

# TODO title

## TODO

### **Abstract**

Through their interactions, their activities, and even their mere presence, organisms change the environment for themselves and others. This “niche construction” process becomes particularly interesting when it creates evolutionary feedback, whereby selective pressures are altered in response to environmental change. Here we consider how niche construction influences the evolution of cooperation, which has been a long-standing challenge to evolutionary theory. We simulate populations of individuals that cooperatively produce a public good that permits increased growth in a stressful environment and investigate how local- and global-scale niche construction affects the ability of these populations to resist invasion by non-producing cheats. We find that niche construction profoundly impacts the evolution of cooperation by creating new opportunities for adaptation. Cooperators are able to escape subversion by cheats as long as niche construction clears these paths of adaptation. This work provides a crucial step towards understanding how evolution occurs in complex environments like those found in nature.

## 20 Introduction

21 Cooperative behaviors are common across all branches of the tree of life. In-  
22 sects divide labor within their colonies, plants and soil bacteria exchange es-  
23 sential nutrients, birds care for others' young, and the trillions of cells in the  
24 human body restrain their growth and coordinate to provide vital functions.  
25 Each instance of cooperation presents an evolutionary challenge: How can in-  
26 dividuals that sacrifice their own well-being to help others avoid subversion by  
27 those that do not? Over time, we would expect these *defectors* to rise in abun-  
28 dance at the expense of others, eventually driving cooperators—and perhaps  
29 the entire population—to extinction.

30 Several factors can defer this potential *tragedy of the commons* (Hamilton,  
31 1964; Hardin, 1968; Nowak, 2006; West *et al.*, 2007b). For example, coopera-  
32 tors must benefit more from the cooperative act than others. This can occur  
33 when cooperators are clustered together in spatially-structured populations  
34 (Fletcher and Doebeli, 2009; Nadell *et al.*, 2010; Kuzdzal-Fick *et al.*, 2011) or  
35 when cooperators use communication (Brown and Johnstone, 2001; Darch *et*  
36 *al.*, 2012) or other cues (Sinervo *et al.*, 2006; Gardner and West, 2010; Veelders  
37 *et al.*, 2010) to cooperate conditionally with kin. Interestingly, cooperation can  
38 also be bolstered by genetic linkage with self-benefitting traits (Foster *et al.*,  
39 2004; Dandekar *et al.*, 2012; Asfahl *et al.*, 2015), setting the stage for an “adap-  
40 tive race” in which cooperators and defectors vie for the first highly-beneficial  
41 non-social adaptation (Waite and Shou, 2012; Morgan *et al.*, 2012).

42 Hankshaw and Kerr (2015) recently showed that in spatially-structured popu-

lations, cooperators can gain a substantial leg up on defectors in an adaptive race when the cooperative behavior increases local population density, thus increasing the likelihood of acquiring beneficial non-social mutations. By hitchhiking along with these adaptations, the cooperative trait can rapidly rise in abundance. Nevertheless, this advantage is fleeting. As soon as the opportunities for adaptation are exhausted, cooperators are once again at a disadvantage against defectors, particularly those that arise from within via mutations that disable cooperation. However, Hankshaw and Kerr (2015) demonstrated that cooperation can be maintained indefinitely when frequent environmental changes produce a steady stream of non-social adaptive opportunities. Although organisms typically find themselves in dynamic environments, change might not occur at a rate that provides sufficient adaptive opportunities to ensure long-term cooperator survival.

Here, we build upon the model presented in Hankshaw and Kerr (2015) to explore whether the selective feedbacks produced as populations modify their environment can act as an additional source of adaptive opportunities. As previously described, this model follows the evolution of cooperation in a metapopulation of populations connected by spatially-limited migration. Cooperators produce a public good that increases the local carrying capacity. Through mutation, individuals gain non-social adaptations that confer fitness benefits. These benefits are large enough so that an adapted cooperator is more fit than a less-adapted defector (note that for simplicity, we refer to all non-cooperators as “defectors”, regardless of their origin). Because of their larger sizes, cooperator populations are more likely to acquire these adaptations. However, coop-

erator populations remain susceptible to invasion by adapted defectors either immigrate from a nearby populaion or arise via social mutation. In our expanded version of this model, populations alter their local environment based on the the presence of different non-social adaptations. Frequency-dependent selection on these adaptations creates an eco-evolutionary feedback that increasingly favors the adaptations present in each population. We focus on two aspects in which the production of public goods is affected by these selective feedbacks. First, the creation of unique niches may diminish the ability of both cooperators and defectors to invade neighboring patches due to maladaptation. However, because cooperator populations are larger, the greater number of emigrants that they produce will allow them to “export” their niche at a higher rate than defectors. As a result, cooperators may be able to expand more quickly. Second, larger cooperator populations will experience more mutations, which better enables these populations to adapt to changing environments. If niche construction produces continual change, can the resulting adaptive opportunities maintain cooperation?

## Materials and Methods

We build upon the model described in Hankshaw and Kerr (2015), in which cooperators and defectors compete and evolve in a spatially-structured metapopulation of populations. Each of these populations grows to carrying capacity, mutates, and migrates to neighboring patches. During this process, populations adapt to their local environments. In our extended model, we allow

89 the presence of these individuals to modify their local environment, and these  
90 modifications feed back to affect selection.

## 91 **Model Description**

92 Our simulated environment consists of  $N^2$  patches arranged as an  $N \times N$   
93 lattice (see [Table 1](#) for model parameters and their values), where each patch  
94 supports a population of zero or more individuals. Each individual in the  
95 population has a genotype, which is an ordered list of  $L + 1$  integers (loci).  
96 The first  $L$  loci are *adaptive loci*, and are each occupied by a 0 or an integer  
97 from the set  $A = \{1, \dots, a_{max}\}$ , where  $a_{max}$  is the number of potential alleles.  
98 These alleles represent adaptations to the environment, and the number of loci  
99 determines the number of adaptations that are possible. All non-zero alleles  
100 confer fitness benefit  $\delta$ . A binary allele at locus  $L + 1$  determines whether  
101 or not that individual is a cooperator. Individuals with allelic state 1 at this  
102 locus are cooperators, carrying a cost  $c$ , while individuals with allelic state  
103 0 are defectors. When  $\delta \geq c$ , an adapted cooperator recoups the cost of  
104 cooperation.

105 Organisms also influence their environment, which can feed back to influence  
106 selection. We model this as a form of frequency dependent selection. Specif-  
107 ically, the selective value of adaptive allele  $a$  at locus  $l$  increases with the  
108 proportion of the population that has allele  $a - 1$  (modulo  $a_{max}$ ) at locus  $l - 1$   
109 (and the first adaptive locus is affected by the last). The slope of this increase  
110 is  $\epsilon$ , which specifies the intensity of niche construction. As a consequence of

111 this form of frequency dependence, genotypes with sequentially increasing al-  
 112 lelic states will tend to evolve. Because mutations are random, as described  
 113 later, each population will evolve sequences that start with different allelic  
 114 states. These different sequences represent the unique niches constructed by  
 115 populations. Under this model, the fitness of an individual with genotype  $g$  in  
 116 population  $P$  is:

$$W_g = z + \delta \sum_{l=1}^L I_A(a_{g,l}) + \epsilon \sum_{l=1}^L n(a_{g,l}) + ca_{g,L+1} \quad (1)$$

117 where  $z$  is a baseline fitness,  $c$  is the cost of the cooperative allele,  $a_{g,l}$  represents  
 118 the allelic state of genotype  $g$  at locus  $l$ ,  $L$  is the number of adaptive loci, and  
 119  $\gamma(j)$  is the genotype of individual  $j$ . The function  $I_A$  indicates whether allelic  
 120 state  $y$  is in  $A$  (i.e., it is non-zero). The function  $n(a_{g,l})$  gives the number of  
 121 individuals in the population with allelic state at the previous locus equal to  
 122 one less than that at the focal locus  $a_{g,l}$ , or:

$$n(a_{g,l}) = \sum_{h=1}^N I_{a_{g,l}}(1 + a_{h,l-1}(\text{mod } a_{max})) \quad (2)$$

123 Here,  $I_x(y)$  indicates whether the allelic state  $y$  matches allelic state  $x$  (1) or  
 124 not (0).

125 Cooperators produce a public good that is equally accessible to all members  
 126 of the population. This public good increases the carrying capacity at that  
 127 patch, allowing the population to reach greater density. This benefit increases  
 128 linearly with the proportion of cooperators. Thus, if  $p$  is the proportion of

cooperators in a population at the beginning of a growth cycle, then that population reaches the following size during the growth phase:

$$S(p) = S_{min} + p(S_{max} - S_{min}) \quad (3)$$

The function  $S(p)$  reflects the benefit of public good production. A population composed entirely of defectors reaches size  $S_{min}$ , while one composed entirely of cooperators reaches size  $S_{max}$  (with  $S_{max} \geq S_{min}$ ). During growth, individuals compete for inclusion in the resulting population. The composition of population  $P$  with cooperator proportion  $p$  after growth is multinomial with parameters  $S(p)$  and  $\{\pi_1, \pi_2, \dots, \pi_{|P|}\}$ , where:

$$\pi_i = \frac{W_{\gamma(i)}}{\sum_{j \in P} W_{\gamma(j)}} \quad (4)$$

Here,  $\gamma(i)$  is the genotype of individual  $i$ , and  $W_{\gamma(i)}$  is its fitness (see Equation 1).  $\pi_i$  therefore reflects that an individual's ability to persist is proportional to its fitness relative to others'.

For simplicity, we apply mutations after population growth. Mutations occur independently at each locus and cause the allelic state to change. At the binary cooperation locus, mutations occur at rate  $\mu_c$ . These mutations flip the allelic state, causing cooperators to become defectors and vice versa. Mutations occur at each adaptive locus at rate  $\mu_a$ , and cause a new allelic state to be chosen at random from the set  $\{0\} \cup A$ . Therefore, the probability that genotype  $g$  mutates into genotype  $g'$  is given by:

$$\tau_{g \rightarrow g'} = \mu_a^{H_a(g, g')}(1 - \mu_a)^{\{L - H_a(g, g')\}} \mu_c^{H_c(g, g')}(1 - \mu_c)^{\{1 - H_c(g, g')\}} \quad (5)$$

147 where  $H_a(g, g')$  and  $H_c(g, g')$  are the Hamming distances between genotypes  $g$   
 148 and  $g'$  at the cooperation locus and adaptive loci, respectively. The Hamming  
 149 distance is the number of loci at which allelic states differ (Hamming, 1950).  
 150 Because we define no inherent relationship among alleles, each of the  $a_{max} + 1$   
 151 allelic states is equally likely to arise via mutation at a given locus.

152 After mutation, individuals emigrate to an adjacent patch at rate  $m$ . The  
 153 destination patch is randomly chosen with uniform probability from the source  
 154 patch's Moore neighborhood, which is composed of the nearest 8 patches on the  
 155 lattice. Because the metapopulation lattice has boundaries, patches located  
 156 on an edge have smaller neighborhoods.

157 Metapopulations are initiated in a state that follows the onset of an environ-  
 158 mental stress. First, populations are seeded at all patches with cooperator  
 159 proportion  $p_0$  and grown to density  $S(p_0)$ . Stress is then introduced, which  
 160 subjects the population to a bottleneck. For each individual, the probability of  
 161 survival is  $\mu_t$ , which represents the likelihood that a mutation occurs that con-  
 162 fers tolerance. Survivors are chosen by binomial sampling. Because individuals  
 163 have not yet adapted to this new stress, the allelic state of each individual's  
 164 genotype is set to 0 at each adaptive locus ( $\forall i \in P, l \in \{1, \dots, L\} : a_{\gamma(i), l} = 0$ ).  
 165 Following initialization, simulations are run for  $T$  cycles, where each discrete  
 166 cycle consists of growth, mutation, and migration. At the end of each cycle,  
 167 populations are thinned to allow for growth in the next cycle. The individuals



168 that remain are chosen by binomial sampling, where each individual persists  
169 with probability  $d$ , regardless of allelic state.

## 170 Source Code and Software Environment

171 The simulation software and configurations for the experiments reported are  
172 available online (Us, 2015). Simulations used Python 3.4.0, NumPy 1.9.1,  
173 Pandas 0.15.2 (McKinney, 2010), NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data  
174 analyses were performed with R 3.1.3 (R Core Team, 2015).

## 175 Results

176 results...

## 177 Discussion

- 178 • summary of results
- 179 • similarities/differences from previous work
  - 180 – Schwilk and Kerr (2002)
  - 181 – 10.1073/pnas.0812644106
- 182 • public goods as niche construction
- 183 • future QS or other environmental sensing
- 184 • Facultative cooperation

- 185           – Rodrigues (2012)
- 186           – Dumas and Kümmerli (2010)
- 187           – Kümmerli and Brown (2010)
- 188           – Darch/Diggle
- 189           – QS?
- 190           – Environmental Sensing?

191 Niche construction and selective feedbacks Niche construction and other social  
 192 interactions

## 193 **Public Goods**

194 By their very nature, public goods benefit populations by making their environ-  
 195 ment more hospitable. For example, bacteria produce extracellular products  
 196 that find soluble iron (Griffin *et al.*, 2004), digest large proteins (Diggle *et al.*,  
 197 2007; Darch *et al.*, 2012), and reduce the risk of predation (Cosson *et al.*, 2002),  
 198 among many others (West *et al.*, 2007a). While many studies have explored  
 199 how the environment affects the evolution of cooperative behaviors, relatively  
 200 few have examined how those behaviors affect the environment and the result-  
 201 ing change in evolutionary trajectories. Lehmann (2007) demonstrated analyti-  
 202 cally that when niche construction act benefits future generations, cooperation  
 203 is favored due to reduced competition among kin. When rate-benefitting and  
 204 yield-benefitting altruistic acts co-evolve, Van Dyken and Wade (2012) showed  
 205 that “reciprocal niche construction”, where the selective feedbacks produced  
 206 by one act benefitted the other, can lead to increased selection for both traits.

207 While these studies have focused on the niche constructing effects of cooper-  
208 ation, we instead focus our attention here on how niche construction enables  
209 cooperators to escape defection by hitchhiking along with non-social traits.

## 210 **Primacy/Recency**

211 In our model, alterations to the environment were immediately echoed by  
212 changes in selection. However, decoupling the timescales on which these pro-  
213 cesses occur can have substantial effects (Laland *et al.*, 1996). By integrating  
214 past allelic states into Equation 1, we can begin to explore how the cumulative  
215 effects of niche construction affect the creation of non-social adaptive oppor-  
216 tunities and the benefits that they offer cooperation. Here, how these past  
217 allelic states are integrated will play an important role. For example, when  
218 the effects of earlier generations are weighted more heavily, the influence of  
219 migration may be diminished. While this will reduce the threat of emigration  
220 by defectors, cooperator populations will also be less effective at exporting  
221 their niche.

## 222 **Cooperative Niche Construction**

223 While our focus for this work has been on the eco-evolutionary feedbacks cre-  
224 ated by non-social traits, it would also be interesting to explore how this system  
225 is affected by the timescale at which carrying capacity at a given patch is in-  
226 creased by public goods. In natural settings, a multitude of factors including  
227 protein durability (Brown, 2007; Kümmerli and Brown, 2010), diffusion (Al-

lison, 2005; Driscoll and Pepper, 2010), and resource availability (Zhang and Rainey, 2013; Ghoul *et al.*, 2014) influence both the rate and the degree to which public goods alter the environment (and thereby selection). Lehmann (2007) demonstrated that a cooperative, niche constructing behavior can be favored when it only affected selection for future generations, thus reducing the potential for competition among contemporary kin. The evolutionary inertia that this creates, however, may ultimately work against cooperators. When public good accumulates in the environment, cooperators must reduce their investment in production to remain competitive (Kümmerli and Brown, 2010).  
 TODO: wrap up. Facultative cooperation requires sensing.

## Host-Symbiont

In many instances of cooperation, the environment is itself a biological entity, which can produce additional evolutionary feedbacks. As the host population changes, so too will selection on their symbiont populations. Here, evolutionary outcomes depend greatly on the degree of shared interest between the host and symbiont. For example, the cooperative production of virulence factors by the human pathogen *P. aeruginosa* in lung infections is harmful to those with cystic fibrosis (Harrison, 2007). Conversely, cooperative light production by *A. fischeri* is vital for the survival of its host, the Hawaiian bobtail squid (Ruby, 1996). It was recently argued that incorporating the effects of niche construction is critical for improving our understanding of viral evolution (Hamblin *et al.*, 2014) and evolution in co-infecting parasites (Hafer and Milinski, 2015).

250 Incorporating host dynamics, co-evolution, and the feedbacks that they pro-  
251 duce into models is likely to be equally important for gaining an understanding  
252 of how cooperative behaviors evolve in these host-symbiont settings.

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- 254 • TODO: Organizers?
- 255 • TODO: Anu

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## 263 **Figures**

Table 1: Model parameters and their value

Parameter	Description	Base Value
$N^2$	Number of metapopulation sites	625
$L$	Number of adaptive loci	5
$c$	Production cost	0.1
$a_{max}$	Number of alleles	6
$\delta$	Fitness benefit, nonzero alleles	0.3
$\epsilon$	Fitness benefit, sequential alleles	0.00015
$z$	Baseline fitness	1
$S_{min}$	Minimum population size	800
$S_{max}$	Maximum population size	2000
$\mu_c$	Mutation rate (cooperation)	$10^{-5}$
$\mu_a$	Mutation rate (adaptation)	$10^{-5}$
$m$	Migration rate	0.05
$p_0$	Initial cooperator proportion	0.5
$\mu_t$	Mutation rate (tolerance to new stress)	$10^{-5}$
$T$	Number of simulation cycles	1000
$d$	Population dilution factor	0.1

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