

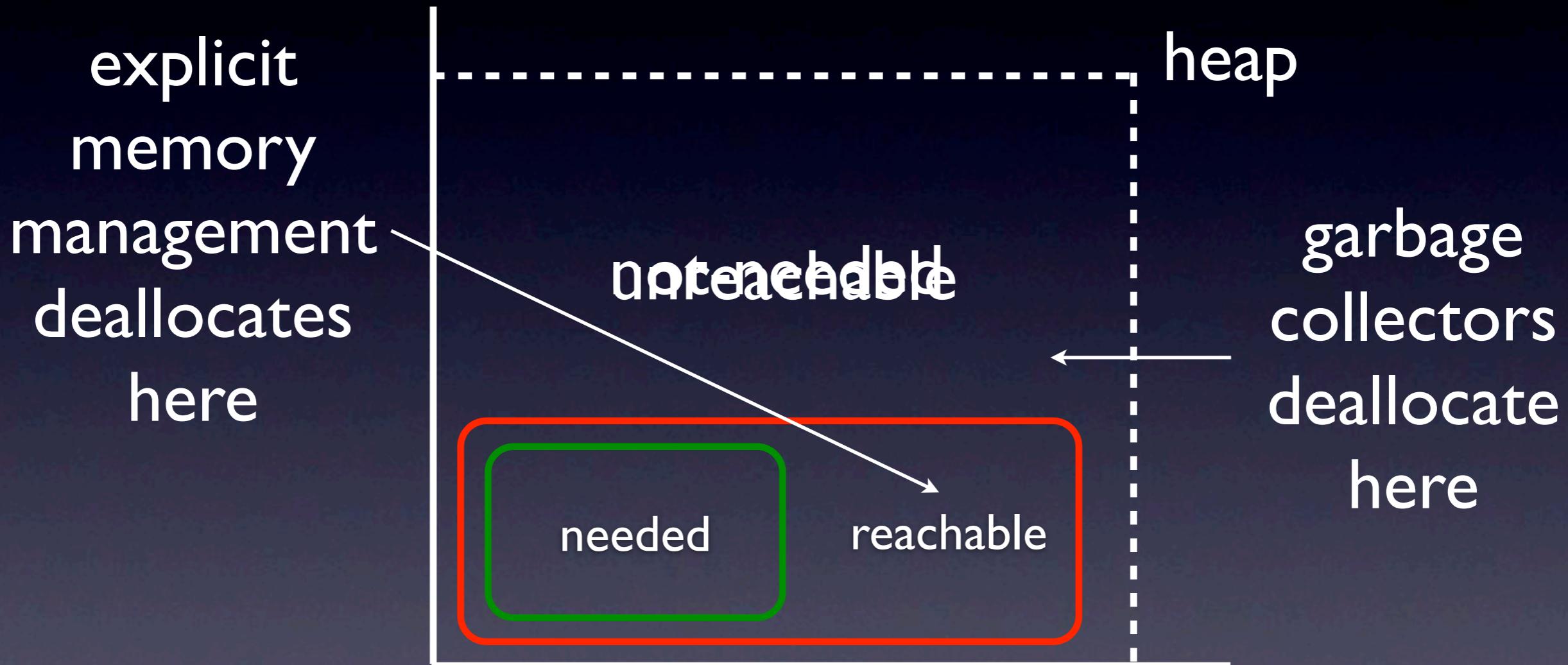
# Short-term Memory for Self-collecting Mutators

Martin Aigner, Andreas Haas,  
**Christoph Kirsch**, Ana Sokolova  
Universität Salzburg



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# Heap Management



- memory leaks
- dangling pointers

- tracing
- reference-counting
- reachable memory leaks

# Short-term Memory

# Traditional (Persistent) Memory Model

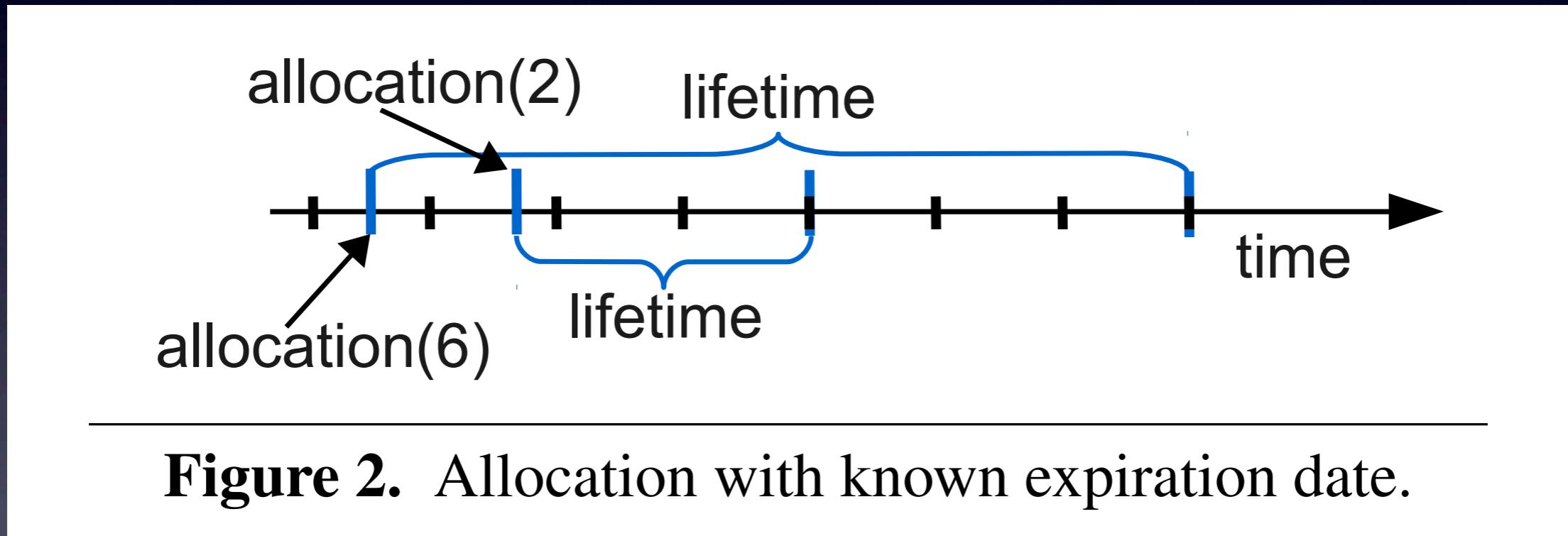
- Allocated memory objects are guaranteed to exist **until deallocation**
- Explicit deallocation is **not safe** (dangling pointers) and can be **space-unbounded** (memory leaks)
- Implicit deallocation (unreachable objects) is **safe** but may be **slow** or **space-consuming** (proportional to size of live memory) and can still be **space-unbounded** (memory leaks)

# Short-term Memory

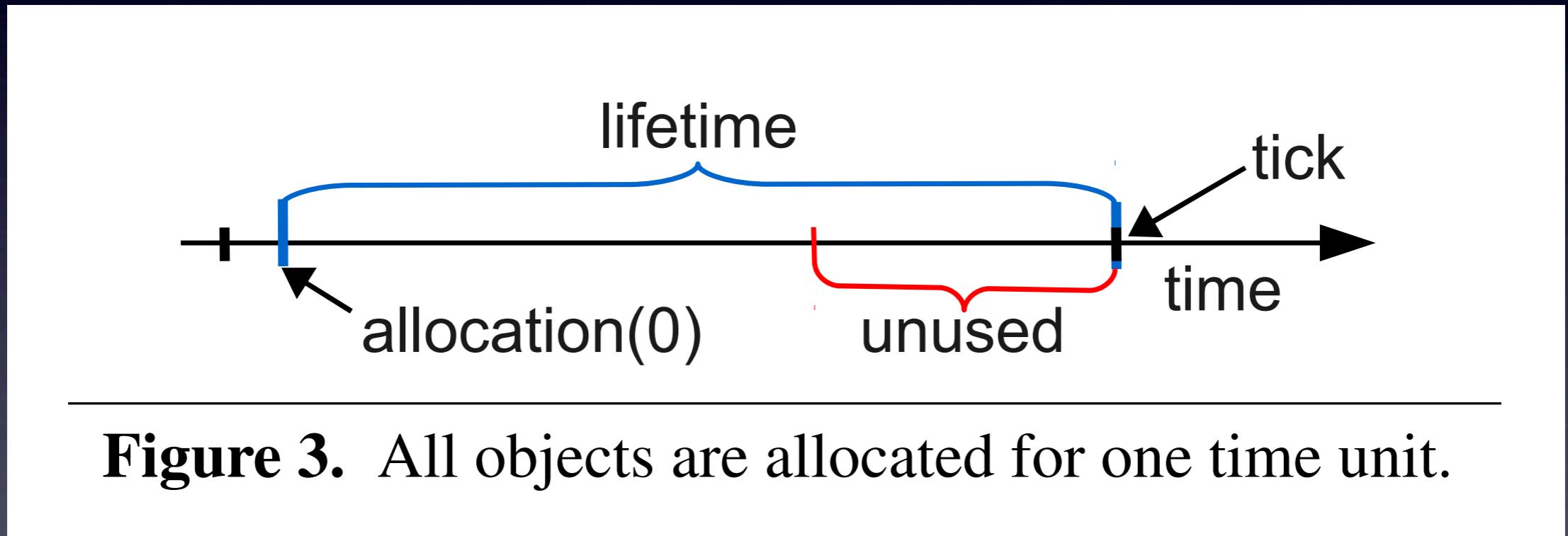
- Memory objects are only guaranteed to exist for a **finite** amount of time
- Memory objects are allocated with a given **expiration date**
- Memory objects are neither explicitly nor implicitly deallocated but may be **refreshed** to extend their **expiration date**

With short-term memory  
programmers or algorithms  
specify which memory objects  
are **still needed**  
and not  
which memory objects are  
**not needed anymore!**

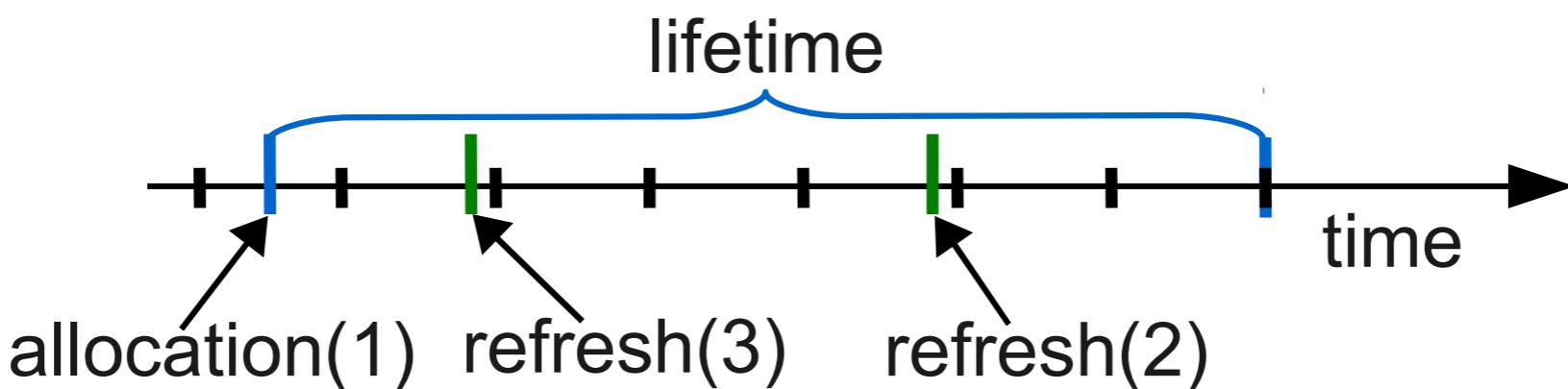
# Full Compile-Time Knowledge



# Maximal Memory Consumption

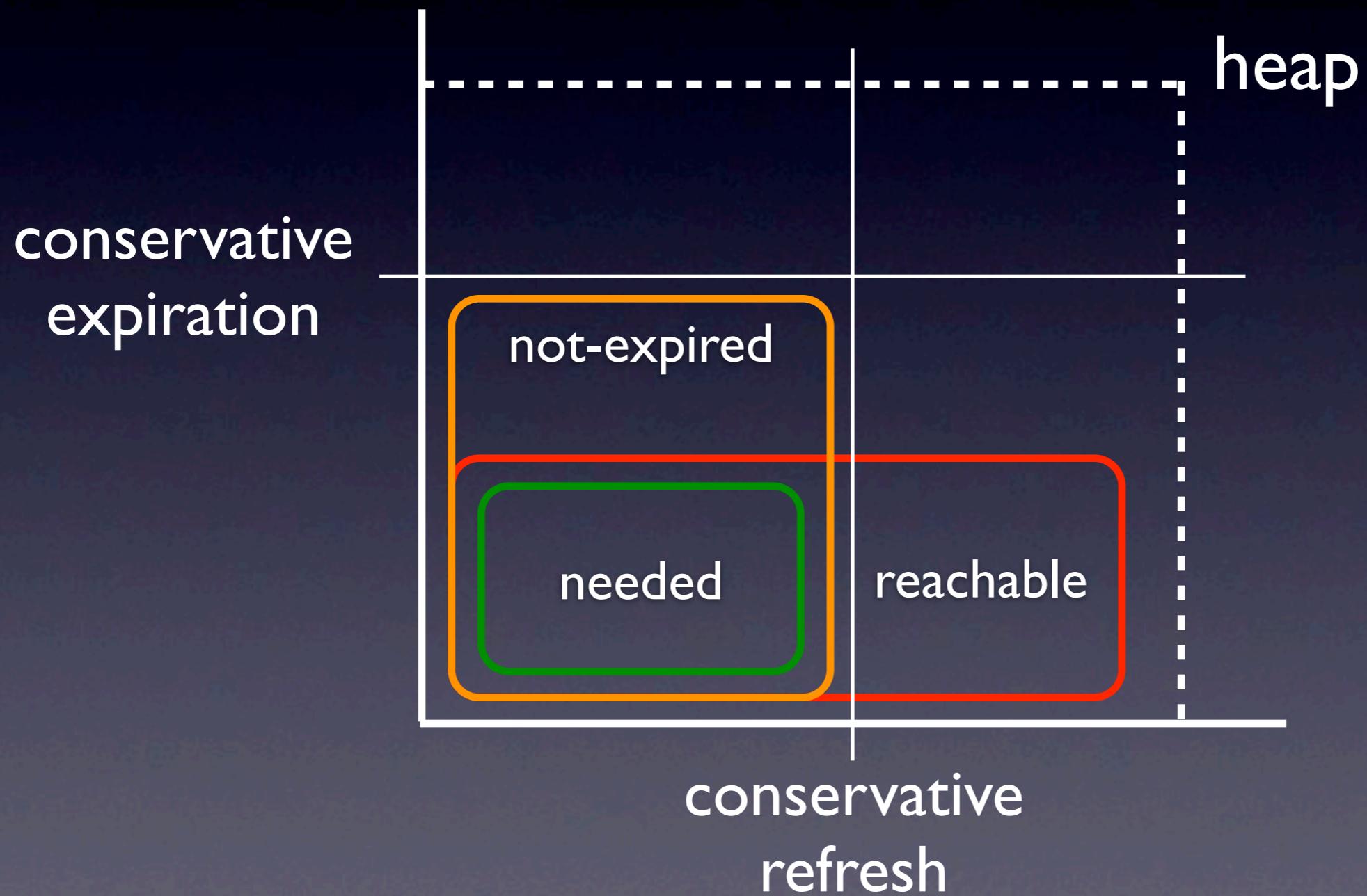


# Trading-off Compile-Time, Runtime, Memory



**Figure 4.** Allocation with estimated expiration date. If the object is needed longer, it is refreshed.

# Heap Management



# Sources of Errors:

- I. **not-needed** objects  
are continuously refreshed or  
**time** does not advance  
(memory leaks)
2. **needed** objects expire  
(dangling pointers)

# Explicit Programming Model

- Each thread advances a thread-local clock by invoking an explicit `tick()` call
- Each object receives upon its allocation an expiration date that is initialized to the thread-local time
- An explicit `refresh( Object, Extension )` call sets the expiration date of the *Object* to the current thread-local time plus the given *Extension*

# Explicit, Concurrent Programming Model

- Each object (logically!) receives expiration dates **for all threads** that are initialized to the respective thread-local times
- Refreshing an object (logically!) sets its **already expired** expiration dates to the respective thread-local times
  - ▶ all threads must **tick()** before a newly allocated or refreshed object can expire!

# Our Conjecture:

It is easier to say  
which objects are still needed  
than  
which objects are not needed  
anymore!

# Use Cases

benchmark	LoC	tick	refresh	free	aux	total
mpg123	16043	1	0	(-)43	0	44
JLayer	8247	1	6	0	2	9
Monte Carlo	1450	1	3	0	2	6
LuIndex	74584	2	15	0	3	20

**Table 2.** Use cases of short-term memory: lines of code of the benchmark, number of tick-calls, number of refresh-calls, number of free-calls, number of auxiliary lines of code, and total number of modified lines of code.

# Self-collecting Mutators

# Goals

- **Explicit**, thread-safe memory management system
- **Constant time** complexity for all operations
  - ▶ predictable execution times, incrementality
- **Constant space** consumption by all operations
  - ▶ small, bounded space overhead
- **No additional threads** and no read/write barriers
  - ▶ self-collecting mutators!

# Implementation

- Java patch under EPL
  - ▶ based on Jikes RVM, G�
- Dynamic C library (libscm) under GPL
  - ▶ based on POSIX threads, ptmalloc2 allocator
- Available at:
  - ▶ [tiptoe.cs.uni-salzburg.at/short-term-memory](http://tiptoe.cs.uni-salzburg.at/short-term-memory)

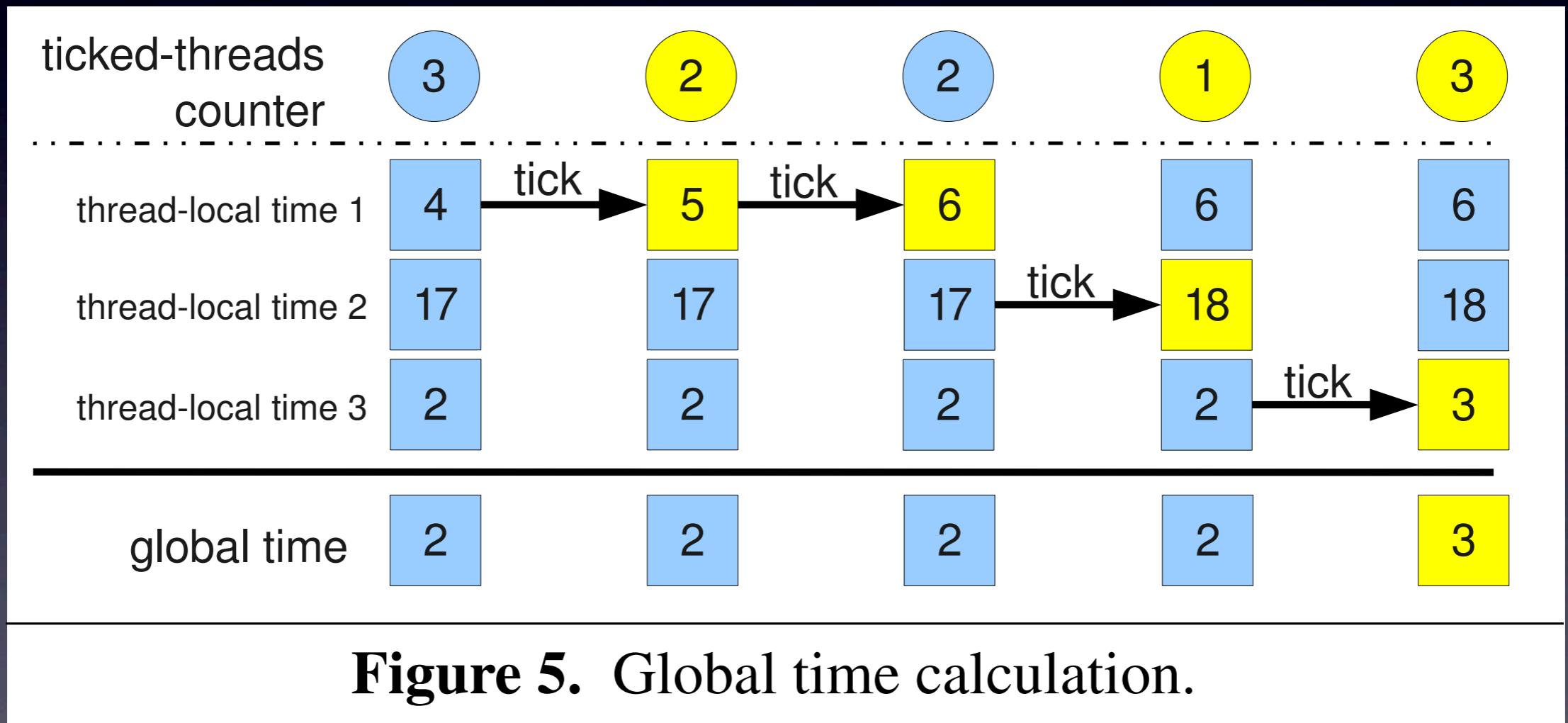
works with any  
legacy code (1-word  
space overhead per  
memory block)

classpath... class library

# Two Approximations

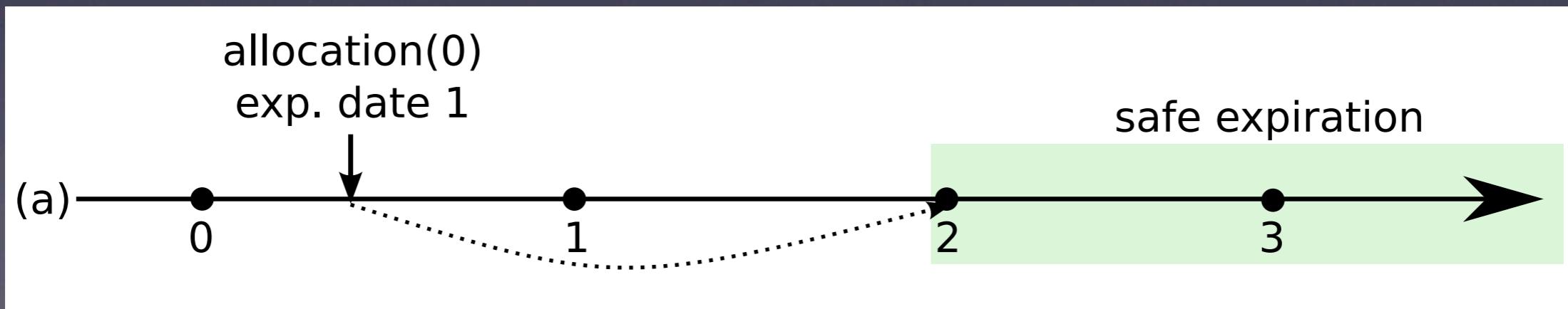
- Single-expiration-date approximation (for Java)
  - ▶ one expiration date for all threads
  - ▶ recursive refresh is easy but blocking threads are a problem
- Multiple-expiration-date approximation (for C)
  - ▶ expiration dates for all threads that refreshed an object
  - ▶ recursive refresh is difficult but blocking threads can be handled

# Global Time



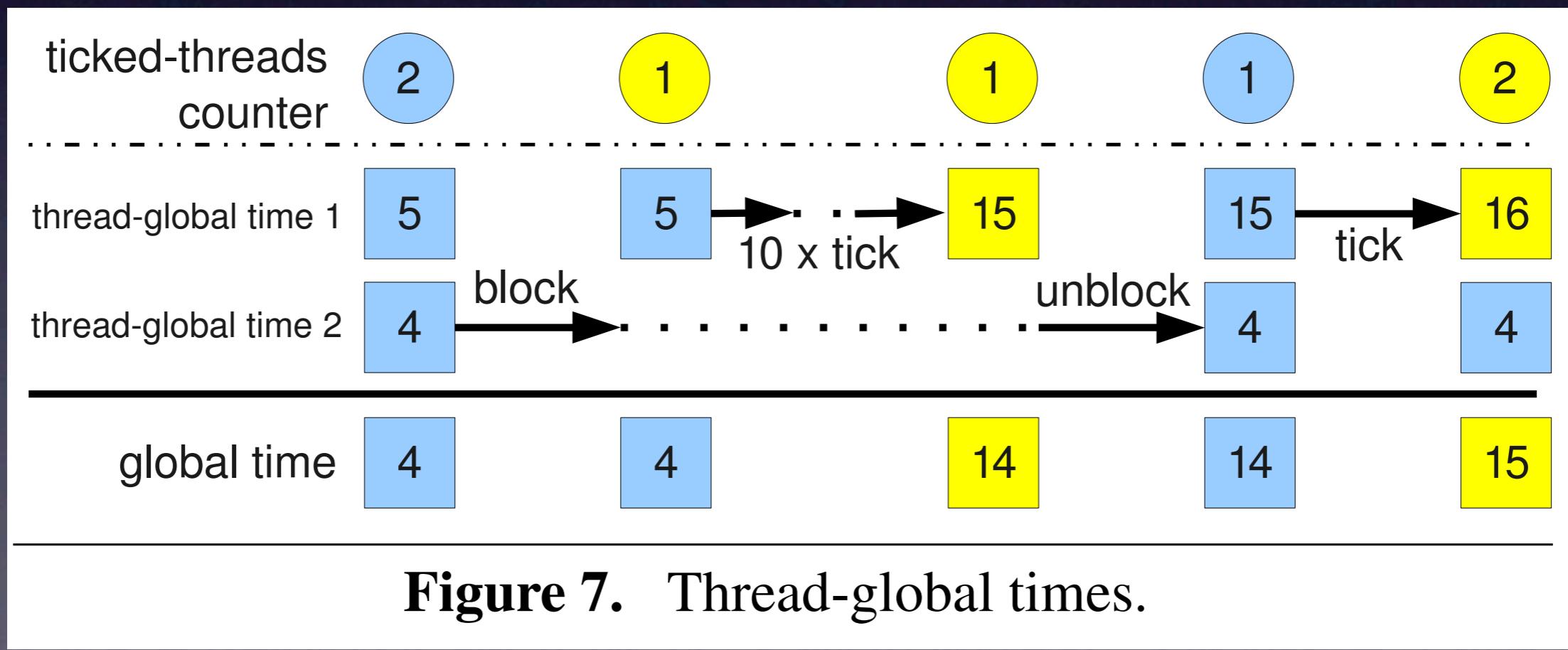
# Single Expiration Date

- Allocation: expiration date = global time +  $l$
- Refresh:
  - ▶ expiration date = global time +  $l$  + extension
  - ▶ unless the result is less than the old date
- Expiration: expiration date < global time



# Thread-Global Time

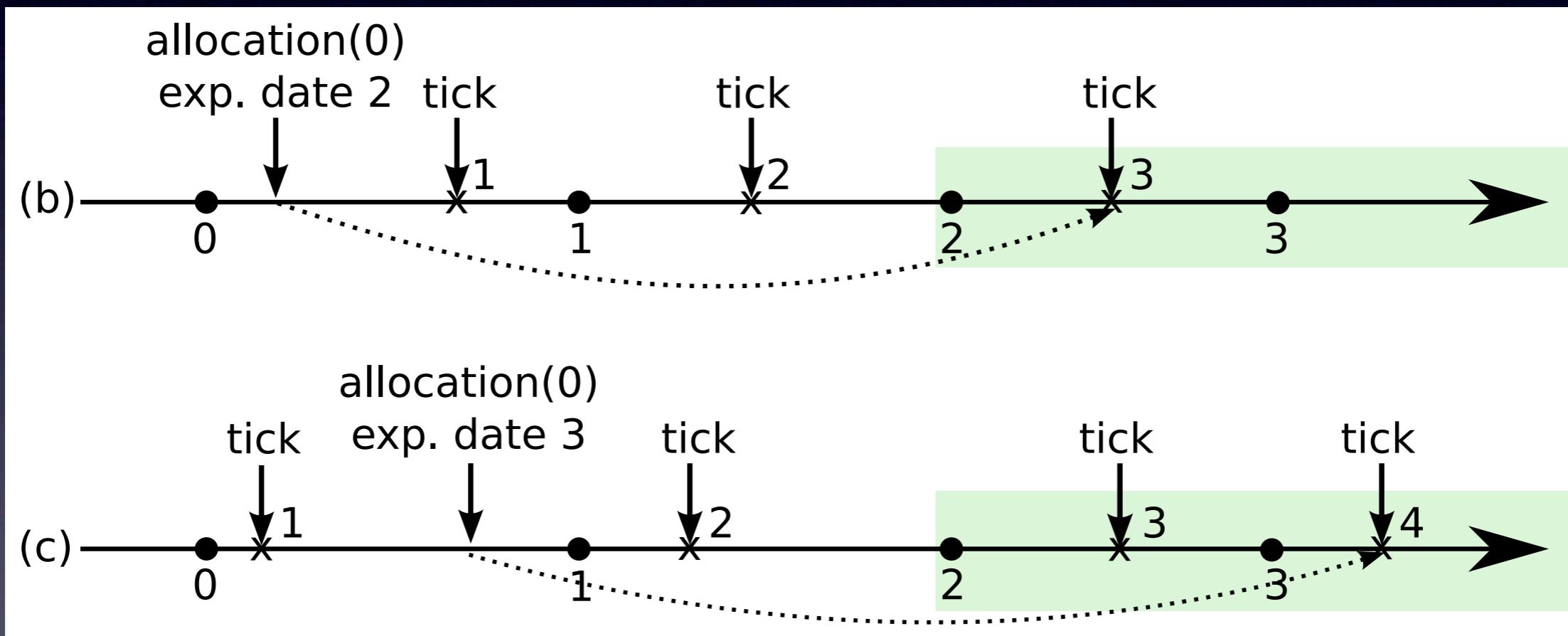
- Threads are partitioned into active and passive
- Global time is computed over active threads



# Multiple Expiration Dates

- Allocation:
  - ▶ first expiration date = **thread-global time + 2**
- Refresh:
  - ▶ new expiration date =  
**thread-global time + 2 + extension**
- Expiration:
  - ▶ for all threads  $t$  and expiration dates  $d$  of  $t$ :  
expiration date  $d < \text{thread-global time of } t$

# Multiple Expiration Dates



# Implementation

# Java Object Model

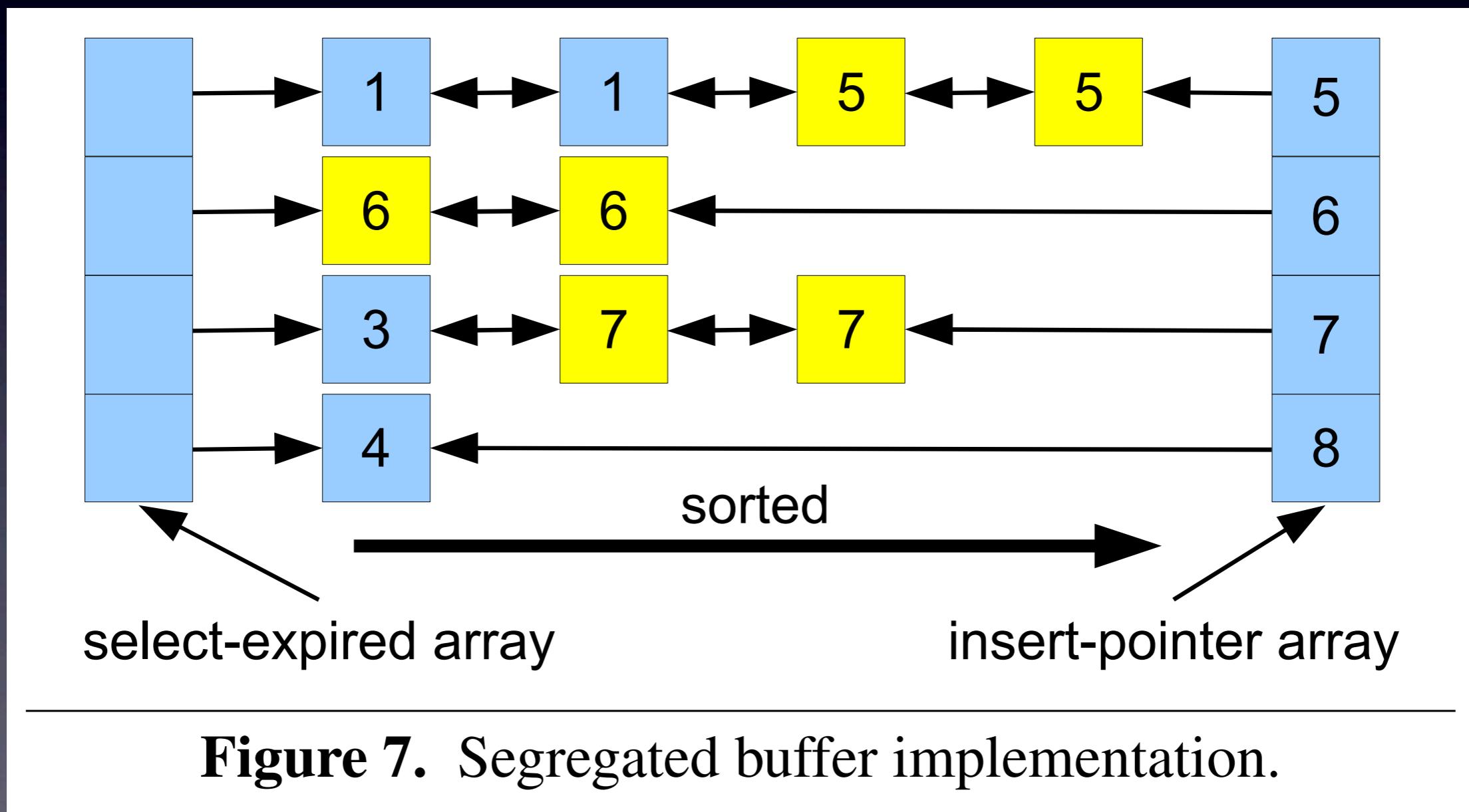
- Jikes objects are extended by a 3-word object header:
  - 16-bit integer for expiration date
  - 2 references for doubly-linked list of objects sorted by expiration dates
  - 16-bit allocation-site identifier
- Three list operations:
  - insert, remove, select-expired

# Complexity Trade-off

	insert	delete	select expired
Singly-linked list	$O(1)$	$O(m)$	$O(m)$
Doubly-linked list	$O(1)$	$O(1)$	$O(m)$
Sorted doubly-linked list	$O(m)$	$O(1)$	$O(1)$
Insert-pointer buffer	$O(\log n)$	$O(1)$	$O(1)$
Segregated buffer	$O(1)$	$O(1)$	$O(\log n)$

**Table 2.** Comparison of buffer implementations. The number of objects in a buffer is  $m$ , the maximal expiration extension is  $n$ .

# Segregated buffer (with bounded expiration extension $n=3$ at time 5)

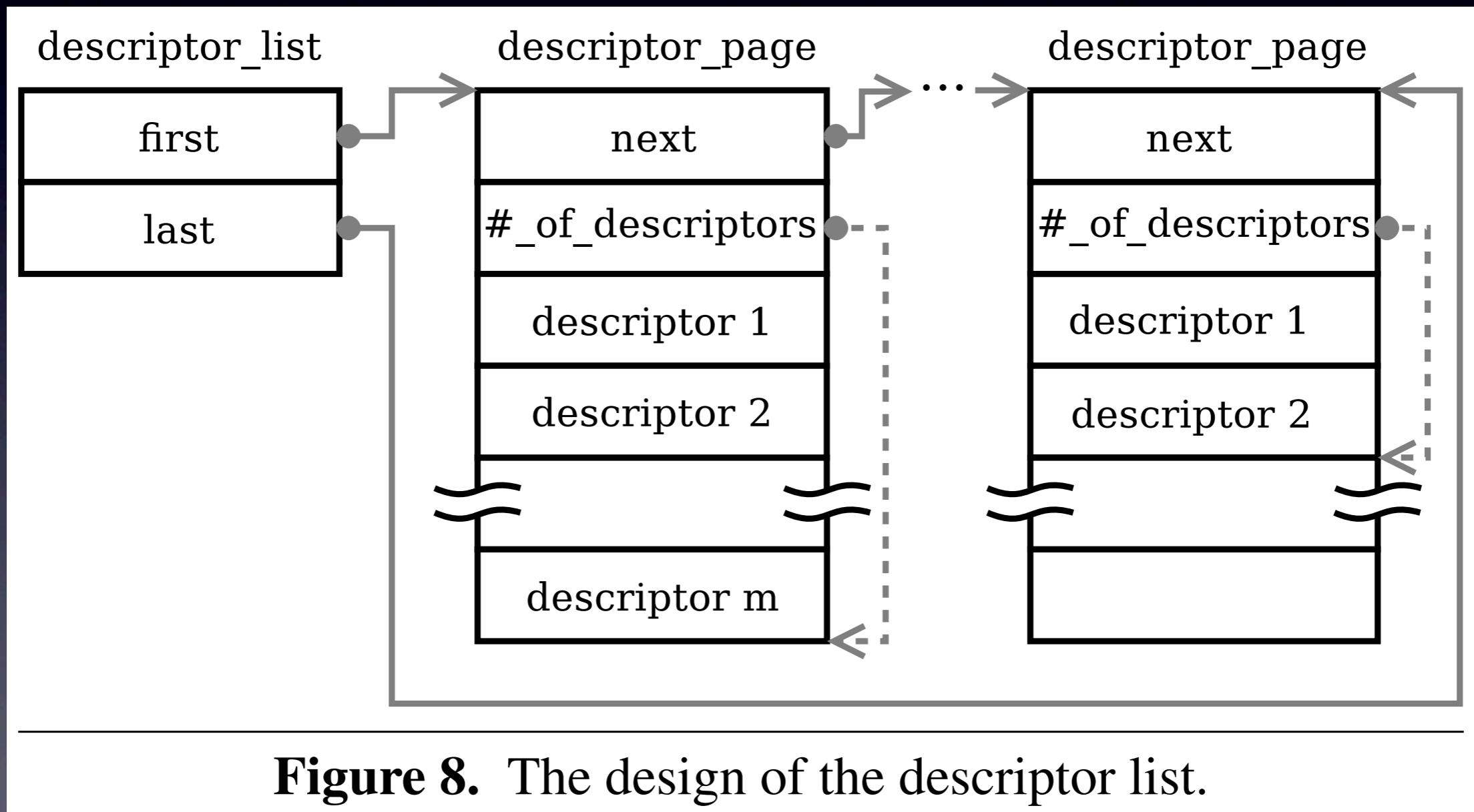


**Figure 7.** Segregated buffer implementation.

# C Memory Block Model

- An expiration date for a given memory block is represented by a **descriptor**, which is a pointer to the block
- Memory blocks are extended by a 1-word **descriptor counter**, which counts the descriptors pointing to a given block
- Descriptors representing a given expiration date are gathered in a per-thread **descriptor list**

# Descriptor List



# Descriptor Buffer

- A **descriptor buffer** is an array of size  $n+3$  of descriptor lists where  $n$  is a compile-time bound on the maximal extension for refreshing
- **Two** (constant-time) buffer operations:
  - ▶ insert, move-expired
- **Two** buffers per thread:
  - ▶ locally-clocked and globally-clocked

# Memory Operations

(are all **constant-time** modulo the underlying allocator)

- `malloc(s)` returns a pointer to a memory block of size  $s$  plus one word for the descriptor counter, which is set to zero
- `free(Block)` frees the given  $Block$  if its descriptor counter is zero
- `local_refresh(Block, Extension)`
- `global_refresh(Block, Extension)`
- `tick()`

# Experiments

# Setup

CPU	2x AMD Opteron DualCore, 2.0 GHz
RAM	4GB
OS	Linux 2.6.32-21-generic
Java VM	Jikes RVM 3.1.0
C compiler	gcc version 4.4.3
C allocator	ptmalloc2-20011215 (glibc-2.10.1)

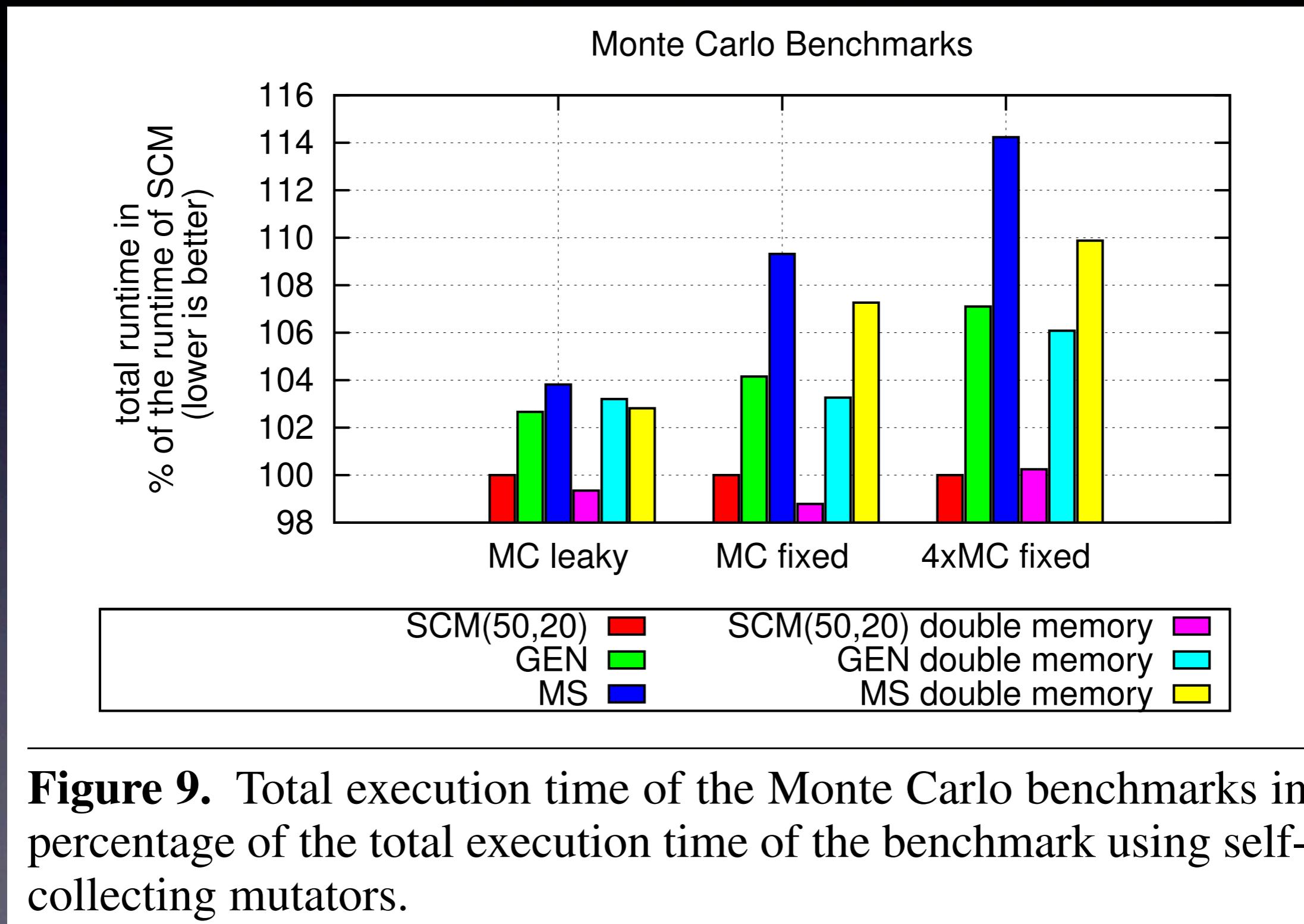
**Table 3.** System configuration.

# Java: Memory

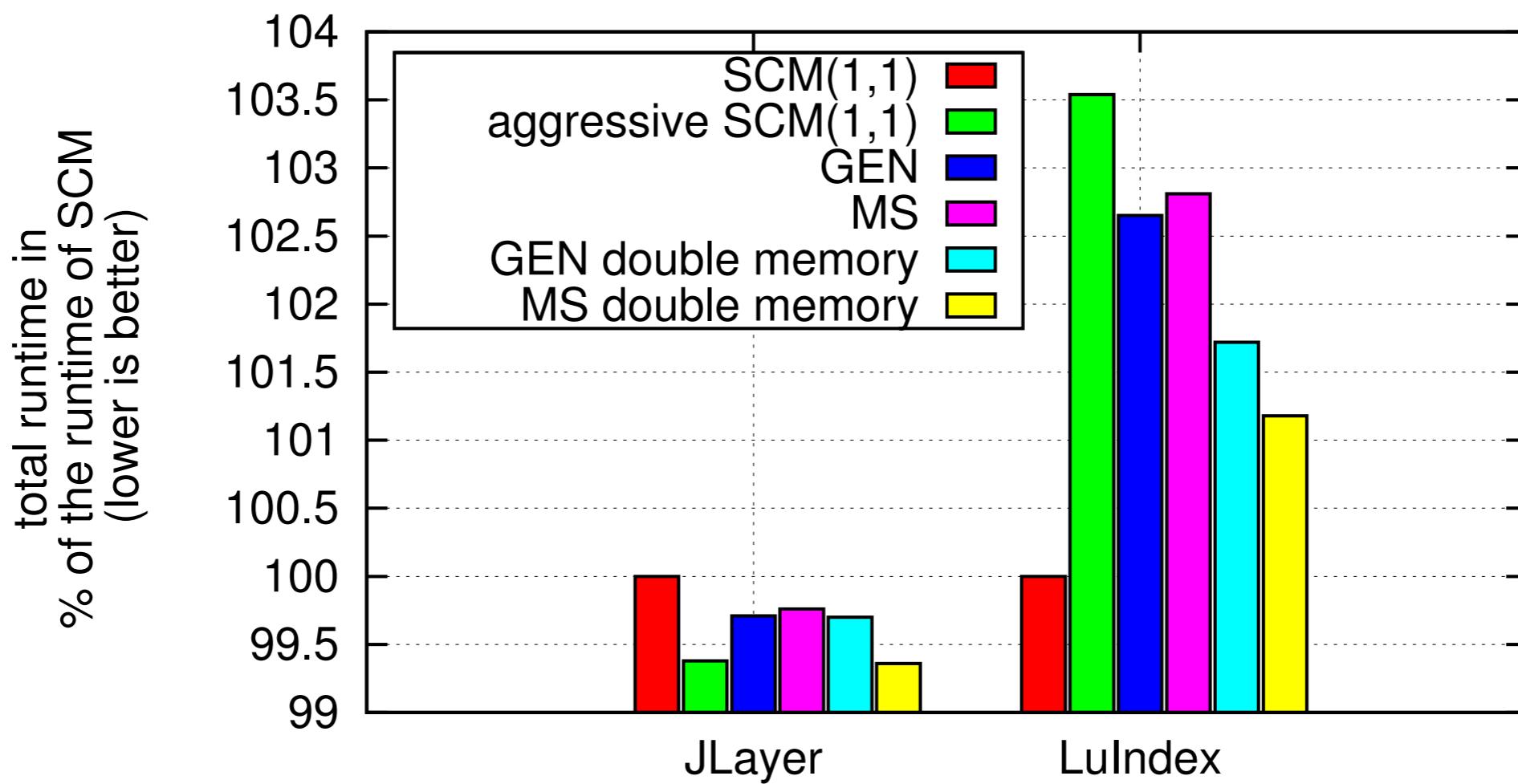
	MC leaky	MC fixed	$4 \times$ MC fixed	JLayer	LuIndex
SCM(1,1)	40MB	40MB	60MB	95MB	370MB
SCM (50,20)	50MB	40MB	70MB	/	/
aggressive SCM(1,1)	/	/	/	90MB	250MB
GEN	95MB	40MB	70MB	95MB	370MB
MS	100MB	40MB	70MB	95MB	370MB

**Table 4.** Heap size for the different system configurations.  $\text{SCM}(n, k)$  stands for self-collecting mutators with a maximal expiration extension of  $n$ . A tick-call is executed every  $k$ -th round of the periodic behavior of the benchmark.

# Java: Throughput

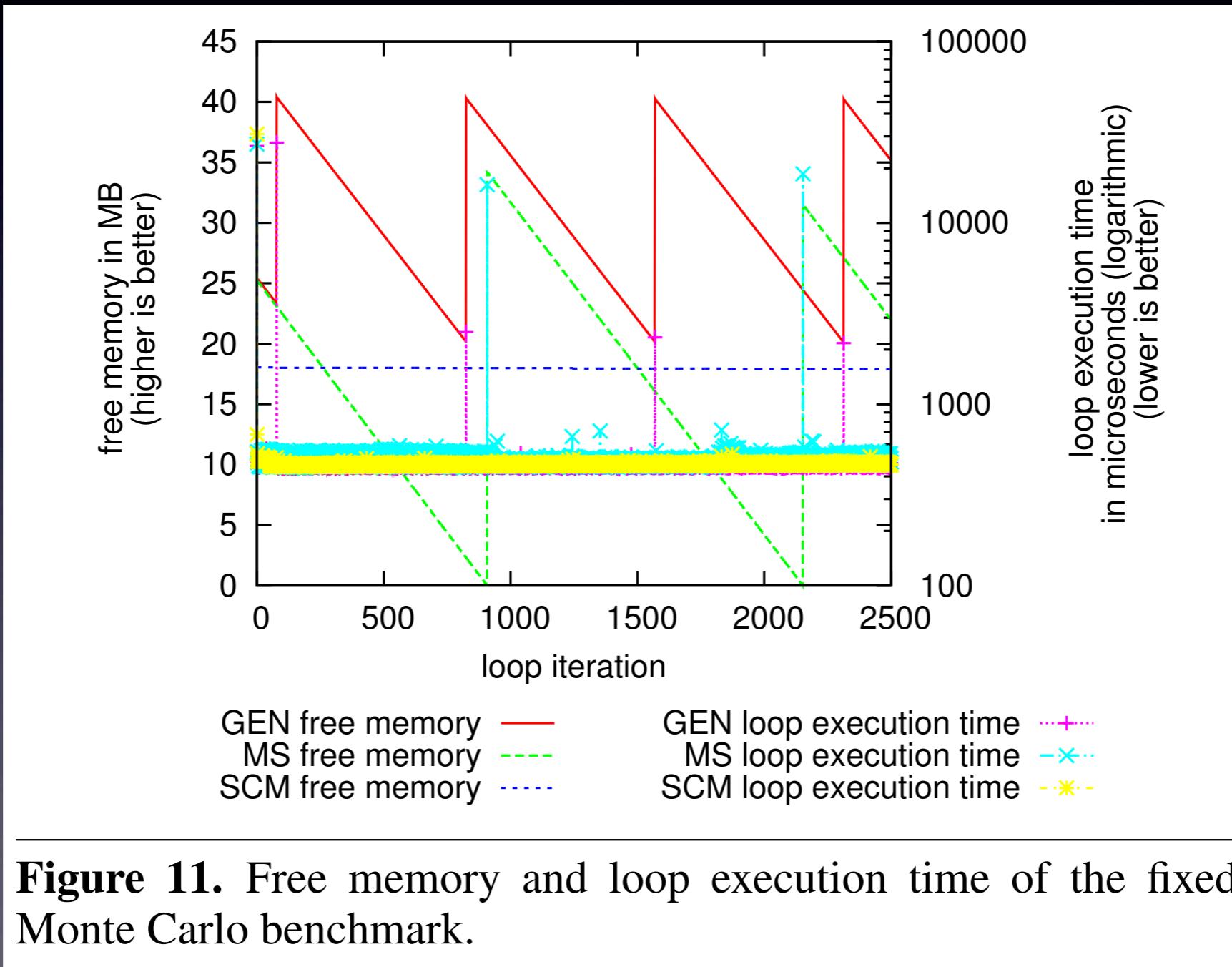


# Java: Throughput

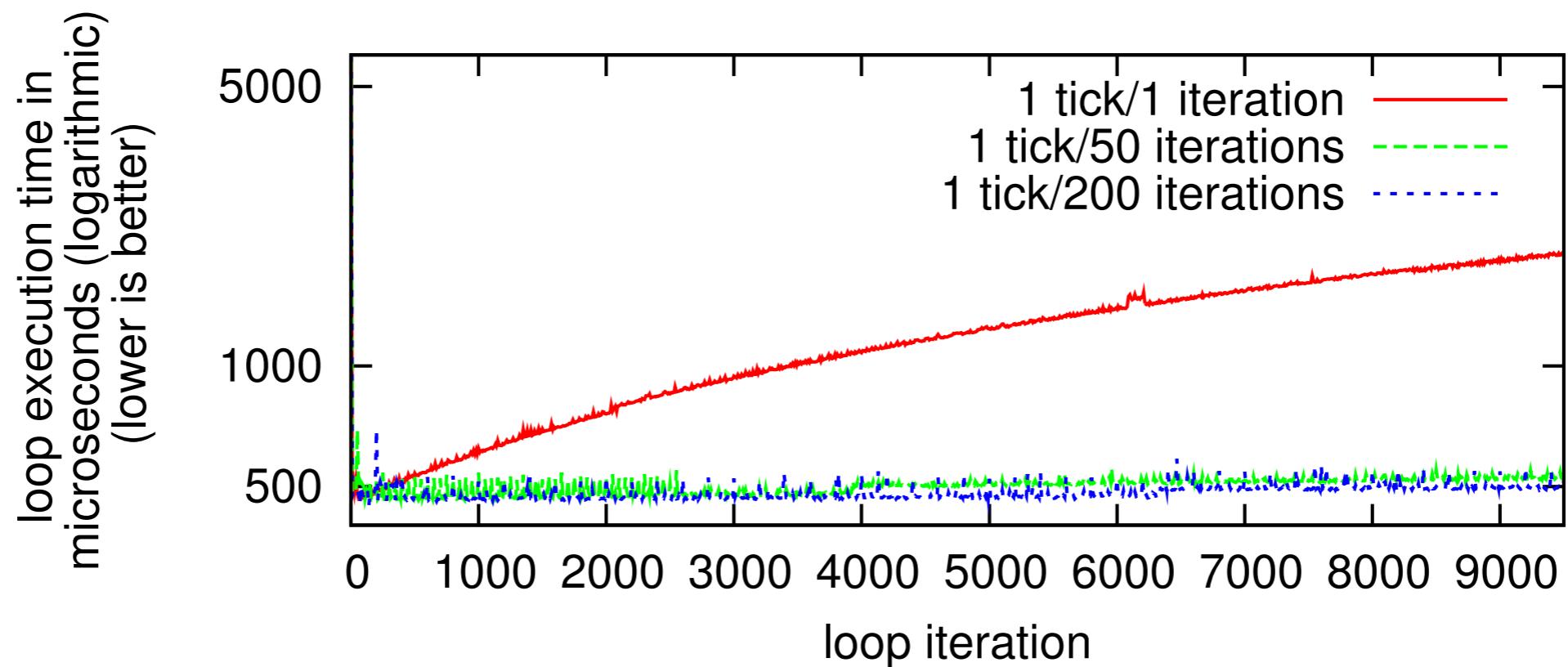


**Figure 10.** Total execution time of the JLayer and the LuIndex benchmarks in percentage of the total execution time of the benchmark using self-collecting mutators.

# Java: Latency & Memory

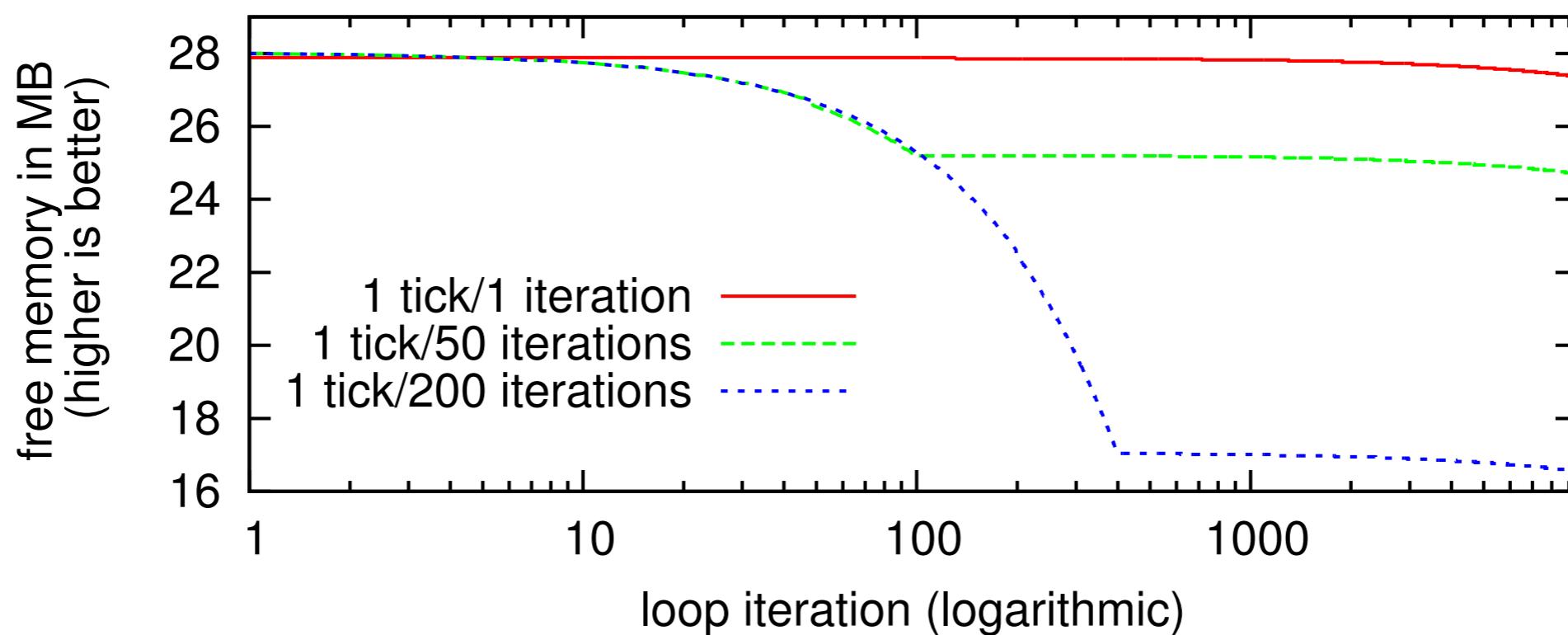


# Java: Latency w/ Refreshing



**Figure 13.** Loop execution time of the Monte Carlo benchmark with different tick frequencies. Self-collecting mutators is used.

# Java: Memory w/ Refreshing



**Figure 14.** Free memory of the Monte Carlo benchmark with different tick frequencies. Self-collecting mutators is used.

# C: Overhead

	persistent MM	short-term MM
malloc of ptmalloc2	166 (78 / 199k)	/
free of ptmalloc2	86 (14 / 169k)	/
malloc of SCM	172 (82 / 267k)	138 (75 / 271k)
free of SCM	91 (10 / 157k)	/
local-refresh(1, 256B)	/	227 (131 / 548k)
local-refresh(10, 256B)	/	225 (131 / 548k)
local-refresh(1, 4KB)	/	228 (131 / 548k)
local-refresh(10, 4KB)	/	230 (131 / 548k)
global-refresh(1, 256B)	/	226 (116 / 551k)
global-refresh(10, 256B)	/	224 (116 / 551k)
global-refresh(1, 4KB)	/	227 (116 / 551k)
global-refresh(10, 4KB)	/	228 (116 / 551k)
local-tick(1, 256B)	/	378 (277 / 164k)
local-tick(10, 256B)	/	359 (277 / 71k)
local-tick(1, 4KB)	/	375 (277 / 164k)
local-tick(10, 4KB)	/	366 (277 / 164k)
global-tick(1, 256B)	/	367 (229 / 169k)
global-tick(10, 256B)	/	352 (229 / 151k)
global-tick(1, 4KB)	/	365 (229 / 169k)
global-tick(10, 4KB)	/	361 (229 / 169k)

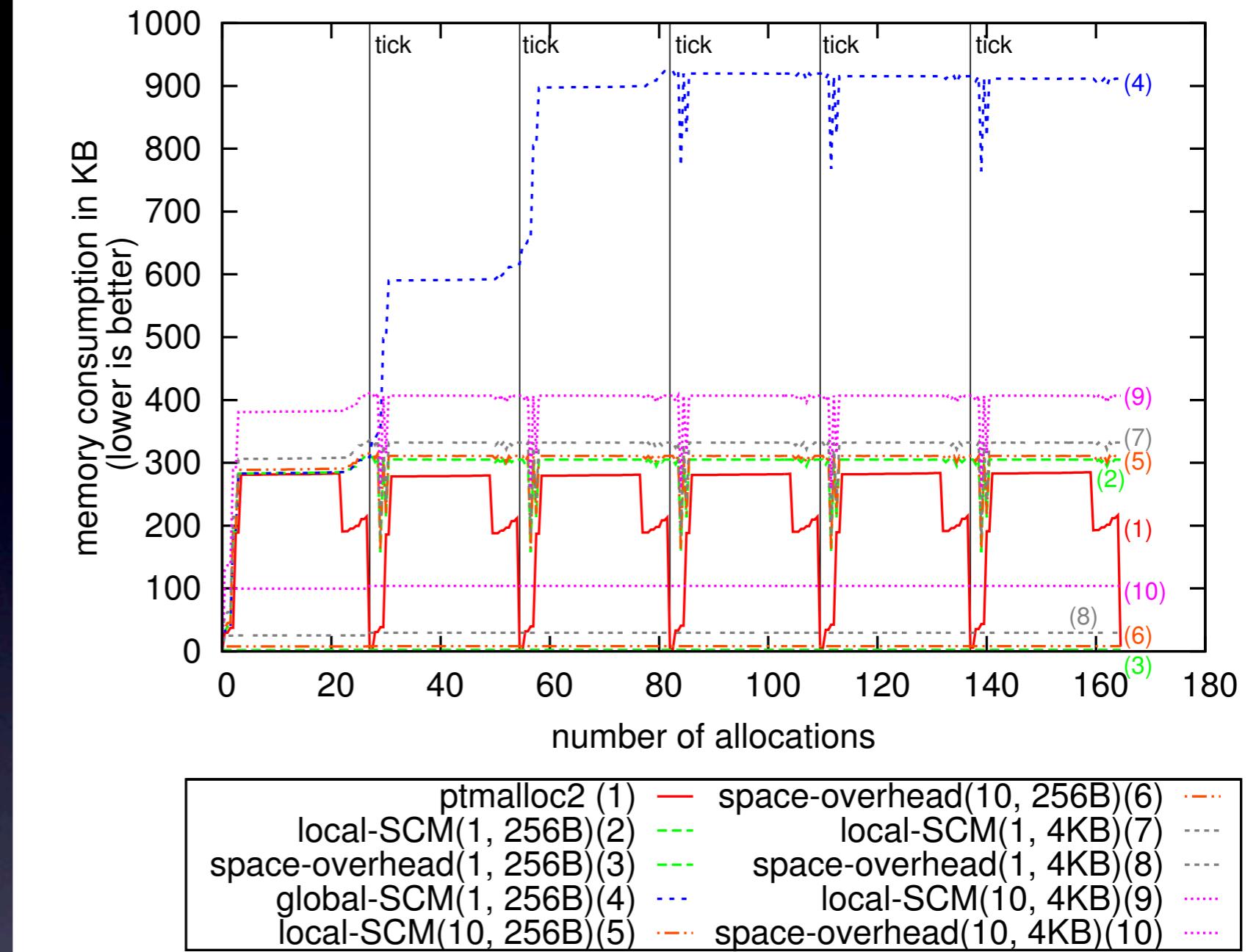
**Table 5.** Average (min/max) execution time in CPU clock cycles of the memory management operations in the mpg123 benchmark. Here, e.g. local-refresh( $n, m$ ) stands for the local-refresh-call with a maximal expiration extension of  $n$  and descriptor page size  $m$ . When local/global-refresh is used then the tick-call is denoted by local/global-tick.

# C:Throughput

ptmalloc2	895.25ms	100.00%
ptmalloc2 through SCM	899.43ms	100.47%
local-SCM(1, 256B)	890.18ms	99.43%
local-SCM(10, 256B)	898.28ms	100.34%
local-SCM(1, 4KB)	892.18ms	99.66%
local-SCM(10, 4KB)	892.28ms	99.67%
global-SCM(1, 256B)	893.76ms	99.83%

**Table 6.** Total execution times of the mpg123 benchmark averaged over 100 repetitions. Here, local/global-SCM( $n, m$ ) stands for self-collecting mutators with a maximal expiration extension of  $n$  and descriptor page size  $m$ , using local/global-refresh.

# C: Memory



**Figure 15.** Memory overhead and consumption of the mpg123 benchmark. Again, local/global-SCM( $n, m$ ) stands for self-collecting mutators with a maximal expiration extension of  $n$  and descriptor page size  $m$ , using local/global-refresh. We write space-overhead( $n, m$ ) to denote the memory overhead of the local-SCM( $n, m$ ) configurations for storing descriptors and descriptor counters.



# Thank you

Check out:  
[eurosys2011.cs.uni-salzburg.at](http://eurosys2011.cs.uni-salzburg.at)