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Abstract

Through their interactions, their activities, and even their mere presence, organisms change the environment for themselves and others. This “niche construction” process becomes particularly interesting when it creates evolutionary feedback, whereby selective pressures are altered in response to environmental change. Here we consider how niche construction influences the evolution of cooperation, which has been a long-standing challenge to evolutionary theory. We simulate populations of individuals that cooperatively produce a public good that permits increased growth in a stressful environment and investigate how local- and global-scale niche construction affects the ability of these populations to resist invasion by non-producing cheats. We find that niche construction profoundly impacts the evolution of cooperation by creating new opportunities for adaptation. Cooperators are able to escape subversion by cheats as long as niche construction clears these paths of adaptation. This work provides a crucial step towards understanding how evolution occurs in complex environments like those found in nature.

20 Introduction

21 Cooperative behaviors are common across all branches of the tree of life. In-
22 sects divide labor within their colonies, plants and soil bacteria exchange es-
23 sential nutrients, birds care for others' young, and the trillions of cells in the
24 human body restrain their growth and coordinate to provide vital functions.
25 Each instance of cooperation presents an evolutionary challenge: How can in-
26 dividuals that sacrifice their own well-being to help others avoid subversion by
27 those that do not? Over time, we would expect these *defectors* to rise in abun-
28 dance at the expense of others, eventually driving cooperators—and perhaps
29 the entire population—to extinction.

30 Several factors can defer this potential *tragedy of the commons* (Hamilton,
31 1964; Hardin, 1968; Nowak, 2006; West *et al.*, 2007). For example, coopera-
32 tors must benefit more from the cooperative act than others. This can occur
33 when cooperators are clustered together in spatially-structured populations
34 (Fletcher and Doebeli, 2009; Nadell *et al.*, 2010; Kuzdzal-Fick *et al.*, 2011) or
35 when cooperators use communication (Brown and Johnstone, 2001; Darch *et*
36 *al.*, 2012) or other cues (Sinervo *et al.*, 2006; Gardner and West, 2010; Veelders
37 *et al.*, 2010) to cooperate conditionally with kin. Interestingly, cooperation can
38 also be bolstered by genetic linkage with self-benefitting traits (Foster *et al.*,
39 2004; Dandekar *et al.*, 2012; Asfahl *et al.*, 2015), setting the stage for an “adap-
40 tive race” in which cooperators and defectors vie for the first highly-beneficial
41 non-social adaptation (Waite and Shou, 2012; Morgan *et al.*, 2012).

42 Hankshaw and Kerr (2015) recently showed that in spatially-structured pop-

43 ulations, cooperators gain a substantial leg up on defectors in an adaptive
44 race when the cooperative behavior increases local population density, thus
45 increasing the likelihood of acquiring beneficial non-social mutations. Never-
46 theless, this advantage is fleeting. As soon as the opportunities for adaptation
47 are exhausted, cooperators are once again at a disadvantage against defectors.
48 However, cooperation can be maintained indefinitely when frequent environ-
49 mental changes produce a stream of non-social adaptive opportunities (**If this**
50 **isn't included in Hankshaw paper, remove this sentence and re-write**
51 **next**). Although natural organisms typically find themselves in changing en-
52 vironments, cooperators may not be able to rely on the the environment to
53 provide sufficient adaptive opportunities for their long-term survival.

54 Summary of this model.

55 Niche construction intro??

56 **Materials and Methods**

57 We develop a computational model to observe the evolution of public goods
58 cooperation in a spatially-structured metapopulation of populations. As de-
59 scribed below, populations grow to carrying capacity, mutate, and migrate
60 to neighboring patches. During this process, populations adapt to their lo-
61 cal environments. The environments are, in turn, modified by the presence
62 of these adapted individuals, allowing each population to construct a unique
63 niche along its evolutionary trajectory. Model parameters and their values are
64 listed in [Table 1](#).

65 Individuals and Fitness

66 Each individual has a genotype of length $L + 1$. A binary allele at the first
67 locus determines whether or not the individual is a cooperator, which carries
68 cost c . Note that we refer to all individuals with allelic state 0 at this locus as
69 a “defector”, regardless of origin. The remaining L loci are *stress loci*, and are
70 each occupied by a 0 or an integer from the set $A = \{1, \dots, a_{max}\}$, where a_{max}
71 is the number of possible alleles. These alleles represent adaptations to the
72 environment, and the number of loci determines the number of possible adap-
73 tations. All non-zero alleles carry fitness benefit δ . Organisms also influence
74 their environment, which can feed back to influence selection. We model this
75 as a form of frequency dependent selection. Specifically, the selective value
76 of stress allele a at locus i increases with the proportion of the population
77 that has allele $a - 1$ (modulo a_{max}) at locus $i - 1$. The slope of this increase
78 is ϵ (which gauges the intensity of niche construction). As a consequence of
79 this form of frequency dependence, genotypes with sequentially increasing al-
80 lelic states will tend to evolve. Because mutations are random, as described
81 below, each population will evolve sequences that start with different allelic
82 states. These different sequences represent the unique niches constructed by
83 populations. Under this model, the fitness of an individual with genotype g
84 is:

$$W_g = z + a_{g,1}c + \delta \sum_{l=2}^{L+1} I_A(a_{g,l}) + \epsilon \sum_{h=1}^N I_{a_{h,1}}(a_{g,1}) + \epsilon \sum_{l=2}^L n(a_{g,l})$$

85 where $a_{g,l}$ represents the allelic state of genotype g at locus l , z is a baseline

86 fitness, L is the number of stress loci, N is the population size at that patch,
 87 and c is the cost of the cooperative allele. $I_x(y)$ indicates whether the allelic
 88 state y matches allelic state x (1) or not (0). $n(a_{g,l})$ is the number of individuals
 89 in the population with allelic state at the previous locus equal to one less than
 90 that at the focal locus $a_{g,l}$, or:

$$n(a_{g,l}) = \sum_{h=1}^N I_{a_{g,l}}(1 + a_{h,l-1} \pmod{a_{max}})$$

$$n(a_{g,l}) = \sum_{h=1}^N I_{a_{g,l}}(1 + a_{h,l-1} \pmod{a_{max}})$$

91 Population Growth

92 If p is the proportion of cooperators in a population at the beginning of a
 93 growth cycle, then that population reaches the following size during the growth
 94 phase:

$$S(p) = S_{min} + p(S_{max} - S_{min})$$

95 Therefore, a population composed entirely of defectors reaches size S_{min} , while
 96 one composed entirely of cooperators reaches size S_{max} (with $S_{max} \geq S_{min}$).
 97 The function $S(p)$ gauges the benefit of public good production, as popula-
 98 tion size increases linearly with the proportion of cooperators. During growth,
 99 competition occurs. Consider an arbitrary genotype g . Let n_g be the num-
 100 ber of individuals with genotype g , and let W_g be the fitness of genotype g

101 (see equation [1]). The composition of genotypes after population growth is
 102 multinomial with parameters $S(p)$ and $\{\pi_1, \pi_2, \dots, \pi_{|G|}\}$, where:

$$\pi_g = \frac{n_g W_g}{\sum_{i=1}^G n_i W_i}$$

103 Thus, π_g is the probability that an individual in the population after growth
 104 has genotype g (such that $\sum \pi_g = 1$). G represents the set of all $(a_{max} + 1)^L$
 105 genotypes.

106 Mutation

107 For simplicity, we apply mutation after population growth. These mutations
 108 occur independently at each locus and result in an allelic state change. At the
 109 binary cooperation locus, mutations flip the allelic state at rate μ_c , causing
 110 cooperators to become defectors and vice versa. Mutations at a stress locus
 111 cause a new allelic state to be chosen at random from the set $\{0\} \cup A$. These
 112 mutation occur at each stress locus at rate μ_s . Therefore, the probability that
 113 genotype g mutates into genotype g' is given by:

$$\tau_{g \rightarrow g'} = \mu_s^{H_s(g, g')} (1 - \mu_s)^{\{L - H_s(g, g')\}} \mu_c^{H_p(g, g')} (1 - \mu_c)^{\{1 - H_p(g, g')\}}$$

114 where $H_s(g, g')$ and $H_p(g, g')$ are the Hamming distances between genotypes
 115 g and g' at the stress loci and cooperation locus, respectively. The Hamming
 116 distance is the number of loci at which allelic states differ. Because there is
 117 no inherent relationship among alleles, each of the $a_{max} + 1$ alleles is equally

likely to arise via mutation at a given locus.

Migration and Metapopulation Structure

The metapopulation consists of N^2 patches arranged in a $N \times N$ lattice. After mutation, individuals emigrate to an adjacent patch with probability m . This adjacent patch is randomly chosen with uniform probability from the source patch's Moore neighborhood, which is composed of the nearest 8 patches on the lattice. Because the metapopulation lattice has boundaries, patches located on an edge have smaller neighborhoods.

Initialization and Simulation

Metapopulations are initiated in a state that follows the onset of an environmental stress. First, populations are seeded at each patch with cooperator proportion p_0 and grown to density $S(p_0)$. Stress is then introduced by subjecting the population to a bottleneck. The number of survivors with each genotype g is sampled from a binomial distribution, where the number of trials is n_g . The probability of success is μ_t , which represents the likelihood that a mutation occurs that enables survival. Because individuals have not yet adapted to this new stress, the allelic state of each genotype is set to 0 at each stress locus ($\forall g \in G, l \in \{2, \dots, L+1\} : a_{g,l} = 0$). Following initialization, simulations are run for T cycles, where each cycle consists of growth, mutation, and migration. After migration, populations are thinned to allow for growth in the next cycle. The number of survivors for each genotype g is sampled from

139 a binomial distribution, where the number of trials is n_g and the probability
140 of success is d .

141 Source Code and Software Environment

142 The simulation software and configurations for the experiments reported are
143 available online (Us, 2015). Simulations used Python 3.4.0, NumPy 1.9.1,
144 Pandas 0.15.2 (McKinney, 2010), NetworkX 1.9.1 (Hagberg *et al.*, 2008). Data
145 analyses were performed with R 3.1.2 (R Core Team, 2014).

146 Results

147 results...

148 Discussion

- 149 • summary of results
- 150 • future primacy/recency
- 151 • future other types of social interactions
- 152 • future QS or other environmental sensing
- 153 • Host symbiont - many instances of cooperation occur among pathogens.
- 154 # Acknowledgments

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- 157 • Google
- 158 • Organizers?

159 **Figures**

Table 1: Model parameters and their value.

Parameter	Description	Base Value
L	Number of Stress Loci	3
a_{max}	Number of alleles	4
δ	Fitness benefit, nonzero alleles	0.5
ϵ	Fitness benefit, sequential alleles	TODO
c	Production Cost	0.1
z	Baseline fitness	1
S_{min}	Minimum Population Size	800
S_{max}	Maximum Population Size	2000
μ_s	Mutation Rate (Stress)	10^{-5}
μ_c	Mutation Rate (Cooperation)	10^{-5}
μ_t	Mutation Rate (Tolerance to New Stress)	10^{-5}
m	Migration Rate	0.05
d	Population Dilution Factor	0.1
N^2	Number of Metapopulation Sites	625
p_0	Initial Producer Proportion	0.5
T	Number of Simulation Cycles	TODO

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