

How to attack HARD problems  
→ NP-complete problem, NP-hard

Running example: VC = vertex cover problem  $\in$  NP-complete

Graph  $G = (V, E)$  undirected

vertex cover  $S \subseteq V$  if  $\forall u v \in E : u \in S \text{ or } v \in S$

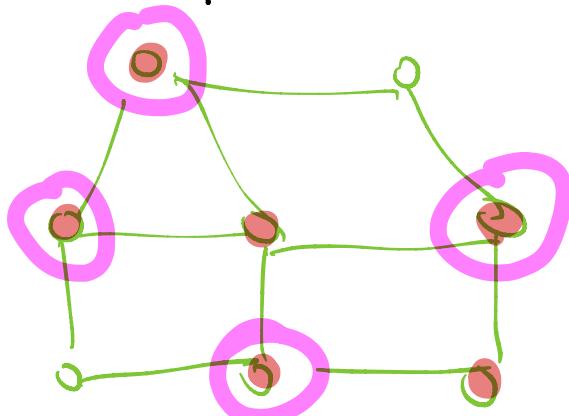
minimization: Find  $k_{\min}$  :  $|S| = k_{\min}, S = VC$

$\nexists S' : |S'| < k_{\min} \quad S' \neq VC$

decision :  $VC_n : \exists S \subseteq V \text{ s.t. } |S| \leq k, S = VC ? \Rightarrow$  Yes  
 $G, k$  No

poly min  $\Rightarrow$  poly decision

poly decision  $\Rightarrow$  poly min : use the former as oracle for the latter + binary search on  $k$



## FOCUS ON DECISION VC<sub>k</sub>

### BASELINE

$\binom{n}{k}$  subsets of nodes  $S \subseteq V$ , where  $|S|=k$  and  $n=|V|$  ( $m=|E|$ )

↳ check each subset in  $\text{poly}(n)$  time

$\Rightarrow$  total time is  $\mathcal{O}(n^{k+o(1)})$

$$\binom{n}{k} \sim n^k$$

no need to generate  
 $|S| < k$

## PARAMETERIZED ALGORITHMS (EXACT EXPONENTIAL ALGORITHMS)

Solve the problem exactly in time

$$\mathcal{O}(f(k) \text{poly}(n)) \text{ where } f(k) \text{ can be large}$$

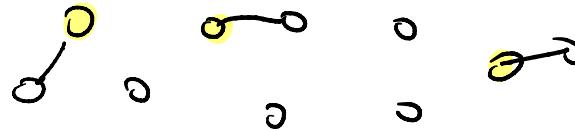
Useful when  $k \ll n$ , even though  $k$  can be very close to  $n$  in some instance

Note that this doesn't say anything  
on the P vs NP question

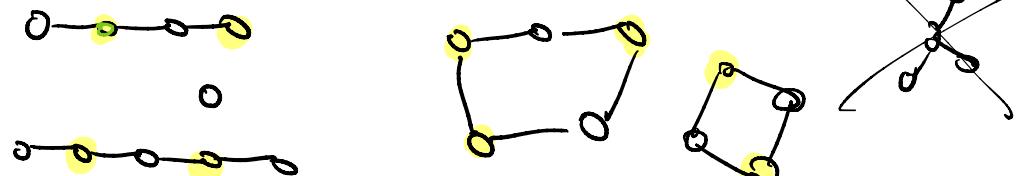
## KERNALIZATION

Let's consider easy cases:

CASE 1  $\max \text{degree}(G) = 1$



CASE 2  $\max \text{degree}(G) = 2$



isolated nodes : NOTHING

Simple paths  
simple cycles } check if their number of edges  
is odd or even



$VC_k$  is instance  $\langle G, k \rangle$  to indicate that we want to check whether a vertex cover of size  $\leq k$  is in  $G$

We apply R1 and R2 below as long as possible:

R1 If  $v$  is an isolated node ( $\deg(v)=0$ ) then solve  $\langle G - \{v\}, k \rangle$

R2 If  $v$  has degree  $> k$  in  $G$  then solve  $\langle G - \{v\}, k-1 \rangle$   
 $v$  must belong to the VC

each rule takes poly( $n$ ) time

When it is not possible to apply R1 or R2 anymore, we apply

R3 if  $k < 0$  or  $G$  has  $> k^2 + k$  nodes or  $G$  has  $> k^2$  edges  
then report no VC for the input

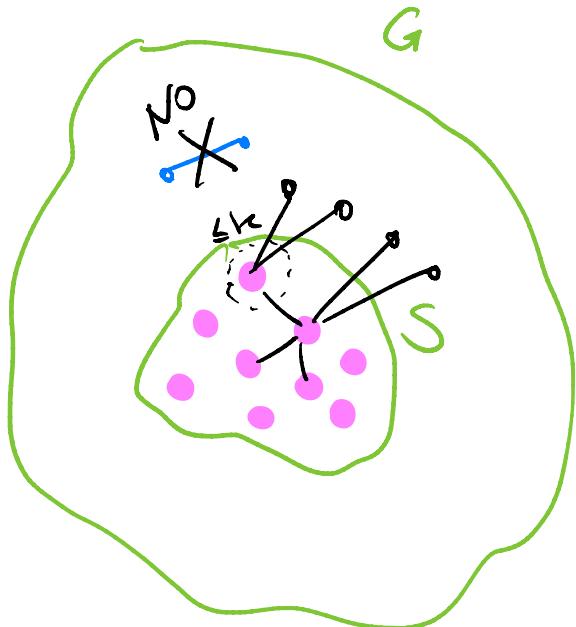
else let  $G_k$  be the current graph (reduced with R1 and R2)  
Solve VC on  $\langle G_k, k \rangle$  and return the answer



why?

► If  $\langle G_n, k \rangle$  has a VC of size  $\leq k$  then it must have  $\leq k^2 + k$  nodes and  
 $\leq k^2$  edges

Let  $S$  be such VC,  $|S| \leq k$



$\leq k$  pink

Total cost  $O(f(n) + \text{poly}(n))$

$$1) |S| \leq k, \forall u \in S : d(u) \leq k, \quad S \text{ is VC} \\ \Rightarrow |E| \leq |S| \cdot k = k^2$$

$$2) |S| + |G_n \setminus S| \leq k + k^2$$

D

$$1) + 2) \Rightarrow |G_n| = \text{poly}(k)$$

To answer  $\langle G_n, k \rangle$  we run BASELINE  
 $\Rightarrow f(k) = (k^2)^k$

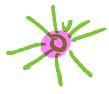
## BRANCHING TECHNIQUE. $\langle G, k \rangle$ as before

$v = \max \text{ degree node in } G$  : recursion on this degree

BASE CASE:  $\deg(v) = 1 \Rightarrow$  CASE 1

RECURSIVE CASE:  $\deg(v) \geq 2$

(i) either  $v$  belongs to VC (and we cannot claim anything for  $N(v)$ )



(ii) or all of  $N(v)$  should belong to the VC



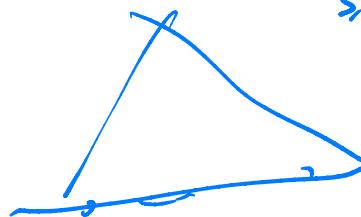
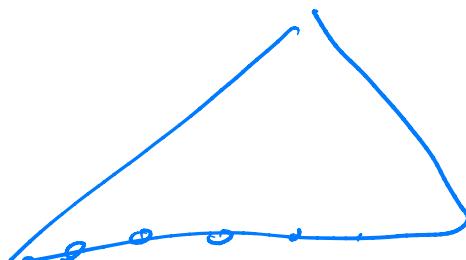
$\langle G - \{v\}, k - 1 \rangle$

$\langle G, k \rangle$

(ii)

$\langle G - N(v) - v, k - |N(v)| \rangle$

$\geq 2$



Total cost  $\# \text{ recursive calls} \times \text{poly}(n)$

each call generates two calls  $\Rightarrow \# \text{ calls} \leq 2^{\# \text{ leaves}}$

$$L(k) = L(k-1) + L(k - \lceil \frac{n}{\text{val}} \rceil^2) \leq L(k-1) + L(k-2)$$

BASE CASE  
L(k)

L(n) is Fibonacci!       $L(k) \sim \Phi^k$        $\Phi = 1.6\dots$

Total cost is  $O(\Phi^k \cdot \text{poly}(n))$

Putting together the two kernelization + branching

$$\Theta((k^2)^k) = \Theta(k^{2k}) = \Theta(1.6^{k^2} + \text{poly}(n))$$

$\xrightarrow{\text{BASED ON}}$

$\Theta(\text{VC}(k^2) + \text{poly}(n))$

P.S.  
you can improve branching:

max degree = 2 is easy case 3

$$\Rightarrow F(k) \leq F(k-1) + F(k-3)$$

$$\hookrightarrow 1.6^{k^2} \approx (1.46)^{k^2}$$

↳ even though it can  
be exponential in  $n$ ,  
in most cases  $k$  is small