

# BLG 514E- Computer Networks Basic Protocols

Assoc. Prof. Dr. Berk CANBERK  
bcrq.itu.edu.tr

**25/09/ 2019**

## References:

*Data and Computer Communications*, William Stallings, Pearson-Prentice Hall, 9<sup>th</sup> Edition, 2010.  
*-Computer Networking, A Top-Down Approach Featuring the Internet*, James F.Kurose, Keith W.Ross, Pearson-Addison Wesley, 6<sup>th</sup> Edition, 2012.

# Transmission Terminology



## Transmitter

- Converts information into *signal* suitable for transmission
- Injects energy into communications medium or channel
  - Telephone converts voice into electric current
  - Modem converts bits into tones

## Receiver

- Receives energy from medium
- Converts received signal into form suitable for delivery to user
  - Telephone converts current into voice
  - Modem converts tones into bits



## Communication Channel

- Pair of copper wires
- Coaxial cable
- Radio
- Light in optical fiber
- Light in air
- Infrared

## Transmission

### Impairments

- Signal attenuation
- Signal distortion
- Spurious noise
- Interference from other signals

# Transmission Terminology

**Data transmission occurs between transmitter and receiver over some transmission medium.**

**Communication is in the form of electromagnetic waves.**

**Guided media**

twisted pair,  
coaxial cable,  
optical fiber

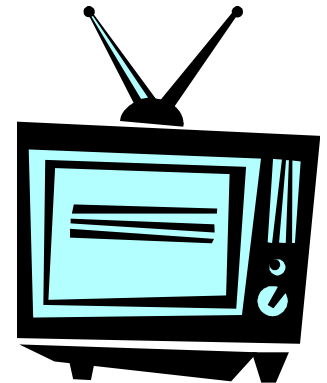
**Unguided media  
(wireless)**

air, vacuum,  
seawater

# Transmission Terminology

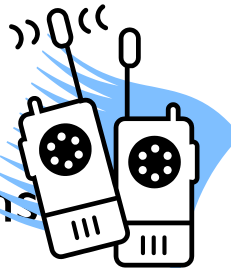
## ❖ Simplex

- signals transmitted in one direction
  - eg. Television



## ❖ Half duplex

- both stations transmit, but only one at a time
  - eg. police radio

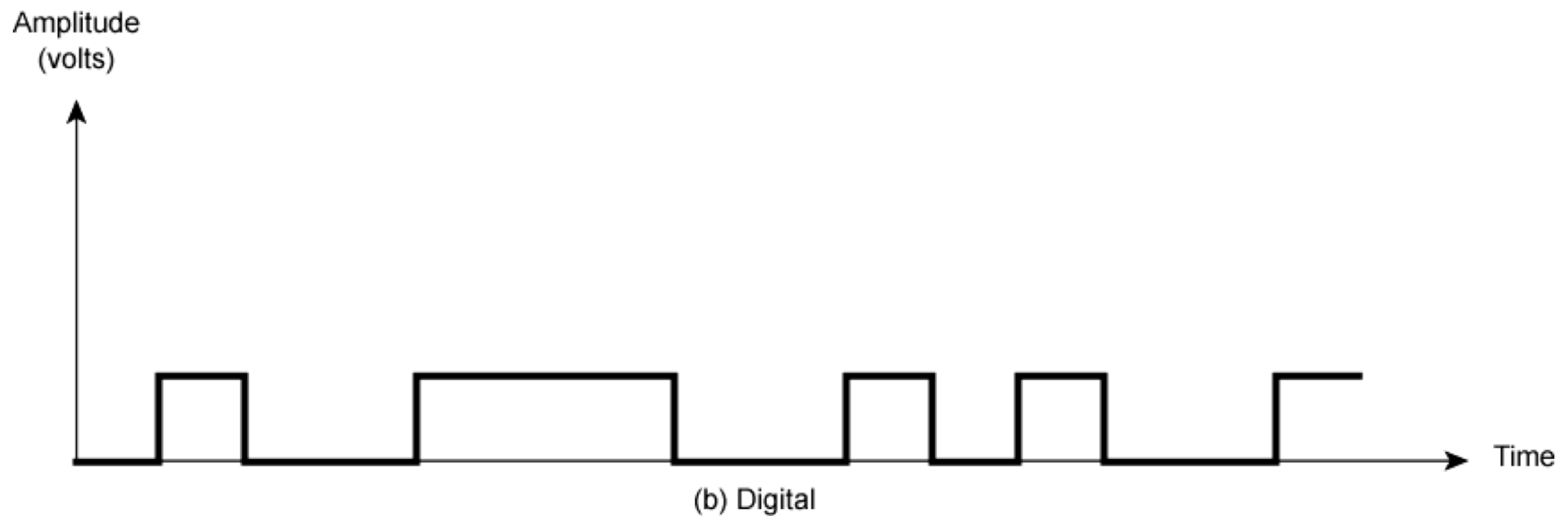
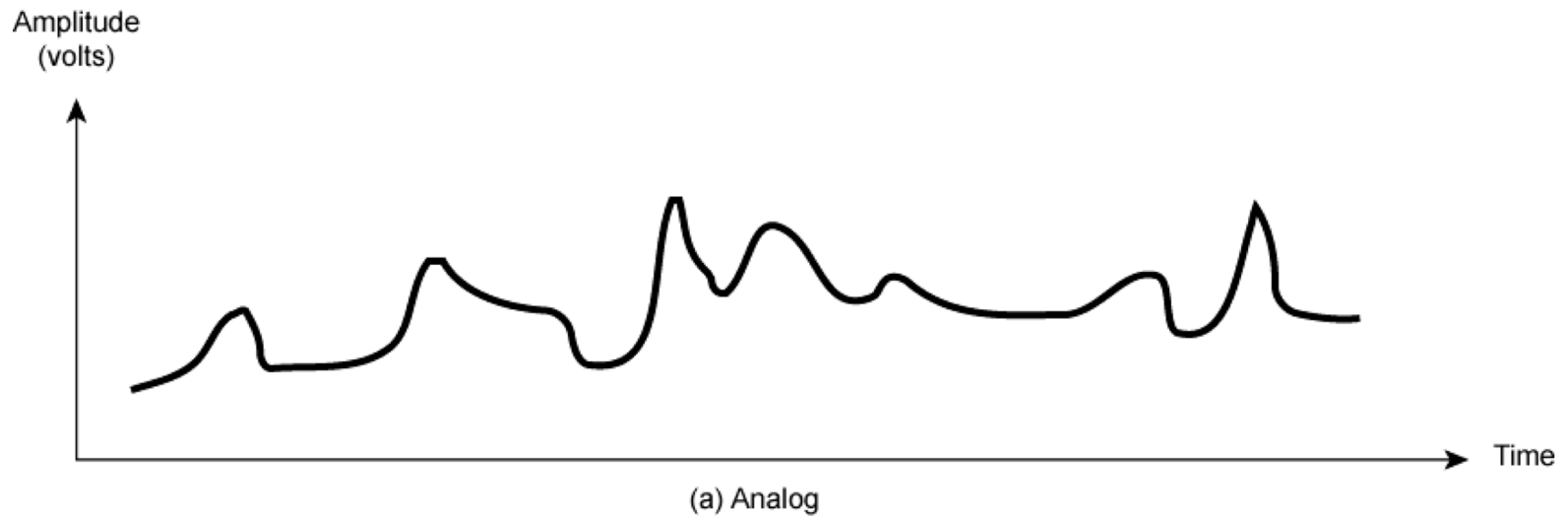


## ❖ Full duplex

- simultaneous transmissions
  - eg. telephone



# Analog and Digital Signals



# Design Factors

- ❖ Bandwidth
  - Higher bandwidth gives higher data rate
- ❖ Transmission impairments
  - Attenuation
- ❖ Interference
- ❖ Number of receivers
  - In guided media
  - More receivers (multi-point) introduce more attenuation

# Twisted Pair

- Separately insulated
- Twisted together
- Often "bundled" into cables
- Usually installed in building during construction



(a) Twisted pair

**Twisted pair is the least expensive and most widely used guided transmission medium.**

- consists of two insulated copper wires arranged in a regular spiral pattern
- a wire pair acts as a single communication link
- pairs are bundled together into a cable
- most commonly used in the telephone network and for communications within buildings



# Unshielded vs. Shielded Twisted Pair

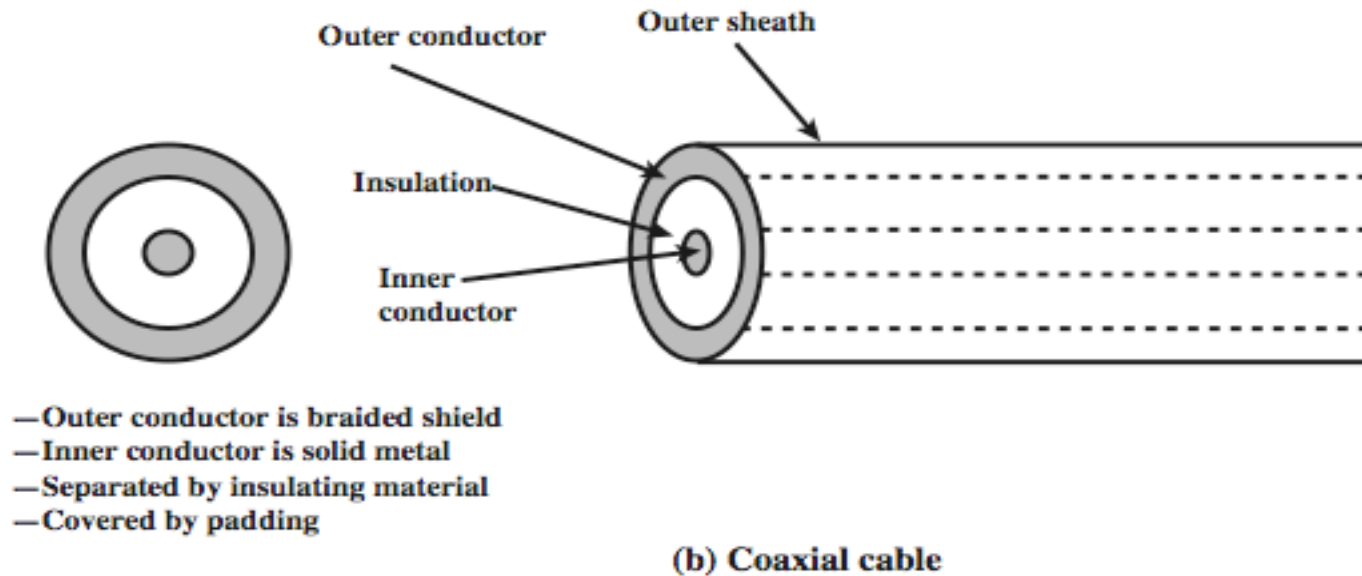
## Unshielded Twisted Pair (UTP)

- **ordinary telephone wire**
- **cheapest**
- **easiest to install**
- **suffers from external electromagnetic interference**

## Shielded Twisted Pair (STP)

- **has metal braid or sheathing that reduces interference**
- **provides better performance at higher data rates**
- **more expensive**
- **harder to handle (thick, heavy)**

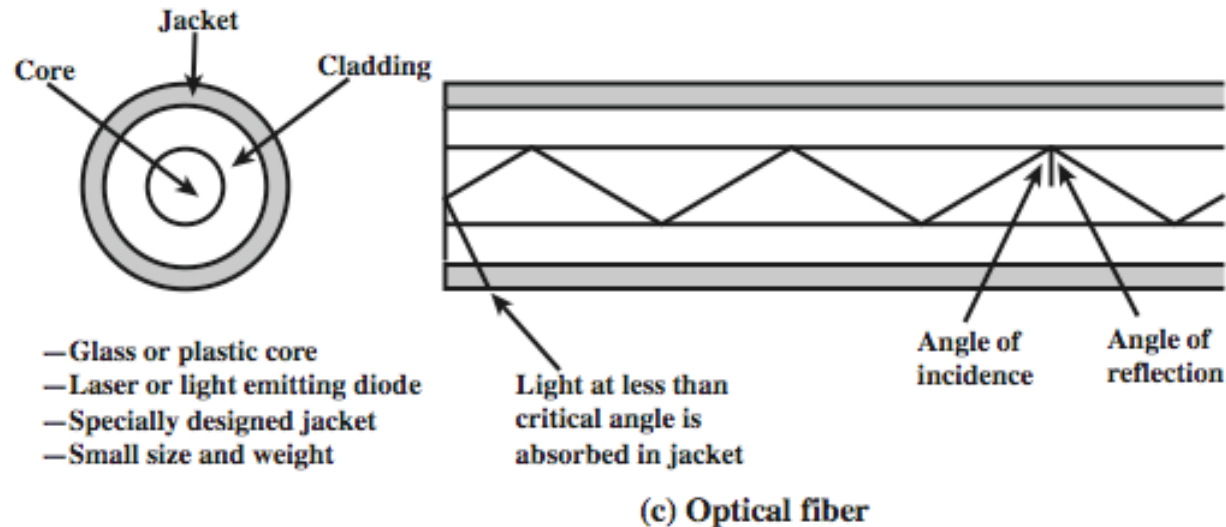
# Coaxial Cable



**Coaxial cable can be used over longer distances and support more stations on a shared line than twisted pair.**

- consists of a hollow outer cylindrical conductor that surrounds a single inner wire conductor
- is a versatile transmission medium used in a wide variety of applications
- used for TV distribution, long distance telephone transmission and LANs

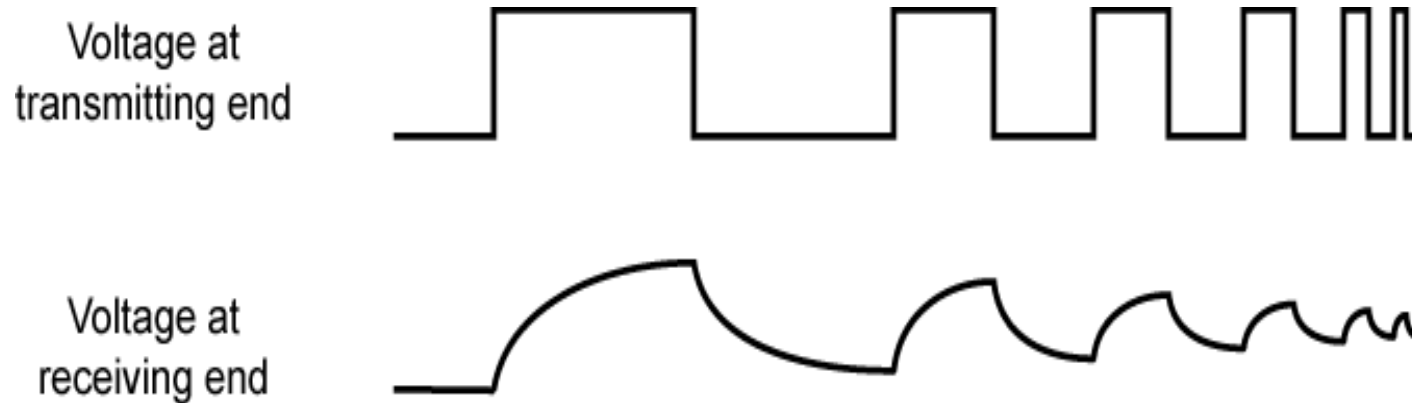
# Optical Fiber



**Optical fiber is a thin flexible medium capable of guiding an optical ray.**

- various glasses and plastics can be used to make optical fibers
- has a cylindrical shape with three sections – core, cladding, jacket
- widely used in long distance telecommunications
- performance, price and advantages have made it popular to use

# Attenuation




- ❖ Signal strength falls off with distance
- ❖ Depends on medium
- ❖ Received signal strength:
  - must be enough to be detected
  - must be sufficiently higher than noise to be received without error
- ❖ Attenuation is an increasing function of frequency

# Noise

The received signal will consist of the transmitted signal, modified by the various distortions imposed by the transmission system, plus additional unwanted signals that are inserted somewhere between transmission and reception. The latter, undesired signals are referred to as noise.

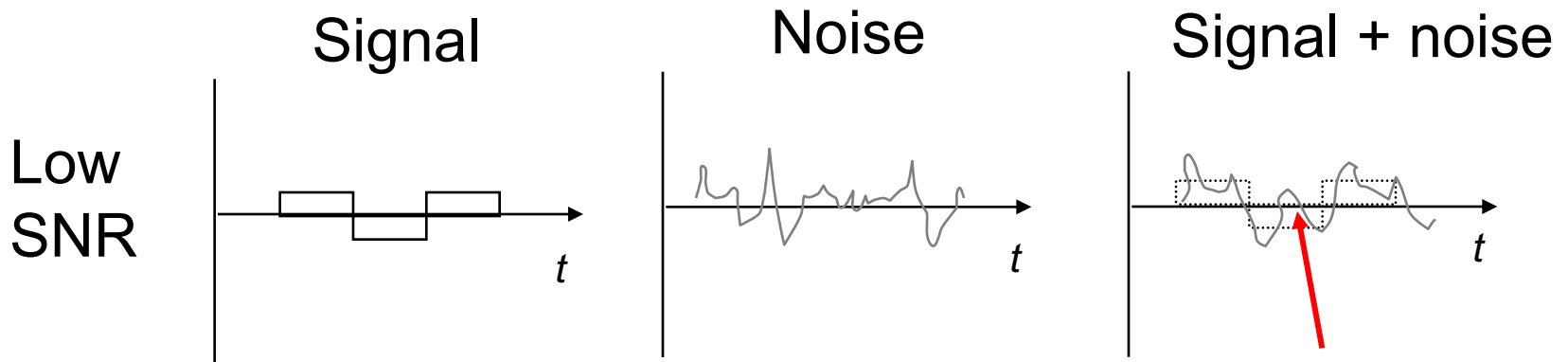
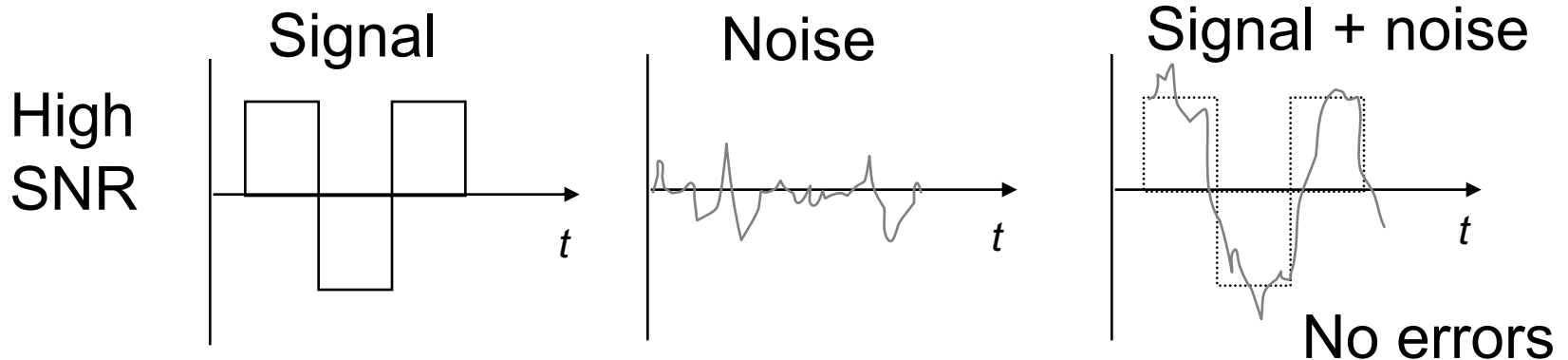


unwanted signals  
inserted between  
transmitter and  
receiver



is the major limiting  
factor in  
communications  
system performance

# Signal-to-Noise Ratio



$$\text{SNR} = \frac{\text{Average signal power}}{\text{Average noise power}}$$
$$\text{SNR (dB)} = 10 \log_{10} \text{SNR}$$

# Shannon Capacity

- ❖ Consider data rate, noise and error rate
- ❖ Faster data rate shortens each bit so burst of noise affects more bits
  - At a given noise level, high data rate means higher error rate
- ❖ Signal to noise ratio (in decibels)
- ❖  $\text{SNR}_{\text{db}} = 10 \log_{10} (\text{signal/noise})$
- ❖  $\text{Capacity } C = B \log_2(1 + \text{SNR})$  (B: bandwidth)
- ❖ This is error free capacity

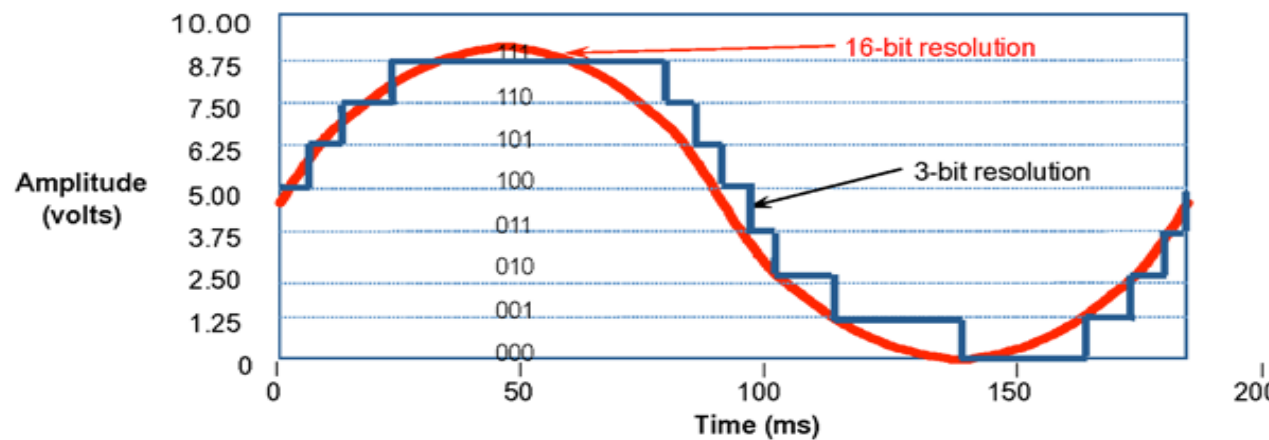


Figure 2. 16-Bit resolution versus 3-Bit resolution chart of a sine wave

# Capacity of a System

- ❖ The bit rate of a system increases with an increase in the number of signal levels we use to denote a symbol.
- ❖ A symbol can consist of a single bit or “n” bits.
- ❖ The number of signal levels =  $2^n$ .
- ❖ As the number of levels goes up, the spacing between level decreases -> increasing the probability of an error occurring in the presence of transmission impairments.



**Increasing the levels of a signal increases the probability of an error occurring, in other words it reduces the reliability of the system..**

# Nyquist Theorem

- ❖ Nyquist gives the upper bound for the bit rate of a transmission system by calculating the bit rate directly from the number of bits in a symbol (or signal levels) and the bandwidth of the system (assuming 2 symbols/per cycle and first harmonic).
- ❖ Nyquist theorem states that for a noiseless channel:

$$C = 2 B \log_2 2^n$$

C = capacity in bps

B = bandwidth in Hz

## *Example*

---

*Consider a **noiseless** channel with a bandwidth of 3000 Hz transmitting a signal with two signal levels. The maximum bit rate can be calculated as*

$$\text{BitRate} = 2 \times 3000 \times \log_2 2 = 6000 \text{ bps}$$

## *Example*

---

*Consider the same noiseless channel transmitting a signal with four signal levels (for each level, we send 2 bits). The maximum bit rate can be calculated as*

$$\text{BitRate} = 2 \times 3000 \times \log_2 4 = 12,000 \text{ bps}$$

## *Example*

---

*We need to send 265 kbps over a noiseless channel with a bandwidth of 20 kHz. How many signal levels do we need?*

### *Solution*

*We can use the Nyquist formula as shown:*

$$\begin{aligned} 265,000 &= 2 \times 20,000 \times \log_2 L \\ \log_2 L &= 6.625 \quad L = 2^{6.625} = 98.7 \text{ levels} \end{aligned}$$

*Since this result is not a power of 2, we need to either increase the number of levels or reduce the bit rate. If we have 128 levels, the bit rate is 280 kbps. If we have 64 levels, the bit rate is 240 kbps.*

# Shannon's Theorem

- ❖ Shannon's theorem gives the capacity of a system in the presence of noise.

$$C = B \log_2(1 + \text{SNR})$$

## *Example*

---

*Consider an extremely noisy channel in which the value of the signal-to-noise ratio is almost zero. In other words, the noise is so strong that the signal is faint. For this channel the capacity  $C$  is calculated as*

$$C = B \log_2 (1 + \text{SNR}) = B \log_2 (1 + 0) = B \log_2 1 = B \times 0 = 0$$

*This means that the capacity of this channel is zero regardless of the bandwidth. In other words, we cannot receive any data through this channel.*

## *Example*

---

*We can calculate the theoretical highest bit rate of a regular telephone line. A telephone line normally has a bandwidth of 3000. The signal-to-noise ratio is usually 3162. For this channel the capacity is calculated as*

$$\begin{aligned} C &= B \log_2 (1 + \text{SNR}) = 3000 \log_2 (1 + 3162) = 3000 \log_2 3163 \\ &= 3000 \times 11.62 = 34,860 \text{ bps} \end{aligned}$$

*This means that the highest bit rate for a telephone line is 34.860 kbps. If we want to send data faster than this, we can either increase the bandwidth of the line or improve the signal-to-noise ratio.*



## *Example*

---

*The signal-to-noise ratio is often given in decibels. Assume that  $SNR_{dB} = 36$  and the channel bandwidth is 2 MHz. The theoretical channel capacity can be calculated as*

$$SNR_{dB} = 10 \log_{10} SNR \quad \rightarrow \quad SNR = 10^{SNR_{dB}/10} \quad \rightarrow \quad SNR = 10^{3.6} = 3981$$
$$C = B \log_2 (1 + SNR) = 2 \times 10^6 \times \log_2 3982 = 24 \text{ Mbps}$$

## *Example*

---

*We have a channel with a 1-MHz bandwidth. The SNR for this channel is 63. What are the appropriate bit rate and signal level?*

### *Solution*

*First, we use the Shannon formula to find the upper limit.*

$$C = B \log_2 (1 + \text{SNR}) = 10^6 \log_2 (1 + 63) = 10^6 \log_2 64 = 6 \text{ Mbps}$$

## *Example*

---

*The Shannon formula gives us 6 Mbps, the upper limit. For better performance we choose something lower, 4 Mbps, for example. Then we use the Nyquist formula to find the number of signal levels.*

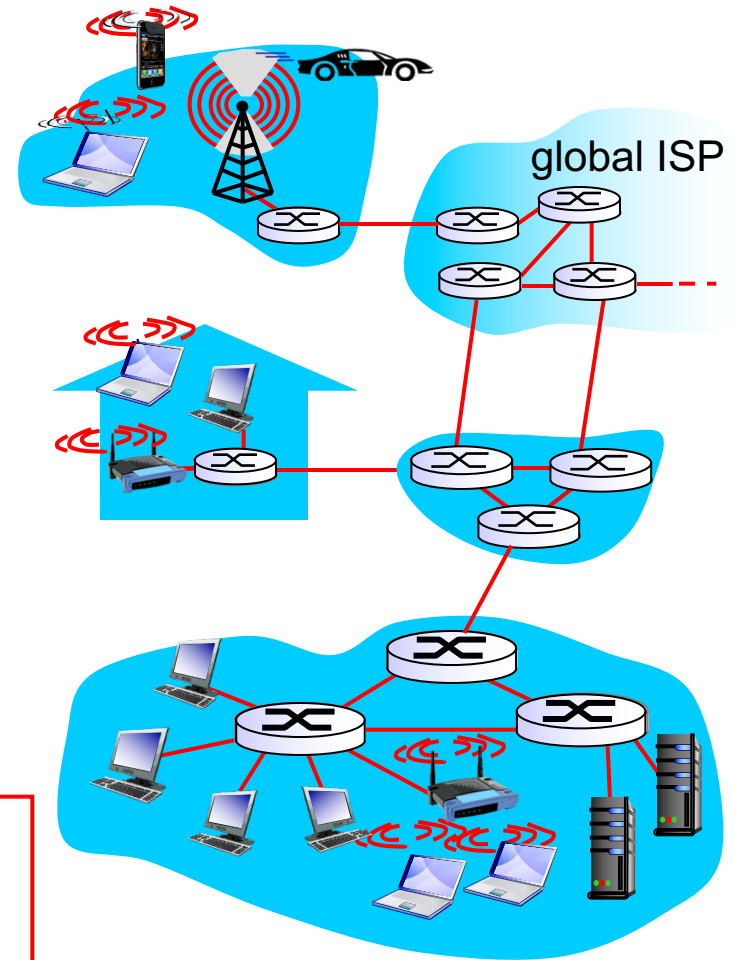
$$4 \text{ Mbps} = 2 \times 1 \text{ MHz} \times \log_2 L \quad \rightarrow \quad L = 4$$

# Link layer: introduction

## *terminology:*

- ❖ hosts and routers: **nodes**
- ❖ communication channels that connect adjacent nodes along communication path: **links**
  - wired links
  - wireless links
  - LANs
- ❖ layer-2 packet: **frame**, encapsulates datagram

*data-link layer* has responsibility of transferring datagram from one node to *physically adjacent* node over a link



# Link layer services

## ❖ *framing, link access:*

- encapsulate datagram into frame, adding header, trailer
- channel access if shared medium
- “MAC” addresses used in frame headers to identify source, dest
  - different from IP address!

## ❖ *reliable delivery between adjacent nodes*

- seldom used on low bit-error link (fiber, some twisted pair)

# Link layer services (more)

## ❖ *flow control:*

- pacing between adjacent sending and receiving nodes

## ❖ *error detection:*

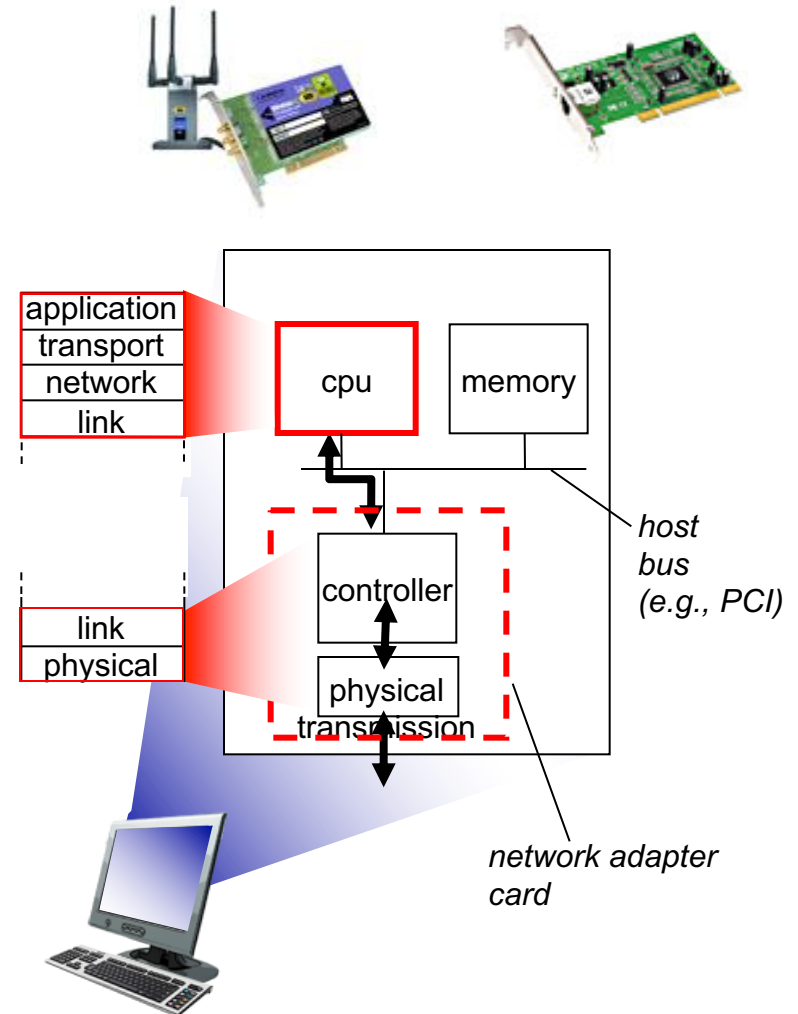
- errors caused by signal attenuation, noise.
- receiver detects presence of errors:
  - signals sender for retransmission or drops frame

## ❖ *error correction:*

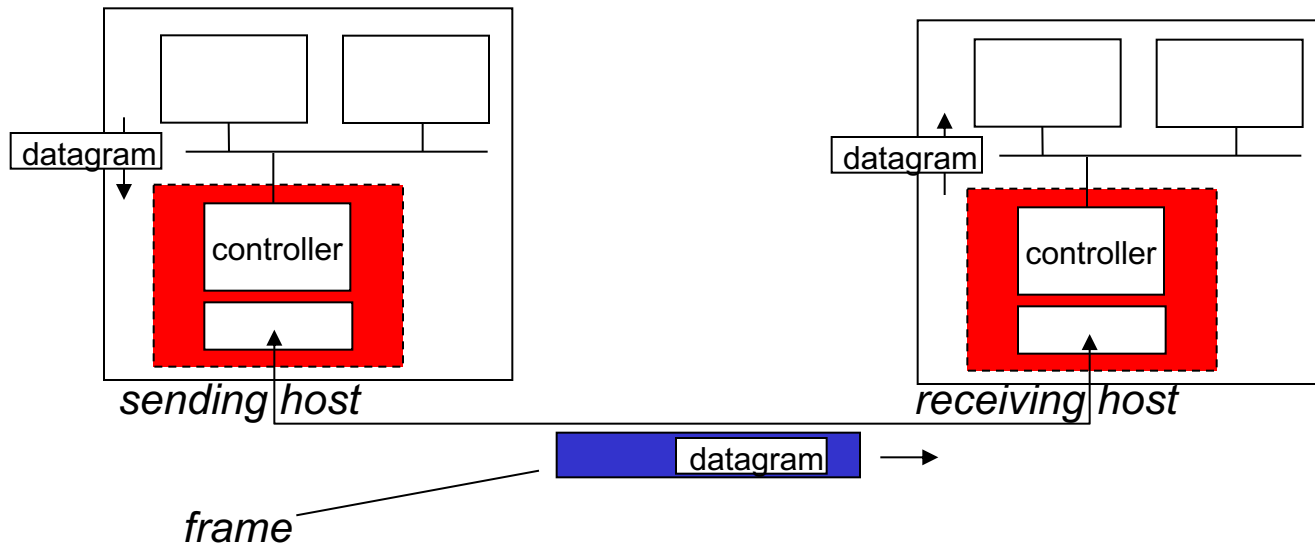
- receiver identifies *and corrects* bit error(s) without resorting to retransmission

# Where is the link layer implemented?

- ❖ in each and every host
- ❖ link layer implemented in “adaptor” (aka *network interface card* NIC) or on a chip
  - Ethernet card, 802.11 card; Ethernet chipset
  - implements link, physical layer
- ❖ attaches into host's system buses
- ❖ combination of hardware, software, firmware



# Adaptors communicating



## ❖ sending side:

- encapsulates datagram in frame
- adds error checking bits, rdt, flow control, etc.

## ❖ receiving side

- looks for errors, flow control, etc
- extracts datagram, passes to upper layer at receiving side



# Multiple access links, protocols

two types of “links”:

- ❖ point-to-point

- PPP for dial-up access
- point-to-point link between Ethernet switch, host

- ❖ *broadcast (shared wire or medium)*

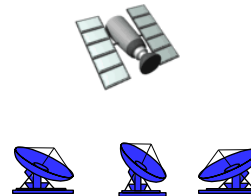
- old-fashioned Ethernet
- 802.11 wireless LAN



shared wire (e.g.,  
cabled Ethernet)



shared RF  
(e.g., 802.11 WiFi)



shared RF  
(satellite)



humans at a  
cocktail party  
(shared air, acoustical)

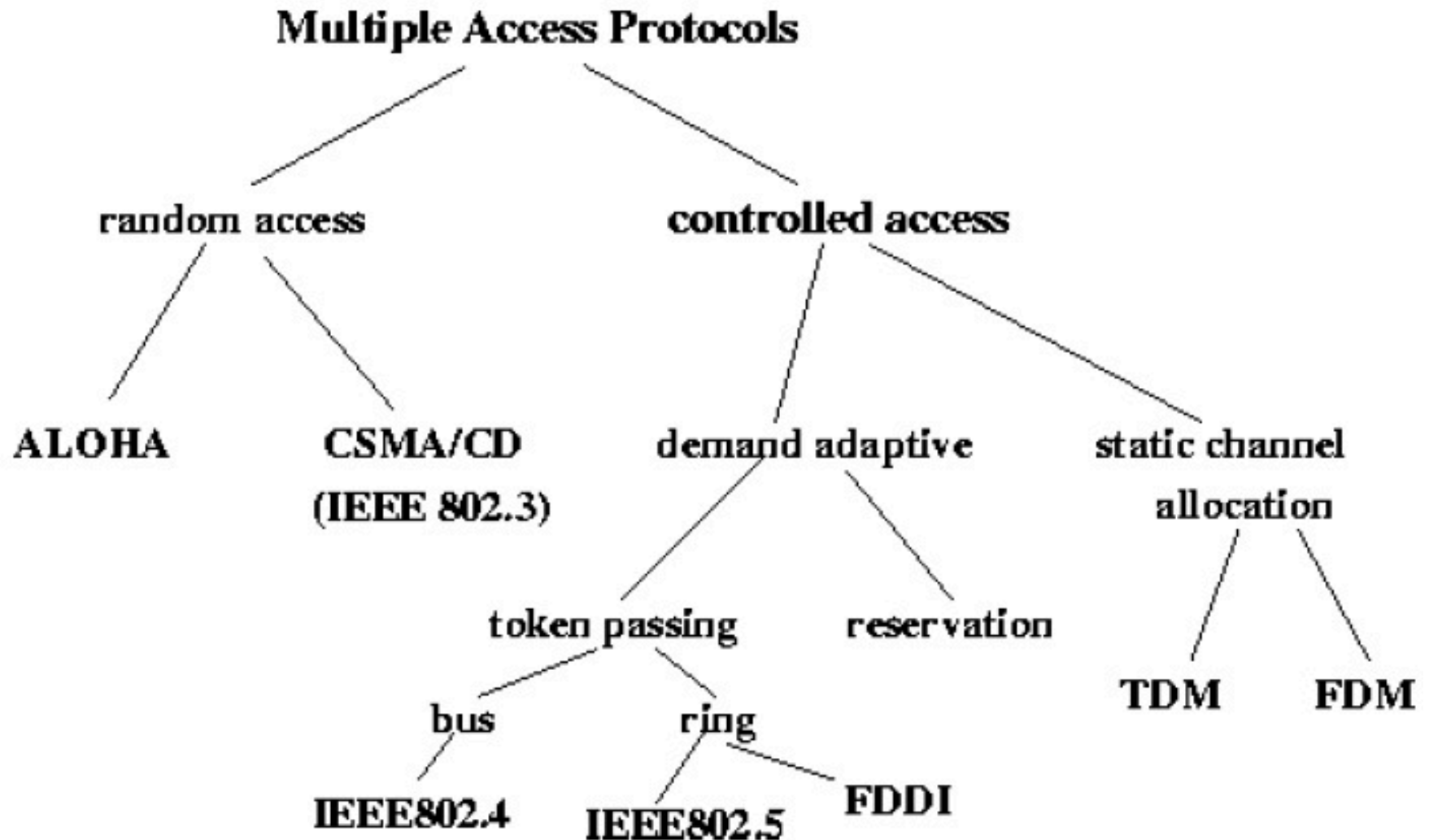
# Multiple access protocols

- ❖ single shared broadcast channel
- ❖ two or more simultaneous transmissions by nodes:  
interference
  - *collision* if node receives two or more signals at the same time

## *multiple access protocol*

- ❖ distributed algorithm that determines how nodes share channel, i.e., determine when node can transmit
- ❖ communication about channel sharing must use channel itself!
  - no out-of-band channel for coordination

# A Simple Taxonomy



# MAC protocols: taxonomy

three broad classes:

- ❖ *channel partitioning*

- divide channel into smaller “pieces” (time slots, frequency, code)
- allocate piece to node for exclusive use

- ❖ *random access*

- channel not divided, allow collisions
- “recover” from collisions

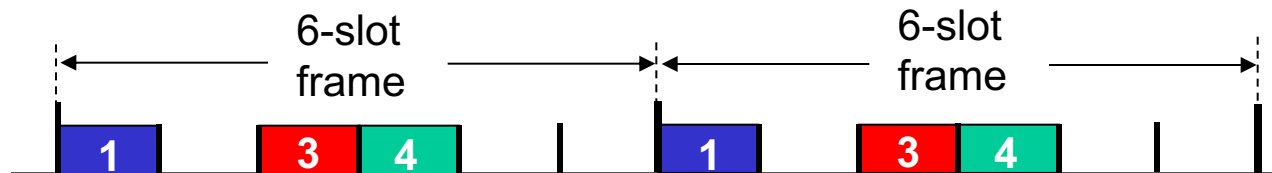
- ❖ *“taking turns”*

- nodes take turns, but nodes with more to send can take longer turns

# Channel partitioning MAC protocols: TDMA

## TDMA: time division multiple access

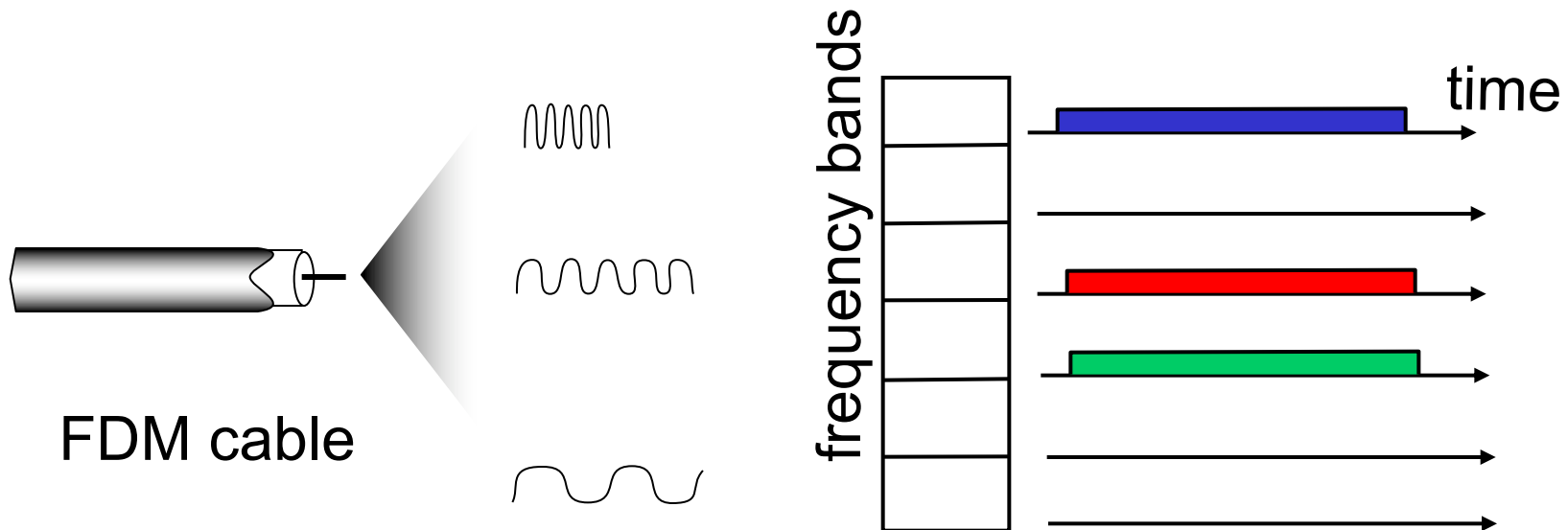
- ❖ access to channel in "rounds"
- ❖ each station gets fixed length slot (length = pkt trans time) in each round
- ❖ unused slots go idle
- ❖ example: 6-station LAN, 1,3,4 have pkt, slots 2,5,6 idle



# Channel partitioning MAC protocols: FDMA

## FDMA: frequency division multiple access

- ❖ channel spectrum divided into frequency bands
- ❖ each station assigned fixed frequency band
- ❖ unused transmission time in frequency bands go idle
- ❖ example: 6-station LAN, 1,3,4 have pkt, frequency bands 2,5,6 idle



# Random access protocols

- ❖ when node has packet to send
  - transmit at full channel data rate  $R$ .
  - no *a priori* coordination among nodes
- ❖ two or more transmitting nodes → “collision”,
- ❖ **random access MAC protocol** specifies:
  - how to detect collisions
  - how to recover from collisions (e.g., via delayed retransmissions)
- ❖ examples of random access MAC protocols:
  - slotted ALOHA
  - ALOHA
  - CSMA, CSMA/CD, CSMA/CA

# Slotted ALOHA

## *assumptions:*

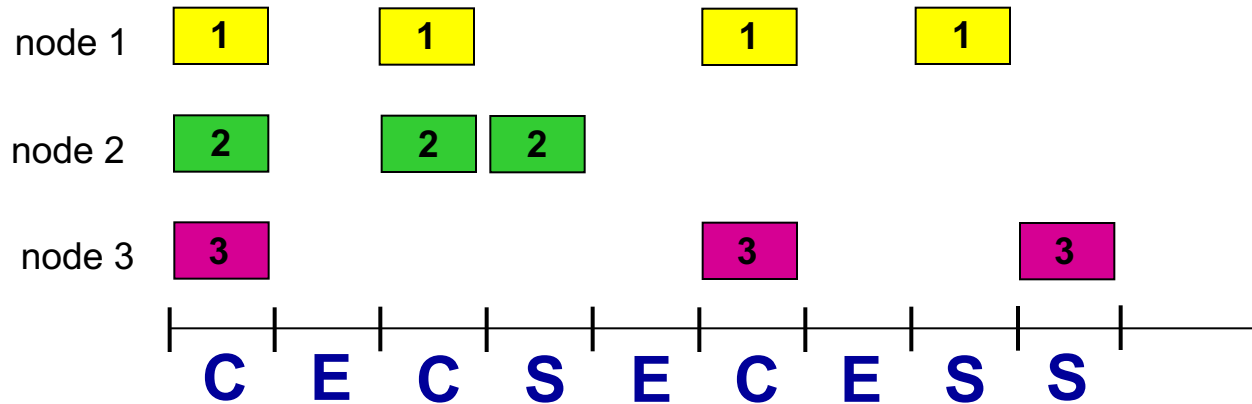
- ❖ all frames **same** size
- ❖ **time divided into equal size** slots (time to transmit 1 frame)
- ❖ nodes start to transmit **only slot beginning**
- ❖ nodes are **synchronized**
- ❖ if 2 or more nodes transmit in slot, all nodes detect collision

## *operation:*

- ❖ when node obtains fresh frame, transmits in next slot
  - **if no collision:** node can send new frame in next slot
  - **if collision:** node retransmits frame in each subsequent slot with **prob.  $p$**  until success



# Slotted ALOHA



## *Pros:*

- ❖ single active node can continuously transmit at full rate of channel
- ❖ highly decentralized: only slots in nodes need to be in sync
- ❖ simple

## *Cons:*

- ❖ collisions, wasting slots
- ❖ idle slots
- ❖ nodes may be able to detect collision in less than time to transmit packet
- ❖ clock synchronization

# Slotted ALOHA: efficiency

**efficiency:** long-run fraction of successful slots (many nodes, all with many frames to send)

- ❖ **suppose:**  $N$  nodes with many frames to send, each transmits in slot with probability  $p$
- ❖ prob that given node has success in a slot =  $p(1-p)^{N-1}$
- ❖ prob that any node has a success =  $Np(1-p)^{N-1}$

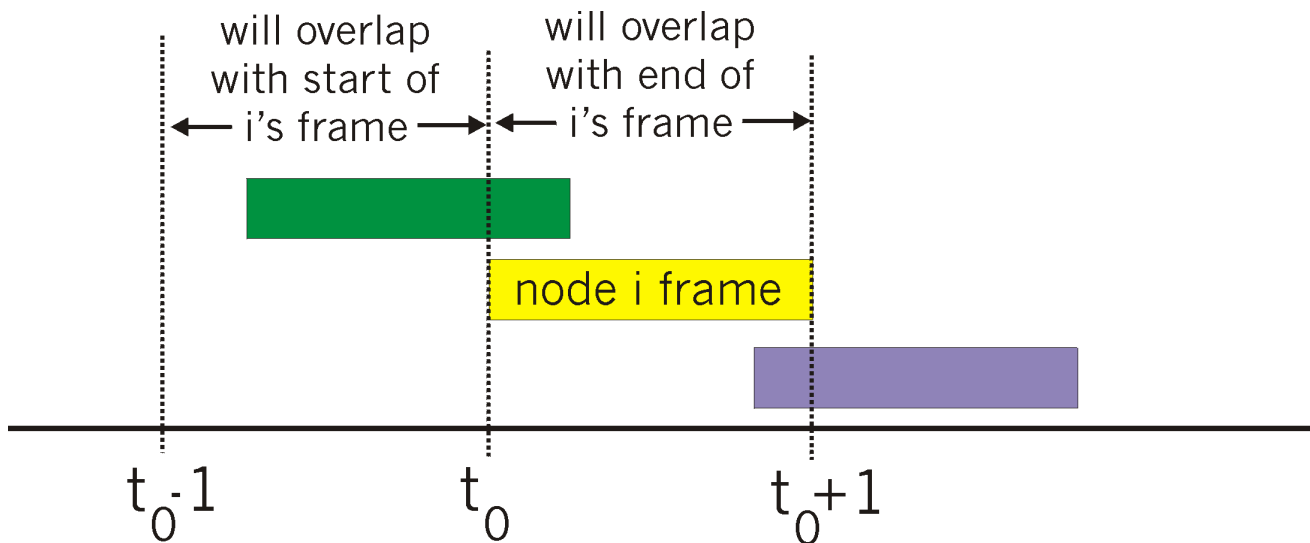
- ❖ **max efficiency:** find  $p^*$  that maximizes  $Np(1-p)^{N-1}$
- ❖ for many nodes, take limit of  $Np^*(1-p^*)^{N-1}$  as  $N$  goes to infinity, gives:  
 $\text{max efficiency} = 1/e = .37$

**at best:** channel used for useful transmissions 37% of time!



# Pure (unslotted) ALOHA

- ❖ unslotted Aloha: simpler, no synchronization
- ❖ when frame first arrives
  - transmit immediately
- ❖ collision probability increases:
  - frame sent at  $t_0$  collides with other frames sent in  $[t_0 - 1, t_0 + 1]$



# Pure ALOHA efficiency

$P(\text{success by given node}) = P(\text{node transmits}) \cdot$

$P(\text{no other node transmits in } [t_0-1, t_0] \cdot$

$P(\text{no other node transmits in } [t_0, t_0+1])$

$$= p \cdot (1-p)^{N-1} \cdot (1-p)^{N-1}$$

$$= p \cdot (1-p)^{2(N-1)}$$

... choosing optimum  $p$  and then letting  $n \rightarrow \infty$

$$= 1/(2e) = .18$$

even worse than slotted Aloha!

# CSMA (carrier sense multiple access)

**CSMA:** listen before transmit:

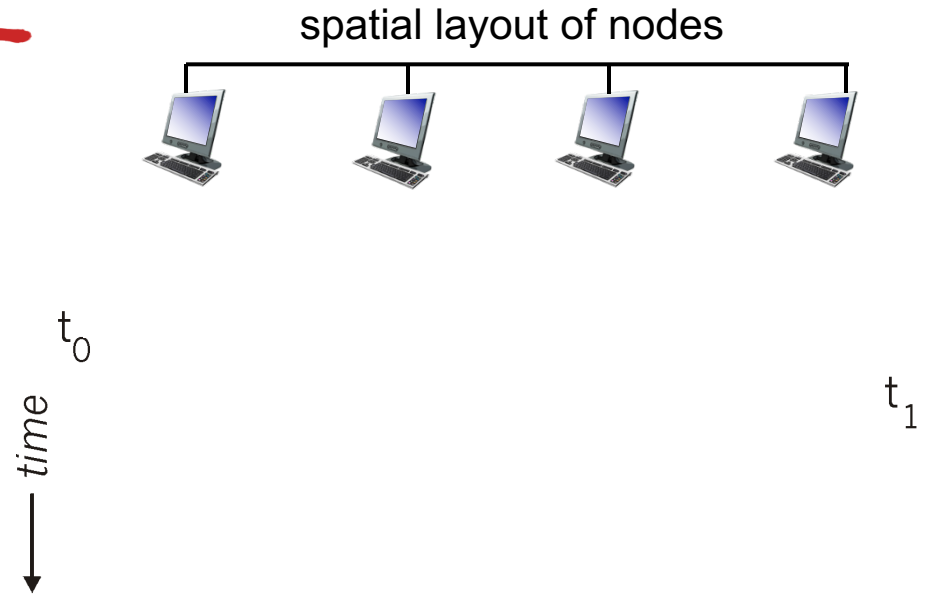
if channel sensed idle: transmit entire frame

❖ if channel sensed busy, defer transmission

❖ human analogy: don't interrupt others!

# CSMA collisions

- ❖ collisions *can* still occur:  
propagation delay means  
two nodes may not hear  
each other's  
transmission
- ❖ collision: entire packet  
transmission time  
wasted
  - distance & propagation  
delay play role in in  
determining collision  
probability

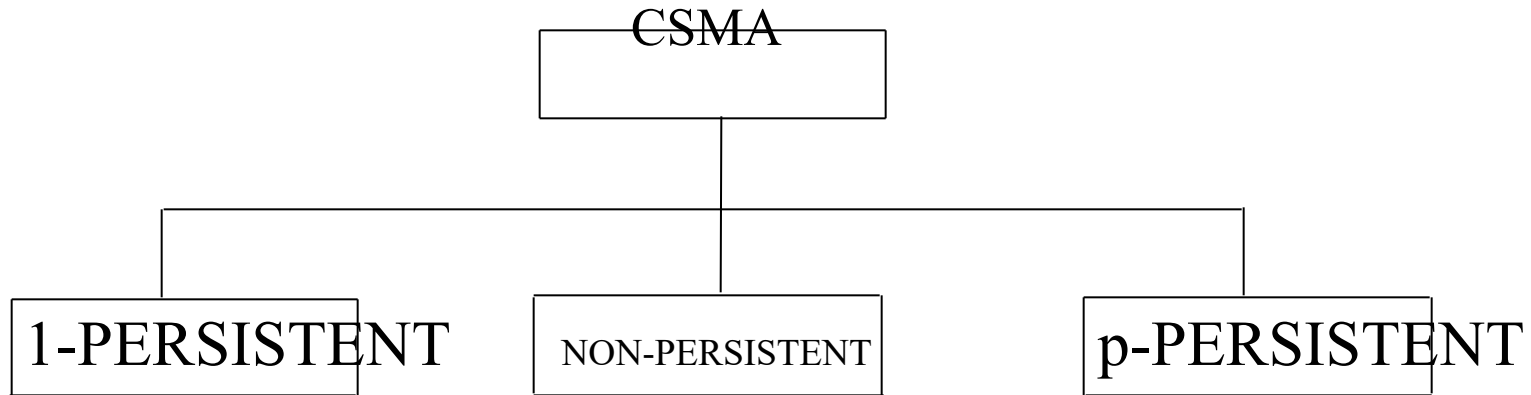


# CARRIER SENSE MULTIPLE ACCESS (CSMA)

- CSMA protocol was developed to overcome the problem found in ALOHA i.e. to minimize the chances of collision, so as to improve the performance.
- CSMA protocol is based on the principle of 'carrier sense'.
- The chances of collision can be reduce to great extent if a station senses the channel before trying to use it.
- Although CSMA can reduce the possibility of collision, but it cannot eliminate it completely.
- The chances of collision still exist because of propagation delay.

- There are three different types of CSMA protocols :-

- (i) 1-Persistent CSMA
- (ii) Non-Persistent CSMA
- (iii) P-Persistent CSMA



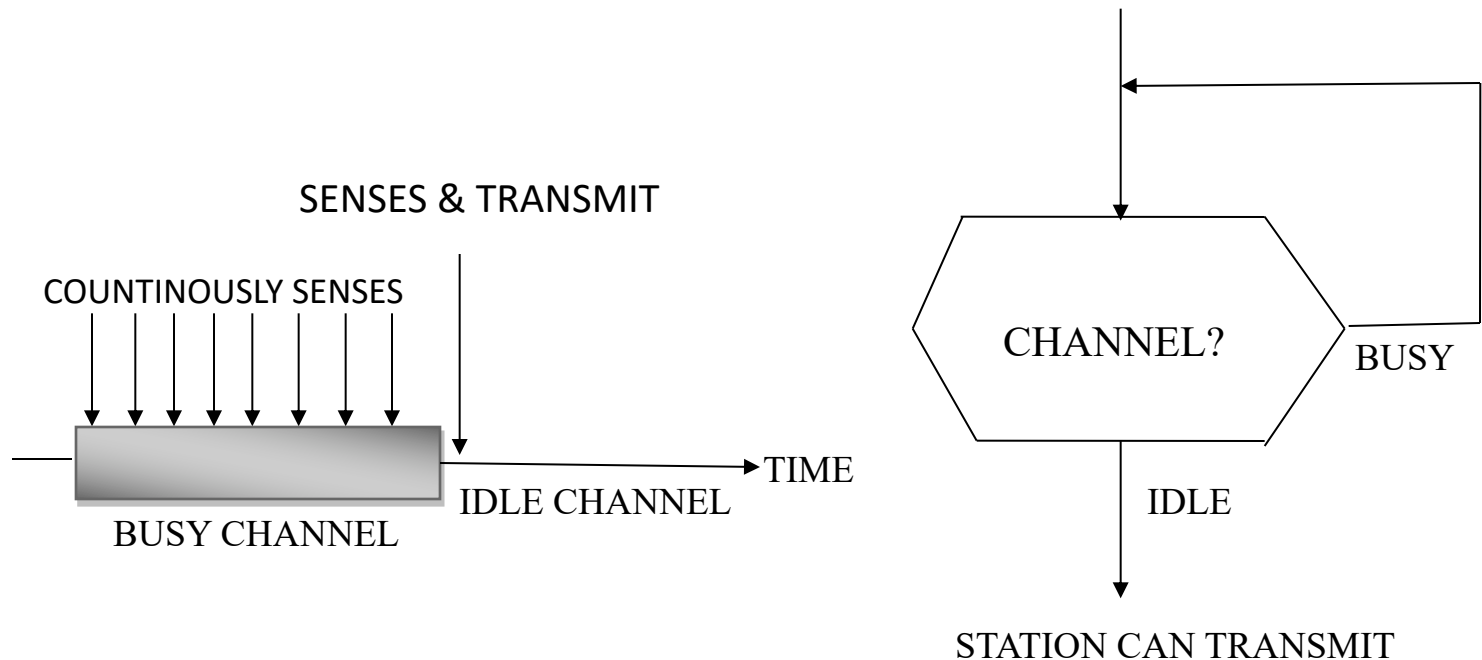


# 1-Persistent CSMA

- In this method, station that wants to transmit data continuously sense the Channel to check whether the channel is idle or busy.
- If the channel is busy, the station waits until it becomes idle.
- When the station detects an idle channel, it immediately transmits the frame with probability 1. Hence it is called 1-persistent CSMA.
- This method has the highest chance of collision because two or more station may find channel to be idle at the same time and transmit their frames.
- When the collision occurs, the stations wait a random amount of time and start all over again.

## Drawback of 1-persistent

- The propagation delay time greatly affects this protocol. Let us suppose, just after the station 1 begins its transmission, station 2 also become ready to send its data and sense the channel. If the station 1 signal has not yet reached station 2, station 2 will sense the channel to be idle and will begin its transmission. This will result in collision.
- Even if propagation delay time is zero, collision will still occur. If two stations become ready in the middle of third station's transmission both stations will wait until the transmission of first station ends and both will begin their transmission exactly simultaneously. This will also result in collision.



## Non –persistent CSMA

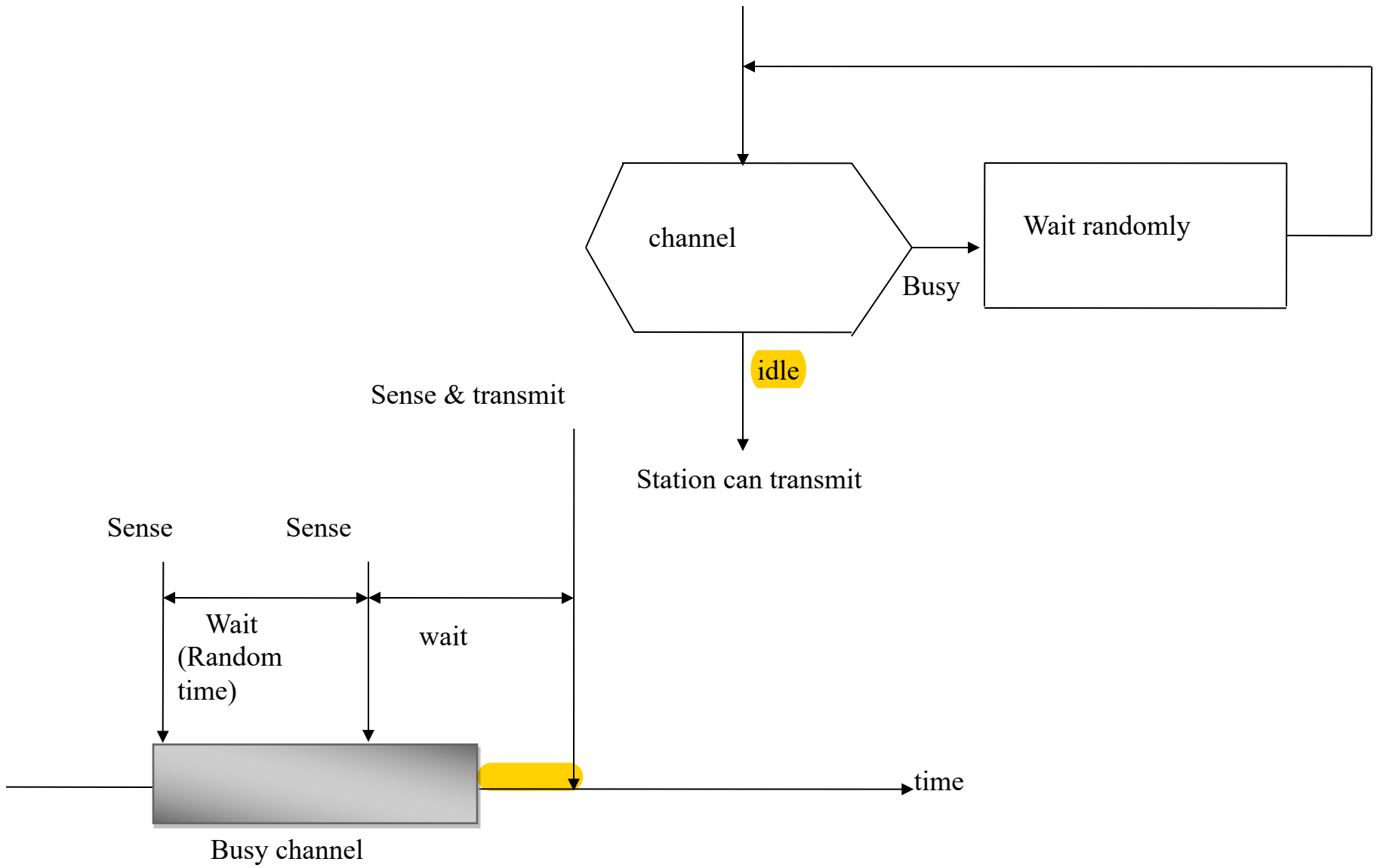
- A station that has a frame to send senses the channel.
- If the channel is idle, it sense immediately.
- If the channel is busy, it waits a random amount of time and then senses the channel again.
- In non-persistent CSMA the station does not continuously sense the channel for purpose of capturing it when it detects the end of previous transmission .

## **Advantages of non-persistent**

- It reduces the chances of collision because the stations wait a random amount of time. It is unlikely that two or more stations will wait for same amount of time and will retransmit at the same time.

## **Disadvantages of non-persistent**

- It reduces the efficiency of network because the channel remains idle when there may be station with frames to send. This is due to the fact that the stations wait a random amount of time after the collision.



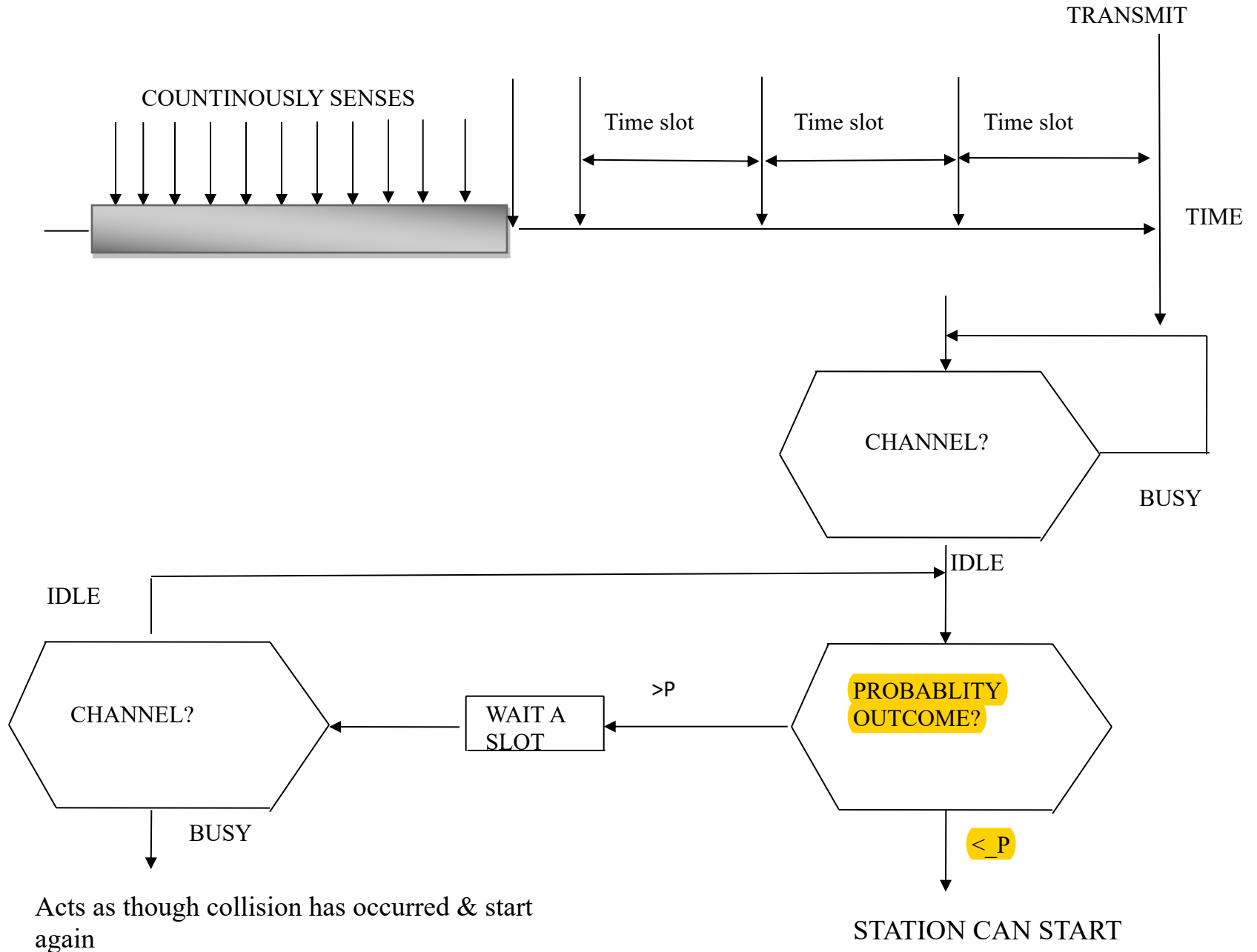
# p-persistent CSMA

- This method is used when channel has time slots such that the time slot duration is equal to or greater than the maximum propagation delay time.
- Whenever a station becomes ready to send the channel.
- If channel is busy, station waits until next slot.
- If the channel is idle, it transmits with a probability  $p$ .
- With the probability  $q=1-p$ , the station then waits for the beginning of the next time slot.
- If the next slot is also idle, it either transmits or wait again with probabilities  $p$  and  $q$ .
- This process is repeated till either frame has been transmitted or another station has begun transmitting.
- In case of the transmission by another station, the station act as though a collision has occurred and it waits a random amount of time and starts again.

## Advantages of p-persistent

- it reduce the chances of collision and improve the efficiency of the network.

PROBABLIITY OUTCOME DOES NOT ALLOW  
TRANSMISSION



# CSMA/CD (collision detection)

**CSMA/CD:** carrier sensing, deferral as in CSMA

- collisions *detected* within short time
- colliding transmissions **aborted**, reducing channel wastage

❖ collision detection:

- **easy in wired LANs:** measure signal strengths, compare transmitted, received signals
- difficult in wireless LANs: received signal strength overwhelmed by local transmission strength



# Ethernet CSMA/CD algorithm

1. NIC receives datagram from network layer, creates frame
2. If NIC senses channel idle, starts frame transmission. If NIC senses channel busy, waits until channel idle, then transmits.
3. If NIC transmits entire frame without detecting another transmission, NIC is done with frame !
4. If NIC detects another transmission while transmitting, aborts and sends jam signal
5. After aborting, NIC enters *binary (exponential) backoff*:
  - after  $m$ th collision, NIC chooses  $K$  at random from  $\{0, 1, 2, \dots, 2^m - 1\}$ . NIC waits  $K \cdot 512$  bit times, returns to Step 2
  - longer backoff interval with more collisions

# “Taking turns” MAC protocols

## channel partitioning MAC protocols:

- share channel *efficiently* and *fairly* at high load
- inefficient at low load: delay in channel access,  $1/N$  bandwidth allocated even if only 1 active node!

## random access MAC protocols

- efficient at low load: single node can fully utilize channel
- high load: collision overhead

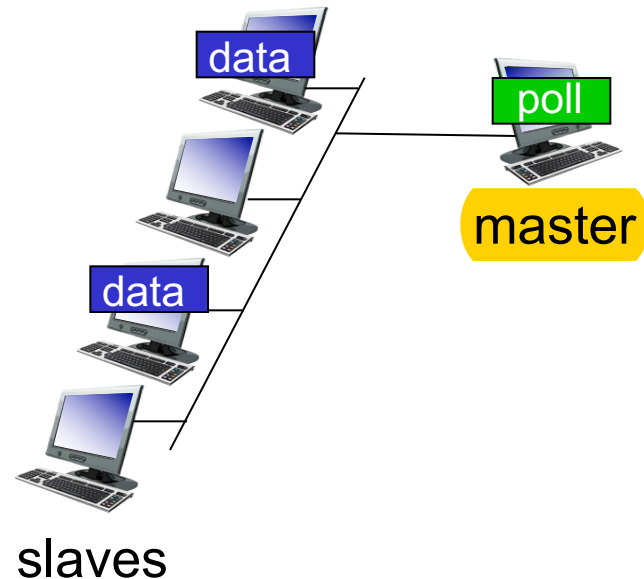
## “taking turns” protocols

look for best of both worlds!

# “Taking turns” MAC protocols

## *polling:*

- ❖ master node “invites” slave nodes to transmit in turn
- ❖ typically used with “dumb” slave devices
- ❖ concerns:
  - polling overhead
  - latency
  - single point of failure (master)



# “Taking turns” MAC protocols

## token passing:

- ❖ control **token** passed from one node to next sequentially.
- ❖ token message
- ❖ concerns:
  - token overhead
  - latency
  - single point of failure  
(token)

