

The Theory about QuSim

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1 Time evolution problem

1.1 Eigen Method

The time evolution is straight forward if we can diagonal the hamiltonian matrix.

$$\psi(t + \Delta t) = \sum_i e^{-IE_i\Delta t} c_i \psi_i(t)$$

This method seems exact. However, the indeed we can't diagonal the matrix exactly and it's very time consuming to diagonal a matrix. Even, the after diagonal the matrix, it not very efficient to update the state, It's $O(N^2)$.

1.2 Split Method

We want to keep the evolution unitary. The first try would be

$$e^{t+v} = e^t e^v$$

where

$$t = -IT\Delta t/\hbar$$

$$v = -IV\Delta t/\hbar$$

It's straight froward to evaluate the e^t , e^v . To obtain higher precision. We have the approximation of order 2

$$e^{t+v} \approx e^{1/2v} e^t e^{1/2v}$$

It is of approximation of order 2. The proof is very straight forward. firstly, the exact expansion is

$$e^{t+v} = 1+t+v+1/2(t^2+tv+vt+v^2)+1/6(t^3+t^2v+tv^2+vt^2+vtv+v^2t+v^3)+O(v^nt^{4-n})$$

And the approximation expansion is

$$\begin{aligned} e^{1/2v}e^te^{1/2v} &= (1+1/2v+1/2(1/2v)^2+1/6(1/2v)^3)(1+t+1/2t^2+1/6t^3)\times \\ &\quad (1+1/2v+1/2(1/2v)^2+1/6(1/2v)^3)+O(v^nt^{4-n}) \\ &= (1+1/2v+1/8v^2+1/48v^3)(1+t+1/2t^2+1/6t^3)\times \\ &\quad (1+1/2v+1/8v^2+1/48v^3)+O(v^nt^{4-n}) \\ &= (1+t+1/2v+1/2vt+1/8v^2+1/2t^2+1/6t^3+1/4vt^2+1/8v^2t+1/48v^3)\times \\ &\quad (1+1/2v+1/8v^2+1/48v^3)+O(v^nt^{4-n}) \\ &= (1+t+1/2v+1/2vt+1/8v^2+1/2t^2+1/6t^3+1/4vt^2+1/8v^2t+1/48v^3) \\ &\quad + (1+t+1/2v+1/2vt+1/8v^2+1/2t^2)1/2v + (1+t+1/2v)1/8v^2 \\ &\quad + 1/48v^3 + O(v^nt^{4-n}) \\ &= 1+t+v+1/2(t^2+tv+vt+v^2) \\ &\quad + 1/6(t^3+v^3)+1/4t^2v+1/4vt^2+1/4vtv+1/8tv^2+1/8v^2t \end{aligned}$$

Basically, the term tv can not occur in $e^{1/2v}e^te^{1/2v}$. So it can not be better than order of 2. and approximation of order 4 (see https://en.wikipedia.org/wiki/Symplectic_integrator)is

$$e^{t+v} = e^{c_1v}e^{d_1t}e^{c_2v}e^{d_2t}e^{c_2v}e^{d_1t}e^{c_1v}$$

where

$$c_1 = 1/(2(2-2^{1/3}))$$

$$c_2 = (1-2^{1/3})/(2(2-2^{1/3}))$$

$$d_1 = 1/(2-2^{1/3})$$

$$d_2 = -2^{1/3}/(2-2^{1/3})$$

This kinds of methods called the split methods.

1.3 Gauss-Legendre Method

1.3.1 Forward Euler Method Will Fail

The most straight forward method for time step is the Forward Euler Method

$$\psi(t + \Delta t) = (1 - I\Delta t h)\psi(t)$$

In numerical methods two things we should take care of:

- the local time discrete error
- the numerical stability, numerical stability means the result are bounded

For the Forward euler method, the local time discrete error is $O(\Delta t^2)$

$$\psi(t + \Delta t) = (1 - I\Delta t h)\psi(t) + O(\Delta t^2)$$

We say this method is order 1.

Numerical stability requires

$$|(1 - I\Delta t h)\psi(t)| < (1 + \epsilon)|\psi(t)|$$

For every $\psi(t)$. for ϵ we need

$$(1 + \epsilon)^{T/\Delta t} - 1 \ll 1$$

or

$$\epsilon \ll \Delta t/T$$

we have

$$|(1 - I\Delta t h)\psi(t)| < (1 + \Delta t/T)|\psi(t)|$$

For a eigenstate of eigenenergy of e , we have

$$|1 - I\Delta t e| \leq (1 + \Delta t/T)$$

$$(\Delta t e)^2 \leq 2\Delta t/T$$

Because $T \gg \Delta t$, so

$$(\Delta t e)^2 \ll 1$$

For a discrete eystem. the max energy is $\hbar^2/2m/(\Delta x)^2$. So we need

$$\Delta t < (\hbar^2/2m(\Delta x)^2)^{-1}$$

The requirement for Δt is too strict. In summary, we can not use $1 + I\Delta t h$ to approximate $e^{I\Delta t h}$. because the behavior is too bad for high energy eigen state. the large $\Delta t h$ will ruin everything.

1.3.2 Backward Euler method

The Backward Euler method is defined as

$$\psi(t + \Delta t) = (1 + I\Delta th)^{-1}\psi(t)$$

This is an order one method. The stability requires

$$|(1 + I\Delta th)^{-1}\psi| < |(1 + \Delta t/T)\psi|$$

Because for each eigen state with eigen energy of E

$$|(1 + I\Delta tE)^{-1}/\hbar| < 1 < (1 + \Delta t/T)$$

This method is unconditionally stable. We will not use this method. In this method we need to inverse a hamiltonian matrix. We can do better with the similar amount of computation.

1.3.3 Gauss-Legendre Methods

Gauss-Legendre methods are implicit Runge-Kutta methods.

Let's take the Gauss-Legendre method of order two as an example. The Gauss-Legendre method of order two is also called implicit midpoint method. We don't describe the details of Gauss-Legendre Methods. We only conclude some key points here. The $\psi(t + \Delta t)$ is given by

$$\psi(t + \Delta t) = (1 + k_1\Delta t)\psi(t)$$

where k_1 should be solved from the following equation

$$k_1 = -Ih(t + 1/2\Delta t)(1 + 1/2k_1\Delta t)$$

The solution is

$$k_1 = ((-Ih(t + 1/2\Delta t))^{-1} - 1/2\Delta t)^{-1}$$

finally we have

$$\psi(t + \Delta t) = \frac{1 - 1/2I\Delta th(t + 1/2\Delta t)}{1 + 1/2I\Delta th(t + 1/2\Delta t)}\psi(t)$$

When h is time independent the evolution is simplified as

$$\psi(t + \Delta t) = \frac{1 - 1/2I\Delta th}{1 + 1/2I\Delta th}\psi(t)$$

With the result we can directly draw some conclusion

- The transform of each step is unitary matrix, so that the probability and energy are conserved.
- This is an order of two method.

$$\frac{1 - 1/2I\Delta th}{1 + 1/2I\Delta th} = 1 - I\Delta th - 1/2(h\Delta t)^2 + 1/4I(h\Delta t)^3 + O((h\Delta t)^4)$$

We can repeat the process for Gauss-legendre method of order of four or six. we have the following results

$$\begin{aligned}\psi(t + \Delta t) &= \frac{1 - 1/2I\Delta th - 1/12(\Delta th)^2}{1 + 1/2I\Delta th - 1/12(\Delta th)^2} \psi(t) \\ &= (1 - Ih\Delta t - 1/2(h\Delta t)^2 + 1/6(h\Delta t)^3 + 1/24(h\Delta t)^4 - 1/144(h\Delta t)^5 + O((h\Delta t)^6)) \psi(t)\end{aligned}$$

$$\begin{aligned}\psi(t + \Delta t) &= \frac{1 - 1/2I\Delta th - 1/10(\Delta th)^2 + 1/120I(\Delta th)^3}{1 + 1/2I\Delta th - 1/10(\Delta th)^2 - 1/120I(\Delta th)^3} \psi(t) \\ &= (1 - Ih\Delta t - 1/2(h\Delta t)^2 + 1/6I(h\Delta t)^3 + 1/24(h\Delta t)^4 - 1/120I(h\Delta t)^5 \\ &\quad - 1/720(h\Delta t)^6 + 1/4800I(h\Delta t)^7 + O((h\Delta t)^7)) \psi(t)\end{aligned}$$

Note the exactly expansion is

$$\begin{aligned}\exp^{-Ih\Delta t} &= 1 - Ih\Delta t - 1/2(h\Delta t)^2 + 1/6I(h\Delta t)^3 + 1/24(h\Delta t)^4 - 1/120I(h\Delta t)^5 \\ &\quad - 1/720(h\Delta t)^6 + 1/5040I(h\Delta t)^7 + O((h\Delta t)^7) \quad (1)\end{aligned}$$

The ‘GaussLegendreOrderFour.wl’ and ‘GaussLegendreOrderSix.wl’ finish the detailed work. We may have alternative method, For example

$$\begin{aligned}&\frac{1 - 1/2I\Delta th - 1/12(\Delta th)^2}{1 + 1/2I\Delta th - 1/12(\Delta th)^2} \\ &= \frac{(1 - 1/12(3I - \sqrt{3})h\Delta t)(1 - 1/12(3I + \sqrt{3})h\Delta t)}{(1 - 1/12(-3I - \sqrt{3})h\Delta t)(1 - 1/12(-3I + \sqrt{3})h\Delta t)} \\ &= \frac{(1 - 1/2I\Delta th - 1/12(\Delta th)^2)}{(1 - 1/12(-3I - \sqrt{3})h\Delta t)(1 - 1/12(-3I + \sqrt{3})h\Delta t)}\end{aligned}$$

The advantage is that, the h^2 term may introduce some problems, in the method later, we only need to inverse two matrix which are relative simple.

2 Initial Value Problem

For the initial value problem, the state at a point is a complex vector of dimension of two

$$y(x) = \begin{pmatrix} \psi(x) \\ \psi'(x) \end{pmatrix}$$

The schrodinger equation in matrix form is

$$dy = A(x)y$$

where

$$A(x) = dx \begin{pmatrix} 0 & 1 \\ -x \frac{2m}{\hbar^2} (E - V(x)) & 0 \end{pmatrix}$$

2.1 Runge-Kutta Method

Direct use of range-kutta method will be good choice. The only different from the time evolution problem is that, the potential will almost depend on the location, however, the hamiltonian will not depend on the time in a wide classes of case. We define the T matrix as

$$y(x + \Delta x) = Ty(x)$$

The implementation for explicit methods will be very straight forward. for the implicit it is worth to do some calculation manually for the speed and numerical accuray. For the implicit midpoint method

$$\begin{aligned} K &= A(1 + 1/2K) \\ T &= 1 + K \end{aligned}$$

where

$$A = A(x + 1/2\Delta x) = \Delta x \begin{pmatrix} 0 & 1 \\ -\frac{2m}{\hbar^2} (E - V(x + 1/2\Delta x)) & 0 \end{pmatrix}$$

It is actually a linear equation of dimension of four. It is worth to solve it by hands. The solution is

$$T = 1 + \frac{A}{1 - 1/2A}$$

We need only to inverse a matrix of dimension of two.

For the gauss-legendre of order of four

$$\begin{aligned} K_1 &= A_1(1 + a_{11}K_1 + a_{12}K_2) \\ K_2 &= A_2(1 + a_{21}K_1 + a_{22}K_2) \\ T &= 1 + b_1K_1 + b_2K_2 \end{aligned}$$

where

$$\begin{aligned} A_1 &= A(x + c_1\Delta x) \\ A_2 &= A(x + c_2\Delta x) \end{aligned}$$

It is actually a linear equation of dimension of 8. The solution is

$$T = 1 + b_1A_1(1 - a_{22}A_2 - a_{11}A_1 + (a_{22}a_{11} - a_{12}a_{21})A_2A_1)^{-1}(1 + (a_{12} - a_{22})A_2) + (1 \leftrightarrow 2)$$

and note

$$A_2A_1 = \Delta x \begin{pmatrix} -\frac{2m}{\hbar^2}(E - V(x_1)) & 0 \\ 0 & -\frac{2m}{\hbar^2}(E - V(x_2)) \end{pmatrix}$$

$$A_2A_1 \neq A_1A_2$$

The correctness checking can be found in `RungeKuttaInitialValueProblem`.
 w1. We need only to inverse a matrix of dimension of two. A delicate program is develope for the matrix of dimension of two. but the program can never be smart as man. the more work we did, the lesser calculation the computer need to do on run time.

2.2 Small round error

Finally we need to calculate

$$T_1T_2T_3\dots$$

each T_i is very close to unitary. We will lose some precision, because only the difference of T_i from 1 carry the information. The use full information were trucked in the floating architecture.

Let's focus the

$$T \leftarrow TT_i$$

The real change of T can be precisisely calculated from

$$T(T_i - 1)$$

However the change we really store in T is

$$(TT_i) - T$$

Latter is less precise, because of large round error. We can reduce the effect of round error by recording the difference.

$$T(T_i - 1) - ((TT_i) - T)$$

The new method is to use two matrix. one is for the main part, the other one is for the little part.

$$\text{BIG} \leftarrow \text{BIG}T_i \tag{2}$$

$$\text{LITTLE} \leftarrow \text{LITTLE}T_i + \text{BIG}(T_i - 1) - ((\text{BIG}T_i) - \text{BIG}) \tag{3}$$

And this is reason that we represent our result in the form $T_i = 1 + \dots$ without any implication. We need calculate $T_i - 1$ directly.

2.3 Probability current

The probability current is proportional to

$$\psi'\psi^* - \psi^{*\prime}\psi$$

or in matrix form

$$(\psi, \psi')^* \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \psi \\ \psi' \end{pmatrix}$$

After one step, the current will be

$$(\psi, \psi')^* T_i^\dagger \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} T_i \begin{pmatrix} \psi \\ \psi' \end{pmatrix}$$

for every $\begin{pmatrix} \psi \\ \psi' \end{pmatrix}$, we don't want the current change. so we need

$$T_i^\dagger \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} T_i = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

For Gauss-legendre order 2 and 4, above equation can be exactly satisfied. See `RungeKuttaInitialValueProblem.wl`

3 Scattering Problem

For scattering problem, we need to find a wave function, $\psi(r)$, that

$$\begin{aligned} (-\hbar^2/2m\nabla^2 + V)\psi &= E\psi \\ \psi(r \rightarrow \infty) &= \text{plane wave} + \text{scattering state wave function} \end{aligned}$$

or

$$\begin{aligned} (E - V - (-\hbar^2/2m)\nabla^2)\Delta\psi &= V\psi_0 \\ \Delta\psi(r \rightarrow \infty) &= \text{scattering state wave function} \end{aligned} \quad (4)$$

where ψ_0 is the incident wave function, a plane wave function. The $\Delta\psi = \psi - \psi_0$ is the scattering wave function.

3.1 Absorbtion layer

Because we can only solve the problem in finite space. If we solve the Eq. 4, directly ignoring the requirement of boundary condition. It will be a absolutely a mistake. for example, we are using the periodic boundary condition, breaking down the Eq. 5. Then the scattering wave function will go through the boundary can reach the scattering potential again. In d dimensional, total amplitude would be

$$\sim \sum_i r_i^{-(d-1)/2} \sim \int dr r^{(d-1)} r^{-(d-1)/2} \sim \int dr r^{(d-1)/2} \rightarrow \infty$$

We can not even obtain a approximate result. We have the same satiation for infinite wall boundary condition.

The key idea to resolve the problem, it to add a absorbtion layer at the boundary. A perfect absorbtion layer should only absorb 100% wave function without any reflection. The absorbtion layer should not overlap with potential. To add the absorbtion layer, we need add the absorbtion term to the potential.

$$IV_{\text{abs}}$$

and the equation will be

$$(E - V - IV_{\text{abs}} - (-\hbar^2/2m)\nabla^2)\Delta\psi = V\psi_0 \quad (6)$$

$$\Delta\psi(r \rightarrow \text{boundary}) = \text{periodic or infinite wall} \quad (7)$$

where $V_{\text{abs}} < 0$. No need adding V_{abs} to right side of the equation. Let's discuss the solution of Eq. 6 and Eq. 9.

- In the region of potential, because there is no absorbtion layer. Thus Eq. 4 will be stratified.
- and in the region outside of the potential, there is no source of wave function. whatever boundary condition, periodic or infinite wall is used. the scattering state wave function will be absorbed at the boundary and the incoming state wave function can't come from the absorbtion layer. thus only scattering state wave can exist. Thus Eq. 5 will be stratified.

Finally we can conclude the scattering solution is found.

3.2 Inverse Matrix Method

To solve the Eq. 6. We can descibes the space, and inverse the spare matrix directly. We call this method Inverse matrix method.

3.2.1 Precise Small Wave Function

When $\Delta\psi$ is small, the Eq. 6 will result in good result. however, when the potential is very strong, the ψ could be very small. So the $\Delta\psi$ is very close to $-\psi_0$. We will lose precision, If we don't record the ψ directly. besides the round error, $(E - (-\hbar^2/2m)\nabla^2)\psi_0 \neq 0$ will also cause problem. Let's assume $V > E$ is constant and ignoring V_{abs} (we are considering the potential region.). we can expect $\psi = 0$. Now we can find a plane wave solution for $\Delta\psi$

$$\Delta\psi = -V\psi_0/(V - E' + E) \neq -\psi_0$$

where $E' = \hbar^2 k'^2/(2m)$ where $k'^2\psi_0 = -\nabla^2\psi_0$ note $k' \neq k$, because of the discrete error. Finally, we can say $\psi_0 + \Delta\psi \neq 0$, not as expect.

We can overcome the problem. by rewrite Eq. 6 to

$$(E - V - IV_{\text{abs}} - (-\hbar^2/2m)\nabla^2)\psi = -IV_{\text{abs}}\psi_0 \quad (8)$$

$$(9)$$

It is obvious that the plane wave solution is 0 for $V_{\text{abs}} = 0$. But now problem arise. We just can not decries the $\Delta\psi$ precisely at the boundary. And we will has more reflection due to round error and discrete error.

3.3 Perturbation

We can think the potential is a perturbation of the free system.

3.3.1 Naive Born series

In the naive born series, I want to simulate the way theorist did. A homogeneous absorption potential $-I\epsilon$ are added to the left side of equation. We will not add the absorption potential because we thought the $V\psi_0$ to be as a single term as the source. The equation is

$$(E - V + I\epsilon - (-\hbar^2/2m)\nabla^2)\Delta\psi \approx V\psi_0$$

The iterative solution is

$$(E + I\epsilon - (-\hbar^2/2m)\nabla^2)\Delta\psi = V(\psi_0 + \Delta\psi) \quad (10)$$

It looks like adding $I\epsilon$ to energy. The solution is

$$e^{Ik_c x}, k_c = \sqrt{2m(E + I\epsilon)}/\hbar = k(1 + (1/2)\epsilon/E)$$

The absorption will overlap with potential. we need the absorption layer is thin enough, and thus the wave function will not be absorbed much in potential region. However, we need also the size of space large enough to let wave function to be absorbed at the boundary.

In theoretical treatment, we calculate the $\Delta\psi$ analytically with $L_{\text{space}} = +\infty$ and finite ϵ . **Then** let $\epsilon \rightarrow 0$ in the final of the calculation.

Four numerical computation, we need

$$kL_{\text{potential}}\epsilon/E \ll 1,$$

$$kL_{\text{space}}\epsilon/E \gg 1.$$

Let's define

$$G_0 = (E + I\epsilon - (-\hbar^2/2m)\nabla^2)^{-1}$$

Thus

$$\Delta\psi \approx G_0(V\psi_0 + V\Delta\psi)$$

3.3.2 Preconditioner

In the Naive Born series, the precision is limited by the finite size of ϵ . We want ϵ can be sufficiently small, however this need the size of space to be extremal large. To reduce the size of space, we need the absorbtion layer at the boundary.

We rewrite the equation as

$$(E - (-\hbar^2/2m)\nabla^2)\Delta\psi = V\psi_0 + (V + IV_{\text{abs}})\Delta\psi \quad (11)$$

To let term in $()$ invertable numerically, we add the $I\epsilon\Delta\psi$ term to both side of the equation

$$(E + I\epsilon - (-\hbar^2/2m)\nabla^2)\Delta\psi = V\psi_0 + (V + IV_{\text{abs}} + I\epsilon)\Delta\psi$$

The ϵ need not be very small. and It should not be very small. so that the left side can be invertible. Thus

$$\Delta\psi = G_0(V\psi_0 + (V + IV_{\text{abs}} + I\epsilon)\Delta\psi)$$

But this iterative solution may not converge. The trick here is that we use a mix of new $\Delta\psi$ and the old $\Delta\psi$.

$$\Delta\psi = \gamma(x)G_0(V\psi_0 + (V + IV_{\text{abs}} + I\epsilon)\Delta\psi) + (1 - \gamma(x))\Delta\psi$$

It is obviously the fix-point don't change. for a bias $\delta\psi$ of $\Delta\psi$. then the update equation of $\delta\psi$ is

$$\delta\psi = \gamma(x)G_0(V + IV_{\text{abs}} + I\epsilon)\delta\psi + (1 - \gamma(x))\delta\psi$$

The necessary and sufficient condition that iteration converge is that for any ψ

$$|\psi^\dagger M \psi| < |\psi^\dagger \psi|$$

where

$$M = \gamma G_0(V + IV_{\text{abs}} + I\epsilon) + (1 - \gamma)$$

Let's do some calculation, firstly

$$G_0 = F^{-1} \frac{1}{E - E_k + I\epsilon} F$$

where F and F^{-1} is Fourier transform. Note

$$\frac{1}{E - E_k + I\epsilon} = \frac{1}{2I\epsilon} \left(1 - \frac{E - E_k - I\epsilon}{E - E_k + I\epsilon} \right)$$

Thus

$$G_0 = \frac{1}{2I\epsilon} - \frac{1}{2I\epsilon} F^{-1} \frac{E - E_k - I\epsilon}{E - E_k + I\epsilon} F$$

The part $F^{-1} \frac{E - E_k - I\epsilon}{E - E_k + I\epsilon} F$ is an unitary operator. we denote it as U . Now we get

$$M = \gamma \frac{1}{2I\epsilon} (1 - U)(V + IV_{\text{abs}} + I\epsilon) + 1 - \gamma$$

$$M = 1 - \gamma + \gamma \frac{1}{2I\epsilon} (V + IV_{\text{abs}} + I\epsilon) - \gamma \frac{1}{2I\epsilon} U(V + IV_{\text{abs}} + I\epsilon)$$

If we don't have any knowledge about U , it seems we will have the worse case when the two vector 'aligned'.

$$|1 - \gamma + \gamma \frac{1}{2I\epsilon} (V + IV_{\text{abs}} + I\epsilon)| + |\gamma \frac{1}{2I\epsilon} (V + IV_{\text{abs}} + I\epsilon)| < 1$$

- Identity. $\gamma = 1$. The iteration with this preconditioner is also called the unconditional iteration. the requirement become

$$|1 - I(V + IV_{\text{abs}})/\epsilon| < 1$$

the requirement can't be satisfied. But it don't mean the iteration will not converge, It just means it can't satisfy the sufficient condition of convergence. Indeed, by numerical test, the iteration can converge, for a range of potential, with the this practitioner.

- Vellekoop. $\gamma = 1 - I(V + IV_{\text{abs}})/\epsilon$. See A convergent Born series for solving the inhomogeneous Helmholtz equation in arbitrarily large media. the requirement is

$$|I\alpha + 1/2(1 - I\alpha)^2| + |1/2(1 - I\alpha)^2| < 1$$

$$|1/2 - |\alpha|^2| + |\alpha|\text{Im}\alpha + 1/2(1 + |\alpha|^2 - 2\text{Im}\alpha) < 1$$

Thus we need

$$|\alpha| < 1$$

$$\text{Im}\alpha > 0$$

- Hao1. $\gamma = 1 - I(V - IV_{\text{abs}})/\epsilon$.
- Hao2. $\gamma = 2/(1 + I(V + IV_{\text{abs}})/\epsilon)$.

The preconditioners are chosen for reasons.

3.3.3 Slow Factor

Slow factor is to scale the γ factor globally.

$$\Delta\psi = f_{\text{slow}}\gamma(x)G_0(V\psi_0 + (V + V_{\text{abs}} + I\epsilon)\Delta\psi) + (1 - f_{\text{slow}}\gamma(x))\Delta\psi$$

When the oscillation occur, given a smaller slow factor may resolve the problem.

3.4 Scattering Cross-section

After we obtain the $\Delta\psi$ in the potential region. we need to calculate the scattering wave function at infinity. this is done by

$$(E + I\epsilon - (-\hbar^2/2m)\nabla^2)\Delta\psi = V(\psi_0 + \Delta\psi) \quad (12)$$

where $\epsilon \rightarrow +0$. this is done by theoretical calculation. Let's define

$$(E + I\epsilon - (-\hbar^2/2m)\nabla^2)\psi_\delta(r) = \delta(r)$$

Then

$$\Delta\psi(r) = \int dr' \psi_\delta(r - r')V(r')(\psi_0(r') + \Delta\psi(r'))$$

In the perturbation section, we use this iterative formula, because this is not efficient and it's problematic for short distance point. But if we want to calculate several points at infinity. The un-efficiency will not cause any problem.

- 1D. Note

$$\delta(r) = \frac{1}{2\pi} \int e^{irk} dk$$

Then

$$\psi_\delta(r) = \frac{1}{2\pi} \int e^{irk} / (E + I\epsilon - (-\hbar^2/2m)k^2) dk$$

The integral is

$$\psi_\delta(r) = \frac{m}{I\hbar p_{\text{complex}}} e^{I|r|p_{\text{complex}}/\hbar}$$

where

$$p_{\text{complex}} = \sqrt{2m(E + I\epsilon)}$$

with $\epsilon \rightarrow 0$

$$\psi_\delta(r) = \frac{m}{I\hbar^2 k} e^{I|r|k}$$

Thus

$$\Delta\psi(r) = \frac{m}{I\hbar^2 k} \int dr' e^{I|r-r'|k} V(r') (\psi_0(r') + \Delta\psi(r'))$$

For reflection, If the particle come from left

$$\Delta\psi(-\infty) \sim \frac{m}{I\hbar^2 k} \int dr' e^{Ir'k} V(r') (\psi_0(r') + \Delta\psi(r'))$$

If the particle come from right

$$\Delta\psi(+\infty) \sim \frac{m}{I\hbar^2 k} \int dr' e^{-Ir'k} V(r') (\psi_0(r') + \Delta\psi(r'))$$

For the tunneling, If the particle come from left

$$\Delta\psi(+\infty) \sim \frac{m}{I\hbar^2 k} \int dr' e^{-Ir'k} V(r') (\psi_0(r') + \Delta\psi(r')) + \psi_0(0)$$

If the particle come from right

$$\Delta\psi(+\infty) \sim \frac{m}{I\hbar^2 k} \int dr' e^{+Ir'k} V(r') (\psi_0(r') + \Delta\psi(r')) + \psi_0(0)$$

note the original wave function need to be added. This is a special treatment in one dimension.

- 2D. Rewrite the equation to the form

$$(\nabla^2 + k_0^2)\psi = 2m/\hbar^2 V(\psi_0 + \Delta\psi)$$

The solution (See wikipedia https://en.wikipedia.org/wiki/Helmholtz_equation) is

$$\Delta\psi(r) = -2m/\hbar^2 \int dr' \frac{IH_0^{(1)}(k|r-r'|)}{4} V(r') (\psi_0(r') + \Delta\psi(r'))$$

And note

$$H_0^{(1)}(z \rightarrow \infty) = \sqrt{\frac{2}{\pi z}} e^{I(z-\pi/4)}$$

thus

$$\Delta\psi(r \sim \infty) \sim \frac{m}{\hbar^2 \sqrt{2\pi k r}} \int dr' e^{-ik e_r \cdot r'} V(r') (\psi_0(r') + \Delta\psi(r'))$$

the cross-section is

$$\frac{d\sigma}{d\theta} = \left(\frac{1}{\psi_0(0)} \frac{m}{\hbar^2 \sqrt{2\pi k}} \int dr' e^{-ik e_r \cdot r'} V(r') (\psi_0(r') + \Delta\psi(r')) \right)^2$$

- 3D. Rewrite the equation to the form

$$(\nabla^2 + k_0^2)\psi = 2m/\hbar^2 V(\psi_0 + \Delta\psi)$$

The solution (See wikipedia https://en.wikipedia.org/wiki/Helmholtz_equation) is

$$\Delta\psi(r) = -2m/\hbar^2 \int dr' \frac{e^{ik|r-r'|}}{4\pi|r-r'|} V(r') (\psi_0(r') + \Delta\psi(r'))$$

$$\Delta\psi(r \sim \infty) \sim \frac{m}{2\pi\hbar^2 r} \int dr' e^{-ik e_r \cdot r'} V(r') (\psi_0(r') + \Delta\psi(r'))$$

The cross-section is

$$\frac{d\sigma}{d\Omega} = \left(\frac{1}{\psi_0(0)} \frac{m}{2\pi\hbar^2} \int dr' e^{-ik e_r \cdot r'} V(r') (\psi_0(r') + \Delta\psi(r')) \right)^2$$

4 Cross-check with theoretical result of first-order

The fermi golden rule

$$\Gamma_{i \rightarrow f} = \frac{2\pi}{\hbar} \rho_E |\langle \psi_f | V | \psi_i \rangle|^2$$

4.1 3D Dimension

In 3D,

$$\rho_E = \frac{\frac{V}{(2\pi\hbar)^3} p^2 d\Omega dp}{\frac{p dp}{m}} = \frac{mV}{(2\pi\hbar)^3} p d\Omega$$

Box normalization:

$$\psi_i(r) = \frac{1}{\sqrt{V}} e^{ik_i r}$$

$$\psi_i(r) = \frac{1}{\sqrt{V}} e^{ik_f r}$$

$$\langle \psi_f | V(r) | \psi_i \rangle = \frac{1}{V} \int dr V(r) e^{i(k_f - k_i)r}$$

$$\Gamma_{i \rightarrow f} = \frac{m p d\Omega}{(2\pi\hbar^2)^2 V} \left| \int dr V(r) e^{i(k_f - k_i)r} \right|^2$$

$$\Gamma_{i \rightarrow f} = \frac{d\sigma}{d\Omega} \frac{p}{m} |\psi_i|^2 d\Omega$$

$$\frac{d\sigma}{d\Omega} = \frac{m^2}{(2\pi\hbar^2)^2} \left| \int dr V(r) e^{i(k_f - k_i)r} \right|^2$$

For $V(r) = V_0 e^{-\alpha r^2}$

$$\int dr V(r) e^{i(k_f - k_i)r} = V_0 \left(\frac{\pi}{\alpha}\right)^{3/2} e^{-(k_i - k_f)^2 / (4\alpha)}$$

4.2 2D Dimension

In 2D,

$$\rho_E = \frac{\frac{V}{(2\pi\hbar)^2} p d\Omega dp}{\frac{p dp}{m}} = \frac{mV}{(2\pi\hbar)^2} d\Omega$$

Box normalization:

$$\psi_i(r) = \frac{1}{\sqrt{V}} e^{ik_i r}$$

$$\psi_i(r) = \frac{1}{\sqrt{V}} e^{ik_f r}$$

$$\langle \psi_f | V(r) | \psi_i \rangle = \frac{1}{V} \int dr V(r) e^{i(k_f - k_i)r}$$

$$\Gamma_{i \rightarrow f} = \frac{md\Omega}{2\pi\hbar^3 V} \left| \int dr V(r) e^{i(k_f - k_i)r} \right|^2$$

$$\Gamma_{i \rightarrow f} = \frac{d\sigma}{d\Omega} \frac{p}{m} |\psi_i|^2 d\Omega$$

$$\frac{d\sigma}{d\Omega} = \frac{m^2}{2\pi\hbar^3 p} \left| \int dr V(r) e^{i(k_f - k_i)r} \right|^2$$

For $V(r) = V_0 e^{-\alpha r^2}$

$$\int dr V(r) e^{i(k_f - k_i)r} = \frac{V_0 \pi}{\alpha} e^{-(k_i - k_f)^2 / (4\alpha)}$$

5 Finite Difference

See <https://web.media.mit.edu/~crtaylor/calculator.html> .

5.1 Derivative Order of Two

For exact Hamiltonian, for eigen value of E the momentum is

$$p = \sqrt{2mE}$$

This is not true for the discrete Hamiltonian. We assume for $e^{ik_0 x}$, the eigen value of Hamiltonian is E . Note $k_0 \neq \sqrt{2mE}/\hbar$. Normally, k_0 is slightly larger than $\sqrt{2mE}/\hbar$. We will use k_0 to initialize the ψ_0 . Note for scattering problem, in the region of $V = 0$ and $V_{abs} = 0$, the equation will be

$$(E - T)\Delta\psi = 0$$

The plane wave solution for $\Delta\psi$ has wave vector of k_0 . Because we initialize ψ_0 with wave vector of k_0 , We will have long range accurate interference between ψ_0 and $\Delta\psi$, thus relative accurate ψ in a wide space range.

Order of 2:

$$\frac{\partial^2 f}{\partial x^2} = \frac{\sum_i C_{DO(2)}^{O(2)}(i) f(x - ih)}{h^2}$$

$$C_{DO(2)}^{O(2)} = 1, -2, 1$$

Energy calculated from above formula with e^{ikx} is

$$\left(\frac{1}{3} \sin^2(hk/2)(7 - \cos(hk)) \right) \hbar^2/h^2/m \approx ((hk)^2/2 - (hk)^4/24) \hbar^2/h^2/m$$

Order of 4:

$$\frac{\partial^2 f}{\partial x^2} = \frac{\sum_i C_{DO(2)}^{O(4)}(i) f(x - ih)}{h^2}$$

$$C_{DO(2)}^{O(4)} = -1/12, 16/12, -30/12, 16/12, -1/12$$

$$\left(\frac{1}{45} \sin^2(hk/2)(-23 \cos(kh) + 2 \cos(2dk) + 111) \right) \hbar^2/h^2/m$$

Energy calculated from above formula with e^{ikx} is

$$(2 \sin^2(hk/2)) \hbar^2/h^2/m \approx ((hk)^2/2 - (hk)^6/180) \hbar^2/h^2/m$$

Order of 6:

$$\frac{\partial^2 f}{\partial x^2} = \frac{\sum_i C_{DO(2)}^{O(6)}(i) f(x - ih)}{h^2}$$

$$C_{DO(2)}^{O(6)} = 2/180, -27/180, 270/180, -490/180, 270/180, -27/180, 2/180$$

Energy calculated from above formula with e^{ikx} is

$$\left(\frac{1}{45} \sin^2(hk/2)(-23 \cos(kh) + 2 \cos(2dk) + 111) \right) \hbar^2/h^2/m \approx ((hk)^2/2 - (hk)^8/1120) \hbar^2/h^2/m$$

6 Discrete Fourier Transform

6.1 1D

1D DFT definition:

$$\text{DFT}[\psi_n] = \sum_{i=0}^{N-1} e^{-i2\pi kn/N} \psi_n$$

$$\text{InvDFT}[\psi_k] = \sum_{i=0}^{N-1} e^{+i2\pi kn/N} \psi_k$$

Note:

$$\text{InvDFT}[\text{DFT}[\psi_n]] = N\psi_n$$

7 Fast Fourier Transform and Others

$$-\hbar^2/(2m)\nabla^2\psi = i\hbar\partial_t\psi$$

$$\psi_p(x) = e^{i/\hbar(px-p^2/(2m)t)}$$

$$\Psi(x) = \int dp \phi_x(p) \psi_p(x)$$

Note

$$\int dx \psi_{p'}^*(x) \psi_p(x) = e^{i/\hbar((p'^2-p^2)/(2m)t)} 2\pi\delta(1/\hbar(p-p')) = 2\pi\hbar\delta(p-p')$$

$$\phi_x(p) = \frac{1}{2\pi\hbar} \int dx \psi_p^*(x) \Psi(x)$$

the solution for any time:

$$\Psi(x, t) = \int dp \frac{1}{2\pi\hbar} \int dy \psi_p^*(y) \Psi(y) \psi_p(x, t)$$

$$\Psi(x, t) = \int dy \Psi(y) \int dp \frac{1}{2\pi\hbar} \psi_p^*(y) \psi_p(x, t)$$

$$\Psi(x, t) = \int dy \Psi(y) \int dp \frac{1}{2\pi\hbar} e^{i(p(x-y)/\hbar - p^2 t/(2m\hbar))}$$

$$T = t/(m\hbar)$$

$$\Psi(x, t) = \int dy \Psi(y) \frac{1}{2\pi\hbar} (1-i) e^{i(x-y)^2/(2T\hbar^2)} \sqrt{\pi/T}$$

For space a

$$-\hbar^2/(2ma^2)(\psi(x+a) + \psi(x-a) - 2\psi(x))\psi = i\hbar\partial_t\psi$$

$$\psi_p(x) = e^{i/\hbar(px - \hbar^2(1-\cos(ap/\hbar))/(ma^2)t)}$$

$$\psi_p(x) = e^{i/\hbar(px - (p^2/(2m))t)}$$

$$0 \leq p < 2\pi/a$$

$$\sum_{x_i} \psi_{p'}^*(x_i) \psi_p(x_i) = e^{i/\hbar(\hbar^2(1-\cos(ap'/\hbar)) - \hbar^2(1-\cos(ap/\hbar))/(ma^2)t)} \sum_{x_i} e^{i(p-p')x_i/\hbar} = 2\pi\delta(1/\hbar(p-p'))$$

$$x_i = ai$$

$$\phi_x(p) = \frac{1}{2\pi\hbar} \sum_{x_i} \psi_p^*(x_i) \Psi(x_i)$$

$$\Psi(x,t) = \sum_{y_i} \Psi(y_i) \int dp \frac{1}{2\pi\hbar} e^{ip(x-y)/\hbar - i\hbar(1-\cos(ap/\hbar))/(ma^2)t}$$

$$g(k) = \int dx f(x) \exp(ikx)$$

$$f(x) = \frac{1}{2\pi} \int dk g(k) \exp(-ikx)$$

$$f(x) = \sum_j \delta(x - ja)$$

$$g(k) = \int dx \sum_j \delta(x - ja) \exp(ikx) = \sum_j \exp(ijk a)$$

$$f(x) = \sum_j \delta(x - ja) = \frac{1}{2\pi} \int dk g(k) \exp(-ikx)$$

$$g(k) = 1/(2\pi a) \sum_n \delta(k + 2\pi n/a)$$

8 Infinite Wall

Eigen state

$$\psi_k(j) = \sin(jk\pi/N)$$

$$j = 0..N-1$$

$$k = 0 \dots N - 1$$

Eigen value

$$p_k = k\pi/(Na)$$

$$\sum_j \psi_j(k) \psi_j(k) = N/2$$

$$\sum_j \psi_j(k) \psi_j(k) = \sum_{0 \leq j < N} \sin^2(jk\pi/N) = \sum_{0 \leq j < N} 1/2(1 - \cos(2jk\pi/N)) = N/2$$

$$\sum_j \psi_j(k_1) \psi_j(k_2) = \delta_{k_1, k_2} N/2$$

$$\Psi(j) = \sum_{k=1}^{N-1} \Phi(k) \psi_k(j)$$

$$\Phi(k) = (2/N) \sum_j \Psi(j) \psi_k(j)$$

$$\Phi(k) = (2/N) \sum_j \Psi(j) \sin(jk\pi/N)$$

$$\Phi(k) = (1/(Ni)) \sum_j \Psi(j) (\exp(ijk\pi/N) - \exp(-ijk\pi/N))$$

$$\Phi(k) = (1/(Ni)) \sum_j \Psi(j) (\exp(ijk2\pi/2N) - \exp(i(2N-j)k2\pi/2N))$$

$$\Phi(k) = \frac{1}{Ni} \left(\sum_j^{N-1} \Psi(j) \exp(ijk2\pi/2N) - \sum_{j=N+1}^{2N} \Psi(2N-j) \exp(jk2\pi/2N) \right)$$

by

$$\Phi(0) = 0$$

by define

$$\Phi(N) = 0$$

$$\Phi(k) = \frac{1}{Ni} \left(\sum_j^{N-1} \Psi(j) \exp(ijk2\pi/2N) - \sum_{j=N}^{2N-1} \Psi(2N-j) \exp(ijk2\pi/2N) \right)$$

$$\Phi(k) = \frac{1}{Ni} \left(\sum_{j=0}^{2N-1} \Psi'(j) \exp(ijk2\pi/2N) \right)$$

$$\Psi'(j) = \Psi(j) (j \leq N-1)$$

$$\Psi'(j) = 0 (j = N)$$

$$\Psi'(j) = -\Psi(2N-j) (j > N)$$

$$\Phi(k) = \frac{1}{iN} \text{invfft}(2N, \Psi'(j), k)$$

$$\Psi(j) = \sum_{k=1}^{N-1} \Phi(k) \sin(jk\pi/N)$$

$$\Psi(j) = \frac{1}{2i} \text{invfft}(2N, \Phi'(k), j)$$