

## Chapter 13. Symmetry Groups

### Groups

**Definitions:**

A **group** is a set  $G$  with an operation  $\circ$  that is closed and associative, has an identity  $e$ , and every element  $g$  has an inverse  $g^{-1}$  such that  $g \circ g^{-1} = e = g^{-1} \circ g$ .

A group  $G$  is **Abelian** if it is commutative:  $g \circ h = h \circ g$  for all  $g, h$  in  $G$ .

A **subgroup** is a subset of  $G$  that is a group under  $\circ$ .

Let  $H$  be a subgroup of  $G$ . A **coset of  $H$**  is a set  $H \circ g = \{h \circ g : h \in H\}$ , where  $g \in G$ . The only coset of  $H$  that is a group is the set  $H$  itself:  $H = H \circ e$  where  $e$  is the identity element. The cosets of  $H$  form a partition of  $G$ .

A **normal subgroup** is a subgroup  $H$  that satisfies  $g \circ H = H \circ g$  for all  $g$  in  $G$ , or equivalently  $H = g^{-1} \circ H \circ g$ .

A group is **simple** if it contains no non-trivial normal subgroup. The simple groups are the fundamental “building blocks” of more complex groups.

**Theorem.** There are precisely 4 **classical** and 5 **exceptional** simple Lie groups.

- Classical Families:  $A_m, B_m, C_m, D_m$  having dimensions  $m(m+2)$ ,  $m(2m+1)$ ,  $m(2m+1)$ , and  $m(2m-1)$ , respectively where  $m \in \mathbb{Z}^+$ .
- Exceptional Groups:  $E_6, E_7, E_8, F_4, G_2$  of dimension 78, 133, 248, 52, and 14 respectively

**Theorem.** The simple finite groups have been classified into classical and exceptional groups. The largest exceptional group has  $\approx 10^{60}$  elements and is known as **the monster**.

**Definition.** The **Product Group** of  $G$  and  $H$  is  $G \times H = \{(g, h) : g \in G, h \in H\}$  with group operation  $(g_1, h_1) \circ (g_2, h_2) = (g_1 \circ g_2, h_1 \circ h_2)$ .

**Definition.** Let  $N$  be a subgroup of  $G$ . The **Factor Space  $G/N$**  is the collection of cosets  $N \circ g$  along with the operation  $(N \circ g_1) \circ (N \circ g_2) = N \circ (g_1 \circ g_2)$ .

**Theorem.** If  $N$  is normal then  $G/N$  is a group, called the **Factor Group**.

**Theorem.** [13.10]  $H \cong (G \times H)/G$ .

The group operation is a function,  $\circ: G \times G \rightarrow G$ . If  $G$  is also a topological space, then  $\circ$  can either be continuous or not.

**Definition.** A **group  $(G, \circ)$  is continuous** if  $\circ: G \times G \rightarrow G$  is a continuous function when  $G$  is considered as a topological space. A **Lie group** is a continuous group where the inversion operation is also continuous.

The **dimension of a group** is its dimension as a topological space, which we now define. Intuitively the dimension of a space is  $1 + \dim(\text{boundary of space})$ . For example, a disk has dimension 2 and its boundary, a circle, has dimension 1. A line segment has dimension 1 and its boundary, 2 points, has dimension zero. Since a point has dimension 0, its boundary, the empty set  $\emptyset$ , is defined to have dimension -1. It is standard to start with  $\emptyset$  and define topological dimension inductively.

There are several definitions of dimension. We give the **Small Inductive Dimension** and briefly two others.

**Definition.** A collection  $\mathcal{U} = \{U_\alpha\}$  is an **open basis for a topological space  $X$**  if every open set is a union of sets from  $\mathcal{U}$ . We first give a few preliminaries.

**Examples.** In  $\mathbb{R}$ , the collection of open intervals forms a basis. In  $\mathbb{R}^3$ , the collection of open balls forms a basis.

**Definition.** The **closure**  $\overline{A}$  of a set  $A$  is the smallest closed set that contains  $A$ . The **boundary** of  $A$  is  $\partial A = \overline{A} - A$ .

**Definition.** Let  $X$  be a topological space and  $\mathcal{U}$  an open basis for  $X$ .

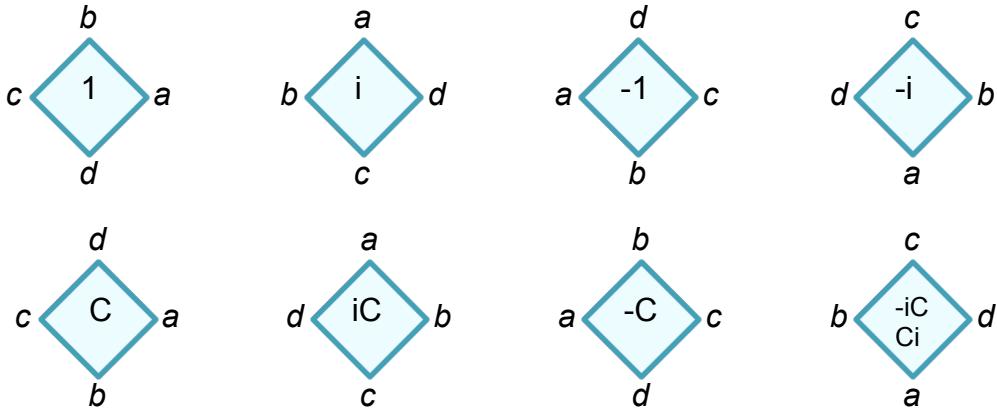
- (1) **dim  $X = -1$**  if  $X = \emptyset$
- (2) **dim  $X \leq n$**  if for all points  $x$  and open sets  $W$  such that  $x \in W$  there exists  $U \in \mathcal{U}$  such that  $x \in U \subseteq \overline{U} \subseteq W$  and  $\dim \partial U \leq n - 1$
- (3) **dim  $X = n$**  if (2) is true for  $n$  but false for  $n - 1$
- (4) **dim  $X = \infty$**  if for every  $n$ ,  $\dim X \leq n$  is false

The **Large Inductive Dimension** is similar but replaces points with closed sets.

The **Lebesgue Covering Dimension** defines  $\dim X = n$  if every open cover of  $X$  has an open refinement in which no point belongs to more than  $n + 1$  sets in the refinement.

All of these definitions agree on spaces like  $\mathbb{R}^n$  that are separable and metrizable.

## Symmetries of a Square



**Definitions:**

**Non-reflecting Group:**  $\langle i \rangle = \{1, i, -1, -i\}$

**Reflecting Group:**  $\langle i, C \rangle = \{1, i, -1, -i, C, iC, -C, -iC = Ci\}$

**C** is complex conjugation:  $a + bi \mapsto a - bi$ . **1** is the null rotation, which is the group identity element. **i** is the  $90^\circ$  counter-clockwise rotation of the square

**Convention:**  $ab$  means  $b$  acts first.

A subgroup of a symmetry group is called a **reduced symmetry group**.

**Examples:**

Normal subgroups of  $\langle i, C \rangle$ :

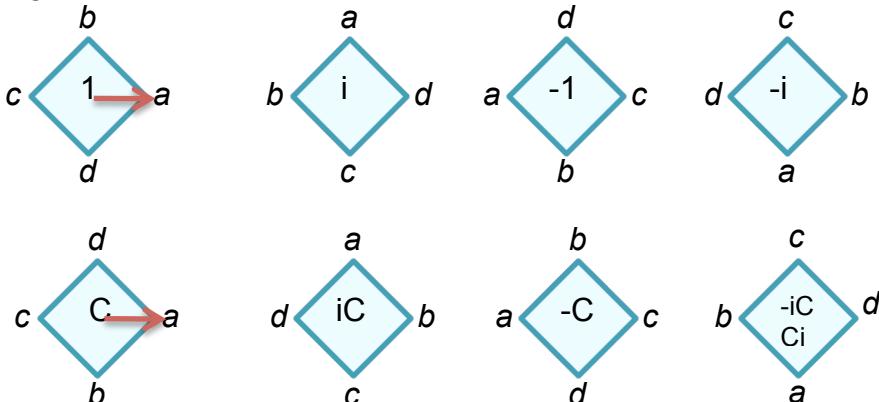
$\{1, -1, C, -C\}, \{1, -1\}, \{1, -i\}$

Non-normal subgroups of  $\langle i, C \rangle$ :

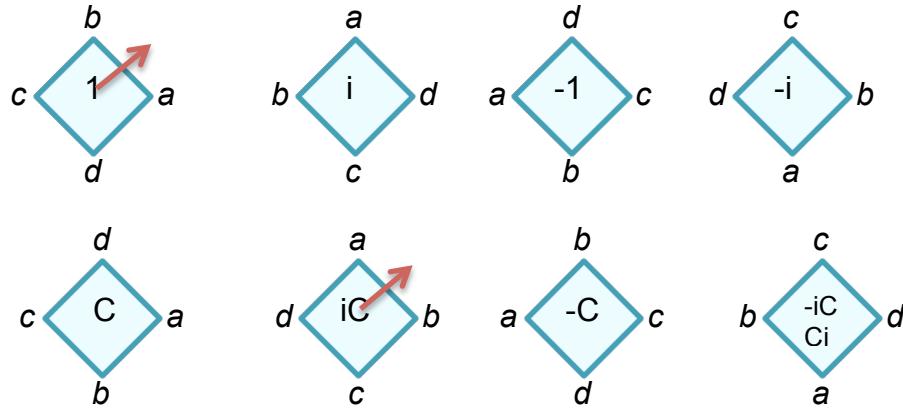
$\{1, -C\}, \{1, iC\}, \{1, C\}$

For example,  $\{1, C\}i = \{i, Ci\} \neq \{i, -Ci\} = i\{1, C\}$

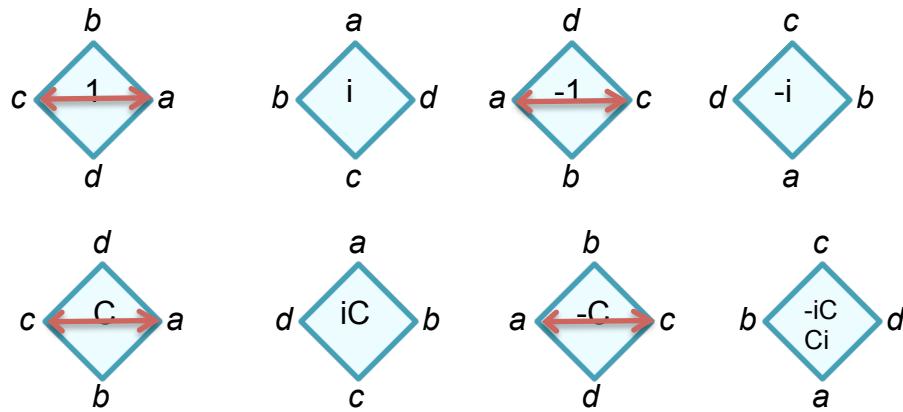
**Example [13.6]:** Reduced symmetry groups can be generated using one or more arrows.



$\{1, C\}$  is a reduced symmetry group



$\{1, iC\}$  is a reduced symmetry group



$\{1, -1, C, -C\}$  is a reduced symmetry group

## Symmetries of a Sphere

### Definitions:

A group  $G$  whose underlying set is continuous is called a **Lie Group**.

**SO(3)** is the group of non-reflective symmetries of a 3-sphere

**O(3)** is the **Orthogonal Group**. It consists of both the reflective and non-reflective symmetries of a sphere.

$O(3) = SO(3) \cup T$ , the disjoint union of  $O(3)$  with the coset of reflective symmetries

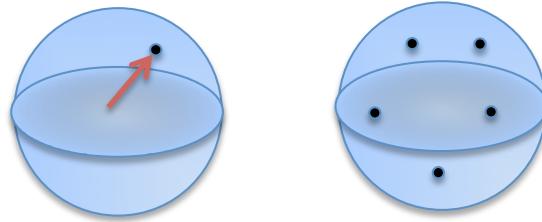
$T = R SO(3) = \{Rg : g \in SO(3)\}$  where **R** is the reflection operator on the sphere.

Recall problem [12.7]:  $SO(3)$  is group isomorphic to the solid sphere **R** of radius  $\pi$  with antipodal points identified.

**Theorem.** (Problem [13.7])  $\text{SO}(3)$  and  $\{1, R\}$  are the only normal subgroups of  $\text{O}(3)$ , where **1** is the null rotation. (Penrose overlooked that the latter group is normal.)

### Examples. Reduced Symmetry Groups

The set of rotations that fix a point on the sphere forms a non-normal subgroup. It is the set of rotations having the arrow as its axis.



Marking the sphere with vertices of a regular polyhedra reduces to the finite group of rotations of the sphere that take each vertex to one of the others. Such reduced symmetry groups are non-normal.

## Linear Transformations and Matrices

**Definition.** A nonempty set  $(R, +, \bullet)$  is a **ring** if for all  $a, b, c$  in  $R$ :

- (1)  $(R, +)$  is an Abelian group
- (2)  $R$  is closed under multiplication  $\bullet$
- (3)  $a \bullet (b + c) = a \bullet b + a \bullet c$  and  $(b + c) \bullet a = b \bullet a + c \bullet a$  (left and right distributive)

A ring  $R$  is an **associative ring** if it is associative under multiplication:

$$(4) r \bullet (s \bullet t) = (r \bullet s) \bullet t \text{ for } r, s, t \in R$$

There are rings that have no multiplicative identity (i.e., no element 1). Rings that do have a multiplicative identity are said to be **rings with unit element**.

**Definition.** A **field** is a ring  $F$  where the non-zero elements form an Abelian group under multiplication.

**Definition.**  $(V, +)$  is a **vector space over a field  $F$**  if  $(V, +)$  is an Abelian group and  $\forall \alpha, \beta \in F$  and  $v, w \in V$ :

- (1)  $\alpha(v + w) = \alpha v + \alpha w$
- (2)  $(\alpha + \beta)v = \alpha v + \beta v$
- (3)  $\alpha(\beta v) = (\alpha \beta)v$
- (4)  $1v = v$

The elements of  $F$  are called **scalars**. The elements of  $V$  are called **vectors**.

**Definition.** Let  $V$  and  $W$  be vector spaces.

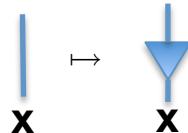
- $f: V \rightarrow W$  is a **homomorphism** if it preserves the vector space structure:
  - $f(au + bv) = af(u) + bf(v)$  for all vectors  $u$  and  $v$  and scalars  $a$  and  $b$ .
- $\text{Hom}(V, W)$  is the set of homomorphisms from  $V$  to  $W$ .
- $\mathcal{A}(V) = \text{Hom}(V, V)$ .
- A **linear transformation** is a member  $T \in \mathcal{A}(V)$ .
  - That is, a linear transformation is a function  $T: V \rightarrow V$  such that  $T(au + bv) = aTu + bTv$ .

**Theorem.** [13.12, 13.13] Let  $V = \mathbb{R}^3$ , using  $(x^1, x^2, x^3)$  instead of  $(x, y, z)$ . Then a linear transformation  $T$  on  $V$  takes the form  $T: x^r \mapsto T_s^r x^s = ax^1 + bx^2 + cx^3$  where  $a, b, c \in \mathbb{R}$ .

**Note .** Linear transformations are represented by matrices:

$$T: \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} \mapsto \begin{pmatrix} T_1^1 & T_2^1 & T_3^1 \\ T_1^2 & T_2^2 & T_3^2 \\ T_1^3 & T_2^3 & T_3^3 \end{pmatrix} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} \quad \text{or} \quad x \mapsto Tx \quad \text{where } T_j^i \in \mathbb{R}.$$

In diagrammatic form this is



**Definition.** The **transpose** of the matrix  $T = T_j^i$  is the matrix  $T^\top = (T^\top)_{j}^i = T_i^j$ .

**Note.** The indices are dummy variables. We can express  $T$  as  $T = T_s^r$  and also  $T = T_r^s$ . This can become subtle when expressing either the transpose or the inverse of  $T$ . Do not confuse  $T = T_r^s$  as an expression for the transpose. The transpose must be expressed as  $(T^\top)_s^r$  or  $(T^\top)_r^s$  unless it is part of an expression involving  $T$  such as  $T_r^s = (T_s^r)^\top$ .

**Definition.** The **inverse** of the matrix  $T$  is the matrix  $T^{-1}$  satisfying

$$TT^{-1} = I = T^{-1}T. \text{ If } S = T^{-1}, \text{ we can also write } S_s^r = (T_s^r)^{-1} \text{ and } S_r^s = (T_r^s)^{-1}$$

but not  $S_r^s = (T_s^r)^{-1}$ . The indices should match.

**Definition.** A matrix  $T$  is **orthogonal** if  $T^{-1} = T^\top$ .

**Theorem.** If  $R = ST$  then  $R^a_c = S^a_b T^b_c$ . That is, the composition,  $R$ , of 2 linear transformations is the result of matrix multiplication of  $S$  and  $T$ . In diagrammatic notation:

$$R = \begin{array}{c} \text{blue square} \\ \downarrow \end{array} = \begin{array}{c} \text{blue circle} \\ \downarrow \text{blue triangle} \end{array} = ST$$

**Example.**  $TI = T = IT$  is written in diagrammatic form as  $\begin{array}{c} \text{blue wavy line} \\ \downarrow \end{array} = \begin{array}{c} \text{blue line} \\ \downarrow \end{array} = \begin{array}{c} \text{blue wavy line} \\ \downarrow \end{array}$ .

and, in  $\mathbb{R}^3$ ,  $I = \delta^a_b = \begin{cases} 1 & \text{if } a = b \\ 0 & \text{if } a \neq b \end{cases} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  where  $a, b$  range over  $\{1, 2, 3\}$ .

**Definitions.** A linear transformation  $T$  is **singular** if  $\text{Dim}(TV) < \text{Dim } W$ ; that is,  $T$  is not *onto*.

**Theorem.** [13.17]  $T$  is singular iff  $\exists v \neq 0$  such that  $Tv = 0$ .

**Corollary.** [Bud]  $T$  is 1-1 iff  $T$  is non-singular iff  $T$  is onto.

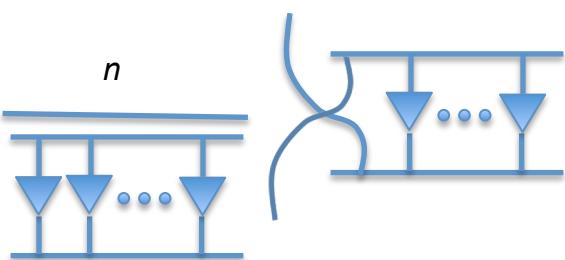
Proof:  $T$  is 1-1  $\Leftrightarrow \forall v \neq w \quad T(v - w) = T(v) - T(w) \neq 0 \stackrel{(*)}{\Leftrightarrow} \forall u \neq 0 \quad T(u) \neq 0$

$\stackrel{[13.17]}{\Leftrightarrow} T$  is non-singular  $\Leftrightarrow T$  is onto.

(\*) Set  $v = 3u$  and  $w = 2u$ . ■

**Theorem.** [13.18] If  $T$  is nonsingular, then it has an inverse  $T^{-1}$ .

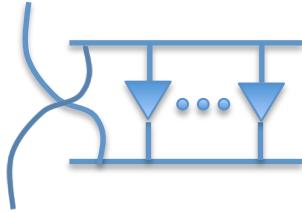
**Theorem.** [13.19]  $T^{-1} = \left[ \begin{array}{c} \downarrow \\ \vdots \end{array} \right]^{-1} = \overbrace{\quad \quad \quad}^n \dots$   
if  $T$  is non-singular.



## Determinants and Traces

**Definition.**  $\text{Det } T = \frac{1}{n!} \begin{array}{c} \text{horizontal line with } n \text{ segments} \\ \text{each segment ending in a downward-pointing arrow} \end{array} = \frac{1}{n!} \epsilon^{ab\dots d} T^e_a T^f_b \dots T^h_d \epsilon_{ef\dots h}$ .

Note: Since  $T^{-1} = \frac{\text{Adj}(T)}{\text{Det } T}$  (**Adjugate Formula**), from Theorem 13.19 and the definition above of determinant we get that



$= (n - 1)! \text{ Adj}(T)$ , where  $\text{Adj}(T)$  is the matrix

$\left( (-1)^{i+j} M_{ji} \right)$  and  $M_{ij}$  is the minor (a determinant) of  $T_{ij}$ .

**Theorem.** (p.260 – no proof given) Matrix A is singular iff  $\text{Det } A = 0$ .

Proof: From [13.19], A is non-singular iff  $\text{Det } A \neq 0$ . ■

**Theorem.** [Bud]  $\text{Det } T = \sum_{\pi \in \mathcal{P}_{1..n}} \text{Sign}(\pi) T^1_{\pi(1)} \cdots T^n_{\pi(n)}$  (the normal definition of Det)

Proof. Let  $\mathcal{P}_{1..n}$  be the set of permutations of  $(1, \dots, n)$ .

$$\begin{aligned} \text{Det } T &= \frac{1}{n!} \varepsilon_{r..s} \in^{t..u} T^r_t \cdots T^s_u \\ &= \frac{1}{n!} \sum_{\pi \in \mathcal{P}_{1..n}} \sum_{\pi^* \in \mathcal{P}_{1..n}} \varepsilon_{\pi^*(1) \cdots \pi^*(n)} \in^{\pi(1) \cdots \pi(n)} T^{\pi^*(1)}_{\pi(1)} \cdots T^{\pi^*(n)}_{\pi(n)} \\ &\quad (\text{Replace Einstein notation.}) \\ &= \frac{1}{n!} \sum_{\pi \in \mathcal{P}_{1..n}} \sum_{\pi^* \in \mathcal{P}_{1..n}} \varepsilon_{\pi^*(1) \cdots \pi^*(n)} \in^{\pi^*(1) \cdots \pi^*(n)} T^{\pi^*(1)}_{\pi(\pi^*(1))} \cdots T^{\pi^*(n)}_{\pi(\pi^*(n))} \\ &\quad (\text{Replace } \pi \text{ by } \pi \circ \pi^* \text{ in } \in \text{ and } T. \text{ The double sum over } \pi \text{ and } \pi^* \text{ is unchanged, in both expressions stepping over all permutations of } (1, \dots, n), \text{ and the exponents of } \in \text{ continue to match the subscripts of } T.) \end{aligned}$$

$$= \frac{1}{n!} \sum_{\pi \in \mathcal{P}_{1..n}} \sum_{\pi^* \in \mathcal{P}_{1..n}} \text{Sign}(\pi) \varepsilon_{\pi^*(1) \cdots \pi^*(n)} \in^{\pi^*(1) \cdots \pi^*(n)} T^{\pi^*(1)}_{\pi(\pi^*(1))} \cdots T^{\pi^*(n)}_{\pi(\pi^*(n))}$$

(Re-order superscripts of  $\in$  by applying an inverse  $\pi$  permutation.)

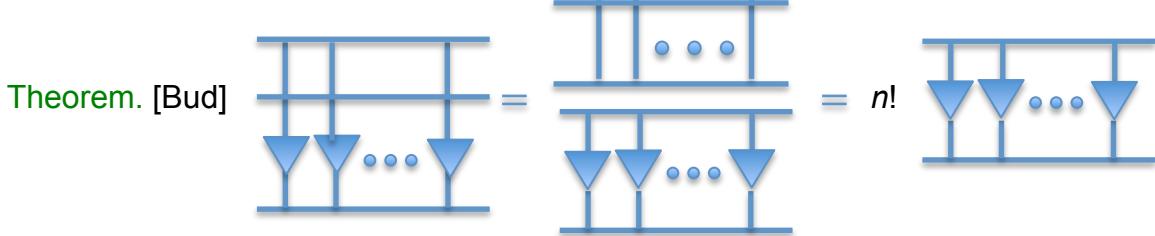
$$= \frac{1}{n!} \sum_{\pi \in \mathcal{P}_{1..n}} \text{Sign}(\pi) \sum_{\pi^* \in \mathcal{P}_{1..n}} T^1_{\pi(1)} \cdots T^n_{\pi(n)}$$

(This is just a simpler way to label the subscripts and superscripts of  $T$ . For example, if  $\pi^*(3) = 1$  then

$$T^{\pi^*(3)}_{\pi(\pi^*(3))} = T^1_{\pi(1)} .$$

$$\begin{aligned}
&= \frac{n!}{n!} \sum_{\pi \in \mathcal{P}_{1..n}} \text{Sign}(\pi) T^1_{\pi(1)} \cdots T^n_{\pi(n)} \\
&= \sum_{\pi \in \mathcal{P}_{1..n}} \text{Sign}(\pi) T^1_{\pi(1)} \cdots T^n_{\pi(n)} \quad \blacksquare
\end{aligned}$$

(See my solution to [13.21] for examples of this for  $n = 2$  and 3.)



Proof: Let  $\mathcal{P}_{a\dots g}$  be the set of permutations of  $(a, \dots, g)$ . Then

$$\begin{aligned}
&\text{Diagram showing } n! \varepsilon_{a\dots g} \in^{r\dots x} T^{\lceil a}_r \cdots T^g_x \\
&= \frac{n!}{n!} \varepsilon_{a\dots g} \in^{r\dots x} \sum_{\pi \in \mathcal{P}_{ab\dots g}} \text{Sign}(\pi) T^{\pi(a)}_r \cdots T^{\pi(g)}_x \stackrel{(*)}{=} n! \varepsilon_{a\dots g} T^a_r \cdots T^g_x \in^{r\dots x} \\
&= n! \text{Diagram showing } T^a_r \cdots T^g_x
\end{aligned}$$

(\*)  $\pi$  is the composition of transmutations (i.e., of pairwise permutations).

Let  $\pi^*:$   $\begin{array}{l} c \mapsto e \\ e \mapsto c \end{array}$  be a transmutation. Then

$$\begin{aligned}
&\varepsilon_{a\dots c\dots e\dots g} \in^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^{\pi(a)}_r \cdots T^{\pi(c)}_t \cdots T^{\pi(e)}_v \cdots T^{\pi(g)}_x \\
&= \varepsilon_{a\dots c\dots e\dots g} \in^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^a_r \cdots T^e_t \cdots T^c_v \cdots T^g_x \\
&= \varepsilon_{a\dots e\dots c\dots g} \in^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^a_r \cdots T^c_t \cdots T^e_v \cdots T^g_x \text{ (Rename } c \mapsto e \text{ & } e \mapsto c) \\
&= \text{Sign}(\pi^*) \varepsilon_{a\dots c\dots e\dots g} \in^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^a_r \cdots T^c_t \cdots T^e_v \cdots T^g_x \\
&= \varepsilon_{a\dots g} T^a_r \cdots T^g_x \in^{r\dots x}.
\end{aligned}$$

So, for any permutation  $\pi$ , we have

$$\varepsilon_{a\dots g} \in^{r\dots x} \text{Sign}(\pi) T^{\pi(a)}_r \cdots T^{\pi(g)}_x = \varepsilon_{a\dots g} T^a_r \cdots T^g_x \in^{r\dots x} \quad \blacksquare$$

**Theorem.** [13.22]

$$\text{Det } AB = \frac{1}{n!} \begin{array}{c} \text{Diagram of } AB \text{ showing } n \text{ columns and } n \text{ rows of blue circles.} \\ \text{The first column has } n \text{ circles, the second has } n-1, \dots, \text{the last has } 1. \\ \text{Arrows point from each circle to the bottom row.} \end{array} = \left( \frac{1}{n!} \right)^2 \begin{array}{c} \text{Diagram of } A \text{ showing } n \text{ columns and } n \text{ rows of blue circles.} \\ \text{The first column has } n \text{ circles, the second has } n-1, \dots, \text{the last has } 1. \\ \text{Arrows point from each circle to the bottom row.} \end{array} = \left( \frac{1}{n!} \right)^2 \begin{array}{c} \text{Diagram of } B \text{ showing } n \text{ columns and } n \text{ rows of blue circles.} \\ \text{The first column has } n \text{ circles, the second has } n-1, \dots, \text{the last has } 1. \\ \text{Arrows point from each circle to the bottom row.} \end{array}$$

$$= \text{Det } A \text{ Det } B$$

**Corollary.**  $T$  is nonsingular iff  $T$  is invertible, and  $\text{Det } T^{-1} = \frac{1}{\text{Det } T}$ .

Proof.  $1 = \text{Det } I = \text{Det}[T \ T^{-1}] = \text{Det } T \ \text{Det } T^{-1}$  ■

**Corollary.** If  $T$  is orthogonal, then  $\text{Det } T = \pm 1$ .

Proof. Clearly  $\text{Det } T^T = \text{Det } T$ . But  $T^T = T^{-1}$ . So

$$\text{Det } T \stackrel{[13.22]}{=} \frac{1}{\text{Det}(T^{-1})} = \frac{1}{\text{Det}(T^T)} = \frac{1}{\text{Det } T}$$

**Definition.** Vectors  $v$  and  $w$  are **orthogonal** if  $v \cdot w = 0$ . That is, the angle between them is  $90^\circ$ .

**Theorem.** A matrix is orthogonal (i.e.,  $T^T = T^{-1}$ ) iff its column vectors are mutually orthogonal unit vectors.

Proof. Write the matrix  $T$  as  $T = [t_1 \cdots t_n]$  where  $t_i$  are the column vectors. So

$$T^T = \begin{bmatrix} t_1^T \\ \vdots \\ t_n^T \end{bmatrix} \text{ where } t_i^T \text{ are row vectors.}$$

$T$  is orthogonal

$$\Leftrightarrow \begin{bmatrix} 1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 1 \end{bmatrix} = \begin{bmatrix} t_1^T \\ \vdots \\ t_n^T \end{bmatrix} \begin{bmatrix} t_1 & \cdots & t_n \end{bmatrix} = \begin{bmatrix} t_1^T t_1 & \cdots & t_1^T t_n \\ \vdots & \ddots & \vdots \\ t_n^T t_1 & \cdots & t_n^T t_n \end{bmatrix}$$

$$\Leftrightarrow t_i^T t_j = \delta_{ij}; \text{i.e., } t_i \perp t_j \text{ if } i \neq j \text{ and } \|t_i\|^2 = t_i^T t_i = 1$$

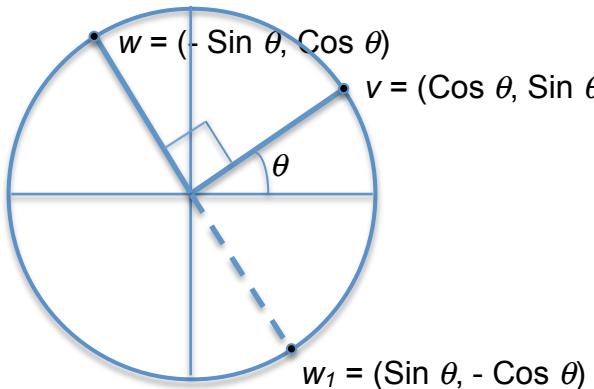
$\Leftrightarrow$  the column vectors are orthogonal unit vectors. ■

**Example.**  $T = \frac{1}{\sqrt{10}} \begin{bmatrix} 1 & -3 \\ 3 & 1 \end{bmatrix}$  has orthogonal unit column vectors and

$$TT^T = \frac{1}{10} \begin{bmatrix} 1 & -3 \\ 3 & 1 \end{bmatrix} \begin{bmatrix} 1 & 3 \\ -3 & 1 \end{bmatrix} = \frac{1}{10} \begin{bmatrix} 10 & 0 \\ 0 & 10 \end{bmatrix} = I.$$

**Example.** Orthogonal  $2 \times 2$  Matrices: A and B

$$\text{Let } A = \begin{pmatrix} v & w \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}. \quad A^T = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}.$$



$$A^T = A^{-1} :$$

$$AA^T = \begin{pmatrix} \sin^2 \theta + \cos^2 \theta & 0 \\ 0 & \sin^2 \theta + \cos^2 \theta \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I \quad \checkmark$$

$$\text{Similarly } A^T A = I \quad \checkmark$$

So A is an orthogonal matrix  $\checkmark$

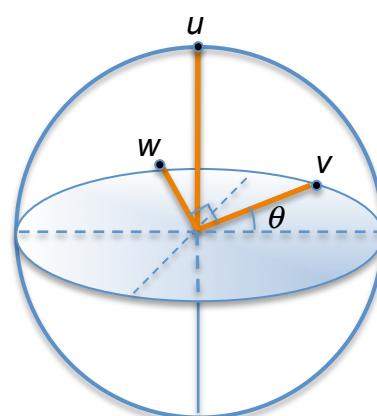
$$\det A = \det A^T = \cos^2 \theta + \sin^2 \theta = 1 \quad \checkmark$$

The column vectors of A are orthogonal:  $v \perp w \quad \checkmark$

Let  $B = \begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{pmatrix} = B^T$ . Then  $BB^T = I$ ,  $\det B = \det B^T = -1$ , and its column vectors  $v$  and  $w_1$  are orthogonal.

**Examples.** Orthogonal  $3 \times 3$  Matrices: A, B, and C

$$\text{Let } v = \begin{pmatrix} \cos \theta \\ \sin \theta \\ 0 \end{pmatrix}, w = \begin{pmatrix} -\sin \theta \\ \cos \theta \\ 0 \end{pmatrix}, \text{ and } u = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}.$$



$$\text{Let } A = \begin{pmatrix} & & \\ v & w & u \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

$$A^T = \begin{pmatrix} \cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}. A \text{ is orthogonal, its columns are orthogonal vectors,}$$

and its determinant is +1. ✓

$$\text{Let } B = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & -1 \end{pmatrix}. B \text{ is orthogonal and its determinant is -1. ✓}$$

Let C be a  $\theta$ -rotation of A about an axis  $\{t(a,b,c) : 0 < t < \infty, a^2 + b^2 + c^2 = 1\}$ :

$$C = \begin{pmatrix} \frac{1}{2}[1+a^2-b^2-c^2+(1-a^2+b^2+c^2)\cos \theta] & 2\sin \frac{\theta}{2}\left[-c\cos \frac{\theta}{2}+ab\sin \frac{\theta}{2}\right] & 2\sin \frac{\theta}{2}\left[b\cos \frac{\theta}{2}+ac\sin \frac{\theta}{2}\right] \\ 2\sin \frac{\theta}{2}\left[c\cos \frac{\theta}{2}+ab\sin \frac{\theta}{2}\right] & \frac{1}{2}[1-a^2+b^2-c^2+(1+a^2-b^2+c^2)\cos \theta] & 2\sin \frac{\theta}{2}\left[-a\cos \frac{\theta}{2}+bc\sin \frac{\theta}{2}\right] \\ 2\sin \frac{\theta}{2}\left[-b\cos \frac{\theta}{2}+ac\sin \frac{\theta}{2}\right] & 2\sin \frac{\theta}{2}\left[a\cos \frac{\theta}{2}+bc\sin \frac{\theta}{2}\right] & \frac{1}{2}[1-a^2-b^2+c^2+(1+a^2+b^2-c^2)\cos \theta] \end{pmatrix}$$

It can be directly verified that C is an orthogonal matrix with mutually orthogonal column vectors and determinant +1. ✓

**Definition.** A **symmetry** of a vector space  $(V, +)$  is a non-singular linear transformation  $T : V \mapsto V$ . That is,  $T$  is 1-1, onto, and preserves the vector space structure:  $T(a v + b w) = a T v + b T w$

**Definition.** The **General Linear Group  $GL(n)$**  is the group of symmetries of an  $n$ -dimensional vector space.

**Theorem.**  $GL(n)$  is the group of non-singular  $(n \times n)$  matrices.

**Proof.** Let  $T \in GL(n)$ . Since  $T(a v + b w) = a T v + b T w$ ,  $T$  is a linear transformation. Were  $T$  singular, then by [13.17]  $\text{Dim } TV < n \Rightarrow T$  is not onto, a contradiction. Therefore  $T$  is a non-singular linear transformation. Thus in any basis,  $T$  is represented by a non-singular matrix. ■

**Definition.** The **Special Linear Group  $SL(n)$**  is the subset of  $GL(n)$  having determinant = 1.

**Theorem.**  $SL(n)$  is a normal subgroup of  $GL(n)$ .

Proof. First,  $SL(n)$  is a group:

**Closed:** If  $S_1, S_2 \in SL(n)$ , then  $\text{Det}(S_1 S_2) = \text{Det}(S_1) \text{Det}(S_2) = 1$   
 $\Rightarrow S_1 S_2 \in SL(n)$ .

**Identity:**  $\text{Det}(I) = 1 \Rightarrow I \in SL(n)$

**Inverse:**  $1 = \text{Det}(I) = \text{Det}(S_1 S_1^{-1}) = \text{Det}(S_1) \text{Det}(S_1^{-1}) = \text{Det}(S_1^{-1})$   
 $\Rightarrow S_1^{-1} \in SL(n)$

Also,  $SL(n)$  is normal:

Let  $S \in SL(n)$  and  $G \in GL(n)$ . Then  
 $\text{Det}(G^{-1} S G) = \text{Det}(G^{-1}) \text{Det}(S) \text{Det}(G) = \text{Det}(G^{-1}) \text{Det}(G)$   
 $= \text{Det}(G G^{-1}) = \text{Det}(I) = 1$   
 $\Rightarrow G^{-1} S G \in SL(n) \Rightarrow G^{-1} SL(n) G = SL(n)$  ■

The groundwork has now been laid to introduce the table, below, that shows the relationships between  $SO(3)$ ,  $O(3)$ ,  $SL(3)$ ,  $GL(3)$ , general linear transformations, orthogonality, determinants, and symmetries. The table shows that

$SO(3) \subset O(3) \subset GL(3) \subset \mathcal{A}(\mathbb{R}^3)$  and  $SO(3) \subset SL(3) \subset GL(3)$ . It shows that

$GL(3)$  is both the set of symmetries of  $\mathbb{R}^3$  and the set of non-singular matrices.

$\mathcal{A}(\mathbb{R}^3) = 3 \times 3$  Real Matrices

Vector Space of Linear Transformations on  $\mathbb{R}^3$

Determinant	Orthogonal	Unit sphere maps to a ...	Matrix Type
0	No	Circle, Ellipse, line segment or point	Singular
Between -1 and 0	No	Contracted reflected sphere or ellipsoid	
Between 0 and +1	No	Contracted sphere or ellipsoid	
-1	Yes	Reflected sphere	
	No	Reflected ellipsoid	$O(3)$
+1	Yes	$SO(3) = \text{sphere}$	$SL(3)$
	No	Ellipsoid	
< -1	No	Expanded reflected sphere or ellipsoid	
> 1	No	Expanded sphere or ellipsoid	

$GL(3)$

Non-singular

Symmetries of  $\mathbb{R}^3$

In general, non-singular matrices rotate and/or reflect and then squeeze and stretch the resultant unit sphere into an ellipsoid. However, singular matrices are more severe. They rotate and/or reflect and then squash the unit sphere down to a 2-dimensional circle or ellipse or even to a line or a point.

Only orthogonal matrices preserve the sphere without squeezing or stretching any portion of it. This is achieved by limiting its operation to rotations and reflections. Matrices with orthogonal column vectors but determinant  $\neq \pm 1$  also expand or contract the sphere but in a uniform manner.

Consider a matrix that contains non-orthogonal vectors. In such a case the angle between the 1<sup>st</sup> and 2<sup>nd</sup> column vectors might be less than 90°, squeezing the sphere along associated plane. The angle between the 2<sup>nd</sup> and 3<sup>rd</sup> vectors would then be greater than 90°, stretching the sphere along that plane.

Matrices with positive determinant act on the sphere. Matrices with negative determinant behave exactly the same but act on the reflected sphere.

**Definition.** The **Trace** of A is  $\text{Tr}_r(A) = \text{Tr} \downarrow = T^k_{\ k} = T^1_1 + \cdots T^n_n$ .

Theorem: [Bud]

$$\begin{aligned} \text{Tr} \downarrow &= \frac{1}{(n-1)!} \begin{array}{c} \text{Diagram showing } a, b, c \text{ above } r, s, t \\ \text{with a blue arrow pointing down from } a \end{array} = \frac{1}{(n-1)!} \begin{array}{c} \text{Diagram showing } a, b, c \text{ above } r, s, t \\ \text{with a blue arrow pointing down from } b \end{array} = \cdots \\ &= \frac{1}{(n-1)!} \begin{array}{c} \text{Diagram showing } a, b, c \text{ above } r, s, t \\ \text{with a blue arrow pointing down from } c \end{array} \end{aligned}$$

Proof: Let  $\mathcal{P}_{ab\dots c}$  and  $\mathcal{P}_{rs\dots t}$  be the sets of permutations of  $(a, b, \dots, c)$  and  $(r, s, \dots, t)$ ,

$$\begin{aligned} \text{respectively. Let } B &= \begin{array}{c} \text{Diagram showing } a, b, c \text{ above } r, s, t \\ \text{with a blue arrow pointing down from } a \end{array} \\ &= \epsilon_{ab\dots c}^{\pi} T^a_r \delta_s^b \cdots \delta_t^c = \sum_{\pi \in \mathcal{P}_{ab\dots c}} \sum_{\pi' \in \mathcal{P}_{rs\dots t}} \epsilon_{\pi(a)\pi(b)\dots\pi(c)}^{\pi'(r)\pi'(s)\dots\pi'(t)} T^{\pi(a)}_{\pi'(r)} \delta_{\pi'(s)}^{\pi(b)} \cdots \delta_{\pi'(t)}^{\pi(c)}. \end{aligned}$$

Fix  $\pi$ . The only non-zero term in the sum is

$$\epsilon_{\pi(a)\pi(b)\dots\pi(c)}^{\pi(a)\pi(b)\dots\pi(c)} T^{\pi(a)}_{\pi(a)} \delta_{\pi(b)}^{\pi(b)} \cdots \delta_{\pi(c)}^{\pi(c)} = T^{\pi(a)}_{\pi(a)}.$$

I showed in Problem [13.22] that  $\epsilon_{xy\dots z}^{xyz} = 1$  for any fixed  $(x, y, \dots, z)$ .

Thus,  $B = \sum_{\pi \in \mathcal{P}_{ab...c}} T^{\pi(a)}_{\pi(a)}$ . This sum has  $n!$  terms composed of  $(n - 1)!$  terms equal to  $T^a_a$ ,  $(n - 1)!$  terms equal to  $T^b_b$ , ..., and  $(n - 1)!$  terms equal to  $T^c_c$ . So,

$$B = (n - 1)! (T^a_a + T^b_b + \dots + T^c_c) = (n - 1)! \text{Tr}(A) = (n - 1)! \text{Tr}$$



Similarly for the other figures. ■

**Theorem.** [13.24]  $\text{Det}(I + A) = 1 + \text{Tr}(A)$  if we ignore 2<sup>nd</sup> order and higher terms.

**Definition.**  $e^A \equiv \sum_{k=1}^{\infty} \frac{1}{k!} A^k$

**Theorem.** [13.25]  $\text{Det } e^A = e^{\text{Tr}(A)}$ .

**Definition.** Let  $T$  be a linear transformation on a complex vector space  $V$ . An **eigenvector** is a non-zero vector  $v$  for which  $\exists \lambda \in \mathbb{C}$  such that  $Tv = \lambda v$  or, equivalently,  $(T - \lambda I)v = 0$ .  $\lambda$  is called an **eigenvalue**.

Note.  $(T - \lambda I)v = 0$  and  $v \neq 0 \Rightarrow \text{Det}(T - \lambda I) = 0 \Rightarrow (T - \lambda I)$  is singular  $\Rightarrow \lambda$  is a root of  $\text{Det}(T - \lambda I)$ . That is, any root of  $\text{Det}(T - \lambda I)$  is an eigenvalue.

**Definition.**  $p_T(\lambda) = (\lambda_1 - \lambda) \cdots (\lambda_n - \lambda)$  is called the **characteristic polynomial** of  $T$ . For some constant  $K$ ,  $p_T(\lambda) = K \text{Det}(T - \lambda I)$ .

**Theorem.**  $p_T(\lambda) = 0$  is a polynomial equation of degree  $n$ . So  $T$  has  $n$  eigenvalues, possibly all the same. Thus, the linear transformation  $T$  has at least 1 eigenvector. Also, in general  $\lambda \in \mathbb{C}$  even if  $T$  is a real matrix.

**Definition.**  $\lambda$  has **multiplicity  $r$**  means that  $\lambda$  appears  $r$  times in the characteristic polynomial. Eigenvalue multiplicities are called **degeneracies** in Quantum Mechanics.

**Definition.** The set of eigenvectors corresponding to  $\lambda$  is a linear space called the **eigenspace of  $\lambda$** .

**Theorem.** If  $d$  is the dimension of the eigenspace of  $\lambda$  and  $r$  is the multiplicity of  $\lambda$  then  $1 \leq d \leq r$

**Theorem.** Let  $\{\lambda_i\}$  be the set of eigenvalues of an  $n \times n$  matrix  $T$ , and let  $r_i$  be the multiplicity of  $\lambda_i$ . Then  $\sum r_i = n$ .

Proof. Write the characteristic polynomial as  $p_T(\lambda) = (-1)^n (\lambda - \lambda_1)^{r_1} \cdots (\lambda - \lambda_m)^{r_m}$ . Since there are  $n$  eigenvalues,  $\sum r_i = n$ . ■

**Definition.** Let  $T$  be an  $n \times n$  matrix and  $S$  any nonsingular  $n \times n$  matrix. Then  $T$  and  $S^{-1}TS$  are called **similar matrices**.

**Theorem.**  $\lambda$  is an eigenvalue of  $T$  iff it is an eigenvalue of  $STS^{-1}$ .

Proof. Let  $x$  be an eigenvector of  $T$  corresponding to  $\lambda$ . Set  $y = Sx \neq 0$ . Then

$$\begin{aligned} Tx = \lambda x &\Leftrightarrow \lambda y = \lambda Sx = S\lambda x = STx = STS^{-1}Sx = STS^{-1}y \\ &\Leftrightarrow y \text{ is an eigenvector of } STS^{-1} \text{ corresponding to } \lambda \\ &\Leftrightarrow \lambda \text{ is an eigenvalue of } STS^{-1}. \quad ■ \end{aligned}$$

**Theorem.** [13.30] Suppose  $\{e_k\}$  and  $\{f_k\}$  are bases for a vector space  $V$ , and  $f_k = Te_k$ . Then

$$f_j = \begin{pmatrix} T^1_j \\ \vdots \\ T^n_j \end{pmatrix}.$$

That is, the components of  $f_j$  in basis  $\{e_k\}$  are  $(T^1_j, \dots, T^n_j)$ .

**Theorem.** [13.31] If the eigenspace dimension of every multiple eigenvalue equals its multiplicity, then there is a basis for  $V$  composed of eigenvectors, and the matrix of  $T$  in this basis is

$$T = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix}.$$

The next theorem states that even when the hypothesis of the above theorem is not satisfied, the matrix of  $T$  can at least be written in upper triangular form.

**Theorem.** (Note 13.12): **Jordan Canonical Form:**

Let  $\{\lambda_i\}$  be the set of eigenvalues of an  $n \times n$  matrix  $T$ , and let  $r_i$  be the multiplicity of  $\lambda_i$ . Then there is a basis for  $V$  such that the matrix of  $T$  in this basis is as shown at the right.

**Definition.** An  $n \times n$  matrix  $T$  is Hermitian if  $t_{ij} = \overline{t_{ij}}$  (complex conjugate).

$$\begin{array}{c|c|c|c} \lambda_1 & 1 & & \dots & 0 \\ & \lambda_1 & 1 & & \vdots \\ & & \ddots & & \vdots \\ & & & 1 & \\ & & & \lambda_1 & 0 \\ \hline & & & \lambda_2 & 1 \\ & & & & \ddots \\ & & & & 1 \\ & & & & \lambda_{n-1} \\ & & & & 0 \\ \hline & & & \lambda_n & 1 \\ & & & & \ddots \\ & & & & 1 \\ & & & & \lambda_n \\ \hline 0 & \dots & & & \end{array}$$

**Theorem.** Let  $T$  be an  $n \times n$  Hermitian matrix. Then the eigenspace dimension of every multiple eigenvalue equals its multiplicity. Thus there is a basis for  $T$  composed of eigenvectors and the matrix of  $T$  in this basis is diagonal.

## Representations and Lie Algebras

**Definition.** Let  $T : G \rightarrow \mathcal{G}$  be a homomorphism of a group  $G$  to some well-known standard group  $\mathcal{G}$ . The image  $T(G)$  is called a **Group Representation of  $G$** . In this section we take  $\mathcal{G}$  to be  $GL(n)$ , the multiplicative group of non-singular  $n \times n$  matrices.  $T$  is **faithful** if it is 1-1.

**Theorem.** [13.32] Every finite group has a faithful representation. Every finite dimensional Lie group has a locally faithful (?) representation.

We will see in Chapter 14 that the theory of representations of continuous groups by linear transformations can be converted to the study of representations of Lie algebras, which we define next.

**Definition.** An **algebra** is ring  $R$  that is also a vector space (that is, it has scalar multiplication in addition to addition and regular multiplication) and that for all  $a, b$  in  $R$  and scalar  $\alpha$  we have  $\alpha(ab) = (\alpha a)b = a(\alpha b)$ . If the underlying ring is associative, then it is an **associative algebra**.

**Example.** If  $V$  is a vector space then the set of linear transformations,  $A(V)$ , is an algebra. E.g.,  $A(\mathbb{R}^3)$ , the set of  $3 \times 3$  matrices, is an algebra: you can add and multiply matrices as well as multiply them by scalars.

**Example.**  $GL(3)$  is not an algebra nor even a ring because it is not closed under matrix addition. It is just a multiplicative group. For example, addition of 2 non-singular matrices can yield the zero matrix that is singular and not in  $GL(3)$ .

I next give the standard definition of a Lie algebra. I will shortly prove that Penrose's definition (of a special case) satisfies this Lie algebra definition.

**Definition.** (Standard definition)  $(\mathcal{g}, +, [\cdot, \cdot])$  is a **Lie algebra** if  $(\mathcal{g}, +)$  is a vector space over a field  $F$  and a **Lie bracket** binary operator exists and satisfies

- **Bilinearity:**

$$[ax + by, z] = a[x, z] + b[y, z] \text{ and } [z, ax + by] = a[z, x] + b[z, y] \\ \forall a, b \in F \text{ and } x, y, z \in \mathcal{g}$$

- **Alternativity:**  $[x, x] = 0 \quad \forall x \in \mathcal{g}$

- **Jacobi Identity:**  $[x, [y, z]] + [z, [x, y]] + [y, [z, x]] = 0 \quad \forall x, y, z \in \mathcal{g}$

Note that bilinearity and alternativity imply

- **Anticommutativity:**  $[x, y] = -[y, x]$ :

$$0 = [x + y, x + y] = \cancel{[x, x]} + [x, y] + [y, x] + \cancel{[y, y]} \quad \checkmark$$

**Theorem.** A Lie algebra is an algebra.

Proof. We have only to show that  $(\mathcal{g}, +, [\cdot, \cdot])$  is a ring, and only the bracket distributive property remains to be shown. But left and right bracket distributivity follow from bilinearity. ■

**Example.**  $(\mathbb{R}^3, +, \times)$  is a Lie algebra where  $\times$  is the cross product.

**Theorem.** [13.34] Let  $A, B \in \mathcal{g}$ . Then

$$(a) (I + \epsilon A)(I + \epsilon B) = I + \epsilon(A + B) \text{ if we ignore terms } o(\epsilon)^2$$

$$(b) (I + \epsilon A)(I + \epsilon B)(I + \epsilon A)^{-1}(I + \epsilon B)^{-1} = I + \epsilon^2[A, B] \text{ if we ignore } o(\epsilon)^3$$

If “infinitesimals”  $a$  and  $b$  are represented by  $(I + \epsilon A)$  and  $(I + \epsilon B)$ , then we see that the product  $aba^{-1}b^{-1}$  is represented by Lie brackets. Thus we make the following definition.

**Definition.** Let  $G$  be a group.  $\{aba^{-1}b^{-1} : a, b \in G\}$  is the **set of group commutators**.

**Definition.** (Penrose's definition) Let  $\mathcal{G}$  be a subgroup of  $GL(n)$ . Let  $(\mathcal{G}^*, \cdot)$  be the vector space generated from  $\mathcal{G}$  by the addition of scalar multiplication. For  $A, B \in \mathcal{G}^*$ , a **Lie bracket** is  $[A, B] \equiv AB - BA$  (commutator operation). Note that

$[A, B]$  is not necessarily in  $\mathcal{G}$  because  $\mathcal{G}$  is not required to be closed under subtraction. Let  $\mathcal{G}^{**}$  be the set of commutators generated from  $\mathcal{G}^*$ ; i.e.,  $\mathcal{G}^{**} = \{[A, B] : A, B \in \mathcal{G}^*\}$ . The **Lie algebra generated by  $\mathcal{G}$**  is the algebra  $\mathfrak{g}$  generated from  $\mathcal{G}^{**}$  by applying  $+$ ,  $-$ , and Lie bracket operations repeatedly until nothing new occurs. Again, note that  $\mathcal{G}$  is not necessarily contained in  $\mathfrak{g}$ .

**Construction.** We generate  $\mathfrak{g}$  inductively:

$$\begin{aligned}\mathfrak{g}_0 &= \mathcal{G}^{**} && \text{Level 0} \\ \mathfrak{g}_1 &= \{A \pm B : A, B \in \mathfrak{g}_0\} \cup \{[A, B] : A, B \in \mathfrak{g}_0\} && \text{Level 1} \\ \mathfrak{g}_2 &= \{A \pm B : A, B \in \mathfrak{g}_1\} \cup \{[A, B] : A, B \in \mathfrak{g}_1\} && \text{Level 2} \\ &\vdots \\ \mathfrak{g}_\infty &= \bigcup_{k=1}^{\infty} \mathfrak{g}_k && \text{Level } \infty\end{aligned}$$

**Claim:**  $\mathfrak{g} = \mathfrak{g}_\infty$ :

Let  $A, B \in \mathfrak{g}_\infty$ . Then  $\exists n$  such that  $A, B \in \mathfrak{g}_n$ . Thus  $A \pm B \in \mathfrak{g}_{n+1} \subset \mathfrak{g}_\infty$  and  $[A, B] \in \mathfrak{g}_{n+1} \subset \mathfrak{g}_\infty$ . So  $\mathfrak{g}_\infty$  is closed under  $+$ ,  $-$ , and  $[\cdot, \cdot]$ .  $\checkmark$

**Example.** Let  $\mathcal{G} = \text{SL}(n, \mathbb{R})$ , the multiplicative group of real matrices having determinant 1. Show  $\mathfrak{g}$  is the set of  $n \times n$  matrices having trace 0.

**Solution.**

$$\mathcal{G} = \{A : \det A = 1\}.$$

$$\mathcal{G}^* = \{\alpha A : \alpha \in \mathbb{R} \text{ and } \det A = 1\}$$

$$\begin{aligned}\mathfrak{g}_0 &= \mathcal{G}^{**} = \{[C, D] : C, D \in \mathcal{G}^*\} = \{[\alpha A, \beta B] : \alpha, \beta \in \mathbb{R} \text{ and } A, B \in \mathcal{G}\} \\ &= \{\alpha \beta [A, B] : \alpha, \beta \in \mathbb{R} \text{ and } A, B \in \mathcal{G}\} = \{\alpha [A, B] : \alpha \in \mathbb{R} \text{ and } A, B \in \mathcal{G}\}.\end{aligned}$$

First, observe that if  $A = (a_{ij})$  and  $B = (b_{ij})$  are any matrices then

$$AB = \left( \sum_k a_{ik} b_{kj} \right), \quad BA = \left( \sum_k a_{kj} b_{ik} \right)$$

$$\Rightarrow \text{Tr } AB = \sum_{i,k} a_{ik} b_{ki}$$

and

$$\text{Tr } BA = \sum_{i,k} a_{ki} b_{ik} = \sum_{i,k} a_{ik} b_{ki} = \text{Tr } AB. \quad \checkmark$$

Therefore for all  $A, B$ :

$$\text{Tr } [A, B] = \text{Tr } (AB - BA) = \text{Tr } AB - \text{Tr } BA = 0. \quad \checkmark$$

So, let  $D = [A, B] \in g_0 = G^{**} \Rightarrow \text{Tr}(D) = 0$ . We proceed by induction. Suppose  $D \in g_k \Rightarrow \text{Tr}(D) = 0$  and let  $A \in g_{k+1}$ . Either  $A = B \pm C$  or  $A = [B, C]$  where  $B, C \in g_k$ . By induction hypothesis  $\text{Tr}(B) = 0 = \text{Tr}(C)$ . If  $A = B \pm C$  then  $\text{Tr}(A) = \text{Tr}(B) \pm \text{Tr}(C) = 0$ . If  $A = [B, C]$  then  $\text{Tr}(A) = \text{Tr}[B, C] = 0$ . Therefore  $\text{Tr}(A) = 0 \quad \forall A \in g$ . ✓

Conversely, suppose  $\text{Tr } A = 0$ .  $A \in g$  if for some  $n$ ,  $A \in g_{n+1}$ . To show that  $A \in g_{n+1}$  we wish to find matrices  $B, C \in g_n$  such that either  $A = B \pm C$  or  $A = [B, C]$ . That seems hard to do. It is not difficult to show for  $G = \text{SL}(n, \mathbb{R})$  that  $g = \{A : \exists p \in \mathbb{Z}^+ \text{ and } A_p \in g_0 \text{ such that } A = A_1 + \dots + A_p\}$ . It might be easier to find  $A_k \in g_0$  such that  $A = A_1 + \dots + A_n$ . By definition,  $A_k = [\beta_k B_k, \gamma_k C_k]$  where  $\det B_k = \det C_k = 1$ . However, I cannot figure out how to find  $A_1 + \dots + A_n$ . ■

**Lemma.** [13.35] Let  $A, B \in g$  and  $\lambda \in \mathbb{C}$ . Then

- (a)  $[A+B, C] = [A, C] + [B, C]$  and  $[\lambda A, B] = \lambda [A, B]$  (Lie bracket left distributivity)
- (b)  $[B, A] = -[A, B]$  (Lie bracket antisymmetry, also called anticommutativity)
- (c)  $[A, [B, C]] + [B, [C, A]] + [C, [A, B]] = 0$  (Jacobi identity)
- (d)  $\dim G^* \leq \dim G$ . If  $T$  is faithful, then  $\dim G^* = \dim G$

**Theorem.**  $(g, +, [\cdot, \cdot])$  is an associative Lie algebra.

Proof. We must show that  $g$  is a vector space, that bracket satisfies bilinearity, alternativity, and the Jacobi identity, and that the algebra is associative.

$(g, +)$  is an Abelian group:

- We just showed that  $g_\infty$  is closed under  $+$
- $[0] \in g$  because for any  $A \in g$  we have  $[0] = A - A$
- $-A \in g$  because  $g_\infty$  is closed under subtraction
- $g$  is commutative because  $+$  operates on matrices

$(g, +)$  is a vector space over  $\mathbb{R}$  (or  $\mathbb{C}$ ):

First we must confirm that if  $\alpha$  is a scalar and  $A \in g$  then  $\alpha A \in g$ . This is true for Level 0 since  $g^*$  is a vector space and  $g^{**}$  is constructed from  $g^*$ . Using simple induction, the same argument shows that if it is true for level  $k$  then it is true for level  $k+1$ .

Next, let  $\alpha$  and  $\beta$  be scalars and  $A, B, C \in g$ .

(1)  $\alpha(A+B) = \alpha A + \alpha B$  is true because  $A$  and  $B$  are matrices.

Similarly,

$$(2) (\alpha + \beta)A = \alpha A + \beta A,$$

$$(3) \alpha(\beta A) = (\alpha\beta)A, \text{ and}$$

$$(4) 1A = A.$$

Bilinearity:

$$\begin{aligned} [\alpha A + \beta B, C] &= (\alpha A + \beta B)C - C(\alpha A + \beta B) = \alpha(AC - CA) + \beta(BC - CB) \\ &= \alpha[A, C] + \beta[B, C] \end{aligned}$$

because  $A, B, C$  are matrices. Similarly right bilinearity holds

Alternativity:

$$[A, A] = A A - A A = 0$$

Jacobi Identity: (part of lemma, but here is the proof)

$$\begin{aligned} &[A, [B, C]] + [B, [C, A]] + [C, [A, B]] \\ &= [A(BC - CB) - (BC - CB)A] + [B(CA - AC) - (CA - AC)B] \\ &\quad + [C(AB - BA) - (AB - BA)C] \\ &= [ABC - ACB - BCA + CBA] + [BCA - BAC - CAB + ACB] \\ &\quad + [CAB - CBA - ABC + BAC] \\ &= 0 \end{aligned}$$

■

The ring  $(g, +, [\cdot, \cdot])$  is associative:

We must show  $[A, [B, C]] = [[A, B], C]$  for  $A, B, C \in g$ .

$$[A, [B, C]] = A(BC - CB) - (BC - CB)A = ABC - ACB - BCA + CBA$$

$$[[A, B], C] = (AB - BA)C - C(AB - BA) = ABC - BAC - CAB + CBA$$

■

**Convention.** Henceforth we assume  $T$  is faithful. Thus,  $\dim \mathcal{G}^* = n = \dim \mathcal{G}$ .

**Definition.** Let  $n$  be the dimension of the vector space  $\mathcal{G}^*$  and  $(E_1, E_2, \dots, E_n)$  a basis for  $\mathcal{G}^*$ . Then

$$\exists \gamma_{\alpha\beta}^\chi \text{ where } \alpha, \beta, \chi \in \{1, 2, \dots, n\} \text{ such that } [E_\alpha, E_\beta] = \gamma_{\alpha\beta}^\chi E_\chi.$$

The  $n^3$  components  $\gamma_{\alpha\beta}^\chi$  are called the **structural constants for G** and can be expressed in diagrammatic form as shown at right.



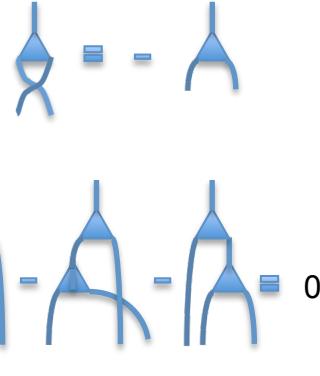
The  $\gamma_{\alpha\beta}^\chi$  are not all independent because they satisfy relations in the next theorem.

**Theorem.** [13.36]  $\gamma_{\beta\alpha}^\chi = -\gamma_{\alpha\beta}^\chi$  and  $\gamma_{[\alpha\beta}^\xi \gamma_{\chi]\xi}^\zeta = 0$

Proof. This follows from Lie bracket antisymmetry and the Jacobi identity.



This theorem can be expressed in diagrammatic form as shown.



**Definition.** Let  $V$  be a vector space.

The **dual space**  $V^*$  is defined to be  $V^* = \{f: V \rightarrow \mathbb{R} \text{ or } \mathbb{C}; f \text{ is a linear map}\}$ . By

convention the vector space consists of column vectors  $x = \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$ . The next

theorem says that the dual space consists of row vectors  $y = [y_1 \cdots y_n]$ .

**Theorem.** Let  $V$  be a vector space (of column vectors) and

$V^T = [y : y \text{ is a row vector and } y^T \in V]$  where the superscript T means transpose.

Then

$$(1) V^* = \left\{ f_y : V \rightarrow \mathbb{R} \text{ or } \mathbb{C} : f_y : x \mapsto y^T x \text{ for } x \in V \text{ and } y \in V^T \right\}$$

and

$$(2) V^* \cong V^T.$$

Proof. Let  $x \in V$  denote a column vector:  $x = \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$ . Consider the basis for  $V$

composed of  $\{e_1, e_2, \dots, e_n\}$  where  $e_k = \begin{bmatrix} 0 \\ \vdots \\ 1_k \\ \vdots \\ 0 \end{bmatrix}$ .

Let  $f \in V^*$ . Define  $y_k = f(e_k)$  for all  $k$  and set  $y = [y_1, \dots, y_n]$ . Then

$$\begin{aligned} f(x) &= f\left(\sum_{k=1}^n x_k e_k\right) = \sum_{k=1}^n f(x_k e_k) = \sum_{k=1}^n x_k f(e_k) = \sum_{k=1}^n x_k y_k \\ &= [y_1, \dots, y_n] \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = yx \\ &= f_y(x) \end{aligned}$$

Thus every linear map  $f: V \rightarrow \mathbb{R}$  or  $\mathbb{C}$  equals  $f_y$  for some  $y \in V$ , and this shows also that every map  $f_y$  is linear and thus belongs to  $V^*$ . This proves (1) ✓

The mapping  $f: V^T \rightarrow V^*: f(y) = f_y$  is an isomorphism because

$$[f(y+z)](x) = f_{y+z}(x) = (y+z)x = yx + zx = f_y(x) + f_z(x) = [f(y) + f(z)](x)$$

for  $y, z \in V^T$ , and

$$[f(\alpha y)](x) = f_{\alpha y}(x) = \alpha yx = \alpha f_y(x) = [\alpha f(y)](x) \text{ for a scalar } \alpha. \blacksquare$$

**Note:** Is  $V^T$  isomorphic to  $V$ ? Consider the natural map  $\mu: V^T \rightarrow V: y \mapsto y^T$  where  $y$  is a row vector in  $V^T$  and  $y^T$  is a column vector in  $V$ . Set  $y_3 = y_1 + y_2$ . Then  $\mu(y_1 + y_2) = \mu(y_3) = y_3^T = y_1^T + y_2^T = \mu(y_1) + \mu(y_2)$  and  $\mu(\alpha y) = (\alpha y)^T = \alpha y^T = \alpha \mu(y)$ . Thus  $\mu$  is a homomorphism and it is clearly 1-1 and onto.

**Definition.** Let  $G$  be a group. A vector space  $V$  is called a **representation space for  $G$**  if  $G$  is represented by a group  $\mathcal{G}$  of linear transformations on  $V$ .

Let  $T \in \mathcal{G}$ . For  $x \in V$ ,  $T: V \rightarrow V$  can be written as  $x \mapsto Tx$ , or in matrix form as  $x^a \mapsto T^a_b x^b$  where  $T^a_b$  is an  $n \times n$  matrix and  $x^a$  and  $x^b$  are column  $n$ -vectors.

Set

$$S = T^{-1}, \text{ or } S^a_b = (T^a_b)^{-1}.$$

Then

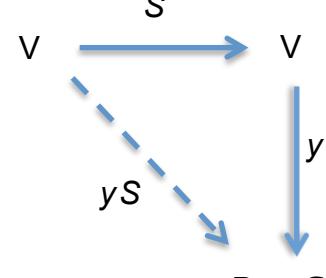
$$ST = I, \text{ or } S^a_b T^b_c = \delta^a_c.$$

Let  $y \in V^*$  (actually,  $y \in V^T \cong V^*$ ). Then

$$y: V \rightarrow \mathbb{R} \text{ or } \mathbb{C}: x \mapsto yx$$

for  $x \in V$ . Consider the identity map

$$yx \mapsto yx = y(ST)x = (yS)(Tx).$$



Since

$$x \mapsto Tx,$$

if this map is a homomorphism preserving  $yx$ , then it must also map

$$y \mapsto yS, \text{ or } y_a \mapsto y_b S^b_a$$

where  $S^b_a = (T^b_a)^{-1}$  is a matrix and  $y_a$  and  $y_b$  are row vectors. We prefer to use column vectors so we write

$$y^T \mapsto S^T y^T, \text{ or } y^a \mapsto (S^T)_b^a y^b$$

Observe from the figure that  $yS \in V^*$ . Also observe that the inverse operation reverses the order of multiplication and the transpose reverses it back to the same order as for  $T$ .

The mapping  $y^T \mapsto S^T y^T$  plays the central role in the representation-space theorem for tensors (in a few pages). In the representation-space theorem for the dual space, next, the mapping  $(y \mapsto yS) : V^* \rightarrow V^*$  plays the central role.

**Theorem.** If  $V$  is a representation space for a group  $G$ , then so is  $V^*$ .

Proof. By definition of representation, there is a subgroup  $\mathcal{G} \subset GL(n)$  and an isomorphism  $T : G \rightarrow \mathcal{G}$  such that  $\forall g \in G \quad T(g) : V \rightarrow V$  is a linear transformation on  $V$ . We seek another subgroup  $\mathcal{G}^* \subset GL(n)$  and an isomorphism  $T' : G \rightarrow \mathcal{G}^*$  such that  $\forall g \in G \quad T'(g) : V^* \rightarrow V^*$  is a linear transformation on  $V^*$ .

Define

$$(1) \quad T_g = T(g) \text{ for } g \in G.$$

Since  $T$  is an isomorphism,

$$(2) \quad T_{g_1 g_2} \stackrel{(1)}{=} T(g_1 g_2) = T(g_1) T(g_2) \stackrel{(1)}{=} T_{g_1} T_{g_2} \text{ for } g_1, g_2 \in G.$$

Let

$$(3) \quad S_g = T_g^{-1}.$$

Define a mapping

$$(4) \quad T'_g : V^* \rightarrow V^* : T'_g(y) = yS_g \text{ for } y \in V^*.$$

Recall that  $y$  is a row vector as is  $yS$ . Define

$$\mathcal{G}^* = \{T'_g : g \in G\}$$

and

$$(5) \quad \mathcal{T}: G \rightarrow G^*: \mathcal{T}(g) = T_g \text{ for } g \in G.$$

$G^*$  is clearly a subgroup of  $\text{GL}(n)$ . We need to show that  $\mathcal{T}$  is an isomorphism.

Let  $g_1, g_2 \in G$  and set

$$(6) \quad g_3 = g_1 g_2.$$

Then

$$(7) \quad T_{g_3} = T_{g_1 g_2} = T_{g_1} T_{g_2}$$

which implies

$$(8) \quad S_{g_3} = T_{g_3}^{-1} = T_{g_2}^{-1} T_{g_1}^{-1} = S_{g_2} S_{g_1}.$$

Let  $y \in V^*$ . Then

$$\begin{aligned} \mathcal{T}(g_1 g_2)(y) &= \mathcal{T}(g_3)(y) = T_{g_3}(y) = y S_{g_3} = y S_{g_2} S_{g_1} = [T_{g_2}(y)] S_{g_1} \\ &= T_{g_1} [T_{g_2}(y)] = \mathcal{T}(g_1)[\mathcal{T}(g_2)(y)] = [\mathcal{T}(g_1)\mathcal{T}(g_2)](y), \end{aligned}$$

or

$$\mathcal{T}(g_1 g_2) = \mathcal{T}(g_1)\mathcal{T}(g_2).$$

That is,  $\mathcal{T}$  is a homomorphism ✓

To show that  $\mathcal{T}$  is an isomorphism, we must show that it is 1-1.

$$\begin{aligned} \mathcal{T}(g_1) = \mathcal{T}(g_2) &\Leftrightarrow T_{g_1} = T_{g_2} \Leftrightarrow y S_{g_1} = y S_{g_2} \quad \forall y \in V^* \\ &\Leftrightarrow S_{g_1} = S_{g_2} \Leftrightarrow T_{g_1} = T_{g_2} \Leftrightarrow \mathcal{T}(g_1) = \mathcal{T}(g_2) \\ &\Rightarrow g_1 = g_2 \text{ since } \mathcal{T} \text{ is an isomorphism.} \end{aligned}$$

So  $\mathcal{T}$  is 1-1. ✓

## Tensors

**Definitions.** Let  $V$  and  $W$  be vector spaces (over the same field) having bases

$\{e^i\}_{i=1}^m$  and  $\{f^j\}_{j=1}^n$ , respectively, where  $e^i = \begin{bmatrix} 0 \\ \vdots \\ 1_i \\ \vdots \\ 0 \end{bmatrix}$  and  $f^j = \begin{bmatrix} 0 \\ \vdots \\ 1_j \\ \vdots \\ 0 \end{bmatrix}$  are vectors.

Let  $v = v^i = v_i e^i = \begin{bmatrix} v_1 \\ \vdots \\ v_m \end{bmatrix}$ ,  $w = w^j = w_j f^j = \begin{bmatrix} w_1 \\ \vdots \\ w_n \end{bmatrix}$ , and  $T: V \times W \rightarrow V \times W$ .

A map  $T$  is an outer product if  $T(v, w) = \sum_{i,j} v_i * w_j$  for some operation.

If  $m = n$ , a map  $T$  is an inner product if  $T(v, w) = \sum_{i=1}^n v_i * w_i$

$T$  is bilinear if it is linear in  $v$  and  $w$  independently; i.e.,  $T$  satisfies

$$(1) \alpha T(v, w) = T(\alpha v, w) = T(v, \alpha w)$$

$$(2) T(u + v, w) = T(u, w) + T(v, w)$$

$$(3) T(v, w + x) = T(v, w) + T(v, x)$$

The tensor product of vector spaces  $V$  and  $W$  is the vector space

$$V \otimes W : \left\{ \alpha_{ij} e^i \otimes f^j : \alpha_{ij} \text{ is a scalar} \right\}$$

with addition defined component-wise:

$$P^{ij} + Q^{ij} = \begin{bmatrix} \alpha_{11} & \cdots & \alpha_{1n} \\ \vdots & & \vdots \\ \alpha_{m1} & \cdots & \alpha_{mn} \end{bmatrix} + \begin{bmatrix} \beta_{11} & \cdots & \beta_{1n} \\ \vdots & & \vdots \\ \beta_{m1} & \cdots & \beta_{mn} \end{bmatrix} = \begin{bmatrix} \alpha_{11} + \beta_{11} & \cdots & \alpha_{1n} + \beta_{1n} \\ \vdots & & \vdots \\ \alpha_{m1} + \beta_{m1} & \cdots & \alpha_{mn} + \beta_{mn} \end{bmatrix}$$

Note.  $\text{Dim}(V \otimes W) = (\text{Dim } V)(\text{Dim } W)$ :

$\{e_i \otimes f_j\}$  has  $nm$  elements and is a basis for  $V \otimes W$ .

The tensor product  $\otimes: V \times W \rightarrow V \otimes W$  is the outer product

$$\begin{aligned}
\mathbf{v} \otimes \mathbf{w} &= \begin{bmatrix} v_1 \\ \vdots \\ v_m \end{bmatrix} \otimes \begin{bmatrix} w_1 \\ \vdots \\ w_n \end{bmatrix} = \begin{bmatrix} v_1 \otimes \begin{bmatrix} w_1 \\ \vdots \\ w_n \end{bmatrix} \\ \vdots \\ v_m \otimes \begin{bmatrix} w_1 \\ \vdots \\ w_n \end{bmatrix} \end{bmatrix} = \begin{bmatrix} v_1 \otimes w_1 & \cdots & v_1 \otimes w_n \\ \vdots & & \vdots \\ v_m \otimes w_1 & \cdots & v_m \otimes w_n \end{bmatrix} \\
&= \begin{bmatrix} v_1 w_1 e^1 \otimes f^1 & \cdots & v_1 w_n e^1 \otimes f^n \\ \vdots & & \vdots \\ v_m w_1 e^m \otimes f^1 & \cdots & v_m w_n e^m \otimes f^n \end{bmatrix} = v_i w_j e^i \otimes f^j = v^i \otimes w^j
\end{aligned}$$

or  $\mathbf{v} \otimes \mathbf{w} = \begin{bmatrix} v_1 w_1 & \cdots & v_1 w_n \\ \vdots & & \vdots \\ v_m w_1 & \cdots & v_m w_n \end{bmatrix}$  for short,

with the following rules (that make  $\otimes$  bilinear):

$$(1) \alpha(\mathbf{v} \otimes \mathbf{w}) = (\alpha \mathbf{v}) \otimes \mathbf{w} = \mathbf{v} \otimes (\alpha \mathbf{w}), \text{ where } \alpha \text{ is a scalar.}$$

$$(2) (\mathbf{x} + \mathbf{v}) \otimes \mathbf{w} = \mathbf{x} \otimes \mathbf{w} + \mathbf{v} \otimes \mathbf{w}$$

$$\mathbf{v} \otimes (\mathbf{y} + \mathbf{w}) = \mathbf{v} \otimes \mathbf{y} + \mathbf{v} \otimes \mathbf{w}.$$

Rules (1) and (2) appear more natural in matrix form:

$$\begin{aligned}
(1) \quad \alpha \begin{bmatrix} v_1 w_1 & \cdots & v_1 w_n \\ \vdots & & \vdots \\ v_m w_1 & \cdots & v_m w_n \end{bmatrix} &= \begin{bmatrix} (\alpha v_1) w_1 & \cdots & (\alpha v_1) w_n \\ \vdots & & \vdots \\ (\alpha v_m) w_1 & \cdots & (\alpha v_m) w_n \end{bmatrix} \\
&= \begin{bmatrix} v_1(\alpha w_1) & \cdots & v_1(\alpha w_n) \\ \vdots & & \vdots \\ v_m(\alpha w_1) & \cdots & v_m(\alpha w_n) \end{bmatrix}
\end{aligned}$$

$$(2) \quad \begin{aligned} & \left[ \begin{array}{ccc} (x_1 + v_1)w_1 & \cdots & (x_1 + v_1)w_n \\ \vdots & & \vdots \\ (x_m + v_m)w_1 & \cdots & (x_m + v_m)w_n \end{array} \right] \\ & = \left[ \begin{array}{ccc} x_1 w_1 & \cdots & x_1 w_n \\ \vdots & & \vdots \\ x_m w_1 & \cdots & x_m w_n \end{array} \right] + \left[ \begin{array}{ccc} v_1 w_1 & \cdots & v_1 w_n \\ \vdots & & \vdots \\ v_m w_1 & \cdots & v_m w_n \end{array} \right] \end{aligned}$$

**Note 1.** The components  $v_i \otimes w_j = v_i w_j$  may not be multiplied out, reverse ordered, or combined in any way because they belong to different vector spaces. Also, in Quantum Mechanics,  $v$  is the state of one system and  $w$  the state of another system. So combining them in any way doesn't make sense.

**Note 2.**  $v \otimes w \in V \otimes V$  as well as sums of such products.

**Note 3.**  $0 = 0 \otimes w = v \otimes 0 = 0 \otimes 0$  and  $-(v \otimes w) = (-v) \otimes w = v \otimes (-w)$ :

$0 = 0 \otimes w = v \otimes 0$  follows from (1) by setting  $\alpha = 0$ . Of course this includes  $0 = 0 \otimes 0$  since it holds for  $v = 0 = w$ . Also,

$$0 + v \otimes w = 0 \otimes w + v \otimes w = \stackrel{(2)}{(0 + v) \otimes w} = v \otimes w \quad \checkmark$$

$$v \otimes w + (-v) \otimes w = \stackrel{(2)}{(v - v) \otimes w} = 0 \quad \checkmark$$

**Example.**  $Q = \begin{bmatrix} 0 & 1 \otimes 1 \\ -1 \otimes 1 & 0 \end{bmatrix}$  is an example of an element of  $V \otimes W$  that cannot be expressed as  $v \otimes w$  for any  $v \in V$  and  $w \in W$ :

Suppose  $v = \begin{bmatrix} a \\ b \end{bmatrix}$  and  $w = \begin{bmatrix} r \\ s \end{bmatrix}$ . Then  $v \otimes w = \begin{bmatrix} a \otimes r & a \otimes s \\ b \otimes r & b \otimes s \end{bmatrix}$ . So  $a = 0$

or  $r = 0$ . If  $a = 0$  then  $v \otimes w = \begin{bmatrix} 0 & 0 \\ b \otimes r & b \otimes s \end{bmatrix} \Rightarrow 1 \otimes 1 = 0$ , a contradiction. If

$r = 0$  then  $v \otimes w = \begin{bmatrix} 0 & a \otimes s \\ 0 & b \otimes s \end{bmatrix} \Rightarrow -1 \otimes 1 = 0$ , a contradiction.

**Theorem.** Suppose  $x \otimes y = v \otimes w$  where  $x = x_i e^i$ ,  $y = y_j e^j$ ,  $v = v_i e^i$ , and  $w = w_j e^j$ . Then  $\forall i, j \quad x_i y_j = v_i w_j$ .

Proof. Since  $\otimes$  is a bilinear operation,

$$\begin{aligned} 0 &= x \otimes y - v \otimes w = x_i e^i \otimes y_j f^j - v_i e^i \otimes w_j f^j \\ &= x_i y_j e^i \otimes f^j - v_i w_j e^i \otimes f^j = (x_i y_j - v_i w_j) e^i \otimes f^j \quad \blacksquare \end{aligned}$$

Note. If  $X = \alpha V$  and  $y = \frac{1}{\alpha} W$ , then  $x_i y_j = \alpha v_i \frac{1}{\alpha} w_j = v_i w_j$  and so  $X \otimes Y = V \otimes W$ .

However, it does not have to be so tidy. There can be a different  $\alpha = \alpha_{ij}$  for each  $i j$ -pair.

**Notation.**  $Q^{ab} = x^a \otimes w^b$  denotes the  $a$ - $b$  component of the tensor  $Q = X \otimes W$ .

$Q^{ba} = x^b \otimes w^a$  denotes the  $b$ - $a$  component. When there is no confusion we often refer to the tensor as  $Q^{ab}$ .

**Definition.** Let  $S$  be a linear transformation on  $V$  and  $T$  a linear transformation on  $W$ . The **tensor product of  $S$  and  $T$**  is the bilinear transformation

$$S \otimes T : V \otimes W \rightarrow V \otimes W : S \otimes T(v \otimes w) = Sv \otimes Tw.$$

**Note 1. Bilinear** means linear in each of  $V$  and  $W$  separately, a reminder that there is no mixing of  $V$  and  $W$ .

**Note 2. Multilinear** means linear in each of several vector spaces separately.

**Note 3.** In matrix notation,  $S = S^a_b$  and  $T = T^c_d$  are 2-dimensional arrays, i.e.,  $n \times n$  matrices. Since we don't mix  $S$  and  $T$ ,  $S \otimes T = R^{ac}_{bd}$ , a 4-dimensional array, or an  $n \times n \times n \times n$  matrix. Similarly,  $v \otimes w = u^{ij}$  is a 2-dimensional array.

**Definition.** Let  $V$  be an  $n$ -dimensional vector space and let  $V^*$  be its dual space. Recall that if a vector space is composed of column vectors  $x^f, \dots, x^h$  then the dual space is composed of row vectors  $y_a, \dots, y_c$ .

Let  $p$  and  $q$  be positive integers and let  $V_a, \dots, V_c$  be  $q$  copies of  $V$  and let  $V_e, \dots, V_f$  be  $p$  copies of  $V$ . The **tensor product space of  $V$**  is

$$\mathcal{V} = V_a^* \otimes \cdots \otimes V_c^* \otimes V_e \otimes \cdots \otimes V_h.$$

**Theorem.** [13.38]  $\mathcal{V}$  is an  $n^{p+q}$ -dimensional vector space.

Proof. This follows from the prior theorem since

$$\text{Dim } \mathcal{V} = \underbrace{n \times \cdots \times n}_{q \text{ terms}} \times \underbrace{n \times \cdots \times n}_{p \text{ terms}}. \quad \blacksquare$$

**Definition.** An element of  $\mathcal{V}$  can be denoted

$$Q = Q_{a \dots c}^{f \dots h} = y_a \otimes \dots \otimes y_c \otimes x^f \otimes \dots \otimes x^h.$$

Recall from Chapter 12 that  $Q$  is a  $\begin{bmatrix} p \\ q \end{bmatrix}$ -valent tensor over  $V$ , an abstract

quantity with  $p$  upper and  $q$  lower indices. Recall that “abstract” means that  $Q$  is not tied to a particular basis for  $V$ .

$Q$  can be expressed as a generalized  $n \times n \times \dots \times n$  matrix, a **( $p+q$ )-dimensional array**. For example,  $Q_b^a$  is an  $n \times n$  matrix, a 2-dimensional array.  $Q_c^{ab}$  is an  $n \times n \times n$  matrix, a 3-dimensional array.

$Q^T$  can be considered to be an element of  $\mathcal{V}^*$ , a multilinear function, as follows. Consider  $q$  row vectors  $A_a, \dots, C_c$  and  $p$  column vectors  $F^f, \dots, H^h$ . Then  $Q^T : A_a \cdots C_c F^f \cdots H^h \mapsto A_a \cdots C_c Q_{f \dots h}^{a \dots c} F^f \cdots H^h$ . We sometimes write this as  $Q^T : \mathcal{V} \rightarrow \mathbb{R}$  or  $\mathbb{C} : Q^T(y, \dots, y, x, \dots, x) = y_a \cdots y_c Q_{f \dots h}^{a \dots c} x^f \cdots x^h$ .

Array Dimension	Tensor	# of Entries
0	Scalar	1
1	Vector	$n$
2	Matrix	$n^2$
3	3-Tensor (cube of numbers)	$n^3$
$n$	$n$ -Tensor ( $n$ -dimensional hypercube of numbers)	$n^k$

**Example.** Let  $V = \mathbb{R}^2$ , Let  $S$  and  $T$  be linear transformations on  $V$ . Given a basis for  $V$ ,  $S$  and  $T$  can be represented by matrices  $A$  and  $B$ , respectively:

$$A_{a'}^a = \begin{pmatrix} a_1^1 & a_2^1 \\ a_1^2 & b_2^2 \end{pmatrix} \text{ and } B_{b'}^b = \begin{pmatrix} b_1^1 & b_2^1 \\ b_1^2 & b_2^2 \end{pmatrix}.$$

Then

$$A_{a'}^a \otimes B_{b'}^b = \left[ \begin{array}{cc} a_1^1 \begin{pmatrix} b_1^1 & b_2^1 \\ b_1^2 & b_2^2 \end{pmatrix} & a_2^1 \begin{pmatrix} b_1^1 & b_2^1 \\ b_1^2 & b_2^2 \end{pmatrix} \\ a_1^2 \begin{pmatrix} b_1^1 & b_2^1 \\ b_1^2 & b_2^2 \end{pmatrix} & a_2^2 \begin{pmatrix} b_1^1 & b_2^1 \\ b_1^2 & b_2^2 \end{pmatrix} \end{array} \right]$$

$$= \begin{bmatrix} \begin{pmatrix} a_1^1 b_1^1 & a_1^1 b_2^1 \\ a_1^1 b_1^2 & a_1^1 b_2^2 \end{pmatrix} & \begin{pmatrix} a_2^1 b_1^1 & a_2^1 b_2^1 \\ a_2^1 b_1^2 & a_2^1 b_2^2 \end{pmatrix} \\ \begin{pmatrix} a_1^2 b_1^1 & a_1^2 b_2^1 \\ a_1^2 b_1^2 & a_1^2 b_2^2 \end{pmatrix} & \begin{pmatrix} a_2^2 b_1^1 & a_2^2 b_2^1 \\ a_2^2 b_1^2 & a_2^2 b_2^2 \end{pmatrix} \end{bmatrix},$$

a 4-dimensional hypercube. Note that a  $2 \times 2$  array is a matrix with 4 entries, a  $2 \times 2 \times 2$  array is a cube with 8 entries, and  $C$  is a  $2 \times 2 \times 2 \times 2$  4-dimensional array with 16 entries.

If  $v = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$  and  $w = \begin{bmatrix} w_1 \\ w_2 \end{bmatrix}$  are vectors, then

$$\begin{aligned} A^a_{\alpha} \otimes B^b_{\beta} (v^{\alpha} \otimes w^{\beta}) &= A^a_{\alpha} (v^{\alpha}) \otimes B^b_{\beta} (w^{\beta}) \\ &= \begin{pmatrix} a_1^1 & a_1^2 \\ a_2^1 & a_2^2 \end{pmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} \otimes \begin{pmatrix} b_1^1 & b_1^2 \\ b_2^1 & b_2^2 \end{pmatrix} \begin{bmatrix} w_1 \\ w_2 \end{bmatrix} \\ &= \begin{pmatrix} a_1^1 v_1 + a_1^2 v_2 \\ a_2^1 v_1 + a_2^2 v_2 \end{pmatrix} \otimes \begin{pmatrix} b_1^1 w_1 + b_1^2 w_2 \\ b_2^1 w_1 + b_2^2 w_2 \end{pmatrix}. \end{aligned}$$

Remember, we don't multiply this out because we don't mix  $v$  and  $w$ .

**Theorem.** [13.39] The linear transformation  $x \mapsto Tx$  (or  $x^a \mapsto T^a_b x^b$ ) on  $V$  induces a linear transformation  $T: Q_{a \dots c}^{f \dots h} \mapsto (S^T)_a^{a'} \dots (S^T)_c^{c'} T_f^f \dots T_h^h Q_{a' \dots c'}^{f' \dots h'}$  on  $\mathcal{V}$  where  $S = T^{-1}$  and  $S^T$  is the transpose of  $S$ . More precisely, if  $Q$  is the tensor product of  $q$  covectors and  $p$  vectors, then

$$Q_{a \dots c}^{f \dots h} = y_a \otimes \dots \otimes y_c \otimes x^f \otimes \dots \otimes x^h,$$

and

$$\begin{aligned} T(Q) &= (S^T)_a^{a'} \otimes \dots \otimes (S^T)_c^{c'} \otimes T_f^f \otimes \dots \otimes T_h^h (y_{a'} \otimes \dots \otimes y_{c'} \otimes x^{f'} \otimes \dots \otimes x^{h'}) \\ &= (S^T)_a^{a'} (y_{a'}) \otimes \dots \otimes (S^T)_c^{c'} (y_{c'}) \otimes T_f^f (x^{f'}) \otimes \dots \otimes T_h^h (x^{h'}). \end{aligned}$$

Proof. To show that  $T$  is linear, let  $P$  and  $Q$  be  $\begin{bmatrix} p \\ q \end{bmatrix}$ -valent tensors,  $\alpha$  a scalar,

and  $R = P + Q$ . Then

$$\begin{aligned}
T(P_{a \dots c}^{f \dots h} + Q_{a \dots c}^{f \dots h}) &= T(R_{a \dots c}^{f \dots h}) = (S^T)_{a'}^{a'} \dots (S^T)_{c'}^{c'} T_{f'}^f \dots T_{h'}^h R_{a' \dots c'}^{f' \dots h'} \\
&= (S^T)_{a'}^{a'} \dots (S^T)_{c'}^{c'} T_{f'}^f \dots T_{h'}^h (P_{a' \dots c'}^{f' \dots h'} + Q_{a' \dots c'}^{f' \dots h'}) \\
&= (S^T)_{a'}^{a'} \dots (S^T)_{c'}^{c'} T_{f'}^f \dots T_{h'}^h P_{a' \dots c'}^{f' \dots h'} + (S^T)_{a'}^{a'} \dots (S^T)_{c'}^{c'} T_{f'}^f \dots T_{h'}^h Q_{a' \dots c'}^{f' \dots h'} \\
&= T(P_{a \dots c}^{f \dots h}) + T(Q_{a \dots c}^{f \dots h})
\end{aligned}$$

and

$$\begin{aligned}
T(\alpha Q_{a \dots c}^{f \dots h}) &= T((\alpha Q)_{a \dots c}^{f \dots h}) = (S^T)_{a'}^{a'} \dots (S^T)_{c'}^{c'} T_{f'}^f \dots T_{h'}^h (\alpha Q)_{a' \dots c'}^{f' \dots h'} \\
&= \alpha (S^T)_{a'}^{a'} \dots (S^T)_{c'}^{c'} T_{f'}^f \dots T_{h'}^h Q_{a' \dots c'}^{f' \dots h'} \\
&= \alpha T(Q_{a \dots c}^{f \dots h})
\end{aligned}$$
■

The next lemma shows that the multilinear tensor product definition enables a certain amount of tensor interchanging even though there is no commutativity per se.

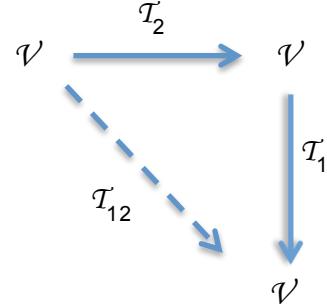
**Lemma.** Let  $V$  be a vector space and  $T : V \rightarrow V$  a linear transformation. Let  $S = T^{-1}$ . Then

$$\begin{aligned}
&S_{a'}^{a'} \dots S_{c'}^{c'} T_{f'}^f \dots T_{h'}^h S_{a''}^{a''} \dots S_{c''}^{c''} T_{f''}^{f'} \dots T_{h''}^{h'} \\
&= S_{a'}^{a'} S_{a''}^{a''} \dots S_{c'}^{c'} S_{c''}^{c''} T_{f'}^f T_{f''}^{f'} \dots T_{h'}^h T_{h''}^{h'}.
\end{aligned}$$

**Proof.** The lemma is summarized in the figure at the right.  $\mathcal{V} = V^* \otimes \dots \otimes V^* \otimes V \otimes \dots \otimes V$ ,  $T_1 T_2$  is represented by the first expression, and  $T_{12}$  is represented by the second one. Let

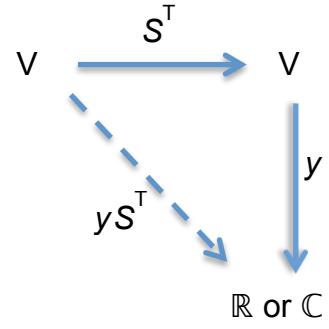
$Q_{a \dots c}^{f \dots h} = y_a \otimes \dots \otimes y_c \otimes x^f \otimes \dots \otimes x^h \in \mathcal{V}$ . We wish to show that  $T_1 T_2 Q = T_{12} Q$ , which we get by applying the definition of the (multilinear) tensor product twice:

$$\begin{aligned}
&S_{a'}^{a'} \dots S_{c'}^{c'} T_{f'}^f \dots T_{h'}^h S_{a''}^{a''} \dots S_{c''}^{c''} T_{f''}^{f'} \dots T_{h''}^{h'} Q_{a'' \dots c''}^{f'' \dots h''} \\
&= S_{a'}^{a'} \otimes \dots \otimes S_{c'}^{c'} \otimes T_{f'}^f \otimes \dots \otimes T_{h'}^h \otimes S_{a''}^{a''} \otimes \dots \otimes S_{c''}^{c''} \otimes T_{f''}^{f'} \otimes \dots \otimes T_{h''}^{h''} \\
&= (y_{a''} \otimes \dots \otimes y_{c''} \otimes x^{f''} \otimes \dots \otimes x^{h''}) \\
&= S_{a'}^{a'} \otimes \dots \otimes S_{c'}^{c'} \otimes T_{f'}^f \otimes \dots \otimes T_{h'}^h \\
&= (S_{a''}^{a''} y_{a''} \otimes \dots \otimes S_{c''}^{c''} y_{c''} \otimes T_{f''}^{f'} x^{f''} \otimes \dots \otimes T_{h''}^{h''} x^{h''}) \\
&= S_{a'}^{a'} S_{a''}^{a''} y_{a''} \otimes \dots \otimes S_{c'}^{c'} S_{c''}^{c''} y_{c''} \otimes T_{f'}^f T_{f''}^{f'} x^{f''} \otimes \dots \otimes T_{h'}^h T_{h''}^{h''} x^{h''} \\
&= S_{a'}^{a'} S_{a''}^{a''} \dots S_{c'}^{c'} S_{c''}^{c''} T_{f'}^f T_{f''}^{f'} \dots T_{h'}^h T_{h''}^{h''} Q_{a'' \dots c''}^{f'' \dots h''}
\end{aligned}$$
■



In the next theorem, the mapping  $y \mapsto yS^T$  plays the central role, replacing  $y \mapsto yS$  that was used to show that  $V^*$  is a representation space. Since  $S$  is a square matrix,  $S^T$  (as well as  $S$ ) is a linear transformation on  $V$  and  $yS^T \in V^*$  as the figure at the right shows.

**Theorem.** If  $V$  is a representation space for a group  $G$ , then so is the tensor product space  $\mathcal{V}$ .



Proof. By definition of representation, there is a subgroup  $G \subset \text{GL}(n)$  and an isomorphism  $T : G \rightarrow G$  such that  $\forall g \in G \quad T(g) : V \rightarrow V$  is a linear transformation on  $V$ . We seek another subgroup  $G^* \subset \text{GL}(n)$  and an isomorphism  $T : G \rightarrow G^*$  such that  $\forall g \in G \quad T(g) : \mathcal{V} \rightarrow \mathcal{V}$  is a linear transformation on  $\mathcal{V}$ . Denote

$$(1) \quad T_g = T(g) \text{ for } g \in G.$$

Since  $T$  is an isomorphism,

$$(2) \quad T_{g_1 g_2} = T(g_1 g_2) = T(g_1) T(g_2) = T_{g_1} T_{g_2} \quad \text{for } g_1, g_2 \in G.$$

Set

$$(3) \quad S_g = T_g^{-1}.$$

By prior theorem [13.39],  $T_g$  induces a linear transformation  $T_g$  on  $\mathcal{V}$ :

For  $Q_{a \dots c}^{f \dots h} \in \mathcal{V}$ , define

$$T_g : \mathcal{V} \rightarrow \mathcal{V} : T_g(Q_{a \dots c}^{f \dots h}) = (S^T)_{a}^{a'} \otimes \dots \otimes (S^T)_{c}^{c'} \otimes T_{f'}^f \otimes \dots \otimes T_{h'}^h (Q_{a' \dots c'}^{f' \dots h'})$$

or, in abbreviated format,

$$(4) \quad T_g = (S^T)_{a}^{a'} \dots (S^T)_{c}^{c'} T_{f'}^f \dots T_{h'}^h.$$

Define

$$G^* = \{T_g : g \in G\}$$

and

$$(5) \quad T : G \rightarrow G^* : T(g) = T_g \text{ for } g \in G.$$

$G^*$  is clearly a subgroup of  $\text{GL}(n)$ . We show that  $\mathcal{T}$  is a homomorphism. Let  $g_1, g_2 \in G$  and set

$$(6) \quad g_3 = g_1 g_2.$$

We need to show that  $\mathcal{T}(g_1 g_2) = \mathcal{T}(g_1) \mathcal{T}(g_2)$ .

$$(7) \quad T_{g_3} = \overset{(6)}{T_{g_1 g_2}} = \overset{(2)}{T_{g_1} T_{g_2}} \text{ or } T_{g_3}^f = \overset{(f)}{T_{g_1}^f T_{g_2}^f}$$

$$\Rightarrow S_{g_3} = \overset{(3)}{T_{g_3}^{-1}} = \overset{(7)}{T_{g_2}^{-1} T_{g_1}^{-1}} = \overset{(3)}{S_{g_2} S_{g_1}}.$$

Therefore

$$(8) \quad S_{g_3}^T = S_{g_1}^T S_{g_2}^T \text{ or } (S_{g_3}^T)_{a'}^{a'} = (S_{g_1}^T)_{a'}^{a'} (S_{g_2}^T)_{a'}^{a''}$$

Observe that the inverse operation changed the order of  $g_1$  and  $g_2$ . Then the transpose operation changed it back to the desired order. So

$$(9) \quad \mathcal{T}(g_1 g_2) = \overset{(6)}{\mathcal{T}(g_3)} = \overset{(5)}{\mathcal{T}_{g_3}} = \overset{(4)}{(S_{g_3}^T)_{a'}^{a'} \dots (S_{g_3}^T)_{c'}^{c'} T_{g_3}^f \dots T_{g_3}^h}$$

$$= \overset{(7, 8)}{(S_{g_1}^T)_{a'}^{a'} (S_{g_2}^T)_{a'}^{a''} \dots (S_{g_1}^T)_{c'}^{c'} (S_{g_2}^T)_{c'}^{c''} T_{g_1}^f T_{g_2}^f \dots T_{g_1}^h T_{g_2}^h}$$

and

$$(10) \quad \mathcal{T}(g_1) \mathcal{T}(g_2) = \overset{(5)}{\mathcal{T}_{g_1} \mathcal{T}_{g_2}}$$

$$= \overset{(4)}{(S_{g_1}^T)_{a'}^{a'} \dots (S_{g_1}^T)_{c'}^{c'} T_{g_1}^f \dots T_{g_1}^h (S_{g_2}^T)_{a'}^{a''} \dots (S_{g_2}^T)_{c'}^{c''} T_{g_2}^f \dots T_{g_2}^h}$$

By the lemma, (9) = (10) and hence  $\mathcal{T}$  is a homomorphism. ✓

To show that  $\mathcal{T}$  is an isomorphism, we must show that it is 1-1. Again, let  $g_1, g_2 \in G$ . We must show that  $\mathcal{T}(g_1) = \mathcal{T}(g_2) \Rightarrow g_1 = g_2$ . To simplify notation, set

$$T = \mathcal{T}(g_1)$$

and

$$N = T(g_2).$$

$T$  and  $N$  are linear transformations on  $V$ . Set

$$S = T^{-1}$$

and

$$M = N^{-1}.$$

By (4),

$$T(g_1) \stackrel{(5)}{=} T_{g_1} = (S^\top)_{a'}^a \cdots (S^\top)_{c'}^c T_f^f \cdots T_h^h.$$

and

$$T(g_2) \stackrel{(5)}{=} T_{g_2} = (M^\top)_{a'}^a \cdots (M^\top)_{c'}^c N_f^f \cdots N_h^h.$$

So,

$$T(g_1) = T(g_2) \Leftrightarrow S_{a'}^a = M_{a'}^a, \dots, S_{c'}^c = M_{c'}^c, T_f^f = N_f^f, \dots, T_h^h = N_h^h.$$

because we don't mix dissimilar indices. Each of these expressions is equivalent to  $T = N$ . So,

$$T(g_1) = T(g_2) \Leftrightarrow T = N \Leftrightarrow T(g_1) = T(g_2) \Rightarrow g_1 = g_2$$

because  $T$  is an isomorphism. ■

**Definition.** Let  $V$  be an  $n$ -dimensional vector space and  $Q^{f \dots h} \in \mathcal{V} = V \otimes \dots \otimes V$  a

$\begin{bmatrix} p \\ 0 \end{bmatrix}$ -valent tensor. The **symmetric part of  $Q$**  is  $Q^{(f \dots h)} = \frac{1}{p!} \sum_{\pi} Q^{\pi(f) \dots \pi(h)}$  and the

**antisymmetric part of  $Q$**  is  $Q^{[f \dots h]} = \frac{1}{p!} \sum_{\pi} \text{Sign}(\pi) Q^{\pi(f) \dots \pi(h)}$ . Notice that

$Q = Q^{(f \dots h)} + Q^{[f \dots h]}$ . The **symmetric space** is  $\mathcal{V}_+ = \{Q^{(f \dots h)} : Q \in \mathcal{V}\}$  and the

**antisymmetric space** is  $\mathcal{V}_- = \{Q^{[f \dots h]} : Q \in \mathcal{V}\}$ .

**Theorem.** [13.40]

(1)  $\mathcal{V}_+$  and  $\mathcal{V}_-$  are vector spaces

(2)  $\mathcal{V}_+ \cap \mathcal{V}_- = \{0\}$

(3)  $\mathcal{V} = \mathcal{V}_+ \oplus \mathcal{V}_-$

(4)  $\text{Dim } \mathcal{V}_+ = \frac{n}{2}(n+1)$  and  $\text{Dim } \mathcal{V}_- = \frac{n}{2}(n-1)$

(5)  $P \in \mathcal{V}_+ \Rightarrow P^{f \dots h} = P^{\pi(f) \dots \pi(h)}$  for any permutation  $\pi$ ,

(6)  $P \in \mathcal{V}_- \Rightarrow P^{f \dots h} = \begin{cases} P^{\pi(f) \dots \pi(h)} & \text{if } \pi \text{ is even} \\ -P^{\pi(f) \dots \pi(h)} & \text{if } \pi \text{ is odd} \end{cases}$

Note. There is a parallel theory for  $\begin{bmatrix} 0 \\ q \end{bmatrix}$ -valent tensors  $Q_{a \dots c} \in V^* \otimes \dots \otimes V^*$ .

**Example.** Let  $Q \in \mathcal{V} = V \otimes V$  and  $P^{ab} = Q^{(ab)} \in \mathcal{V}_+$ . Then  $P^{ba} = P^{ab}$ . Let

$R^{ab} = Q^{[ab]} \in \mathcal{V}_-$ . Then  $R^{ba} = -R^{ab}$ .