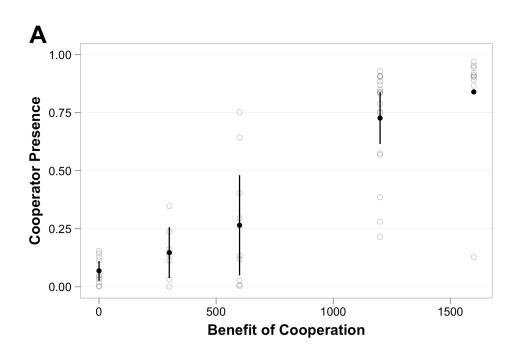


Figure 7: Cooperator Presence as a Function of Population Size and Migration Rate. Cooperator presence for each replicate population is shown as an open circle. The mean among these replicates is represented by a filled circle, and bars indicate 95% confidence intervals. (A) Cooperator presence increases proportional to increases in population size. Here, the benefit of cooperation  $(S_{max})$  is varied. ww (B) Cooperator presence decreases with migration rate (m). When migration is low, cooperators can not export their niche, which limits expansion. When migration is high, the defectors immigrate into populations more quickly than cooperators can adapt.

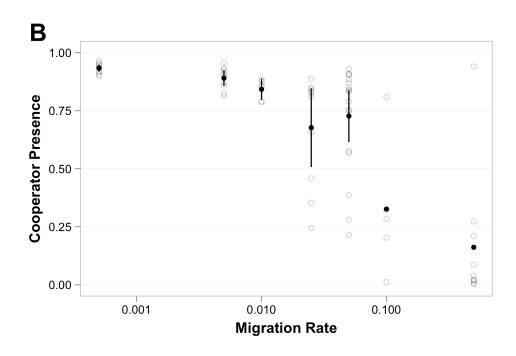


Figure 8: Will share caption with 6A

# Tables

Table 1: Model parameters and their value

Parameter	Description	Base Value
$\overline{N^2}$	Number of metapopulation sites	625
L	Number of adaptive loci	5
A	Number of alleles	6
δ	Fitness benefit, nonzero alleles	0.3
c	Production cost	0.1
$\epsilon$	Fitness benefit, sequential alleles	0.00015
z	Baseline fitness	1
$S_{min}$	Minimum population size	800
$S_{max}$	Maximum population size	2000
$\mu_a$	Mutation rate (adaptation)	$10^{-5}$
$\mu_c$	Mutation rate (cooperation)	$10^{-5}$
m	Migration rate	0.05
$p_0$	Initial cooperator proportion	0.5
$\mu_t$	Mutation rate (tolerance to new environment)	$10^{-5}$
T	Number of simulation cycles	1000
d	Population dilution factor	0.1

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