

# Home Assignment 4

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## Question 4.1

In the textbook, if  $m$  is evenly divisible by  $t$ , where  $m$  is the number of rows of the matrix,  $t$  is the total number of threads. Using  $p$  as the thread rank value, the formulas are:

$$\text{first component} = q \times \frac{m}{t}, \quad (1)$$

$$\text{last component} = (q + 1) \times \frac{m}{t} - 1, \quad (2)$$

For this question, if  $m$  is not evenly divisible by  $t$ , the formulas are:

$$\text{block} = \frac{m}{t} \quad (3)$$

$$\text{remainder} = m \% t \quad (4)$$

---

```
if ( q < remainder ):  
    first_component = q * (block + 1)  
    last_component = ( q + 1 ) * (block + 1) - 1  
else:  
    first_component = q * block + remainder  
    last_component = ( q + 1 ) * block + remainder - 1
```

---

## Question 4.3

The original code:

---

```
int flag;  
double sum;
```

---

Modified code:

---

```
int volatile flag;  
double volatile sum;
```

---

Setting *thread\_count* = 4 and *n* = 1.0e8, the output is showed below.

- Without using volatile variables, with option -O2, I did not find any error.
- After declare *flag* and *sum* as volatile variables, the performance with or without the optimization is almost the same as declare *flag* and *sum* as non-volatile variables
- The -O2 optimization is more significant in single-threaded execution, nearly twice the speed, while in multi-threaded execution only increase about 50% speed.

## No volatile with -O0

---

```
With n = 100000000 terms,
Multi-threaded estimate of pi = 3.141592643589817
Elapsed time = 8.784199e-02 seconds
Single-threaded estimate of pi = 3.141592643589326
Elapsed time = 2.213199e-01 seconds
Math library estimate of pi = 3.141592653589793
```

---

## No volatile with -O2

---

```
With n = 100000000 terms,
Multi-threaded estimate of pi = 3.141592643589817
Elapsed time = 4.796696e-02 seconds
Single-threaded estimate of pi = 3.141592643589326
Elapsed time = 9.585810e-02 seconds
Math library estimate of pi = 3.141592653589793
```

---

## Volatile with -O0

---

```
With n = 100000000 terms,
Multi-threaded estimate of pi = 3.141592643589817
Elapsed time = 7.682800e-02 seconds
Single-threaded estimate of pi = 3.141592643589326
Elapsed time = 2.137191e-01 seconds
Math library estimate of pi = 3.141592653589793
```

---

## Volatile with -O2

---

```
With n = 100000000 terms,
Multi-threaded estimate of pi = 3.141592643589817
```

```
Elapsed time = 5.872416e-02 seconds
Single-threaded estimate of pi = 3.141592643589326
Elapsed time = 1.001680e-01 seconds
Math library estimate of pi = 3.141592653589793
```

---

## Question 4.8

### 4.8.a

If the sequence of events in the question occurs, the program will fall into a DEAD LOCK. Since After time 0, *mut0* and *mut1* are both locked. In time 1, thread 0 is waiting for *mut1* to be unlocked and thread 1 is waiting for *mut0* to be unlocked. While there are no unlock of *mut0* or *mut1* between time 0 and time 1, the process will stuck here forever.

### 4.8.b

This will not happen on busy-waiting. There is no lock and unlock in busy-waiting. In busy-waiting, each thread executes in order, so that only after thread 0 finish all its work, thread 1 can start to read the memory.

### 4.8.c

Whether this will happen on semaphores depends. With a carefully management, dead lock can be avoid in semaphores. If we only have two semaphores on two memory address, *mut0* and *mut1*, dead lock will happen. If we have two semaphores array on both memory address and thread numbers, dead lock can be avoided.

## Question 4.11

### 4.11.a

Two deletes executed simultaneously

---

```
Thread 0 = delete A
Thread 1 = delete B
```

---

- If thread 0 and 1 are going to delete the same value and they are executed synchronous. When two thread reach the same node, after thread 0 free the node, thread 1 cannot free the node again.
- If thread 0 and 1 are going to delete different value ( $B > A$ ). Thread 0 reach to its target node before thread 1, then thread 0 delete the node but have not link the previous node to the next node. At this time, if thread

1 reach to the previous node, thread 1 will believe it is the end node of this array.

#### 4.11.b

An insert and a delete executed simultaneously

---

Thread 0 = insert  
Thread 1 = delete

---

- If thread 0 and 1 are going to work on the same value. Thread 1 may pass the value before thread 0 insert it.
- If thread 0 and 1 are going to work on different value ( $B > A$ ). Thread 0 reach to its target node before thread 1, then thread 0 insert the node but have not link the previous node to the next node. At this time, if thread 1 reach to this node and delete it. Thread 0 will not find the next\_pointer and thread 1 will treat this node as the end node of the array.
- If thread 0 and 1 are going to work on different value ( $B > A$ ). Thread 0 and 1 reach to its target at the same time, then thread 1 delete the node but have not link the previous node to the next node. At this time, thread 0 will not find the next\_pointer of this node and return an crash.

#### 4.11.c

A member and a delete executed simultaneously

---

Thread 0 = member  
Thread 1 = delete

---

- Thread 1 delete the member before thread 0 find the member.
- Thread 0 and 1 reach to the same node, then thread 1 free the node. Thread 0 will not find the object for further operations.

#### 4.11.d

Two inserts executed simultaneously

---

Thread 0 = insert A  
Thread 1 = insert B

---

- If thread 0 and 1 are going to insert the same value and they are executed synchronous. Two new node may created. Problem will happen if new\_node\_1 connect to the curr\_node and new\_node\_2 connect to the next\_node.

- If thread 0 and 1 are going to insert different value ( $B > A$ ). Thread 0 and 1 reach to the same node, then thread 0 insert a node but have not link to the next node. Thread 1 will jump to the inserted node and assume it is the end node of this array.

#### 4.11.e

An insert and a member executed simultaneously

---

```
Thread 0 = insert
Thread 1 = member
```

---

- If the value to insert and member is the same, thread 1 may miss the member while thread 0 insert it later.
- Thread 0 and 1 reach to the same node, then thread 0 insert a node but have not link to the next node. Thread 1 will jump to the inserted node and assume it is the end node of this array.

### Question 4.12

For the first phase (reading phase), read-lock is unnecessary and safe. As no writing will happen in the first phase, it is safe to lock the list using read-locks for the first phase.

For the second phase (writing phase), write-lock is necessary and safe. As multiple writing will happen in the second phase, it is safe to lock the list using write-locks for the first phase.

As both *insert* and *write* will cause a problem, without write-locks, that:

---

```
Thread 0 = insert
Thread 1 = delete
```

---

- If thread 0 and 1 are going to work on different value ( $B > A$ ). Thread 0 reach to its target node before thread 1, then thread 0 insert the node but have not link the previous node to the next node. At this time, if thread 1 reach to this node and delete it. Thread 0 will not find the next\_pointer and thread 1 will treat this node as the end node of the array.
- If thread 0 and 1 are going to work on different value ( $B > A$ ). Thread 0 and 1 reach to its target at the same time, then thread 1 delete the node but have not link the previous node to the next node. At this time, thread 0 will not find the next\_pointer of this node and return an crash.

## Question 4.13

In this question, I test on both the file `pth_ll_one_mut.c` and `pth_ll_mult_mut.c`, with 4 threads. For both code I have the following parameters:

---

```
Initial keys = 100000
Number of searches = 4000
Number of insert or delete = 1000
/* the reason to set Initial keys much larger than the number of
   operations is to minimize the influence of the array length
   changing in the whole process. */
```

---

The conclusion is as following:

- There is a difference in the overall run-times, and insert is more expensive than delete
- The difference between *insert* and *delete* is more significant in `pth_ll_one_mut.c` than `pth_ll_mult_mut.c`.
- Some bias may happen in this question since all-delete keep shorten the array and all-insert keep elongate the array. But since I set the initial length much more larger than the number of operations, I believe this bias is trivial.

### `pth_ll_one_mut.c`

---

```
How many keys should be inserted in the main thread?
100000
How many total ops should be executed?
5000
Percent of ops that should be searches? (between 0 and 1)
0.8
Percent of ops that should be inserts? (between 0 and 1)
0.2
Inserted 100000 keys in empty list
Elapsed time = 4.197669e+00 seconds
Total ops = 5000
member ops = 3995
insert ops = 1005
delete ops = 0
```

---

---

```
How many keys should be inserted in the main thread?
100000
How many total ops should be executed?
5000
Percent of ops that should be searches? (between 0 and 1)
0.8
```

```
Percent of ops that should be inserts? (between 0 and 1)
0
Inserted 100000 keys in empty list
Elapsed time = 3.110941e+00 seconds
Total ops = 5000
member ops = 3995
insert ops = 0
delete ops = 1005
```

---

## pth\_ll\_mult\_mut.c

---

```
How many keys should be inserted in the main thread?
100000
How many total ops should the threads execute?
5000
Percent of ops that should be searches? (between 0 and 1)
0.8
Percent of ops that should be inserts? (between 0 and 1)
0.2
Inserted 100000 keys in empty list
Elapsed time = 2.844780e+00 seconds
Total ops = 5000
member ops = 3995
insert ops = 1005
delete ops = 0
```

---

---

```
How many keys should be inserted in the main thread?
100000
How many total ops should the threads execute?
5000
Percent of ops that should be searches? (between 0 and 1)
0.8
Percent of ops that should be inserts? (between 0 and 1)
0
Inserted 100000 keys in empty list
Elapsed time = 2.785215e+00 seconds
Total ops = 5000
member ops = 3995
insert ops = 0
delete ops = 1005
```

---

## Question 4.16

If we assign the threads exactly the same way as in the textbook. It is not possible for false sharing happen between thread 0 and 2 or thread 0 and 3.

Since the length of a cache line is 8 doubles, and the gap between thread 0 and thread 2 is 2000 elements of  $y$ . The gap between thread 0 and thread 3 is 4000 elements of  $y$ .

## Question 4.17

### 4.17.a

The minimum number of cache lines that are needed to store the vector  $y$  is 1. (For the dual-core system is 2 cache lines, 1 cache line for each core).

### 4.17.b

The maximum number of cache lines that are needed to store the vector  $y$  is 2. (For the dual-core system is 4 cache lines, 2 cache lines for each core).

### 4.17.c

If the boundaries of cache lines always coincide with the boundaries of 8-byte doubles, there are 8 ways to assign  $y$  to cache lines.

---

1 2 3 4 5 6 7 8	
x 1 2 3 4 5 6 7	--  8 x x x x x x x
x x 1 2 3 4 5 6	--  7 8 x x x x x x x
x x x 1 2 3 4 5	--  6 7 8 x x x x x x x
x x x x 1 2 3 4	--  5 6 7 8 x x x x x x x
x x x x x 1 2 3	--  4 5 6 7 8 x x x x x x x
x x x x x x 1 2	--  3 4 5 6 7 8 x x x x x x x
x x x x x x x 1	--  2 3 4 5 6 7 8 x x x x x x x

---

### 4.17.d

There are three ways:

---

1 2	+	3 4
1 3	+	2 4
1 4	+	2 3

---

### 4.17.e

Yes. assignments of components to cache lines:

---

x x x x 1 2 3 4	--	5 6 7 8 x x x x
-----------------	----	-----------------

---

threads to processors:



---

Thread 0 --> processor 0 --> core 0  
Thread 1 --> processor 1 --> core 0  
Thread 2 --> processor 2 --> core 1  
Thread 3 --> processor 3 --> core 1

---

Threads' job on *y*:

---

Thread 0 --> *y*[0] and *y*[1]  
Thread 1 --> *y*[2] and *y*[3]  
Thread 2 --> *y*[4] and *y*[5]  
Thread 3 --> *y*[6] and *y*[7]

---

#### 4.17.f

8 different components to cache lines. 3 different threads to processors.

#### 4.17.g

Only one assignment (as the answer of 4.17.e) will result in no false sharing.