

# 用于大规模图像识别的非常深的卷积神经网络

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## ABSTRACT

在这项工作中, 我们研究了在大规模图像识别环境中卷积神经网络深度对其准确性的影响. 我们的主要贡献是使用非常小的 ( $3 \times 3$ ) 卷积核的架构对逐渐增加深度的网络进行了全面的评估, 结果显示, 通过将深度增加至 16–19 个权重层, 可以显著改进先前的配置. 这些发现成为我们在 **ImageNet** 挑战赛 2014 中提交的基础, 我们团队在定位和分类任务中分别获得了第一名和第二名. 我们还展示了我们的表示在其他数据集上具有很好的泛化能力, 在这些数据集上取得了最先进的结果. 我们已经公开提供了我们两个表现最佳的 ConvNet 模型, 以促进深度视觉表示在计算机视觉中的进一步研究.

## 1 引言

卷积神经网络 (ConvNets) 在大规模图像和视频识别方面取得了巨大的成就 (Krizhevsky et al., 2012; Zeiler & Fergus, 2013; Sermanet et al., 2014; Simonyan & Zisserman, 2014), 这得益于大型公共图像仓库, 如 ImageNet (Deng et al., 2009), 和高性能计算系统, 如 GPUs 或大规模分布式集群 (Dean et al., 2012). 特别是, ImageNet 大规模视觉识别挑战赛 (ILSVRC) (Russakovsky et al., 2014) 在深度视觉识别架构的发展中扮演了重要角色, 它为几代大规模图像分类系统提供了测试平台, 从高维浅层特征编码 (Perronnin et al., 2010) (ILSVRC-2011 的获胜者) 到深度 ConvNets (Krizhevsky et al., 2012) (ILSVRC-2012 的获胜者).

随着 ConvNets 在计算机视觉领域越来越普及, 为了达到更高的准确性, 已经进行了许多尝试来改进 Krizhevsky et al. (2012) 的原始架构. 例如, ILSVRC-2013 中表现最佳的提交作品 (Zeiler & Fergus, 2013; Sermanet et al., 2014) 使用更小的感受野窗口大小和更小的第一个卷积层的步长. 另一方面的改进涉及在整个图像和多个尺度上密集地训练和测试网络 (Sermanet et al., 2014; Howard, 2014). 在本文中, 我们解决了 ConvNet 架构设计的另一个重要方面——深度. 为此, 我们固定架构的其他参数, 并通过添加更多的卷积层逐渐增加网络的深度, 由于在所有层中都使用非常小 ( $3 \times 3$ ) 的卷积核, 这是可行的.

因此, 我们得到了准确性显著提高的 ConvNet 架构, 不仅在 ILSVRC 分类和定位任务上实现了最先进的准确性, 而且适用于其他图像识别数据集, 甚至用作相对简单的流水线

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的一部分 (e.g. 深度特征, 使用线性 SVM 分类而没有微调) 也取得出色的性能. 我们已经发布了我们两个表现最佳的模型<sup>1</sup> 以促进进一步的研究.

本文的其余部分组织如下. 在第 2 节中, 我们描述了我们的 ConvNet 配置. 然后, 在第 3 节中介绍了图像分类训练和评估的细节, 并在第 4 节中对 ILSVRC 分类任务上的配置进行了比较. 第 5 节对全文进行了总结. 为了完整起见, 我们还在附录 A 中描述和评估了我们的 ILSVRC-2014 目标定位系统, 并在附录 B 中讨论了非常深的特征在其他数据集上的泛化能力. 最后, 附录 C 包含了主要的论文修订清单.

## 2 CONVNET 配置

To measure the improvement brought by the increased ConvNet depth in a fair setting, all our ConvNet layer configurations are designed using the same principles, inspired by [Ciresan et al. \(2011\)](#); [Krizhevsky et al. \(2012\)](#). In this section, we first describe a generic layout of our ConvNet configurations (第 2.1 节) and then detail the specific configurations used in the evaluation (第 2.2 节). Our design choices are then discussed and compared to the prior art in 第 2.3 节.

### 2.1 架构

During training, the input to our ConvNets is a fixed-size  $224 \times 224$  RGB image. The only pre-processing we do is subtracting the mean RGB value, computed on the training set, from each pixel. The image is passed through a stack of convolutional (conv.) layers, where we use filters with a very small receptive field:  $3 \times 3$  (which is the smallest size to capture the notion of left/right, up/down, center). In one of the configurations we also utilise  $1 \times 1$  convolution filters, which can be seen as a linear transformation of the input channels (followed by non-linearity). The convolution stride is fixed to 1 pixel; the spatial padding of conv. layer input is such that the spatial resolution is preserved after convolution, i.e. the padding is 1 pixel for  $3 \times 3$  conv. layers. Spatial pooling is carried out by five max-pooling layers, which follow some of the conv. layers (not all the conv. layers are followed by max-pooling). Max-pooling is performed over a  $2 \times 2$  pixel window, with stride 2.

A stack of convolutional layers (which has a different depth in different architectures) is followed by three Fully-Connected (FC) layers: the first two have 4096 channels each, the third performs 1000-way ILSVRC classification and thus contains 1000 channels (one for each class). The final layer is the soft-max layer. The configuration of the fully connected layers is the same in all networks.

All hidden layers are equipped with the rectification (ReLU ([Krizhevsky et al., 2012](#))) non-linearity. We note that none of our networks (except for one) contain Local Response Normalisation (LRN) normalisation ([Krizhevsky et al., 2012](#)): as will be shown in 第 4 节, such normalisation does not improve the performance on the ILSVRC dataset, but leads to increased

<sup>1</sup>[http://www.robots.ox.ac.uk/~vgg/research/very\\_deep/](http://www.robots.ox.ac.uk/~vgg/research/very_deep/)

memory consumption and computation time. Where applicable, the parameters for the LRN layer are those of (Krizhevsky et al., 2012).

## 2.2 配置

The ConvNet configurations, evaluated in this paper, are outlined in 表 1, one per column. In the following we will refer to the nets by their names (A–E). All configurations follow the generic design presented in 第 2.1 节, and differ only in the depth: from 11 weight layers in the network A (8 conv. and 3 FC layers) to 19 weight layers in the network E (16 conv. and 3 FC layers). The width of conv. layers (the number of channels) is rather small, starting from 64 in the first layer and then increasing by a factor of 2 after each max-pooling layer, until it reaches 512.

In 表 2 we report the number of parameters for each configuration. In spite of a large depth, the number of weights in our nets is not greater than the number of weights in a more shallow net with larger conv. layer widths and receptive fields (144M weights in (Sermanet et al., 2014)).

## 2.3 讨论

Our ConvNet configurations are quite different from the ones used in the top-performing entries of the ILSVRC-2012 (Krizhevsky et al., 2012) and ILSVRC-2013 competitions (Zeiler & Fergus, 2013; Sermanet et al., 2014). Rather than using relatively large receptive fields in the first conv. layers (e.g.  $11 \times 11$  with stride 4 in (Krizhevsky et al., 2012), or  $7 \times 7$  with stride 2 in (Zeiler & Fergus, 2013; Sermanet et al., 2014)), we use very small  $3 \times 3$  receptive fields throughout the whole net, which are convolved with the input at every pixel (with stride 1). It is easy to see that a stack of two  $3 \times 3$  conv. layers (without spatial pooling in between) has an effective receptive field of  $5 \times 5$ ; three such layers have a  $7 \times 7$  effective receptive field. So what have we gained by using, for instance, a stack of three  $3 \times 3$  conv. layers instead of a single  $7 \times 7$  layer? First, we incorporate three non-linear rectification layers instead of a single one, which makes the decision function more discriminative. Second, we decrease the number of parameters: assuming that both the input and the output of a three-layer  $3 \times 3$  convolution stack has  $C$  channels, the stack is parametrised by  $3(3^2C^2) = 27C^2$  weights; at the same time, a single  $7 \times 7$  conv. layer would require  $7^2C^2 = 49C^2$  parameters, i.e. 81% more. This can be seen as imposing a regularisation on the  $7 \times 7$  conv. filters, forcing them to have a decomposition through the  $3 \times 3$  filters (with non-linearity injected in between).

The incorporation of  $1 \times 1$  conv. layers (configuration C, 表 1) is a way to increase the non-linearity of the decision function without affecting the receptive fields of the conv. layers. Even though in our case the  $1 \times 1$  convolution is essentially a linear projection onto the space of the same dimensionality (the number of input and output channels is the same), an additional non-linearity is introduced by the rectification function. It should be noted that  $1 \times 1$  conv. layers have recently been utilised in the “Network in Network” architecture of Lin et al. (2014).

表 1: **ConvNet configurations** (shown in columns). The depth of the configurations increases from the left (A) to the right (E), as more layers are added (the added layers are shown in bold). The convolutional layer parameters are denoted as “conv(receptive field size)-(number of channels)”. The ReLU activation function is not shown for brevity.

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input ( $224 \times 224$ RGB image)					
conv3-64	conv3-64 <b>LRN</b>	conv3-64 <b>conv3-64</b>	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 <b>conv3-128</b>	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 <b>conv1-256</b>	conv3-256 conv3-256 <b>conv3-256</b>	conv3-256 conv3-256 conv3-256 <b>conv3-256</b>
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 <b>conv1-512</b>	conv3-512 conv3-512 <b>conv3-512</b>	conv3-512 conv3-512 conv3-512 <b>conv3-512</b>
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 <b>conv1-512</b>	conv3-512 conv3-512 <b>conv3-512</b>	conv3-512 conv3-512 conv3-512 <b>conv3-512</b>
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

表 2: **Number of parameters** (in millions).

Network	A,A-LRN	B	C	D	E
Number of parameters	133	133	134	138	144

Small-size convolution filters have been previously used by [Ciresan et al. \(2011\)](#), but their nets are significantly less deep than ours, and they did not evaluate on the large-scale ILSVRC dataset. [Goodfellow et al. \(2014\)](#) applied deep ConvNets (11 weight layers) to the task of street number recognition, and showed that the increased depth led to better performance. GoogLeNet ([Szegedy et al., 2014](#)), a top-performing entry of the ILSVRC-2014 classification

task, was developed independently of our work, but is similar in that it is based on very deep ConvNets (22 weight layers) and small convolution filters (apart from  $3 \times 3$ , they also use  $1 \times 1$  and  $5 \times 5$  convolutions). Their network topology is, however, more complex than ours, and the spatial resolution of the feature maps is reduced more aggressively in the first layers to decrease the amount of computation. As will be shown in 第 4.5 节, our model is outperforming that of Szegedy et al. (2014) in terms of the single-network classification accuracy.

### 3 分类框架

In the previous section we presented the details of our network configurations. In this section, we describe the details of classification ConvNet training and evaluation.

#### 3.1 训练

The ConvNet training procedure generally follows Krizhevsky et al. (2012) (except for sampling the input crops from multi-scale training images, as explained later). Namely, the training is carried out by optimising the multinomial logistic regression objective using mini-batch gradient descent (based on back-propagation (LeCun et al., 1989)) with momentum. The batch size was set to 256, momentum to 0.9. The training was regularised by weight decay (the  $L_2$  penalty multiplier set to  $5 \cdot 10^{-4}$ ) and dropout regularisation for the first two fully-connected layers (dropout ratio set to 0.5). The learning rate was initially set to  $10^{-2}$ , and then decreased by a factor of 10 when the validation set accuracy stopped improving. In total, the learning rate was decreased 3 times, and the learning was stopped after 370K iterations (74 epochs). We conjecture that in spite of the larger number of parameters and the greater depth of our nets compared to (Krizhevsky et al., 2012), the nets required less epochs to converge due to (a) implicit regularisation imposed by greater depth and smaller conv. filter sizes; (b) pre-initialisation of certain layers.

The initialisation of the network weights is important, since bad initialisation can stall learning due to the instability of gradient in deep nets. To circumvent this problem, we began with training the configuration A (表 1), shallow enough to be trained with random initialisation. Then, when training deeper architectures, we initialised the first four convolutional layers and the last three fully-connected layers with the layers of net A (the intermediate layers were initialised randomly). We did not decrease the learning rate for the pre-initialised layers, allowing them to change during learning. For random initialisation (where applicable), we sampled the weights from a normal distribution with the zero mean and  $10^{-2}$  variance. The biases were initialised with zero. It is worth noting that after the paper submission we found that it is possible to initialise the weights without pre-training by using the random initialisation procedure of Glorot & Bengio (2010).

To obtain the fixed-size  $224 \times 224$  ConvNet input images, they were randomly cropped from rescaled training images (one crop per image per SGD iteration). To further augment the

training set, the crops underwent random horizontal flipping and random RGB colour shift (Krizhevsky et al., 2012). Training image rescaling is explained below.

**Training image size.** Let  $S$  be the smallest side of an isotropically-rescaled training image, from which the ConvNet input is cropped (we also refer to  $S$  as the training scale). While the crop size is fixed to  $224 \times 224$ , in principle  $S$  can take on any value not less than 224: for  $S = 224$  the crop will capture whole-image statistics, completely spanning the smallest side of a training image; for  $S \gg 224$  the crop will correspond to a small part of the image, containing a small object or an object part.

We consider two approaches for setting the training scale  $S$ . The first is to fix  $S$ , which corresponds to single-scale training (note that image content within the sampled crops can still represent multi-scale image statistics). In our experiments, we evaluated models trained at two fixed scales:  $S = 256$  (which has been widely used in the prior art (Krizhevsky et al., 2012; Zeiler & Fergus, 2013; Sermanet et al., 2014)) and  $S = 384$ . Given a ConvNet configuration, we first trained the network using  $S = 256$ . To speed-up training of the  $S = 384$  network, it was initialised with the weights pre-trained with  $S = 256$ , and we used a smaller initial learning rate of  $10^{-3}$ .

The second approach to setting  $S$  is multi-scale training, where each training image is individually rescaled by randomly sampling  $S$  from a certain range  $[S_{min}, S_{max}]$  (we used  $S_{min} = 256$  and  $S_{max} = 512$ ). Since objects in images can be of different size, it is beneficial to take this into account during training. This can also be seen as training set augmentation by scale jittering, where a single model is trained to recognise objects over a wide range of scales. For speed reasons, we trained multi-scale models by fine-tuning all layers of a single-scale model with the same configuration, pre-trained with fixed  $S = 384$ .

### 3.2 测试

At test time, given a trained ConvNet and an input image, it is classified in the following way. First, it is isotropically rescaled to a pre-defined smallest image side, denoted as  $Q$  (we also refer to it as the test scale). We note that  $Q$  is not necessarily equal to the training scale  $S$  (as we will show in 第 4 节, using several values of  $Q$  for each  $S$  leads to improved performance). Then, the network is applied densely over the rescaled test image in a way similar to (Sermanet et al., 2014). Namely, the fully-connected layers are first converted to convolutional layers (the first FC layer to a  $7 \times 7$  conv. layer, the last two FC layers to  $1 \times 1$  conv. layers). The resulting fully-convolutional net is then applied to the whole (uncropped) image. The result is a class score map with the number of channels equal to the number of classes, and a variable spatial resolution, dependent on the input image size. Finally, to obtain a fixed-size vector of class scores for the image, the class score map is spatially averaged (sum-pooled). We also augment the test set by horizontal flipping of the images; the soft-max class posteriors of the original and flipped images are averaged to obtain the final scores for the image.

Since the fully-convolutional network is applied over the whole image, there is no need to sample multiple crops at test time (Krizhevsky et al., 2012), which is less efficient as it requires network re-computation for each crop. At the same time, using a large set of crops, as done by Szegedy et al. (2014), can lead to improved accuracy, as it results in a finer sampling of the input image compared to the fully-convolutional net. Also, multi-crop evaluation is complementary to dense evaluation due to different convolution boundary conditions: when applying a ConvNet to a crop, the convolved feature maps are padded with zeros, while in the case of dense evaluation the padding for the same crop naturally comes from the neighbouring parts of an image (due to both the convolutions and spatial pooling), which substantially increases the overall network receptive field, so more context is captured. While we believe that in practice the increased computation time of multiple crops does not justify the potential gains in accuracy, for reference we also evaluate our networks using 50 crops per scale ( $5 \times 5$  regular grid with 2 flips), for a total of 150 crops over 3 scales, which is comparable to 144 crops over 4 scales used by Szegedy et al. (2014).

### 3.3 实现细节

Our implementation is derived from the publicly available C++ Caffe toolbox (Jia, 2013) (branched out in December 2013), but contains a number of significant modifications, allowing us to perform training and evaluation on multiple GPUs installed in a single system, as well as train and evaluate on full-size (uncropped) images at multiple scales (as described above). Multi-GPU training exploits data parallelism, and is carried out by splitting each batch of training images into several GPU batches, processed in parallel on each GPU. After the GPU batch gradients are computed, they are averaged to obtain the gradient of the full batch. Gradient computation is synchronous across the GPUs, so the result is exactly the same as when training on a single GPU.

While more sophisticated methods of speeding up ConvNet training have been recently proposed (Krizhevsky, 2014), which employ model and data parallelism for different layers of the net, we have found that our conceptually much simpler scheme already provides a speedup of 3.75 times on an off-the-shelf 4-GPU system, as compared to using a single GPU. On a system equipped with four NVIDIA Titan Black GPUs, training a single net took 2–3 weeks depending on the architecture.

## 4 分类实验

**Dataset.** In this section, we present the image classification results achieved by the described ConvNet architectures on the ILSVRC-2012 dataset (which was used for ILSVRC 2012–2014 challenges). The dataset includes images of 1000 classes, and is split into three sets: training (1.3M images), validation (50K images), and testing (100K images with held-out class labels). The classification performance is evaluated using two measures: the top-1 and top-5 error. The



former is a multi-class classification error, i.e. the proportion of incorrectly classified images; the latter is the main evaluation criterion used in ILSVRC, and is computed as the proportion of images such that the ground-truth category is outside the top-5 predicted categories.

For the majority of experiments, we used the validation set as the test set. Certain experiments were also carried out on the test set and submitted to the official ILSVRC server as a “VGG” team entry to the ILSVRC-2014 competition (Russakovsky et al., 2014).

#### 4.1 单尺度评估

We begin with evaluating the performance of individual ConvNet models at a single scale with the layer configurations described in 第 2.2 节. The test image size was set as follows:  $Q = S$  for fixed  $S$ , and  $Q = 0.5(S_{min} + S_{max})$  for jittered  $S \in [S_{min}, S_{max}]$ . The results of are shown in 表 3.

First, we note that using local response normalisation (A-LRN network) does not improve on the model A without any normalisation layers. We thus do not employ normalisation in the deeper architectures (B–E).

Second, we observe that the classification error decreases with the increased ConvNet depth: from 11 layers in A to 19 layers in E. Notably, in spite of the same depth, the configuration C (which contains three  $1 \times 1$  conv. layers), performs worse than the configuration D, which uses  $3 \times 3$  conv. layers throughout the network. This indicates that while the additional non-linearity does help (C is better than B), it is also important to capture spatial context by using conv. filters with non-trivial receptive fields (D is better than C). The error rate of our architecture saturates when the depth reaches 19 layers, but even deeper models might be beneficial for larger datasets. We also compared the net B with a shallow net with five  $5 \times 5$  conv. layers, which was derived from B by replacing each pair of  $3 \times 3$  conv. layers with a single  $5 \times 5$  conv. layer (which has the same receptive field as explained in 第 2.3 节). The top-1 error of the shallow net was measured to be 7% higher than that of B (on a center crop), which confirms that a deep net with small filters outperforms a shallow net with larger filters.

Finally, scale jittering at training time ( $S \in [256; 512]$ ) leads to significantly better results than training on images with fixed smallest side ( $S = 256$  or  $S = 384$ ), even though a single scale is used at test time. This confirms that training set augmentation by scale jittering is indeed helpful for capturing multi-scale image statistics.

#### 4.2 MULTI-SCALE EVALUATION

Having evaluated the ConvNet models at a single scale, we now assess the effect of scale jittering at test time. It consists of running a model over several rescaled versions of a test image (corresponding to different values of  $Q$ ), followed by averaging the resulting class posteriors. Considering that a large discrepancy between training and testing scales leads to a drop in performance, the models trained with fixed  $S$  were evaluated over three test image sizes, close



表 3: ConvNet performance at a single test scale.

ConvNet config. (表 1)	smallest image side		top-1 val. error (%)	top-5 val. error (%)
	train ( $S$ )	test ( $Q$ )		
A	256	256	29.6	10.4
A-LRN	256	256	29.7	10.5
B	256	256	28.7	9.9
C	256	256	28.1	9.4
	384	384	28.1	9.3
	[256;512]	384	27.3	8.8
D	256	256	27.0	8.8
	384	384	26.8	8.7
	[256;512]	384	25.6	8.1
E	256	256	27.3	9.0
	384	384	26.9	8.7
	[256;512]	384	<b>25.5</b>	<b>8.0</b>

to the training one:  $Q = \{S - 32, S, S + 32\}$ . At the same time, scale jittering at training time allows the network to be applied to a wider range of scales at test time, so the model trained with variable  $S \in [S_{min}; S_{max}]$  was evaluated over a larger range of sizes  $Q = \{S_{min}, 0.5(S_{min} + S_{max}), S_{max}\}$ .

The results, presented in 表 4, indicate that scale jittering at test time leads to better performance (as compared to evaluating the same model at a single scale, shown in 表 3). As before, the deepest configurations (D and E) perform the best, and scale jittering is better than training with a fixed smallest side  $S$ . Our best single-network performance on the validation set is 24.8%/7.5% top-1/top-5 error (highlighted in bold in 表 4). On the test set, the configuration E achieves 7.3% top-5 error.

表 4: ConvNet performance at multiple test scales.

ConvNet config. (表 1)	smallest image side		top-1 val. error (%)	top-5 val. error (%)
	train ( $S$ )	test ( $Q$ )		
B	256	224,256,288	28.2	9.6
C	256	224,256,288	27.7	9.2
	384	352,384,416	27.8	9.2
	[256; 512]	256,384,512	26.3	8.2
D	256	224,256,288	26.6	8.6
	384	352,384,416	26.5	8.6
	[256; 512]	256,384,512	<b>24.8</b>	<b>7.5</b>
E	256	224,256,288	26.9	8.7
	384	352,384,416	26.7	8.6
	[256; 512]	256,384,512	<b>24.8</b>	<b>7.5</b>

### 4.3 MULTI-CROP EVALUATION

In 表 5 we compare dense ConvNet evaluation with multi-crop evaluation (see 第 3.2 节 for details). We also assess the complementarity of the two evaluation techniques by averaging their soft-max outputs. As can be seen, using multiple crops performs slightly better than dense evaluation, and the two approaches are indeed complementary, as their combination outperforms each of them. As noted above, we hypothesize that this is due to a different treatment of convolution boundary conditions.

表 5: **ConvNet evaluation techniques comparison.** In all experiments the training scale  $S$  was sampled from  $[256; 512]$ , and three test scales  $Q$  were considered:  $\{256, 384, 512\}$ .

ConvNet config. (表 1)	Evaluation method	top-1 val. error (%)	top-5 val. error (%)
D	dense	24.8	7.5
	multi-crop	24.6	7.5
	multi-crop & dense	<b>24.4</b>	<b>7.2</b>
E	dense	24.8	7.5
	multi-crop	24.6	7.4
	multi-crop & dense	<b>24.4</b>	<b>7.1</b>

### 4.4 CONVNET FUSION

Up until now, we evaluated the performance of individual ConvNet models. In this part of the experiments, we combine the outputs of several models by averaging their soft-max class posteriors. This improves the performance due to complementarity of the models, and was used in the top ILSVRC submissions in 2012 (Krizhevsky et al., 2012) and 2013 (Zeiler & Fergus, 2013; Sermanet et al., 2014).

The results are shown in 表 6. By the time of ILSVRC submission we had only trained the single-scale networks, as well as a multi-scale model D (by fine-tuning only the fully-connected layers rather than all layers). The resulting ensemble of 7 networks has 7.3% ILSVRC test error. After the submission, we considered an ensemble of only two best-performing multi-scale models (configurations D and E), which reduced the test error to 7.0% using dense evaluation and 6.8% using combined dense and multi-crop evaluation. For reference, our best-performing single model achieves 7.1% error (model E, 表 5).

### 4.5 COMPARISON WITH THE STATE OF THE ART

Finally, we compare our results with the state of the art in 表 7. In the classification task of ILSVRC-2014 challenge (Russakovsky et al., 2014), our “VGG” team secured the 2nd place with 7.3% test error using an ensemble of 7 models. After the submission, we decreased the error rate to 6.8% using an ensemble of 2 models.

表 6: **Multiple ConvNet fusion results.**

Combined ConvNet models	Error		
	top-1 val	top-5 val	top-5 test
ILSVRC submission			
(D/256/224,256,288), (D/384/352,384,416), (D/[256;512]/256,384,512) (C/256/224,256,288), (C/384/352,384,416) (E/256/224,256,288), (E/384/352,384,416)	24.7	7.5	7.3
post-submission			
(D/[256;512]/256,384,512), (E/[256;512]/256,384,512), dense eval.	24.0	7.1	7.0
(D/[256;512]/256,384,512), (E/[256;512]/256,384,512), multi-crop	23.9	7.2	-
(D/[256;512]/256,384,512), (E/[256;512]/256,384,512), multi-crop & dense eval.	<b>23.7</b>	<b>6.8</b>	<b>6.8</b>

As can be seen from 表 7, our very deep ConvNets significantly outperform the previous generation of models, which achieved the best results in the ILSVRC-2012 and ILSVRC-2013 competitions. Our result is also competitive with respect to the classification task winner (GoogLeNet with 6.7% error) and substantially outperforms the ILSVRC-2013 winning submission Clarifai, which achieved 11.2% with outside training data and 11.7% without it. This is remarkable, considering that our best result is achieved by combining just two models – significantly less than used in most ILSVRC submissions. In terms of the single-net performance, our architecture achieves the best result (7.0% test error), outperforming a single GoogLeNet by 0.9%. Notably, we did not depart from the classical ConvNet architecture of [LeCun et al. \(1989\)](#), but improved it by substantially increasing the depth.

表 7: **Comparison with the state of the art in ILSVRC classification.** Our method is denoted as “VGG”. Only the results obtained without outside training data are reported.

Method	top-1 val. error (%)	top-5 val. error (%)	top-5 test error (%)
VGG (2 nets, multi-crop & dense eval.)	<b>23.7</b>	<b>6.8</b>	<b>6.8</b>
VGG (1 net, multi-crop & dense eval.)	24.4	7.1	7.0
VGG (ILSVRC submission, 7 nets, dense eval.)	24.7	7.5	7.3
GoogLeNet ( <a href="#">Szegedy et al., 2014</a> ) (1 net)	-	7.9	
GoogLeNet ( <a href="#">Szegedy et al., 2014</a> ) (7 nets)	-	<b>6.7</b>	
MSRA ( <a href="#">He et al., 2014</a> ) (11 nets)	-	-	8.1
MSRA ( <a href="#">He et al., 2014</a> ) (1 net)	27.9	9.1	9.1
Clarifai ( <a href="#">Russakovsky et al., 2014</a> ) (multiple nets)	-	-	11.7
Clarifai ( <a href="#">Russakovsky et al., 2014</a> ) (1 net)	-	-	12.5
Zeiler & Fergus ( <a href="#">Zeiler &amp; Fergus, 2013</a> ) (6 nets)	36.0	14.7	14.8
Zeiler & Fergus ( <a href="#">Zeiler &amp; Fergus, 2013</a> ) (1 net)	37.5	16.0	16.1
OverFeat ( <a href="#">Sermanet et al., 2014</a> ) (7 nets)	34.0	13.2	13.6
OverFeat ( <a href="#">Sermanet et al., 2014</a> ) (1 net)	35.7	14.2	-
Krizhevsky et al. ( <a href="#">Krizhevsky et al., 2012</a> ) (5 nets)	38.1	16.4	16.4
Krizhevsky et al. ( <a href="#">Krizhevsky et al., 2012</a> ) (1 net)	40.7	18.2	-

## 5 结论

In this work we evaluated very deep convolutional networks (up to 19 weight layers) for large-scale image classification. It was demonstrated that the representation depth is beneficial for the classification accuracy, and that state-of-the-art performance on the ImageNet challenge dataset can be achieved using a conventional ConvNet architecture (LeCun et al., 1989; Krizhevsky et al., 2012) with substantially increased depth. In the appendix, we also show that our models generalise well to a wide range of tasks and datasets, matching or outperforming more complex recognition pipelines built around less deep image representations. Our results yet again confirm the importance of depth in visual representations.

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## A LOCALISATION

In the main body of the paper we have considered the classification task of the ILSVRC challenge, and performed a thorough evaluation of ConvNet architectures of different depth. In this section, we turn to the localisation task of the challenge, which we have won in 2014 with 25.3% error. It can be seen as a special case of object detection, where a single object bounding box should be predicted for each of the top-5 classes, irrespective of the actual number of objects of the class. For this we adopt the approach of [Sermanet et al. \(2014\)](#), the winners of the ILSVRC-2013 localisation challenge, with a few modifications. Our method is described in 第 A.1 节 and evaluated in 第 A.2 节.

### A.1 LOCALISATION CONVNET

To perform object localisation, we use a very deep ConvNet, where the last fully connected layer predicts the bounding box location instead of the class scores. A bounding box is represented by a 4-D vector storing its center coordinates, width, and height. There is a choice of whether the bounding box prediction is shared across all classes (single-class regression, SCR ([Sermanet et al., 2014](#))) or is class-specific (per-class regression, PCR). In the former case, the last layer is 4-D, while in the latter it is 4000-D (since there are 1000 classes in the dataset). Apart from the last bounding box prediction layer, we use the ConvNet architecture D (表 1), which contains 16 weight layers and was found to be the best-performing in the classification task (第 4 节).

**Training.** Training of localisation ConvNets is similar to that of the classification ConvNets (第 3.1 节). The main difference is that we replace the logistic regression objective with a Euclidean loss, which penalises the deviation of the predicted bounding box parameters from the ground-truth. We trained two localisation models, each on a single scale:  $S = 256$  and  $S = 384$  (due to the time constraints, we did not use training scale jittering for our ILSVRC-2014 submission). Training was initialised with the corresponding classification models (trained on the same scales), and the initial learning rate was set to  $10^{-3}$ . We explored both fine-tuning all layers and fine-tuning only the first two fully-connected layers, as done in ([Sermanet et al., 2014](#)). The last fully-connected layer was initialised randomly and trained from scratch.

**Testing.** We consider two testing protocols. The first is used for comparing different network modifications on the validation set, and considers only the bounding box prediction for the ground truth class (to factor out the classification errors). The bounding box is obtained by applying the network only to the central crop of the image.

The second, fully-fledged, testing procedure is based on the dense application of the localisation ConvNet to the whole image, similarly to the classification task (第 3.2 节). The difference is that instead of the class score map, the output of the last fully-connected layer is a set of bounding box predictions. To come up with the final prediction, we utilise the greedy merging procedure of Sermanet et al. (2014), which first merges spatially close predictions (by averaging their coordinates), and then rates them based on the class scores, obtained from the classification ConvNet. When several localisation ConvNets are used, we first take the union of their sets of bounding box predictions, and then run the merging procedure on the union. We did not use the multiple pooling offsets technique of Sermanet et al. (2014), which increases the spatial resolution of the bounding box predictions and can further improve the results.

## A.2 LOCALISATION EXPERIMENTS

In this section we first determine the best-performing localisation setting (using the first test protocol), and then evaluate it in a fully-fledged scenario (the second protocol). The localisation error is measured according to the ILSVRC criterion (Russakovsky et al., 2014), i.e. the bounding box prediction is deemed correct if its intersection over union ratio with the ground-truth bounding box is above 0.5.

**Settings comparison.** As can be seen from 表 8, per-class regression (PCR) outperforms the class-agnostic single-class regression (SCR), which differs from the findings of Sermanet et al. (2014), where PCR was outperformed by SCR. We also note that fine-tuning all layers for the localisation task leads to noticeably better results than fine-tuning only the fully-connected layers (as done in (Sermanet et al., 2014)). In these experiments, the smallest images side was set to  $S = 384$ ; the results with  $S = 256$  exhibit the same behaviour and are not shown for brevity.

表 8: **Localisation error for different modifications** with the simplified testing protocol: the bounding box is predicted from a single central image crop, and the ground-truth class is used. All ConvNet layers (except for the last one) have the configuration D (表 1), while the last layer performs either single-class regression (SCR) or per-class regression (PCR).

Fine-tuned layers	regression type	GT class localisation error
1st and 2nd FC	SCR	36.4
	PCR	34.3
all	PCR	<b>33.1</b>

**Fully-fledged evaluation.** Having determined the best localisation setting (PCR, fine-tuning of all layers), we now apply it in the fully-fledged scenario, where the top-5 class labels are predicted using our best-performing classification system (第 4.5 节), and multiple densely-computed bounding box predictions are merged using the method of Sermanet et al. (2014). As can be seen from 表 9, application of the localisation ConvNet to the whole image sub-



stantially improves the results compared to using a center crop (表 8), despite using the top-5 predicted class labels instead of the ground truth. Similarly to the classification task (第 4 节), testing at several scales and combining the predictions of multiple networks further improves the performance.

表 9: Localisation error

smallest image side		top-5 localisation error (%)	
train ( $S$ )	test ( $Q$ )	val.	test.
256	256	29.5	-
384	384	28.2	26.7
384	352,384	27.5	-
fusion: 256/256 and 384/352,384		<b>26.9</b>	<b>25.3</b>

**Comparison with the state of the art.** We compare our best localisation result with the state of the art in 表 10. With 25.3% test error, our “VGG” team won the localisation challenge of ILSVRC-2014 (Russakovsky et al., 2014). Notably, our results are considerably better than those of the ILSVRC-2013 winner Overfeat (Sermanet et al., 2014), even though we used less scales and did not employ their resolution enhancement technique. We envisage that better localisation performance can be achieved if this technique is incorporated into our method. This indicates the performance advancement brought by our very deep ConvNets – we got better results with a simpler localisation method, but a more powerful representation.

表 10: Comparison with the state of the art in ILSVRC localisation. Our method is denoted as “VGG”.

Method	top-5 val. error (%)	top-5 test error (%)
VGG	<b>26.9</b>	<b>25.3</b>
GoogLeNet (Szegedy et al., 2014)	-	26.7
OverFeat (Sermanet et al., 2014)	30.0	29.9
Krizhevsky et al. (Krizhevsky et al., 2012)	-	34.2

## B GENERALISATION OF VERY DEEP FEATURES

In the previous sections we have discussed training and evaluation of very deep ConvNets on the ILSVRC dataset. In this section, we evaluate our ConvNets, pre-trained on ILSVRC, as feature extractors on other, smaller, datasets, where training large models from scratch is not feasible due to over-fitting. Recently, there has been a lot of interest in such a use case (Zeiler & Fergus, 2013; Donahue et al., 2013; Razavian et al., 2014; Chatfield et al., 2014), as it turns out that deep image representations, learnt on ILSVRC, generalise well to other datasets, where they have outperformed hand-crafted representations by a large margin. Following that line of work, we investigate if our models lead to better performance than more shallow models utilised in the state-of-the-art methods. In this evaluation, we consider two models with the

best classification performance on ILSVRC (第 4 节) – configurations “Net-D” and “Net-E” (which we made publicly available).

To utilise the ConvNets, pre-trained on ILSVRC, for image classification on other datasets, we remove the last fully-connected layer (which performs 1000-way ILSVRC classification), and use 4096-D activations of the penultimate layer as image features, which are aggregated across multiple locations and scales. The resulting image descriptor is  $L_2$ -normalised and combined with a linear SVM classifier, trained on the target dataset. For simplicity, pre-trained ConvNet weights are kept fixed (no fine-tuning is performed).

Aggregation of features is carried out in a similar manner to our ILSVRC evaluation procedure (第 3.2 节). Namely, an image is first rescaled so that its smallest side equals  $Q$ , and then the network is densely applied over the image plane (which is possible when all weight layers are treated as convolutional). We then perform global average pooling on the resulting feature map, which produces a 4096-D image descriptor. The descriptor is then averaged with the descriptor of a horizontally flipped image. As was shown in 第 4.2 节, evaluation over multiple scales is beneficial, so we extract features over several scales  $Q$ . The resulting multi-scale features can be either stacked or pooled across scales. Stacking allows a subsequent classifier to learn how to optimally combine image statistics over a range of scales; this, however, comes at the cost of the increased descriptor dimensionality. We return to the discussion of this design choice in the experiments below. We also assess late fusion of features, computed using two networks, which is performed by stacking their respective image descriptors.

表 11: **Comparison with the state of the art in image classification on VOC-2007, VOC-2012, Caltech-101, and Caltech-256.** Our models are denoted as “VGG”. Results marked with \* were achieved using ConvNets pre-trained on the *extended* ILSVRC dataset (2000 classes).

Method	VOC-2007 (mean AP)	VOC-2012 (mean AP)	Caltech-101 (mean class recall)	Caltech-256 (mean class recall)
Zeiler & Fergus (Zeiler & Fergus, 2013)	-	79.0	$86.5 \pm 0.5$	$74.2 \pm 0.3$
Chatfield et al. (Chatfield et al., 2014)	82.4	83.2	$88.4 \pm 0.6$	$77.6 \pm 0.1$
He et al. (He et al., 2014)	82.4	-	<b><math>93.4 \pm 0.5</math></b>	-
Wei et al. (Wei et al., 2014)	81.5 (85.2*)	81.7 ( <b>90.3*</b> )	-	-
VGG Net-D (16 layers)	89.3	89.0	$91.8 \pm 1.0$	$85.0 \pm 0.2$
VGG Net-E (19 layers)	89.3	89.0	$92.3 \pm 0.5$	$85.1 \pm 0.3$
VGG Net-D & Net-E	<b>89.7</b>	<b>89.3</b>	$92.7 \pm 0.5$	<b><math>86.2 \pm 0.3</math></b>

**Image Classification on VOC-2007 and VOC-2012.** We begin with the evaluation on the image classification task of PASCAL VOC-2007 and VOC-2012 benchmarks (Everingham et al., 2015). These datasets contain 10K and 22.5K images respectively, and each image is annotated with one or several labels, corresponding to 20 object categories. The VOC organisers provide a pre-defined split into training, validation, and test data (the test data for VOC-2012

is not publicly available; instead, an official evaluation server is provided). Recognition performance is measured using mean average precision (mAP) across classes.

Notably, by examining the performance on the validation sets of VOC-2007 and VOC-2012, we found that aggregating image descriptors, computed at multiple scales, by averaging performs similarly to the aggregation by stacking. We hypothesize that this is due to the fact that in the VOC dataset the objects appear over a variety of scales, so there is no particular scale-specific semantics which a classifier could exploit. Since averaging has a benefit of not inflating the descriptor dimensionality, we were able to aggregated image descriptors over a wide range of scales:  $Q \in \{256, 384, 512, 640, 768\}$ . It is worth noting though that the improvement over a smaller range of  $\{256, 384, 512\}$  was rather marginal (0.3%).

The test set performance is reported and compared with other approaches in 表 11. Our networks “Net-D” and “Net-E” exhibit identical performance on VOC datasets, and their combination slightly improves the results. Our methods set the new state of the art across image representations, pre-trained on the ILSVRC dataset, outperforming the previous best result of Chatfield et al. (2014) by more than 6%. It should be noted that the method of Wei et al. (2014), which achieves 1% better mAP on VOC-2012, is pre-trained on an extended 2000-class ILSVRC dataset, which includes additional 1000 categories, semantically close to those in VOC datasets. It also benefits from the fusion with an object detection-assisted classification pipeline.

**Image Classification on Caltech-101 and Caltech-256.** In this section we evaluate very deep features on Caltech-101 (Fei-Fei et al., 2004) and Caltech-256 (Griffin et al., 2007) image classification benchmarks. Caltech-101 contains 9K images labelled into 102 classes (101 object categories and a background class), while Caltech-256 is larger with 31K images and 257 classes. A standard evaluation protocol on these datasets is to generate several random splits into training and test data and report the average recognition performance across the splits, which is measured by the mean class recall (which compensates for a different number of test images per class). Following Chatfield et al. (2014); Zeiler & Fergus (2013); He et al. (2014), on Caltech-101 we generated 3 random splits into training and test data, so that each split contains 30 training images per class, and up to 50 test images per class. On Caltech-256 we also generated 3 splits, each of which contains 60 training images per class (and the rest is used for testing). In each split, 20% of training images were used as a validation set for hyper-parameter selection.

We found that unlike VOC, on Caltech datasets the stacking of descriptors, computed over multiple scales, performs better than averaging or max-pooling. This can be explained by the fact that in Caltech images objects typically occupy the whole image, so multi-scale image features are semantically different (capturing the whole object vs. object parts), and stacking allows a classifier to exploit such scale-specific representations. We used three scales  $Q \in \{256, 384, 512\}$ .

Our models are compared to each other and the state of the art in 表 11. As can be seen, the deeper 19-layer Net-E performs better than the 16-layer Net-D, and their combination further improves the performance. On Caltech-101, our representations are competitive with the approach of He et al. (2014), which, however, performs significantly worse than our nets on VOC-2007. On Caltech-256, our features outperform the state of the art (Chatfield et al., 2014) by a large margin (8.6%).

**Action Classification on VOC-2012.** We also evaluated our best-performing image representation (the stacking of Net-D and Net-E features) on the PASCAL VOC-2012 action classification task (Everingham et al., 2015), which consists in predicting an action class from a single image, given a bounding box of the person performing the action. The dataset contains 4.6K training images, labelled into 11 classes. Similarly to the VOC-2012 object classification task, the performance is measured using the mAP. We considered two training settings: (i) computing the ConvNet features on the whole image and ignoring the provided bounding box; (ii) computing the features on the whole image and on the provided bounding box, and stacking them to obtain the final representation. The results are compared to other approaches in 表 12.

表 12: **Comparison with the state of the art in single-image action classification on VOC-2012.** Our models are denoted as “VGG”. Results marked with \* were achieved using ConvNets pre-trained on the *extended* ILSVRC dataset (1512 classes).

Method	VOC-2012 (mean AP)
(Oquab et al., 2014)	70.2*
(Gkioxari et al., 2014)	73.6
(Hoai, 2014)	76.3
VGG Net-D & Net-E, image-only	<b>79.2</b>
VGG Net-D & Net-E, image and bounding box	<b>84.0</b>

Our representation achieves the state of art on the VOC action classification task even without using the provided bounding boxes, and the results are further improved when using both images and bounding boxes. Unlike other approaches, we did not incorporate any task-specific heuristics, but relied on the representation power of very deep convolutional features.

**Other Recognition Tasks.** Since the public release of our models, they have been actively used by the research community for a wide range of image recognition tasks, consistently outperforming more shallow representations. For instance, Girshick et al. (2014) achieve the state of the object detection results by replacing the ConvNet of Krizhevsky et al. (2012) with our 16-layer model. Similar gains over a more shallow architecture of Krizhevsky et al. (2012) have been observed in semantic segmentation (Long et al., 2014), image caption generation (Kiros et al., 2014; Karpathy & Fei-Fei, 2014), texture and material recognition (Cimpoi et al., 2014; Bell et al., 2014).

## C PAPER REVISIONS

Here we present the list of major paper revisions, outlining the substantial changes for the convenience of the reader.

**v1** Initial version. Presents the experiments carried out before the ILSVRC submission.

**v2** Adds post-submission ILSVRC experiments with training set augmentation using scale jittering, which improves the performance.

**v3** Adds generalisation experiments (附录 B) on PASCAL VOC and Caltech image classification datasets. The models used for these experiments are publicly available.

**v4** The paper is converted to ICLR-2015 submission format. Also adds experiments with multiple crops for classification.

**v6** Camera-ready ICLR-2015 conference paper. Adds a comparison of the net B with a shallow net and the results on PASCAL VOC action classification benchmark.