

COMP4500/7500 Advanced Algorithms & Data Structures

Tutorial Exercises 8 (2014/2)*

School of Information Technology and Electrical Engineering, University of Queensland

September 12, 2014

This material aims to familiarise you with amortised analysis. A good treatment of this may be found in CLRS Chapter 17; CLR Chapter 18.

1. (Kingston exercise 3.6)

Consider the following algorithm for adding one to a binary number, represented as an array, A , of k bits, assuming that there is no overflow. The indices of A range from 0 to $k - 1$. The number I represented by the array is given by the formula

$$I = \sum_{i=0}^{k-1} A[i]2^i.$$

INCREMENT(A, k)

```
1   $i = 0$ 
2  while  $i < k$  and  $A[i] == 1$ 
3       $A[i] = 0$ 
4       $i = i + 1$ 
5  if  $i < k$ 
6       $A[i] = 1$ 
```

The algorithm is clearly $O(k)$ in the worst case. Given that the array A is initially set to all 0's, show that a sequence of n increment operations is $O(n)$ by showing that INCREMENT has an amortised complexity of $O(1)$. One method of doing this is already provided in the lecture notes. You should do it using the Potential Method. Thus, choose a suitable potential function that allows the actual cost of the **while** loop to be cancelled out by the change in potential.

2. (Kingston exercise 3.7)

Given a set of n points in the plane, a **convex hull** is a sequence of points from the set which defines a convex polygon enclosing all the points in the set. One way of finding the convex hull of a set of points begins by sorting the points by their x -coordinates. This step can be implemented to have $O(n \log n)$ complexity in the worst case. Next, the points are added one by one from left to right, to a growing convex hull.

We assume that we are given the points, ordered on their x -coordinates. The points are presented to us as an array p of type *Entry*. The representation of each point in the convex hull initially contains just the coordinates of the point. On completion all points in the convex hull have links to the two adjacent points in the hull in the clockwise and anti-clockwise directions. (To avoid peculiar cases we specify that no two points have identical x or y coordinates. Consider what happens if we have points on the same line.)

Our data structures use a type *Point* which is a record with two real components: the x and y coordinates of the point; and a type *Entry* which is a record with three components: a point pt ; and two links *clock* and *anti* to the two adjacent points in the hull in the clockwise and anti-clockwise directions, respectively.

Our algorithm builds the entries which represent the convex hull in the array p .

The algorithm sets up an initial convex hull consisting of the first three points. Then the remaining points are processed from left to right (i.e., ordered on their x coordinate). At each stage a convex hull is formed from the set of points considered so far. The first time a point is considered it will be the rightmost point of the set of points considered so far. Hence it must be added to the convex hull. In the process of adding the new point it may be necessary to delete some of the points that were previously in the hull.

The processing of each point is done in two parts: first, the point it connects to in the clockwise direction is determined, and then the point it connects to in the anti-clockwise direction is determined.

*Copyright © reserved September 12, 2014

A number of auxiliary procedures are used. The procedure LINKCLOCKWISE links two points i and j together in a clockwise direction.

LINKCLOCKWISE(i, j)

```
1  $p[i].clock = j$ 
2  $p[j].anti = i$ 
```

The function CONVEX determines whether the line connecting points p_1 – p_2 and p_2 – p_3 are convex in a clockwise direction.

CONVEX(p_1, p_2, p_3)

```
1  $\alpha = \text{Angle}(p_1, p_2)$ 
2  $\beta = \text{Angle}(p_2, p_3)$ 
3 return  $(\pi - \alpha + \beta) \bmod (2 * \pi) \leq \pi$ 
```

The function ANGLE(p_i, p_j) determines the angle between the x -axis and the line joining points p_i and p_j . You may assume that the worst-case time complexity of ANGLE is $O(1)$.

CONVEXHULL(n, p)

```
1 // Pre:  $n \geq 3$  and  $p$  is ordered on  $x$ -coordinate.
2 // Pre: no two points have identical  $x$  or  $y$  coordinates
3 // Set up the initial 3 point hull.
4 if CONVEX( $p[1].pt, p[2].pt, p[3].pt$ )
5     LINKCLOCKWISE(1, 2); LINKCLOCKWISE(2, 3); LINKCLOCKWISE(3, 1)
6 else // CONVEX( $p[1].pt, p[3].pt, p[2].pt$ )
7     LINKCLOCKWISE(1, 3); LINKCLOCKWISE(3, 2); LINKCLOCKWISE(2, 1)
8 // The remaining points are processed one at a time in increasing order of  $x$ -coordinate (as  $p$  is
9 // assumed to be sorted on  $x$ -coordinates). A convex hull of the points processed so far is maintained.
10 // Each new point that is processed has an  $x$ -coordinate greater than all the points processed so far.
11 // Hence the point needs to be added to the hull. But adding the point to the hull may lead to points
12 // already in the hull being deleted.
13 for  $i = 4$  to  $n$ 
14     // Determine the clockwise connection: starting from the previous rightmost point in the hull and
15     // working clockwise, we determine the first point that forms a convex angle in a clockwise direction.
16      $c = i - 1$ 
17     while not CONVEX( $p[i].pt, p[c].pt, p[p[c].clock].pt$ )
18          $c = p[c].clock$ 
19     // Determine the anti-clockwise connection: starting from the previous rightmost point in the hull and
20     // working anti-clockwise, we determine the first point that forms a convex angle in a
21     // clockwise direction.
22      $a = i - 1$ 
23     while not CONVEX( $p[p[a].anti].pt, p[a].pt, p[i].pt$ )
24          $a = p[a].anti$ 
25     // Put in the connections to new point  $i$ .
26     LINKCLOCKWISE( $i, c$ ); LINKCLOCKWISE( $a, i$ )
```

The problem is to show that the second phase of adding all the points (described above) is $O(n)$ using amortised analysis.