

# Software Science Seminar

## Week 5 - Number Theory and Backtracking

Claus Aranha

caranha@cs.tsukuba.ac.jp

College of Information Sciences

2015-05-18

Last updated May 15, 2015

# No classes next week!

No classes on 18/5, 22/5, 25/5.

Next class on 29/5

# Outline

Today we will see some more classes of algorithms using some of the concepts in the previous classes. You might have seen some of these before.

- Number Theoretic Algorithms
  - Calculating Prime Numbers
  - Greatest Common Divisor
- Backtracking

# Calculating Primes

# How do we test whether $n$ is prime?

## Naive Algorithm

We test the numbers  $i$  between 2 and  $\sqrt{n}$ , to see if  $n \bmod i = 0$

```
for(i = 2; i < sqrt{n}+1; i++)  
{  
    if (n%i == 0)  
        return FALSE;  
}
```

What is the problem in the code above?

## How do we test whether $n$ is prime? (2)

```
for(i = 2; i < sqrt{n}; i++)  
{  
    if (n%i == 0)  
        return FALSE;  
}
```

What is the problem in the code above

- The square root function can induce imprecisions in the system;
- We should use  $i * i < n$ ;
- Is there a problem with the use of  $i * i$ ?

# How do we test whether $n$ is prime? (3)

```
for(i = 2; i*i < n; i++)  
{  
    if (n%i == 0)  
        return FALSE;  
}
```

Is there a problem with the use of  $i * i$ ?

- For big enough  $n$ ,  $i * i$  may overflow;
- We can calculate  $i * i$  without a multiplication, using a *recurrence*
- $i^2 = (i - 1)^2 + 2(i - 1) + 1$
- Replace  $i$  in the *for* with the recurrence value above;

# Calculating primes in the naive way

- We used the idea of a [recurrence relation](#) from last class;
- The recurrence eliminated multiplication and exponentiation operations; (Not only for recursion!)
- This kind of thought process is very useful when fine tuning algorithms;



# Real-world Primality Testing

## Fermat's primality testing

- Most libraries test for primality using an approximated test, such as the [Fermat's Primality Test](#).
- $n$  is *probably* prime if for a random number  $a$ :

$$a^{(n-1)} \equiv 1 \pmod{n}$$

- The more  $a$ 's you try, the higher the probability of primality;
- There are stronger versions of this test, but the principle is the same;

# Calculating the Greatest Common Divisor

## Greatest Common Divisor (GDC)

Given two positive integers,  $a$  and  $b$ , the GDC is the largest integer  $c$  so that  $a\%c = 0$  and  $b\%c = 0$

Useful for reducing fractions (two classes ago), and many other algorithms.

Ideas for algorithms? What are their complexities?

# Algorithms for calculating the GDC

## Naive Algorithm

- Calculate the factorization of  $a$
- For each factor  $f_a$ , calculate  $b \% f_a$
- Should we factor  $a$  or  $b$ ? Hard to tell!
- What is the complexity of this algorithm?

# Algorithms for calculating the GDC

## Euclid's Algorithm

- if  $a = bt$ ,  $GCD(a, b) = b$
- if  $a = bt + r$ ,  $GCD(a, b) = GCD(bt + r, b) = GCD(b, r)$
- $GCD(a, b) = GCD(b, a \% b)$  Should we factor  $a$  or  $b$ ? Hard to tell!
- What is the complexity of this algorithm? –  $O(\text{Factorization}(a))$

# Why is $\text{GCD}(a,b) = \text{GCD}(b,a\%b)$ ?

- 1 Imagine a rectangle with sides  $a$  and  $b$ ;
- 2 The  $\text{GCD}$  is the side of largest square that can fill this rectangle;
- 3 By subtracting  $b$  from  $a$ , we have a new rectangle with sides  $b$ ,  $a\%b$ ;
- 4 Can you see that a square that would fit the rectangle  $b$ ,  $a\%b$ , will also fit the rectangle  $b$ ,  $b$ ?
- 5 Consequently, it will also fit the rectangle  $a$ ,  $b$ ;



# To know more cool facts about numbers

- Proving Concepts using Geometry;
  - Interesting facts about prime numbers;
  - $1 + 2 + 3 + 4 + \dots = \frac{-1}{12}$
- 
- Numberphile channel:
  - <https://www.youtube.com/channel/UCoxcjQ-8xIDTYp3uz647V5A>

# Backtracking

What does the word *Backtracking* means?

*To go back on your own steps; To return the same way that you came;*

Basic Ideas:

- Try to “assemble” a solution;
- Return, or “undo” some steps if you reach a dead end;
- Avoid dead ends as soon as possible (“pruning”);

# Backtracking – some important points

## Backtracking Algorithm

Backtracking is a **systematic method** to iterate through **all the possible solutions** of a problem.

- Represent the solution as a vector  $a = (a_1, a_2, \dots, a_n)$ ;
- Each  $a_i$  is a step of the solution, taken from a finite set  $S_i$ 
  - Solution is a set, and  $a_i$  is a boolean denoting the presence of  $i$ ;
  - Solution is a permutation, and  $a_i$  is the  $i_{th}$  element of the permutation;
- This general technique that must be customized for each application;



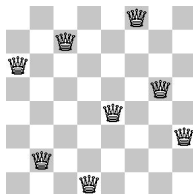
# Backtracking

## Backtracking Algorithm

Backtracking is a **systematic method** to iterate through **all the possible solutions** of a problem.

- 1 At each step in the algorithm, start from a partial solution  $(a_1, a_2, \dots, a_k)$ , and try to extend it, by adding  $a_{k+1}$ .
- 2 After adding a new element, test to see if  $a$  is a valid solution.
- 3 Test to see if  $a$  can be extended again. If so, repeat (1).
- 4 If it cannot, remove  $a_{k+1}$  and repeat (1) with another value for  $a_{k+1}$ .

# Example: The 8-queen Problem



- Put  $n$  queens in an  $n$  by  $n$  square board;
- No 2 queens can be on the same line, row or diagonal;

# The n-queen Problem (2)

## Thinking about the problem

- Main question: How do we represent one solution?
  - Array of true/false?
  - Array of x,y position of queens?
  - Column Representation?

Important question: How many solutions exist for each representation?

# The n-queen Problem (3)

## Secondary question: How to search for the solution?

- Sequential search through all possibilities;
- Can we skip some branches that we **KNOW** are dead ends?  
(this is called “pruning”)

# When should we use Backtracking?

- Backtracking is powerful, but very **expensive**;
- It potentially explores all possible solution patterns;
- We usually want to use backtracking when **an efficient solution is not known**;
- Or when we don't know if an optimal solution exists;

# Backtracking Videos

- Sudoku:

<http://www.youtube.com/watch?v=pd9awN2xBqw>

- Maze:

<http://www.youtube.com/watch?v=anZlAmtaV1s>

- 8-queens:

<http://www.youtube.com/watch?v=ckC2hFdLff0>

# Backtracking Structure

A backtracking algorithm usually includes these three functions:

- `is_a_solution(a,k,input);`  
Tests whether the first  $k$  elements of  $a$  are a complete solution to the problem
- `construct_candidates(a,k,input,c,&ncandidates);`  
Fills the array  $c$  with the complete list of possible candidates for position  $k$ ;
- `process_solution(a,k,input);`  
Prints/counts/etc a complete solution;

# Constructing All Subsets

- We can construct all the subsets of  $n$  items by iterating on all possible  $2^n$  vectors of true/false values.
- How do we define a solution for “any subset”?
- How do we define a solution for “any subset with  $k$  items”?



# Constructing All Permutations

- To avoid repeating elements, we need to keep track of what elements were already used.
- We test whether an element was already used when adding a new item to a partial solution.

# Backtracking Looks a bit like DFS...

- Backtracking is a generalization of Depth First Search (DFS)
- DFS applies to [tree-graphs](#), while backtracking applies to any data structure;
- The graph is built implicitly as the search is performed – the entire graph is not necessary to perform the search;

# The Limits of Backtracking

- Backtracking is an **exhaustive search**; depending on the size of the problem, it can take a LONG time.
- How to calculate the size of the problem? Remember our class on **Combinatorics**.
  - How big is a subset problem?
  - How big is a permutation problem?

# Pruning

## Pruning

Problem specific “Tricks” to reduce the number of solutions we have to search for.

- Stopping after the first solution;
- Changing the representation;
- Checking for invalid/unpromising answers;
- etc;

# This Week's Problems

- Light, more Light (Number Theory)
- Marbles (Number Theory)
- Queue (Recursion)
- Tug of War (Recursion)