

# EC4219: Software Engineering

## Lecture 5 — First-Order Logic

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# First-Order Logic (FOL)

- An extension of propositional logic (PL) with predicates, functions, and quantifiers.
- FOL is also called predicate logic, and the first-order predicate calculus.
- FOL is expressive enough to reason about programs.
- While the validity of PL formulas is decidable, the validity of FOL formulas is not.

# Terms (Variables, Constants, and Functions)

- Terms are the objects that we are reasoning about.
- Terms in FOL evaluate to values other than truth values, such as integers, strings, or lists.
- Terms in FOL are defined by the grammar below:

$$t \rightarrow x \mid c \mid f(t_1, \dots, t_n)$$

- ▶ Basic terms are variables (denoted  $x$ ) and constants (denoted  $c$ ).
  - ▶ Composite terms are functions. When a function takes  $n$  terms as arguments, we say that the function is an  $n$ -ary function (or, the function has the arity  $n$ ).
  - cf) A constant can be viewed as a 0-ary function.
- (Example)  $g(x, b)$ : a binary function  $g$  applied to a variable  $x$  and a constant  $b$

# Predicates

- The propositional variables of PL are generalized to predicates in FOL.
- An  $n$ -ary predicate takes  $n$  terms as arguments.
- A FOL propositional variable is a 0-ary predicate.
- For example,  $p(f(x), g(x, f(x)))$  is a binary predicate applied to two terms.

- **Atom:** basic elements
  - ▶ truth symbols  $\perp$  (“false”) and  $\top$  (“true”)
  - ▶  $n$ -ary predicates applied to  $n$  terms
- **Literal:** an atom  $\alpha$  or its negation  $\neg\alpha$ .
- **Formula:** a literal, application of a logical connective to formulas, or the application of a quantifier to a formula.

$F$	$\rightarrow$	$\perp \mid \top \mid p(t_1, \dots, t_n)$	atom
		$\mid \neg F$	negation (“not”)
		$\mid F_1 \wedge F_2$	conjunction (“and”)
		$\mid F_1 \vee F_2$	disjunction (“or”)
		$\mid F_1 \rightarrow F_2$	implication (“implies”)
		$\mid F_1 \leftrightarrow F_2$	iff (“if and only if”)
		$\mid \exists x.F[x]$	existential quantification
		$\mid \forall x.F[x]$	universal quantification

# Notations: Quantification

- In  $\forall x.F[x]$  and  $\exists x.F[x]$ ,  $x$  is the quantified variable, and  $F[x]$  is the scope of the quantifier  $\forall x$ . We say  $x$  is bound in  $F[x]$ .
- $\forall x.\forall y.F[x, y]$  can be abbreviated by  $\forall x, y.F[x, y]$ .
- The scope of the quantified variable extends as far as possible.  
For example, consider

$$\forall x. \overbrace{p(f(x), x) \rightarrow (\exists y. \underbrace{p(f(g(x, y)), g(x, y))}_G) \wedge q(x, f(x))}_F.$$

The scope of  $x$  is  $F$ , and the scope of  $y$  is  $G$ .

# Notations: Quantification (cont'd)

- Given  $F[x]$ , a variable  $x$  is *free* if there is an occurrence of  $x$  not bound by any quantifier.
- $\mathbf{free}(F)$  and  $\mathbf{bound}(F)$  denote the free and bound variables of  $F$ , respectively.
- It is possible that  $\mathbf{free}(F) \cap \mathbf{bound}(F) \neq \emptyset$ .
  - ▶ Given  $F : \forall x.p(f(x), y) \rightarrow \forall y.p(f(x), y)$ ,  $\mathbf{free}(F) = \{y\}$  and  $\mathbf{bound}(F) = \{x, y\}$ .
- A formula  $F$  is closed if  $F$  has no free variables.
- Suppose  $\mathbf{free}(F) = \{x_1, \dots, x_n\}$ . Then,
  - ▶  $F$ 's *universal closure* is  $\forall x_1 \dots \forall x_n.F$ . Can be written  $\forall * .F$ .
  - ▶  $F$ 's *existential closure* is  $\exists x_1 \dots \exists x_n.F$ . Can be written  $\exists * .F$ .

# Interpretation

A FOL *interpretation*  $I : (D_I, \alpha_I)$  is a pair of a domain  $D_I$  and an assignment  $\alpha_I$ .

- A **domain**  $D_I$  is a nonempty set of values, such as integers or real numbers.
- An **assignment**  $\alpha_I$  maps variables to elements of  $D_I$ . It also maps constants, function symbols, and predicate symbols to elements, functions, and predicates over  $D_I$ .
  - ▶ Each variable symbol  $x$  is assigned a value  $x_I$  from  $D_I$ .
  - ▶ Each constant is assigned a value from  $D_I$ .
  - ▶ Each  $n$ -ary function symbol  $f$  is assigned an  $n$ -ary function  $f_I : D_I^n \rightarrow D_I$
  - ▶ Each  $n$ -ary predicate symbol  $p$  is assigned an  $n$ -ary predicate  $p_I : D_I^n \rightarrow \{true, false\}$ .



## Example: Interpretation

Consider the formula

$$F : (x + y > z) \rightarrow (y > z - x)$$

that contains the binary function symbols  $+$  and  $-$ , and the binary predicate symbol  $>$ , and the variables  $x$ ,  $y$ , and  $z$ .

- Each symbol is just a syntactical element. Their meaning is defined by the interpretation  $I = (D_I, \alpha_I)$ .
- Assume the domain is the integers:  $D_I = \mathbb{Z} = \{\dots, -1, 0, 1, \dots\}$ .
- Then, we may have the assignment

$$\alpha_I : \{+ \mapsto +_{\mathbb{Z}}, - \mapsto -_{\mathbb{Z}}, > \mapsto >_{\mathbb{Z}}, x \mapsto 13_{\mathbb{Z}}, y \mapsto 42_{\mathbb{Z}}, z \mapsto 1_{\mathbb{Z}}\}$$

- Semantics of FOL formulas are inductively defined as in PL.
- The cases with logical connectives ( $\neg$ ,  $\wedge$ ,  $\vee$ ,  $\rightarrow$ ,  $\leftrightarrow$ ) are handled in the same way as in PL.
- The semantics of predicates and quantifiers are new.

## Base cases

$$I \models \top$$

$$I \not\models \perp$$

$$I \models p(t_1, \dots, t_n) \quad \text{iff} \quad \alpha_I[p(t_1, \dots, t_n)] = \text{true}$$

## Inductive cases

$$I \models \neg F \quad \text{iff} \quad I \not\models F$$

$$I \models F_1 \wedge F_2 \quad \text{iff} \quad I \models F_1 \text{ and } I \models F_2$$

$$I \models F_1 \vee F_2 \quad \text{iff} \quad I \models F_1 \text{ or } I \models F_2$$

$$I \models F_1 \rightarrow F_2 \quad \text{iff} \quad I \not\models F_1 \text{ or } I \models F_2$$

$$I \models F_1 \leftrightarrow F_2 \quad \text{iff} \quad (I \models F_1 \text{ and } I \models F_2) \text{ or } (I \not\models F_1 \text{ and } I \not\models F_2)$$

$$I \models \forall x.F \quad \text{iff} \quad \text{for all } v \in D_I, I \triangleleft \{x \mapsto v\} \models F$$

$$I \models \exists x.F \quad \text{iff} \quad \text{there exists } v \in D_I \text{ such that } I \triangleleft \{x \mapsto v\} \models F$$

$$I \models p(t_1, \dots, t_n) \text{ iff } \alpha_I[p(t_1, \dots, t_n)] = \text{true}$$

- Predicates are evaluated recursively.

$$\alpha_I[p(t_1, \dots, t_n)] = \alpha_I[p](\alpha_I[t_1], \dots, \alpha_I[t_n])$$

- During evaluating terms, functions are evaluated recursively as well.

$$\alpha_I[f(t_1, \dots, t_n)] = \alpha_I[f](\alpha_I[t_1], \dots, \alpha_I[t_n])$$

$$I \models \forall x.F \text{ iff for all } v \in D_I, I \triangleleft \{x \mapsto v\} \models F$$

- $J : I \triangleleft \{x \mapsto v\}$  denotes the  $x$ -variant of  $I$ . That is,  $I : (D_I, \alpha_I)$  and  $J : (D_J, \alpha_J)$  agree on everything except possibly the value of the variable  $x$ . Technically,
  - ▶  $D_I = D_J$ , and
  - ▶  $\alpha_I[y] = \alpha_J[y]$  for all constant, free variable, function, and predicate symbols  $y$ , except possibly  $x$  where  $\alpha_J[x] = v$ .
- In words, “ $I$  is an interpretation of  $\forall x.F$  iff all  $x$ -variants of  $I$  are interpretations of  $F$ ”.

$$I \models \exists x.F \text{ iff there exists } v \in D_I \text{ such that } I \triangleleft \{x \mapsto v\} \models F$$

- “ $I$  is an interpretation of  $\exists x.F$  iff some  $x$ -variant of  $I$  is an interpretation of  $F$ ”.

## Example 1: Semantics

Consider the formula

$$F : (x + y > z) \rightarrow (y > z - x)$$

and the interpretation  $I : (\mathbb{Z}, \alpha_I)$  where

$$\alpha_I : \{+ \mapsto +_{\mathbb{Z}}, - \mapsto -_{\mathbb{Z}}, > \mapsto >_{\mathbb{Z}}, x \mapsto 13_{\mathbb{Z}}, y \mapsto 42_{\mathbb{Z}}, z \mapsto 1_{\mathbb{Z}}\}.$$

The truth value of  $F$  under  $I$  is computed as follows:

1.  $I \models x + y > z$  since  $\alpha_I[x + y > z] = 13_{\mathbb{Z}} +_{\mathbb{Z}} 42_{\mathbb{Z}} >_{\mathbb{Z}} 1_{\mathbb{Z}} = \text{true}$
2.  $I \models y > z - x$  since  $\alpha_I[y > z - x] = 42_{\mathbb{Z}} +_{\mathbb{Z}} 1_{\mathbb{Z}} >_{\mathbb{Z}} 13_{\mathbb{Z}} = \text{true}$
3.  $I \models F$  by 1, 2, and the semantics of  $\rightarrow$

## Example 2: Semantics

Consider the formula

$$F : \exists x. f(x) = g(x)$$

and the interpretation  $I : (D : \{v_1, v_2\}, \alpha_I)$  where

$$\alpha_I : \left\{ \begin{array}{ll} f \mapsto & \{v_1 \mapsto v_1, v_2 \mapsto v_2\}, \\ g \mapsto & \{v_1 \mapsto v_2, v_2 \mapsto v_1\}, \\ = \mapsto & \{(a, b) \mapsto \text{true if } a \text{ syntactically equals } b \text{ else false}\} \end{array} \right\}$$

Compute the truth value of  $F$  under  $I$ .

Let  $J$  be the  $x$ -variant of  $I$ , i.e.,  $J : I \triangleleft \{x \mapsto v\}$  for some  $v \in D$ .

1.  $J \not\models f(x) = g(x)$  For any  $v \in D$ ,  $\alpha_J[f(x) = g(x)] = \text{false}$
2.  $I \not\models \exists x. f(x) = g(x)$  by 1 and the semantics of  $\exists$

# Satisfiability and Validity

- A formula  $F$  is *satisfiable* iff there exists an interpretation  $I$  such that  $I \models F$ .
- A formula  $F$  is *valid* iff for all interpretations  $I$ ,  $I \models F$ .
- Technically, satisfiability and validity are defined for *closed* FOL formulas.
- But we allow two conventions for a formula  $F$  with free variables ( $\text{free}(F) \neq \emptyset$ ).
  - ▶ If we say that a formula  $F$  is valid, we mean that its universal closure  $\forall * .F$  is valid.
  - ▶ If we say that  $F$  is satisfiable, we mean that its existential closure  $\exists * .F$  is satisfiable.
- Satisfiability and validity are dual as in PL.

$\forall * .F$  is valid iff  $\exists * .\neg F$  is unsatisfiable

# Extension of the Semantic Argument Method

Most of the proof rules from PL carry over to FOL.

$$\frac{I \models \neg F}{I \not\models F} \quad \frac{I \not\models \neg F}{I \models F} \quad \frac{I \models F \wedge G}{I \models F, I \models G} \quad \frac{I \not\models F \wedge G}{I \not\models F \mid I \not\models G}$$

$$\frac{I \models F \vee G}{I \models F \mid I \models G} \quad \frac{I \not\models F \vee G}{I \not\models F, I \not\models G} \quad \frac{I \models F \rightarrow G}{I \not\models F \mid I \models G} \quad \frac{I \not\models F \rightarrow G}{I \models F, I \not\models G}$$

$$\frac{I \models F \leftrightarrow G}{I \models F \wedge G \mid I \models \neg F \wedge \neg G} \quad \frac{I \not\models F \leftrightarrow G}{I \models F \wedge \neg G \mid I \models \neg F \wedge G}$$

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$$\frac{I \models \forall x.F}{I \triangleleft \{x \mapsto v\} \models F \text{ for any } v \in D_I} \quad \frac{I \not\models \exists x.F}{I \triangleleft \{x \mapsto v\} \not\models F \text{ for any } v \in D_I}$$

$$\frac{I \models \exists x.F}{I \triangleleft \{x \mapsto v\} \models F \text{ for a fresh } v \in D_I} \quad \frac{I \not\models \forall x.F}{I \triangleleft \{x \mapsto v\} \not\models F \text{ for a fresh } v \in D_I}$$

$$\frac{J : I \triangleleft \dots \models p(s_1, \dots, s_n) \quad K : I \triangleleft \dots \not\models p(t_1, \dots, t_n)}{I \models \perp} \text{ for } i \in \{1, \dots, n\}, \alpha_J[s_i] = \alpha_K[t_i]$$



# Rules for Quantifiers: “Universal” Rules

- Universal elimination I:

$$\frac{I \models \forall x.F}{I \triangleleft \{x \mapsto v\} \models F} \text{ for any } v \in D_I$$

- Existential elimination I:

$$\frac{I \not\models \exists x.F}{I \triangleleft \{x \mapsto v\} \not\models F} \text{ for any } v \in D_I$$

These rules are usually applied using a domain element  $v$  that was introduced earlier in the proof.

# Rules for Quantifiers: “Existential” Rules

- Existential elimination II:

$$\frac{I \models \exists x.F}{I \triangleleft \{x \mapsto v\} \models F} \text{ for a fresh } v \in D_I$$

- Universal elimination II:

$$\frac{I \not\models \forall x.F}{I \triangleleft \{x \mapsto v\} \not\models F} \text{ for a fresh } v \in D_I$$

These rules are applied using a domain element  $v$  that has *not* been previously used in the proof.

- Why? Given  $\exists x.F$ , we choose a new value  $v$  since we do not know which value in particular satisfies  $F$ .

# Contradiction Rule

$$\frac{\begin{array}{l} J : I \triangleleft \dots \models p(s_1, \dots, s_n) \\ K : I \triangleleft \dots \not\models p(t_1, \dots, t_n) \end{array}}{I \models \perp} \text{ for } i \in \{1, \dots, n\}, \alpha_J[s_i] = \alpha_K[t_i]$$

- A contradiction exists if two variants of the original interpretation  $I$  disagree on the truth value of an  $n$ -ary predicate  $p$  for a given tuple of domain values.
- A branch is **closed** if it contains a contradiction according to the contradiction rule. It is **open** otherwise.
  - ▶ In a finished proof of a valid formula, all branches must be closed.

# Example 1: Semantic Argument Method

Determine the validity of the formula  $F$ .

$$F : (\forall x.p(x)) \rightarrow (\forall y.p(y))$$

Suppose  $F$  is invalid.

- |    |  |  |
|----|--|--|
| 1. | $I \not\models F$                                  | assumption                             |
| 2. | $I \models \forall x.p(x)$                         | 1 and $\rightarrow$                    |
| 3. | $I \not\models \forall y.p(y)$                     | 1 and $\rightarrow$                    |
| 4. | $I \triangleleft \{y \mapsto v\} \not\models p(y)$ | 3 and $\forall$ , for some $v \in D_I$ |
| 5. | $I \triangleleft \{x \mapsto v\} \models p(x)$     | 2 and $\forall$                        |
| 6. | $I \models \perp$                                  | 4 and 5                                |

## Example 2: Semantic Argument Method

Determine the validity of the formula  $F$ .

$$F : (\forall x.p(x)) \leftrightarrow (\neg\exists x.\neg p(x))$$

## Example 2: Semantic Argument Method

Determine the validity of the formula  $F$ .

$$F : (\forall x.p(x)) \leftrightarrow (\neg\exists x.\neg p(x))$$

We need to show both forward and backward directions.

$$F_1 : (\forall x.p(x)) \rightarrow (\neg\exists x.\neg p(x)), \quad F_2 : (\forall x.p(x)) \leftarrow (\neg\exists x.\neg p(x))$$

Suppose  $F_1$  is not valid.

- |    |   |  |
|----|---|--|
| 1. | $I \models \forall x.p(x)$                          | assumption                             |
| 2. | $I \not\models \neg\exists x.\neg p(x)$             | assumption                             |
| 3. | $I \models \exists x.\neg p(x)$                     | 2 and $\neg$                           |
| 4. | $I \triangleleft \{x \mapsto v\} \models \neg p(x)$ | 3 and $\exists$ , for some $v \in D_I$ |
| 5. | $I \triangleleft \{x \mapsto v\} \models p(x)$      | 1 and $\forall$                        |
| 6. | $I \models \perp$                                   | 4 and 5                                |

## Example 2: Semantic Argument Method (cont'd)

Determine the validity of the formula  $F$ .

$$F : (\forall x.p(x)) \leftrightarrow (\neg\exists x.\neg p(x))$$

We need to show both forward and backward directions.

$$F_1 : (\forall x.p(x)) \rightarrow (\neg\exists x.\neg p(x)), \quad F_2 : (\forall x.p(x)) \leftarrow (\neg\exists x.\neg p(x))$$

Suppose  $F_2$  is not valid.

- |    |   |  |
|----|---|--|
| 1. | $I \not\models \forall x.p(x)$                          | assumption                             |
| 2. | $I \models \neg\exists x.\neg p(x)$                     | assumption                             |
| 3. | $I \triangleleft \{x \mapsto v\} \not\models p(x)$      | 1 and $\forall$ , for some $v \in D_I$ |
| 4. | $I \not\models \exists x.\neg p(x)$                     | 2 and $\neg$                           |
| 5. | $I \triangleleft \{x \mapsto v\} \not\models \neg p(x)$ | 4 and $\exists$                        |
| 6. | $I \triangleleft \{x \mapsto v\} \models p(x)$          | 5 and $\neg$                           |
| 7. | $I \models \perp$                                       | 3 and 6                                |

## Example 3: Semantic Argument Method

Determine the validity of the formula  $F$ .

$$F : p(a) \rightarrow \exists x.p(x)$$



## Example 3: Semantic Argument Method

Determine the validity of the formula  $F$ .

$$F : p(a) \rightarrow \exists x.p(x)$$

Assume  $F$  is invalid.

- |    |  |                     |
|----|--|---------------------|
| 1. | $I \not\models F$  | assumption          |
| 2. | $I \models p(a)$   | 1 and $\rightarrow$ |
| 3. | $I \not\models \exists x.p(x)$                                   | 1 and $\rightarrow$ |
| 4. | $J : I \triangleleft \{x \mapsto \alpha_I[a]\} \not\models p(x)$ | 3 and $\exists$     |
| 5. | $I \models \perp$  | 2 and 4             |

Note that 2 and 4 are contradictory, since  $\alpha_I[a] = \alpha_J[x]$ .

## Example 4: Semantic Argument Method

Show that the formula  $F$  is invalid.

$$(\forall x.p(x, x)) \rightarrow (\exists x.\forall y.p(x, y))$$

It suffices to find an interpretation  $I$  such that  $I \models \neg F$ . Choose  $D_I = \{0, 1\}$  and  $p_I = \{(0, 0), (1, 1)\}$ .<sup>1</sup> The interpretation falsifies  $F$ .

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<sup>1</sup>We use a common notation for defining relations;  $p_I(a, b)$  is *true* iff  $(a, b) \in p_I$ . For example,  $p_I(0, 0)$  is *true* but  $p_I(1, 0)$  is *false*.

# Soundness and Completeness of FOL

- A proof system is *sound* if every proven formula is valid.
- A proof system is *complete* if every valid formula is provable.

## Theorem (Sound)

*If every branch of a semantic argument proof of  $I \not\models F$  closes, then  $F$  is valid.*

## Theorem (Complete)

*Every valid formula  $F$  has a semantic argument proof.*

# Substitution

- A **substitution** is a map from FOL formulas to FOL formulas.

$$\sigma : [F_1 \mapsto G_1, \dots, F_n \mapsto G_n]$$

- To compute  $F\sigma$ , replace each occurrence of  $F_i$  in  $F$  by  $G_i$  simultaneously.
- For example, consider the formula  $F$  and the substitution  $\sigma$

$$F : (\forall x.p(x, y)) \rightarrow q(f(y), x)$$
$$\sigma : \{x \mapsto g(x), y \mapsto f(x), q(f(y), x) \mapsto \exists h(x, y)\}.$$

Then,

$$F\sigma : (\forall x.p(g(x), f(x))) \rightarrow \exists x.h(x, y)$$

# Safe Substitution

- A restricted application of substitution, which has a useful semantic property.
- Idea: Before applying substitution, replace bound variables with fresh variables.
- For example, consider the formula  $F$  and the substitution  $\sigma$

$$F : (\forall x.p(x, y)) \rightarrow q(f(y), x)$$
$$\sigma : \{x \mapsto g(x), y \mapsto f(x), q(f(y), x) \mapsto \exists h(x, y)\}.$$

Then, safe substitution proceeds as follows.

- 1 Renaming:  $(\forall x'.p(x', y)) \rightarrow q(f(y), x)$
- 2 Substitution:  $(\forall x'.p(x', f(x))) \rightarrow \exists x.h(x, y)$

# Theorems for Safe Substitution

A FOL version of substitution of equivalent formulas:

## Theorem

*Consider the substitution*

$$\sigma : \{F_1 \rightarrow G_1, \dots, F_n \rightarrow G_n\}$$

*such that for each  $i$ ,  $F_i \iff G_i$ . Then,  $F \iff F\sigma$  when  $F\sigma$  is computed by a safe substitution.*

## Theorem

*If  $H$  is a valid formula schema and  $\sigma$  is a substitution obeying  $H$ 's side conditions, then  $H\sigma$  is also valid.*

- formula schema: formula templates with at least one placeholder such as  $F_1, F_2, \dots$ .
- side conditions: conditions specifying that certain variables do not occur free in the placeholders.

# Examples: Valid Templates

- Consider the valid formula schema

$$H : (\forall x.F) \leftrightarrow (\neg \exists x. \neg F).$$

Then, the formula

$$G : (\forall x. \exists y. q(x, y)) \leftrightarrow (\neg \exists x. \neg \exists y. q(x, y))$$

is valid, because  $G = H\sigma$  for  $\sigma : \{F \mapsto \exists y. q(x, y)\}$ .

- Consider the valid formula schema

$$H : (\forall x.F) \leftrightarrow F \text{ provided } x \notin \mathbf{free}(F).$$

Then, the formula

$$G : (\forall x. \exists y. p(z, y)) \leftrightarrow \exists y. p(z, y)$$

is valid because  $G = H\sigma$  for  $\sigma : \{F \mapsto \exists y. p(z, y)\}$ .

# Negation Normal Form (NNF)

- The normal forms of PL extend to FOL.
- A FOL formula  $F$  can be transformed into NNF by using the following equivalences.

$$\begin{array}{lll} \neg\neg F & \iff & F \\ \neg\top & \iff & \perp \\ \neg\perp & \iff & \top \\ \neg(F_1 \wedge F_2) & \iff & \neg F_1 \vee \neg F_2 \\ \neg(F_1 \vee F_2) & \iff & \neg F_1 \wedge \neg F_2 \\ F_1 \rightarrow F_2 & \iff & \neg F_1 \vee F_2 \\ F_1 \leftrightarrow F_2 & \iff & (F_1 \rightarrow F_2) \wedge (F_2 \rightarrow F_1) \\ \neg\forall x.F[x] & \iff & \exists x.\neg F[x] \\ \neg\exists x.F[x] & \iff & \forall x.\neg F[x] \end{array}$$



## Example: NNF

Convert the formula  $G$  into NNF where

$$G : \forall x. (\exists y. p(x, y) \wedge p(x, z)) \rightarrow \exists w. p(x, w)$$

- ① Use the equivalence  $F_1 \rightarrow F_2 \iff \neg F_1 \vee F_2$ .

$$\forall x. \neg (\exists y. p(x, y) \wedge p(x, z)) \vee \exists w. p(x, w)$$

- ② Use the equivalence  $\neg \exists x. F[x] \iff \forall x. \neg F[x]$ .

$$\forall x. (\forall y. \neg (p(x, y) \wedge p(x, z))) \vee \exists w. p(x, w)$$

- ③ Use the equivalence  $\neg (F_1 \wedge F_2) \iff \neg F_1 \vee \neg F_2$ .

$$\forall x. (\forall y. \neg p(x, y) \vee \neg p(x, z)) \vee \exists w. p(x, w)$$

# Prenex Normal Form (PNF)

- A formula is in PNF if all of its quantifiers appear at the beginning of the formula:

$$\mathbf{Q}_1 x_1 \cdots \mathbf{Q}_n x_n . F[x_1, \cdots, x_n]$$

where  $\mathbf{Q}_i \in \{\forall, \exists\}$  and  $F$  is quantifier-free.

- Every FOL  $F$  has an equivalent PNF. To convert  $F$  into PNF,
  - ① Convert  $F$  into NNF:  $F_1$
  - ② Rename quantified variables to unique names:  $F_2$
  - ③ Remove all quantifiers from  $F_2$ :  $F_3$
  - ④ Add the quantifiers in front of  $F_3$ :

$$F_4 : \mathbf{Q}_1 x_1 \cdots \mathbf{Q}_n x_n . F_3$$

where  $\mathbf{Q}_i$  are the quantifiers such that if  $\mathbf{Q}_j$  is in the scope of  $\mathbf{Q}_i$  in  $F_1$ , then  $i < j$ .

- A FOL formula is in CNF (resp., DNF) if (1) it is in PNF and (2) its main quantifier-free subformula is in CNF (resp., DNF).

## Example: PNF

Convert the formula  $F$  into PNF form.

$$F : \forall x. \neg(\exists y. p(x, y) \wedge p(x, z)) \vee \exists y. p(x, y)$$

- 1 Convert into NNF.

$$F_1 : \forall x. (\forall y. \neg p(x, y) \vee \neg p(x, z)) \vee \exists y. p(x, y)$$

- 2 Rename quantified variables.

$$F_2 : \forall x. (\forall y. \neg p(x, y) \vee \neg p(x, z)) \vee \exists w. p(x, w)$$

- 3 Remove all quantifiers.

$$F_3 : \neg p(x, y) \vee \neg p(x, z) \vee p(x, w)$$

- 4 Add the quantifiers in front of  $F_3$ .

$$F_4 : \forall x. \forall y. \exists w. \neg p(x, y) \vee \neg p(x, z) \vee p(x, w)$$

- Satisfiability can be formalized as a decision problem in formal languages.
  - ▶ Let  $L_{PL}$  be the set of all satisfiable formulas. Given  $w$ , is  $w \in L_{PL}$ ?
- A formal language  $L$  is decidable if there exists a procedure that, given a word  $w$ , (1) eventually halts and (2) answers “yes” if  $w \in L$  and “no” if  $w \notin L$ . Otherwise,  $L$  is undecidable.
- $L_{PL}$  is decidable but  $L_{FOL}$  is not.

# Summary

FOL is an extension of propositional logic (PL) with predicates, functions, and quantifiers.

- Syntax and semantics of FOL
- Satisfiability and validity
- Substitution, Normal forms