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3 Abstract

Through their interactions, their activities, and even their mere presence, organisms change the environment for themselves and others. This "niche construction" process becomes particularly interesting when it creates evolutionary feedback, whereby selective pressures are altered in response to environmental change. Here we consider how niche construction influences the evolution of cooperation, which has been a longstanding challenge to evolutionary theory. We simulate populations of individuals that cooperatively produce a public good that permits increased growth in a stressful environment and investigate how local- and global-scale niche construction affects the ability of these populations to resist invasion by non-producing cheats. We find that niche construction profoundly impacts the evolution of cooperation by creating new opportunities for adaptation. Cooperators are able to escape subversion by cheats as long as niche construction clears these paths of adaptation. This work provides a crucial step towards understanding how evolution occurs in complex environments like those found in nature.

#### 20 Introduction

Cooperative behaviors are common across all branches of the tree of life. Insects divide labor within their colonies, plants and soil bacteria exchange essential nutrients, birds care for others' young, and the trillions of cells in the human body restrain their growth and coordinate to provide vital functions. Each instance of cooperation presents an evolutionary challenge: How can individuals that sacrifice their own well-being to help others avoid subversion by those that do not? Over time, we would expect these defectors to rise in abundance at the expense of others, eventually driving cooperators—and perhaps the entire population—to extinction. Several factors can defer this potential tragedy of the commons (Hamilton, 1964; Hardin, 1968; Nowak, 2006; West et al., 2007b). For example, cooperators must benefit more from the cooperative act than others. This can occur when cooperators are clustered together in spatially-structured populations 33 (Fletcher and Doebeli, 2009; Nadell et al., 2010; Kuzdzal-Fick et al., 2011) or when cooperators use communication (Brown and Johnstone, 2001; Darch et al., 2012) or other cues (Sinervo et al., 2006; Gardner and West, 2010; Veelders et al., 2010) to cooperate conditionally with kin. Interestingly, cooperation can also be bolstered by genetic linkage with self-benefitting traits (Foster et al., 2004; Dandekar et al., 2012; Asfahl et al., 2015), setting the stage for an "adaptive race" in which cooperators and defectors vie for the first highly-beneficial non-social adaptation (Waite and Shou, 2012; Morgan et al., 2012).

42 Hankshaw and Kerr (2015) recently showed that in spatially-structured popu-

lations, cooperators can gain a substantial leg up on defectors in an adaptive race when the cooperative behavior increases local population density, thus increasing the likelihood of acquiring beneficial non-social mutations. By hitchhiking along with these adaptations, the cooperative trait can rapidly rise in abundance. Nevertheless, this advantage is fleeting. As soon as the opportunities for adaptation are exhausted, cooperators are once again at a disadvantage against defectors, particularly those that arise from within via mutations that disable cooperation. However, Hankshaw and Kerr (2015) demonstrated that cooperation can be maintained indefinitely when frequent environmental changes produce a steady stream of non-social adaptive opportunities. Although organisms typically find themselves in dynamic environments, change might not occur at a rate that provides sufficient adaptive opportunities to ensure long-term cooperator survival. In this work, we examine whether the changes in selection that arise as organisms modify their environment can create opportunities that allow cooperators to be maintained by hitchhiking. We expand upon the model presented in Hankshaw and Kerr (2015), by allowing populations to alter their local environment based on the presence of different non-social adaptations. Frequencydependent selection on these adaptations creates an eco-evolutionary feedback that increasingly favors the adaptations present in each population. We first examine how the intensity of these feedbacks affects the hitchhiking process. Because the production of public goods increases population density, populations containing cooperators will exert a greater influence on their environment. As a result, these environments will be more quickly brought to states where

67 fitness is higher.

As populations construct unique niches, they potentially decrease the threat of invasion from neighboring patches. This occurs when the traits that were advantageous in an immigrant's home niche are maladaptive elsewhere. Because environmental change is influenced solely by non-social phenotypes in 71 this model, this change of invasibility affects cooperators and defectors equally. Here again, however, populations containing a greater number of cooperators may have an advantage. The greater number of individuals that emigrate from these larger populations allow them to "export" their niche—and thus reduce the fitness of neighboring competitors—at a higher rate. We explore whether the range expansion that this process enables provides additional opportunities for cooperation to hitchhike. Finally, we demonstrate how *negative* niche construction, where populations change their environment in ways that reduce fitness, can further support cooperation. Even though the niche construction process creates selective feedbacks, we would expect the magnitude of these feedbacks to decrease as populations evolve. Once individuals can no longer gain adaptations that compensate for the costs of cooperation, they are then outcompeted by non-84 cooperators. However if populations construct their environment in a way 85 which decreases fitness, cooperation can still hitchhike when this change also

creates the opportunity to gain compensatory adaptations.

#### **Materials and Methods**

We build upon the model described in Hankshaw and Kerr (2015), in which cooperators and defectors compete and evolve in a spatially-structured metapopulation of populations. Each of these populations grows to carrying capacity,
mutates, and migrates to neighboring patches. During this process, populations adapt to their local environments. In our extended model, we allow
these individuals to modify their local environment, and these modifications
feed back to affect selection.

#### 96 Model Description

Our simulated environment consists of  $N^2$  patches arranged as an  $N \times N$ 97 lattice (see Table 1 for model parameters and their values), where each patch 98 supports a population of zero or more individuals. Each individual in the population has a genotype, which is an ordered list of L+1 integers (loci). 100 The first L loci are adaptive loci, and are each occupied by a 0 or an integer 101 from the set  $A = \{1, \dots, a_{max}\}$ , where  $a_{max}$  is the number of potential alleles. 102 At each of these loci, the presence of a non-zero allele represents an adaptation 103 to the environment that confers fitness benefit  $\delta$ . A binary allele at locus L+1104 determines whether or not that individual is a cooperator. Individuals with 105 allelic state 1 at this locus are cooperators, carrying a cost c, while individuals 106 with allelic state 0 are defectors. When  $\delta \geq c$ , an adapted cooperator recoups 107 the cost of cooperation. 108

Organisms also influence their environment, which can feed back to influence

selection. We model this as a form of frequency dependent selection. Specifically, the selective value of adaptive allele a at locus l increases with the 111 number of individuals in the population that have allele a-1 (modulo  $a_{max}$ ) 112 at locus l-1 (modulo L). The slope of this increase is  $\epsilon$ , which specifies the 113 intensity of niche construction. As a consequence of this form of frequency 114 dependence, genotypes with sequentially-increasing allelic states will tend to 115 evolve. Because mutations are random, as described later, each population 116 will evolve sequences that start with different allelic states. These different sequences represent the unique niches constructed by populations. Under this model, the fitness of an individual with genotype q in population P is:

$$W_g = z + \delta \sum_{l=1}^{L} I_A(a_{g,l}) + \epsilon \sum_{l=1}^{L} n(a_{g,l}) + ca_{g,L+1}$$
 (1)

where z is a baseline fitness,  $a_{g,l}$  represents the allelic state of genotype g at locus l, L is the number of adaptive loci, and c is the cost of the cooperative allele. The function  $I_A$  indicates whether allelic state y is in A (i.e., it is non-zero). The function  $n(a_{g,l})$  gives the number of individuals in the population with allelic state at the previous locus equal to one less than that at the focal locus  $a_{g,l}$ , or:

$$n(a_{g,l}) = \sum_{i \in P} I_{a_{g,l}} (1 + a_{\gamma(i),\{1 + (l - 2(\bmod L)\}}(\bmod a_{max}))$$
 (2)

Here,  $I_x(y)$  indicates whether the allelic state y matches allelic state x (1) or not (0), and  $\gamma(j)$  is the genotype of individual j. Note that the selective value

of an allele at the first locus is affected by the allelic state at locus L.

Cooperators produce a public good that is equally accessible to all members of the population. This public good increases the carrying capacity at that patch, allowing the population to reach greater density. This benefit increases linearly with the proportion of cooperators. Thus, if p is the proportion of cooperators in a population at the beginning of a growth cycle, then that population reaches the following size during the growth phase:

$$S(p) = S_{min} + p(S_{max} - S_{min}) \tag{3}$$

The function S(p) reflects the benefit of public good production. A population composed entirely of defectors reaches size  $S_{min}$ , while one composed entirely of cooperators reaches size  $S_{max}$  (with  $S_{max} \geq S_{min}$ ). During growth, individuals compete for inclusion in the resulting population. The composition of population P with cooperator proportion p after growth is multinomial with parameters and S(p) and  $\{\pi_1, \pi_2, \ldots, \pi_{|P|}\}$ , where:

$$\pi_i = \frac{W_{\gamma(i)}}{\sum_{j \in P} W_{\gamma(j)}} \tag{4}$$

Here,  $\gamma(i)$  is the genotype of individual i, and  $W_{\gamma(i)}$  is its fitness (see Equation 1).  $\pi_i$  therefore reflects that an individual's ability to persist is proportional to its fitness relative to others'.

For simplicity, we apply mutations after population growth. Mutations occur independently at each locus and cause the allelic state to change. Mutations

occur at each adaptive locus at rate  $\mu_a$ , and cause a new allelic state to be chosen at random from the set  $\{0\} \cup A$ . At the binary cooperation locus, mutations occur at rate  $\mu_c$ . These mutations flip the allelic state, causing cooperators to become defectors and vice versa. Therefore, the probability that genotype g mutates into genotype g' is given by:

$$\tau_{g \to g'} = \mu_a^{H_a(g, g')} (1 - \mu_a)^{\{L - H_a(g, g')\}} \mu_c^{H_c(g, g')} (1 - \mu_c)^{\{1 - H_c(g, g')\}}$$
 (5)

where  $H_a(g, g')$  and  $H_c(g, g')$  are the Hamming distances between genotypes gand g' at the cooperation locus and adaptive loci, respectively. The Hamming 152 distance is the number of loci at which allelic states differ (Hamming, 1950). 153 Because we define no inherent relationship among alleles, each of the  $a_{max} + 1$ 154 allelic states is equally likely to arise via mutation at a given locus. 155 After mutation, individuals emigrate to an adjacent patch at rate m. The 156 destination patch is randomly chosen with uniform probability from the source 157 patch's Moore neighborhood, which is composed of the nearest 8 patches on the 158 lattice. Because the metapopulation lattice has boundaries, patches located 159 on an edge have smaller neighborhoods. 160 Metapopulations are initiated in a state that follows an environmental change. 161 First, populations are seeded at all patches with cooperator proportion  $p_0$  and 162 grown to density  $S(p_0)$ . An environmental challenge is then introduced, which 163 subjects the population to a bottleneck. For each individual, the probability 164 of survival is  $\mu_t$ , which represents the likelihood that a mutation occurs that 165

confers tolerance. Survivors are chosen by binomial sampling. Because indi-

viduals have not yet adapted to this new environment, the allelic state of each individual's genotype is set to 0 at each adaptive locus ( $\forall i \in P, l \in \{1, ..., L\}$ :  $a_{\gamma(i),l} = 0$ ). Following initialization, simulations are run for T cycles, where each discrete cycle consists of growth, mutation, and migration. At the end of each cycle, populations are thinned to allow for growth in the next cycle. The individuals that remain are chosen by binomial sampling, where each individual persists with probability d, regardless of allelic state.

#### Source Code and Software Environment

The simulation software and configurations for the experiments reported are available online (Us, 2015). Simulations used Python 3.4.0, NumPy 1.9.1, Pandas 0.15.2 (McKinney, 2010), and NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data analyses were performed with R 3.1.3 (R Core Team, 2015).

#### 179 Results

180 results...

## Discussion

- summary of results
- similarities/differences from previous work
- Schwilk and Kerr (2002)

- -10.1073/pnas.0812644106
- public goods as niche construction
- future QS or other environmental sensing
- Facultative cooperation
- Rodrigues (2012)
- Dumas and Kümmerli (2010)
- Kümmerli and Brown (2010)
- Darch/Diggle
- QS?
- Environmental Sensing? (Koestler and Waters, 2014, Bernier et al. (2011))
- Negative Niche construction as a stragegy? would those that create this constant pressure (L=5, A=6) do better than those that do not (L=5, A=5)?
- Niche construction and selective feedbacks Niche construction and other social interactions

#### Public Goods $\mathbf{Public}$

By their very nature, public goods benefit populations by making their environment more hospitable. For example, bacteria produce extracellular products
that find soluble iron (Griffin *et al.*, 2004), digest large proteins (Diggle *et al.*,
2005 2007; Darch *et al.*, 2012), and reduce the risk of predation (Cosson *et al.*, 2002),

among many others (West et al., 2007a). While many studies have explored 206 how the environment affects the evolution of cooperative bahviors, relatively 207 few have examined how those behaviors affect the environment and the result-208 ing change in evolutionary trajectories. Lehmann (2007) demonstrated analyti-209 cally that when niche construction act benefits future generations, cooperation 210 is favored due to reduced competition among kin. When rate-benefitting and yield-benefitting altruistic acts co-evolve, Van Dyken and Wade (2012) showed 212 that "reciprocal niche construction", where the selective feedbacks produced by one act benefitted the other, can lead to increased selection for both traits. While these studies have focused on the niche constructing effects of cooper-215 ation, we instead focus our attention here on how niche construction enables 216 cooperators to escape defection by hitchhiking along with non-social traits. 217

### 218 Primacy/Recency

In our model, alterations to the environment were immediately echoed by changes in selection. However, decoupling the timescales on which these pro-220 cesses occur can have substantial effects (Laland et al., 1996). By integrating 221 past allelic states into Equation 1, we can begin to explore how the cumulative 222 effects of niche construction affect the creation of non-social adaptive oppor-223 tunities and the benefits that they offer cooperation. Here, how these past 224 allelic states are integrated will play an important role. For example, when 225 the effects of earlier generations are weighted more heavily, the influence of 226 migration may be diminished. While this will reduce the threat of emigration 227

by defectors, cooperator populations will also be less effective at exporting their niche.

### 230 Cooperative Niche Construction

While our focus for this work has been on the eco-evolutionary feedbacks created by non-social traits, it would also be interesting to explore how this system is affected by the timescale at which carrying capacity at a given patch is in-233 creased by public goods. In natural settings, a multitude of factors including 234 protein durability (Brown, 2007; Kümmerli and Brown, 2010), diffusion (Al-235 lison, 2005; Driscoll and Pepper, 2010), and resource availability (Zhang and 236 Rainey, 2013; Ghoul et al., 2014) influence both the rate and the degree to 237 which public goods alter the environment (and thereby selection). Lehmann 238 (2007) demonstrated that a cooperative, niche constructing behavior can be fa-239 vored when it only affected selection for future generations, thus reducing the 240 potential for competition among contemporary kin. The evolutionary inertia 241 that this creates, however, may ultimately work against cooperators. When 242 public good accumulates in the environment, cooperators must reduce their in-243 vestment in production to remain competitive (Kümmerli and Brown, 2010). TODO: wrap up. Facultative cooperation requires sensing.

### Host-Symbiont

In many instances of cooperation, the environment is itself a biological entity,
which can produce additional evolutionary feedbacks. As the host population

changes, so too will selection on their symbiont populations. Here, evolutionary outcomes depend greatly on the degree of shared interest between the host 250 and symbiont. For example, the cooperative production of virulence factors by 251 the human pathogen P. aeruginosa in lung infections is harmful to those with 252 cystic fibrosis (Harrison, 2007). Conversely, cooperative light production by A. 253 fischeri is vital for the survival of its host, the Hawaiian bobtail squid (Ruby, 1996). It was recently argued that incorporating the effects of niche construc-255 tion is critical for improving our understanding of viral evolution (Hamblin et al., 2014) and evolution in co-infecting parasites (Hafer and Milinski, 2015). Incorporating host dynamics, co-evolution, and the feedbacks that they pro-258 duce into models is likely to be equally important for gaining an understanding 259 of how cooperative behaviors evolve in these host-symbiont settings.

## 261 Acknowledgments

• TODO: Organizers?

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• TODO: lab comments

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# Figures Figures

# Tables 733

Table 1: Model parameters and their value

Parameter	Description	Base Value
$N^2$	Number of metapopulation sites	625
L	Number of adaptive loci	5
$a_{max}$	Number of alleles	6
δ	Fitness benefit, nonzero alleles	0.3
c	Production cost	0.1
$\epsilon$	Fitness benefit, sequential alleles	0.00015
z	Baseline fitness	1
$S_{min}$	Minimum population size	800
$S_{max}$	Maximum population size	2000
$\mu_c$	Mutation rate (cooperation)	$10^{-5}$
$\mu_a$	Mutation rate (adaptation)	$10^{-5}$
m	Migration rate	0.05
$p_0$	Initial cooperator proportion	0.5
$\mu_t$	Mutation rate (tolerance to new stress)	$10^{-5}$
T	Number of simulation cycles	1000
d	Population dilution factor	0.1

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