

# TODO title

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### **Abstract**

Through their interactions, their activities, and even their mere presence, organisms change the environment for themselves and others. This “niche construction” process becomes particularly interesting when it creates evolutionary feedback, whereby selective pressures are altered in response to environmental change. Here we consider how niche construction influences the evolution of cooperation, which has been a long-standing challenge to evolutionary theory. We simulate populations of individuals that cooperatively produce a public good that permits increased growth in a stressful environment and investigate how local- and global-scale niche construction affects the ability of these populations to resist invasion by non-producing cheats. We find that niche construction profoundly impacts the evolution of cooperation by creating new opportunities for adaptation. Cooperators are able to escape subversion by cheats as long as niche construction clears these paths of adaptation. This work provides a crucial step towards understanding how evolution occurs in complex environments like those found in nature.

## 20 Introduction

21 Cooperative behaviors are common across all branches of the tree of life. In-  
22 sects divide labor within their colonies, plants and soil bacteria exchange es-  
23 sential nutrients, birds care for others' young, and the trillions of cells in the  
24 human body restrain their growth and coordinate to provide vital functions.  
25 Each instance of cooperation presents an evolutionary challenge: How can in-  
26 dividuals that sacrifice their own well-being to help others avoid subversion by  
27 those that do not? Over time, we would expect these *defectors* to rise in abun-  
28 dance at the expense of others, eventually driving cooperators—and perhaps  
29 the entire population—to extinction.

30 Several factors can defer this potential *tragedy of the commons* (Hamilton,  
31 1964; Hardin, 1968; Nowak, 2006; West *et al.*, 2007). For example, coopera-  
32 tors must benefit more from the cooperative act than others. This can occur  
33 when cooperators are clustered together in spatially-structured populations  
34 (Fletcher and Doebeli, 2009; Nadell *et al.*, 2010; Kuzdzal-Fick *et al.*, 2011) or  
35 when cooperators use communication (Brown and Johnstone, 2001; Darch *et*  
36 *al.*, 2012) or other cues (Sinervo *et al.*, 2006; Gardner and West, 2010; Veelders  
37 *et al.*, 2010) to cooperate conditionally with kin. Interestingly, cooperation can  
38 also be bolstered by genetic linkage with self-benefitting traits (Foster *et al.*,  
39 2004; Dandekar *et al.*, 2012; Asfahl *et al.*, 2015), setting the stage for an “adap-  
40 tive race” in which cooperators and defectors vie for the first highly-beneficial  
41 non-social adaptation (Waite and Shou, 2012; Morgan *et al.*, 2012).

42 Hankshaw and Kerr (2015) recently showed that in spatially-structured popu-

lations, cooperators gain a substantial leg up on defectors in an adaptive race when the cooperative behavior increases local population density, thus increasing the likelihood of acquiring beneficial non-social mutations. Nevertheless, this advantage is fleeting (Fig. 1A). As soon as the opportunities for adaptation are exhausted, cooperators are once again at a disadvantage against defectors. As shown in Fig. 1B, however, cooperation can be maintained indefinitely when frequent environmental changes produce a stream of non-social adaptive opportunities. Although natural organisms typically find themselves in changing environments, cooperators may not be able to rely on the environment to provide sufficient adaptive opportunities for their long-term survival.

## Materials and Methods

We develop a computational model to observe the evolution of public goods cooperation in a spatially-structured metapopulation of populations. As described below, populations grow to carrying capacity, mutate, and migrate to neighboring patches. During this process, populations adapt to their local environments. The environments are, in turn, modified by the presence of these adapted individuals, allowing each population to construct a unique niche along its evolutionary trajectory. Model parameters and their values are listed in [Table 1](#).

## 63 Individuals and Fitness

64 Each individual has a genotype of length  $L + 1$ . A binary allele at the first  
65 locus determines whether or not the individual is a cooperator, which carries  
66 cost  $c$ . Note that we refer to all individuals with allelic state 0 at this locus as  
67 a “defector”, regardless of origin. The remaining  $L$  loci are *stress loci*, and are  
68 each occupied by a 0 or an integer from the set  $A = \{1, \dots, a_{max}\}$ , where  $a_{max}$   
69 is the number of possible alleles. These alleles represent adaptations to the  
70 environment, and the number of loci determines the number of possible adap-  
71 tations. All non-zero alleles carry fitness benefit  $\delta$ . Organisms also influence  
72 their environment, which can feed back to influence selection. We model this  
73 as a form of frequency dependent selection. Specifically, the selective value  
74 of stress allele  $a$  at locus  $i$  increases with the proportion of the population  
75 that has allele  $a - 1$  (modulo  $a_{max}$ ) at locus  $i - 1$ . The slope of this increase  
76 is  $\epsilon$  (which gauges the intensity of niche construction). As a consequence of  
77 this form of frequency dependence, genotypes with sequentially increasing al-  
78 lelic states will tend to evolve. Because mutations are random, as described  
79 below, each population will evolve sequences that start with different allelic  
80 states. These different sequences represent the unique niches constructed by  
81 populations. Under this model, the fitness of an individual with genotype  $g$   
82 is:

$$W_g = z + a_{g,1}c + \delta \sum_{l=2}^{L+1} I_A(a_{g,l}) + \epsilon \sum_{h=1}^N I_{a_{h,1}}(a_{g,1}) + \epsilon \sum_{l=2}^L n(a_{g,l})$$

83 where  $a_{g,l}$  represents the allelic state of genotype  $g$  at locus  $l$ ,  $z$  is a baseline

84 fitness,  $L$  is the number of stress loci,  $N$  is the population size at that patch,  
 85 and  $c$  is the cost of the cooperative allele.  $I_x(y)$  indicates whether the allelic  
 86 state  $y$  matches allelic state  $x$  (1) or not (0).  $n(a_{g,l})$  is the number of individuals  
 87 in the population with allelic state at the previous locus equal to one less than  
 88 that at the focal locus  $a_{g,l}$ , or:

$$n(a_{g,l}) = \sum_{h=1}^N I_{a_{g,l}}(1 + a_{h,l-1}(\text{mod } a_{max}))$$

## 89 **Population Growth**

90 If  $p$  is the proportion of cooperators in a population at the beginning of a  
 91 growth cycle, then that population reaches the following size during the growth  
 92 phase:

$$S(p) = S_{min} + p(S_{max} - S_{min})$$

93 Therefore, a population composed entirely of defectors reaches size  $S_{min}$ , while  
 94 one composed entirely of cooperators reaches size  $S_{max}$  (with  $S_{max} \geq S_{min}$ ).  
 95 The function  $S(p)$  gauges the benefit of public good production, as popula-  
 96 tion size increases linearly with the proportion of cooperators. During growth,  
 97 competition occurs. Consider an arbitrary genotype  $g$ . Let  $n_g$  be the num-  
 98 ber of individuals with genotype  $g$ , and let  $W_g$  be the fitness of genotype  $g$   
 99 (see equation [1]). The composition of genotypes after population growth is  
 100 multinomial with parameters  $S(p)$  and  $\{\pi_1, \pi_2, \dots, \pi_{|G|}\}$ , where:

$$\pi_g = \frac{n_g W_g}{\sum_{i=1}^G n_i W_i}$$

Thus,  $\pi_g$  is the probability that an individual in the population after growth has genotype  $g$  (such that  $\sum \pi_g = 1$ ).  $G$  represents the set of all  $(a_{max} + 1)^L$  genotypes.

## Mutation

For simplicity, we apply mutation after population growth. These mutations occur independently at each locus and result in an allelic state change. At the binary cooperation locus, mutations flip the allelic state at rate  $\mu_c$ , causing cooperators to become defectors and vice versa. Mutations at a stress locus cause a new allelic state to be chosen at random from the set  $\{0\} \cup A$ . These mutation occur at each stress locus at rate  $\mu_s$ . Therefore, the probability that genotype  $g$  mutates into genotype  $g'$  is given by:

$$\tau_{g \rightarrow g'} = \mu_s^{H_s(g, g')} (1 - \mu_s)^{\{L - H_s(g, g')\}} \mu_c^{H_p(g, g')} (1 - \mu_c)^{\{1 - H_p(g, g')\}}$$

where  $H_s(g, g')$  and  $H_p(g, g')$  are the Hamming distances between genotypes  $g$  and  $g'$  at the stress loci and cooperation locus, respectively. The Hamming distance is the number of loci at which allelic states differ. Because there is no inherent relationship among alleles, each of the  $a_{max} + 1$  alleles is equally likely to arise via mutation at a given locus.

## 117 Migration and Metapopulation Structure

118 The metapopulation consists of  $N^2$  patches arranged in a  $N \times N$  lattice. After  
119 mutation, individuals emigrate to an adjacent patch with probability  $m$ . This  
120 adjacent patch is randomly chosen with uniform probability from the source  
121 patch's Moore neighborhood, which is composed of the nearest 8 patches on the  
122 lattice. Because the metapopulation lattice has boundaries, patches located  
123 on an edge have smaller neighborhoods.

## 124 Initialization and Simulation

125 Metapopulations are initiated in a state that follows the onset of an environ-  
126 mental stress. First, populations are seeded at each patch with cooperator  
127 proportion  $p_0$  and grown to density  $S(p_0)$ . Stress is then introduced by sub-  
128 jecting the population to a bottleneck. The number of survivors with each  
129 genotype  $g$  is sampled from a binomial distribution, where the number of tri-  
130 als is  $n_g$ . The probability of success is  $\mu_t$ , which represents the likelihood that  
131 a mutation occurs that enables survival. Because individuals have not yet  
132 adapted to this new stress, the allelic state of each genotype is set to 0 at each  
133 stress locus ( $\forall g \in G, l \in \{2, \dots, L+1\} : a_{g,l} = 0$ ). Following initialization,  
134 simulations are run for  $T$  cycles, where each cycle consists of growth, mutation,  
135 and migration. After migration, populations are thinned to allow for growth in  
136 the next cycle. The number of survivors for each genotype  $g$  is sampled from  
137 a binomial distribution, where the number of trials is  $n_g$  and the probability  
138 of success is  $d$ .

## 139 Source Code and Software Environment

140 The simulation software and configurations for the experiments reported are  
141 available online (Us, 2015). Simulations used Python 3.4.0, NumPy 1.9.1,  
142 Pandas 0.15.2 (McKinney, 2010), NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data  
143 analyses were performed with R 3.1.2 (R Core Team, 2014).

## 144 Results

145 results...

## 146 Discussion

147 discussion...

- 148 • future primacy/recency
- 149 • future other types of social interactions
- 150 • future QS or other environmental sensing

## 151 Acknowledgments



152 **Figures**

Table 1: Model parameters and their value.

Parameter	Description	Base Value
$L$	Number of Stress Loci	3
$a_{max}$	Number of alleles	4
$\delta$	Fitness benefit, nonzero alleles	0.5
$\epsilon$	Fitness benefit, sequential alleles	TODO
$c$	Production Cost	0.1
$z$	Baseline fitness	1
$S_{min}$	Minimum Population Size	800
$S_{max}$	Maximum Population Size	2000
$\mu_s$	Mutation Rate (Stress)	$10^{-5}$
$\mu_c$	Mutation Rate (Cooperation)	$10^{-5}$
$\mu_t$	Mutation Rate (Tolerance to New Stress)	$10^{-5}$
$m$	Migration Rate	0.05
$d$	Population Dilution Factor	0.1
$N^2$	Number of Metapopulation Sites	625
$p_0$	Initial Producer Proportion	0.5
$T$	Number of Simulation Cycles	TODO

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