

# Announcements

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We changed submission time for the final project to **11:59pm tonight**. When you see the TAs, please say **thank you!** They fielded more than 100 Piazza posts yesterday alone, and they advocated for the extension.

Exam Review Session on Sunday December 13. We will release a practice exam.

Final exam is on Tuesday December 15 at **9am**. 3 locations:

- Skirkanich Auditorium: Last names A-G
- Levine Hall 101 (Wu and Chen): Last names H-P
- LRSM Auditorium: Last names R-Z

1 page of handwritten notes is allowed. Both sides of a 8.5"x11" sheet.

Exam composition: 50% from material after midterm 2, 25% from midterm 1 and 25% from midterm 2.

Please submit a course evaluation **and** an end of term survey



<http://algs4.cs.princeton.edu>

## COURSE WRAP-UP

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- ▶ *Intractable problems:  $P$  versus  $NP$*
- ▶ *Course goals*
- ▶ *What you can do next*

# Theory of Algorithms: Classifying Problems

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**Goal.** Classify **problems** according to computational requirements.

complexity	order of growth	examples
<b>linear</b>	$N$	<i>min, max, median, Burrows-Wheeler transform, ...</i>
<b>linearithmic</b>	$N \log N$	<i>sorting, element distinctness, ...</i>
<b>quadratic</b>	$N^2$	?
$\vdots$	$\vdots$	$\vdots$
<b>exponential</b>	$c^N$	?

**Frustrating news.** Huge number of problems have defied classification.

# Theory of Algorithms: Classifying Problems

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<b>linear</b>	$N$	<i>min, max, median, Burrows-Wheeler transform, ...</i>
<b>linearithmic</b>	$N \log N$	<i>sorting, element distinctness, ...</i>
<b>M(N)</b>	?	<i>integer multiplication, division, square root, ...</i>
<b>MM(N)</b>	?	<i>matrix multiplication, <math>Ax = b</math>, least square, determinant, ...</i>
$\vdots$	$\vdots$	$\vdots$
<b>NP-complete</b>	<i>probably not <math>N^k</math></i>	3-SAT, IND-SET, ILP, ...

**Good news.** Can put many problems into equivalence classes.



# Problem classifications via reductions

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## Reductions are important in practice to:

- Design algorithms.
- Design reusable software modules.
  - stacks, queues, priority queues, symbol tables, sets, graphs
  - sorting, regular expressions, suffix arrays
  - MST, shortest paths, maxflow, linear programming
- Determine difficulty of your problem and choose the right tool.



## Reductions are important in theory to:

- Design algorithms.
- Establish lower bounds.
- Classify problems according to their computational requirements.



We will look at a special classification of algorithms: P versus NP

# Intractability: Bird's-eye view

Worse case runtime is  $O(N^k)$   
or less for some constant  $k$

**Def.** A problem is **intractable** if it can't be solved in polynomial time.

**Goal.** Prove that a problem is intractable.

Worse case runtime is  $O(2^N)$   
or worse

Two problems that provably require exponential time.

- Given a constant-size program, does it halt in at most  $K$  steps?
- Given  $N$ -by- $N$  checkers board position, can the first player force a win?



*Alan designed the perfect computer*



**Frustrating news.** Few problems have provably exponential time.



# A core problem: satisfiability

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**SAT.** Given a system of boolean equations, find a solution.

**Ex.**

$$\neg x_1 \quad \text{or} \quad x_2 \quad \text{or} \quad x_3 \quad = \quad \text{true}$$

$$x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad x_3 \quad = \quad \text{true}$$

$$\neg x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad \neg x_3 \quad = \quad \text{true}$$

$$\neg x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad \quad \text{or} \quad x_4 \quad = \quad \text{true}$$

$$\quad \neg x_2 \quad \text{or} \quad x_3 \quad \text{or} \quad x_4 \quad = \quad \text{true}$$

**instance I**

$x_1$	$x_2$	$x_3$	$x_4$
T	T	F	T

**solution S**

**3-SAT.** All equations of this form (with three variables per equation).

## Key applications.

- Automatic verification systems for software.
- Electronic design automation (EDA) for hardware.
- Many others



# Satisfiability is conjectured to be intractable

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Q. How to solve an instance of 3-SAT with  $N$  variables?

A. Exhaustive search: try all  $2^N$  truth assignments.

↑  
intractable, exponential time



Q. Can we do anything substantially more clever?

Conjecture (**P**  $\neq$  **NP**). 3-SAT is intractable (no poly-time algorithm).

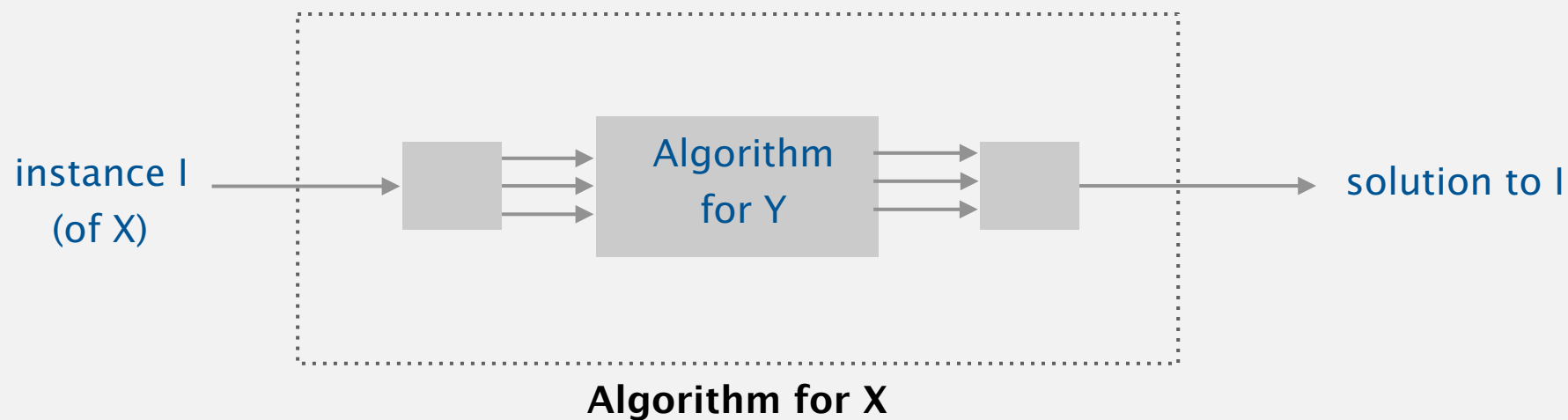
↑  
consensus opinion

# Polynomial-time reductions

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Problem  $X$  **poly-time reduces** to problem  $Y$  if  $X$  can be solved with:

- Polynomial number of standard computational steps.
- Polynomial number of calls to  $Y$ .



**Establish intractability.** If 3-SAT poly-time reduces to  $Y$ , then  $Y$  is intractable. (assuming 3-SAT is intractable)

**Mentality.**

- If I could solve  $Y$  in poly-time, then I could also solve 3-SAT in poly-time.
- 3-SAT is believed to be intractable.
- Therefore, so is  $Y$ .

# Integer linear programming

**ILP.** Given a system of linear inequalities, find an **integral** solution.

$$3x_1 + 5x_2 + 2x_3 + x_4 + 4x_5 \geq 10$$

$$5x_1 + 2x_2 + 4x_4 + 1x_5 \leq 7$$

$$x_1 + x_3 + 2x_4 \leq 2$$

$$3x_1 + 4x_3 + 7x_4 \leq 7$$

$$x_1 + x_4 \leq 1$$

$$x_1 + x_3 + x_5 \leq 1$$

$$\text{all } x_i = \{0, 1\}$$

linear inequalities

integer variables

instance I

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$
0	1	0	1	1

solution S

**Context.** Cornerstone problem in operations research.

**Remark.** Finding a real-valued solution is tractable (linear programming).

# 3-SAT poly-time reduces to ILP

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**3-SAT.** Given a system of boolean equations, find a solution.

$$\begin{array}{lclclclclcl} \neg x_1 & or & x_2 & or & x_3 & & = & true \\ x_1 & or & \neg x_2 & or & x_3 & & = & true \\ \neg x_1 & or & \neg x_2 & or & \neg x_3 & & = & true \\ \neg x_1 & or & \neg x_2 & or & & or & x_4 & = & true \\ & & \neg x_2 & or & x_3 & or & x_4 & = & true \end{array}$$

**ILP.** Given a system of linear inequalities, find a 0-1 solution.

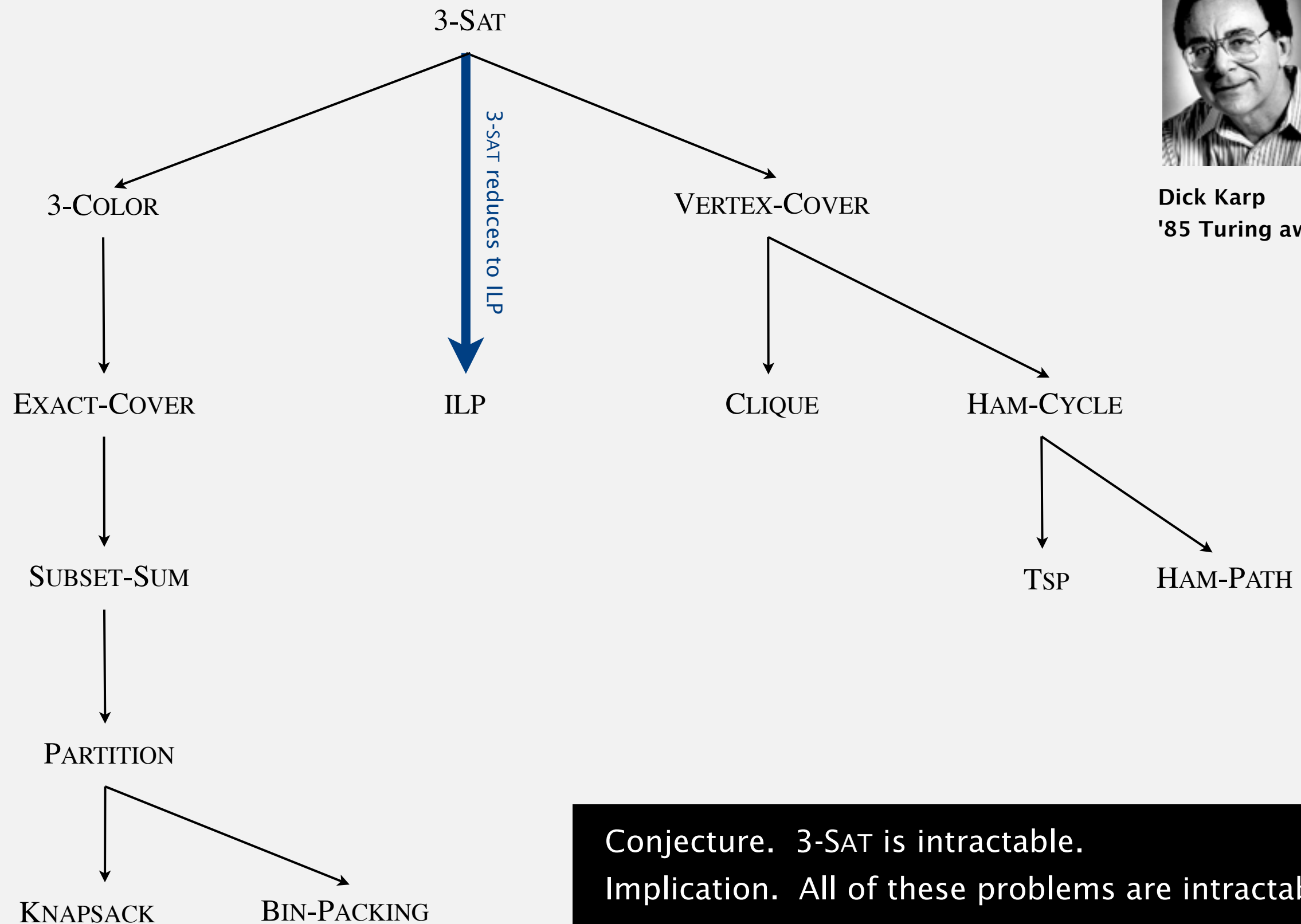
$$\begin{array}{lclclclclcl} (1 - x_1) & + & x_2 & + & x_3 & & \geq & 1 \\ x_1 & + & (1 - x_2) & + & x_3 & & \geq & 1 \\ (1 - x_1) & + & (1 - x_2) & + & (1 - x_3) & & \geq & 1 \\ (1 - x_1) & + & (1 - x_2) & + & & + & x_4 & \geq & 1 \\ & & (1 - x_2) & + & x_3 & + & x_4 & \geq & 1 \end{array}$$

**solution to this ILP instance gives solution to original 3-SAT instance**

# More poly-time reductions from 3-satisfiability



Dick Karp  
'85 Turing award



Conjecture. 3-SAT is intractable.  
Implication. All of these problems are intractable.

# Implications of poly-time reductions from 3-satisfiability

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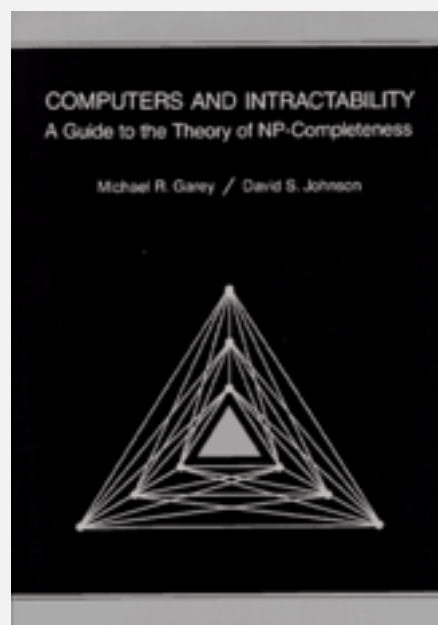
Establishing intractability through poly-time reduction is an important tool in guiding algorithm design efforts.

**Q.** How to convince yourself that a new problem is (probably) intractable?

**A1.** [hard way] Long futile search for an efficient algorithm (as for 3-SAT).

**A2.** [easy way] Reduction from 3-SAT.

**Caveat.** Intricate reductions are common.



# Search problems

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**Search problem.** Problem where you can check a solution in poly-time.

**Ex 1.** 3-SAT.

$\neg x_1$	or	$x_2$	or	$x_3$	=	true
$x_1$	or	$\neg x_2$	or	$x_3$	=	true
$\neg x_1$	or	$\neg x_2$	or	$\neg x_3$	=	true
$\neg x_1$	or	$\neg x_2$	or		or	$x_4$ = true
		$\neg x_2$	or	$x_3$	or	$x_4$ = true

instance I

$x_1$	$x_2$	$x_3$	$x_4$
T	T	F	T

solution S

**Ex 2.** FACTOR. Given an  $N$ -bit integer  $x$ , find a nontrivial factor.

147573952589676412927

instance I

193707721

solution S



# P vs. NP

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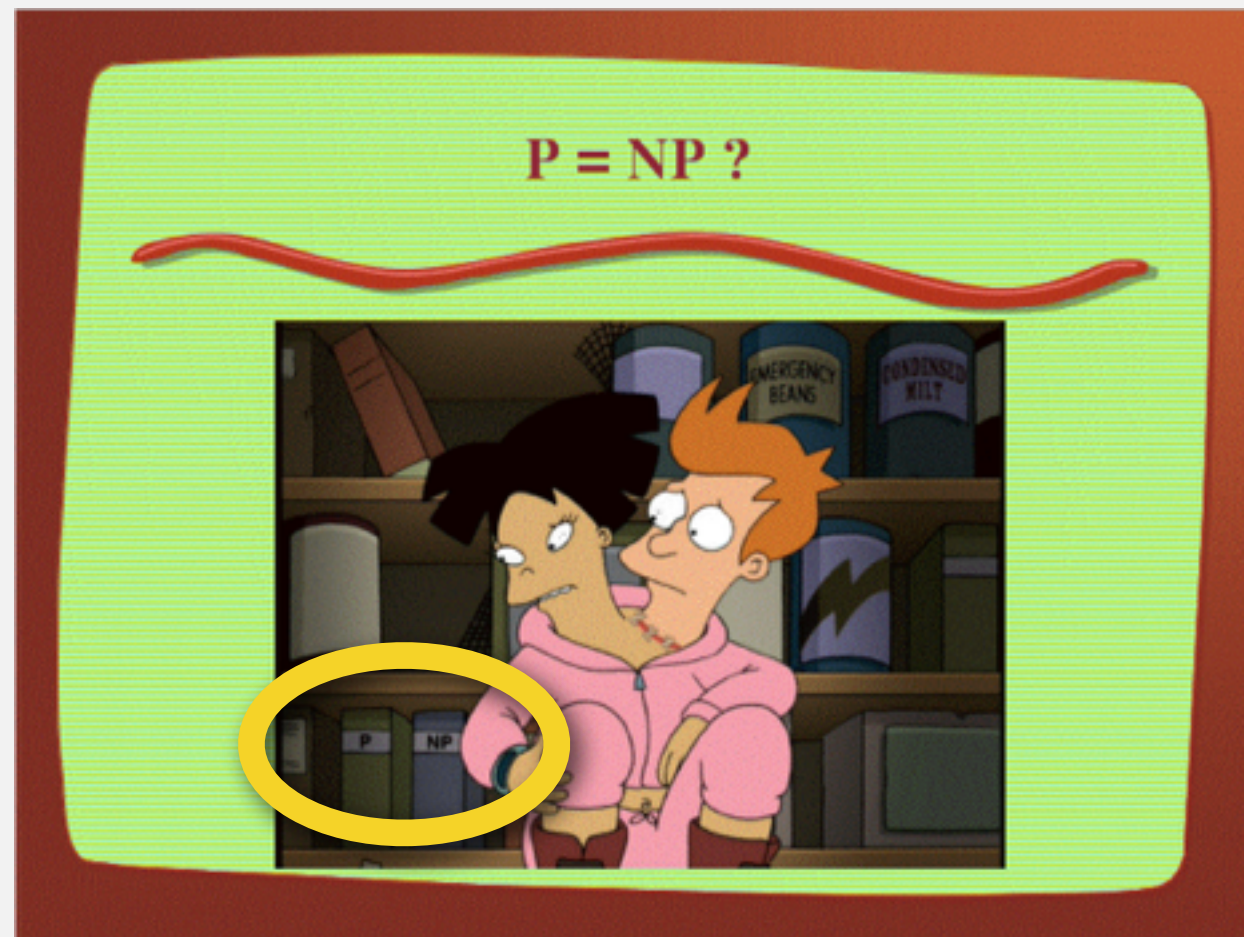
**P.** Set of search problems solvable in poly-time.

**Importance.** What scientists and engineers can compute feasibly.

**NP.** Set of search problems (checkable in poly-time).

**Importance.** What scientists and engineers aspire to compute feasibly.

**Fundamental question.**



**Consensus opinion.** No.

# Cook-Levin theorem

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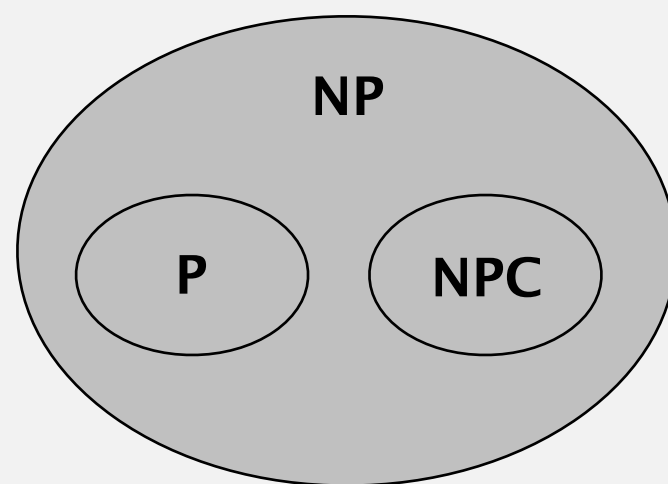
A problem is **NP-COMPLETE** if

- It is in **NP**.
- All problems in **NP** poly-time to reduce to it.

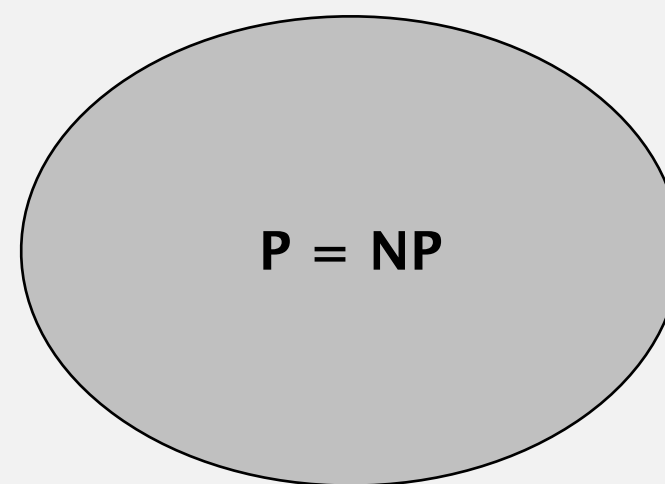
**Cook-Levin theorem.** 3-SAT is **NP-COMPLETE**.

**Corollary.** 3-SAT is tractable if and only if **P = NP**.

Two worlds.

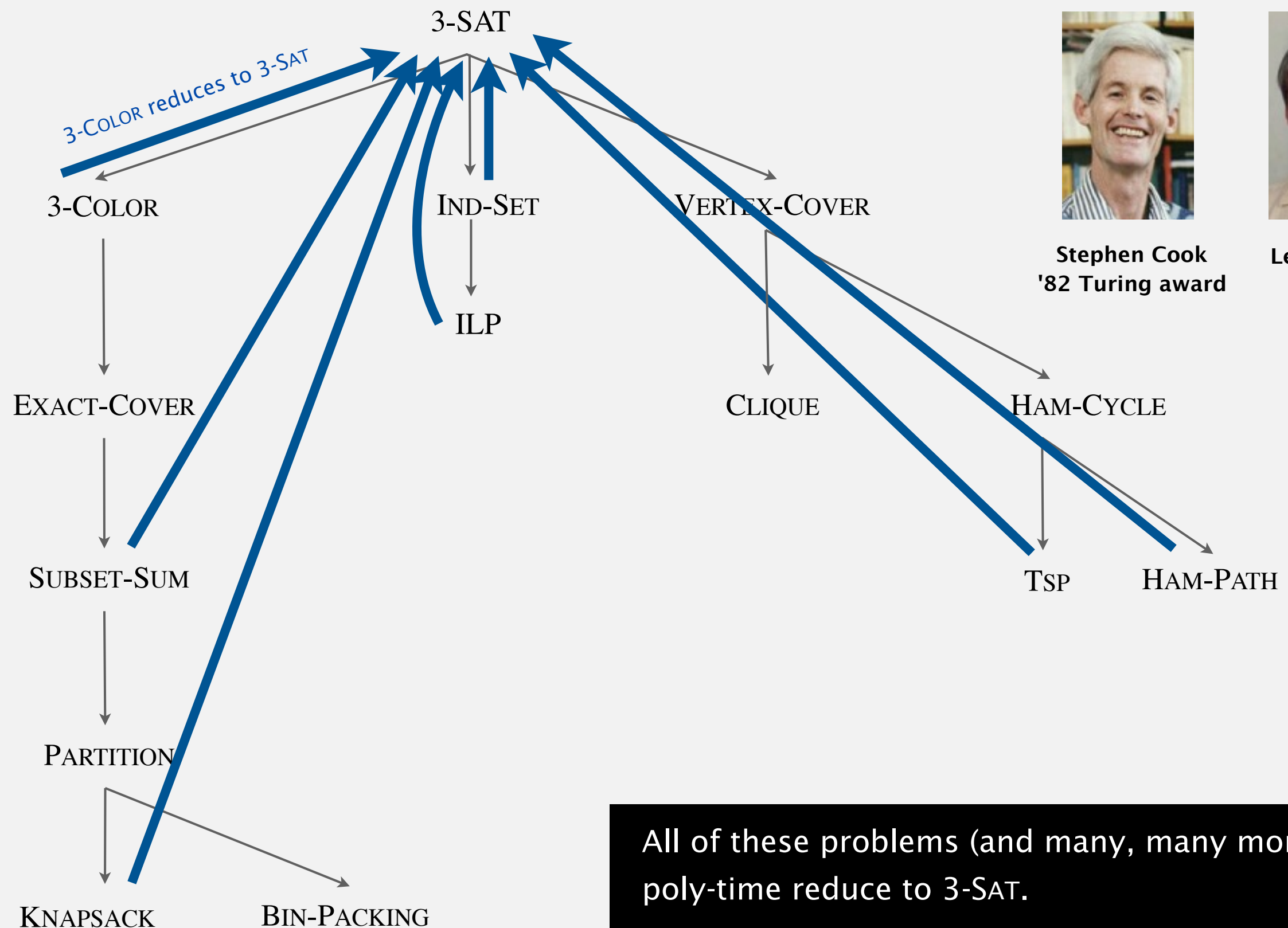


**P ≠ NP**



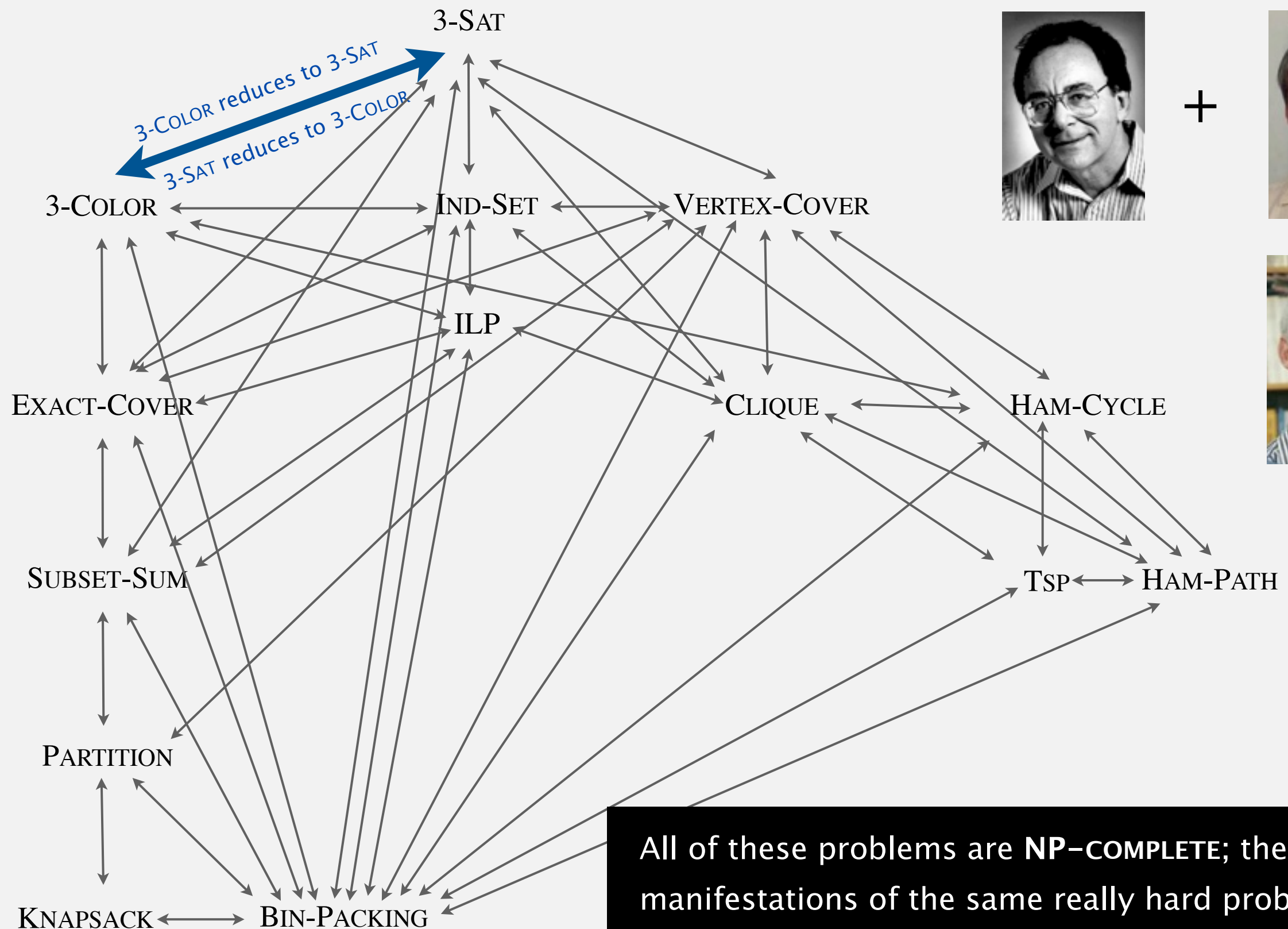
**P = NP**

# Implications of Cook-Levin theorem



All of these problems (and many, many more) poly-time reduce to 3-SAT.

# Implications of Karp + Cook-Levin



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# Summary

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**P.** Class of search problems solvable in poly-time.

**NP.** Class of all search problems, some of which seem wickedly hard.

**NP-complete.** Hardest problems in NP.

**Intractable.** Problem with no poly-time algorithm.

Many fundamental problems are NP-Complete.

Use theory as a guide.

- A poly-time algorithm for an NP-complete problem would be a stunning breakthrough (a proof that  $P = NP$ ).
- You will confront NP-complete problems in your career.
- Safe to assume that  $P \neq NP$  and that such problems are intractable.
- Identify these situations and proceed accordingly.

# Farewell to Algorithms

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## What you learned

topic	data structures and algorithms
<b>data types</b>	stack, queue, bag, union-find, priority queue
<b>sorting</b>	quicksort, mergesort, heapsort, radix sorts
<b>searching</b>	BST, red-black BST, hash table
<b>graphs</b>	BFS, DFS, Prim, Kruskal, Dijkstra
<b>strings</b>	KMP, regular expressions, tries, data compression

# Farewell to Algorithms

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What you can do next

CIS 320 - Introduction to Algorithms

CIS 330 - Design Principles of Information Systems

CIS 331 - Intro to Networks and Security

CIS 341 - Compilers and Interpreters

CIS 350 - Software Design/Engineering

CIS 390 - Robotics

CIS 391 - Introduction to Artificial Intelligence

CIS 450 - Database and Information Systems

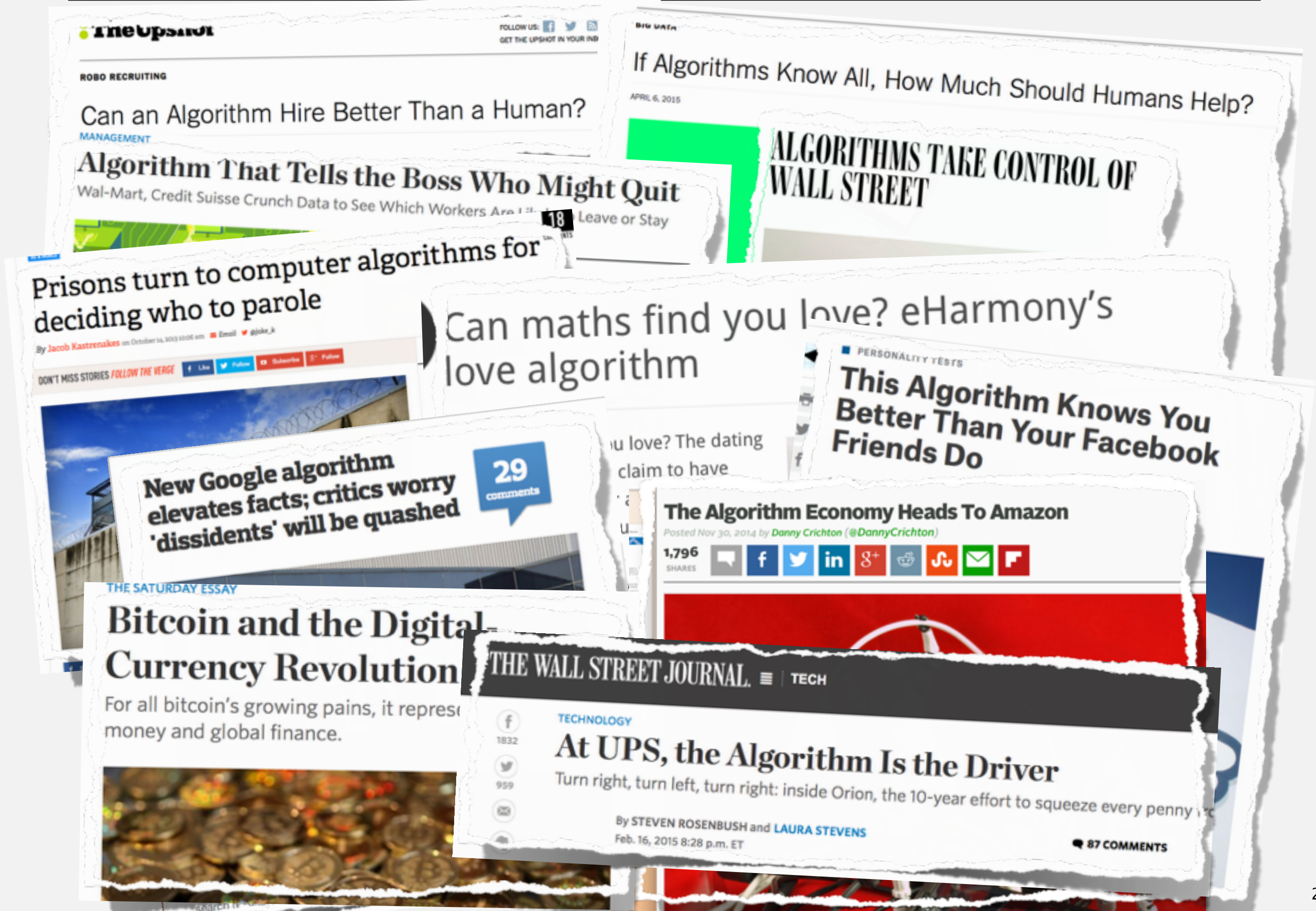
CIS 460 - Computer Graphics

CIS 500 - Software Foundations

CIS 519 - Introduction to Machine Learning



# Algorithms pervade the modern world



# Algorithms are integral to disciplines beyond computer science

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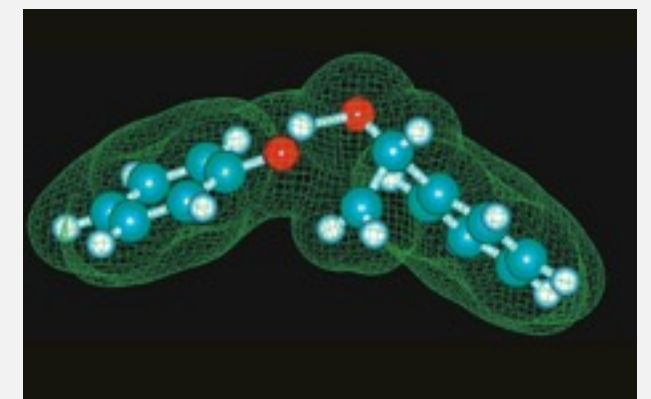
You could help to unlock the secrets of life and of the universe.

*“ Computer models mirroring real life have become crucial for most advances made in chemistry today.... Today the computer is just as important a tool for chemists as the test tube. ”*

— *Royal Swedish Academy of Sciences*  
*(Nobel Prize in Chemistry 2013)*



Martin Karplus, Michael Levitt, and Arieh Warshel





# You are now more proficient programmers

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*“ I will, in fact, claim that the difference between a bad programmer and a good one is whether the programmer considers code or data structures more important. Bad programmers worry about the code. Good programmers worry about data structures and their relationships. ”*

*— Linus Torvalds (creator of Linux)*

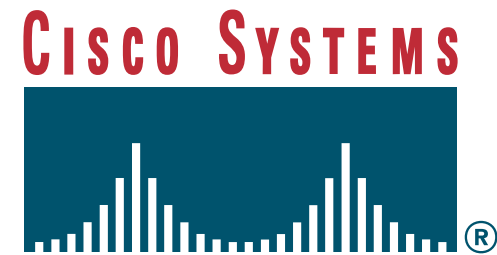
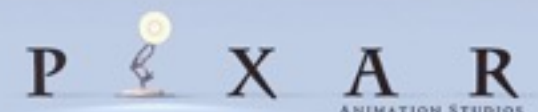


# You are now better prepared for your job interviews

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The Google logo, featuring the word "Google" in its signature multi-colored font (blue, red, yellow, blue, green, red) with a trademark symbol.

Apple Computer

The Facebook logo, consisting of the word "facebook" in white lowercase letters on a blue rectangular background, with a registered trademark symbol.The Cisco Systems logo, featuring the words "CISCO SYSTEMS" in red above a dark blue rectangle containing a white bar chart, with a registered trademark symbol.The IBM logo, consisting of the letters "IBM" in a blue, horizontally-striped font.The Nintendo logo, featuring the word "Nintendo" in red inside a red rounded rectangle, with a registered trademark symbol.The Jane Street logo, featuring a gold sunburst icon to the left of the words "JANE STREET" in gold capital letters on a dark blue background.The Morgan Stanley logo, consisting of the words "Morgan Stanley" in white on a dark blue rectangular background.The Netflix logo, featuring the word "NETFLIX" in white, bold, sans-serif capital letters on a red rectangular background.The Adobe logo, featuring a red stylized "A" with a trademark symbol above the word "Adobe" in black.The RSA Security logo, featuring the letters "RSA" in white inside a red rectangle, with the word "SECURITY" and a trademark symbol below it.The DE Shaw & Co logo, featuring the words "DE Shaw & Co" in blue, with a green line graphic above the "E".The Oracle logo, featuring the word "ORACLE" in red, outlined, sans-serif capital letters.The Akamai logo, featuring a blue stylized wave icon to the left of the word "Akamai" in yellow, italicized font.The Yahoo! logo, featuring the word "YAHOO!" in red, bold, sans-serif capital letters with a registered trademark symbol.The Amazon.com logo, featuring the word "amazon.com" in black, with a yellow curved arrow underneath the word "amazon".The Microsoft logo, featuring the word "Microsoft" in black, italicized, sans-serif font, with a registered trademark symbol.The Pixar Animation Studios logo, featuring the word "PIXAR" in large, spaced-out letters, with a small character icon above the "I", and "ANIMATION STUDIOS" in small letters below.

# Farewell to Algorithms

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My hope for what you got out of 121:

- You are now a more proficient programmer
- You have a toolkit of algorithms that you can use in your programs
- You have an understanding of why selecting one data structure or algorithm over another is advantageous for large data sets
- You have confidence going into computer science job interviews

# Thank you!

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I really enjoyed teaching you!

Please stay in touch!

- Email me if you use 121 knowledge to get a job. [ccb@upenn.edu](mailto:ccb@upenn.edu)
- Take my classes. NETS 213 "Crowdsourcing and Human Computation" (this Spring), CIS 525 "Machine Translation" (next Spring)
- Learn about my research. Stop by my office (Levine 506). I'm always eager to talk about my research and explore potential undergraduate research opportunities.

Thank you for making this such a good experience for me.