Graded Homework 1, exercise 4

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Number of Minimum Cuts (25 points)

In the class, it was shown that the number of minimum cuts of any unweighted graph is at most $\binom{n}{2}$. Here we want to discuss two generalizations of this result.

- 1. In the lecture we proved that the number of minimum cuts in any unweighted graph is at most $\binom{n}{2}$. Prove the same result for weighted graphs. All the weights are positive.
- 2. For a graph G = (V, E), a subset of edges $E' \subseteq E$ is a k-cut if $G' = (V, E \setminus E')$ has at least k connected components. Prove that the number of minimum k-cuts in any unweighted graph is at most $2^{O(k)}n^{2k-2}$.

Solutions

1. Let G = (V, E, w) be our weighted graph, $w : E \to \mathbb{R}^+$. Here w(e) is the weight of the edge e. Let n = #V. Let M be the minimum cut size.

To prove that the number of minimum cut is at most $\binom{n}{2}$, we use a modified version of Karger's random contraction algorithm. When we sample edges, we don't do it uniformly, but the probability of sampling e from E' will be

$$\Pr(\text{samplig } e \text{ from } E') = \frac{w(e)}{\sum_{e \in E'} w(e)}.$$

We now prove the following lemma

Lemma 1 (Karger's efficency for weighted graphs). Given a minimum cut $\{S, V \setminus S\}$ of size M. The probability that the modified Karger's algorithm selects it is at least $\frac{1}{\binom{n}{2}}$

Proof. Let \tilde{E} be the set of edges that cut $\{S,V\setminus S\}$. We know that Krager's algorithm runs in no more than n-2 steps. We say that the algorithm fails if at any timestap it samples an element from \tilde{E} . Note that if the algorithm doesn't fail it outputs $\{S,V\setminus S\}$. To have more insight about why this is true one can look into Karger's algorithm on the lecture notes chapter 13.

Failing at step i: We now look at the probability of failing at step i assuming we didn't fail before.

The probability of failing corresponds to the probability of sampling $e \in \tilde{E}$ from E_i . E_i is the set of edges in the contracted graph, V_i is the set of vertices which have not been

contradiction. Note that $\#V_i = n - i + M$. Note that $\sum_{e \in E_i} w(e) \ge \frac{(n-i+1)M}{2}$. To show this we rewrite it as

$$\sum_{e \in E_i} w(e) = \frac{1}{2} \sum_{v \in V_i} \sum_{e \text{ adj. to } v} w(e)$$

$$\geq \frac{1}{2} \sum_{v \in V_i} M$$

$$= \frac{1}{2} \# V_i M = \frac{1}{2} (n - i + 1) M$$

Where in the first equality we rewrite the sum looking vertex by vertex at its outgoing edges. In this way, however, we count every vertex twice, therefore we add a $\frac{1}{2}$ factor to compensate. In the first line we use the fact that $\sum_{e \text{ adj. to } v} w(e)$ is just the size of the cut $\{\{e\}, V \setminus \{e\}\}\}$, therefore it must be larger than M.

Now, the probability of failing is

$$\Pr\left(\text{sampling } e \in \tilde{E} \mid e \in E_i\right) = \sum_{e \in \tilde{E}} \Pr\left(\text{sampling } e \mid e \in E_i\right)$$

$$= \sum_{e \in \tilde{E}} \frac{w(e)}{\sum_{e \in E'} w(e)} \qquad \text{modified algoritm}$$

$$\leq \frac{\sum_{e \in \tilde{E}} w(e)}{\frac{(n-i+1)M}{2}} = \frac{M}{\frac{(n-i+1)M}{2}} = \frac{2}{n-i+1}. \text{ using the result above}$$

Never failing: The probability of never failing is

Pr (never failing) = Pr (not fail at step
$$n-2$$
 | it didn't fail before $n-2$) \cdots Pr (not fail at step 1)
$$= \prod_{i=1}^{n-2} \Pr (\text{not fail at step } i \mid \text{didn't fail before } i)$$

$$= \prod_{i=1}^{n-2} \left(1 - \Pr (\text{fail at step } i \mid \text{didn't fail before } i)\right)$$

$$\geq \prod_{i=1}^{n-2} \left(1 - \frac{2}{n-i+1}\right)$$

$$= \prod_{i=1}^{n-2} \left(\frac{n-i-1}{n-i+1}\right)$$

$$= \frac{n-2}{n} \prod_{i=1}^{n-2} \frac{n-3}{n-1} \prod_{i=2}^{n-4} \cdots \frac{3}{5} \frac{2}{4} \frac{1}{3} = \frac{2}{n(n-1)} = \frac{1}{\binom{n}{n}}.$$

Since the probability of not failing, is the probability to sample $\{S, V \setminus S\}$, we have concluded the proof.

Finally, it's very easy to prove that there are no more than $\binom{n}{2}$ minimum cuts. By contradiction, assume that there were more than $\binom{n}{2}$ minimum cuts. Each one of them will have a probability of $\frac{1}{\binom{n}{2}}$ to be the output of Karger's algorithm. This means, that the total

probability of Karger's to output one of the minimum cuts is

$$\Pr(\text{sample a min. cut}) = \sum_{i=1}^{\text{num. of min. cuts} > \binom{n}{2}} \Pr(\text{the } i\text{-th min. cut is selected})$$

$$\geq \sum_{i=1}^{\text{num. of min. cuts} > \binom{n}{2}} \frac{1}{\binom{n}{2}} > 1$$

Where in the second line we used lemma 1. Of course having a probability to be more than 1 is a contradiction. This implies that the total number of minimum cuts cannot be more than $\binom{n}{2}$.

2. The proof of this part follows a very similar strategy to the one seen before. First we need and important lemma.

Lemma 2 (Bound number of edges). Let G = (V, E) be a graph (or multigraph) where, n = #V and the minimum k-cut has size M. Then

$$\#E \ge \frac{M}{1 - \left(1 - \frac{k-1}{n}\right)\left(1 - \frac{k-1}{n-1}\right)}.$$

Proof.

Now, we propose to use the Karger's algorithm but stopping after n-k iterations. The outputs will be a graph with k nodes where each node correspond to a subset of V. The k subsets will represent the selected k-cut. Now we can prove the key theorem

Theorem 1 (Karger's efficiency for k-cuts). Given a graph G = (V, E) where #V = n. Let

$$C = \left\{ A_1, A_2, \dots, A_{k-1}, V \setminus \left(\bigcup_{i=1}^{k-1} A_i \right) \right\}, \text{ where } A_i \cap A_j = \emptyset \ \forall i \neq j$$

be a minimum k-cut. The probability that the early-stopped (after n-k iterations) Karger's algorithm outputs C is

$$\Pr\left(Krager's\ outputs\ C\right) \ge c \frac{(k-1)^{2(k-1)}}{(ne)^{2k-2}}$$

Proof. Let \tilde{E} be the set of edges that cut C. Let $M=\#\tilde{E}$. We say that the algorithm fails if at some point it picks an edge from \tilde{E} . If it does so, the algorithm will not output C. If it does not, then it must output C. We divide the proof in two parts:

Failing at step i: We now look at the probability of failing at step i given that it has not failed before. Let $G_i = (V_i, E_i)$ the multigraph output of the previous steps. Note that the minimum cut of such graph must be M. Furthermore, note that $\#V_i = n - i + 1$ (at step 1 $V_1 = V$, and then we take out one node per iteration).

Thanks to lemma 2 we are guaranteed that

$$\#E_i \ge \frac{M}{1 - \left(1 - \frac{k-1}{\#V_i}\right)\left(1 - \frac{k-1}{\#V_{i-1}}\right)} = \frac{M}{1 - \left(1 - \frac{k-1}{n-i+1}\right)\left(1 - \frac{k-1}{n-i}\right)}.$$

Let \hat{e} be the edge sampled at the *i*-th step. Since we sample uniformly at random from E_i , we get that

$$\Pr\left(\hat{e} \in \tilde{E}\right) \le \frac{\#\tilde{E}}{\#E_i} = \frac{M}{\frac{M}{1 - \left(1 - \frac{k-1}{n-i+1}\right)\left(1 - \frac{k-1}{n-i}\right)}} = 1 - \left(1 - \frac{k-1}{n-i+1}\right)\left(1 - \frac{k-1}{n-i}\right).$$

Never failing: We now look at the probability that it never fails:

$$\Pr\left(\text{never failing}\right) = \Pr\left(\text{not fail at step } n - 2 \mid \text{it didn't fail before } n - 2\right) \cdots \Pr\left(\text{not fail at step } 1\right)$$

$$= \prod_{i=1}^{n-k} \Pr\left(\text{not fail at step } i \mid \text{didn't fail before } i\right)$$

$$= \prod_{i=1}^{n-k} \left(1 - \Pr\left(\text{fail at step } i \mid \text{didn't fail before } i\right)\right)$$

$$\geq \prod_{i=1}^{n-k} \left(1 - \frac{k-1}{n-i+1}\right) \left(1 - \frac{k-1}{n-i}\right)$$

$$= \prod_{i=1}^{n-k} \left(\frac{n-i-k+2}{n-i+1}\right) \left(\frac{n-i-k+1}{n-i}\right)$$

$$= \prod_{i=1}^{n-k} \left(\frac{n-i-k+2}{n-i+1}\right) \prod_{i=1}^{n-k} \left(\frac{n-i-k+1}{n-i}\right)$$

Now we look at A and B independently. For A we have:

$$A = \prod_{i=1}^{n-k} \left(\frac{n-i-k+2}{n-i+1} \right)$$

$$= \frac{n-k+1}{n} \frac{n-k}{n-1} \frac{n-k-1}{n-2} \cdots \frac{n-2k+2}{n-k+1} \cdots \frac{k-1}{2k-4} \cdots \frac{4}{k+3} \frac{3}{k+2} \frac{2}{k+1}$$

$$= \frac{n-k+1}{n} \frac{n-k}{n-1} \frac{n-k-1}{n-2} \cdots \frac{n-2k+2}{n-k+1} \cdots \frac{k+1}{2k} \cdots \frac{4}{k+3} \frac{3}{k+2} \frac{2}{k+1}$$

$$= \frac{k!}{\frac{n!}{(n-k+1)!}} = \frac{k(k-1)!}{\frac{n!}{(n-k+1)!}} = \frac{k}{\frac{n!}{(n-(k-1))!(k-1)!}} = \frac{k}{\binom{n}{k-1}}.$$

For B we have:

$$B = \prod_{i=1}^{n-k} \left(\frac{n-i-k+1}{n-i} \right)$$

$$= \frac{n-k}{n-1} \frac{n-k-1}{n-2} \frac{n-k-2}{n-3} \cdots \frac{n-2k+1}{n-k} \cdots \frac{k}{2k-1} \cdots \frac{3}{k+2} \frac{2}{k+1} \frac{1}{k}$$

$$= \frac{n-k}{n-1} \frac{n-k-1}{n-2} \frac{n-k-2}{n-3} \cdots \frac{n-2k+1}{n-k} \cdots \frac{k}{2k-1} \cdots \frac{3}{k+2} \frac{2}{k+1} \frac{1}{k}$$

$$= \frac{(k-1)!}{\frac{(n-1)!}{(n-k)!}} = \frac{1}{\frac{(n-1)!}{(n-1-(k-1))!(k-1)!}} = \frac{1}{\binom{n-1}{k-1}}$$

Finally, we can bound the probability of never failing by using a well known bound on the binomial coefficient: $\binom{n}{k} \leq \frac{n^k}{k!} \leq \left(\frac{ne}{k}\right)^k$.

$$\Pr\left(\text{never failing}\right) \ge AB = \frac{k}{\binom{n}{k-1}\binom{n-1}{k-1}}$$

$$\ge \frac{k}{\left(\frac{ne}{k-1}\right)^{k-1}\left(\frac{(n-1)e}{k-1}\right)^{k-1}}$$

$$\ge \frac{k}{\left(\frac{ne}{k-1}\right)^{2k-2}}$$

$$\ge \frac{k(k-1)^{2k-2}}{(ne)^{2k-2}}$$

Since if the algorithm never fails, then it picks exactly C; we have concluded the proof.

Once we have theorem 1, then the result is easy. Indeed, we cannot have more than

Number of minimum
$$k$$
-cuts $\leq \frac{(ne)^{2k-2}}{k(k-1)^{2k-2}}$.

If, by contradiction we assume we had more, we get that the total probability of getting one of the minimum cuts is more than 1, which is impossible (For a similar argument more in detail look at the last part of the previous point). Now, rearranging some terms we get:

Number of minimum
$$k$$
-cuts $\leq \frac{(ne)^{2k-2}}{k(k-1)^{2k-2}}$
= $e^{O(k)}n^{2k-2}\frac{1}{k(k-1)^{2k-2}}\leq 2^{O(k)}n^{2k-2}$.

Where $e^{O(k)} = 2^{O(k)\log_2 e} = 2^{O(k)}$, and $\frac{1}{k(k-1)^{2k-2}} \in O(1)$. This concludes the proof. As a final note, for values of k which are not very small, $\frac{1}{k(k-1)^{2k-2}}$ is much smaller than O(1), and a tighter bound could be obtained by not simplifying.