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3 Abstract

Through their interactions, their activities, and even their mere presence, organisms change the environment for themselves and others. This "niche construction" process becomes particularly interesting when it creates evolutionary feedback, whereby selective pressures are altered in response to environmental change. Here we consider how niche construction influences the evolution of cooperation, which has been a longstanding challenge to evolutionary theory. We simulate populations of individuals that cooperatively produce a public good that permits increased growth in a stressful environment and investigate how local- and global-scale niche construction affects the ability of these populations to resist invasion by non-producing cheats. We find that niche construction profoundly impacts the evolution of cooperation by creating new opportunities for adaptation. Cooperators are able to escape subversion by cheats as long as niche construction clears these paths of adaptation. This work provides a crucial step towards understanding how evolution occurs in complex environments like those found in nature.

## 20 Introduction

Cooperative behaviors are common across all branches of the tree of life. Insects divide labor within their colonies, plants and soil bacteria exchange essential nutrients, birds care for others' young, and the trillions of cells in the human body restrain their growth and coordinate to provide vital functions. Each instance of cooperation presents an evolutionary challenge: How can individuals that sacrifice their own well-being to help others avoid subversion by those that do not? Over time, we would expect these defectors to rise in abundance at the expense of others, eventually driving cooperators—and perhaps the entire population—to extinction. Several factors can defer this potential tragedy of the commons (Hamilton, 1964; Hardin, 1968; Nowak, 2006; West et al., 2007b). For example, cooperators must benefit more from the cooperative act than others. This can occur when cooperators are clustered together in spatially-structured populations 33 (Fletcher and Doebeli, 2009; Nadell et al., 2010; Kuzdzal-Fick et al., 2011) or when cooperators use communication (Brown and Johnstone, 2001; Darch et al., 2012) or other cues (Sinervo et al., 2006; Gardner and West, 2010; Veelders et al., 2010) to cooperate conditionally with kin. Interestingly, cooperation can also be bolstered by genetic linkage with self-benefitting traits (Foster et al., 2004; Dandekar et al., 2012; Asfahl et al., 2015), setting the stage for an "adaptive race" in which cooperators and defectors vie for the first highly-beneficial non-social adaptation (Waite and Shou, 2012; Morgan et al., 2012).

42 Hankshaw and Kerr (2015) recently showed that in spatially-structured popu-

lations, cooperators can gain a substantial leg up on defectors in an adaptive race when the cooperative behavior increases local population density, thus increasing the likelihood of acquiring beneficial non-social mutations. By hitchhiking along with these adaptations, the cooperative trait can rapidly rise in abundance. Nevertheless, this advantage is fleeting. As soon as the opportunities for adaptation are exhausted, cooperators are once again at a disadvantage against defectors, particularly those that arise from within via mutations that disable cooperation. However, Hankshaw and Kerr (2015) demonstrated that cooperation can be maintained indefinitely when frequent environmental changes produce a steady stream of non-social adaptive opportunities. Although organisms typically find themselves in dynamic environments, change 53 might not occur at a rate that provides sufficient adaptive opportunities to ensure long-term cooperator survival. Here, we build upon the model presented in Hankshaw and Kerr (2015) to explore whether the selective feedbacks produced as populations modify their environment can act as an additional source of adaptive opportunities. As previously described, this model follows the evolution of cooperation in a metapopulation of populations connected by spatially-limited migration. Cooperators produce a public good that increases the local carrying capacity. Through mutation, individuals gain non-social adaptations that confer fitness benefits. These benefits are large enough so that an adapted cooperator is more fit than a less-adapted defector (note that for simplicity, we refer to all non-cooperators as "defectors", regardless of their origin). Because of their larger sizes, cooperator populations are more likely to acquire these adaptations. However, coop-

erator populations remain susceptible to invasion by adapted defectors either immigrate from a nearby populaion or arise via social mutation. In our expanded version of this model, populations after their local environment based on the presence of different non-social adaptations. Frequency-dependent selection on these adaptations creates an eco-evolutionary feedback that increasingly favors the adaptations present in each population. We focus on two aspects in which the production of public goods is affected by these selective feedbacks. First, the creation of unique niches may diminish the ability of both cooperators and defectors to invade neighboring patches due to maladaptation. However, because cooperator populations are larger, the greater number of emigrants that they produce will allow them to "export" their niche at a higher rate than defectors. As a result, cooperators may be able to ex-78 pand more quickly. Second, larger cooperator populations will experience more mutations, which better enables these populations to adapt to changing environments. If niche construction produces continual change, can the resulting 81 adaptive opportunities maintain cooperation? By their very nature, public goods benefit populations by making their environment more hospitable. For example, bacteria produce extracellular products that find soluble iron (Griffin et al., 2004), digest large proteins (Diggle et al., 2007; Darch et al., 2012), and reduce the risk of predation (Cosson et al., 2002), among many others (West et al., 2007a). While many studies have explored how the environment affects the evolution of cooperative bahviors, relatively few have examined how those behaviors affect the environment and the resulting change in evolutionary trajectories. Lehmann (2007) demonstrated analytically that when niche construction act benefits future generations, cooperation is favored due to reduced competition among kin. When rate-benefitting and yield-benefitting altruistic acts co-evolve, Van Dyken and Wade (2012) showed that "reciprocal niche construction", where the selective feedbacks produced by one act benefitted the other, can lead to increased selection for both traits. While these studies have focused on the niche constructing effects of cooperation, we instead focus our attention here on how niche construction enables cooperators to escape defection by hitchhiking along with non-social traits.

## 99 Materials and Methods

We build upon the model described in Hankshaw and Kerr (2015), in which cooperators and defectors compete and evolve in a spatially-structured metapopulation of populations. Each of these populations grows to carrying capacity,
mutates, and migrates to neighboring patches during each discrete simulation
step. During this process, populations adapt to their local environments. In
our extended model, we allow the presence of these individuals to modify their
local environment, and these modifications feed back to affect selection.

## Model Description

Our simulated environment consists of  $N^2$  patches arranged as a  $N \times N$  lattice (see Table 1 for model parameters and their values). These patches each support a population of zero or more individuals. Each individual in the pop-

ulation has a genotype, which is an ordered list of L+1 integers (loci). At the first locus, a binary allele determines whether or not that individual is 112 a cooperator. Individuals with allelic state 1 at this locus are cooperators, 113 carrying a cost c, while individuals with allelic state 0 are defectors. The 114 remaining L loci are stress loci, and are each occupied by a 0 or an integer 115 from the set  $A = \{1, \ldots, a_{max}\}$ , where  $a_{max}$  is the number of possible alleles. 116 These alleles represent adaptations to the environment, and the number of 117 loci determines the number of possible adaptations. All non-zero alleles confer 118 fitness benefit  $\delta$ . When  $\delta \geq c$ , an adapted cooperator recoups the cost of coop-119 eration. Organisms also influence their environment, which can feed back to 120 influence selection. We model this as a form of frequency dependent selection. 121 Specifically, the selective value of stress allele a at locus l increases with the 122 proportion of the population that has allele a-1 (modulo  $a_{max}$ ) at locus l-1123 (and the first stress locus is affected by the last). The slope of this increase 124 is  $\epsilon$ , which gauges the intensity of niche construction. As a consequence of 125 this form of frequency dependence, genotypes with sequentially increasing al-126 lelic states will tend to evolve. Because mutations are random, as described 127 later, each population will evolve sequences that start with different allelic 128 states. These different sequences represent the unique niches constructed by 129 populations. Under this model, the fitness of an individual with genotype g130 is: 131

$$W_g = z + a_{g,1}c + \delta \sum_{l=2}^{L+1} I_A(a_{g,l}) + \epsilon \sum_{h=1}^{N} I_{a_{h,1}}(a_{g,1}) + \epsilon \sum_{l=2}^{L} n(a_{g,l})$$
 (1)

where z is a baseline fitness,  $a_{g,l}$  represents the allelic state of genotype g at locus l, L is the number of stress loci, N is the population size at that patch, and c is the cost of the cooperative allele.  $I_A$  indicates whether allelic state y is in A (i.e., it is non-zero), while  $I_x(y)$  indicates whether the allelic state y matches allelic state x (1) or not (0).  $n(a_{g,l})$  is the number of individuals in the population with allelic state at the previous locus equal to one less than that at the focal locus  $a_{g,l}$ , or:

$$n(a_{g,l}) = \sum_{h=1}^{N} I_{a_{g,l}} (1 + a_{h,l-1}(\text{mod } a_{max}))$$
 (2)

Cooperators produce a public good that is equally accessible to all members
of the population. This public good increases the carrying capacity at that
patch, allowing the population to reach greater densities. This benefit increases
linearly with the proportion of cooperators. Thus, if p is the proportion of
cooperators in a population at the beginning of a growth cycle, then that
population reaches the following size during the growth phase:

$$S(p) = S_{min} + p(S_{max} - S_{min})$$
(3)

The function S(p) gauges the benefit of public good production. A population composed entirely of defectors reaches size  $S_{min}$ , while one composed entirely of cooperators reaches size  $S_{max}$  (with  $S_{max} \geq S_{min}$ ). During growth, competition occurs. An individual's success is proportional to its fitness. The composition of population P after growth is multinomial with parameters and S(p) and 150  $\{\pi_1, \pi_2, \dots, \pi_{|P|}\}$ , where:

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$$\pi_i = \frac{W_{\gamma(i)}}{\sum_{j \in P} W_{\gamma(j)}} \tag{4}$$

Here,  $\gamma(i)$  is the genotype of individual i, and  $W_{\gamma(i)}$  is its fitness.

For simplicity, we apply mutations after population growth. Mutations occur independently at each locus and cause the allelic state to change. At the binary cooperation locus, mutations flip the allelic state at rate  $\mu_c$ , causing cooperators to become defectors and vice versa. Mutations occur at each stress locus at rate  $\mu_s$ , and cause a new allelic state to be chosen at random from the set  $\{0\} \cup A$ . Therefore, the probability that genotype g mutates into genotype g' is given by:

$$\tau_{g \to g'} = \mu_c^{H_c(g, g')} (1 - \mu_c)^{\{1 - H_c(g, g')\}} \mu_s^{H_s(g, g')} (1 - \mu_s)^{\{L - H_s(g, g')\}}$$
 (5)

where  $H_c(g, g')$  and  $H_s(g, g')$  are the Hamming distances between genotypes g and g' at the cooperation locus and stress loci, respectively. The Hamming distance is the number of loci at which allelic states differ. Because there is no inherent relationship among alleles, each of the  $a_{max} + 1$  allelic states is equally likely to arise via mutation at a given locus.

After mutation, individuals emigrate to an adjacent patch at rate m. The destination patch is randomly chosen with uniform probability from the source patch's Moore neighborhood, which is composed of the nearest 8 patches on the

lattice. Because the metapopulation lattice has boundaries, patches located

on an edge have smaller neighborhoods.

Metapopulations are initiated in a state that follows the onset of an environ-169 mental stress. First, populations are seeded at all patches with cooperator 170 proportion  $p_0$  and grown to density  $S(p_0)$ . Stress is then introduced, which 171 subjects the population to a bottleneck. Survivors are chosen by binomial sam-172 pling. For each individual, the probability of survival is  $\mu_t$ , which represents 173 the likelihood that a mutation occurs that confers tolerance. Because individu-174 als have not yet adapted to this new stress, the allelic state of each individual's 175 genotype is set to 0 at each stress locus  $(\forall g \in G, l \in \{2, ..., L+1\} : a_{g,l} = 0)$ . 176 Following initialization, simulations are run for T cycles, where each cycle con-177 sists of growth, mutation, and migration. After migration, populations are 178 thinned to allow for growth in the next cycle. Survivors are chosen by bino-179 mial sampling, where each individual survives with probability d, regardless 180 of allelic state. 181

#### Source Code and Software Environment

The simulation software and configurations for the experiments reported are available online (Us, 2015). Simulations used Python 3.4.0, NumPy 1.9.1, Pandas 0.15.2 (McKinney, 2010), NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data analyses were performed with R 3.1.3 (R Core Team, 2015).

```
# Results
results...
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## Discussion

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• summary of results
190
       • similarities/differences from previous work
191
            - Schwilk and Kerr (2002)
192
       • future primacy/recency
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            - Laland et al. (1996)
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            - Lehmann (2007)
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       • public goods as niche construction
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       • Host symbiont - many instances of cooperation occur among pathogens.
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         future QS or other environmental sensing
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       • Facultative cooperation
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            - Rodrigues (2012)
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            - Dumas and Kümmerli (2010)
201
            - Kümmerli and Brown (2010)
202
            - Darch/Diggle
203
            - QS?
            - Environmental Sensing?
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- $_{206}$  Niche construction and selective feedbacks
- $_{207}$  Niche construction and other social interactions # Acknowledgments
- PRFB
- BEACON
- Google
- Organizers?

# $_{212}$ Figures

# Tables 1213

Table 1: Model parameters and their value.  $\{\# z u z z\}$ 

Parameter	Description	Base Value
L	Number of Stress Loci	5
c	Production Cost	0.1
$a_{max}$	Number of alleles	6
$\delta$	Fitness benefit, nonzero alleles	0.3
$\epsilon$	Fitness benefit, sequential alleles	TODO
z	Baseline fitness	1
$S_{min}$	Minimum Population Size	800
$S_{max}$	Maximum Population Size	2000
$\mu_c$	Mutation Rate (Cooperation)	$10^{-5}$
$\mu_s$	Mutation Rate (Stress)	$10^{-5}$
$N^2$	Number of Metapopulation Sites	625
m	Migration Rate	0.05
$p_0$	Initial Cooperator Proportion	0.5
$\mu_t$	Mutation Rate (Tolerance to New Stress)	$10^{-5}$
T	Number of Simulation Cycles	TODO
<u>d</u>	Population Dilution Factor	0.1

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