

First-Order Query Evaluation

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Abstract

We formalize first-order query evaluation over an infinite domain with equality. We first define the syntax and semantics of first-order logic with equality. Next we define a locale *eval_fo* abstracting a representation of a potentially infinite set of tuples satisfying a first-order query over finite relations. Inside the locale, we define a function *eval* checking if the set of tuples satisfying a first-order query over a database (an interpretation of the query's predicates) is finite (i.e., deciding *relative safety*) and computing the set of satisfying tuples if it is finite. Altogether the function *eval* solves *capturability* [2] of first-order logic with equality. We also use the function *eval* to prove a code equation for the semantics of first-order logic, i.e., the function checking if a first-order query over a database is satisfied by a variable assignment.

We provide an interpretation of the locale *eval_fo* based on the approach by Ailamazyan et al. [1]. A core notion in the interpretation is the active domain of a query and a database that contains all domain elements occurring in the query and the database. Our interpretation yields an *executable* function *eval*. Finally, we export code for the infinite domain of natural numbers.

Contents

```
theory Infinite
  imports Main
begin

class infinite =
  assumes infinite_UNIV: infinite (UNIV :: 'a set)
begin

lemma arb_element: finite Y  $\implies \exists x :: 'a. x \notin Y$ 
  using ex_new_if_finite infinite_UNIV
  by blast

lemma arb_finite_subset: finite Y  $\implies \exists X :: 'a \text{ set}. Y \cap X = \{\} \wedge \text{finite } X \wedge n \leq \text{card } X$ 
proof -
  assume fin: finite Y
  then obtain X where X  $\subseteq$  UNIV - Y finite X n  $\leq$  card X
  using infinite_UNIV
  by (metis Compl_eq_Diff_UNIV finite_compl infinite_arbitrarily_large order_refl)
then show ?thesis
  by auto
qed

lemma arb_countable_map: finite Y  $\implies \exists f :: (\text{nat} \Rightarrow 'a). \text{inj } f \wedge \text{range } f \subseteq \text{UNIV} - Y$ 
  using infinite_UNIV
  by (auto simp: infinite_countable_subset)

end
```

```

instance nat :: infinite
  by standard auto

end
theory FO
  imports Main
begin

abbreviation sorted_distinct xs  $\equiv$  sorted xs  $\wedge$  distinct xs

datatype 'a fo_term = Const 'a | Var nat

type_synonym 'a val = nat  $\Rightarrow$  'a

fun list_fo_term :: 'a fo_term  $\Rightarrow$  'a list where
  list_fo_term (Const c) = [c]
| list_fo_term _ = []

fun fv_fo_term_list :: 'a fo_term  $\Rightarrow$  nat list where
  fv_fo_term_list (Var n) = [n]
| fv_fo_term_list _ = []

fun fv_fo_term_set :: 'a fo_term  $\Rightarrow$  nat set where
  fv_fo_term_set (Var n) = {n}
| fv_fo_term_set _ = {}

definition fv_fo_terms_set :: ('a fo_term) list  $\Rightarrow$  nat set where
  fv_fo_terms_set ts =  $\bigcup$  (set (map fv_fo_term_set ts))

fun fv_fo_terms_list_rec :: ('a fo_term) list  $\Rightarrow$  nat list where
  fv_fo_terms_list_rec [] = []
| fv_fo_terms_list_rec (t # ts) = fv_fo_term_list t @ fv_fo_terms_list_rec ts

definition fv_fo_terms_list :: ('a fo_term) list  $\Rightarrow$  nat list where
  fv_fo_terms_list ts = remdups_adj (sort (fv_fo_terms_list_rec ts))

fun eval_term :: 'a val  $\Rightarrow$  'a fo_term  $\Rightarrow$  'a (infix  $\cdot$  60) where
  eval_term  $\sigma$  (Const c) = c
| eval_term  $\sigma$  (Var n) =  $\sigma$  n

definition eval_terms :: 'a val  $\Rightarrow$  ('a fo_term) list  $\Rightarrow$  'a list (infix  $\odot$  60) where
  eval_terms  $\sigma$  ts = map (eval_term  $\sigma$ ) ts

lemma finite_set_fo_term: finite (set_fo_term t)
  by (cases t) auto

lemma list_fo_term_set: set (list_fo_term t) = set_fo_term t
  by (cases t) auto

lemma finite_fv_fo_term_set: finite (fv_fo_term_set t)
  by (cases t) auto

lemma fv_fo_term_setD:  $n \in \text{fv\_fo\_term\_set } t \implies t = \text{Var } n$ 
  by (cases t) auto

lemma fv_fo_term_set_list: set (fv_fo_term_list t) = fv_fo_term_set t
  by (cases t) auto

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lemma sorted_distinct_fv_fo_term_list: sorted_distinct (fv_fo_term_list t)
  by (cases t) auto

lemma fv_fo_term_set_cong: fv_fo_term_set t = fv_fo_term_set (map_fo_term f t)
  by (cases t) auto

lemma fv_fo_terms_setI: Var m ∈ set ts ⇒ m ∈ fv_fo_terms_set ts
  by (induction ts) (auto simp: fv_fo_terms_set_def)

lemma fv_fo_terms_setD: m ∈ fv_fo_terms_set ts ⇒ Var m ∈ set ts
  by (induction ts) (auto simp: fv_fo_terms_set_def dest: fv_fo_term_setD)

lemma finite_fv_fo_terms_set: finite (fv_fo_terms_set ts)
  by (auto simp: fv_fo_terms_set_def finite_fv_fo_term_set)

lemma fv_fo_terms_set_list: set (fv_fo_terms_list ts) = fv_fo_terms_set ts
  using fv_fo_term_set_list
  unfolding fv_fo_terms_list_def
  by (induction ts rule: fv_fo_terms_list_rec.induct)
  (auto simp: fv_fo_terms_set_def set_insort_key)

lemma distinct_remdups_adj_sort: sorted xs ⇒ distinct (remdups_adj xs)
  by (induction xs rule: induct_list012) auto

lemma sorted_distinct_fv_fo_terms_list: sorted_distinct (fv_fo_terms_list ts)
  unfolding fv_fo_terms_list_def
  by (induction ts rule: fv_fo_terms_list_rec.induct)
  (auto simp add: sorted_insort intro: distinct_remdups_adj_sort)

lemma fv_fo_terms_set_cong: fv_fo_terms_set ts = fv_fo_terms_set (map (map_fo_term f) ts)
  using fv_fo_term_set_cong
  by (induction ts) (fastforce simp: fv_fo_terms_set_def)+

lemma eval_term_cong: (∧ n. n ∈ fv_fo_term_set t ⇒ σ n = σ' n) ⇒
  eval_term σ t = eval_term σ' t
  by (cases t) auto

lemma eval_terms_fv_fo_terms_set: σ ⊙ ts = σ' ⊙ ts ⇒ n ∈ fv_fo_terms_set ts ⇒ σ n = σ' n
proof (induction ts)
  case (Cons t ts)
  then show ?case
    by (cases t) (auto simp: eval_terms_def fv_fo_terms_set_def)
qed (auto simp: eval_terms_def fv_fo_terms_set_def)

lemma eval_terms_cong: (∧ n. n ∈ fv_fo_terms_set ts ⇒ σ n = σ' n) ⇒
  eval_terms σ ts = eval_terms σ' ts
  by (auto simp: eval_terms_def fv_fo_terms_set_def intro: eval_term_cong)

datatype ('a, 'b) fo_fmula =
  Pred 'b ('a fo_term) list
| Bool bool
| Eqa 'a fo_term 'a fo_term
| Neg ('a, 'b) fo_fmula
| Conj ('a, 'b) fo_fmula ('a, 'b) fo_fmula
| Disj ('a, 'b) fo_fmula ('a, 'b) fo_fmula
| Exists nat ('a, 'b) fo_fmula
| Forall nat ('a, 'b) fo_fmula

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fun fv_fo_fmula_list_rec :: ('a, 'b) fo_fmula  $\Rightarrow$  nat list where
  fv_fo_fmula_list_rec (Pred _ ts) = fv_fo_terms_list ts
| fv_fo_fmula_list_rec (Bool b) = []
| fv_fo_fmula_list_rec (Eqa t t') = fv_fo_term_list t @ fv_fo_term_list t'
| fv_fo_fmula_list_rec (Neg  $\varphi$ ) = fv_fo_fmula_list_rec  $\varphi$ 
| fv_fo_fmula_list_rec (Conj  $\varphi$   $\psi$ ) = fv_fo_fmula_list_rec  $\varphi$  @ fv_fo_fmula_list_rec  $\psi$ 
| fv_fo_fmula_list_rec (Disj  $\varphi$   $\psi$ ) = fv_fo_fmula_list_rec  $\varphi$  @ fv_fo_fmula_list_rec  $\psi$ 
| fv_fo_fmula_list_rec (Exists n  $\varphi$ ) = filter ( $\lambda m. n \neq m$ ) (fv_fo_fmula_list_rec  $\varphi$ )
| fv_fo_fmula_list_rec (Forall n  $\varphi$ ) = filter ( $\lambda m. n \neq m$ ) (fv_fo_fmula_list_rec  $\varphi$ )

definition fv_fo_fmula_list :: ('a, 'b) fo_fmula  $\Rightarrow$  nat list where
  fv_fo_fmula_list  $\varphi$  = remdups_adj (sort (fv_fo_fmula_list_rec  $\varphi$ ))

fun fv_fo_fmula :: ('a, 'b) fo_fmula  $\Rightarrow$  nat set where
  fv_fo_fmula (Pred _ ts) = fv_fo_terms_set ts
| fv_fo_fmula (Bool b) = {}
| fv_fo_fmula (Eqa t t') = fv_fo_term_set t  $\cup$  fv_fo_term_set t'
| fv_fo_fmula (Neg  $\varphi$ ) = fv_fo_fmula  $\varphi$ 
| fv_fo_fmula (Conj  $\varphi$   $\psi$ ) = fv_fo_fmula  $\varphi$   $\cup$  fv_fo_fmula  $\psi$ 
| fv_fo_fmula (Disj  $\varphi$   $\psi$ ) = fv_fo_fmula  $\varphi$   $\cup$  fv_fo_fmula  $\psi$ 
| fv_fo_fmula (Exists n  $\varphi$ ) = fv_fo_fmula  $\varphi$  - {n}
| fv_fo_fmula (Forall n  $\varphi$ ) = fv_fo_fmula  $\varphi$  - {n}

lemma finite_fv_fo_fmula: finite (fv_fo_fmula  $\varphi$ )
by (induction  $\varphi$  rule: fv_fo_fmula.induct)
  (auto simp: finite_fv_fo_term_set finite_fv_fo_terms_set)

lemma fv_fo_fmula_list_set: set (fv_fo_fmula_list  $\varphi$ ) = fv_fo_fmula  $\varphi$ 
unfolding fv_fo_fmula_list_def
by (induction  $\varphi$  rule: fv_fo_fmula.induct) (auto simp: fv_fo_terms_set_list fv_fo_term_set_list)

lemma sorted_distinct_fv_list: sorted_distinct (fv_fo_fmula_list  $\varphi$ )
by (auto simp: fv_fo_fmula_list_def intro: distinct_remdups_adj_sort)

lemma length_fv_fo_fmula_list: length (fv_fo_fmula_list  $\varphi$ ) = card (fv_fo_fmula  $\varphi$ )
using fv_fo_fmula_list_set[of  $\varphi$ ] sorted_distinct_fv_list[of  $\varphi$ ]
  distinct_card[of fv_fo_fmula_list  $\varphi$ ]
by auto

lemma fv_fo_fmula_list_eq: fv_fo_fmula  $\varphi$  = fv_fo_fmula  $\psi$   $\implies$  fv_fo_fmula_list  $\varphi$  = fv_fo_fmula_list  $\psi$ 
using fv_fo_fmula_list_set sorted_distinct_fv_list
by (metis sorted_distinct_set_unique)

lemma fv_fo_fmula_list_Conj: fv_fo_fmula_list (Conj  $\varphi$   $\psi$ ) = fv_fo_fmula_list (Conj  $\psi$   $\varphi$ )
using fv_fo_fmula_list_eq[of Conj  $\varphi$   $\psi$  Conj  $\psi$   $\varphi$ ]
by auto

type_synonym 'a table = ('a list) set

type_synonym ('t, 'b) fo_intp = 'b  $\times$  nat  $\Rightarrow$  't

fun wf_fo_intp :: ('a, 'b) fo_fmula  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  bool where
  wf_fo_intp (Pred r ts) I  $\longleftrightarrow$  finite (I (r, length ts))
| wf_fo_intp (Bool b) I  $\longleftrightarrow$  True
| wf_fo_intp (Eqa t t') I  $\longleftrightarrow$  True
| wf_fo_intp (Neg  $\varphi$ ) I  $\longleftrightarrow$  wf_fo_intp  $\varphi$  I

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| wf_fo_intp (Conj  $\varphi$   $\psi$ )  $I$   $\longleftrightarrow$  wf_fo_intp  $\varphi$   $I$   $\wedge$  wf_fo_intp  $\psi$   $I$ 
| wf_fo_intp (Disj  $\varphi$   $\psi$ )  $I$   $\longleftrightarrow$  wf_fo_intp  $\varphi$   $I$   $\wedge$  wf_fo_intp  $\psi$   $I$ 
| wf_fo_intp (Exists  $n$   $\varphi$ )  $I$   $\longleftrightarrow$  wf_fo_intp  $\varphi$   $I$ 
| wf_fo_intp (Forall  $n$   $\varphi$ )  $I$   $\longleftrightarrow$  wf_fo_intp  $\varphi$   $I$ 

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fun sat :: ('a, 'b) fo_fmula  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  'a val  $\Rightarrow$  bool where
  sat (Pred  $r$   $ts$ )  $I$   $\sigma \longleftrightarrow \sigma \odot ts \in I$  ( $r$ , length  $ts$ )
| sat (Bool  $b$ )  $I$   $\sigma \longleftrightarrow b$ 
| sat (Eq  $t$   $t'$ )  $I$   $\sigma \longleftrightarrow \sigma \cdot t = \sigma \cdot t'$ 
| sat (Neg  $\varphi$ )  $I$   $\sigma \longleftrightarrow \neg$ sat  $\varphi$   $I$   $\sigma$ 
| sat (Conj  $\varphi$   $\psi$ )  $I$   $\sigma \longleftrightarrow$  sat  $\varphi$   $I$   $\sigma$   $\wedge$  sat  $\psi$   $I$   $\sigma$ 
| sat (Disj  $\varphi$   $\psi$ )  $I$   $\sigma \longleftrightarrow$  sat  $\varphi$   $I$   $\sigma$   $\vee$  sat  $\psi$   $I$   $\sigma$ 
| sat (Exists  $n$   $\varphi$ )  $I$   $\sigma \longleftrightarrow (\exists x. \text{sat } \varphi \text{ } I (\sigma(n := x)))$ 
| sat (Forall  $n$   $\varphi$ )  $I$   $\sigma \longleftrightarrow (\forall x. \text{sat } \varphi \text{ } I (\sigma(n := x)))$ 

```

```

lemma sat_fv_cong: ( $\bigwedge n. n \in \text{fv\_fo\_fmula } \varphi \Rightarrow \sigma n = \sigma' n$ )  $\Rightarrow$ 
  sat  $\varphi$   $I$   $\sigma \longleftrightarrow$  sat  $\varphi$   $I$   $\sigma'$ 

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```

proof (induction  $\varphi$  arbitrary:  $\sigma$   $\sigma'$ )

```

```

  case (Neg  $\varphi$ )
  show ?case
  using Neg(1)[of  $\sigma$   $\sigma'$ ] Neg(2)
  by auto

```

```

next

```

```

  case (Conj  $\varphi$   $\psi$ )
  show ?case
  using Conj(1,2)[of  $\sigma$   $\sigma'$ ] Conj(3)
  by auto

```

```

next

```

```

  case (Disj  $\varphi$   $\psi$ )
  show ?case
  using Disj(1,2)[of  $\sigma$   $\sigma'$ ] Disj(3)
  by auto

```

```

next

```

```

  case (Exists  $n$   $\varphi$ )
  have  $\bigwedge x. \text{sat } \varphi \text{ } I (\sigma(n := x)) = \text{sat } \varphi \text{ } I (\sigma'(n := x))$ 
  using Exists(2)
  by (auto intro!: Exists(1))
  then show ?case
  by simp

```

```

next

```

```

  case (Forall  $n$   $\varphi$ )
  have  $\bigwedge x. \text{sat } \varphi \text{ } I (\sigma(n := x)) = \text{sat } \varphi \text{ } I (\sigma'(n := x))$ 
  using Forall(2)
  by (auto intro!: Forall(1))
  then show ?case
  by simp

```

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qed (auto cong: eval_terms_cong eval_term_cong)

```

```

definition proj_sat :: ('a, 'b) fo_fmula  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  'a table where
  proj_sat  $\varphi$   $I = (\lambda \sigma. \text{map } \sigma (\text{fv\_fo\_fmula\_list } \varphi)) \text{ ' } \{\sigma. \text{sat } \varphi \text{ } I \sigma\}$ 

```

```

end

```

```

theory Eval_FO

```

```

  imports Infinite FO

```

```

begin

```

```

datatype 'a eval_res = Fin 'a table | Infin | Wf_error

```

```

locale eval_fo =
  fixes wf :: ('a :: infinite, 'b) fo_fmula  $\Rightarrow$  ('b  $\times$  nat  $\Rightarrow$  'a list set)  $\Rightarrow$  't  $\Rightarrow$  bool
    and abs :: ('a fo_term) list  $\Rightarrow$  'a table  $\Rightarrow$  't
    and rep :: 't  $\Rightarrow$  'a table
    and res :: 't  $\Rightarrow$  'a eval_res
    and eval_bool :: bool  $\Rightarrow$  't
    and eval_eq :: 'a fo_term  $\Rightarrow$  'a fo_term  $\Rightarrow$  't
    and eval_neg :: nat list  $\Rightarrow$  't  $\Rightarrow$  't
    and eval_conj :: nat list  $\Rightarrow$  't  $\Rightarrow$  nat list  $\Rightarrow$  't  $\Rightarrow$  't
    and eval_ajoin :: nat list  $\Rightarrow$  't  $\Rightarrow$  nat list  $\Rightarrow$  't  $\Rightarrow$  't
    and eval_disj :: nat list  $\Rightarrow$  't  $\Rightarrow$  nat list  $\Rightarrow$  't  $\Rightarrow$  't
    and eval_exists :: nat  $\Rightarrow$  nat list  $\Rightarrow$  't  $\Rightarrow$  't
    and eval_forall :: nat  $\Rightarrow$  nat list  $\Rightarrow$  't  $\Rightarrow$  't
  assumes fo_rep: wf  $\varphi$  I t  $\Rightarrow$  rep t = proj_sat  $\varphi$  I
  and fo_res_fin: wf  $\varphi$  I t  $\Rightarrow$  finite (rep t)  $\Rightarrow$  res t = Fin (rep t)
  and fo_res_infin: wf  $\varphi$  I t  $\Rightarrow$   $\neg$ finite (rep t)  $\Rightarrow$  res t = Infin
  and fo_abs: finite (I (r, length ts))  $\Rightarrow$  wf (Pred r ts) I (abs ts (I (r, length ts)))
  and fo_bool: wf (Bool b) I (eval_bool b)
  and fo_eq: wf (Eq trm trm') I (eval_eq trm trm')
  and fo_neg: wf  $\varphi$  I t  $\Rightarrow$  wf (Neg  $\varphi$ ) I (eval_neg (fv_fo_fmula_list  $\varphi$ ) t)
  and fo_conj: wf  $\varphi$  I t  $\Rightarrow$  wf  $\psi$  I t $\psi$   $\Rightarrow$  (case  $\psi$  of Neg  $\psi'$   $\Rightarrow$  False | _  $\Rightarrow$  True)  $\Rightarrow$ 
    wf (Conj  $\varphi$   $\psi$ ) I (eval_conj (fv_fo_fmula_list  $\varphi$ ) t $\varphi$  (fv_fo_fmula_list  $\psi$ ) t $\psi$ )
  and fo_ajoin: wf  $\varphi$  I t $\varphi$   $\Rightarrow$  wf  $\psi'$  I t $\psi'$   $\Rightarrow$ 
    wf (Conj  $\varphi$  (Neg  $\psi'$ )) I (eval_ajoin (fv_fo_fmula_list  $\varphi$ ) t $\varphi$  (fv_fo_fmula_list  $\psi'$ ) t $\psi'$ )
  and fo_disj: wf  $\varphi$  I t $\varphi$   $\Rightarrow$  wf  $\psi$  I t $\psi$   $\Rightarrow$ 
    wf (Disj  $\varphi$   $\psi$ ) I (eval_disj (fv_fo_fmula_list  $\varphi$ ) t $\varphi$  (fv_fo_fmula_list  $\psi$ ) t $\psi$ )
  and fo_exists: wf  $\varphi$  I t  $\Rightarrow$  wf (Exists i  $\varphi$ ) I (eval_exists i (fv_fo_fmula_list  $\varphi$ ) t)
  and fo_forall: wf  $\varphi$  I t  $\Rightarrow$  wf (Forall i  $\varphi$ ) I (eval_forall i (fv_fo_fmula_list  $\varphi$ ) t)
begin

fun eval_fmula :: ('a, 'b) fo_fmula  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  't where
  eval_fmula (Pred r ts) I = abs ts (I (r, length ts))
| eval_fmula (Bool b) I = eval_bool b
| eval_fmula (Eq t t') I = eval_eq t t'
| eval_fmula (Neg  $\varphi$ ) I = eval_neg (fv_fo_fmula_list  $\varphi$ ) (eval_fmula  $\varphi$  I)
| eval_fmula (Conj  $\varphi$   $\psi$ ) I = (let ns $\varphi$  = fv_fo_fmula_list  $\varphi$ ; ns $\psi$  = fv_fo_fmula_list  $\psi$ ;
  X $\varphi$  = eval_fmula  $\varphi$  I in
  case  $\psi$  of Neg  $\psi'$   $\Rightarrow$  let X $\psi'$  = eval_fmula  $\psi'$  I in
    eval_ajoin ns $\varphi$  X $\varphi$  (fv_fo_fmula_list  $\psi'$ ) X $\psi'$ 
  | _  $\Rightarrow$  eval_conj ns $\varphi$  X $\varphi$  ns $\psi$  (eval_fmula  $\psi$  I))
| eval_fmula (Disj  $\varphi$   $\psi$ ) I = eval_disj (fv_fo_fmula_list  $\varphi$ ) (eval_fmula  $\varphi$  I)
  (fv_fo_fmula_list  $\psi$ ) (eval_fmula  $\psi$  I)
| eval_fmula (Exists i  $\varphi$ ) I = eval_exists i (fv_fo_fmula_list  $\varphi$ ) (eval_fmula  $\varphi$  I)
| eval_fmula (Forall i  $\varphi$ ) I = eval_forall i (fv_fo_fmula_list  $\varphi$ ) (eval_fmula  $\varphi$  I)

lemma eval_fmula_correct:
  fixes  $\varphi$  :: ('a :: infinite, 'b) fo_fmula
  assumes wf_fo_intp  $\varphi$  I
  shows wf  $\varphi$  I (eval_fmula  $\varphi$  I)
  using assms
proof (induction  $\varphi$  I rule: eval_fmula.induct)
  case (1 r ts I)
  then show ?case
    using fo_abs
    by auto
next
  case (2 b I)
  then show ?case

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    using fo_bool
  by auto
next
case (3 t t' I)
then show ?case
  using fo_eq
  by auto
next
case (4  $\varphi$  I)
then show ?case
  using fo_neg
  by auto
next
case (5  $\varphi$   $\psi$  I)
have fins: wf_fo_intp  $\varphi$  I wf_fo_intp  $\psi$  I
  using 5(10)
  by auto
have eval $\varphi$ : wf  $\varphi$  I (eval_fmla  $\varphi$  I)
  using 5(1)[OF _ _ fins(1)]
  by auto
show ?case
proof (cases  $\exists \psi'. \psi = \text{Neg } \psi'$ )
  case True
  then obtain  $\psi'$  where  $\psi\_def$ :  $\psi = \text{Neg } \psi'$ 
    by auto
  have fin: wf_fo_intp  $\psi'$  I
    using fins(2)
    by (auto simp:  $\psi\_def$ )
  have eval $\psi'$ : wf  $\psi'$  I (eval_fmla  $\psi'$  I)
    using 5(5)[OF _ _ _  $\psi\_def$  fin]
    by auto
  show ?thesis
    unfolding  $\psi\_def$ 
    using fo_ajoin[OF eval $\varphi$  eval $\psi'$ ]
    by auto
next
case False
then have eval $\psi$ : wf  $\psi$  I (eval_fmla  $\psi$  I)
  using 5 fins(2)
  by (cases  $\psi$ ) auto
have eval: eval_fmla (Conj  $\varphi$   $\psi$ ) I = eval_conj (fv_fo_fmla_list  $\varphi$ ) (eval_fmla  $\varphi$  I)
  (fv_fo_fmla_list  $\psi$ ) (eval_fmla  $\psi$  I)
  using False
  by (auto simp: Let_def split: fo_fmla.splits)
show wf (Conj  $\varphi$   $\psi$ ) I (eval_fmla (Conj  $\varphi$   $\psi$ ) I)
  using fo_conj[OF eval $\varphi$  eval $\psi$ , folded eval] False
  by (auto split: fo_fmla.splits)
qed
next
case (6  $\varphi$   $\psi$  I)
then show ?case
  using fo_disj
  by auto
next
case (7 i  $\varphi$  I)
then show ?case
  using fo_exists
  by auto

```

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next
  case (8 i  $\varphi$  I)
  then show ?case
    using fo_forall
    by auto
qed

definition eval :: ('a, 'b) fo_fmula  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  'a eval_res where
  eval  $\varphi$  I = (if wf_fo_intp  $\varphi$  I then res (eval_fmula  $\varphi$  I) else Wf_error)

lemma eval_fmula_proj_sat:
  fixes  $\varphi$  :: ('a :: infinite, 'b) fo_fmula
  assumes wf_fo_intp  $\varphi$  I
  shows rep (eval_fmula  $\varphi$  I) = proj_sat  $\varphi$  I
  using eval_fmula_correct[OF assms]
  by (auto simp: fo_rep)

lemma eval_sound:
  fixes  $\varphi$  :: ('a :: infinite, 'b) fo_fmula
  assumes eval  $\varphi$  I = Fin Z
  shows Z = proj_sat  $\varphi$  I
proof -
  have wf  $\varphi$  I (eval_fmula  $\varphi$  I)
    using eval_fmula_correct assms
    by (auto simp: eval_def split: if_splits)
  then show ?thesis
    using assms fo_res_fin fo_res_infin
    by (fastforce simp: eval_def fo_rep split: if_splits)
qed

lemma eval_complete:
  fixes  $\varphi$  :: ('a :: infinite, 'b) fo_fmula
  assumes eval  $\varphi$  I = Infin
  shows infinite (proj_sat  $\varphi$  I)
proof -
  have wf  $\varphi$  I (eval_fmula  $\varphi$  I)
    using eval_fmula_correct assms
    by (auto simp: eval_def split: if_splits)
  then show ?thesis
    using assms fo_res_fin
    by (auto simp: eval_def fo_rep split: if_splits)
qed

end

theory Cluster
  imports Containers.Mapping_Impl
begin

lemma these_Un[simp]: Option.these (A  $\cup$  B) = Option.these A  $\cup$  Option.these B
  by (auto simp: Option.these_def)

lemma these_insert[simp]: Option.these (insert x A) = (case x of Some a  $\Rightarrow$  insert a | None  $\Rightarrow$  id)
  (Option.these A)
  by (auto simp: Option.these_def split: option.splits) force

lemma these_image_Un[simp]: Option.these (f ` (A  $\cup$  B)) = Option.these (f ` A)  $\cup$  Option.these (f ` B)

```



```

by (auto simp: Option.these_def)

lemma these_imageI:  $f\ x = \text{Some } y \implies x \in X \implies y \in \text{Option.these } (f\ 'X)$ 
  by (force simp: Option.these_def)

lift_definition cluster :: ('b  $\Rightarrow$  'a option)  $\Rightarrow$  'b set  $\Rightarrow$  ('a, 'b set) mapping is
   $\lambda f\ Y\ x.$  if Some  $x \in f\ 'Y$  then Some  $\{y \in Y. f\ y = \text{Some } x\}$  else None .

context ord
begin

definition add_to_rbt :: 'a  $\times$  'b  $\Rightarrow$  ('a, 'b set) rbt  $\Rightarrow$  ('a, 'b set) rbt where
  add_to_rbt =  $(\lambda(a, b)\ t.$  case rbt_lookup  $t\ a$  of Some  $X \Rightarrow$  rbt_insert  $a\ (\text{insert } b\ X)\ t \mid \text{None} \Rightarrow$ 
  rbt_insert  $a\ \{b\}\ t)$ 

abbreviation add_option_to_rbt  $f \equiv (\lambda b\ _\ t.$  case  $f\ b$  of Some  $a \Rightarrow$  add_to_rbt  $(a, b)\ t \mid \text{None} \Rightarrow t)$ 

definition cluster_rbt :: ('b  $\Rightarrow$  'a option)  $\Rightarrow$  ('b, unit) rbt  $\Rightarrow$  ('a, 'b set) rbt where
  cluster_rbt  $f\ t = \text{RBT\_Impl.fold } (\text{add\_option\_to\_rbt } f)\ t\ \text{RBT\_Impl.Empty}$ 

end

context linorder
begin

lemma is_rbt_add_to_rbt:  $\text{is\_rbt } t \implies \text{is\_rbt } (\text{add\_to\_rbt } ab\ t)$ 
  by (auto simp: add_to_rbt_def split: prod.splits option.splits)

lemma is_rbt_fold_add_to_rbt:  $\text{is\_rbt } t' \implies$ 
   $\text{is\_rbt } (\text{RBT\_Impl.fold } (\text{add\_option\_to\_rbt } f)\ t\ t')$ 
  by (induction  $t$  arbitrary:  $t'$ ) (auto 0 0 simp: is_rbt_add_to_rbt split: option.splits)

lemma is_rbt_cluster_rbt:  $\text{is\_rbt } (\text{cluster\_rbt } f\ t)$ 
  using is_rbt_fold_add_to_rbt Empty_is_rbt
  by (fastforce simp: cluster_rbt_def)

lemma rbt_insert_entries_None:  $\text{is\_rbt } t \implies \text{rbt\_lookup } t\ k = \text{None} \implies$ 
   $\text{set } (\text{RBT\_Impl.entries } (\text{rbt\_insert } k\ v\ t)) = \text{insert } (k, v)\ (\text{set } (\text{RBT\_Impl.entries } t))$ 
  by (auto simp: rbt_lookup_in_tree[symmetric] rbt_lookup_rbt_insert split: if_splits)

lemma rbt_insert_entries_Some:  $\text{is\_rbt } t \implies \text{rbt\_lookup } t\ k = \text{Some } v' \implies$ 
   $\text{set } (\text{RBT\_Impl.entries } (\text{rbt\_insert } k\ v\ t)) = \text{insert } (k, v)\ (\text{set } (\text{RBT\_Impl.entries } t) - \{(k, v')\})$ 
  by (auto simp: rbt_lookup_in_tree[symmetric] rbt_lookup_rbt_insert split: if_splits)

lemma keys_add_to_rbt:  $\text{is\_rbt } t \implies \text{set } (\text{RBT\_Impl.keys } (\text{add\_to\_rbt } (a, b)\ t)) = \text{insert } a\ (\text{set } (\text{RBT\_Impl.keys } t))$ 
  by (auto simp: add_to_rbt_def RBT_Impl.keys_def rbt_insert_entries_None rbt_insert_entries_Some split: option.splits)

lemma keys_fold_add_to_rbt:  $\text{is\_rbt } t' \implies \text{set } (\text{RBT\_Impl.keys } (\text{RBT\_Impl.fold } (\text{add\_option\_to\_rbt } f)\ t\ t')) =$ 
   $\text{Option.these } (f\ ' \text{set } (\text{RBT\_Impl.keys } t)) \cup \text{set } (\text{RBT\_Impl.keys } t')$ 
proof (induction  $t$  arbitrary:  $t'$ )
  case (Branch col  $t1\ k\ v\ t2$ )
  have valid:  $\text{is\_rbt } (\text{RBT\_Impl.fold } (\text{add\_option\_to\_rbt } f)\ t1\ t')$ 
    using Branch(3)
  by (auto intro: is_rbt_fold_add_to_rbt)
  show ?case

```

```

proof (cases f k)
  case None
  show ?thesis
    by (auto simp: None Branch(2)[OF valid] Branch(1)[OF Branch(3)])
next
  case (Some a)
  have valid': is_rbt (add_to_rbt (a, k) (RBT_Impl.fold (add_option_to_rbt f) t1 t'))
    by (auto intro: is_rbt_add_to_rbt[OF valid])
  show ?thesis
    by (auto simp: Some Branch(2)[OF valid'] keys_add_to_rbt[OF valid] Branch(1)[OF Branch(3)])
qed
qed auto

lemma rbt_lookup_add_to_rbt: is_rbt t  $\implies$  rbt_lookup (add_to_rbt (a, b) t) x = (if a = x then Some
(case rbt_lookup t x of None  $\Rightarrow$  {b} | Some Y  $\Rightarrow$  insert b Y) else rbt_lookup t x)
  by (auto simp: add_to_rbt_def rbt_lookup_rbt_insert split: option.splits)

lemma rbt_lookup_fold_add_to_rbt: is_rbt t'  $\implies$  rbt_lookup (RBT_Impl.fold (add_option_to_rbt f)
t t') x =
  (if x  $\in$  Option.these (f ' set (RBT_Impl.keys t))  $\cup$  set (RBT_Impl.keys t') then Some ({y  $\in$  set
(RBT_Impl.keys t). f y = Some x}
 $\cup$  (case rbt_lookup t' x of None  $\Rightarrow$  {} | Some Y  $\Rightarrow$  Y)) else None)
proof (induction t arbitrary: t')
  case Empty
  then show ?case
    using rbt_lookup_iff_keys(2,3)[OF is_rbt_rbt_sorted]
    by (fastforce split: option.splits)
next
  case (Branch col t1 k v t2)
  have valid: is_rbt (RBT_Impl.fold (add_option_to_rbt f) t1 t')
    using Branch(3)
    by (auto intro: is_rbt_fold_add_to_rbt)
  show ?case
proof (cases f k)
  case None
  have fold_set: x  $\in$  Option.these (f ' set (RBT_Impl.keys t2))  $\cup$  ((Option.these (f ' set (RBT_Impl.keys
t1))  $\cup$  set (RBT_Impl.keys t'))  $\longleftrightarrow$ 
x  $\in$  Option.these (f ' set (RBT_Impl.keys (Branch col t1 k v t2)))  $\cup$  set (RBT_Impl.keys t'))
    by (auto simp: None)
  show ?thesis
    unfolding fold_simps comp_def None option.case(1) Branch(2)[OF valid] keys_add_to_rbt[OF
valid] keys_fold_add_to_rbt[OF Branch(3)]
    rbt_lookup_add_to_rbt[OF valid] Branch(1)[OF Branch(3)] fold_set
    using rbt_lookup_iff_keys(2,3)[OF is_rbt_rbt_sorted[OF Branch(3)]]
    by (auto simp: None split: option.splits) (auto dest: these_imageI)
next
  case (Some a)
  have valid': is_rbt (add_to_rbt (a, k) (RBT_Impl.fold (add_option_to_rbt f) t1 t'))
    by (auto intro: is_rbt_add_to_rbt[OF valid])
  have fold_set: x  $\in$  Option.these (f ' set (RBT_Impl.keys t2))  $\cup$  (insert a (Option.these (f ' set
(RBT_Impl.keys t1))  $\cup$  set (RBT_Impl.keys t')))  $\longleftrightarrow$ 
x  $\in$  Option.these (f ' set (RBT_Impl.keys (Branch col t1 k v t2)))  $\cup$  set (RBT_Impl.keys t')
    by (auto simp: Some)
  have F1: (case if P then Some X else None of None  $\Rightarrow$  {k} | Some Y  $\Rightarrow$  insert k Y) =
(if P then (insert k X) else {k}) for P X
    by auto
  have F2: (case if a = x then Some X else if P then Some Y else None of None  $\Rightarrow$  {} | Some Y  $\Rightarrow$ 
Y) =

```

```

    (if a = x then X else if P then Y else {})
    for P X and Y :: 'b set
    by auto
    show ?thesis
    unfolding fold_simps comp_def Some option.case(2) Branch(2)[OF valid] keys_add_to_rbt[OF
valid] keys_fold_add_to_rbt[OF Branch(3)]
    rbt_lookup_add_to_rbt[OF valid] Branch(1)[OF Branch(3)] fold_set F1 F2
    using rbt_lookup_iff_keys(2,3)[OF is_rbt_rbt_sorted[OF Branch(3)]]
    by (auto simp: Some split: option.splits) (auto dest: these_imageI)
qed
qed

end

context
  fixes c :: 'a comparator
begin

definition add_to_rbt_comp :: 'a × 'b ⇒ ('a, 'b set) rbt ⇒ ('a, 'b set) rbt where
  add_to_rbt_comp = (λ(a, b) t. case rbt_comp_lookup c t a of None ⇒ rbt_comp_insert c a {b} t
  | Some X ⇒ rbt_comp_insert c a (insert b X) t)

abbreviation add_option_to_rbt_comp f ≡ (λb _ t. case f b of Some a ⇒ add_to_rbt_comp (a, b) t
  | None ⇒ t)

definition cluster_rbt_comp :: ('b ⇒ 'a option) ⇒ ('b, unit) rbt ⇒ ('a, 'b set) rbt where
  cluster_rbt_comp f t = RBT_Impl.fold (add_option_to_rbt_comp f) t RBT_Impl.Empty

context
  assumes c: comparator c
begin

lemma add_to_rbt_comp: add_to_rbt_comp = ord.add_to_rbt (lt_of_comp c)
  unfolding add_to_rbt_comp_def ord.add_to_rbt_def rbt_comp_lookup[OF c] rbt_comp_insert[OF
c]
  by simp

lemma cluster_rbt_comp: cluster_rbt_comp = ord.cluster_rbt (lt_of_comp c)
  unfolding cluster_rbt_comp_def ord.cluster_rbt_def add_to_rbt_comp
  by simp

end

end

lift_definition mapping_of_cluster :: ('b ⇒ 'a :: ccompare option) ⇒ ('b, unit) rbt ⇒ ('a, 'b set)
mapping_rbt is
  cluster_rbt_comp ccomp
  using linorder.is_rbt_fold_add_to_rbt[OF comparator.linorder[OF ID_ccompare] ord.Empty_is_rbt]
  by (fastforce simp: cluster_rbt_comp[OF ID_ccompare] ord.cluster_rbt_def)

lemma cluster_code[code]:
  fixes f :: 'b :: ccompare ⇒ 'a :: ccompare option and t :: ('b, unit) mapping_rbt
  shows cluster f (RBT_set t) = (case ID CCOMPARE('a) of None ⇒
  Code.abort (STR "cluster: ccompare = None") (λ_. cluster f (RBT_set t))
  | Some c ⇒ (case ID CCOMPARE('b) of None ⇒
  Code.abort (STR "cluster: ccompare = None") (λ_. cluster f (RBT_set t))
  | Some c' ⇒ (RBT_Mapping (mapping_of_cluster f (RBT_Mapping2.impl_of t))))))

```

```

proof -
{
  fix c c'
  assume assms: ID ccompare = (Some c :: 'a comparator option) ID ccompare = (Some c' :: 'b
comparator option)
  have c_def: c = ccomp
  using assms(1)
  by auto
  have c'_def: c' = ccomp
  using assms(2)
  by auto
  have c: comparator (ccomp :: 'a comparator)
  using ID_ccompare'[OF assms(1)]
  by (auto simp: c_def)
  have c': comparator (ccomp :: 'b comparator)
  using ID_ccompare'[OF assms(2)]
  by (auto simp: c'_def)
  note c_class = comparator.linorder[OF c]
  note c'_class = comparator.linorder[OF c']
  have rbt_lookup_cluster: ord.rbt_lookup cless (cluster_rbt_comp ccomp f t) =
    (λx. if x ∈ Option.these (f ' (set (RBT_Impl.keys t))) then Some {y ∈ (set (RBT_Impl.keys t)). f
y = Some x} else None)
  if ord.is_rbt cless (t :: ('b, unit) rbt) ∨ ID ccompare = (None :: 'b comparator option) for t
  proof -
    have is_rbt_t: ord.is_rbt cless t
    using assms that
    by auto
    show ?thesis
    unfolding cluster_rbt_comp[OF c] ord.cluster_rbt_def linorder.rbt_lookup_fold_add_to_rbt[OF
c_class ord.Empty_is_rbt]
    by (auto simp: ord.rbt_lookup.simps split: option.splits)
  qed
  have dom_ord_rbt_lookup: ord.is_rbt cless t ⇒ dom (ord.rbt_lookup cless t) = set (RBT_Impl.keys
t) for t :: ('b, unit) rbt
  using linorder.rbt_lookup_keys[OF c'_class] ord.is_rbt_def
  by auto
  have cluster_f (Collect (RBT_Set2.member t)) = Mapping (RBT_Mapping2.lookup (mapping_of_cluster
f (mapping_rbt.impl_of t)))
  using assms(2)[unfolded c'_def]
  by (transfer fixing: f) (auto simp: in_these_eq rbt_comp_lookup[OF c] rbt_comp_lookup[OF c']
rbt_lookup_cluster dom_ord_rbt_lookup)
}
  then show ?thesis
  unfolding RBT_set_def
  by (auto split: option.splits)
qed

end
theory Mapping_Code
imports Containers.Mapping_Impl
begin

lift_definition set_of_idx :: ('a, 'b set) mapping ⇒ 'b set is
  λm. ⋃ (ran m) .

lemma set_of_idx_code[code]:
  fixes t :: ('a :: ccompare, 'b set) mapping_rbt
  shows set_of_idx (RBT_Mapping t) =

```

```

    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "set_of_idx RBT_Mapping: ccompare = None")
    | Some _  $\Rightarrow$   $\bigcup$  (snd ' set (RBT_Mapping2.entries t)))
  unfolding RBT_Mapping_def
  by transfer (auto simp: ran_def rbt_comp_lookup[OF ID_ccompare] ord.is_rbt_def linorder.rbt_lookup_in_tree[OF
    comparator.linorder[OF ID_ccompare]]) split: option.splits)+

```

lemma mapping_combine[code]:

```

  fixes t :: ('a :: ccompare, 'b) mapping_rbt
  shows Mapping.combine f (RBT_Mapping t) (RBT_Mapping u) =
    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "combine RBT_Mapping: ccompare = None")
    | Some _  $\Rightarrow$  Mapping.combine f (RBT_Mapping t) (RBT_Mapping u))
  by (auto simp add: Mapping.combine.abs_eq Mapping_inject lookup_join split: option.splits)

```

lift_definition mapping_join :: ('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) mapping \Rightarrow ('a, 'b) mapping **is**

```

   $\lambda$ f m m' x. case m x of None  $\Rightarrow$  None | Some y  $\Rightarrow$  (case m' x of None  $\Rightarrow$  None | Some y'  $\Rightarrow$  Some (f y y')) .

```

lemma mapping_join_code[code]:

```

  fixes t :: ('a :: ccompare, 'b) mapping_rbt
  shows mapping_join f (RBT_Mapping t) (RBT_Mapping u) =
    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "mapping_join RBT_Mapping: ccompare = None")
    | Some _  $\Rightarrow$  Mapping.join f (RBT_Mapping t) (RBT_Mapping u))
  by (auto simp add: mapping_join.abs_eq Mapping_inject lookup_meet split: option.splits)

```

context fixes dummy :: 'a :: ccompare **begin**

lift_definition diff ::

```

  ('a, 'b) mapping_rbt  $\Rightarrow$  ('a, 'b) mapping_rbt  $\Rightarrow$  ('a, 'b) mapping_rbt is rbt_comp_minus ccomp
  by (auto 4 3 intro: linorder.rbt_minus_is_rbt ID_ccompare ord.is_rbt_rbt_sorted simp: rbt_comp_minus[OF
    ID_ccompare])

```

end

context assumes ID_ccompare_neq_None: ID CCOMPARE('a :: ccompare) \neq None **begin**

lemma lookup_diff:

```

  RBT_Mapping2.lookup (diff (t1 :: ('a, 'b) mapping_rbt) t2) =
    ( $\lambda$ k. case RBT_Mapping2.lookup t1 k of None  $\Rightarrow$  None | Some v1  $\Rightarrow$  (case RBT_Mapping2.lookup t2
    k of None  $\Rightarrow$  Some v1 | Some v2  $\Rightarrow$  None))
  by transfer (auto simp add: fun_eq_iff linorder.rbt_lookup_rbt_minus[OF mapping_linorder] ID_ccompare_neq_None
    restrict_map_def split: option.splits)

```

end

lift_definition mapping_antijoin :: ('a, 'b) mapping \Rightarrow ('a, 'b) mapping \Rightarrow ('a, 'b) mapping **is**

```

   $\lambda$ m m' x. case m x of None  $\Rightarrow$  None | Some y  $\Rightarrow$  (case m' x of None  $\Rightarrow$  Some y | Some y'  $\Rightarrow$  None) .

```

lemma mapping_antijoin_code[code]:

```

  fixes t :: ('a :: ccompare, 'b) mapping_rbt
  shows mapping_antijoin (RBT_Mapping t) (RBT_Mapping u) =
    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "mapping_antijoin RBT_Mapping: ccompare = None")
    | Some _  $\Rightarrow$  Mapping.antijoin (RBT_Mapping t) (RBT_Mapping u))
  by (auto simp add: Mapping.antijoin (diff t u))

```

```

by (auto simp add: mapping_antijoin.abs_eq Mapping_inject lookup_diff split: option.split)

end
theory Ailamazyan
  imports Eval_FO Cluster Mapping_Code
begin

fun SP :: ('a, 'b) fo_fmla  $\Rightarrow$  nat set where
  SP (Eqa (Var n) (Var n')) = (if n  $\neq$  n' then {n, n'} else {})
| SP (Neg  $\varphi$ ) = SP  $\varphi$ 
| SP (Conj  $\varphi$   $\psi$ ) = SP  $\varphi$   $\cup$  SP  $\psi$ 
| SP (Disj  $\varphi$   $\psi$ ) = SP  $\varphi$   $\cup$  SP  $\psi$ 
| SP (Exists n  $\varphi$ ) = SP  $\varphi$  - {n}
| SP (Forall n  $\varphi$ ) = SP  $\varphi$  - {n}
| SP _ = {}

lemma SP_fv: SP  $\varphi \subseteq$  fv_fo_fmla  $\varphi$ 
  by (induction  $\varphi$  rule: SP.induct) auto

lemma finite_SP: finite (SP  $\varphi$ )
  using SP_fv finite_fv_fo_fmla finite_subset by fastforce

fun SP_list_rec :: ('a, 'b) fo_fmla  $\Rightarrow$  nat list where
  SP_list_rec (Eqa (Var n) (Var n')) = (if n  $\neq$  n' then [n, n'] else [])
| SP_list_rec (Neg  $\varphi$ ) = SP_list_rec  $\varphi$ 
| SP_list_rec (Conj  $\varphi$   $\psi$ ) = SP_list_rec  $\varphi$  @ SP_list_rec  $\psi$ 
| SP_list_rec (Disj  $\varphi$   $\psi$ ) = SP_list_rec  $\varphi$  @ SP_list_rec  $\psi$ 
| SP_list_rec (Exists n  $\varphi$ ) = filter ( $\lambda m. n \neq m$ ) (SP_list_rec  $\varphi$ )
| SP_list_rec (Forall n  $\varphi$ ) = filter ( $\lambda m. n \neq m$ ) (SP_list_rec  $\varphi$ )
| SP_list_rec _ = []

definition SP_list :: ('a, 'b) fo_fmla  $\Rightarrow$  nat list where
  SP_list  $\varphi$  = remdups_adj (sort (SP_list_rec  $\varphi$ ))

lemma SP_list_set: set (SP_list  $\varphi$ ) = SP  $\varphi$ 
  unfolding SP_list_def
  by (induction  $\varphi$  rule: SP.induct) (auto simp: fv_fo_terms_set_list)

lemma sorted_distinct_SP_list: sorted_distinct (SP_list  $\varphi$ )
  unfolding SP_list_def
  by (auto intro: distinct_remdups_adj_sort)

fun d :: ('a, 'b) fo_fmla  $\Rightarrow$  nat where
  d (Eqa (Var n) (Var n')) = (if n  $\neq$  n' then 2 else 1)
| d (Neg  $\varphi$ ) = d  $\varphi$ 
| d (Conj  $\varphi$   $\psi$ ) = max (d  $\varphi$ ) (max (d  $\psi$ ) (card (SP (Conj  $\varphi$   $\psi$ ))))
| d (Disj  $\varphi$   $\psi$ ) = max (d  $\varphi$ ) (max (d  $\psi$ ) (card (SP (Disj  $\varphi$   $\psi$ ))))
| d (Exists n  $\varphi$ ) = d  $\varphi$ 
| d (Forall n  $\varphi$ ) = d  $\varphi$ 
| d _ = 1

lemma d_pos: 1  $\leq$  d  $\varphi$ 
  by (induction  $\varphi$  rule: d.induct) auto

lemma card_SP_d: card (SP  $\varphi$ )  $\leq$  d  $\varphi$ 
  using dual_order.trans
  by (induction  $\varphi$  rule: SP.induct) (fastforce simp: card_Diff1_le finite_SP)+

```

```

fun eval_eterm :: ('a + 'c) val  $\Rightarrow$  'a fo_term  $\Rightarrow$  'a + 'c (infix ·e 60) where
  eval_eterm  $\sigma$  (Const c) = Inl c
| eval_eterm  $\sigma$  (Var n) =  $\sigma$  n

```

```

definition eval_eterms :: ('a + 'c) val  $\Rightarrow$  ('a fo_term) list  $\Rightarrow$ 
  ('a + 'c) list (infix  $\odot$ e 60) where
  eval_eterms  $\sigma$  ts = map (eval_eterm  $\sigma$ ) ts

```

```

lemma eval_eterm_cong: ( $\bigwedge n. n \in \text{fv\_fo\_term\_set } t \Rightarrow \sigma \text{ } n = \sigma' \text{ } n$ )  $\Rightarrow$ 
  eval_eterm  $\sigma$  t = eval_eterm  $\sigma'$  t
by (cases t) auto

```

```

lemma eval_eterms_fv_fo_terms_set:  $\sigma \odot e \text{ } ts = \sigma' \odot e \text{ } ts \Rightarrow n \in \text{fv\_fo\_terms\_set } ts \Rightarrow \sigma \text{ } n = \sigma' \text{ } n$ 
proof (induction ts)
  case (Cons t ts)
  then show ?case
    by (cases t) (auto simp: eval_eterms_def fv_fo_terms_set_def)
qed (auto simp: eval_eterms_def fv_fo_terms_set_def)

```

```

lemma eval_eterms_cong: ( $\bigwedge n. n \in \text{fv\_fo\_terms\_set } ts \Rightarrow \sigma \text{ } n = \sigma' \text{ } n$ )  $\Rightarrow$ 
  eval_eterms  $\sigma$  ts = eval_eterms  $\sigma'$  ts
by (auto simp: eval_eterms_def fv_fo_terms_set_def intro: eval_eterm_cong)

```

```

lemma eval_terms_eterms: map Inl ( $\sigma \odot ts$ ) = (Inl  $\circ \sigma$ )  $\odot e$  ts
proof (induction ts)
  case (Cons t ts)
  then show ?case
    by (cases t) (auto simp: eval_terms_def eval_eterms_def)
qed (auto simp: eval_terms_def eval_eterms_def)

```

```

fun ad_equiv_pair :: 'a set  $\Rightarrow$  ('a + 'c)  $\times$  ('a + 'c)  $\Rightarrow$  bool where
  ad_equiv_pair X (a, a')  $\longleftrightarrow$  ( $a \in \text{Inl } 'X \rightarrow a = a'$ )  $\wedge$  ( $a' \in \text{Inl } 'X \rightarrow a = a'$ )

```

```

fun sp_equiv_pair :: 'a  $\times$  'b  $\Rightarrow$  'a  $\times$  'b  $\Rightarrow$  bool where
  sp_equiv_pair (a, b) (a', b')  $\longleftrightarrow$  ( $a = a' \longleftrightarrow b = b'$ )

```

```

definition ad_equiv_list :: 'a set  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  bool where
  ad_equiv_list X xs ys  $\longleftrightarrow$  length xs = length ys  $\wedge$  ( $\forall x \in \text{set } (\text{zip } xs \text{ } ys). \text{ad\_equiv\_pair } X \text{ } x$ )

```

```

definition sp_equiv_list :: ('a + 'c) list  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  bool where
  sp_equiv_list xs ys  $\longleftrightarrow$  length xs = length ys  $\wedge$  pairwise sp_equiv_pair (set (zip xs ys))

```

```

definition ad_agr_list :: 'a set  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  ('a + 'c) list  $\Rightarrow$  bool where
  ad_agr_list X xs ys  $\longleftrightarrow$  length xs = length ys  $\wedge$  ad_equiv_list X xs ys  $\wedge$  sp_equiv_list xs ys

```

```

lemma ad_equiv_pair_refl[simp]: ad_equiv_pair X (a, a)
by auto

```

```

declare ad_equiv_pair.simps[simp del]

```

```

lemma ad_equiv_pair_comm: ad_equiv_pair X (a, a')  $\longleftrightarrow$  ad_equiv_pair X (a', a)
by (auto simp: ad_equiv_pair.simps)

```

```

lemma ad_equiv_pair_mono:  $X \subseteq Y \Rightarrow \text{ad\_equiv\_pair } Y \text{ } (a, a') \Rightarrow \text{ad\_equiv\_pair } X \text{ } (a, a')$ 
unfolding ad_equiv_pair.simps
by fastforce

```

```

lemma sp_equiv_pair_comm: sp_equiv_pair x y  $\longleftrightarrow$  sp_equiv_pair y x

```

```

by (cases x; cases y) auto

definition sp_equiv :: ('a + 'c) val  $\Rightarrow$  ('a + 'c) val  $\Rightarrow$  nat set  $\Rightarrow$  bool where
  sp_equiv  $\sigma$   $\tau$   $I \longleftrightarrow$  pairwise sp_equiv_pair (( $\lambda n.$  ( $\sigma$   $n$ ,  $\tau$   $n$ )) '  $I$ )

lemma sp_equiv_mono:  $I \subseteq J \implies$  sp_equiv  $\sigma$   $\tau$   $J \implies$  sp_equiv  $\sigma$   $\tau$   $I$ 
  by (auto simp: sp_equiv_def pairwise_def)

definition ad_agr_sets :: nat set  $\Rightarrow$  nat set  $\Rightarrow$  'a set  $\Rightarrow$  ('a + 'c) val  $\Rightarrow$ 
  ('a + 'c) val  $\Rightarrow$  bool where
  ad_agr_sets FV S X  $\sigma$   $\tau \longleftrightarrow$  ( $\forall i \in FV.$  ad_equiv_pair X ( $\sigma$   $i$ ,  $\tau$   $i$ ))  $\wedge$  sp_equiv  $\sigma$   $\tau$  S

lemma ad_agr_sets_comm: ad_agr_sets FV S X  $\sigma$   $\tau \implies$  ad_agr_sets FV S X  $\tau$   $\sigma$ 
  unfolding ad_agr_sets_def sp_equiv_def pairwise_def
  by (subst ad_equiv_pair_comm) auto

lemma ad_agr_sets_mono:  $X \subseteq Y \implies$  ad_agr_sets FV S Y  $\sigma$   $\tau \implies$  ad_agr_sets FV S X  $\sigma$   $\tau$ 
  using ad_equiv_pair_mono
  by (fastforce simp: ad_agr_sets_def)

lemma ad_agr_sets_mono':  $S \subseteq S' \implies$  ad_agr_sets FV S' X  $\sigma$   $\tau \implies$  ad_agr_sets FV S X  $\sigma$   $\tau$ 
  by (auto simp: ad_agr_sets_def sp_equiv_def pairwise_def)

lemma ad_equiv_list_comm: ad_equiv_list X xs ys  $\implies$  ad_equiv_list X ys xs
  by (auto simp: ad_equiv_list_def) (smt (verit, del_insts) ad_equiv_pair_comm in_set_zip prod.sel(1)
  prod.sel(2))

lemma ad_equiv_list_mono:  $X \subseteq Y \implies$  ad_equiv_list Y xs ys  $\implies$  ad_equiv_list X xs ys
  using ad_equiv_pair_mono
  by (fastforce simp: ad_equiv_list_def)

lemma ad_equiv_list_trans:
  assumes ad_equiv_list X xs ys ad_equiv_list X ys zs
  shows ad_equiv_list X xs zs
proof -
  have lens: length xs = length ys length xs = length zs length ys = length zs
    using assms
    by (auto simp: ad_equiv_list_def)
  have  $\bigwedge x z. (x, z) \in \text{set } (\text{zip } xs \text{ } zs) \implies$  ad_equiv_pair X ( $x$ ,  $z$ )
  proof -
    fix x z
    assume  $(x, z) \in \text{set } (\text{zip } xs \text{ } zs)$ 
    then obtain  $i$  where  $i\_def: i < \text{length } xs$   $xs ! i = x$   $zs ! i = z$ 
      by (auto simp: set_zip)
    define y where  $y = ys ! i$ 
    have ad_equiv_pair X ( $x$ ,  $y$ ) ad_equiv_pair X ( $y$ ,  $z$ )
      using assms lens  $i\_def$ 
      by (fastforce simp: set_zip  $y\_def$  ad_equiv_list_def)+
    then show ad_equiv_pair X ( $x$ ,  $z$ )
      unfolding ad_equiv_pair.simps
      by blast
    qed
  then show ?thesis
    using assms
    by (auto simp: ad_equiv_list_def)
  qed

lemma ad_equiv_list_link: ( $\forall i \in \text{set } ns. \text{ad\_equiv\_pair } X (\sigma \text{ } i, \tau \text{ } i) \longleftrightarrow$ 

```



```

ad_equiv_list X (map σ ns) (map τ ns)
by (auto simp: ad_equiv_list_def set_zip) (metis in_set_conv_nth nth_map)

lemma set_zip_comm: (x, y) ∈ set (zip xs ys) ⟹ (y, x) ∈ set (zip ys xs)
by (metis in_set_zip prod.sel(1) prod.sel(2))

lemma set_zip_map: set (zip (map σ ns) (map τ ns)) = (λn. (σ n, τ n)) ' set ns
by (induction ns) auto

lemma sp_equiv_list_comm: sp_equiv_list xs ys ⟹ sp_equiv_list ys xs
unfolding sp_equiv_list_def
using set_zip_comm
by (auto simp: pairwise_def) force+

lemma sp_equiv_list_trans:
assumes sp_equiv_list xs ys sp_equiv_list ys zs
shows sp_equiv_list xs zs
proof -
have lens: length xs = length ys length xs = length zs length ys = length zs
using assms
by (auto simp: sp_equiv_list_def)
have pairwise sp_equiv_pair (set (zip xs zs))
proof (rule pairwiseI)
fix xz xz'
assume xz ∈ set (zip xs zs) xz' ∈ set (zip xs zs)
then obtain x z i x' z' i' where xz_def: i < length xs xs ! i = x zs ! i = z
xz = (x, z) i' < length xs xs ! i' = x' zs ! i' = z' xz' = (x', z')
by (auto simp: set_zip)
define y where y = ys ! i
define y' where y' = ys ! i'
have sp_equiv_pair (x, y) (x', y') sp_equiv_pair (y, z) (y', z')
using assms lens xz_def
by (auto simp: sp_equiv_list_def pairwise_def y_def y'_def set_zip) metis+
then show sp_equiv_pair xz xz'
by (auto simp: xz_def)
qed
then show ?thesis
using assms
by (auto simp: sp_equiv_list_def)
qed

lemma sp_equiv_list_link: sp_equiv_list (map σ ns) (map τ ns) ⟷ sp_equiv σ τ (set ns)
apply (auto simp: sp_equiv_list_def sp_equiv_def pairwise_def set_zip in_set_conv_nth)
apply (metis nth_map)
apply (metis nth_map)
apply fastforce+
done

lemma ad_agr_list_comm: ad_agr_list X xs ys ⟹ ad_agr_list X ys xs
using ad_equiv_list_comm sp_equiv_list_comm
by (fastforce simp: ad_agr_list_def)

lemma ad_agr_list_mono: X ⊆ Y ⟹ ad_agr_list Y ys xs ⟹ ad_agr_list X ys xs
using ad_equiv_list_mono
by (force simp: ad_agr_list_def)

lemma ad_agr_list_rev_mono: Y ⊆ X ⟹ ad_agr_list Y ys xs ⟹
Inl - ' set xs ⊆ Y ⟹ Inl - ' set ys ⊆ Y ⟹ ad_agr_list X ys xs

```

```

apply (auto simp: ad_agr_list_def ad_equiv_list_def)
subgoal for a b
  apply (drule bspec[of _ _ (a, b)])
  apply assumption
  apply (cases a; cases b)
  apply (auto simp: vimage_def set_zip)
  unfolding ad_equiv_pair.simps
  apply (metis Collect_mem_eq Collect_mono_iff imageI nth_mem)
  apply (metis Collect_mem_eq Collect_mono_iff imageI nth_mem)
  apply (metis Collect_mem_eq Collect_mono_iff imageI nth_mem)
  apply (metis Inl_Inr_False image_iff)
done
done

lemma ad_agr_list_trans: ad_agr_list X xs ys  $\implies$  ad_agr_list X ys zs  $\implies$  ad_agr_list X xs zs
  using ad_equiv_list_trans sp_equiv_list_trans
  by (force simp: ad_agr_list_def)

lemma ad_agr_list_refl: ad_agr_list X xs xs
  by (auto simp: ad_agr_list_def ad_equiv_list_def set_zip ad_equiv_pair.simps
    sp_equiv_list_def pairwise_def)

lemma ad_agr_list_set: ad_agr_list X xs ys  $\implies$   $y \in X \implies \text{Inl } y \in \text{set } ys \implies \text{Inl } y \in \text{set } xs$ 
  by (auto simp: ad_agr_list_def ad_equiv_list_def set_zip in_set_conv_nth)
  (metis ad_equiv_pair.simps image_eqI)

lemma ad_agr_list_length: ad_agr_list X xs ys  $\implies$  length xs = length ys
  by (auto simp: ad_agr_list_def)

lemma ad_agr_list_eq: set ys  $\subseteq$  AD  $\implies$  ad_agr_list AD (map Inl xs) (map Inl ys)  $\implies$  xs = ys
  by (fastforce simp: ad_agr_list_def ad_equiv_list_def set_zip ad_equiv_pair.simps
    intro!: nth_equalityI)

lemma sp_equiv_list_subset:
  assumes set ms  $\subseteq$  set ns sp_equiv_list (map  $\sigma$  ns) (map  $\sigma'$  ns)
  shows sp_equiv_list (map  $\sigma$  ms) (map  $\sigma'$  ms)
  unfolding sp_equiv_list_def length_map pairwise_def
proof (rule conjI, rule refl, (rule ballI)+, rule impI)
  fix x y
  assume  $x \in \text{set } (\text{zip } (\text{map } \sigma \text{ ms}) (\text{map } \sigma' \text{ ms}))$   $y \in \text{set } (\text{zip } (\text{map } \sigma \text{ ns}) (\text{map } \sigma' \text{ ns}))$   $x \neq y$ 
  then have  $x \in \text{set } (\text{zip } (\text{map } \sigma \text{ ns}) (\text{map } \sigma' \text{ ns}))$   $y \in \text{set } (\text{zip } (\text{map } \sigma \text{ ns}) (\text{map } \sigma' \text{ ns}))$   $x \neq y$ 
  using assms(1)
  by (auto simp: set_zip) (metis in_set_conv_nth nth_map subset_iff)+
  then show sp_equiv_pair x y
  using assms(2)
  by (auto simp: sp_equiv_list_def pairwise_def)
qed

lemma ad_agr_list_subset: set ms  $\subseteq$  set ns  $\implies$  ad_agr_list X (map  $\sigma$  ns) (map  $\sigma'$  ns)  $\implies$ 
  ad_agr_list X (map  $\sigma$  ms) (map  $\sigma'$  ms)
  by (auto simp: ad_agr_list_def ad_equiv_list_def sp_equiv_list_subset set_zip)
  (metis (no_types, lifting) in_set_conv_nth nth_map subset_iff)

lemma ad_agr_list_link: ad_agr_sets (set ns) (set ns) AD  $\sigma \tau \longleftrightarrow$ 
  ad_agr_list AD (map  $\sigma$  ns) (map  $\tau$  ns)
  unfolding ad_agr_sets_def ad_agr_list_def
  using ad_equiv_list_link sp_equiv_list_link
  by fastforce

```

definition $ad_agr :: ('a, 'b) \text{fo_fmla} \Rightarrow 'a \text{ set} \Rightarrow ('a + 'c) \text{ val} \Rightarrow ('a + 'c) \text{ val} \Rightarrow \text{bool}$ **where**
 $ad_agr \ \varphi \ X \ \sigma \ \tau \longleftrightarrow ad_agr_sets \ (fv_fo_fmla_list \ \varphi) \ (SP \ \varphi) \ X \ \sigma \ \tau$

lemma $ad_agr_sets_restrict$:
 $ad_agr_sets \ (set \ (fv_fo_fmla_list \ \varphi)) \ (set \ (fv_fo_fmla_list \ \varphi)) \ AD \ \sigma \ \tau \implies ad_agr \ \varphi \ AD \ \sigma \ \tau$
using $sp_equiv_mono \ SP_fv$
unfolding $fv_fo_fmla_list_set$
by $(auto \ simp: ad_agr_sets_def \ ad_agr_def) \ blast$

lemma $finite_Inl$: $finite \ X \implies finite \ (Inl \ -' \ X)$
using $finite_vimageI[of \ X \ Inl]$
by $(auto \ simp: vimage_def)$

lemma ex_out :
assumes $finite \ X$
shows $\exists k. k \notin X \wedge k < Suc \ (card \ X)$
using $card_mono[OF \ assms, \ of \ \{..<Suc \ (card \ X)\}]$
by $auto$

lemma $extend_tau$:
assumes $ad_agr_sets \ (FV - \{n\}) \ (S - \{n\}) \ X \ \sigma \ \tau \ S \subseteq FV \ finite \ S \ \tau \ ' \ (FV - \{n\}) \subseteq Z$
 $Inl \ ' \ X \cup Inr \ ' \ \{..<max \ 1 \ (card \ (Inr \ -' \ \tau \ ' \ (S - \{n\})) + (if \ n \in S \ then \ 1 \ else \ 0))\} \subseteq Z$
shows $\exists k \in Z. ad_agr_sets \ FV \ S \ X \ (\sigma(n := x)) \ (\tau(n := k))$

proof $(cases \ n \in S)$
case $True$
note $n_in_S = True$
show $?thesis$
proof $(cases \ x \in Inl \ ' \ X)$
case $True$
show $?thesis$
apply $(rule \ bexI[of \ _ \ x])$
using $assms \ n_in_S \ True$
apply $(auto \ simp: ad_agr_sets_def \ sp_equiv_def \ pairwise_def)$
unfolding $ad_equiv_pair.simps$
apply $(metis \ True \ insert_Diff \ insert_iff \ subsetD)+$
done

next
case $False$
note $\sigma_n_not_Inl = False$
show $?thesis$
proof $(cases \ \exists m \in S - \{n\}. \ x = \sigma \ m)$
case $True$
obtain $m \text{ where } m_def: m \in S - \{n\} \ x = \sigma \ m$
using $True$
by $auto$
have $\tau_m_in: \tau \ m \in Z$
using $assms \ m_def$
by $auto$
show $?thesis$
apply $(rule \ bexI[of \ _ \ \tau \ m])$
using $assms \ n_in_S \ \sigma_n_not_Inl \ True \ m_def$
by $(auto \ simp: ad_agr_sets_def \ sp_equiv_def \ pairwise_def)$
next
case $False$
have $out: x \notin \sigma \ ' \ (S - \{n\})$
using $False$
by $auto$

```

have fin: finite (Inr - ' τ ' (S - {n}))
  using assms(3)
  by (simp add: finite_vimageI)
obtain k where k_def: Inr k ∉ τ ' (S - {n}) k < Suc (card (Inr - ' τ ' (S - {n})))
  using ex_out[OF fin] True
  by auto
show ?thesis
  apply (rule bexI[of _ Inr k])
  using assms n_in_S σ_n_not_Inl out k_def assms(5)
  apply (auto simp: ad_agr_sets_def sp_equiv_def pairwise_def)
  unfolding ad_equiv_pair.simps
  apply fastforce
  apply (metis image_eqI insertE insert_Diff)
  done
qed
qed
next
case False
show ?thesis
  apply (cases x ∈ Inl ' X)
  subgoal
    apply (rule bexI[of _ x])
    using assms False
    apply (auto simp: ad_agr_sets_def sp_equiv_def pairwise_def)
    done
  subgoal
    apply (rule bexI[of _ Inr 0])
    using assms False
    apply (auto simp: ad_agr_sets_def sp_equiv_def pairwise_def)
    unfolding ad_equiv_pair.simps
    apply fastforce
    done
  done
qed

lemma esat_Pred:
  assumes ad_agr_sets FV S (⋃ (set ' X)) σ τ fv_fo_terms_set ts ⊆ FV σ ⊙ e ts ∈ map Inl ' X
    t ∈ set ts
  shows σ · e t = τ · e t
proof (cases t)
case (Var n)
obtain vs where vs_def: σ ⊙ e ts = map Inl vs vs ∈ X
  using assms(3)
  by auto
have σ n ∈ set (σ ⊙ e ts)
  using assms(4)
  by (force simp: eval_eterms_def Var)
then have σ n ∈ Inl ' ⋃ (set ' X)
  using vs_def(2)
  unfolding vs_def(1)
  by auto
moreover have n ∈ FV
  using assms(2,4)
  by (fastforce simp: Var fv_fo_terms_set_def)
ultimately show ?thesis
  using assms(1)
  unfolding ad_equiv_pair.simps ad_agr_sets_def Var
  by fastforce

```

qed auto

lemma sp_equiv_list_fv:

```

  assumes ( $\bigwedge i. i \in \text{fv\_fo\_terms\_set } ts \implies \text{ad\_equiv\_pair } X \ (\sigma \ i, \tau \ i)$ )
     $\bigcup (\text{set\_fo\_term } ' \text{ set } ts) \subseteq X \text{ sp\_equiv } \sigma \ \tau \ (\text{fv\_fo\_terms\_set } ts)$ 
  shows sp_equiv_list (map (( $\cdot$ )  $\sigma$ ) ts) (map (( $\cdot$ )  $\tau$ ) ts)
  using assms
proof (induction ts)
  case (Cons t ts)
  have ind: sp_equiv_list (map (( $\cdot$ )  $\sigma$ ) ts) (map (( $\cdot$ )  $\tau$ ) ts)
    using Cons
    by (auto simp: fv_fo_terms_set_def sp_equiv_def pairwise_def)
  show ?case
proof (cases t)
  case (Const c)
  have c_X:  $c \in X$ 
    using Cons(3)
    by (auto simp: Const)
  have fv_t: fv_fo_term_set t = {}
    by (auto simp: Const)
  have  $\bigwedge t'. t' \in \text{set } ts \implies \text{sp\_equiv\_pair } (\sigma \cdot e \ t, \tau \cdot e \ t) \ (\sigma \cdot e \ t', \tau \cdot e \ t')$ 
    subgoal for t'
      apply (cases t')
      using c_X Const Cons(2)
      apply (auto simp: fv_fo_terms_set_def)
      unfolding ad_equiv_pair.simps
      by (metis Cons(2) ad_equiv_pair.simps fv_fo_terms_setI image_insert insert_iff list.set(2)
          mk_disjoint_insert)+
    done
  then show sp_equiv_list (map (( $\cdot$ )  $\sigma$ ) (t # ts)) (map (( $\cdot$ )  $\tau$ ) (t # ts))
    using ind pairwise_insert[of sp_equiv_pair ( $\sigma \cdot e \ t, \tau \cdot e \ t$ )]
    unfolding sp_equiv_list_def set_zip_map
    by (auto simp: sp_equiv_pair_comm fv_fo_terms_set_def fv_t)
next
  case (Var n)
  have ad_n: ad_equiv_pair X ( $\sigma \ n, \tau \ n$ )
    using Cons(2)
    by (auto simp: fv_fo_terms_set_def Var)
  have sp_equiv_Var:  $\bigwedge n'. \text{Var } n' \in \text{set } ts \implies \text{sp\_equiv\_pair } (\sigma \ n, \tau \ n) \ (\sigma \ n', \tau \ n')$ 
    using Cons(4)
    by (auto simp: sp_equiv_def pairwise_def fv_fo_terms_set_def Var)
  have  $\bigwedge t'. t' \in \text{set } ts \implies \text{sp\_equiv\_pair } (\sigma \cdot e \ t, \tau \cdot e \ t) \ (\sigma \cdot e \ t', \tau \cdot e \ t')$ 
    subgoal for t'
      apply (cases t')
      using Cons(2,3) sp_equiv_Var
      apply (auto simp: Var)
      apply (metis SUP_le_iff ad_equiv_pair.simps ad_n fo_term.set_intros imageI subset_eq)
      apply (metis SUP_le_iff ad_equiv_pair.simps ad_n fo_term.set_intros imageI subset_eq)
    done
  done
  then show ?thesis
    using ind pairwise_insert[of sp_equiv_pair ( $\sigma \cdot e \ t, \tau \cdot e \ t$ ) ( $\lambda n. (\sigma \cdot e \ n, \tau \cdot e \ n)$ ) ' set ts]
    unfolding sp_equiv_list_def set_zip_map
    by (auto simp: sp_equiv_pair_comm)
qed
qed (auto simp: sp_equiv_def sp_equiv_list_def fv_fo_terms_set_def)

```

lemma esat_Pred_inf:

```

assumes fv_fo_terms_set ts  $\subseteq$  FV fv_fo_terms_set ts  $\subseteq$  S
  ad_agr_sets FV S AD  $\sigma$   $\tau$  ad_agr_list AD ( $\sigma \odot e$  ts) vs
   $\bigcup$  (set_fo_term ' set ts)  $\subseteq$  AD
shows ad_agr_list AD ( $\tau \odot e$  ts) vs
proof -
  have sp: sp_equiv  $\sigma$   $\tau$  (fv_fo_terms_set ts)
    using assms(2,3) sp_equiv_mono
    unfolding ad_agr_sets_def
    by auto
  have ( $\bigwedge i. i \in$  fv_fo_terms_set ts  $\implies$  ad_equiv_pair AD ( $\sigma$  i,  $\tau$  i))
    using assms(1,3)
    by (auto simp: ad_agr_sets_def)
  then have sp_equiv_list (map (( $\cdot$ e)  $\sigma$ ) ts) (map (( $\cdot$ e)  $\tau$ ) ts)
    using sp_equiv_list_fv[OF assms(5) sp]
    by auto
  then have ad_agr_list:
    ad_agr_list AD ( $\sigma \odot e$  ts) ( $\tau \odot e$  ts)
    unfolding eval_eterms_def ad_agr_list_def ad_equiv_list_link[symmetric]
    using assms(1,3)
    apply (auto simp: ad_agr_sets_def)
    subgoal for t
      by (cases t) (auto simp: ad_equiv_pair.simps intro!: fv_fo_terms_setI)
    done
  show ?thesis
    by (rule ad_agr_list_comm[OF ad_agr_list_trans[OF ad_agr_list_comm[OF assms(4)] ad_agr_list]])
qed

```

type_synonym ('a, 'c) fo_t = 'a set \times nat \times ('a + 'c) table

```

fun esat :: ('a, 'b) fo_fmula  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  ('a + nat) val  $\Rightarrow$  ('a + nat) set  $\Rightarrow$  bool where
  esat (Pred r ts) I  $\sigma$  X  $\longleftrightarrow$   $\sigma \odot e$  ts  $\in$  map Inl ' I (r, length ts)
| esat (Bool b) I  $\sigma$  X  $\longleftrightarrow$  b
| esat (Eqa t t') I  $\sigma$  X  $\longleftrightarrow$   $\sigma \cdot e$  t =  $\sigma \cdot e$  t'
| esat (Neg  $\varphi$ ) I  $\sigma$  X  $\longleftrightarrow$   $\neg$  esat  $\varphi$  I  $\sigma$  X
| esat (Conj  $\varphi$   $\psi$ ) I  $\sigma$  X  $\longleftrightarrow$  esat  $\varphi$  I  $\sigma$  X  $\wedge$  esat  $\psi$  I  $\sigma$  X
| esat (Disj  $\varphi$   $\psi$ ) I  $\sigma$  X  $\longleftrightarrow$  esat  $\varphi$  I  $\sigma$  X  $\vee$  esat  $\psi$  I  $\sigma$  X
| esat (Exists n  $\varphi$ ) I  $\sigma$  X  $\longleftrightarrow$  ( $\exists x \in X. \text{esat } \varphi \text{ I } (\sigma(n := x)) \text{ X}$ )
| esat (Forall n  $\varphi$ ) I  $\sigma$  X  $\longleftrightarrow$  ( $\forall x \in X. \text{esat } \varphi \text{ I } (\sigma(n := x)) \text{ X}$ )

```

```

fun sz_fmula :: ('a, 'b) fo_fmula  $\Rightarrow$  nat where
  sz_fmula (Neg  $\varphi$ ) = Suc (sz_fmula  $\varphi$ )
| sz_fmula (Conj  $\varphi$   $\psi$ ) = Suc (sz_fmula  $\varphi$  + sz_fmula  $\psi$ )
| sz_fmula (Disj  $\varphi$   $\psi$ ) = Suc (sz_fmula  $\varphi$  + sz_fmula  $\psi$ )
| sz_fmula (Exists n  $\varphi$ ) = Suc (sz_fmula  $\varphi$ )
| sz_fmula (Forall n  $\varphi$ ) = Suc (Suc (Suc (Suc (sz_fmula  $\varphi$ ))))
| sz_fmula _ = 0

```

```

lemma sz_fmula_induct[case_names Pred Bool Eqa Neg Conj Disj Exists Forall]:
  ( $\bigwedge r \text{ ts}. P (\text{Pred } r \text{ ts})$ )  $\implies$  ( $\bigwedge b. P (\text{Bool } b)$ )  $\implies$ 
  ( $\bigwedge t t'. P (\text{Eqa } t t')$ )  $\implies$  ( $\bigwedge \varphi. P \varphi \implies P (\text{Neg } \varphi)$ )  $\implies$ 
  ( $\bigwedge \varphi \psi. P \varphi \implies P \psi \implies P (\text{Conj } \varphi \psi)$ )  $\implies$  ( $\bigwedge \varphi \psi. P \varphi \implies P \psi \implies P (\text{Disj } \varphi \psi)$ )  $\implies$ 
  ( $\bigwedge n \varphi. P \varphi \implies P (\text{Exists } n \varphi)$ )  $\implies$  ( $\bigwedge n \varphi. P (\text{Exists } n (\text{Neg } \varphi)) \implies P (\text{Forall } n \varphi)$ )  $\implies$  P  $\varphi$ 
proof (induction sz_fmula  $\varphi$  arbitrary:  $\varphi$  rule: nat_less_induct)
case 1
have IH:  $\bigwedge \psi. \text{sz\_fmula } \psi < \text{sz\_fmula } \varphi \implies P \psi$ 
  using 1
  by auto
then show ?case

```

```

    using 1(2,3,4,5,6,7,8,9)
    by (cases  $\varphi$ ) auto
qed

lemma esat_fv_cong: ( $\bigwedge n. n \in \text{fv\_fo\_fmla } \varphi \implies \sigma n = \sigma' n$ )  $\implies \text{esat } \varphi I \sigma X \longleftrightarrow \text{esat } \varphi I \sigma' X$ 
proof (induction  $\varphi$  arbitrary:  $\sigma \sigma'$  rule: sz_fmla_induct)
  case (Pred r ts)
  then show ?case
    by (auto simp: eval_eterms_def fv_fo_terms_set_def)
      (smt comp_apply eval_eterm_cong fv_fo_term_set_cong image_insert insertCI map_eq_conv
mk_disjoint_insert)+
next
  case (Eqa t t')
  then show ?case
    by (cases t; cases t') auto
next
  case (Neg  $\varphi$ )
  show ?case
    using Neg(1)[of  $\sigma \sigma'$ ] Neg(2) by auto
next
  case (Conj  $\varphi_1 \varphi_2$ )
  show ?case
    using Conj(1,2)[of  $\sigma \sigma'$ ] Conj(3) by auto
next
  case (Disj  $\varphi_1 \varphi_2$ )
  show ?case
    using Disj(1,2)[of  $\sigma \sigma'$ ] Disj(3) by auto
next
  case (Exists n  $\varphi$ )
  show ?case
  proof (rule iffI)
    assume esat (Exists n  $\varphi$ ) I  $\sigma$  X
    then obtain x where x_def:  $x \in X$  esat  $\varphi I (\sigma(n := x)) X$ 
      by auto
    from x_def(2) have esat  $\varphi I (\sigma'(n := x)) X$ 
      using Exists(1)[of  $\sigma(n := x) \sigma'(n := x)$ ] Exists(2) by fastforce
    with x_def(1) show esat (Exists n  $\varphi$ ) I  $\sigma' X$ 
      by auto
  next
    assume esat (Exists n  $\varphi$ ) I  $\sigma' X$ 
    then obtain x where x_def:  $x \in X$  esat  $\varphi I (\sigma'(n := x)) X$ 
      by auto
    from x_def(2) have esat  $\varphi I (\sigma(n := x)) X$ 
      using Exists(1)[of  $\sigma(n := x) \sigma'(n := x)$ ] Exists(2) by fastforce
    with x_def(1) show esat (Exists n  $\varphi$ ) I  $\sigma X$ 
      by auto
  qed
next
  case (Forall n  $\varphi$ )
  then show ?case
    by auto
qed auto

fun ad_terms :: ('a fo_term) list  $\Rightarrow$  'a set where
  ad_terms ts =  $\bigcup (\text{set } (\text{map set\_fo\_term } ts))$ 

fun act_edom :: ('a, 'b) fo_fmla  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  'a set where
  act_edom (Pred r ts) I = ad_terms ts  $\cup \bigcup (\text{set } ' I (r, \text{length } ts))$ 

```

```

| act_edom (Bool b) I = {}
| act_edom (Eqa t t') I = set_fo_term t ∪ set_fo_term t'
| act_edom (Neg φ) I = act_edom φ I
| act_edom (Conj φ ψ) I = act_edom φ I ∪ act_edom ψ I
| act_edom (Disj φ ψ) I = act_edom φ I ∪ act_edom ψ I
| act_edom (Exists n φ) I = act_edom φ I
| act_edom (Forall n φ) I = act_edom φ I

lemma finite_act_edom: wf_fo_intp φ I ⇒ finite (act_edom φ I)
  using finite_Inl
  by (induction φ I rule: wf_fo_intp.induct)
    (auto simp: finite_set_fo_term vimage_def)

fun fo_adom :: ('a, 'c) fo_t ⇒ 'a set where
  fo_adom (AD, n, X) = AD

theorem main: ad_agr φ AD σ τ ⇒ act_edom φ I ⊆ AD ⇒
  Inl ' AD ∪ Inr ' {..

```



```

    unfolding ad_equiv_pair.simps
    by fastforce+
  with assms show ?thesis
    by fastforce
next
  fix m c'
  assume assms: x1 = Var m x2 = Const c'
  with Eqa(1,2) have  $\sigma m = \text{Inl } c' \longleftrightarrow \tau m = \text{Inl } c'$ 
    apply (auto simp: ad_agr_def ad_agr_sets_def)
    unfolding ad_equiv_pair.simps
    by fastforce+
  with assms show ?thesis
    by auto
next
  fix m m'
  assume assms: x1 = Var m x2 = Var m'
  with Eqa(1,2) have  $\sigma m = \sigma m' \longleftrightarrow \tau m = \tau m'$ 
    by (auto simp: ad_agr_def ad_agr_sets_def sp_equiv_def pairwise_def split: if_splits)
  with assms show ?thesis
    by auto
qed
next
  case (Neg  $\varphi$ )
  from Neg(2) have ad_agr  $\varphi$  AD  $\sigma$   $\tau$ 
    by (auto simp: ad_agr_def)
  with Neg show ?case
    by auto
next
  case (Conj  $\varphi 1$   $\varphi 2$ )
  have aux: ad_agr  $\varphi 1$  AD  $\sigma$   $\tau$  ad_agr  $\varphi 2$  AD  $\sigma$   $\tau$ 
    Inl ' AD  $\cup$  Inr '  $\{..<d \varphi 1\} \subseteq X$  Inl ' AD  $\cup$  Inr '  $\{..<d \varphi 2\} \subseteq X$ 
     $\tau$  ' fv_fo_fm1a  $\varphi 1 \subseteq X$   $\tau$  ' fv_fo_fm1a  $\varphi 2 \subseteq X$ 
    using Conj(3,5,6)
    by (auto simp: ad_agr_def ad_agr_sets_def sp_equiv_def pairwise_def)
  show ?case
    using Conj(1)[OF aux(1) _ aux(3) aux(5)] Conj(2)[OF aux(2) _ aux(4) aux(6)] Conj(4)
    by auto
next
  case (Disj  $\varphi 1$   $\varphi 2$ )
  have aux: ad_agr  $\varphi 1$  AD  $\sigma$   $\tau$  ad_agr  $\varphi 2$  AD  $\sigma$   $\tau$ 
    Inl ' AD  $\cup$  Inr '  $\{..<d \varphi 1\} \subseteq X$  Inl ' AD  $\cup$  Inr '  $\{..<d \varphi 2\} \subseteq X$ 
     $\tau$  ' fv_fo_fm1a  $\varphi 1 \subseteq X$   $\tau$  ' fv_fo_fm1a  $\varphi 2 \subseteq X$ 
    using Disj(3,5,6)
    by (auto simp: ad_agr_def ad_agr_sets_def sp_equiv_def pairwise_def)
  show ?case
    using Disj(1)[OF aux(1) _ aux(3) aux(5)] Disj(2)[OF aux(2) _ aux(4) aux(6)] Disj(4)
    by auto
next
  case (Exists m  $\varphi$ )
  show ?case
  proof (rule iffI)
    assume esat (Exists m  $\varphi$ ) I  $\sigma$  UNIV
    then obtain x where asssm: esat  $\varphi$  I ( $\sigma(m := x)$ ) UNIV
    by auto
    have  $m \in SP \varphi \implies \text{Suc } (\text{card } (\text{Inr } - ' \tau ' (SP \varphi - \{m\}))) \leq \text{card } (SP \varphi)$ 
    by (metis Diff_insert_absorb card_image card_le_Suc_iff finite_Diff finite_SP
      image_vimage_subset inj_Inr mk_disjoint_insert surj_card_le)
    moreover have  $\text{card } (\text{Inr } - ' \tau ' SP \varphi) \leq \text{card } (SP \varphi)$ 

```

```

    by (metis card_image finite_SP image_vimage_subset inj_Inr surj_card_le)
ultimately have max 1 (card (Inr -' τ ' (SP φ - {m})) + (if m ∈ SP φ then 1 else 0)) ≤ d φ
  using d_pos card_SP_d[of φ]
  by auto
then have ∃ x' ∈ X. ad_agr φ AD (σ(m := x)) (τ(m := x'))
  using extend_τ[OF Exists(2)[unfolded ad_agr_def fv_fo_fmula.simps SP.simps]
    SP_fv[of φ] finite_SP Exists(5)[unfolded fv_fo_fmula.simps]]
    Exists(4)
  by (force simp: ad_agr_def)
then obtain x' where x'_def: x' ∈ X ad_agr φ AD (σ(m := x)) (τ(m := x'))
  by auto
from Exists(5) have τ(m := x') ' fv_fo_fmula φ ⊆ X
  using x'_def(1) by fastforce
then have esat φ I (τ(m := x')) X
  using Exists x'_def(1,2) assm
  by fastforce
with x'_def show esat (Exists m φ) I τ X
  by auto
next
assume esat (Exists m φ) I τ X
then obtain z where assm: z ∈ X esat φ I (τ(m := z)) X
  by auto
have ad_agr: ad_agr_sets (fv_fo_fmula φ - {m}) (SP φ - {m}) AD τ σ
  using Exists(2)[unfolded ad_agr_def fv_fo_fmula.simps SP.simps]
  by (rule ad_agr_sets_comm)
have ∃ x. ad_agr φ AD (σ(m := x)) (τ(m := z))
  using extend_τ[OF ad_agr SP_fv[of φ] finite_SP subset_UNIV subset_UNIV] ad_agr_sets_comm
  unfolding ad_agr_def
  by fastforce
then obtain x where x_def: ad_agr φ AD (σ(m := x)) (τ(m := z))
  by auto
have τ(m := z) ' fv_fo_fmula (Exists m φ) ⊆ X
  using Exists
  by fastforce
with x_def have esat φ I (σ(m := x)) UNIV
  using Exists assm
  by fastforce
then show esat (Exists m φ) I σ UNIV
  by auto
qed
next
case (Forall n φ)
have unfold: act_edom (Forall n φ) I = act_edom (Exists n (Neg φ)) I
  Inl ' AD ∪ Inr ' {..

```

```

proof –
  show ?thesis
  using main[OF assms(1,2) _ assms(4)] assms(3)
  by fastforce
qed

lemma esat_UNIV_cong:
  fixes  $\sigma :: \text{nat} \Rightarrow 'a + \text{nat}$ 
  assumes  $\text{ad\_agr } \varphi \text{ AD } \sigma \tau \text{ act\_edom } \varphi \text{ I} \subseteq \text{AD}$ 
  shows  $\text{esat } \varphi \text{ I } \sigma \text{ UNIV} \longleftrightarrow \text{esat } \varphi \text{ I } \tau \text{ UNIV}$ 
proof –
  show ?thesis
  using main[OF assms(1,2) subset_UNIV subset_UNIV]
  by auto
qed

lemma esat_UNIV_ad_agr_list:
  fixes  $\sigma :: \text{nat} \Rightarrow 'a + \text{nat}$ 
  assumes  $\text{ad\_agr\_list AD (map } \sigma \text{ (fv\_fo\_fmla\_list } \varphi)) \text{ (map } \tau \text{ (fv\_fo\_fmla\_list } \varphi))}$ 
   $\text{act\_edom } \varphi \text{ I} \subseteq \text{AD}$ 
  shows  $\text{esat } \varphi \text{ I } \sigma \text{ UNIV} \longleftrightarrow \text{esat } \varphi \text{ I } \tau \text{ UNIV}$ 
  using esat_UNIV_cong[OF iffD2[OF ad_agr_def, OF ad_agr_sets_mono'[OF SP_fv],
    OF iffD2[OF ad_agr_list_link, OF assms(1), unfolded fv_fo_fmla_list_set]] assms(2)] .

fun fo_rep ::  $( 'a, 'c) \text{ fo\_t} \Rightarrow 'a \text{ table}$  where
  fo_rep (AD, n, X) = {ts.  $\exists ts' \in X. \text{ad\_agr\_list AD (map Inl ts) ts'}$ }

lemma sat_esat_conv:
  fixes  $\varphi :: ( 'a :: \text{infinite}, 'b) \text{ fo\_fmla}$ 
  assumes fin: wf_fo_intp  $\varphi \text{ I}$ 
  shows  $\text{sat } \varphi \text{ I } \sigma \longleftrightarrow \text{esat } \varphi \text{ I (Inl } \circ \sigma :: \text{nat} \Rightarrow 'a + \text{nat}) \text{ UNIV}$ 
  using assms
proof (induction  $\varphi$  arbitrary: I  $\sigma$  rule: sz_fmla_induct)
  case (Pred r ts)
  show ?case
  unfolding sat.simps esat.simps comp_def[symmetric] eval_terms_eterms[symmetric]
  by auto
next
  case (Eqa t t')
  show ?case
  by (cases t; cases t') auto
next
  case (Exists n  $\varphi$ )
  show ?case
  proof (rule iffI)
  assume  $\text{sat (Exists n } \varphi) \text{ I } \sigma$ 
  then obtain x where x_def:  $\text{esat } \varphi \text{ I (Inl } \circ \sigma(n := x)) \text{ UNIV}$ 
  using Exists
  by fastforce
  have Inl_unfold:  $\text{Inl } \circ \sigma(n := x) = (\text{Inl } \circ \sigma)(n := \text{Inl } x)$ 
  by auto
  show  $\text{esat (Exists n } \varphi) \text{ I (Inl } \circ \sigma) \text{ UNIV}$ 
  using x_def
  unfolding Inl_unfold
  by auto
next
  assume  $\text{esat (Exists n } \varphi) \text{ I (Inl } \circ \sigma) \text{ UNIV}$ 
  then obtain x where x_def:  $\text{esat } \varphi \text{ I ((Inl } \circ \sigma)(n := x)) \text{ UNIV}$ 

```

```

    by auto
  show sat (Exists n  $\varphi$ ) I  $\sigma$ 
  proof (cases x)
    case (Inl a)
      have Inl_unfold: (Inl  $\circ$   $\sigma$ )(n := x) = Inl  $\circ$   $\sigma$ (n := a)
        by (auto simp: Inl)
      show ?thesis
        using x_def[unfolded Inl_unfold] Exists
        by fastforce
  next
    case (Inr b)
    obtain c where c_def: c  $\notin$  act_edom  $\varphi$  I  $\cup$   $\sigma$  'fv_fo_fmula  $\varphi$ 
      using arb_element finite_act_edom[OF Exists(2), simplified] finite_fv_fo_fmula
      by (metis finite_Un finite_imageI)
    have wf_local: wf_fo_intp  $\varphi$  I
      using Exists(2)
      by auto
    have sat  $\varphi$  I ( $\sigma$ (n := c))
      apply (rule iffD2[OF Exists(1)][OF wf_local]
        iffD1[OF esat_UNIV_ad_agr_list[OF subset_refl] x_def[unfolded Inr]]])
      apply (auto simp: ad_agr_list_def ad_equiv_list_def fun_upd_def)
      subgoal for k l
        using c_def
        by (cases k; cases l) (auto simp: set_zip ad_equiv_pair.simps split: if_splits)
      using c_def[unfolded fv_fo_fmula_list_set[symmetric]]
      apply (auto simp: sp_equiv_list_def pairwise_def set_zip split: if_splits)
      done
    then show ?thesis
      by auto
  qed
qed
next
  case (Forall n  $\varphi$ )
  show ?case
    using Forall(1)[of I  $\sigma$ ] Forall(2)
    by auto
qed auto

lemma sat_ad_agr_list:
  fixes  $\varphi$  :: ('a :: infinite, 'b) fo_fmula
  and J :: (('a, nat) fo_t, 'b) fo_intp
  assumes wf_fo_intp  $\varphi$  I
  ad_agr_list AD (map (Inl  $\circ$   $\sigma$  :: nat  $\Rightarrow$  'a + nat) (fv_fo_fmula_list  $\varphi$ ))
    (map (Inl  $\circ$   $\tau$ ) (fv_fo_fmula_list  $\varphi$ )) act_edom  $\varphi$  I  $\subseteq$  AD
  shows sat  $\varphi$  I  $\sigma \longleftrightarrow$  sat  $\varphi$  I  $\tau$ 
  using esat_UNIV_ad_agr_list[OF assms(2,3)] sat_esat_conv[OF assms(1)]
  by auto

definition nfv :: ('a, 'b) fo_fmula  $\Rightarrow$  nat where
  nfv  $\varphi$  = length (fv_fo_fmula_list  $\varphi$ )

lemma nfv_card: nfv  $\varphi$  = card (fv_fo_fmula_list  $\varphi$ )
proof -
  have distinct (fv_fo_fmula_list  $\varphi$ )
    using sorted_distinct_fv_list
    by auto
  then have length (fv_fo_fmula_list  $\varphi$ ) = card (set (fv_fo_fmula_list  $\varphi$ ))
    using distinct_card by fastforce

```

```

    then show ?thesis
      unfolding fv_fo_fmula_list_set by (auto simp: nfv_def)
    qed

fun rremdups :: 'a list ⇒ 'a list where
  rremdups [] = []
| rremdups (x # xs) = x # rremdups (filter ((≠) x) xs)

lemma filter_rremdups_filter: filter P (rremdups (filter Q xs)) =
  rremdups (filter (λx. P x ∧ Q x) xs)
  apply (induction xs arbitrary: Q)
  apply auto
  by metis

lemma filter_rremdups: filter P (rremdups xs) = rremdups (filter P xs)
  using filter_rremdups_filter[where Q=λ_. True]
  by auto

lemma filter_take: ∃ j. filter P (take i xs) = take j (filter P xs)
  apply (induction xs arbitrary: i)
  apply (auto)
  apply (metis filter.simps(1) filter.simps(2) take_Cons' take_Suc_Cons)
  apply (metis filter.simps(2) take0 take_Cons')
  done

lemma rremdups_take: ∃ j. rremdups (take i xs) = take j (rremdups xs)
proof (induction xs arbitrary: i)
  case (Cons x xs)
  show ?case
  proof (cases i)
    case (Suc n)
    obtain j where j_def: rremdups (take n xs) = take j (rremdups xs)
      using Cons by auto
    obtain j' where j'_def: filter ((≠) x) (take j (rremdups xs)) =
      take j' (filter ((≠) x) (rremdups xs))
      using filter_take
      by blast
    show ?thesis
      by (auto simp: Suc filter_rremdups[symmetric] j_def j'_def intro: exI[of _ Suc j'])
  qed
qed (auto simp add: take_Cons')
qed auto

lemma rremdups_app: rremdups (xs @ [x]) = rremdups xs @ (if x ∈ set xs then [] else [x])
  apply (induction xs)
  apply auto
  apply (smt filter.simps(1) filter.simps(2) filter_append filter_rremdups)+
  done

lemma rremdups_set: set (rremdups xs) = set xs
  by (induction xs) (auto simp: filter_rremdups[symmetric])

lemma distinct_rremdups: distinct (rremdups xs)
proof (induction length xs arbitrary: xs rule: nat_less_induct)
  case 1
  then have IH: ∧ m ys. length (ys :: 'a list) < length xs ⇒ distinct (rremdups ys)
    by auto
  show ?case
  proof (cases xs)

```

```

    case (Cons z zs)
    show ?thesis
    using IH
    by (auto simp: Cons rremdups_set le_imp_less_Suc)
qed auto
qed

lemma length_rremdups: length (rremdups xs) = card (set xs)
  using distinct_card[OF distinct_rremdups]
  by (subst eq_commute) (auto simp: rremdups_set)

lemma set_map_filter_sum: set (List.map_filter (case_sum Map.empty Some) xs) = Inr - ' set xs
  by (induction xs) (auto simp: List.map_filter_simps split: sum.splits)

definition nats :: nat list  $\Rightarrow$  bool where
  nats ns = (ns = [0..\Rightarrow ('a + nat) list  $\Rightarrow$  bool where
  fo_nmlzd AD xs  $\longleftrightarrow$  Inl - ' set xs  $\subseteq$  AD  $\wedge$ 
    (let ns = List.map_filter (case_sum Map.empty Some) xs in nats (rremdups ns))

lemma fo_nmlzd_all_AD:
  assumes set xs  $\subseteq$  Inl - ' AD
  shows fo_nmlzd AD xs
proof -
  have List.map_filter (case_sum Map.empty Some) xs = []
    using assms
    by (induction xs) (auto simp: List.map_filter_simps)
  then show ?thesis
    using assms
    by (auto simp: fo_nmlzd_def nats_def Let_def)
qed

lemma card_Inr_vimage_le_length: card (Inr - ' set xs)  $\leq$  length xs
proof -
  have card (Inr - ' set xs)  $\leq$  card (set xs)
    by (meson List.finite_set card_inj_on_le image_vimage_subset inj_Inr)
  moreover have ...  $\leq$  length xs
    by (rule card_length)
  finally show ?thesis .
qed

lemma fo_nmlzd_set:
  assumes fo_nmlzd AD xs
  shows set xs = set xs  $\cap$  Inl - ' AD  $\cup$  Inr - ' {..\subseteq AD
    using assms
    by (auto simp: fo_nmlzd_def)
  moreover have Inr - ' set xs = {..\cap Inl - ' AD  $\cup$  Inr - ' {..

```

qed

lemma *map_filter_take*: $\exists j. \text{List.map_filter } f (\text{take } i \text{ } xs) = \text{take } j (\text{List.map_filter } f \text{ } xs)$
apply (*induction xs arbitrary: i*)
apply (*auto simp: List.map_filter_simps split: option.splits*)
apply (*metis map_filter_simps(1) option.case(1) take0 take_Cons'*)
apply (*metis map_filter_simps(1) map_filter_simps(2) option.case(2) take_Cons' take_Suc_Cons*)
done

lemma *fo_nmlzd_take*: $\text{fo_nmlzd } AD \text{ } xs \implies \text{fo_nmlzd } AD (\text{take } i \text{ } xs)$
apply (*auto simp: fo_nmlzd_def vimage_def nats_def Let_def*)
using *set_take_subset* **apply** *fastforce*
using *map_filter_take[of case_sum Map.empty Some i xs]*
apply *auto*
subgoal for j
using *rremdups_take[of j List.map_filter (case_sum Map.empty Some) xs]*
by *auto (metis (no_types, lifting) add.left_neutral min.cobounded1 min_def take_all take_upt)*
done

lemma *map_filter_app*: $\text{List.map_filter } f (xs @ [x]) = \text{List.map_filter } f \text{ } xs @$
 $(\text{case } f \text{ } x \text{ of } \text{Some } y \Rightarrow [y] \mid _ \Rightarrow [])$
by (*induction xs*) (*auto simp: List.map_filter_simps split: option.splits*)

lemma *fo_nmlzd_app_Inr*: $\text{Inr } n \notin \text{set } xs \implies \text{Inr } n' \notin \text{set } xs \implies \text{fo_nmlzd } AD (xs @ [\text{Inr } n]) \implies$
 $\text{fo_nmlzd } AD (xs @ [\text{Inr } n']) \implies n = n'$
by (*auto simp: List.map_filter_simps fo_nmlzd_def nats_def Let_def map_filter_app*
rremdups_app set_map_filter_sum)

fun *all_tuples* :: 'c set \Rightarrow nat \Rightarrow 'c table **where**
all_tuples xs 0 = $\{\{\}\}$
 $| \text{all_tuples } xs (\text{Suc } n) = \bigcup ((\lambda as. (\lambda x. x \# as) \text{ } 'xs) \text{ } '(\text{all_tuples } xs \text{ } n))$

definition *nall_tuples* :: 'a set \Rightarrow nat \Rightarrow ('a + nat) table **where**
nall_tuples AD n = $\{zs \in \text{all_tuples } (\text{Inl } 'AD \cup \text{Inr } ' \{..<n\}) \text{ } n. \text{fo_nmlzd } AD \text{ } zs\}$

lemma *all_tuples_finite*: $\text{finite } xs \implies \text{finite } (\text{all_tuples } xs \text{ } n)$
by (*induction xs n rule: all_tuples.induct*) *auto*

lemma *nall_tuples_finite*: $\text{finite } AD \implies \text{finite } (\text{nall_tuples } AD \text{ } n)$
by (*auto simp: nall_tuples_def all_tuples_finite*)

lemma *all_tuplesI*: $\text{length } vs = n \implies \text{set } vs \subseteq xs \implies vs \in \text{all_tuples } xs \text{ } n$
proof (*induction xs n arbitrary: vs rule: all_tuples.induct*)
case (*2 xs n*)
then obtain *w ws* **where** $vs = w \# ws$ $\text{length } ws = n$ $\text{set } ws \subseteq xs$ $w \in xs$
by (*metis Suc_length_conv contra_subsetD list.set_intros(1) order_trans set_subset_Cons*)
with *2(1)* **show** *?case*
by *auto*
qed *auto*

lemma *nall_tuplesI*: $\text{length } vs = n \implies \text{fo_nmlzd } AD \text{ } vs \implies vs \in \text{nall_tuples } AD \text{ } n$
using *fo_nmlzd_set[of AD vs]*
by (*auto simp: nall_tuples_def intro!: all_tuplesI*)

lemma *all_tuplesD*: $vs \in \text{all_tuples } xs \text{ } n \implies \text{length } vs = n \wedge \text{set } vs \subseteq xs$
by (*induction xs n arbitrary: vs rule: all_tuples.induct*) *auto+*

lemma *all_tuples_setD*: $vs \in \text{all_tuples } xs \text{ } n \implies \text{set } vs \subseteq xs$

```

by (auto dest: all_tuplesD)

lemma nall_tuplesD:  $vs \in \text{nall\_tuples } AD \ n \implies$ 
   $\text{length } vs = n \wedge \text{set } vs \subseteq \text{Inl } 'AD \cup \text{Inr } ' \{..<n\} \wedge \text{fo\_nmlzd } AD \ vs$ 
by (auto simp: nall_tuples_def dest: all_tuplesD)

lemma all_tuples_set:  $\text{all\_tuples } xs \ n = \{ys. \text{length } ys = n \wedge \text{set } ys \subseteq xs\}$ 
proof (induction xs n rule: all_tuples.induct)
case (2 xs n)
show ?case
proof (rule subset_antisym; rule subsetI)
fix ys
assume  $ys \in \text{all\_tuples } xs \ (Suc \ n)$ 
then show  $ys \in \{ys. \text{length } ys = Suc \ n \wedge \text{set } ys \subseteq xs\}$ 
using 2 by auto
next
fix ys
assume  $ys \in \{ys. \text{length } ys = Suc \ n \wedge \text{set } ys \subseteq xs\}$ 
then have  $assm: \text{length } ys = Suc \ n \wedge \text{set } ys \subseteq xs$ 
by auto
then obtain z zs where  $zs\_def: ys = z \# zs \ z \in xs \ \text{length } zs = n \wedge \text{set } zs \subseteq xs$ 
by (cases ys) auto
with 2 have  $zs \in \text{all\_tuples } xs \ n$ 
by auto
with  $zs\_def(1,2)$  show  $ys \in \text{all\_tuples } xs \ (Suc \ n)$ 
by auto
qed
qed auto

lemma nall_tuples_set:  $\text{nall\_tuples } AD \ n = \{ys. \text{length } ys = n \wedge \text{fo\_nmlzd } AD \ ys\}$ 
using fo_nmlzd_set[of AD] card_Inr_vimage_le_length
by (auto simp: nall_tuples_def all_tuples_set) (smt UnE nall_tuplesD nall_tuplesI subsetD)

fun pos :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  nat option where
  pos a [] = None
| pos a (x # xs) =
  (if a = x then Some 0 else (case pos a xs of Some n  $\Rightarrow$  Some (Suc n) | _  $\Rightarrow$  None))

lemma pos_set:  $\text{pos } a \ xs = \text{Some } i \implies a \in \text{set } xs$ 
by (induction a xs arbitrary: i rule: pos.induct) (auto split: if_splits option.splits)

lemma pos_length:  $\text{pos } a \ xs = \text{Some } i \implies i < \text{length } xs$ 
by (induction a xs arbitrary: i rule: pos.induct) (auto split: if_splits option.splits)

lemma pos_sound:  $\text{pos } a \ xs = \text{Some } i \implies i < \text{length } xs \wedge xs ! i = a$ 
by (induction a xs arbitrary: i rule: pos.induct) (auto split: if_splits option.splits)

lemma pos_complete:  $\text{pos } a \ xs = \text{None} \implies a \notin \text{set } xs$ 
by (induction a xs rule: pos.induct) (auto split: if_splits option.splits)

fun rem_nth :: nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  rem_nth _ [] = []
| rem_nth 0 (x # xs) = xs
| rem_nth (Suc n) (x # xs) = x # rem_nth n xs

lemma rem_nth_length:  $i < \text{length } xs \implies \text{length } (\text{rem\_nth } i \ xs) = \text{length } xs - 1$ 
by (induction i xs rule: rem_nth.induct) auto

```


lemma *rem_nth_take_drop*: $i < \text{length } xs \implies \text{rem_nth } i \text{ } xs = \text{take } i \text{ } xs @ \text{drop } (\text{Suc } i) \text{ } xs$
by (*induction* $i \text{ } xs$ *rule*: *rem_nth.induct*) *auto*

lemma *rem_nth_sound*: $\text{distinct } xs \implies \text{pos } n \text{ } xs = \text{Some } i \implies$
 $\text{rem_nth } i \text{ } (\text{map } \sigma \text{ } xs) = \text{map } \sigma \text{ } (\text{filter } ((\neq) \text{ } n) \text{ } xs)$
apply (*induction* xs *arbitrary*: i)
apply (*auto simp*: *pos_set split*: *option.splits*)
by (*metis* (*mono_tags*, *lifting*) *filter_True*)

fun *add_nth* :: $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{add_nth } 0 \text{ } a \text{ } xs = a \# xs$
 $|\text{ add_nth } (\text{Suc } n) \text{ } a \text{ } zs = (\text{case } zs \text{ of } x \# xs \Rightarrow x \# \text{add_nth } n \text{ } a \text{ } xs)$

lemma *add_nth_length*: $i \leq \text{length } zs \implies \text{length } (\text{add_nth } i \text{ } z \text{ } zs) = \text{Suc } (\text{length } zs)$
by (*induction* $i \text{ } z \text{ } zs$ *rule*: *add_nth.induct*) (*auto split*: *list.splits*)

lemma *add_nth_take_drop*: $i \leq \text{length } zs \implies \text{add_nth } i \text{ } v \text{ } zs = \text{take } i \text{ } zs @ v \# \text{drop } i \text{ } zs$
by (*induction* $i \text{ } v \text{ } zs$ *rule*: *add_nth.induct*) (*auto split*: *list.splits*)

lemma *add_nth_rem_nth_map*: $\text{distinct } xs \implies \text{pos } n \text{ } xs = \text{Some } i \implies$
 $\text{add_nth } i \text{ } a \text{ } (\text{rem_nth } i \text{ } (\text{map } \sigma \text{ } xs)) = \text{map } (\sigma(n := a)) \text{ } xs$
by (*induction* xs *arbitrary*: i) (*auto simp*: *pos_set split*: *option.splits*)

lemma *add_nth_rem_nth_self*: $i < \text{length } xs \implies \text{add_nth } i \text{ } (xs ! i) \text{ } (\text{rem_nth } i \text{ } xs) = xs$
by (*induction* $i \text{ } xs$ *rule*: *rem_nth.induct*) *auto*

lemma *rem_nth_add_nth*: $i \leq \text{length } zs \implies \text{rem_nth } i \text{ } (\text{add_nth } i \text{ } z \text{ } zs) = zs$
by (*induction* $i \text{ } z \text{ } zs$ *rule*: *add_nth.induct*) (*auto split*: *list.splits*)

fun *merge* :: $(\text{nat} \times 'a) \text{ list} \Rightarrow (\text{nat} \times 'a) \text{ list} \Rightarrow (\text{nat} \times 'a) \text{ list}$ **where**
 $\text{merge } [] \text{ } mys = mys$
 $|\text{ merge } nxs \text{ } [] = nxs$
 $|\text{ merge } ((n, x) \# nxs) ((m, y) \# mys) =$
 $\quad (\text{if } n \leq m \text{ then } (n, x) \# \text{merge } nxs ((m, y) \# mys)$
 $\quad \text{else } (m, y) \# \text{merge } ((n, x) \# nxs) \text{ } mys)$

lemma *merge_Nil2*[*simp*]: $\text{merge } nxs \text{ } [] = nxs$
by (*cases* nxs) *auto*

lemma *merge_length*: $\text{length } (\text{merge } nxs \text{ } mys) = \text{length } (\text{map fst } nxs @ \text{map fst } mys)$
by (*induction* $nxs \text{ } mys$ *rule*: *merge.induct*) *auto*

lemma *insort_aux_le*: $\forall x \in \text{set } nxs. n \leq \text{fst } x \implies \forall x \in \text{set } mys. m \leq \text{fst } x \implies n \leq m \implies$
 $\text{insort } n \text{ } (\text{sort } (\text{map fst } nxs @ m \# \text{map fst } mys)) = n \# \text{sort } (\text{map fst } nxs @ m \# \text{map fst } mys)$
by (*induction* nxs) (*auto simp*: *insort_is_Cons insort_left_comm*)

lemma *insort_aux_gt*: $\forall x \in \text{set } nxs. n \leq \text{fst } x \implies \forall x \in \text{set } mys. m \leq \text{fst } x \implies \neg n \leq m \implies$
 $\text{insort } n \text{ } (\text{sort } (\text{map fst } nxs @ m \# \text{map fst } mys)) =$
 $m \# \text{insort } n \text{ } (\text{sort } (\text{map fst } nxs @ \text{map fst } mys))$
apply (*induction* nxs)
apply (*auto simp*: *insort_is_Cons*)
by (*metis* *dual_order.trans insort_key.simps(2) insort_left_comm*)

lemma *map_fst_merge*: $\text{sorted_distinct } (\text{map fst } nxs) \implies \text{sorted_distinct } (\text{map fst } mys) \implies$
 $\text{map fst } (\text{merge } nxs \text{ } mys) = \text{sort } (\text{map fst } nxs @ \text{map fst } mys)$
by (*induction* $nxs \text{ } mys$ *rule*: *merge.induct*)
 $(\text{auto simp add: sorted_sort_id insort_is_Cons insort_aux_le insort_aux_gt})$

lemma *merge_map'*: $\text{sorted_distinct } (\text{map fst } nxs) \implies \text{sorted_distinct } (\text{map fst } mys) \implies$
 $\text{fst } ' \text{ set } nxs \cap \text{fst } ' \text{ set } mys = \{\} \implies$
 $\text{map snd } nxs = \text{map } \sigma (\text{map fst } nxs) \implies \text{map snd } mys = \text{map } \sigma (\text{map fst } mys) \implies$
 $\text{map snd } (\text{merge } nxs \text{ mys}) = \text{map } \sigma (\text{sort } (\text{map fst } nxs @ \text{map fst } mys))$
by (*induction* $nxs \text{ mys}$ *rule*: *merge.induct*)
(auto simp: sorted_sort_id insort_is_Cons insort_aux_le insort_aux_gt)

lemma *merge_map*: $\text{sorted_distinct } ns \implies \text{sorted_distinct } ms \implies \text{set } ns \cap \text{set } ms = \{\} \implies$
 $\text{map snd } (\text{merge } (\text{zip } ns (\text{map } \sigma \text{ ns})) (\text{zip } ms (\text{map } \sigma \text{ ms}))) = \text{map } \sigma (\text{sort } (ns @ ms))$
using *merge_map'* [*of* $\text{zip } ns (\text{map } \sigma \text{ ns}) \text{ zip } ms (\text{map } \sigma \text{ ms}) \sigma]$
by *auto* (*metis* *length_map list.set_map map_fst_zip*)

fun *fo_nmlz_rec* :: $\text{nat} \Rightarrow ('a + \text{nat} \rightarrow \text{nat}) \Rightarrow 'a \text{ set} \Rightarrow$
 $('a + \text{nat}) \text{ list} \Rightarrow ('a + \text{nat}) \text{ list}$ **where**
fo_nmlz_rec $i \text{ m AD } [] = []$
 $| \text{fo_nmlz_rec } i \text{ m AD } (\text{Inl } x \# xs) = (\text{if } x \in \text{AD} \text{ then } \text{Inl } x \# \text{fo_nmlz_rec } i \text{ m AD } xs \text{ else}$
 $(\text{case } m (\text{Inl } x) \text{ of } \text{None} \Rightarrow \text{Inr } i \# \text{fo_nmlz_rec } (\text{Suc } i) (m(\text{Inl } x \mapsto i)) \text{ AD } xs$
 $| \text{Some } j \Rightarrow \text{Inr } j \# \text{fo_nmlz_rec } i \text{ m AD } xs))$
 $| \text{fo_nmlz_rec } i \text{ m AD } (\text{Inr } n \# xs) = (\text{case } m (\text{Inr } n) \text{ of } \text{None} \Rightarrow$
 $\text{Inr } i \# \text{fo_nmlz_rec } (\text{Suc } i) (m(\text{Inr } n \mapsto i)) \text{ AD } xs$
 $| \text{Some } j \Rightarrow \text{Inr } j \# \text{fo_nmlz_rec } i \text{ m AD } xs)$

lemma *fo_nmlz_rec_sound*: $\text{ran } m \subseteq \{..<i\} \implies \text{filter } ((\leq) i) (\text{rremdups}$
 $(\text{List.map_filter } (\text{case_sum Map.empty Some}) (\text{fo_nmlz_rec } i \text{ m AD } xs))) = ns \implies$
 $ns = [i..<i + \text{length } ns]$

proof (*induction* $i \text{ m AD } xs$ *arbitrary*: ns *rule*: *fo_nmlz_rec.induct*)

case $(2 \ i \text{ m AD } x \text{ xs})$

then show *?case*

proof (*cases* $x \in \text{AD}$)

case *False*

show *?thesis*

proof (*cases* $m (\text{Inl } x)$)

case *None*

have *pred*: $\text{ran } (m(\text{Inl } x \mapsto i)) \subseteq \{..<\text{Suc } i\}$

using $2(4) \text{ None}$

by (*auto simp: inj_on_def dom_def ran_def*)

have $ns = i \# \text{filter } ((\leq) (\text{Suc } i)) (\text{rremdups}$

$(\text{List.map_filter } (\text{case_sum Map.empty Some}) (\text{fo_nmlz_rec } (\text{Suc } i) (m(\text{Inl } x \mapsto i)) \text{ AD } xs)))$

using $2(5) \text{ False None}$

by (*auto simp: List.map_filter_simps filter_rremdups*)

(metis Suc_leD antisym not_less_eq_eq)

then show *?thesis*

by (*auto simp: 2(2)[OF False None pred, OF refl]*)

(smt Suc_le_eq Suc_pred le_add1 le_zero_eq less_add_same_cancel1 not_less_eq_eq

upt_Suc_append upt_rec)

next

case $(\text{Some } j)$

then have $j_lt_i: j < i$

using $2(4)$

by (*auto simp: ran_def*)

have *ns_def*: $ns = \text{filter } ((\leq) i) (\text{rremdups}$

$(\text{List.map_filter } (\text{case_sum Map.empty Some}) (\text{fo_nmlz_rec } i \text{ m AD } xs)))$

using $2(5) \text{ False Some } j_lt_i$

by (*auto simp: List.map_filter_simps filter_rremdups*) (*metis leD*)

show *?thesis*

by (*rule 2(3)[OF False Some 2(4) ns_def[symmetric]]*)

qed

qed (*auto simp: List.map_filter_simps split: option.splits*)

```

next
case (3 i m AD n xs)
show ?case
proof (cases m (Inr n))
case None
have pred: ran (m(Inr n  $\mapsto$  i))  $\subseteq$  {.. $\text{Suc } i$ }
using 3(3) None
by (auto simp: inj_on_def dom_def ran_def)
have ns = i # filter (( $\leq$ ) (Suc i)) (rremdups
(List.map_filter (case_sum Map.empty Some) (fo_nmlz_rec (Suc i) (m(Inr n  $\mapsto$  i)) AD xs)))
using 3(4) None
by (auto simp: List.map_filter_simps filter_rremdups) (metis Suc_leD antisym not_less_eq_eq)
then show ?thesis
by (auto simp add: 3(1)[OF None pred, OF refl])
(smt Suc_le_eq Suc_pred le_add1 le_zero_eq less_add_same_cancel1 not_less_eq_eq
upt_Suc_append upt_rec)
next
case (Some j)
then have j_lt_i: j < i
using 3(3)
by (auto simp: ran_def)
have ns_def: ns = filter (( $\leq$ ) i) (rremdups
(List.map_filter (case_sum Map.empty Some) (fo_nmlz_rec i m AD xs)))
using 3(4) Some j_lt_i
by (auto simp: List.map_filter_simps filter_rremdups) (metis leD)
show ?thesis
by (rule 3(2)[OF Some 3(3) ns_def[symmetric]])
qed
qed (auto simp: List.map_filter_simps)

definition id_map :: nat  $\Rightarrow$  ('a + nat  $\rightarrow$  nat) where
id_map n = ( $\lambda x$ . case x of Inl x  $\Rightarrow$  None | Inr x  $\Rightarrow$  if x < n then Some x else None)

lemma fo_nmlz_rec_idem: Inl - ' set ys  $\subseteq$  AD  $\Rightarrow$ 
rremdups (List.map_filter (case_sum Map.empty Some) ys) = ns  $\Rightarrow$ 
set (filter ( $\lambda n$ . n < i) ns)  $\subseteq$  {.. $i$ }  $\Rightarrow$  filter (( $\leq$ ) i) ns = [.. $i + k$ ]  $\Rightarrow$ 
fo_nmlz_rec i (id_map i) AD ys = ys
proof (induction ys arbitrary: i k ns)
case (Cons y ys)
show ?case
proof (cases y)
case (Inl a)
show ?thesis
using Cons(1)[OF __ Cons(4,5)] Cons(2,3)
by (auto simp: Inl List.map_filter_simps)
next
case (Inr j)
show ?thesis
proof (cases j < i)
case False
have j_i: j = i
using False Cons(3,5)
by (auto simp: Inr List.map_filter_simps filter_rremdups in_mono split: if_splits)
(metis (no_types, lifting) upt_eq_Cons_conv)
obtain kk where k_def: k = Suc kk
using Cons(3,5)
by (cases k) (auto simp: Inr List.map_filter_simps j_i)
define ns' where ns' = rremdups (List.map_filter (case_sum Map.empty Some) ys)

```

```

have id_map_None: id_map i (Inr i) = None
  by (auto simp: id_map_def)
have id_map_upd: id_map i (Inr i  $\mapsto$  i) = id_map (Suc i)
  by (auto simp: id_map_def split: sum.splits)
have set (filter ( $\lambda n. n < \text{Suc } i$ ) ns')  $\subseteq$  {.. $\text{Suc } i$ }
  using Cons(2,3)
  by auto
moreover have filter (( $\leq$ ) (Suc i)) ns' = [Suc i.. $i + k$ ]
  using Cons(3,5)
  by (auto simp: Inr List.map_filter_simps j_i filter_rremdups[symmetric] ns'_def[symmetric])
    (smt One_nat_def Suc_eq_plus1 Suc_le_eq add_diff_cancel_left' diff_is_0_eq'
      dual_order.order_iff_strict filter_cong n_not_Suc_n upt_eq_Cons_conv)
moreover have Inl -' set ys  $\subseteq$  AD
  using Cons(2)
  by (auto simp: vimage_def)
ultimately have fo_nmlz_rec (Suc i) ((id_map i)(Inr i  $\mapsto$  i)) AD ys = ys
  using Cons(1)[OF _ ns'_def[symmetric], of Suc i kk]
  by (auto simp: ns'_def k_def id_map_upd split: if_splits)
then show ?thesis
  by (auto simp: Inr j_i id_map_None)
next
case True
define ns' where ns' = rremdups (List.map_filter (case_sum Map.empty Some) ys)
have set (filter ( $\lambda y. y < i$ ) ns')  $\subseteq$  set (filter ( $\lambda y. y < i$ ) ns)
  filter (( $\leq$ ) i) ns' = filter (( $\leq$ ) i) ns
  using Cons(3) True
  by (auto simp: Inr List.map_filter_simps filter_rremdups[symmetric] ns'_def[symmetric])
    (smt filter_cong leD)
then have fo_nmlz_rec i (id_map i) AD ys = ys
  using Cons(1)[OF _ ns'_def[symmetric]] Cons(3,5) Cons(2)
  by (auto simp: vimage_def)
then show ?thesis
  using True
  by (auto simp: Inr id_map_def)
qed
qed
qed (auto simp: List.map_filter_simps intro!: exI[of _ []])

lemma fo_nmlz_rec_length: length (fo_nmlz_rec i m AD xs) = length xs
  by (induction i m AD xs rule: fo_nmlz_rec.induct) (auto simp: fun_upd_def split: option.splits)

lemma insert_Inr:  $\bigwedge X. \text{insert } (\text{Inr } i) (X \cup \text{Inr } \{.. $i$ \}) = X \cup \text{Inr } \{.. $\text{Suc } i$ \}$ 
  by auto

lemma fo_nmlz_rec_set:  $\text{ran } m \subseteq \{.. $i$ \} \implies \text{set } (\text{fo\_nmlz\_rec } i \text{ m AD xs}) \cup \text{Inr } \{.. $i$ \} =$ 
 $\text{set } xs \cap \text{Inl } \{ \text{AD} \cup \text{Inr } \{.. $i + \text{card } (\text{set } xs - \text{Inl } \{ \text{AD} - \text{dom } m \}) \}$$ 
proof (induction i m AD xs rule: fo_nmlz_rec.induct)
  case (2 i m AD x xs)
  have fin: finite (set (Inl x  $\#$  xs) - Inl  $\{ \text{AD} - \text{dom } m \})$ 
    by auto
  show ?case
    using 2(1)[OF _ 2(4)]
  proof (cases x  $\in$  AD)
    case True
    have card (set (Inl x  $\#$  xs) - Inl  $\{ \text{AD} - \text{dom } m \}) = \text{card } (\text{set } xs - \text{Inl } \{ \text{AD} - \text{dom } m \})$ 
      using True
      by auto
    then show ?thesis

```

```

    using 2(1)[OF True 2(4)] True
    by auto
next
case False
show ?thesis
proof (cases m (Inl x))
  case None
  have pred: ran (m(Inl x ↦ i)) ⊆ {.. $\text{Suc } i$ }
    using 2(4) None
    by (auto simp: inj_on_def dom_def ran_def)
  have set (Inl x # xs) - Inl 'AD - dom m =
    {Inl x} ∪ (set xs - Inl 'AD - dom (m(Inl x ↦ i)))
    using None False
    by (auto simp: dom_def)
  then have Suc:  $\text{Suc } i + \text{card } (\text{set } xs - \text{Inl 'AD} - \text{dom } (m(\text{Inl } x \mapsto i))) =$ 
     $i + \text{card } (\text{set } (\text{Inl } x \# xs) - \text{Inl 'AD} - \text{dom } m)$ 
    using None
    by auto
  show ?thesis
    using 2(2)[OF False None pred] False None
    unfolding Suc
    by (auto simp: fun_upd_def[symmetric] insert_Inr)
next
case (Some j)
then have j_lt_i:  $j < i$ 
  using 2(4)
  by (auto simp: ran_def)
have card (set (Inl x # xs) - Inl 'AD - dom m) = card (set xs - Inl 'AD - dom m)
  by (auto simp: Some intro: arg_cong[of _ _ card])
then show ?thesis
  using 2(3)[OF False Some 2(4)] False Some j_lt_i
  by auto
qed
qed
next
case (3 i m AD k xs)
then show ?case
proof (cases m (Inr k))
  case None
  have preds: ran (m(Inr k ↦ i)) ⊆ {.. $\text{Suc } i$ }
    using 3(3)
    by (auto simp: ran_def)
  have set (Inr k # xs) - Inl 'AD - dom m =
    {Inr k} ∪ (set xs - Inl 'AD - dom (m(Inr k ↦ i)))
    using None
    by (auto simp: dom_def)
  then have Suc:  $\text{Suc } i + \text{card } (\text{set } xs - \text{Inl 'AD} - \text{dom } (m(\text{Inr } k \mapsto i))) =$ 
     $i + \text{card } (\text{set } (\text{Inr } k \# xs) - \text{Inl 'AD} - \text{dom } m)$ 
    using None
    by auto
  show ?thesis
    using None 3(1)[OF None preds]
    unfolding Suc
    by (auto simp: fun_upd_def[symmetric] insert_Inr)
next
case (Some j)
have fin: finite (set (Inr k # xs) - Inl 'AD - dom m)
  by auto

```

```

have card_eq: card (set xs - Inl ' AD - dom m) = card (set (Inr k # xs) - Inl ' AD - dom m)
  by (auto simp: Some intro!: arg_cong[of _ _ card])
have j_lt_i: j < i
  using 3(3) Some
  by (auto simp: ran_def)
show ?thesis
  using 3(2)[OF Some 3(3)] j_lt_i
  unfolding card_eq
  by (auto simp: ran_def insert_Inr Some)
qed
qed auto

lemma fo_nmlz_rec_set_rev: set (fo_nmlz_rec i m AD xs) ⊆ Inl ' AD ⟹ set xs ⊆ Inl ' AD
  by (induction i m AD xs rule: fo_nmlz_rec.induct) (auto split: if_splits option.splits)

lemma fo_nmlz_rec_map: inj_on m (dom m) ⟹ ran m ⊆ {..} ⟹ ∃ m'. inj_on m' (dom m') ∧
  (∀ n. m n ≠ None ⟶ m' n = m n) ∧ (∀ (x, y) ∈ set (zip xs (fo_nmlz_rec i m AD xs))).
  (case x of Inl x' ⇒ if x' ∈ AD then x = y else ∃ j. m' (Inl x') = Some j ∧ y = Inr j
  | Inr n ⇒ ∃ j. m' (Inr n) = Some j ∧ y = Inr j))
proof (induction i m AD xs rule: fo_nmlz_rec.induct)
  case (2 i m AD x xs)
  show ?case
    using 2(1)[OF _ 2(4,5)]
  proof (cases x ∈ AD)
    case False
    show ?thesis
    proof (cases m (Inl x))
      case None
      have preds: inj_on (m(Inl x ↦ i)) (dom (m(Inl x ↦ i))) ran (m(Inl x ↦ i)) ⊆ {..}
        using 2(4,5)
        by (auto simp: inj_on_def ran_def)
      show ?thesis
        using 2(2)[OF False None preds] False None
        apply auto
        subgoal for m'
          by (auto simp: fun_upd_def split: sum.splits intro!: exI[of _ m'])
        done
    next
      case (Some j)
      show ?thesis
        using 2(3)[OF False Some 2(4,5)] False Some
        apply auto
        subgoal for m'
          by (auto split: sum.splits intro!: exI[of _ m'])
        done
    qed
  qed auto
next
  case (3 i m AD n xs)
  show ?case
  proof (cases m (Inr n))
    case None
    have preds: inj_on (m(Inr n ↦ i)) (dom (m(Inr n ↦ i))) ran (m(Inr n ↦ i)) ⊆ {..}
      using 3(3,4)
      by (auto simp: inj_on_def ran_def)
    show ?thesis
      using 3(1)[OF None preds] None
      apply safe

```

```

    subgoal for m'
      apply (auto simp: fun_upd_def intro!: exI[of _ m'] split: sum.splits)
    done
  done
next
case (Some j)
show ?thesis
  using 3(2)[OF Some 3(3,4)] Some
  apply auto
  subgoal for m'
    by (auto simp: fun_upd_def intro!: exI[of _ m'] split: sum.splits)
  done
qed
qed auto

lemma ad_agr_map: length xs = length ys  $\implies$  inj_on m (dom m)  $\implies$ 
  ( $\bigwedge x y. (x, y) \in \text{set } (\text{zip } xs \text{ } ys) \implies (\text{case } x \text{ of } \text{Inl } x' \Rightarrow$ 
    if  $x' \in AD$  then  $x = y$  else  $m \ x = \text{Some } y \wedge (\text{case } y \text{ of } \text{Inl } z \Rightarrow z \notin AD \mid \text{Inr } \_ \Rightarrow \text{True})$ 
     $\mid \text{Inr } n \Rightarrow m \ x = \text{Some } y \wedge (\text{case } y \text{ of } \text{Inl } z \Rightarrow z \notin AD \mid \text{Inr } \_ \Rightarrow \text{True})) \implies$ 
    ad_agr_list AD xs ys
  apply (auto simp: ad_agr_list_def ad_equiv_list_def)
  subgoal premises prems for a b
    unfolding ad_equiv_pair.simps
    using prems(3)[OF prems(4)]
    by (auto split: sum.splits if_splits)
  apply (auto simp: sp_equiv_list_def pairwise_def)
  subgoal premises prems for a b c
    using prems(3)[OF prems(4)] prems(3)[OF prems(5)] prems(2,6)
    apply (auto split: sum.splits if_splits)
    apply (metis domI inj_onD prems(6))+
  done
  subgoal premises prems for a b c
    using prems(3)[OF prems(4)] prems(3)[OF prems(5)] prems(2,6)
    apply (auto split: sum.splits if_splits)
  done
done

lemma fo_nmlz_rec_take: take n (fo_nmlz_rec i m AD xs) = fo_nmlz_rec i m AD (take n xs)
  by (induction i m AD xs arbitrary: n rule: fo_nmlz_rec.induct)
  (auto simp: take_Cons' split: option.splits)

definition fo_nmlz :: 'a set  $\Rightarrow$  ('a + nat) list  $\Rightarrow$  ('a + nat) list where
  fo_nmlz = fo_nmlz_rec 0 Map.empty

lemma fo_nmlz_Nil[simp]: fo_nmlz AD [] = []
  by (auto simp: fo_nmlz_def)

lemma fo_nmlz_Cons: fo_nmlz AD [x] =
  (case x of Inl x  $\Rightarrow$  if  $x \in AD$  then [Inl x] else [Inr 0]  $\mid$   $\_ \Rightarrow$  [Inr 0])
  by (auto simp: fo_nmlz_def split: sum.splits)

lemma fo_nmlz_Cons_Cons: fo_nmlz AD [x, x] =
  (case x of Inl x  $\Rightarrow$  if  $x \in AD$  then [Inl x, Inl x] else [Inr 0, Inr 0]  $\mid$   $\_ \Rightarrow$  [Inr 0, Inr 0])
  by (auto simp: fo_nmlz_def split: sum.splits)

lemma fo_nmlz_sound: fo_nmlzd AD (fo_nmlz AD xs)
  using fo_nmlz_rec_sound[of Map.empty 0] fo_nmlz_rec_set[of Map.empty 0 AD xs]
  by (auto simp: fo_nmlzd_def fo_nmlz_def nats_def Let_def)

```

```

lemma fo_nmlz_length: length (fo_nmlz AD xs) = length xs
using fo_nmlz_rec_length
by (auto simp: fo_nmlz_def)

lemma fo_nmlz_map:  $\exists \tau. \text{fo\_nmlz } AD \text{ (map } \sigma \text{ ns)} = \text{map } \tau \text{ ns}$ 
proof -
obtain m' where m'_def:  $\forall (x, y) \in \text{set (zip (map } \sigma \text{ ns) (fo\_nmlz } AD \text{ (map } \sigma \text{ ns)))}. \text{case } x \text{ of Inl } x' \Rightarrow \text{if } x' \in AD \text{ then } x = y \text{ else } \exists j. m' (\text{Inl } x') = \text{Some } j \wedge y = \text{Inr } j$ 
| Inr n  $\Rightarrow \exists j. m' (\text{Inr } n) = \text{Some } j \wedge y = \text{Inr } j$ 
using fo_nmlz_rec_map[of Map.empty 0, of map  $\sigma$  ns]
by (auto simp: fo_nmlz_def)
define  $\tau$  where  $\tau \equiv (\lambda n. \text{case } \sigma \text{ n of Inl } x \Rightarrow \text{if } x \in AD \text{ then Inl } x \text{ else Inr (the (m' (Inl } x))$ 
| Inr j  $\Rightarrow \text{Inr (the (m' (Inr } j))$ ))
have fo_nmlz AD (map  $\sigma$  ns) = map  $\tau$  ns
proof (rule nth_equalityI)
show length (fo_nmlz AD (map  $\sigma$  ns)) = length (map  $\tau$  ns)
using fo_nmlz_length[of AD map  $\sigma$  ns]
by auto
fix i
assume  $i < \text{length (fo\_nmlz } AD \text{ (map } \sigma \text{ ns))}$ 
then show fo_nmlz AD (map  $\sigma$  ns) ! i = map  $\tau$  ns ! i
using m'_def fo_nmlz_length[of AD map  $\sigma$  ns]
apply (auto simp: set_zip  $\tau$ _def split: sum.splits)
apply (metis nth_map)
apply (metis nth_map option.sel)+
done
qed
then show ?thesis
by auto
qed

lemma card_set_minus:  $\text{card (set xs - X)} \leq \text{length xs}$ 
by (meson Diff_subset List.finite_set card_length card_mono order_trans)

lemma fo_nmlz_set:  $\text{set (fo\_nmlz } AD \text{ xs)} = \text{set xs} \cap \text{Inl ' } AD \cup \text{Inr ' } \{.. < \min (\text{length xs}) (\text{card (set xs - Inl ' } AD))\}$ 
using fo_nmlz_rec_set[of Map.empty 0 AD xs]
by (auto simp add: fo_nmlz_def card_set_minus)

lemma fo_nmlz_set_rev:  $\text{set (fo\_nmlz } AD \text{ xs)} \subseteq \text{Inl ' } AD \Rightarrow \text{set xs} \subseteq \text{Inl ' } AD$ 
using fo_nmlz_rec_set_rev[of 0 Map.empty AD xs]
by (auto simp: fo_nmlz_def)

lemma fo_nmlz_ad_agr: ad_agr_list AD xs (fo_nmlz AD xs)
unfolding fo_nmlz_def
using fo_nmlz_rec_map[of Map.empty 0 xs AD]
apply auto
subgoal for m'
apply (rule ad_agr_map[OF fo_nmlz_rec_length[symmetric],
of map_option Inr  $\circ$  m' xs 0 Map.empty AD AD])
apply (auto simp: inj_on_def dom_def split: sum.splits if_splits)
done
done

lemma fo_nmlzd_mono:  $\text{Inl - ' set xs} \subseteq AD \Rightarrow \text{fo\_nmlzd } AD' \text{ xs} \Rightarrow \text{fo\_nmlzd } AD \text{ xs}$ 
by (auto simp: fo_nmlzd_def)

```



```

lemma fo_nmlz_idem: fo_nmlzd AD ys  $\implies$  fo_nmlz AD ys = ys
  using fo_nmlz_rec_idem[where ?i=0]
  by (auto simp: fo_nmlzd_def fo_nmlz_def id_map_def nats_def Let_def)

lemma fo_nmlz_take: take n (fo_nmlz AD xs) = fo_nmlz AD (take n xs)
  using fo_nmlz_rec_take
  by (auto simp: fo_nmlz_def)

fun nall_tuples_rec :: 'a set  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  ('a + nat) table where
  nall_tuples_rec AD i 0 = {}
| nall_tuples_rec AD i (Suc n) =  $\bigcup ((\lambda as. (\lambda x. x \# as) \text{ ' (Inl ' AD } \cup \text{ Inr ' \{..<i\}) ' ) ' }$ 
    nall_tuples_rec AD i n)  $\cup (\lambda as. \text{Inr } i \# as) \text{ ' nall\_tuples\_rec AD (Suc } i) \text{ n}$ 

lemma nall_tuples_rec_Inl:  $vs \in \text{nall\_tuples\_rec AD } i \text{ n} \implies \text{Inl - ' set } vs \subseteq \text{AD}$ 
  by (induction AD i n arbitrary: vs rule: nall_tuples_rec.induct) (fastforce simp: vimage_def)+

lemma nall_tuples_rec_length:  $xs \in \text{nall\_tuples\_rec AD } i \text{ n} \implies \text{length } xs = n$ 
  by (induction AD i n arbitrary: xs rule: nall_tuples_rec.induct) auto

lemma fun_upd_id_map: id_map i (Inr i  $\mapsto$  i) = id_map (Suc i)
  by (rule ext) (auto simp: id_map_def split: sum.splits)

lemma id_mapD: id_map j (Inr i) = None  $\implies j \leq i$  id_map j (Inr i) = Some x  $\implies i < j \wedge i = x$ 
  by (auto simp: id_map_def split: if_splits)

lemma nall_tuples_rec_fo_nmlz_rec_sound:  $i \leq j \implies xs \in \text{nall\_tuples\_rec AD } i \text{ n} \implies$ 
  fo_nmlz_rec j (id_map j) AD xs = xs
  apply (induction n arbitrary: i j xs)
  apply (auto simp: fun_upd_id_map dest!: id_mapD split: option.splits)
  apply (meson dual_order.strict_trans2 id_mapD(1) not_Some_eq sup.strict_order_iff)
  using Suc_leI apply blast+
  done

lemma nall_tuples_rec_fo_nmlz_rec_complete:
  assumes fo_nmlz_rec j (id_map j) AD xs = xs
  shows  $xs \in \text{nall\_tuples\_rec AD } j \text{ (length } xs)$ 
  using assms
proof (induction xs arbitrary: j)
  case (Cons x xs)
  show ?case
  proof (cases x)
  case (Inl a)
  have a_AD:  $a \in \text{AD}$ 
  using Cons(2)
  by (auto simp: Inl split: if_splits option.splits)
  show ?thesis
  using Cons a_AD
  by (auto simp: Inl)
  next
  case (Inr b)
  have b_j:  $b \leq j$ 
  using Cons(2)
  by (auto simp: Inr split: option.splits dest: id_mapD)
  show ?thesis
  proof (cases b = j)
  case True
  have preds: fo_nmlz_rec (Suc j) (id_map (Suc j)) AD xs = xs
  using Cons(2)

```

```

    by (auto simp: Inr True fun_upd_id_map dest: id_mapD split: option.splits)
  show ?thesis
    using Cons(1)[OF preds]
    by (auto simp: Inr True)
next
case False
have b_lt_j: b < j
  using b_j False
  by auto
have id_map: id_map j (Inr b) = Some b
  using b_lt_j
  by (auto simp: id_map_def)
have preds: fo_nmlz_rec j (id_map j) AD xs = xs
  using Cons(2)
  by (auto simp: Inr id_map)
show ?thesis
  using Cons(1)[OF preds] b_lt_j
  by (auto simp: Inr)
qed
qed
qed auto

lemma nall_tuples_rec_fo_nmlz: xs ∈ nall_tuples_rec AD 0 (length xs) ⟷ fo_nmlz AD xs = xs
  using nall_tuples_rec_fo_nmlz_rec_sound[of 0 0 xs AD length xs]
  nall_tuples_rec_fo_nmlz_rec_complete[of 0 AD xs]
  by (auto simp: fo_nmlz_def id_map_def)

lemma fo_nmlzd_code[code]: fo_nmlzd AD xs ⟷ fo_nmlz AD xs = xs
  using fo_nmlz_idem fo_nmlz_sound
  by metis

lemma nall_tuples_code[code]: nall_tuples AD n = nall_tuples_rec AD 0 n
  unfolding nall_tuples_set
  using nall_tuples_rec_length trans[OF nall_tuples_rec_fo_nmlz fo_nmlzd_code[symmetric]]
  by fastforce

lemma exists_map: length xs = length ys ⟹ distinct xs ⟹ ∃ f. ys = map f xs
proof (induction xs ys rule: list_induct2)
case (Cons x xs y ys)
then obtain f where f_def: ys = map f xs
  by auto
with Cons(3) have y # ys = map (f(x := y)) (x # xs)
  by auto
then show ?case
  by metis
qed auto

lemma exists_fo_nmlzd:
  assumes length xs = length ys distinct xs fo_nmlzd AD ys
  shows ∃ f. ys = fo_nmlz AD (map f xs)
  using fo_nmlz_idem[OF assms(3)] exists_map[OF _ assms(2)] assms(1)
  by metis

lemma list_induct2_rev[consumes 1]: length xs = length ys ⟹ (P [] []) ⟹
  (⋀ x y xs ys. P xs ys ⟹ P (xs @ [x]) (ys @ [y])) ⟹ P xs ys
proof (induction length xs arbitrary: xs ys)
case (Suc n)
then show ?case

```

```

    by (cases xs rule: rev_cases; cases ys rule: rev_cases) auto
qed auto

lemma ad_agr_list_fo_nmlzd:
  assumes ad_agr_list AD vs vs' fo_nmlzd AD vs fo_nmlzd AD vs'
  shows vs = vs'
  using ad_agr_list_length[OF assms(1)] assms
proof (induction vs vs' rule: list_induct2_rev)
  case (2 x y xs ys)
  have norms: fo_nmlzd AD xs fo_nmlzd AD ys
  using 2(3,4)
  by (auto simp: fo_nmlzd_def nats_def Let_def map_filter_app rremdups_app
    split: sum.splits if_splits)
  have ad_agr: ad_agr_list AD xs ys
  using 2(2)
  by (auto simp: ad_agr_list_def ad_equiv_list_def sp_equiv_list_def pairwise_def)
  note xs_ys = 2(1)[OF ad_agr norms]
  have x = y
  proof (cases isl x  $\vee$  isl y)
  case True
  then have isl x  $\longrightarrow$  projl x  $\in$  AD isl y  $\longrightarrow$  projl y  $\in$  AD
  using 2(3,4)
  by (auto simp: fo_nmlzd_def)
  then show ?thesis
  using 2(2) True
  apply (auto simp: ad_agr_list_def ad_equiv_list_def isl_def)
  unfolding ad_equiv_pair.simps
  by blast+
  next
  case False
  then obtain x' y' where inr: x = Inr x' y = Inr y'
  by (cases x; cases y) auto
  show ?thesis
  using 2(2) xs_ys
  proof (cases x  $\in$  set xs  $\vee$  y  $\in$  set ys)
  case False
  then show ?thesis
  using fo_nmlzd_app_Inr 2(3,4)
  unfolding inr xs_ys
  by auto
  qed (auto simp: ad_agr_list_def sp_equiv_list_def pairwise_def set_zip in_set_conv_nth)
qed
then show ?case
  using xs_ys
  by auto
qed auto

lemma fo_nmlz_eqI:
  assumes ad_agr_list AD vs vs'
  shows fo_nmlz AD vs = fo_nmlz AD vs'
  using ad_agr_list_fo_nmlzd[OF
    ad_agr_list_trans[OF ad_agr_list_trans[OF
      ad_agr_list_comm[OF fo_nmlz_ad_agr[of AD vs]] assms]
      fo_nmlz_ad_agr[of AD vs']] fo_nmlz_sound fo_nmlz_sound] .

lemma fo_nmlz_eqD:
  assumes fo_nmlz AD vs = fo_nmlz AD vs'
  shows ad_agr_list AD vs vs'

```

```

using ad_agr_list_trans[OF fo_nmlz_ad_agr[of AD vs, unfolded assms]
  ad_agr_list_comm[OF fo_nmlz_ad_agr[of AD vs]]] .

lemma fo_nmlz_mono:
  assumes AD  $\subseteq$  AD' Inl - ' set xs  $\subseteq$  AD
  shows fo_nmlz AD' xs = fo_nmlz AD xs
proof -
  have fo_nmlz AD (fo_nmlz AD' xs) = fo_nmlz AD' xs
    apply (rule fo_nmlz_idem[OF fo_nmlzd_mono[OF fo_nmlz_sound]])
    using assms
    by (auto simp: fo_nmlz_set)
  moreover have fo_nmlz AD xs = fo_nmlz AD (fo_nmlz AD' xs)
    apply (rule fo_nmlz_eqI)
    apply (rule ad_agr_list_mono[OF assms(1)])
    apply (rule fo_nmlz_ad_agr)
    done
  ultimately show ?thesis
    by auto
qed

definition proj_vals :: 'c val set  $\Rightarrow$  nat list  $\Rightarrow$  'c table where
  proj_vals R ns = ( $\lambda\tau$ . map  $\tau$  ns) ' R

definition proj_fmula :: ('a, 'b) fo_fmula  $\Rightarrow$  'c val set  $\Rightarrow$  'c table where
  proj_fmula  $\varphi$  R = proj_vals R (fv_fo_fmula_list  $\varphi$ )

lemmas proj_fmula_map = proj_fmula_def[unfolded proj_vals_def]

definition extends_subst  $\sigma \tau = (\forall x. \sigma x \neq \text{None} \longrightarrow \sigma x = \tau x)$ 

definition ext_tuple :: 'a set  $\Rightarrow$  nat list  $\Rightarrow$  nat list  $\Rightarrow$ 
  ('a + nat) list  $\Rightarrow$  ('a + nat) list set where
  ext_tuple AD fv_sub fv_sub_comp as = (if fv_sub_comp = [] then {as}
    else ( $\lambda fs$ . map snd (merge (zip fv_sub as) (zip fv_sub_comp fs))) '
    (nall_tuples_rec AD (card (Inr - ' set as)) (length fv_sub_comp)))

lemma ext_tuple_eq: length fv_sub = length as  $\implies$ 
  ext_tuple AD fv_sub fv_sub_comp as =
  ( $\lambda fs$ . map snd (merge (zip fv_sub as) (zip fv_sub_comp fs))) '
  (nall_tuples_rec AD (card (Inr - ' set as)) (length fv_sub_comp))
  using fo_nmlz_idem[of AD as]
  by (auto simp: ext_tuple_def)

lemma map_map_of: length xs = length ys  $\implies$  distinct xs  $\implies$ 
  ys = map (the  $\circ$  (map_of (zip xs ys))) xs
  by (induction xs ys rule: list_induct2) (auto simp: fun_upd_comp)

lemma id_map_empty: id_map 0 = Map.empty
  by (rule ext) (auto simp: id_map_def split: sum.splits)

lemma fo_nmlz_rec_shift:
  fixes xs :: ('a + nat) list
  shows fo_nmlz_rec i (id_map i) AD xs = xs  $\implies$ 
  i' = card (Inr - ' (Inr {..}  $\cup$  set (take n xs)))  $\implies$  n  $\leq$  length xs  $\implies$ 
  fo_nmlz_rec i' (id_map i') AD (drop n xs) = drop n xs
proof (induction i id_map i :: 'a + nat  $\rightarrow$  nat AD xs arbitrary: n rule: fo_nmlz_rec.induct)
  case (2 i AD x xs)
  have preds: x  $\in$  AD fo_nmlz_rec i (id_map i) AD xs = xs

```

```

    using 2(4)
    by (auto split: if_splits option.splits)
show ?case
    using 2(4,5)
proof (cases n)
    case (Suc k)
    have k_le:  $k \leq \text{length } xs$ 
    using 2(6)
    by (auto simp: Suc)
    have i'_def:  $i' = \text{card } (\text{Inr } -' (\text{Inr } ' \{..<i\} \cup \text{set } (\text{take } k \text{ } xs)))$ 
    using 2(5)
    by (auto simp: Suc vimage_def)
show ?thesis
    using 2(1)[OF preds i'_def k_le]
    by (auto simp: Suc)
qed (auto simp: inj_vimage_image_eq)
next
case (3 i AD j xs)
show ?case
    using 3(3,4)
proof (cases n)
    case (Suc k)
    have k_le:  $k \leq \text{length } xs$ 
    using 3(5)
    by (auto simp: Suc)
    have j_le_i:  $j \leq i$ 
    using 3(3)
    by (auto split: option.splits dest: id_mapD)
show ?thesis
proof (cases j = i)
    case True
    have id_map:  $\text{id\_map } i (\text{Inr } j) = \text{None id\_map } i (\text{Inr } j \mapsto i) = \text{id\_map } (\text{Suc } i)$ 
    unfolding True fun_upd_id_map
    by (auto simp: id_map_def)
    have norm_xs:  $\text{fo\_nmlz\_rec } (\text{Suc } i) (\text{id\_map } (\text{Suc } i)) \text{ } AD \text{ } xs = xs$ 
    using 3(3)
    by (auto simp: id_map split: option.splits dest: id_mapD)
    have i'_def:  $i' = \text{card } (\text{Inr } -' (\text{Inr } ' \{..<\text{Suc } i\} \cup \text{set } (\text{take } k \text{ } xs)))$ 
    using 3(4)
    by (auto simp: Suc True inj_vimage_image_eq)
    (metis Un_insert_left image_insert inj_Inr inj_vimage_image_eq lessThan_Suc vimage_Un)
show ?thesis
    using 3(1)[OF id_map norm_xs i'_def k_le]
    by (auto simp: Suc)
next
case False
have id_map:  $\text{id\_map } i (\text{Inr } j) = \text{Some } j$ 
using j_le_i False
by (auto simp: id_map_def)
have norm_xs:  $\text{fo\_nmlz\_rec } i (\text{id\_map } i) \text{ } AD \text{ } xs = xs$ 
using 3(3)
by (auto simp: id_map)
have i'_def:  $i' = \text{card } (\text{Inr } -' (\text{Inr } ' \{..<i\} \cup \text{set } (\text{take } k \text{ } xs)))$ 
using 3(4) j_le_i False
by (auto simp: Suc inj_vimage_image_eq insert_absorb)
show ?thesis
    using 3(2)[OF id_map norm_xs i'_def k_le]
    by (auto simp: Suc)

```

```

    qed
  qed (auto simp: inj_vimage_image_eq)
qed auto

fun proj_tuple :: nat list ⇒ (nat × ('a + nat)) list ⇒ ('a + nat) list where
  proj_tuple [] mys = []
| proj_tuple ns [] = []
| proj_tuple (n # ns) ((m, y) # mys) =
  (if m < n then proj_tuple (n # ns) mys else
   if m = n then y # proj_tuple ns mys
   else proj_tuple ns ((m, y) # mys))

lemma proj_tuple_idle: proj_tuple (map fst nxs) nxs = map snd nxs
  by (induction nxs) auto

lemma proj_tuple_merge: sorted_distinct (map fst nxs) ⇒ sorted_distinct (map fst mys) ⇒
  set (map fst nxs) ∩ set (map fst mys) = {} ⇒
  proj_tuple (map fst nxs) (merge nxs mys) = map snd nxs
  using proj_tuple_idle
  by (induction nxs mys rule: merge.induct) auto+

lemma proj_tuple_map:
  assumes sorted_distinct ns sorted_distinct ms set ns ⊆ set ms
  shows proj_tuple ns (zip ms (map σ ms)) = map σ ns
proof -
  define ns' where ns' = filter (λn. n ∉ set ns) ms
  have sd_ns': sorted_distinct ns'
    using assms(2) sorted_filter[of id]
    by (auto simp: ns'_def)
  have disj: set ns ∩ set ns' = {}
    by (auto simp: ns'_def)
  have ms_def: ms = sort (ns @ ns')
    apply (rule sorted_distinct_set_unique)
    using assms
    by (auto simp: ns'_def)
  have zip: zip ms (map σ ms) = merge (zip ns (map σ ns)) (zip ns' (map σ ns'))
    unfolding merge_map[OF assms(1) sd_ns' disj, folded ms_def, symmetric]
    using map_fst_merge assms(1)
    by (auto simp: ms_def) (smt length_map map_fst_merge map_fst_zip sd_ns' zip_map_fst_snd)
  show ?thesis
    unfolding zip
    using proj_tuple_merge
    by (smt assms(1) disj length_map map_fst_zip map_snd_zip sd_ns')
qed

lemma ext_tuple_sound:
  assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
  set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all
  ass = fo_nmlz AD ' proj_vals R fv_sub
  ∧σ τ. ad_agr_sets (set fv_sub) (set fv_sub) AD σ τ ⇒ σ ∈ R ⇔ τ ∈ R
  xs ∈ fo_nmlz AD ' ∪ (ext_tuple AD fv_sub fv_sub_comp ' ass)
  shows fo_nmlz AD (proj_tuple fv_sub (zip fv_all xs)) ∈ ass
  xs ∈ fo_nmlz AD ' proj_vals R fv_all
proof -
  have fv_all_sort: fv_all = sort (fv_sub @ fv_sub_comp)
    using assms(1,2,3,4,5)
    by (simp add: sorted_distinct_set_unique)
  have len_in_ass: ∧xs. xs ∈ ass ⇒ xs = fo_nmlz AD xs ∧ length xs = length fv_sub

```

```

    by (auto simp: assms(6) proj_vals_def fo_nmlz_length fo_nmlz_idem fo_nmlz_sound)
  obtain as fs where as_fs_def: as ∈ ass
    fs ∈ nall_tuples_rec AD (card (Inr - ' set as)) (length fv_sub_comp)
    xs = fo_nmlz AD (map snd (merge (zip fv_sub as) (zip fv_sub_comp fs)))
    using fo_nmlz_sound len_in_ass assms(8)
    by (auto simp: ext_tuple_def split: if_splits)
  then have vs_norm: fo_nmlzd AD xs
    using fo_nmlz_sound
    by auto
  obtain σ where σ_def: σ ∈ R as = fo_nmlz AD (map σ fv_sub)
    using as_fs_def(1) assms(6)
    by (auto simp: proj_vals_def)
  then obtain τ where τ_def: as = map τ fv_sub ad_agr_list AD (map σ fv_sub) (map τ fv_sub)
    using fo_nmlz_map fo_nmlz_ad_agr
    by metis
  have τ_R: τ ∈ R
    using assms(7) ad_agr_list_link σ_def(1) τ_def(2)
    by fastforce
  define σ' where σ' ≡ λn. if n ∈ set fv_sub_comp then the (map_of (zip fv_sub_comp fs) n)
    else τ n
  then have ∀ n ∈ set fv_sub. τ n = σ' n
    using assms(4) by auto
  then have σ'_S: σ' ∈ R
    using assms(7) τ_R
    by (fastforce simp: ad_agr_sets_def sp_equiv_def pairwise_def ad_equiv_pair.simps)
  have length_as: length as = length fv_sub
    using as_fs_def(1) assms(6)
    by (auto simp: proj_vals_def fo_nmlz_length)
  have length_fs: length fs = length fv_sub_comp
    using as_fs_def(2)
    by (auto simp: nall_tuples_rec_length)
  have map_fv_sub: map σ' fv_sub = map τ fv_sub
    using assms(4) τ_def(2)
    by (auto simp: σ'_def)
  have fs_map_map_of: fs = map (the ∘ (map_of (zip fv_sub_comp fs))) fv_sub_comp
    using map_map_of length_fs assms(2)
    by metis
  have fs_map: fs = map σ' fv_sub_comp
    using σ'_def length_fs by (subst fs_map_map_of) simp
  have vs_map_fv_all: xs = fo_nmlz AD (map σ' fv_all)
    unfolding as_fs_def(3) τ_def(1) map_fv_sub[symmetric] fs_map fv_all_sort
    using merge_map[OF assms(1,2,4)]
    by metis
  show xs ∈ fo_nmlz AD ' proj_vals R fv_all
    using σ'_S vs_map_fv_all
    by (auto simp: proj_vals_def)
  obtain σ'' where σ''_def: xs = map σ'' fv_all
    using exists_map[of fv_all xs] fo_nmlz_map vs_map_fv_all
    by blast
  have proj: proj_tuple fv_sub (zip fv_all xs) = map σ'' fv_sub
    using proj_tuple_map assms(1,3,5)
    unfolding σ''_def
    by blast
  have σ''_σ': fo_nmlz AD (map σ'' fv_sub) = as
    using σ''_def vs_map_fv_all σ_def(2)
    by (metis τ_def(2) ad_agr_list_subset assms(5) fo_nmlz_ad_agr fo_nmlz_eqI map_fv_sub sup_ge1)
  show fo_nmlz AD (proj_tuple fv_sub (zip fv_all xs)) ∈ ass
    unfolding proj σ''_σ' map_fv_sub

```

by (rule as_fs_def(1))
qed

lemma ext_tuple_complete:

assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
 set fv_sub \cap set fv_sub_comp = {} set fv_sub \cup set fv_sub_comp = set fv_all
 ass = fo_nmlz AD 'proj_vals R fv_sub
 $\bigwedge \sigma \tau. \text{ad_agr_sets (set fv_sub) (set fv_sub) AD } \sigma \tau \implies \sigma \in R \longleftrightarrow \tau \in R$
 $xs = \text{fo_nmlz AD (map } \sigma \text{ fv_all) } \sigma \in R$
 shows $xs \in \text{fo_nmlz AD '}\bigcup (\text{ext_tuple AD fv_sub fv_sub_comp ' ass})$

proof -

have fv_all_sort: fv_all = sort (fv_sub @ fv_sub_comp)
 using assms(1,2,3,4,5)
 by (simp add: sorted_distinct_set_unique)
 note $\sigma_def = \text{assms}(9,8)$
 have vs_norm: fo_nmlzd AD xs
 using $\sigma_def(2)$ fo_nmlz_sound
 by auto
 define fs where fs = map σ fv_sub_comp
 define as where as = map σ fv_sub
 define nos where nos = fo_nmlz AD (as @ fs)
 define as' where as' = take (length fv_sub) nos
 define fs' where fs' = drop (length fv_sub) nos
 have length_as': length as' = length fv_sub
 by (auto simp: as'_def nos_def as_def fo_nmlz_length)
 have length_fs': length fs' = length fv_sub_comp
 by (auto simp: fs'_def nos_def as_def fs_def fo_nmlz_length)
 have len_fv_sub_nos: length fv_sub \leq length nos
 by (auto simp: nos_def fo_nmlz_length as_def)
 have norm_as': fo_nmlzd AD as'
 using fo_nmlzd_take[OF fo_nmlz_sound]
 by (auto simp: as'_def nos_def)
 have as'_norm_as: as' = fo_nmlz AD as
 by (auto simp: as'_def nos_def as_def fo_nmlz_take)
 have ad_agr_as': ad_agr_list AD as as'
 using fo_nmlz_ad_agr
 unfolding as'_norm_as .
 have nos_as'_fs': nos = as' @ fs'
 using length_as' length_fs'
 by (auto simp: as'_def fs'_def)
 obtain τ where $\tau_def: as' = \text{map } \tau \text{ fv_sub fs'} = \text{map } \tau \text{ fv_sub_comp}$
 using exists_map[of fv_sub @ fv_sub_comp as' @ fs'] assms(1,2,4) length_as' length_fs'
 by auto
 have length fv_sub + length fv_sub_comp \leq length fv_all
 using assms(1,2,3,4,5)
 by (metis distinct_append distinct_card eq_iff length_append set_append)
 then have nos_sub: set nos \subseteq Inl ' AD \cup Inr ' {.. length fv_all }
 using fo_nmlz_set[of AD as @ fs]
 by (auto simp: nos_def as_def fs_def)
 have len_fs': length fs' = length fv_sub_comp
 by (auto simp: fs'_def nos_def fo_nmlz_length as_def fs_def)
 have norm_nos_idem: fo_nmlz_rec 0 (id_map 0) AD nos = nos
 using fo_nmlz_idem[of AD nos] fo_nmlz_sound
 by (auto simp: nos_def fo_nmlz_def id_map_empty)
 have fs'_all: fs' \in nall_tuples_rec AD (card (Inr - ' set as')) (length fv_sub_comp)
 unfolding len_fs'[symmetric]
 by (rule nall_tuples_rec_fo_nmlz_rec_complete)
 (rule fo_nmlz_rec_shift[OF norm_nos_idem, simplified, OF refl len_fv_sub_nos,


```

      folded as'_def fs'_def])
have as' ∈ nall_tuples AD (length fv_sub)
  using length_as'
  apply (rule nall_tuplesI)
  using norm_as' .
then have as'_ass: as' ∈ ass
  using as'_norm_as σ_def(1) as_def
  unfolding assms(6)
  by (auto simp: proj_vals_def)
have vs_norm: xs = fo_nmlz AD (map snd (merge (zip fv_sub as) (zip fv_sub_comp fs)))
  using assms(1,2,4) σ_def(2)
  by (auto simp: merge_map as_def fs_def fv_all_sort)
have set_sort': set (sort (fv_sub @ fv_sub_comp)) = set (fv_sub @ fv_sub_comp)
  by auto
have xs = fo_nmlz AD (map snd (merge (zip fv_sub as') (zip fv_sub_comp fs')))
  unfolding vs_norm as_def fs_def τ_def
  merge_map[OF assms(1,2,4)]
  apply (rule fo_nmlz_eqI)
  apply (rule ad_agr_list_subset[OF equalityD1, OF set_sort'])
  using fo_nmlz_ad_agr[of AD as @ fs, folded nos_def, unfolded nos_as'_fs']
  unfolding as_def fs_def τ_def map_append[symmetric] .
then show ?thesis
  using as'_ass fs'_all
  by (auto simp: ext_tuple_def length_as')
qed

```

definition *ext_tuple_set* AD ns ns' X = (if ns' = [] then X else fo_nmlz AD ‘ \bigcup (*ext_tuple* AD ns ns' ‘ X))

lemma *ext_tuple_correct*:

```

assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
  set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all
  ass = fo_nmlz AD ‘ proj_vals R fv_sub
   $\bigwedge \sigma \tau. \text{ad\_agr\_sets (set fv_sub) (set fv_sub) AD } \sigma \tau \implies \sigma \in R \longleftrightarrow \tau \in R$ 
shows ext_tuple_set AD fv_sub fv_sub_comp ass = fo_nmlz AD ‘ proj_vals R fv_all
proof (rule set_eqI, rule iffI)
  fix xs
  assume xs_in: xs ∈ ext_tuple_set AD fv_sub fv_sub_comp ass
  show xs ∈ fo_nmlz AD ‘ proj_vals R fv_all
    using ext_tuple_sound(2)[OF assms] xs_in
    by (auto simp: ext_tuple_set_def ext_tuple_def assms(6) fo_nmlz_idem[OF fo_nmlz_sound] im-
age_iff
      split: if_splits)
next
  fix xs
  assume xs ∈ fo_nmlz AD ‘ proj_vals R fv_all
  then obtain σ where σ_def: xs = fo_nmlz AD (map σ fv_all) σ ∈ R
    by (auto simp: proj_vals_def)
  show xs ∈ ext_tuple_set AD fv_sub fv_sub_comp ass
    using ext_tuple_complete[OF assms σ_def]
    by (auto simp: ext_tuple_set_def ext_tuple_def assms(6) fo_nmlz_idem[OF fo_nmlz_sound] im-
age_iff
      split: if_splits)
qed

```

lemma *proj_tuple_sound*:

```

assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
  set fv_sub ∩ set fv_sub_comp = {} set fv_sub ∪ set fv_sub_comp = set fv_all

```

```

    ass = fo_nmlz AD ' proj_vals R fv_sub
     $\bigwedge \sigma \tau. \text{ad\_agr\_sets } (\text{set } fv\_sub) (\text{set } fv\_sub) \text{ AD } \sigma \tau \implies \sigma \in R \longleftrightarrow \tau \in R$ 
    fo_nmlz AD xs = xs length xs = length fv_all
    fo_nmlz AD (proj_tuple fv_sub (zip fv_all xs))  $\in$  ass
    shows xs  $\in$  fo_nmlz AD '  $\bigcup$  (ext_tuple AD fv_sub fv_sub_comp ' ass)
  proof -
    have fv_all_sort: fv_all = sort (fv_sub @ fv_sub_comp)
      using assms(1,2,3,4,5)
      by (simp add: sorted_distinct_set_unique)
    obtain  $\sigma$  where  $\sigma\_def$ : xs = map  $\sigma$  fv_all
      using exists_map[of fv_all xs] assms(3,9)
      by auto
    have xs_norm: xs = fo_nmlz AD (map  $\sigma$  fv_all)
      using assms(8)
      by (auto simp:  $\sigma\_def$ )
    have proj: proj_tuple fv_sub (zip fv_all xs) = map  $\sigma$  fv_sub
      unfolding  $\sigma\_def$ 
      apply (rule proj_tuple_map[OF assms(1,3)])
      using assms(5)
      by blast
    obtain  $\tau$  where  $\tau\_def$ : fo_nmlz AD (map  $\sigma$  fv_sub) = fo_nmlz AD (map  $\tau$  fv_sub)  $\tau \in R$ 
      using assms(10)
      by (auto simp: assms(6) proj proj_vals_def)
    have  $\sigma\_R$ :  $\sigma \in R$ 
      using assms(7) fo_nmlz_eqD[OF  $\tau\_def$ (1)]  $\tau\_def$ (2)
      unfolding ad_agr_list_link[symmetric]
      by auto
    show ?thesis
      by (rule ext_tuple_complete[OF assms(1,2,3,4,5,6,7) xs_norm  $\sigma\_R$ ]) assumption
  qed

```

lemma proj_tuple_correct:

```

  assumes sorted_distinct fv_sub sorted_distinct fv_sub_comp sorted_distinct fv_all
    set fv_sub  $\cap$  set fv_sub_comp = {} set fv_sub  $\cup$  set fv_sub_comp = set fv_all
    ass = fo_nmlz AD ' proj_vals R fv_sub
     $\bigwedge \sigma \tau. \text{ad\_agr\_sets } (\text{set } fv\_sub) (\text{set } fv\_sub) \text{ AD } \sigma \tau \implies \sigma \in R \longleftrightarrow \tau \in R$ 
    fo_nmlz AD xs = xs length xs = length fv_all
  shows xs  $\in$  fo_nmlz AD '  $\bigcup$  (ext_tuple AD fv_sub fv_sub_comp ' ass)  $\longleftrightarrow$ 
    fo_nmlz AD (proj_tuple fv_sub (zip fv_all xs))  $\in$  ass
  using ext_tuple_sound(1)[OF assms(1,2,3,4,5,6,7)] proj_tuple_sound[OF assms]
  by blast

```

```

fun unify_vals_terms :: ('a + 'c) list  $\Rightarrow$  ('a fo_term) list  $\Rightarrow$  (nat  $\rightarrow$  ('a + 'c))  $\Rightarrow$ 
  (nat  $\rightarrow$  ('a + 'c)) option where
  unify_vals_terms [] []  $\sigma$  = Some  $\sigma$ 
| unify_vals_terms (v # vs) ((Const c') # ts)  $\sigma$  =
  (if v = Inl c' then unify_vals_terms vs ts  $\sigma$  else None)
| unify_vals_terms (v # vs) ((Var n) # ts)  $\sigma$  =
  (case  $\sigma$  n of Some x  $\Rightarrow$  (if v = x then unify_vals_terms vs ts  $\sigma$  else None)
  | None  $\Rightarrow$  unify_vals_terms vs ts ( $\sigma$ (n := Some v)))
| unify_vals_terms _ _ _ = None

```

lemma unify_vals_terms_extends: unify_vals_terms vs ts σ = Some σ' \implies extends_subst σ σ'
 unfolding extends_subst_def
 by (induction vs ts σ arbitrary: σ' rule: unify_vals_terms.induct)
 (force split: if_splits option.splits)+

lemma unify_vals_terms_sound: unify_vals_terms vs ts σ = Some σ' \implies (the \circ σ') \odot_e ts = vs

```

using unify_vals_terms_extends
by (induction vs ts  $\sigma$  arbitrary:  $\sigma'$  rule: unify_vals_terms.induct)
    (force simp: eval_eterms_def extends_subst_def fv_fo_terms_set_def
     split: if_splits option.splits)+

lemma unify_vals_terms_complete:  $\sigma'' \odot e \text{ ts} = \text{vs} \implies (\bigwedge n. \sigma n \neq \text{None} \implies \sigma n = \text{Some } (\sigma'' n)) \implies$ 
 $\exists \sigma'. \text{unify\_vals\_terms vs ts } \sigma = \text{Some } \sigma'$ 
by (induction vs ts  $\sigma$  rule: unify_vals_terms.induct)
    (force simp: eval_eterms_def extends_subst_def split: if_splits option.splits)+

definition eval_table :: 'a fo_term list  $\Rightarrow$  ('a + 'c) table  $\Rightarrow$  ('a + 'c) table where
    eval_table ts X = (let fvs = fv_fo_terms_list ts in
       $\bigcup ((\lambda \text{vs}. \text{case unify\_vals\_terms vs ts Map.empty of Some } \sigma \Rightarrow$ 
        {map (the  $\circ$   $\sigma$ ) fvs} |  $\_ \Rightarrow \{\}$ ) ' X))

lemma eval_table:
  fixes X :: ('a + 'c) table
  shows eval_table ts X = proj_vals { $\sigma. \sigma \odot e \text{ ts} \in X$ } (fv_fo_terms_list ts)
proof (rule set_eqI, rule iffI)
  fix vs
  assume vs  $\in$  eval_table ts X
  then obtain as  $\sigma$  where as_def: as  $\in$  X unify_vals_terms as ts Map.empty = Some  $\sigma$ 
    vs = map (the  $\circ$   $\sigma$ ) (fv_fo_terms_list ts)
    by (auto simp: eval_table_def split: option.splits)
  have (the  $\circ$   $\sigma$ )  $\odot e \text{ ts} \in X$ 
    using unify_vals_terms_sound[OF as_def(2)] as_def(1)
    by auto
  with as_def(3) show vs  $\in$  proj_vals { $\sigma. \sigma \odot e \text{ ts} \in X$ } (fv_fo_terms_list ts)
    by (fastforce simp: proj_vals_def)
next
  fix vs :: ('a + 'c) list
  assume vs  $\in$  proj_vals { $\sigma. \sigma \odot e \text{ ts} \in X$ } (fv_fo_terms_list ts)
  then obtain  $\sigma$  where  $\sigma$ _def: vs = map  $\sigma$  (fv_fo_terms_list ts)  $\sigma \odot e \text{ ts} \in X$ 
    by (auto simp: proj_vals_def)
  obtain  $\sigma'$  where  $\sigma'$ _def: unify_vals_terms ( $\sigma \odot e \text{ ts}$ ) ts Map.empty = Some  $\sigma'$ 
    using unify_vals_terms_complete[OF refl, of Map.empty  $\sigma$  ts]
    by auto
  have (the  $\circ$   $\sigma'$ )  $\odot e \text{ ts} = (\sigma \odot e \text{ ts})$ 
    using unify_vals_terms_sound[OF  $\sigma'$ _def(1)]
    by auto
  then have vs = map (the  $\circ$   $\sigma'$ ) (fv_fo_terms_list ts)
    using fv_fo_terms_set_list eval_eterms_fv_fo_terms_set
    unfolding  $\sigma$ _def(1)
    by fastforce
  then show vs  $\in$  eval_table ts X
    using  $\sigma$ _def(2)  $\sigma'$ _def
    by (force simp: eval_table_def)
qed

fun ad_agr_close_rec :: nat  $\Rightarrow$  (nat  $\rightarrow$  'a + nat)  $\Rightarrow$  'a set  $\Rightarrow$ 
  ('a + nat) list  $\Rightarrow$  ('a + nat) list set where
  ad_agr_close_rec i m AD [] = {[]}
  | ad_agr_close_rec i m AD (Inl x # xs) = ( $\lambda \text{xs}. \text{Inl } x \# \text{xs}$ ) ' ad_agr_close_rec i m AD xs
  | ad_agr_close_rec i m AD (Inr n # xs) = (case m n of None  $\Rightarrow$   $\bigcup ((\lambda x. (\lambda \text{xs}. \text{Inl } x \# \text{xs})$  '
    ad_agr_close_rec i (m(n := Some (Inl x))) (AD - {x}) xs) ' AD)  $\cup$ 
    ( $\lambda \text{xs}. \text{Inr } i \# \text{xs}$ ) ' ad_agr_close_rec (Suc i) (m(n := Some (Inr i))) AD xs
  | Some v  $\Rightarrow$  ( $\lambda \text{xs}. v \# \text{xs}$ ) ' ad_agr_close_rec i m AD xs)

```

lemma *ad_agr_close_rec_length*: $ys \in \text{ad_agr_close_rec } i \ m \ AD \ xs \implies \text{length } xs = \text{length } ys$
by (*induction i m AD xs arbitrary: ys rule: ad_agr_close_rec.induct*) (*auto split: option.splits*)

lemma *ad_agr_close_rec_sound*: $ys \in \text{ad_agr_close_rec } i \ m \ AD \ xs \implies$
 $\text{fo_nmlz_rec } j \ (\text{id_map } j) \ X \ xs = xs \implies X \cap AD = \{\} \implies X \cap Y = \{\} \implies Y \cap AD = \{\} \implies$
 $\text{inj_on } m \ (\text{dom } m) \implies \text{dom } m = \{..<j\} \implies \text{ran } m \subseteq \text{Inl } ' Y \cup \text{Inr } ' \{..<i\} \implies i \leq j \implies$
 $\text{fo_nmlz_rec } i \ (\text{id_map } i) \ (X \cup Y \cup AD) \ ys = ys \wedge$
 $(\exists m'. \text{inj_on } m' \ (\text{dom } m') \wedge (\forall n \ v. \ m \ n = \text{Some } v \longrightarrow m' \ (\text{Inr } n) = \text{Some } v) \wedge$
 $(\forall (x, y) \in \text{set } (\text{zip } xs \ ys). \text{case } x \text{ of } \text{Inl } x' \Rightarrow$
 $\text{if } x' \in X \text{ then } x = y \text{ else } m' \ x = \text{Some } y \wedge (\text{case } y \text{ of } \text{Inl } z \Rightarrow z \notin X \mid \text{Inr } x \Rightarrow \text{True})$
 $\mid \text{Inr } n \Rightarrow m' \ x = \text{Some } y \wedge (\text{case } y \text{ of } \text{Inl } z \Rightarrow z \notin X \mid \text{Inr } x \Rightarrow \text{True})))$

proof (*induction i m AD xs arbitrary: Y j ys rule: ad_agr_close_rec.induct*)

case (*1 i m AD*)

then show *?case*

by (*auto simp: ad_agr_list_def ad_equiv_list_def sp_equiv_list_def inj_on_def dom_def*
split: sum.splits intro!: exI[of _ case_sum Map.empty m])

next

case (*2 i m AD x xs*)

obtain *zs* **where** *ys_def*: $ys = \text{Inl } x \# zs$ $zs \in \text{ad_agr_close_rec } i \ m \ AD \ xs$

using *2(2)*

by *auto*

have *preds*: $\text{fo_nmlz_rec } j \ (\text{id_map } j) \ X \ xs = xs$ $x \in X$

using *2(3)*

by (*auto split: if_splits option.splits*)

show *?case*

using *2(1)[OF ys_def(2) preds(1) 2(4,5,6,7,8,9,10)] preds(2)*

by (*auto simp: ys_def(1)*)

next

case (*3 i m AD n xs*)

show *?case*

proof (*cases m n*)

case *None*

obtain *v zs* **where** *ys_def*: $ys = v \# zs$

using *3(4)*

by (*auto simp: None*)

have *n_ge_j*: $j \leq n$

using *3(9,10) None*

by (*metis domIff leI lessThan_iff*)

show *?thesis*

proof (*cases v*)

case (*Inl x*)

have *zs_def*: $zs \in \text{ad_agr_close_rec } i \ (m(n \mapsto \text{Inl } x)) \ (AD - \{x\}) \ xs \ x \in AD$

using *3(4)*

by (*auto simp: None ys_def Inl*)

have *preds*: $\text{fo_nmlz_rec } (\text{Suc } j) \ (\text{id_map } (\text{Suc } j)) \ X \ xs = xs$ $X \cap (AD - \{x\}) = \{\}$

$X \cap (Y \cup \{x\}) = \{\} \ (Y \cup \{x\}) \cap (AD - \{x\}) = \{\} \ \text{dom } (m(n \mapsto \text{Inl } x)) = \{..<\text{Suc } j\}$

$\text{ran } (m(n \mapsto \text{Inl } x)) \subseteq \text{Inl } ' (Y \cup \{x\}) \cup \text{Inr } ' \{..<i\}$

$i \leq \text{Suc } j \ n = j$

using *3(5,6,7,8,10,11,12) n_ge_j zs_def(2)*

by (*auto simp: fun_upd_id_map ran_def dest: id_mapD split: option.splits*)

have *inj*: $\text{inj_on } (m(n \mapsto \text{Inl } x)) \ (\text{dom } (m(n \mapsto \text{Inl } x)))$

using *3(8,9,10,11,12) preds(8) zs_def(2)*

by (*fastforce simp: inj_on_def dom_def ran_def*)

have *sets_unfold*: $X \cup (Y \cup \{x\}) \cup (AD - \{x\}) = X \cup Y \cup AD$

using *zs_def(2)*

by *auto*

note *IH* = *3(1)[OF None zs_def(2,1) preds(1,2,3,4) inj preds(5,6,7), unfolded sets_unfold]*

have *norm_ys*: $\text{fo_nmlz_rec } i \ (\text{id_map } i) \ (X \cup Y \cup AD) \ ys = ys$

```

    using conjunct1[OF IH] zs_def(2)
    by (auto simp: ys_def(1) Inl split: option.splits)
  show ?thesis
    using norm_ys conjunct2[OF IH] None zs_def(2) 3(6)
    unfolding ys_def(1)
    apply safe
    subgoal for m'
      apply (auto simp: Inl dom_def intro!: exI[of _ m'] split: if_splits)
      apply (metis option.distinct(1))
      apply (fastforce split: prod.splits sum.splits)
      done
    done
  next
  case (Inr k)
  have zs_def:  $zs \in ad\_agr\_close\_rec (Suc\ i) (m(n \mapsto Inr\ i))\ AD\ xs\ i = k$ 
    using 3(4)
    by (auto simp: None ys_def Inr)
  have preds:  $fo\_nmlz\_rec (Suc\ n) (id\_map (Suc\ n))\ X\ xs = xs$ 
     $dom\ (m(n \mapsto Inr\ i)) = \{..<Suc\ n\}$ 
     $ran\ (m(n \mapsto Inr\ i)) \subseteq Inl\ 'Y \cup Inr\ ' \{..<Suc\ i\}\ Suc\ i \leq Suc\ n$ 
    using 3(5,10,11,12) n_ge_j
    by (auto simp: fun_upd_id_map ran_def dest: id_mapD split: option.splits)
  have inj:  $inj\_on\ (m(n \mapsto Inr\ i))\ (dom\ (m(n \mapsto Inr\ i)))$ 
    using 3(9,11)
    by (auto simp: inj_on_def dom_def ran_def)
  note IH = 3(2)[OF None zs_def(1) preds(1) 3(6,7,8) inj preds(2,3,4)]
  have norm_ys:  $fo\_nmlz\_rec\ i\ (id\_map\ i)\ (X \cup Y \cup AD)\ ys = ys$ 
    using conjunct1[OF IH] zs_def(2)
    by (auto simp: ys_def Inr fun_upd_id_map dest: id_mapD split: option.splits)
  show ?thesis
    using norm_ys conjunct2[OF IH] None
    unfolding ys_def(1) zs_def(2)
    apply safe
    subgoal for m'
      apply (auto simp: Inr dom_def intro!: exI[of _ m'] split: if_splits)
      apply (metis option.distinct(1))
      apply (fastforce split: prod.splits sum.splits)
      done
    done
  qed
next
  case (Some v)
  obtain zs where ys_def:  $ys = v \# zs$   $zs \in ad\_agr\_close\_rec\ i\ m\ AD\ xs$ 
    using 3(4)
    by (auto simp: Some)
  have preds:  $fo\_nmlz\_rec\ j\ (id\_map\ j)\ X\ xs = xs\ n < j$ 
    using 3(5,8,10) Some
    by (auto simp: dom_def split: option.splits)
  note IH = 3(3)[OF Some ys_def(2) preds(1) 3(6,7,8,9,10,11,12)]
  have norm_ys:  $fo\_nmlz\_rec\ i\ (id\_map\ i)\ (X \cup Y \cup AD)\ ys = ys$ 
    using conjunct1[OF IH] 3(11) Some
    by (auto simp: ys_def(1) ran_def id_map_def)
  have case_v_of_Inl_z:  $z \in X \mid Inr\ x \Rightarrow True$ 
    using 3(7,11) Some
    by (auto simp: ran_def split: sum.splits)
  then show ?thesis
    using norm_ys conjunct2[OF IH] Some
    unfolding ys_def(1)

```

```

    apply safe
    subgoal for m'
      by (auto intro!: exI[of _ m] split: sum.splits)
    done
  qed
qed

lemma ad_agr_close_rec_complete:
  fixes xs :: ('a + nat) list
  shows fo_nmlz_rec j (id_map j) X xs = xs  $\implies$ 
  X  $\cap$  AD = {}  $\implies$  X  $\cap$  Y = {}  $\implies$  Y  $\cap$  AD = {}  $\implies$ 
  inj_on m (dom m)  $\implies$  dom m = {.. $j$ }  $\implies$  ran m = Inl ' Y  $\cup$  Inr ' {.. $i$ }  $\implies$   $i \leq j \implies$ 
  ( $\bigwedge n$  b. (Inr n, b)  $\in$  set (zip xs ys)  $\implies$  case m n of Some v  $\Rightarrow$  v = b | None  $\Rightarrow$  b  $\notin$  ran m)  $\implies$ 
  fo_nmlz_rec i (id_map i) (X  $\cup$  Y  $\cup$  AD) ys = ys  $\implies$  ad_agr_list X xs ys  $\implies$ 
  ys  $\in$  ad_agr_close_rec i m AD xs
proof (induction j id_map j :: 'a + nat  $\Rightarrow$  nat option X xs arbitrary: m i ys AD Y
  rule: fo_nmlz_rec.induct)
  case (2 j X x xs)
  have x_X: x  $\in$  X fo_nmlz_rec j (id_map j) X xs = xs
  using 2(4)
  by (auto split: if_splits option.splits)
  obtain z zs where ys_def: ys = Inl z # zs z = x
  using 2(14) x_X(1)
  by (cases ys) (auto simp: ad_agr_list_def ad_equiv_list_def ad_equiv_pair.simps)
  have norm_zs: fo_nmlz_rec i (id_map i) (X  $\cup$  Y  $\cup$  AD) zs = zs
  using 2(13) ys_def(2) x_X(1)
  by (auto simp: ys_def(1))
  have ad_agr: ad_agr_list X xs zs
  using 2(14)
  by (auto simp: ys_def ad_agr_list_def ad_equiv_list_def sp_equiv_list_def pairwise_def)
  show ?case
  using 2(1)[OF x_X 2(5,6,7,8,9,10,11) _ norm_zs ad_agr] 2(12)
  by (auto simp: ys_def)
next
  case (3 j X n xs)
  obtain z zs where ys_def: ys = z # zs
  using 3(13)
  apply (cases ys)
  apply (auto simp: ad_agr_list_def)
  done
  show ?case
proof (cases j  $\leq$  n)
  case True
  then have n_j: n = j
  using 3(3)
  by (auto split: option.splits dest: id_mapD)
  have id_map: id_map j (Inr n) = None id_map j (Inr n  $\mapsto$  j) = id_map (Suc j)
  unfolding n_j fun_upd_id_map
  by (auto simp: id_map_def)
  have norm_xs: fo_nmlz_rec (Suc j) (id_map (Suc j)) X xs = xs
  using 3(3)
  by (auto simp: ys_def fun_upd_id_map id_map(1) split: option.splits)
  have None: m n = None
  using 3(8)
  by (auto simp: dom_def n_j)
  have z_out: z  $\notin$  Inl ' Y  $\cup$  Inr ' {.. $i$ }
  using 3(11) None
  by (force simp: ys_def 3(9))

```

```

show ?thesis
proof (cases z)
  case (Inl a)
  have a_in: a ∈ AD
  using 3(12,13) z_out
  by (auto simp: ys_def Inl ad_agr_list_def ad_equiv_list_def ad_equiv_pair.simps
    split: if_splits option.splits)
  have norm_zs: fo_nmlz_rec i (id_map i) (X ∪ Y ∪ AD) zs = zs
  using 3(12) a_in
  by (auto simp: ys_def Inl)
  have preds: X ∩ (AD - {a}) = {} X ∩ (Y ∪ {a}) = {} (Y ∪ {a}) ∩ (AD - {a}) = {}
  using 3(4,5,6) a_in
  by auto
  have inj: inj_on (m(n := Some (Inl a))) (dom (m(n := Some (Inl a))))
  using 3(6,7,9) None a_in
  by (auto simp: inj_on_def dom_def ran_def) blast+
  have preds': dom (m(n ↦ Inl a)) = {.. $Suc\ j$ }
  ran (m(n ↦ Inl a)) = Inl ' (Y ∪ {a}) ∪ Inr ' {.. $i$ } i ≤  $Suc\ j$ 
  using 3(6,8,9,10) None less_Suc_eq a_in
  apply (auto simp: n_j dom_def ran_def)
  apply (smt Un_iff image_eqI mem_Collect_eq option.simps(3))
  apply (smt 3(8) domIff image_subset_iff lessThan_iff mem_Collect_eq sup_ge2)
  done
  have a_unfold: X ∪ (Y ∪ {a}) ∪ (AD - {a}) = X ∪ Y ∪ AD Y ∪ {a} ∪ (AD - {a}) = Y ∪ AD
  using a_in
  by auto
  have ad_agr: ad_agr_list X xs zs
  using 3(13)
  by (auto simp: ys_def Inl ad_agr_list_def ad_equiv_list_def sp_equiv_list_def pairwise_def)
  have zs ∈ ad_agr_close_rec i (m(n ↦ Inl a)) (AD - {a}) xs
  apply (rule 3(1)[OF id_map norm_xs preds inj preds' _ _ ad_agr])
  using 3(11,13) norm_zs
  unfolding 3(9) preds'(2) a_unfold
  apply (auto simp: None Inl ys_def ad_agr_list_def sp_equiv_list_def pairwise_def
    split: option.splits)
  apply (metis Un_iff image_eqI option.simps(4))
  apply (metis image_subset_iff lessThan_iff option.simps(4) sup_ge2)
  apply fastforce
  done
  then show ?thesis
  using a_in
  by (auto simp: ys_def Inl None)
next
  case (Inr b)
  have i_b: i = b
  using 3(12) z_out
  by (auto simp: ys_def Inr split: option.splits dest: id_mapD)
  have norm_zs: fo_nmlz_rec (Suc i) (id_map (Suc i)) (X ∪ Y ∪ AD) zs = zs
  using 3(12)
  by (auto simp: ys_def Inr i_b fun_upd_id_map split: option.splits dest: id_mapD)
  have ad_agr: ad_agr_list X xs zs
  using 3(13)
  by (auto simp: ys_def ad_agr_list_def ad_equiv_list_def sp_equiv_list_def pairwise_def)
  define m' where m' ≡ m(n := Some (Inr i))
  have preds: inj_on m' (dom m') dom m' = {.. $Suc\ j$ }  $Suc\ i \leq Suc\ j$ 
  using 3(7,8,9,10)
  by (auto simp: m'_def n_j inj_on_def dom_def ran_def image_iff)
  (metis 3(8) domI lessThan_iff less_SucI)

```

```

have ran: ran m' = Inl ' Y ∪ Inr ' {..Suc i}
  using 3(9) None
  by (auto simp: m'_def)
have zs ∈ ad_agr_close_rec (Suc i) m' AD xs
  apply (rule 3(1)[OF id_map norm_xs 3(4,5,6) preds(1,2) ran preds(3) _ norm_zs ad_agr])
  using 3(11,13)
  unfolding 3(9) ys_def Inr i_b m'_def
  unfolding ran[unfolded m'_def i_b]
  apply (auto simp: ad_agr_list_def sp_equiv_list_def pairwise_def split: option.splits)
  apply (metis Un_upper1 image_subset_iff option.simps(4))
  apply (metis UnI1 image_eqI insert_iff lessThan_Suc lessThan_iff option.simps(4)
    sp_equiv_pair.simps sum.inject(2) sup_commute)
  apply fastforce
done
then show ?thesis
  by (auto simp: ys_def Inr None m'_def i_b)
qed
next
case False
have id_map: id_map j (Inr n) = Some n
  using False
  by (auto simp: id_map_def)
have norm_xs: fo_nmlz_rec j (id_map j) X xs = xs
  using 3(3)
  by (auto simp: id_map)
have Some: m n = Some z
  using False 3(11)[unfolded ys_def]
  by (metis (mono_tags) 3(8) domD insert_iff leI lessThan_iff list.simps(15)
    option.simps(5) zip_Cons_Cons)
have z_in: z ∈ Inl ' Y ∪ Inr ' {..i}
  using 3(9) Some
  by (auto simp: ran_def)
have ad_agr: ad_agr_list X xs zs
  using 3(13)
  by (auto simp: ad_agr_list_def ys_def ad_equiv_list_def sp_equiv_list_def pairwise_def)
show ?thesis
proof (cases z)
case (Inl a)
have a_in: a ∈ Y ∪ AD
  using 3(12,13)
  by (auto simp: ys_def Inl ad_agr_list_def ad_equiv_list_def ad_equiv_pair.simps
    split: if_splits option.splits)
have norm_zs: fo_nmlz_rec i (id_map i) (X ∪ Y ∪ AD) zs = zs
  using 3(12) a_in
  by (auto simp: ys_def Inl)
show ?thesis
  using 3(2)[OF id_map norm_xs 3(4,5,6,7,8,9,10) _ norm_zs ad_agr] 3(11) a_in
  by (auto simp: ys_def Inl Some split: option.splits)
next
case (Inr b)
have b_lt: b < i
  using z_in
  by (auto simp: Inr)
have norm_zs: fo_nmlz_rec i (id_map i) (X ∪ Y ∪ AD) zs = zs
  using 3(12) b_lt
  by (auto simp: ys_def Inr split: option.splits)
show ?thesis
  using 3(2)[OF id_map norm_xs 3(4,5,6,7,8,9,10) _ norm_zs ad_agr] 3(11)

```



```

fix vs
assume vs ∈ fo_nmlz AD ‘ proj_fmula φ R
then obtain σ where σ_def: vs = fo_nmlz AD (map σ (fv_fo_fmula_list φ)) σ ∈ R
  by (auto simp: proj_fmula_map)
define xs where xs = fo_nmlz AD' vs
have preds: AD' ∩ (AD - AD') = {} fo_nmlzd AD' xs fo_nmlzd (AD' ∪ (AD - AD')) vs
  using assms(1) fo_nmlz_sound Diff_partition
  by (fastforce simp: σ_def(1) xs_def)+
obtain τ where τ_def: vs = map τ (fv_fo_fmula_list φ)
  using exists_map[of fv_fo_fmula_list φ vs] sorted_distinct_fv_list σ_def(1)
  by (auto simp: fo_nmlz_length)
have vs ∈ ad_agr_close (AD - AD') xs
  using ad_agr_close_complete[OF preds] ad_agr_list_comm[OF fo_nmlz_ad_agr]
  by (auto simp: xs_def)
then show vs ∈ ⋃(ad_agr_close (AD - AD') ‘ fo_nmlz AD' ‘ proj_fmula φ R)
  unfolding xs_def τ_def
  using iffD1[OF assms(2) σ_def(2), OF ad_agr_sets_mono[OF assms(1) iffD2[OF ad_agr_list_link
    fo_nmlz_ad_agr[of AD map σ (fv_fo_fmula_list φ), folded σ_def(1), unfolded τ_def]]]]
  by (auto simp: proj_fmula_map)
qed

```

definition $ad_agr_close_set\ AD\ X = (if\ Set.is_empty\ AD\ then\ X\ else\ \bigcup(ad_agr_close\ AD\ 'X))$

lemma $ad_agr_close_set_eq: Ball\ X\ (fo_nmlzd\ AD') \implies ad_agr_close_set\ AD\ X = \bigcup(ad_agr_close\ AD\ 'X)$

by (force simp: ad_agr_close_set_def Set.is_empty_def ad_agr_close_empty)

definition $eval_pred :: ('a\ fo_term)\ list \Rightarrow 'a\ table \Rightarrow ('a,\ 'c)\ fo_t\ where$
 $eval_pred\ ts\ X = (let\ AD = \bigcup(set\ (map\ set_fo_term\ ts)) \cup \bigcup(set\ 'X)\ in$
 $(AD,\ length\ (fv_fo_terms_list\ ts),\ eval_table\ ts\ (map\ Inl\ 'X)))$

definition $eval_bool :: bool \Rightarrow ('a,\ 'c)\ fo_t\ where$
 $eval_bool\ b = (if\ b\ then\ (\{\},\ 0,\ \{\})\ else\ (\{\},\ 0,\ \{\}))$

definition $eval_eq :: 'a\ fo_term \Rightarrow 'a\ fo_term \Rightarrow ('a,\ nat)\ fo_t\ where$
 $eval_eq\ t\ t' = (case\ t\ of\ Var\ n \Rightarrow$
 $(case\ t'\ of\ Var\ n' \Rightarrow$
 $\quad if\ n = n'\ then\ (\{\},\ 1,\ \{[Inr\ 0]\})$
 $\quad else\ (\{\},\ 2,\ \{[Inr\ 0,\ Inr\ 0]\})$
 $\quad | Const\ c' \Rightarrow (\{c'\},\ 1,\ \{[Inl\ c']\}))$
 $\quad | Const\ c \Rightarrow$
 $\quad (case\ t'\ of\ Var\ n' \Rightarrow (\{c\},\ 1,\ \{[Inl\ c]\}))$
 $\quad | Const\ c' \Rightarrow if\ c = c'\ then\ (\{c\},\ 0,\ \{\})\ else\ (\{c,\ c'\},\ 0,\ \{\})))$

fun $eval_neg :: nat\ list \Rightarrow ('a,\ nat)\ fo_t \Rightarrow ('a,\ nat)\ fo_t\ where$
 $eval_neg\ ns\ (AD,\ _,\ X) = (AD,\ length\ ns,\ nall_tuples\ AD\ (length\ ns) - X)$

definition $eval_conj_tuple\ AD\ ns\varphi\ ns\psi\ xs\ ys =$
 $(let\ cxs = filter\ (\lambda(n,\ x).\ n \notin set\ ns\psi \wedge isl\ x)\ (zip\ ns\varphi\ xs);$
 $\quad nxs = map\ fst\ (filter\ (\lambda(n,\ x).\ n \notin set\ ns\psi \wedge \neg isl\ x)\ (zip\ ns\varphi\ xs));$
 $\quad cys = filter\ (\lambda(n,\ y).\ n \notin set\ ns\varphi \wedge isl\ y)\ (zip\ ns\psi\ ys);$
 $\quad nys = map\ fst\ (filter\ (\lambda(n,\ y).\ n \notin set\ ns\varphi \wedge \neg isl\ y)\ (zip\ ns\psi\ ys))\ in$
 $fo_nmlz\ AD\ 'ext_tuple\ \{\}\ (sort\ (ns\varphi\ @\ map\ fst\ cys))\ nys\ (map\ snd\ (merge\ (zip\ ns\varphi\ xs)\ cys)) \cap$
 $fo_nmlz\ AD\ 'ext_tuple\ \{\}\ (sort\ (ns\psi\ @\ map\ fst\ cxs))\ nxs\ (map\ snd\ (merge\ (zip\ ns\psi\ ys)\ cxs)))$

definition $eval_conj_set\ AD\ ns\varphi\ X\varphi\ ns\psi\ X\psi = \bigcup((\lambda xs.\ \bigcup(eval_conj_tuple\ AD\ ns\varphi\ ns\psi\ xs\ 'X\psi))\ 'X\varphi)$

```

fun eval_conj_table :: nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$ 
  ('a, nat) fo_t where
  eval_conj_table ns $\varphi$  (AD $\varphi$ , _, X $\varphi$ ) ns $\psi$  (AD $\psi$ , _, X $\psi$ ) = (let AD = AD $\varphi$   $\cup$  AD $\psi$ ; AD $\Delta\varphi$  = AD -
  AD $\varphi$ ; AD $\Delta\psi$  = AD - AD $\psi$  in
  (AD, card (set ns $\varphi$   $\cup$  set ns $\psi$ ), eval_conj_set AD ns $\varphi$  (ad_agr_close_set AD $\Delta\varphi$  X $\varphi$ ) ns $\psi$  (ad_agr_close_set
  AD $\Delta\psi$  X $\psi$ )))

fun eval_conj_idx :: nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$ 
  ('a, nat) fo_t where
  eval_conj_idx ns $\varphi$  (AD $\varphi$ , _, X $\varphi$ ) ns $\psi$  (AD $\psi$ , _, X $\psi$ ) = (let AD = AD $\varphi$   $\cup$  AD $\psi$ ; AD' = AD $\varphi$   $\cap$  AD $\psi$ ;
  AD $\Delta\varphi$  = AD - AD $\varphi$ ; AD $\Delta\psi$  = AD - AD $\psi$ ;
  ns = filter ( $\lambda n. n \in$  set ns $\psi$ ) ns $\varphi$ ;
  idx $\varphi$  = cluster (Some  $\circ$  ( $\lambda xs. fo\_nmlz$  AD' (proj_tuple ns (zip ns $\varphi$  xs)))) X $\varphi$ ;
  idx $\psi$  = cluster (Some  $\circ$  ( $\lambda ys. fo\_nmlz$  AD' (proj_tuple ns (zip ns $\psi$  ys)))) X $\psi$ ;
  join = mapping_join ( $\lambda X\varphi' X\psi'.
  let idx $\varphi'$  = cluster (Some  $\circ$  ( $\lambda xs. fo\_nmlz$  AD (proj_tuple ns (zip ns $\varphi$  xs))) (ad_agr_close_set
  AD $\Delta\varphi$  X $\varphi'$ );
  idx $\psi'$  = cluster (Some  $\circ$  ( $\lambda ys. fo\_nmlz$  AD (proj_tuple ns (zip ns $\psi$  ys))) (ad_agr_close_set AD $\Delta\psi$ 
  X $\psi'$ ) in
  set_of_idx (mapping_join ( $\lambda X\varphi'' X\psi''. eval\_conj\_set$  AD ns $\varphi$  X $\varphi''$  ns $\psi$  X $\psi''$ ) idx $\varphi'$  idx $\psi'$ )) idx $\varphi$ 
  idx $\psi$  in
  (AD, card (set ns $\varphi$   $\cup$  set ns $\psi$ ), set_of_idx join))

fun eval_ajoin :: nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$ 
  ('a, nat) fo_t where
  eval_ajoin ns $\varphi$  (AD $\varphi$ , _, X $\varphi$ ) ns $\psi$  (AD $\psi$ , _, X $\psi$ ) = (let AD = AD $\varphi$   $\cup$  AD $\psi$ ;
  ns $\varphi'$  = filter ( $\lambda n. n \notin$  set ns $\varphi$ ) ns $\psi$ ;
  ns = remdups_adj (sort (ns $\varphi$  @ ns $\psi$ ));
  AD $\Delta\varphi$  = AD - AD $\varphi$ ;
  X $\varphi'$  = ext_tuple_set AD ns $\varphi$  ns $\varphi'$  (ad_agr_close_set AD $\Delta\varphi$  X $\varphi$ ) in
  (AD, card (set ns $\varphi$   $\cup$  set ns $\psi$ ), {xs  $\in$  X $\varphi'$ .  $\neg fo\_nmlz$  AD $\psi$  (proj_tuple ns $\psi$  (zip ns xs))  $\in$  X $\psi$ }})

fun eval_disj :: nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$ 
  ('a, nat) fo_t where
  eval_disj ns $\varphi$  (AD $\varphi$ , _, X $\varphi$ ) ns $\psi$  (AD $\psi$ , _, X $\psi$ ) = (let AD = AD $\varphi$   $\cup$  AD $\psi$ ;
  ns $\varphi'$  = filter ( $\lambda n. n \notin$  set ns $\varphi$ ) ns $\psi$ ;
  ns $\psi'$  = filter ( $\lambda n. n \notin$  set ns $\psi$ ) ns $\varphi$ ;
  AD $\Delta\varphi$  = AD - AD $\varphi$ ; AD $\Delta\psi$  = AD - AD $\psi$  in
  (AD, card (set ns $\varphi$   $\cup$  set ns $\psi$ ),
  ext_tuple_set AD ns $\varphi$  ns $\varphi'$  (ad_agr_close_set AD $\Delta\varphi$  X $\varphi$ )  $\cup$ 
  ext_tuple_set AD ns $\psi$  ns $\psi'$  (ad_agr_close_set AD $\Delta\psi$  X $\psi$ )))

fun eval_exists :: nat  $\Rightarrow$  nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  ('a, nat) fo_t where
  eval_exists i ns (AD, _, X) = (case pos i ns of Some j  $\Rightarrow$ 
  (AD, length ns - 1, fo_nmlz AD 'rem_nth j ' X)
  | None  $\Rightarrow$  (AD, length ns, X))

fun eval_forall :: nat  $\Rightarrow$  nat list  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  ('a, nat) fo_t where
  eval_forall i ns (AD, _, X) = (case pos i ns of Some j  $\Rightarrow$ 
  let n = card AD in
  (AD, length ns - 1, Mapping.keys (Mapping.filter ( $\lambda t Z. n + card (Inr - 'set t) + 1 \leq card Z$ )
  (cluster (Some  $\circ$  ( $\lambda ts. fo\_nmlz$  AD (rem_nth j ts))) X)))
  | None  $\Rightarrow$  (AD, length ns, X))

lemma combine_map2: assumes length ys = length xs length ys' = length xs'
  distinct xs distinct xs' set xs  $\cap$  set xs' = {}
  shows  $\exists f. ys = map f xs \wedge ys' = map f xs'$ 
proof -$ 
```

```

obtain  $f\ g$  where  $fg\_def: ys = \text{map } f\ xs\ ys' = \text{map } g\ xs'$ 
  using  $assms\ exists\_map$ 
  by  $metis$ 
show  $?thesis$ 
  using  $assms$ 
  by  $(auto\ simp: fg\_def\ intro!: exI[of\ \_ \lambda x. \text{if } x \in \text{set } xs \text{ then } f\ x \text{ else } g\ x])$ 
qed

lemma  $combine\_map3$ : assumes  $\text{length } ys = \text{length } xs\ \text{length } ys' = \text{length } xs'\ \text{length } ys'' = \text{length } xs''$ 
   $\text{distinct } xs\ \text{distinct } xs'\ \text{distinct } xs''\ \text{set } xs \cap \text{set } xs' = \{\}\ \text{set } xs \cap \text{set } xs'' = \{\}\ \text{set } xs' \cap \text{set } xs'' = \{\}$ 
  shows  $\exists f. ys = \text{map } f\ xs \wedge ys' = \text{map } f\ xs' \wedge ys'' = \text{map } f\ xs''$ 
proof -
  obtain  $f\ g\ h$  where  $fgh\_def: ys = \text{map } f\ xs\ ys' = \text{map } g\ xs'\ ys'' = \text{map } h\ xs''$ 
  using  $assms\ exists\_map$ 
  by  $metis$ 
show  $?thesis$ 
  using  $assms$ 
  by  $(auto\ simp: fgh\_def\ intro!: exI[of\ \_ \lambda x. \text{if } x \in \text{set } xs \text{ then } f\ x \text{ else if } x \in \text{set } xs' \text{ then } g\ x \text{ else } h\ x])$ 
qed

lemma  $distinct\_set\_zip$ :  $\text{length } nsx = \text{length } xs \implies \text{distinct } nsx \implies$ 
   $(a, b) \in \text{set } (\text{zip } nsx\ xs) \implies (a, ba) \in \text{set } (\text{zip } nsx\ xs) \implies b = ba$ 
  by  $(\text{induction } nsx\ xs\ \text{rule: list\_induct2})\ (auto\ dest: \text{set\_zip\_leftD})$ 

lemma  $fo\_nmlz\_idem\_isl$ :
  assumes  $\bigwedge x. x \in \text{set } xs \implies (\text{case } x\ \text{of } Inl\ z \Rightarrow z \in X \mid \_ \Rightarrow \text{False})$ 
  shows  $fo\_nmlz\ X\ xs = xs$ 
proof -
  have  $F1: Inl\ x \in \text{set } xs \implies x \in X$  for  $x$ 
  using  $assms[of\ Inl\ x]$ 
  by  $auto$ 
  have  $F2: \text{List.map\_filter } (\text{case\_sum } Map.empty\ Some)\ xs = []$ 
  using  $assms$ 
  by  $(\text{induction } xs)\ (\text{fastforce } simp: \text{List.map\_filter\_def } split: sum.splits)+$ 
show  $?thesis$ 
  by  $(\text{rule } fo\_nmlz\_idem)\ (auto\ simp: fo\_nmlzd\_def\ nats\_def\ F2\ intro: F1)$ 
qed

lemma  $set\_zip\_mapI$ :  $x \in \text{set } xs \implies (f\ x, g\ x) \in \text{set } (\text{zip } (\text{map } f\ xs)\ (\text{map } g\ xs))$ 
  by  $(\text{induction } xs)\ auto$ 

lemma  $ad\_agr\_list\_fo\_nmlzd\_isl$ :
  assumes  $ad\_agr\_list\ X\ (\text{map } f\ xs)\ (\text{map } g\ xs)\ fo\_nmlzd\ X\ (\text{map } f\ xs)\ x \in \text{set } xs\ isl\ (f\ x)$ 
  shows  $f\ x = g\ x$ 
proof -
  have  $AD: ad\_equiv\_pair\ X\ (f\ x, g\ x)$ 
  using  $assms(1)\ set\_zip\_mapI[OF\ assms(3)]$ 
  by  $(auto\ simp: ad\_agr\_list\_def\ ad\_equiv\_list\_def\ split: sum.splits)$ 
then show  $?thesis$ 
  using  $assms(2-)$ 
  by  $(auto\ simp: fo\_nmlzd\_def)\ (metis\ AD\ ad\_equiv\_pair.simps\ ad\_equiv\_pair\_mono\ image\_eqI\ sum.collapse(1)\ vimageI)$ 
qed

lemma  $eval\_conj\_tuple\_close\_empty2$ :
  assumes  $fo\_nmlzd\ X\ xs\ fo\_nmlzd\ Y\ ys$ 
   $\text{length } nsx = \text{length } xs\ \text{length } nsy = \text{length } ys$ 
   $\text{sorted\_distinct } nsx\ \text{sorted\_distinct } nsy$ 

```

```

sorted_distinct ns set ns  $\subseteq$  set nsx  $\cap$  set nsy
fo_nmlz (X  $\cap$  Y) (proj_tuple ns (zip nsx xs))  $\neq$  fo_nmlz (X  $\cap$  Y) (proj_tuple ns (zip nsy ys))  $\vee$ 
  (proj_tuple ns (zip nsx xs)  $\neq$  proj_tuple ns (zip nsy ys)  $\wedge$ 
    ( $\forall x \in \text{set } (\text{proj\_tuple ns } (\text{zip nsx xs})). \text{isl } x \wedge (\forall y \in \text{set } (\text{proj\_tuple ns } (\text{zip nsy ys})). \text{isl } y))$ 
    xs'  $\in$  ad_agr_close ((X  $\cup$  Y) - X) xs ys'  $\in$  ad_agr_close ((X  $\cup$  Y) - Y) ys
shows eval_conj_tuple (X  $\cup$  Y) nsx nsy xs' ys' = {}
proof -
define cxs where cxs = filter ( $\lambda(n, x). n \notin \text{set nsy} \wedge \text{isl } x$ ) (zip nsx xs')
define nxs where nxs = map fst (filter ( $\lambda(n, x). n \notin \text{set nsy} \wedge \neg \text{isl } x$ ) (zip nsx xs'))
define cys where cys = filter ( $\lambda(n, y). n \notin \text{set nsx} \wedge \text{isl } y$ ) (zip nsy ys')
define nys where nys = map fst (filter ( $\lambda(n, y). n \notin \text{set nsx} \wedge \neg \text{isl } y$ ) (zip nsy ys'))
define both where both = sorted_list_of_set (set nsx  $\cup$  set nsy)
have close: fo_nmlzd (X  $\cup$  Y) xs' ad_agr_list X xs xs' fo_nmlzd (X  $\cup$  Y) ys' ad_agr_list Y ys ys'
  using ad_agr_close_sound[OF assms(10) assms(1)] ad_agr_close_sound[OF assms(11) assms(2)]
  by (auto simp add: sup_left_commute)
have close': length xs' = length xs length ys' = length ys
  using close
  by (auto simp: ad_agr_list_length)
have len_sort: length (sort (nsx @ map fst cys)) = length (map snd (merge (zip nsx xs') cys))
  length (sort (nsy @ map fst cxs)) = length (map snd (merge (zip nsy ys') cxs))
  by (auto simp: merge_length assms(3,4) close')
{
fix zs
  assume zs  $\in$  fo_nmlz (X  $\cup$  Y) ' ( $\lambda fs. \text{map snd } (\text{merge } (\text{zip } (\text{sort } (\text{nsx} @ \text{map fst cys})) (\text{map snd } (\text{merge } (\text{zip nsx xs'}) cys)))) (\text{zip nys fs}))$  '
    nall_tuples_rec {} (card (Inr - ' set (map snd (merge (zip nsx xs') cys)))) (length nys)
    zs  $\in$  fo_nmlz (X  $\cup$  Y) ' ( $\lambda fs. \text{map snd } (\text{merge } (\text{zip } (\text{sort } (\text{nsy} @ \text{map fst cxs})) (\text{map snd } (\text{merge } (\text{zip nsy ys'}) cxs)))) (\text{zip nxs fs}))$  '
    nall_tuples_rec {} (card (Inr - ' set (map snd (merge (zip nsy ys') cxs)))) (length nxs)
  then obtain zxs zys where nall: zxs  $\in$  nall_tuples_rec {} (card (Inr - ' set (map snd (merge (zip nsx xs') cys)))) (length nys)
    zs = fo_nmlz (X  $\cup$  Y) (map snd (merge (zip (sort (nsx @ map fst cys)) (map snd (merge (zip nsx xs') cys)))) (zip nys zxs)))
    zys  $\in$  nall_tuples_rec {} (card (Inr - ' set (map snd (merge (zip nsy ys') cxs)))) (length nxs)
    zs = fo_nmlz (X  $\cup$  Y) (map snd (merge (zip (sort (nsy @ map fst cxs)) (map snd (merge (zip nsy ys') cxs)))) (zip nxs zys)))
  by auto
have len_zs: length zxs = length nys length zys = length nxs
  using nall(1,3)
  by (auto dest: nall_tuples_rec_length)
have aux: sorted_distinct (map fst cxs) sorted_distinct nxs sorted_distinct nsy
  sorted_distinct (map fst cys) sorted_distinct nys sorted_distinct nsx
  set (map fst cxs)  $\cap$  set nsy = {} set (map fst cxs)  $\cap$  set nxs = {} set nsy  $\cap$  set nxs = {}
  set (map fst cys)  $\cap$  set nsx = {} set (map fst cys)  $\cap$  set nys = {} set nsx  $\cap$  set nys = {}
  using assms(3,4,5,6) close' distinct_set_zip
  by (auto simp: cxs_def nxs_def cys_def nys_def sorted_filter distinct_map_fst_filter)
  (smt (z3) distinct_set_zip)+
obtain xf where xf_def: map snd cxs = map xf (map fst cxs) ys' = map xf nsy zys = map xf nxs
  using combine_map3[where ?ys=map snd cxs and ?xs=map fst cxs and ?ys'=ys' and ?xs'=nsy
and ?ys''=zys and ?xs''=nxs] assms(4) aux close'
  by (auto simp: len_zs)
obtain ysf where ysf_def: ys = map ysf nsy
  using assms(4,6) exists_map
  by auto
obtain xg where xg_def: map snd cys = map xg (map fst cys) xs' = map xg nsx zxs = map xg nys
  using combine_map3[where ?ys=map snd cys and ?xs=map fst cys and ?ys'=xs' and ?xs'=nsx
and ?ys''=zxs and ?xs''=nys] assms(3) aux close'
  by (auto simp: len_zs)

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obtain xf where xf_def:  $xs = \text{map } xf \text{ } nsx$ 
  using assms(3,5) exists_map
  by auto
have set_cxs_nxs:  $\text{set } (\text{map } fst \text{ } cxs @ nxs) = \text{set } nsx - \text{set } nsy$ 
  using assms(3)
  unfolding cxs_def nxs_def close'[symmetric]
  by (induction nsx xs' rule: list_induct2) auto
have set_cys_nys:  $\text{set } (\text{map } fst \text{ } cys @ nys) = \text{set } nsy - \text{set } nsx$ 
  using assms(4)
  unfolding cys_def nys_def close'[symmetric]
  by (induction nsy ys' rule: list_induct2) auto
have sort_sort_both_xs:  $\text{sort } (\text{sort } (nsy @ \text{map } fst \text{ } cxs) @ nxs) = \text{both}$ 
  apply (rule sorted_distinct_set_unique)
  using assms(3,5,6) close' set_cxs_nxs
  by (auto simp: both_def nxs_def cxs_def intro: distinct_map fst filter)
    (metis (no_types, lifting) distinct_set_zip)
have sort_sort_both_ys:  $\text{sort } (\text{sort } (nsx @ \text{map } fst \text{ } cys) @ nys) = \text{both}$ 
  apply (rule sorted_distinct_set_unique)
  using assms(4,5,6) close' set_cys_nys
  by (auto simp: both_def nys_def cys_def intro: distinct_map fst filter)
    (metis (no_types, lifting) distinct_set_zip)
have map_snd (merge (zip nsy ys') cxs) = map xf (sort (nsy @ map fst cxs))
  using merge_map[where  $\sigma = xf$  and  $?ns = nsy$  and  $?ms = \text{map } fst \text{ } cxs$ ] assms(6) aux
  unfolding xf_def(1)[symmetric] xf_def(2)
  by (auto simp: zip_map fst_snd)
then have zs_xf:  $zs = fo\_nmlz \text{ } (X \cup Y) \text{ } (\text{map } xf \text{ } \text{both})$ 
  using merge_map[where  $\sigma = xf$  and  $?ns = \text{sort } (nsy @ \text{map } fst \text{ } cxs)$  and  $?ms = nxs$ ] aux
  by (fastforce simp: nall(4) xf_def(3) sort_sort_both_xs)
have map_snd (merge (zip nsx xs') cys) = map xg (sort (nsx @ map fst cys))
  using merge_map[where  $\sigma = xg$  and  $?ns = nsx$  and  $?ms = \text{map } fst \text{ } cys$ ] assms(5) aux
  unfolding xg_def(1)[symmetric] xg_def(2)
  by (fastforce simp: zip_map fst_snd)
then have zs_xg:  $zs = fo\_nmlz \text{ } (X \cup Y) \text{ } (\text{map } xg \text{ } \text{both})$ 
  using merge_map[where  $\sigma = xg$  and  $?ns = \text{sort } (nsx @ \text{map } fst \text{ } cys)$  and  $?ms = nys$ ] aux
  by (fastforce simp: nall(2) xg_def(3) sort_sort_both_ys)
have proj_map: proj_tuple ns (zip nsx xs') = map xg ns proj_tuple ns (zip nsy ys') = map xf ns
  proj_tuple ns (zip nsx xs) = map xf ns proj_tuple ns (zip nsy ys) = map ysf ns
  unfolding xf_def(2) xg_def(2) xf_def ysf_def
  using assms(5,6,7,8) proj_tuple_map
  by auto
have ad_agr_list ( $X \cup Y$ ) (map xg both) (map xf both)
  using zs_xg zs_xf
  by (fastforce dest: fo_nmlz_eqD)
then have ad_agr_list ( $X \cup Y$ ) (proj_tuple ns (zip nsx xs')) (proj_tuple ns (zip nsy ys'))
  using assms(8)
  unfolding proj_map
  by (fastforce simp: both_def intro: ad_agr_list_subset[rotated])
then have fo_nmlz_Un:  $fo\_nmlz \text{ } (X \cup Y) \text{ } (\text{proj\_tuple } ns \text{ } (\text{zip } nsx \text{ } xs')) = fo\_nmlz \text{ } (X \cup Y)$ 
(proj_tuple ns (zip nsy ys'))
  by (auto intro: fo_nmlz_eqI)
have False
  using assms(9)
proof (rule disjE)
  assume c:  $fo\_nmlz \text{ } (X \cap Y) \text{ } (\text{proj\_tuple } ns \text{ } (\text{zip } nsx \text{ } xs)) \neq fo\_nmlz \text{ } (X \cap Y) \text{ } (\text{proj\_tuple } ns \text{ } (\text{zip } nsy \text{ } ys))$ 
  have fo_nmlz_Int:  $fo\_nmlz \text{ } (X \cap Y) \text{ } (\text{proj\_tuple } ns \text{ } (\text{zip } nsx \text{ } xs')) = fo\_nmlz \text{ } (X \cap Y) \text{ } (\text{proj\_tuple } ns \text{ } (\text{zip } nsy \text{ } ys'))$ 
  using fo_nmlz_Un

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    by (rule fo_nmlz_eqI[OF ad_agr_list_mono, rotated, OF fo_nmlz_eqD]) auto
  have proj_xs: fo_nmlz (X  $\cap$  Y) (proj_tuple ns (zip nsx xs)) = fo_nmlz (X  $\cap$  Y) (proj_tuple ns
(zip nsx xs'))
    unfolding proj_map
    apply (rule fo_nmlz_eqI)
    apply (rule ad_agr_list_mono[OF Int_lower1])
    apply (rule ad_agr_list_subset[OF _ close(2)][unfolded xsf_def xg_def(2)])
    using assms(8)
    apply (auto)
    done
  have proj_ys: fo_nmlz (X  $\cap$  Y) (proj_tuple ns (zip nsy ys)) = fo_nmlz (X  $\cap$  Y) (proj_tuple ns
(zip nsy ys'))
    unfolding proj_map
    apply (rule fo_nmlz_eqI)
    apply (rule ad_agr_list_mono[OF Int_lower2])
    apply (rule ad_agr_list_subset[OF _ close(4)][unfolded ysf_def xf_def(2)])
    using assms(8)
    apply (auto)
    done
  show False
    using c fo_nmlz_Int proj_xs proj_ys
    by auto
next
  assume c: proj_tuple ns (zip nsx xs)  $\neq$  proj_tuple ns (zip nsy ys)  $\wedge$ 
( $\forall x \in \text{set } (\text{proj\_tuple } ns \text{ (zip nsx xs)}). \text{isl } x$ )  $\wedge$  ( $\forall y \in \text{set } (\text{proj\_tuple } ns \text{ (zip nsy ys)}). \text{isl } y$ )
  have case x of Inl z  $\Rightarrow$  z  $\in$  X  $\cup$  Y | Inr b  $\Rightarrow$  False if x  $\in$  set (proj_tuple ns (zip nsx xs')) for x
    using close(2) assms(1,8) c that ad_agr_list_fo_nmlzd_isl[where ?X=X and ?f=xf and
?g=xg and ?xs=nsx]
    unfolding proj_map
    unfolding xsf_def xg_def(2)
    apply (auto simp: fo_nmlzd_def split: sum.splits)
    apply (metis image_eqI subsetD vimageI)
    apply (metis subsetD sum.disc(2))
    done
  then have E1: fo_nmlz (X  $\cup$  Y) (proj_tuple ns (zip nsx xs')) = proj_tuple ns (zip nsx xs')
    by (rule fo_nmlz_idem_isl)
  have case y of Inl z  $\Rightarrow$  z  $\in$  X  $\cup$  Y | Inr b  $\Rightarrow$  False if y  $\in$  set (proj_tuple ns (zip nsy ys')) for y
    using close(4) assms(2,8) c that ad_agr_list_fo_nmlzd_isl[where ?X=Y and ?f=ysf and
?g=xf and ?xs=nsy]
    unfolding proj_map
    unfolding ysf_def xf_def(2)
    apply (auto simp: fo_nmlzd_def split: sum.splits)
    apply (metis image_eqI subsetD vimageI)
    apply (metis subsetD sum.disc(2))
    done
  then have E2: fo_nmlz (X  $\cup$  Y) (proj_tuple ns (zip nsy ys')) = proj_tuple ns (zip nsy ys')
    by (rule fo_nmlz_idem_isl)
  have ad: ad_agr_list X (map xsf ns) (map xg ns)
    using assms(8) close(2)[unfolded xsf_def xg_def(2)] ad_agr_list_subset
    by blast
  have  $\forall x \in \text{set } (\text{proj\_tuple } ns \text{ (zip nsx xs)}). \text{isl } x$ 
    using c
    by auto
  then have E3: proj_tuple ns (zip nsx xs) = proj_tuple ns (zip nsx xs')
    using assms(8)
    unfolding proj_map
    apply (induction ns)
    using ad_agr_list_fo_nmlzd_isl[OF close(2)[unfolded xsf_def xg_def(2)] assms(1)[unfolded

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    xsf_def]]
    by auto
    have  $\forall x \in \text{set } (\text{proj\_tuple } ns \ (\text{zip } nsy \ ys)). \text{isl } x$ 
    using c
    by auto
    then have  $E4: \text{proj\_tuple } ns \ (\text{zip } nsy \ ys) = \text{proj\_tuple } ns \ (\text{zip } nsy \ ys')$ 
    using assms(8)
    unfolding proj_map
    apply (induction ns)
    using ad_agr_list_fo_nmlzd_isl[OF close(4)[unfolded ysf_def xf_def(2)] assms(2)[unfolded
ysf_def]]
    by auto
    show False
    using c fo_nmlz_Un
    unfolding E1 E2 E3 E4
    by auto
  qed
}
then show ?thesis
by (auto simp: eval_conj_tuple_def Let_def cxs_def[symmetric] nxs_def[symmetric] cys_def[symmetric]
nys_def[symmetric]
ext_tuple_eq[OF len_sort(1)] ext_tuple_eq[OF len_sort(2)])
qed

lemma eval_conj_tuple_close_empty:
  assumes fo_nmlzd X xs fo_nmlzd Y ys
    length nsx = length xs length nsy = length ys
    sorted_distinct nsx sorted_distinct nsy
    ns = filter ( $\lambda n. n \in \text{set } nsy$ ) nsx
    fo_nmlz  $(X \cap Y) \ (\text{proj\_tuple } ns \ (\text{zip } nsx \ xs)) \neq \text{fo\_nmlz } (X \cap Y) \ (\text{proj\_tuple } ns \ (\text{zip } nsy \ ys))$ 
    xs'  $\in \text{ad\_agr\_close } ((X \cup Y) - X) \ xs \ ys' \in \text{ad\_agr\_close } ((X \cup Y) - Y) \ ys$ 
  shows eval_conj_tuple  $(X \cup Y) \ nsx \ nsy \ xs' \ ys' = \{\}$ 
proof -
  have aux: sorted_distinct ns set ns  $\subseteq \text{set } nsx \cap \text{set } nsy$ 
  using assms(5) sorted_filter[of id]
  by (auto simp: assms(7))
  show ?thesis
  using eval_conj_tuple_close_empty2[OF assms(1-6) aux] assms(8-)
  by auto
qed

lemma eval_conj_tuple_empty2:
  assumes fo_nmlzd Z xs fo_nmlzd Z ys
    length nsx = length xs length nsy = length ys
    sorted_distinct nsx sorted_distinct nsy
    sorted_distinct ns set ns  $\subseteq \text{set } nsx \cap \text{set } nsy$ 
    fo_nmlz Z  $(\text{proj\_tuple } ns \ (\text{zip } nsx \ xs)) \neq \text{fo\_nmlz } Z \ (\text{proj\_tuple } ns \ (\text{zip } nsy \ ys)) \vee$ 
       $(\text{proj\_tuple } ns \ (\text{zip } nsx \ xs)) \neq \text{proj\_tuple } ns \ (\text{zip } nsy \ ys) \wedge$ 
       $(\forall x \in \text{set } (\text{proj\_tuple } ns \ (\text{zip } nsx \ xs)). \text{isl } x) \wedge (\forall y \in \text{set } (\text{proj\_tuple } ns \ (\text{zip } nsy \ ys)). \text{isl } y)$ 
  shows eval_conj_tuple Z nsx nsy xs ys =  $\{\}$ 
  using eval_conj_tuple_close_empty2[OF assms(1-8)] assms(9) ad_agr_close_empty assms(1-2)
  by fastforce

lemma eval_conj_tuple_empty:
  assumes fo_nmlzd Z xs fo_nmlzd Z ys
    length nsx = length xs length nsy = length ys
    sorted_distinct nsx sorted_distinct nsy
    ns = filter ( $\lambda n. n \in \text{set } nsy$ ) nsx

```



```

fo_nmlz Z (proj_tuple ns (zip nsx xs)) ≠ fo_nmlz Z (proj_tuple ns (zip nsy ys))
shows eval_conj_tuple Z nsx nsy xs ys = {}
proof -
  have aux: sorted_distinct ns set ns ⊆ set nsx ∩ set nsy
    using assms(5) sorted_filter[of id]
    by (auto simp: assms(7))
  show ?thesis
    using eval_conj_tuple_empty2[OF assms(1-6) aux] assms(8-)
    by auto
qed

```

```

lemma nall_tuples_rec_filter:
  assumes xs ∈ nall_tuples_rec AD n (length xs) ys = filter (λx. ¬isl x) xs
  shows ys ∈ nall_tuples_rec {} n (length ys)
  using assms
proof (induction xs arbitrary: n ys)
  case (Cons x xs)
  then show ?case
  proof (cases x)
    case (Inr b)
    have b_le_i: b ≤ n
      using Cons(2)
      by (auto simp: Inr)
    obtain zs where ys_def: ys = Inr b # zs zs = filter (λx. ¬isl x) xs
      using Cons(3)
      by (auto simp: Inr)
    show ?thesis
    proof (cases b < n)
      case True
      then show ?thesis
        using Cons(1)[OF _ ys_def(2), of n] Cons(2)
        by (auto simp: Inr ys_def(1))
      next
      case False
      then show ?thesis
        using Cons(1)[OF _ ys_def(2), of Suc n] Cons(2)
        by (auto simp: Inr ys_def(1))
    qed
  qed auto
qed auto

```

```

lemma nall_tuples_rec_filter_rev:
  assumes ys ∈ nall_tuples_rec {} n (length ys) ys = filter (λx. ¬isl x) xs
  Inl - ' set xs ⊆ AD
  shows xs ∈ nall_tuples_rec AD n (length xs)
  using assms
proof (induction xs arbitrary: n ys)
  case (Cons x xs)
  show ?case
  proof (cases x)
    case (Inl a)
    have a_AD: a ∈ AD
      using Cons(4)
      by (auto simp: Inl)
    show ?thesis
      using Cons(1)[OF Cons(2)] Cons(3,4) a_AD
      by (auto simp: Inl)
    next

```

```

case (Inr b)
obtain zs where ys_def: ys = Inr b # zs zs = filter (λx. ¬ isl x) xs
using Cons(3)
by (auto simp: Inr)
show ?thesis
using Cons(1)[OF _ ys_def(2)] Cons(2,4)
by (fastforce simp: ys_def(1) Inr)
qed
qed auto

lemma eval_conj_set_aux:
fixes AD :: 'a set
assumes nsφ'_def: nsφ' = filter (λn. n ∉ set nsφ) nsψ
and nsψ'_def: nsψ' = filter (λn. n ∉ set nsψ) nsφ
and Xφ_def: Xφ = fo_nmlz AD 'proj_vals Rφ nsφ
and Xψ_def: Xψ = fo_nmlz AD 'proj_vals Rψ nsψ
and distinct: sorted_distinct nsφ sorted_distinct nsψ
and cxs_def: cxs = filter (λ(n, x). n ∉ set nsψ ∧ isl x) (zip nsφ xs)
and nxs_def: nxs = map fst (filter (λ(n, x). n ∉ set nsψ ∧ ¬isl x) (zip nsφ xs))
and cys_def: cys = filter (λ(n, y). n ∉ set nsφ ∧ isl y) (zip nsψ ys)
and nys_def: nys = map fst (filter (λ(n, y). n ∉ set nsφ ∧ ¬isl y) (zip nsψ ys))
and xs_ys_def: xs ∈ Xφ ys ∈ Xψ
and σxs_def: xs = map σxs nsφ fsφ = map σxs nsφ'
and σys_def: ys = map σys nsψ fsψ = map σys nsψ'
and fsφ_def: fsφ ∈ nall_tuples_rec AD (card (Inr - 'set xs)) (length nsφ')
and fsψ_def: fsψ ∈ nall_tuples_rec AD (card (Inr - 'set ys)) (length nsψ')
and ad_agr: ad_agr_list AD (map σys (sort (nsψ @ nsψ'))) (map σxs (sort (nsφ @ nsφ')))
shows
  map snd (merge (zip nsφ xs) (zip nsφ' fsφ)) =
    map snd (merge (zip (sort (nsφ @ map fst cys)) (map σxs (sort (nsφ @ map fst cys))))
      (zip nys (map σxs nys))) and
  map snd (merge (zip nsφ xs) cys) = map σxs (sort (nsφ @ map fst cys)) and
  map σxs nys ∈
    nall_tuples_rec {} (card (Inr - 'set (map σxs (sort (nsφ @ map fst cys))))) (length nys)
proof -
have len_xs_ys: length xs = length nsφ length ys = length nsψ
using xs_ys_def
by (auto simp: Xφ_def Xψ_def proj_vals_def fo_nmlz_length)
have len_fsφ: length fsφ = length nsφ'
using σxs_def(2)
by auto
have set_nsφ': set nsφ' = set (map fst cys) ∪ set nys
using len_xs_ys(2)
by (auto simp: nsφ'_def cys_def nys_def dest: set_zip_leftD)
  (metis (no_types, lifting) image_eqI in_set_impl_in_set_zip1 mem_Collect_eq
    prod.sel(1) split_conv)
have ∧x. Inl x ∈ set xs ∪ set fsφ ⇒ x ∈ AD ∧y. Inl y ∈ set ys ∪ set fsψ ⇒ y ∈ AD
using xs_ys_def fo_nmlz_set[of AD] nall_tuples_rec_Inl[OF fsφ_def]
  nall_tuples_rec_Inl[OF fsψ_def]
by (auto simp: Xφ_def Xψ_def)
then have Inl_xs_ys:
  ∧n. n ∈ set nsφ ∪ set nsψ ⇒ isl (σxs n) ⇔ (∃x. σxs n = Inl x ∧ x ∈ AD)
  ∧n. n ∈ set nsφ ∪ set nsψ ⇒ isl (σys n) ⇔ (∃y. σys n = Inl y ∧ y ∈ AD)
unfolding σxs_def σys_def nsφ'_def nsψ'_def
by (auto simp: isl_def) (smt imageI mem_Collect_eq)+
have sort_sort: sort (nsφ @ nsφ') = sort (nsψ @ nsψ')
apply (rule sorted_distinct_set_unique)
using distinct

```

```

  by (auto simp: nsφ'_def nsψ'_def)
have isl_iff:  $\bigwedge n. n \in \text{set } ns\varphi' \cup \text{set } ns\psi' \implies \text{isl } (\sigma xs \ n) \vee \text{isl } (\sigma ys \ n) \implies \sigma xs \ n = \sigma ys \ n$ 
  using ad_agr Inl_xs_ys
  unfolding sort_sort[symmetric] ad_agr_list_link[symmetric]
  unfolding nsφ'_def nsψ'_def
  apply (auto simp: ad_agr_sets_def)
  unfolding ad_equiv_pair.simps
    apply (metis (no_types, lifting) UnI2 image_eqI mem_Collect_eq)
    apply (metis (no_types, lifting) UnI2 image_eqI mem_Collect_eq)
  apply (metis (no_types, lifting) UnI1 image_eqI)+
done
have  $\bigwedge n. n \in \text{set } (\text{map fst cys}) \implies \text{isl } (\sigma xs \ n)$ 
   $\bigwedge n. n \in \text{set } (\text{map fst cxs}) \implies \text{isl } (\sigma ys \ n)$ 
  using isl_iff
  by (auto simp: cys_def nsφ'_def σys_def(1) cxs_def nsψ'_def σxs_def(1) set_zip)
    (metis nth_mem)+
then have Inr_sort:  $\text{Inr} - ' \text{set } (\text{map } \sigma xs \ (\text{sort } (ns\varphi @ \text{map fst cys}))) = \text{Inr} - ' \text{set } xs$ 
  unfolding σxs_def(1) σys_def(1)
  by (auto simp: zip_mapfst_snd dest: set_zip_leftD)
    (metis fst_conv image_iff sum.disc(2))+
have map_nys:  $\text{map } \sigma xs \ nys = \text{filter } (\lambda x. \neg \text{isl } x) \ fs\varphi$ 
  using isl_iff[unfolded nsφ'_def]
  unfolding nys_def σys_def(1) σxs_def(2) nsφ'_def filter_map
  by (induction nsψ) force+
have map_nys_in_nall:  $\text{map } \sigma xs \ nys \in \text{nall\_tuples\_rec } \{\}$  (card (Inr - ' set xs)) (length nys)
  using nall_tuples_rec_filter[OF fsφ_def[folded len_fsφ] map_nys]
  by auto
have map_cys:  $\text{map snd cys} = \text{map } \sigma xs \ (\text{map fst cys})$ 
  using isl_iff
  by (auto simp: cys_def set_zip nsφ'_def σys_def(1)) (metis nth_mem)
show merge_xs_cys:  $\text{map snd } (\text{merge } (\text{zip ns}\varphi \ xs) \ cys) = \text{map } \sigma xs \ (\text{sort } (ns\varphi @ \text{map fst cys}))$ 
  apply (subst zip_mapfst_snd[of cys, symmetric])
  unfolding σxs_def(1) map_cys
  apply (rule merge_map)
  using distinct
  by (auto simp: cys_def σys_def sorted_filter distinct_map_filter mapfst_zip_take)
have merge_nys_premis:  $\text{sorted\_distinct } (\text{sort } (ns\varphi @ \text{map fst cys})) \text{ sorted\_distinct } nys$ 
   $\text{set } (\text{sort } (ns\varphi @ \text{map fst cys})) \cap \text{set } nys = \{\}$ 
  using distinct len_xs_ys(2)
  by (auto simp: cys_def nys_def distinct_map_filter sorted_filter)
    (metis eq_key_imp_eq_value mapfst_zip)
have map_snd_merge_nys:  $\text{map } \sigma xs \ (\text{sort } (\text{sort } (ns\varphi @ \text{map fst cys}) @ nys)) =$ 
   $\text{map snd } (\text{merge } (\text{zip } (\text{sort } (ns\varphi @ \text{map fst cys})) \ (\text{map } \sigma xs \ (\text{sort } (ns\varphi @ \text{map fst cys}))))$ 
   $(\text{zip } nys \ (\text{map } \sigma xs \ nys)))$ 
  by (rule merge_map[OF merge_nys_premis, symmetric])
have sort_sort_nys:  $\text{sort } (\text{sort } (ns\varphi @ \text{map fst cys}) @ nys) = \text{sort } (ns\varphi @ ns\varphi')$ 
  apply (rule sorted_distinct_set_unique)
  using distinct merge_nys_premis set_nsφ'
  by (auto simp: cys_def nys_def nsφ'_def dest: set_zip_leftD)
have map_merge_fsφ:  $\text{map snd } (\text{merge } (\text{zip ns}\varphi \ xs) \ (\text{zip ns}\varphi' \ fs\varphi)) = \text{map } \sigma xs \ (\text{sort } (ns\varphi @ ns\varphi'))$ 
  unfolding σxs_def
  apply (rule merge_map)
  using distinct sorted_filter[of id]
  by (auto simp: nsφ'_def)
show map_snd (merge (zip nsφ xs) (zip nsφ' fsφ)) =
   $\text{map snd } (\text{merge } (\text{zip } (\text{sort } (ns\varphi @ \text{map fst cys})) \ (\text{map } \sigma xs \ (\text{sort } (ns\varphi @ \text{map fst cys}))))$ 
   $(\text{zip } nys \ (\text{map } \sigma xs \ nys)))$ 
  unfolding map_merge_fsφ map_snd_merge_nys[unfolded sort_sort_nys]

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```

    by auto
  show map  $\sigma x s$  nys  $\in$  nall_tuples_rec {}
    (card (Inr - ' set (map  $\sigma x s$  (sort (ns $\varphi$  @ map fst cys))))) (length nys)
  using map_nys_in_nall
  unfolding Inr_sort[symmetric]
  by auto
qed

lemma eval_conj_set_aux':
  fixes AD :: 'a set
  assumes ns $\varphi$ '_def: ns $\varphi$ ' = filter ( $\lambda n. n \notin$  set ns $\varphi$ ) ns $\psi$ 
    and ns $\psi$ '_def: ns $\psi$ ' = filter ( $\lambda n. n \notin$  set ns $\psi$ ) ns $\varphi$ 
    and X $\varphi$ _def: X $\varphi$  = fo_nmlz AD ' proj_vals R $\varphi$  ns $\varphi$ 
    and X $\psi$ _def: X $\psi$  = fo_nmlz AD ' proj_vals R $\psi$  ns $\psi$ 
    and distinct: sorted_distinct ns $\varphi$  sorted_distinct ns $\psi$ 
    and cxs_def: cxs = filter ( $\lambda(n, x). n \notin$  set ns $\psi \wedge$  isl x) (zip ns $\varphi$  xs)
    and nxs_def: nxs = map fst (filter ( $\lambda(n, x). n \notin$  set ns $\psi \wedge \neg$ isl x) (zip ns $\varphi$  xs))
    and cys_def: cys = filter ( $\lambda(n, y). n \notin$  set ns $\varphi \wedge$  isl y) (zip ns $\psi$  ys)
    and nys_def: nys = map fst (filter ( $\lambda(n, y). n \notin$  set ns $\varphi \wedge \neg$ isl y) (zip ns $\psi$  ys))
    and xs_ys_def: xs  $\in$  X $\varphi$  ys  $\in$  X $\psi$ 
    and  $\sigma x s$ _def: xs = map  $\sigma x s$  ns $\varphi$  map snd cys = map  $\sigma x s$  (map fst cys)
      ys $\psi$  = map  $\sigma x s$  nys
    and  $\sigma y s$ _def: ys = map  $\sigma y s$  ns $\psi$  map snd cxs = map  $\sigma y s$  (map fst cxs)
      xs $\varphi$  = map  $\sigma y s$  nxs
    and fs $\varphi$ _def: fs $\varphi$  = map  $\sigma x s$  ns $\varphi$ '
    and fs $\psi$ _def: fs $\psi$  = map  $\sigma y s$  ns $\psi$ '
    and ys $\psi$ _def: map  $\sigma x s$  nys  $\in$  nall_tuples_rec {}
      (card (Inr - ' set (map  $\sigma x s$  (sort (ns $\varphi$  @ map fst cys))))) (length nys)
    and Inl_set_AD: Inl - ' (set (map snd cxs)  $\cup$  set xs $\varphi$ )  $\subseteq$  AD
      Inl - ' (set (map snd cys)  $\cup$  set ys $\psi$ )  $\subseteq$  AD
    and ad_agr: ad_agr_list AD (map  $\sigma y s$  (sort (ns $\psi$  @ ns $\psi$ '))) (map  $\sigma x s$  (sort (ns $\varphi$  @ ns $\varphi$ ')))
  shows
    map snd (merge (zip ns $\varphi$  xs) (zip ns $\varphi$ ' fs $\varphi$ )) =
      map snd (merge (zip (sort (ns $\varphi$  @ map fst cys)) (map  $\sigma x s$  (sort (ns $\varphi$  @ map fst cys))))
        (zip nys (map  $\sigma x s$  nys))) and
    map snd (merge (zip ns $\varphi$  xs) cys) = map  $\sigma x s$  (sort (ns $\varphi$  @ map fst cys))
    fs $\varphi$   $\in$  nall_tuples_rec AD (card (Inr - ' set xs)) (length ns $\varphi$ ')
  proof -
    have len_xs_ys: length xs = length ns $\varphi$  length ys = length ns $\psi$ 
      using xs_ys_def
    by (auto simp: X $\varphi$ _def X $\psi$ _def proj_vals_def fo_nmlz_length)
    have len_fs $\varphi$ : length fs $\varphi$  = length ns $\varphi$ '
      by (auto simp: fs $\varphi$ _def)
    have set_ns: set ns $\varphi$ ' = set (map fst cys)  $\cup$  set nys
      set ns $\psi$ ' = set (map fst cxs)  $\cup$  set nxs
    using len_xs_ys
    by (auto simp: ns $\varphi$ '_def cys_def nys_def ns $\psi$ '_def cxs_def nxs_def dest: set_zip_leftD)
      (metis (no_types, lifting) image_eqI in_set_impl_in_set_zip1 mem_Collect_eq
        prod.sel(1) split_conv)+
    then have set_ $\sigma$ _ns:  $\sigma x s$  ' set ns $\psi$ '  $\cup$   $\sigma x s$  ' set ns $\varphi$ '  $\subseteq$  set xs  $\cup$  set (map snd cys)  $\cup$  set ys $\psi$ 
       $\sigma y s$  ' set ns $\varphi$ '  $\cup$   $\sigma y s$  ' set ns $\psi$ '  $\subseteq$  set ys  $\cup$  set (map snd cxs)  $\cup$  set xs $\varphi$ 
    by (auto simp:  $\sigma x s$ _def  $\sigma y s$ _def ns $\varphi$ '_def ns $\psi$ '_def)
    have Inl_sub_AD:  $\bigwedge x. Inl x \in$  set xs  $\cup$  set (map snd cys)  $\cup$  set ys $\psi \implies x \in$  AD
       $\bigwedge y. Inl y \in$  set ys  $\cup$  set (map snd cxs)  $\cup$  set xs $\varphi \implies y \in$  AD
    using xs_ys_def fo_nmlz_set[of AD] Inl_set_AD
    by (auto simp: X $\varphi$ _def X $\psi$ _def) (metis in_set_zipE set_map subset_eq vimageI zip_map_fst_snd)+
    then have Inl_xs_ys:
       $\bigwedge n. n \in$  set ns $\varphi$ '  $\cup$  set ns $\psi$ '  $\implies$  isl ( $\sigma x s$  n)  $\longleftrightarrow (\exists x. \sigma x s$  n = Inl x  $\wedge$  x  $\in$  AD)

```

```

 $\bigwedge n. n \in \text{set } ns\varphi' \cup \text{set } ns\psi' \implies \text{isl } (\sigma ys \ n) \longleftrightarrow (\exists y. \sigma ys \ n = \text{Inl } y \wedge y \in AD)$ 
using set_σ_ns
by (auto simp: isl_def rev_image_eqI)
have sort_sort: sort (nsφ @ nsφ') = sort (nsψ @ nsψ')
apply (rule sorted_distinct_set_unique)
using distinct
by (auto simp: nsφ'_def nsψ'_def)
have isl_iff:  $\bigwedge n. n \in \text{set } ns\varphi' \cup \text{set } ns\psi' \implies \text{isl } (\sigma xs \ n) \vee \text{isl } (\sigma ys \ n) \implies \sigma xs \ n = \sigma ys \ n$ 
using ad_agr Inl_xs_ys
unfolding sort_sort[symmetric] ad_agr_list_link[symmetric]
unfolding nsφ'_def nsψ'_def
apply (auto simp: ad_agr_sets_def)
unfolding ad_equiv_pair.simps
apply (metis (no_types, lifting) UnI2 image_eqI mem_Collect_eq)
apply (metis (no_types, lifting) UnI2 image_eqI mem_Collect_eq)
apply (metis (no_types, lifting) UnI1 image_eqI) +
done
have  $\bigwedge n. n \in \text{set } (\text{map fst cys}) \implies \text{isl } (\sigma xs \ n)$ 
 $\bigwedge n. n \in \text{set } (\text{map fst cxs}) \implies \text{isl } (\sigma ys \ n)$ 
using isl_iff
by (auto simp: cys_def nsφ'_def σys_def(1) cxs_def nsψ'_def σxs_def(1) set_zip)
(metis nth_mem) +
then have Inr_sort: Inr - ' set (map σxs (sort (nsφ @ map fst cys))) = Inr - ' set xs
unfolding σxs_def(1) σys_def(1)
by (auto simp: zip_map_fst_snd dest: set_zip_leftD)
(metis fst_conv image_iff sum.disc(2)) +
have map_nys: map σxs nys = filter ( $\lambda x. \neg \text{isl } x$ ) fsφ
using isl_iff[unfolded nsφ'_def]
unfolding nys_def σys_def(1) fsφ_def nsφ'_def
by (induction nsψ) force +
have map_cys: map snd cys = map σxs (map fst cys)
using isl_iff
by (auto simp: cys_def set_zip nsφ'_def σys_def(1)) (metis nth_mem)
show merge_xs_cys: map snd (merge (zip nsφ xs) cys) = map σxs (sort (nsφ @ map fst cys))
apply (subst zip_map_fst_snd[of cys, symmetric])
unfolding σxs_def(1) map_cys
apply (rule merge_map)
using distinct
by (auto simp: cys_def σys_def sorted_filter distinct_map_filter map_fst_zip_take)
have merge_nys_prems: sorted_distinct (sort (nsφ @ map fst cys)) sorted_distinct nys
set (sort (nsφ @ map fst cys))  $\cap$  set nys = {}
using distinct len_xs_ys(2)
by (auto simp: cys_def nys_def distinct_map_filter sorted_filter)
(metis eq_key_imp_eq_value map_fst_zip)
have map_snd_merge_nys: map σxs (sort (nsφ @ map fst cys) @ nys) =
map snd (merge (zip (sort (nsφ @ map fst cys)) (map σxs (sort (nsφ @ map fst cys))))
(zip nys (map σxs nys)))
by (rule merge_map[OF merge_nys_prem, symmetric])
have sort_sort_nys: sort (sort (nsφ @ map fst cys) @ nys) = sort (nsφ @ nsφ')
apply (rule sorted_distinct_set_unique)
using distinct merge_nys_prem set_ns
by (auto simp: cys_def nys_def nsφ'_def dest: set_zip_leftD)
have map_merge_fsφ: map snd (merge (zip nsφ xs) (zip nsφ' fsφ)) = map σxs (sort (nsφ @ nsφ'))
unfolding σxs_def fsφ_def
apply (rule merge_map)
using distinct sorted_filter[of id]
by (auto simp: nsφ'_def)
show map snd (merge (zip nsφ xs) (zip nsφ' fsφ)) =

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```

    map snd (merge (zip (sort (nsφ @ map fst cys)) (map σxs (sort (nsφ @ map fst cys))))
    (zip nys (map σxs nys)))
  unfolding map_merge_fsφ map_snd_merge_nys[unfolded sort_sort_nys]
  by auto
  have Inl - ' set fsφ ⊆ AD
  using Inl_sub_AD(1) set_σ_ns
  by (force simp: fsφ_def)
  then show fsφ ∈ nall_tuples_rec AD (card (Inr - ' set xs)) (length nsφ')
  unfolding len_fsφ[symmetric]
  using nall_tuples_rec_filter_rev[OF _ map_nys] yψ_def[unfolded Inr_sort]
  by auto
qed

lemma eval_conj_set_correct:
  assumes nsφ'_def: nsφ' = filter (λn. n ∉ set nsφ) nsψ
  and nsψ'_def: nsψ' = filter (λn. n ∉ set nsψ) nsφ
  and Xφ_def: Xφ = fo_nmlz AD ' proj_vals Rφ nsφ
  and Xψ_def: Xψ = fo_nmlz AD ' proj_vals Rψ nsψ
  and distinct: sorted_distinct nsφ sorted_distinct nsψ
  shows eval_conj_set AD nsφ Xφ nsψ Xψ = ext_tuple_set AD nsφ nsφ' Xφ ∩ ext_tuple_set AD nsψ
  nsψ' Xψ
proof -
  have aux: ext_tuple_set AD nsφ nsφ' Xφ = fo_nmlz AD ' ∪ (ext_tuple AD nsφ nsφ' ' Xφ)
  ext_tuple_set AD nsψ nsψ' Xψ = fo_nmlz AD ' ∪ (ext_tuple AD nsψ nsψ' ' Xψ)
  by (auto simp: ext_tuple_set_def ext_tuple_def Xφ_def Xψ_def image_iff fo_nmlz_idem[OF
  fo_nmlz_sound])
  show ?thesis
  unfolding aux
proof (rule set_eqI, rule iffI)
  fix vs
  assume vs ∈ fo_nmlz AD ' ∪ (ext_tuple AD nsφ nsφ' ' Xφ) ∩
  fo_nmlz AD ' ∪ (ext_tuple AD nsψ nsψ' ' Xψ)
  then obtain xs ys where xs_ys_def: xs ∈ Xφ vs ∈ fo_nmlz AD ' ext_tuple AD nsφ nsφ' xs
  ys ∈ Xψ vs ∈ fo_nmlz AD ' ext_tuple AD nsψ nsψ' ys
  by auto
  have len_xs_ys: length xs = length nsφ length ys = length nsψ
  using xs_ys_def(1,3)
  by (auto simp: Xφ_def Xψ_def proj_vals_def fo_nmlz_length)
  obtain fsφ where fsφ_def: vs = fo_nmlz AD (map snd (merge (zip nsφ xs) (zip nsφ' fsφ)))
  fsφ ∈ nall_tuples_rec AD (card (Inr - ' set xs)) (length nsφ')
  using xs_ys_def(1,2)
  by (auto simp: Xφ_def proj_vals_def ext_tuple_def split: if_splits)
  (metis fo_nmlz_map length_map map_snd_zip)
  obtain fsψ where fsψ_def: vs = fo_nmlz AD (map snd (merge (zip nsψ ys) (zip nsψ' fsψ)))
  fsψ ∈ nall_tuples_rec AD (card (Inr - ' set ys)) (length nsψ')
  using xs_ys_def(3,4)
  by (auto simp: Xψ_def proj_vals_def ext_tuple_def split: if_splits)
  (metis fo_nmlz_map length_map map_snd_zip)
  note len_fsφ = nall_tuples_rec_length[OF fsφ_def(2)]
  note len_fsψ = nall_tuples_rec_length[OF fsψ_def(2)]
  obtain σxs where σxs_def: xs = map σxs nsφ fsφ = map σxs nsφ'
  using exists_map[of nsφ @ nsφ' xs @ fsφ] len_xs_ys(1) len_fsφ distinct
  by (auto simp: nsφ'_def)
  obtain σys where σys_def: ys = map σys nsψ fsψ = map σys nsψ'
  using exists_map[of nsψ @ nsψ' ys @ fsψ] len_xs_ys(2) len_fsψ distinct
  by (auto simp: nsψ'_def)
  have map_merge_fsφ: map_snd (merge (zip nsφ xs) (zip nsφ' fsφ)) = map σxs (sort (nsφ @ nsφ'))
  unfolding σxs_def

```

```

apply (rule merge_map)
using distinct_sorted_filter[of id]
by (auto simp: nsφ'_def)
have map_merge_fsψ: map_snd (merge (zip nsψ ys) (zip nsψ' fsψ)) = map σys (sort (nsψ @ nsψ'))
unfolding σys_def
apply (rule merge_map)
using distinct_sorted_filter[of id]
by (auto simp: nsψ'_def)
define cxs where cxs = filter (λ(n, x). n ∉ set nsψ ∧ isl x) (zip nsφ xs)
define nxs where nxs = map fst (filter (λ(n, x). n ∉ set nsψ ∧ ¬isl x) (zip nsφ xs))
define cys where cys = filter (λ(n, y). n ∉ set nsφ ∧ isl y) (zip nsψ ys)
define nys where nys = map fst (filter (λ(n, y). n ∉ set nsφ ∧ ¬isl y) (zip nsψ ys))
note ad_agr1 = fo_nmlz_eqD[OF trans[OF fsφ_def(1)[symmetric] fsψ_def(1)],
  unfolded map_merge_fsφ map_merge_fsψ]
note ad_agr2 = ad_agr_list_comm[OF ad_agr1]
obtain σxs where aux1:
  map_snd (merge (zip nsφ xs) (zip nsφ' fsφ)) =
  map_snd (merge (zip (sort (nsφ @ map fst cys)) (map σxs (sort (nsφ @ map fst cys))))
    (zip nys (map σxs nys)))
  map_snd (merge (zip nsφ xs) cys) = map σxs (sort (nsφ @ map fst cys))
  map σxs nys ∈ nall_tuples_rec {}
  (card (Inr - ' set (map σxs (sort (nsφ @ map fst cys))))) (length nys)
using eval_conj_set_aux[OF nsφ'_def nsψ'_def Xφ_def Xψ_def distinct cxs_def nxs_def
  cys_def nys_def xs_ys_def(1,3) σxs_def σys_def fsφ_def(2) fsψ_def(2) ad_agr2]
by blast
obtain σys where aux2:
  map_snd (merge (zip nsψ ys) (zip nsψ' fsψ)) =
  map_snd (merge (zip (sort (nsψ @ map fst cxs)) (map σys (sort (nsψ @ map fst cxs))))
    (zip nxs (map σys nxs)))
  map_snd (merge (zip nsψ ys) cxs) = map σys (sort (nsψ @ map fst cxs))
  map σys nxs ∈ nall_tuples_rec {}
  (card (Inr - ' set (map σys (sort (nsψ @ map fst cxs))))) (length nxs)
using eval_conj_set_aux[OF nsψ'_def nsφ'_def Xψ_def Xφ_def distinct(2,1) cys_def nys_def
  cxs_def nxs_def xs_ys_def(3,1) σys_def σxs_def fsψ_def(2) fsφ_def(2) ad_agr1]
by blast
have vs_ext_nys: vs ∈ fo_nmlz AD ' ext_tuple {} (sort (nsφ @ map fst cys)) nys
  (map_snd (merge (zip nsφ xs) cys))
using aux1(3)
unfolding fsφ_def(1) aux1(1)
by (simp add: ext_tuple_eq[OF length_map[symmetric]] aux1(2))
have vs_ext_nxs: vs ∈ fo_nmlz AD ' ext_tuple {} (sort (nsψ @ map fst cxs)) nxs
  (map_snd (merge (zip nsψ ys) cxs))
using aux2(3)
unfolding fsψ_def(1) aux2(1)
by (simp add: ext_tuple_eq[OF length_map[symmetric]] aux2(2))
show vs ∈ eval_conj_set AD nsφ Xφ nsψ Xψ
using vs_ext_nys vs_ext_nxs xs_ys_def(1,3)
by (auto simp: eval_conj_set_def eval_conj_tuple_def nys_def cys_def nxs_def cxs_def Let_def)
next
fix vs
assume vs ∈ eval_conj_set AD nsφ Xφ nsψ Xψ
then obtain xs ys cxs nxs cys nys where
  cxs_def: cxs = filter (λ(n, x). n ∉ set nsψ ∧ isl x) (zip nsφ xs) and
  nxs_def: nxs = map fst (filter (λ(n, x). n ∉ set nsψ ∧ ¬isl x) (zip nsφ xs)) and
  cys_def: cys = filter (λ(n, y). n ∉ set nsφ ∧ isl y) (zip nsψ ys) and
  nys_def: nys = map fst (filter (λ(n, y). n ∉ set nsφ ∧ ¬isl y) (zip nsψ ys)) and
  xs_def: xs ∈ Xφ vs ∈ fo_nmlz AD ' ext_tuple {} (sort (nsφ @ map fst cys)) nys
  (map_snd (merge (zip nsφ xs) cys)) and

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ys_def: ys ∈ Xψ vs ∈ fo_nmlz AD ‘ ext_tuple {} (sort (nsψ @ map fst cxs)) nxs
(map snd (merge (zip nsψ ys) cxs))
  by (auto simp: eval_conj_set_def eval_conj_tuple_def Let_def) (metis (no_types, lifting) im-
age_eqI)
have len_xs_ys: length xs = length nsφ length ys = length nsψ
  using xs_def(1) ys_def(1)
  by (auto simp: Xφ_def Xψ_def proj_vals_def fo_nmlz_length)
have len_merge_cys: length (map snd (merge (zip nsφ xs) cys)) =
length (sort (nsφ @ map fst cys))
  using merge_length[of zip nsφ xs cys] len_xs_ys
  by auto
obtain ysp where ysp_def: vs = fo_nmlz AD (map snd (merge (zip (sort (nsφ @ map fst cys))
(map snd (merge (zip nsφ xs) cys))) (zip nys ysp)))
  ysp ∈ nall_tuples_rec {} (card (Inr - ‘ set (map snd (merge (zip nsφ xs) cys))))
  (length nys)
  using xs_def(2)
  unfolding ext_tuple_eq[OF len_merge_cys[symmetric]]
  by auto
have distinct_nys: distinct (nsφ @ map fst cys @ nys)
  using distinct len_xs_ys
  by (auto simp: cys_def nys_def sorted_filter distinct_map_filter)
  (metis eq_key_imp_eq_value map_fst_zip)
obtain σxs where σxs_def: xs = map σxs nsφ map snd cys = map σxs (map fst cys)
  ysp = map σxs nys
  using exists_map[OF _ distinct_nys, of xs @ map snd cys @ ysp] len_xs_ys(1)
  nall_tuples_rec_length[OF ysp_def(2)]
  by (auto simp: nsφ'_def)
have len_merge_cxs: length (map snd (merge (zip nsψ ys) cxs)) =
length (sort (nsψ @ map fst cxs))
  using merge_length[of zip nsψ ys] len_xs_ys
  by auto
obtain xsφ where xsφ_def: vs = fo_nmlz AD (map snd (merge (zip (sort (nsψ @ map fst cxs))
(map snd (merge (zip nsψ ys) cxs))) (zip nxs xsφ)))
  xsφ ∈ nall_tuples_rec {} (card (Inr - ‘ set (map snd (merge (zip nsψ ys) cxs))))
  (length nxs)
  using ys_def(2)
  unfolding ext_tuple_eq[OF len_merge_cxs[symmetric]]
  by auto
have distinct_nxs: distinct (nsψ @ map fst cxs @ nxs)
  using distinct len_xs_ys(1)
  by (auto simp: cxs_def nxs_def sorted_filter distinct_map_filter)
  (metis eq_key_imp_eq_value map_fst_zip)
obtain σys where σys_def: ys = map σys nsψ map snd cxs = map σys (map fst cxs)
  xsφ = map σys nxs
  using exists_map[OF _ distinct_nxs, of ys @ map snd cxs @ xsφ] len_xs_ys(2)
  nall_tuples_rec_length[OF xsφ_def(2)]
  by (auto simp: nsψ'_def)
have sd_cs_ns: sorted_distinct (map fst cxs) sorted_distinct nxs
  sorted_distinct (map fst cys) sorted_distinct nys
  sorted_distinct (sort (nsψ @ map fst cxs))
  sorted_distinct (sort (nsφ @ map fst cys))
  using distinct len_xs_ys
  by (auto simp: cxs_def nxs_def cys_def nys_def sorted_filter distinct_map_filter)
have set_cs_ns_disj: set (map fst cxs) ∩ set nxs = {} set (map fst cys) ∩ set nys = {}
  set (sort (nsφ @ map fst cys)) ∩ set nys = {}
  set (sort (nsψ @ map fst cxs)) ∩ set nxs = {}
  using distinct nth_eq_iff_index_eq
  by (auto simp: cxs_def nxs_def cys_def nys_def set_zip) blast+

```



```

have merge_sort_cxs: map snd (merge (zip nsψ ys) cxs) = map σys (sort (nsψ @ map fst cxs))
  unfolding σys_def(1)
  apply (subst zip_map_fst_snd[of cxs, symmetric])
  unfolding σys_def(2)
  apply (rule merge_map)
  using distinct(2) sd_cs_ns
  by (auto simp: cxs_def)
have merge_sort_cys: map snd (merge (zip nsφ xs) cys) = map σxs (sort (nsφ @ map fst cys))
  unfolding σxs_def(1)
  apply (subst zip_map_fst_snd[of cys, symmetric])
  unfolding σxs_def(2)
  apply (rule merge_map)
  using distinct(1) sd_cs_ns
  by (auto simp: cys_def)
have set_nsφ': set nsφ' = set (map fst cys) ∪ set nys
  using len_xs_ys(2)
  by (auto simp: nsφ'_def cys_def nys_def dest: set_zip_leftD)
  (metis (no_types, lifting) image_eqI in_set_impl_in_set_zip1 mem_Collect_eq
    prod.sel(1) split_conv)
have sort_sort_nys: sort (sort (nsφ @ map fst cys) @ nys) = sort (nsφ @ nsφ')
  apply (rule sorted_distinct_set_unique)
  using distinct sd_cs_ns set_cs_ns_disj set_nsφ'
  by (auto simp: cys_def nys_def nsφ'_def dest: set_zip_leftD)
have set_nsψ': set nsψ' = set (map fst cxs) ∪ set nxs
  using len_xs_ys(1)
  by (auto simp: nsψ'_def cxs_def nxs_def dest: set_zip_leftD)
  (metis (no_types, lifting) image_eqI in_set_impl_in_set_zip1 mem_Collect_eq
    prod.sel(1) split_conv)
have sort_sort_nxs: sort (sort (nsψ @ map fst cxs) @ nxs) = sort (nsψ @ nsψ')
  apply (rule sorted_distinct_set_unique)
  using distinct sd_cs_ns set_cs_ns_disj set_nsψ'
  by (auto simp: cxs_def nxs_def nsψ'_def dest: set_zip_leftD)
have ad_agr1: ad_agr_list AD (map σys (sort (nsψ @ nsψ'))) (map σxs (sort (nsφ @ nsφ')))
  using fo_nmlz_eqD[OF trans[OF xsφ_def(1)[symmetric] ysψ_def(1)]]
  unfolding σxs_def(3) σys_def(3) merge_sort_cxs merge_sort_cys
  unfolding merge_map[OF sd_cs_ns(5) sd_cs_ns(2) set_cs_ns_disj(4)]
  unfolding merge_map[OF sd_cs_ns(6) sd_cs_ns(4) set_cs_ns_disj(3)]
  unfolding sort_sort_nxs sort_sort_nys .
note ad_agr2 = ad_agr_list_comm[OF ad_agr1]
have Inl_set_AD: Inl - ' (set (map snd cxs) ∪ set xsφ) ⊆ AD
  Inl - ' (set (map snd cys) ∪ set ysψ) ⊆ AD
  using xs_def(1) nall_tuples_rec_Inl[OF xsφ_def(2)] ys_def(1)
  nall_tuples_rec_Inl[OF ysψ_def(2)] fo_nmlz_set[of AD]
  by (fastforce simp: cxs_def Xφ_def cys_def Xψ_def dest!: set_zip_rightD)+
note aux1 = eval_conj_set_aux'[OF nsφ'_def nsψ'_def Xφ_def Xψ_def distinct cxs_def nxs_def
  cys_def nys_def xs_def(1) ys_def(1) σxs_def σys_def refl refl
  ysψ_def(2)[unfolded σxs_def(3) merge_sort_cys] Inl_set_AD ad_agr1]
note aux2 = eval_conj_set_aux'[OF nsψ'_def nsφ'_def Xψ_def Xφ_def distinct(2,1) cys_def
  nys_def
  cxs_def nxs_def ys_def(1) xs_def(1) σys_def σxs_def refl refl
  xsφ_def(2)[unfolded σys_def(3) merge_sort_cxs] Inl_set_AD(2,1) ad_agr2]
show vs ∈ fo_nmlz AD ' ∪ (ext_tuple AD nsφ nsφ' ' Xφ) ∩
  fo_nmlz AD ' ∪ (ext_tuple AD nsψ nsψ' ' Xψ)
  using xs_def(1) ys_def(1) ysψ_def(1) xsφ_def(1) aux1(3) aux2(3)
  ext_tuple_eq[OF len_xs_ys(1)[symmetric], of AD nsφ]
  ext_tuple_eq[OF len_xs_ys(2)[symmetric], of AD nsψ]
  unfolding aux1(2) aux2(2) σys_def(3) σxs_def(3) aux1(1)[symmetric] aux2(1)[symmetric]
  by blast

```

qed
qed

lemma *esat_exists_not_fv*: $n \notin \text{fv_fo_fmla } \varphi \implies X \neq \{\} \implies \text{esat } (\text{Exists } n \varphi) \text{ } I \sigma X \longleftrightarrow \text{esat } \varphi \text{ } I \sigma X$

proof (*rule iffI*)

assume *assms*: $n \notin \text{fv_fo_fmla } \varphi \text{ esat } (\text{Exists } n \varphi) \text{ } I \sigma X$

then obtain *x* **where** $\text{esat } \varphi \text{ } I (\sigma(n := x)) X$

by *auto*

with *assms*(1) **show** $\text{esat } \varphi \text{ } I \sigma X$

using *esat_fv_cong*[*of* $\varphi \sigma \sigma(n := x)$] **by** *fastforce*

next

assume *assms*: $n \notin \text{fv_fo_fmla } \varphi X \neq \{\} \text{ esat } \varphi \text{ } I \sigma X$

from *assms*(2) **obtain** *x* **where** *x_def*: $x \in X$

by *auto*

with *assms*(1,3) **have** $\text{esat } \varphi \text{ } I (\sigma(n := x)) X$

using *esat_fv_cong*[*of* $\varphi \sigma \sigma(n := x)$] **by** *fastforce*

with *x_def* **show** $\text{esat } (\text{Exists } n \varphi) \text{ } I \sigma X$

by *auto*

qed

lemma *esat_forall_not_fv*: $n \notin \text{fv_fo_fmla } \varphi \implies X \neq \{\} \implies$

$\text{esat } (\text{Forall } n \varphi) \text{ } I \sigma X \longleftrightarrow \text{esat } \varphi \text{ } I \sigma X$

using *esat_exists_not_fv*[*of* $n \text{ Neg } \varphi X I \sigma$]

by *auto*

lemma *proj_sat_vals*: $\text{proj_sat } \varphi \text{ } I =$

$\text{proj_vals } \{\sigma. \text{sat } \varphi \text{ } I \sigma\} (\text{fv_fo_fmla_list } \varphi)$

by (*auto simp: proj_sat_def proj_vals_def*)

lemma *fv_fo_fmla_list_Pred*: $\text{remdups_adj } (\text{sort } (\text{fv_fo_terms_list } ts)) = \text{fv_fo_terms_list } ts$

unfolding *fv_fo_terms_list_def*

by (*simp add: distinct_remdups_adj_sort remdups_adj_distinct sorted_sort_id*)

lemma *ad_agr_list_fv_list'*: $\bigcup (\text{set } (\text{map } \text{set_fo_term } ts)) \subseteq X \implies$

$\text{ad_agr_list } X (\text{map } \sigma (\text{fv_fo_terms_list } ts)) (\text{map } \tau (\text{fv_fo_terms_list } ts)) \implies$

$\text{ad_agr_list } X (\sigma \odot e \text{ } ts) (\tau \odot e \text{ } ts)$

proof (*induction ts*)

case (*Cons t ts*)

have *IH*: $\text{ad_agr_list } X (\sigma \odot e \text{ } ts) (\tau \odot e \text{ } ts)$

using *Cons*

by (*auto simp: ad_agr_list_def ad_equiv_list_link[symmetric] fv_fo_terms_set_list fv_fo_terms_set_def sp_equiv_list_link sp_equiv_def pairwise_def*) *blast+*

have *ad_equiv*: $\bigwedge i. i \in \text{fv_fo_term_set } t \cup \bigcup (\text{fv_fo_term_set ' set } ts) \implies$

$\text{ad_equiv_pair } X (\sigma \text{ } i, \tau \text{ } i)$

using *Cons*(3)

by (*auto simp: ad_agr_list_def ad_equiv_list_link[symmetric] fv_fo_terms_set_list fv_fo_terms_set_def*)

have *sp_equiv*: $\bigwedge i \text{ } j. i \in \text{fv_fo_term_set } t \cup \bigcup (\text{fv_fo_term_set ' set } ts) \implies$

$j \in \text{fv_fo_term_set } t \cup \bigcup (\text{fv_fo_term_set ' set } ts) \implies \text{sp_equiv_pair } (\sigma \text{ } i, \tau \text{ } i) (\sigma \text{ } j, \tau \text{ } j)$

using *Cons*(3)

by (*auto simp: ad_agr_list_def sp_equiv_list_link fv_fo_terms_set_list fv_fo_terms_set_def sp_equiv_def pairwise_def*)

show *?case*

proof (*cases t*)

case (*Const c*)

show *?thesis*

using *IH Cons*(2)

```

    apply (auto simp: ad_agr_list_def eval_eterms_def ad_equiv_list_def Const
      sp_equiv_list_def pairwise_def set_zip)
  unfolding ad_equiv_pair.simps
    apply (metis nth_map rev_image_eqI)+
  done
next
case (Var n)
note t_def = Var
have ad: ad_equiv_pair X (σ n, τ n)
  using ad_equiv
  by (auto simp: Var)
have  $\bigwedge y. y \in \text{set } (\text{zip } (\text{map } ((\cdot)e) \sigma) ts) (\text{map } ((\cdot)e) \tau) ts) \implies y \neq (\sigma n, \tau n) \implies$ 
  sp_equiv_pair (σ n, τ n) y  $\wedge$  sp_equiv_pair y (σ n, τ n)
proof -
  fix y
  assume y ∈ set (zip (map ((·)e) σ) ts) (map ((·)e) τ) ts)
  then obtain t' where y_def: t' ∈ set ts y = (σ · e t', τ · e t')
    using nth_mem
    by (auto simp: set_zip) blast
  show sp_equiv_pair (σ n, τ n) y  $\wedge$  sp_equiv_pair y (σ n, τ n)
  proof (cases t')
    case (Const c')
    have c'_X: c' ∈ X
      using Cons(2) y_def(1)
      by (auto simp: Const) (meson SUP_le_iff fo_term.set_intros subsetD)
    then show ?thesis
      using ad_equiv[of n] y_def(1)
      unfolding y_def
      apply (auto simp: Const t_def)
      unfolding ad_equiv_pair.simps
      apply fastforce+
      apply force
      apply (metis rev_image_eqI)
    done
  next
  case (Var n')
  show ?thesis
    using sp_equiv[of n n'] y_def(1)
    unfolding y_def
    by (fastforce simp: t_def Var)
  qed
qed
then show ?thesis
  using IH Cons(3)
  by (auto simp: ad_agr_list_def eval_eterms_def ad_equiv_list_def Var ad sp_equiv_list_def
    pairwise_insert)
qed
qed (auto simp: eval_eterms_def ad_agr_list_def ad_equiv_list_def sp_equiv_list_def)

lemma ext_tuple_ad_agr_close:
  assumes Sφ_def: Sφ ≡ {σ. esat φ I σ UNIV}
  and AD_sub: act_edom φ I ⊆ ADφ ADφ ⊆ AD
  and Xφ_def: Xφ = fv_nmlz ADφ ' proj_vals Sφ (fv_fo_fmula_list φ)
  and nsφ'_def: nsφ' = filter (λn. n ∉ fv_fo_fmula φ) nsψ
  and sd_nsψ: sorted_distinct nsψ
  and fv_Un: fv_fo_fmula ψ = fv_fo_fmula φ ∪ set nsψ
  shows ext_tuple_set AD (fv_fo_fmula_list φ) nsφ' (ad_agr_close_set (AD - ADφ) Xφ) =
    fv_nmlz AD ' proj_vals Sφ (fv_fo_fmula_list ψ)

```

```

    ad_agr_close_set (AD - AD $\varphi$ ) X $\varphi$  = fo_nmlz AD ' proj_vals S $\varphi$  (fv_fo_fmula_list  $\varphi$ )
  proof -
    have ad_agr_ $\varphi$ :
       $\bigwedge \sigma \tau. \text{ad\_agr\_sets } (\text{set } (\text{fv\_fo\_fmula\_list } \varphi)) (\text{set } (\text{fv\_fo\_fmula\_list } \varphi)) \text{ AD } \sigma \tau \implies$ 
       $\sigma \in S\varphi \longleftrightarrow \tau \in S\varphi$ 
    using esat_UNIV_cong[OF ad_agr_sets_restrict, OF _ subset_refl] ad_agr_sets_mono AD_sub
    unfolding S $\varphi$ _def
    by blast
  show ad_close_alt: ad_agr_close_set (AD - AD $\varphi$ ) X $\varphi$  = fo_nmlz AD ' proj_vals S $\varphi$  (fv_fo_fmula_list
 $\varphi$ )
    using ad_agr_close_correct[OF AD_sub(2) ad_agr_ $\varphi$ ] AD_sub(2)
    unfolding X $\varphi$ _def S $\varphi$ _def[symmetric] proj_fmula_def
    by (auto simp: ad_agr_close_set_def Set.is_empty_def)
  have fv_ $\varphi$ : set (fv_fo_fmula_list  $\varphi$ )  $\subseteq$  set (fv_fo_fmula_list  $\psi$ )
    using fv_Un
    by (auto simp: fv_fo_fmula_list_set)
  have sd_ns $\varphi'$ : sorted_distinct ns $\varphi'$ 
    using sd_ns $\psi$  sorted_filter[of id]
    by (auto simp: ns $\varphi'$ _def)
  show ext_tuple_set AD (fv_fo_fmula_list  $\varphi$ ) ns $\varphi'$  (ad_agr_close_set (AD - AD $\varphi$ ) X $\varphi$ ) =
    fo_nmlz AD ' proj_vals S $\varphi$  (fv_fo_fmula_list  $\psi$ )
    apply (rule ext_tuple_correct)
    using sorted_distinct_fv_list ad_close_alt ad_agr_ $\varphi$  ad_agr_sets_mono[OF AD_sub(2)]
    fv_Un sd_ns $\varphi'$ 
    by (fastforce simp: ns $\varphi'$ _def fv_fo_fmula_list_set)+
  qed

```

lemma proj_ext_tuple:

```

  assumes S $\varphi$ _def: S $\varphi$   $\equiv$  { $\sigma. \text{esat } \varphi \text{ I } \sigma \text{ UNIV}$ }
  and AD_sub: act_edom  $\varphi \text{ I } \subseteq \text{AD}$ 
  and X $\varphi$ _def: X $\varphi$  = fo_nmlz AD ' proj_vals S $\varphi$  (fv_fo_fmula_list  $\varphi$ )
  and ns $\varphi'$ _def: ns $\varphi'$  = filter ( $\lambda n. n \notin \text{fv\_fo\_fmula } \varphi$ ) ns $\psi$ 
  and sd_ns $\psi$ : sorted_distinct ns $\psi$ 
  and fv_Un: fv_fo_fmula  $\psi$  = fv_fo_fmula  $\varphi \cup \text{set } \text{ns}\psi$ 
  and Z_props:  $\bigwedge xs. xs \in Z \implies \text{fo\_nmlz AD } xs = xs \wedge \text{length } xs = \text{length } (\text{fv\_fo\_fmula\_list } \psi)$ 
  shows Z  $\cap$  ext_tuple_set AD (fv_fo_fmula_list  $\varphi$ ) ns $\varphi'$  X $\varphi$  =
    { $xs \in Z. \text{fo\_nmlz AD } (\text{proj\_tuple } (\text{fv\_fo\_fmula\_list } \varphi) (\text{zip } (\text{fv\_fo\_fmula\_list } \psi) xs)) \in X\varphi$ }
    Z - ext_tuple_set AD (fv_fo_fmula_list  $\varphi$ ) ns $\varphi'$  X $\varphi$  =
    { $xs \in Z. \text{fo\_nmlz AD } (\text{proj\_tuple } (\text{fv\_fo\_fmula\_list } \varphi) (\text{zip } (\text{fv\_fo\_fmula\_list } \psi) xs)) \notin X\varphi$ }

```

```

  proof -
    have ad_agr_ $\varphi$ :
       $\bigwedge \sigma \tau. \text{ad\_agr\_sets } (\text{set } (\text{fv\_fo\_fmula\_list } \varphi)) (\text{set } (\text{fv\_fo\_fmula\_list } \varphi)) \text{ AD } \sigma \tau \implies$ 
       $\sigma \in S\varphi \longleftrightarrow \tau \in S\varphi$ 
    using esat_UNIV_cong[OF ad_agr_sets_restrict, OF _ subset_refl] ad_agr_sets_mono AD_sub
    unfolding S $\varphi$ _def
    by blast
  have sd_ns $\varphi'$ : sorted_distinct ns $\varphi'$ 
    using sd_ns $\psi$  sorted_filter[of id]
    by (auto simp: ns $\varphi'$ _def)
  have disj: set (fv_fo_fmula_list  $\varphi$ )  $\cap$  set ns $\varphi'$  = {}
    by (auto simp: ns $\varphi'$ _def fv_fo_fmula_list_set)
  have Un: set (fv_fo_fmula_list  $\varphi$ )  $\cup$  set ns $\varphi'$  = set (fv_fo_fmula_list  $\psi$ )
    using fv_Un
    by (auto simp: ns $\varphi'$ _def fv_fo_fmula_list_set)
  note proj = proj_tuple_correct[OF sorted_distinct_fv_list sd_ns $\varphi'$  sorted_distinct_fv_list
    disj Un X $\varphi$ _def ad_agr_ $\varphi$ , simplified]
  have fo_nmlz AD ' X $\varphi$  = X $\varphi$ 
    using fo_nmlz_idem[OF fo_nmlz_sound]

```

```

    by (auto simp: X $\varphi$ _def image_iff)
  then have aux: ext_tuple_set AD (fv_fo_fmula_list  $\varphi$ ) ns $\varphi'$  X $\varphi$  = fo_nmlz AD '  $\bigcup$  (ext_tuple AD
(fv_fo_fmula_list  $\varphi$ ) ns $\varphi'$  ' X $\varphi$ )
    by (auto simp: ext_tuple_set_def ext_tuple_def)
  show Z  $\cap$  ext_tuple_set AD (fv_fo_fmula_list  $\varphi$ ) ns $\varphi'$  X $\varphi$  =
    {xs  $\in$  Z. fo_nmlz AD (proj_tuple (fv_fo_fmula_list  $\varphi$ ) (zip (fv_fo_fmula_list  $\psi$ ) xs))  $\in$  X $\varphi$ }
    using Z_props proj
    by (auto simp: aux)
  show Z - ext_tuple_set AD (fv_fo_fmula_list  $\varphi$ ) ns $\varphi'$  X $\varphi$  =
    {xs  $\in$  Z. fo_nmlz AD (proj_tuple (fv_fo_fmula_list  $\varphi$ ) (zip (fv_fo_fmula_list  $\psi$ ) xs))  $\notin$  X $\varphi$ }
    using Z_props proj
    by (auto simp: aux)
qed

```

```

lemma fo_nmlz_proj_sub: fo_nmlz AD ' proj_fmula  $\varphi$  R  $\subseteq$  nall_tuples AD (nfv  $\varphi$ )
  by (auto simp: proj_fmula_map fo_nmlz_length fo_nmlz_sound nfv_def
    intro: nall_tuplesI)

```

```

lemma fin_ad_agr_list_iff:
  fixes AD :: ('a :: infinite) set
  assumes finite AD  $\wedge$  vs. vs  $\in$  Z  $\implies$  length vs = n
    Z = {ts.  $\exists$  ts'  $\in$  X. ad_agr_list AD (map Inl ts) ts'}
  shows finite Z  $\longleftrightarrow$   $\bigcup$  (set ' Z)  $\subseteq$  AD
proof (rule iffI, rule ccontr)
  assume fin: finite Z
  assume  $\neg \bigcup$  (set ' Z)  $\subseteq$  AD
  then obtain  $\sigma$  i vs where  $\sigma\_def$ : map  $\sigma$  [0.. $n$ ]  $\in$  Z i < n  $\sigma$  i  $\notin$  AD vs  $\in$  X
    ad_agr_list AD (map (Inl  $\circ$   $\sigma$ ) [0.. $n$ ]) vs
    using assms(2)
    by (auto simp: assms(3) in_set_conv_nth) (metis map_map map_nth)
  define Y where Y  $\equiv$  AD  $\cup$   $\sigma$  ' {0.. $n$ }
  have inf_UNIV_Y: infinite (UNIV - Y)
    using assms(1)
    by (auto simp: Y_def infinite_UNIV)
  have  $\bigwedge$  y. y  $\notin$  Y  $\implies$  map (( $\lambda$ z. if z =  $\sigma$  i then y else z)  $\circ$   $\sigma$ ) [0.. $n$ ]  $\in$  Z
    using  $\sigma\_def$ (3)
    by (auto simp: assms(3) intro!: bexI[OF  $\sigma\_def$ (4)] ad_agr_list_trans[OF  $\sigma\_def$ (5)])
    (auto simp: ad_agr_list_def ad_equiv_list_def set_zip Y_def ad_equiv_pair.simps
      sp_equiv_list_def pairwise_def split: if_splits)
  then have ( $\lambda$ x'. map (( $\lambda$ z. if z =  $\sigma$  i then x' else z)  $\circ$   $\sigma$ ) [0.. $n$ ]) '
    (UNIV - Y)  $\subseteq$  Z
    by auto
  moreover have inj ( $\lambda$ x'. map (( $\lambda$ z. if z =  $\sigma$  i then x' else z)  $\circ$   $\sigma$ ) [0.. $n$ ])
    using  $\sigma\_def$ (2)
    by (auto simp: inj_def)
  ultimately show False
    using inf_UNIV_Y fin
    by (meson inj_on_diff inj_on_finite)
next
  assume  $\bigcup$  (set ' Z)  $\subseteq$  AD
  then have Z  $\subseteq$  all_tuples AD n
    using assms(2)
    by (auto intro: all_tuplesI)
  then show finite Z
    using all_tuples_finite[OF assms(1)] finite_subset
    by auto
qed

```

```

lemma proj_out_list:
  fixes AD :: ('a :: infinite) set
    and  $\sigma :: \text{nat} \Rightarrow 'a + \text{nat}$ 
    and ns :: nat list
  assumes finite AD
  shows  $\exists \tau. \text{ad\_agr\_list } AD (\text{map } \sigma \text{ ns}) (\text{map } (Inl \circ \tau) \text{ ns}) \wedge$ 
     $(\forall j \ x. j \in \text{set } ns \longrightarrow \sigma \ j = Inl \ x \longrightarrow \tau \ j = x)$ 
proof -
  have fin: finite (AD  $\cup$  Inl -' set (map  $\sigma$  ns))
    using assms(1) finite_Inl[OF finite_set]
    by blast
  obtain f where f_def: inj (f ::  $\text{nat} \Rightarrow 'a$ )
    range f  $\subseteq UNIV - (AD \cup Inl -' \text{set } (\text{map } \sigma \text{ ns}))$ 
    using arb_countable_map[OF fin]
    by auto
  define  $\tau$  where  $\tau = \text{case\_sum } id \ f \circ \sigma$ 
  have f_out:  $\bigwedge i \ x. i < \text{length } ns \implies \sigma \ (ns \ ! \ i) = Inl \ (f \ x) \implies \text{False}$ 
    using f_def(2)
    by (auto simp: vimage_def)
    (metis (no_types, lifting) DiffE UNIV_I UnCI imageI image_subset_iff mem_Collect_eq nth_mem)
  have ad_agr_list AD (map  $\sigma$  ns) (map (Inl  $\circ$   $\tau$ ) ns)
    apply (auto simp: ad_agr_list_def ad_equiv_list_def)
    subgoal for a b
      using f_def(2)
      by (auto simp: set_zip  $\tau$ _def ad_equiv_pair.simps split: sum.splits) +
    using f_def(1) f_out
    apply (auto simp: sp_equiv_list_def pairwise_def set_zip  $\tau$ _def inj_def split: sum.splits) +
    done
  then show ?thesis
    by (auto simp:  $\tau$ _def intro!: exI[of _  $\tau$ ])
qed

lemma proj_out:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmla}$ 
    and J :: (('a, nat) fo_t, 'b) fo_intp
  assumes wf_fo_intp  $\varphi$  I esat  $\varphi$  I  $\sigma$  UNIV
  shows  $\exists \tau. \text{esat } \varphi \ I \ (Inl \circ \tau) \ UNIV \wedge (\forall i \ x. i \in \text{fv\_fo\_fmla } \varphi \wedge \sigma \ i = Inl \ x \longrightarrow \tau \ i = x) \wedge$ 
    ad_agr_list (act_edom  $\varphi \ I$ ) (map  $\sigma$  (fv_fo_fmla_list  $\varphi$ )) (map (Inl  $\circ$   $\tau$ ) (fv_fo_fmla_list  $\varphi$ ))
  using proj_out_list[OF finite_act_edom[OF assms(1)], of  $\sigma$  fv_fo_fmla_list  $\varphi$ ]
    esat_UNIV_ad_agr_list[OF _ subset_refl] assms(2)
  unfolding fv_fo_fmla_list_set
  by fastforce

lemma proj_fmla_esat_sat:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmla}$ 
    and J :: (('a, nat) fo_t, 'b) fo_intp
  assumes wf: wf_fo_intp  $\varphi$  I
  shows proj_fmla  $\varphi$   $\{\sigma. \text{esat } \varphi \ I \ \sigma \ UNIV\} \cap \text{map } Inl \text{ ' } UNIV =$ 
    map Inl ' proj_fmla  $\varphi$   $\{\sigma. \text{sat } \varphi \ I \ \sigma\}$ 
  unfolding sat_esat_conv[OF wf]
proof (rule set_eqI, rule iffI)
  fix vs
  assume vs  $\in \text{proj\_fmla } \varphi \ \{\sigma. \text{esat } \varphi \ I \ \sigma \ UNIV\} \cap \text{map } Inl \text{ ' } UNIV$ 
  then obtain  $\sigma$  where  $\sigma\_def$ : vs = map  $\sigma$  (fv_fo_fmla_list  $\varphi$ ) esat  $\varphi \ I \ \sigma \ UNIV$ 
    set vs  $\subseteq \text{range } Inl$ 
    by (auto simp: proj_fmla_map) (metis image_subset_iff list.set_map range_eqI)
  obtain  $\tau$  where  $\tau\_def$ : esat  $\varphi \ I \ (Inl \circ \tau) \ UNIV$ 
     $\bigwedge i \ x. i \in \text{fv\_fo\_fmla } \varphi \implies \sigma \ i = Inl \ x \implies \tau \ i = x$ 

```

```

    using proj_out[OF assms  $\sigma\_def(2)$ ]
  by fastforce
have vs = map (Inl  $\circ$   $\tau$ ) (fv_fo_fmula_list  $\varphi$ )
  using  $\sigma\_def(1,3)$   $\tau\_def(2)$ 
  by (auto simp: fv_fo_fmula_list_set)
then show vs  $\in$  map Inl 'proj_fmula  $\varphi$  { $\sigma$ . esat  $\varphi$  I (Inl  $\circ$   $\sigma$ ) UNIV}
  using  $\tau\_def(1)$ 
  by (force simp: proj_fmula_map)
qed (auto simp: proj_fmula_map)

lemma norm_proj_fmula_esat_sat:
  fixes  $\varphi :: ('a :: infinite, 'b) \text{fo\_fmula}$ 
  assumes wf_fo_intp  $\varphi$  I
  shows fo_nmlz (act_edom  $\varphi$  I) 'proj_fmula  $\varphi$  { $\sigma$ . esat  $\varphi$  I  $\sigma$  UNIV} =
    fo_nmlz (act_edom  $\varphi$  I) 'map Inl 'proj_fmula  $\varphi$  { $\sigma$ . sat  $\varphi$  I  $\sigma$ }
  unfolding proj_fmula_esat_sat[OF assms, symmetric]
  apply (auto simp: image_iff proj_fmula_map)
  subgoal for  $\sigma$ 
    using proj_out[OF assms, of  $\sigma$ ]
  apply auto
  subgoal for  $\tau$ 
    by (auto intro!: bexI[of _ map (Inl  $\circ$   $\tau$ ) (fv_fo_fmula_list  $\varphi$ )] fo_nmlz_eqI)
    (metis map_map range_eqI)
  done
done

lemma proj_sat_fmula: proj_sat  $\varphi$  I = proj_fmula  $\varphi$  { $\sigma$ . sat  $\varphi$  I  $\sigma$ }
  by (auto simp: proj_sat_def proj_fmula_map)

fun fo_wf :: ('a, 'b) fo_fmula  $\Rightarrow$  ('b  $\times$  nat  $\Rightarrow$  'a list set)  $\Rightarrow$  ('a, nat) fo_t  $\Rightarrow$  bool where
  fo_wf  $\varphi$  I (AD, n, X)  $\longleftrightarrow$  finite AD  $\wedge$  finite X  $\wedge$  n = nfv  $\varphi$   $\wedge$ 
    wf_fo_intp  $\varphi$  I  $\wedge$  AD = act_edom  $\varphi$  I  $\wedge$  fo_rep (AD, n, X) = proj_sat  $\varphi$  I  $\wedge$ 
    Inl - '  $\bigcup$  (set ' X)  $\subseteq$  AD  $\wedge$  ( $\forall$  vs  $\in$  X. fo_nmlzd AD vs  $\wedge$  length vs = n)

fun fo_fin :: ('a, nat) fo_t  $\Rightarrow$  bool where
  fo_fin (AD, n, X)  $\longleftrightarrow$  ( $\forall$  x  $\in$   $\bigcup$  (set ' X). isl x)

lemma fo_rep_fin:
  assumes fo_wf  $\varphi$  I (AD, n, X) fo_fin (AD, n, X)
  shows fo_rep (AD, n, X) = map projl ' X
proof (rule set_eqI, rule iffI)
  fix vs
  assume vs  $\in$  fo_rep (AD, n, X)
  then obtain xs where xs_def: xs  $\in$  X ad_agr_list AD (map Inl vs) xs
    by auto
  obtain zs where zs_def: xs = map Inl zs
    using xs_def(1) assms
    by auto (meson ex_map_conv isl_def)
  have set zs  $\subseteq$  AD
    using assms(1) xs_def(1) zs_def
    by (force simp: vimage_def)
  then have vs_zs: vs = zs
    using xs_def(2)
    unfolding zs_def
    by (fastforce simp: ad_agr_list_def ad_equiv_list_def set_zip ad_equiv_pair.simps
      intro!: nth_equalityI)
  show vs  $\in$  map projl ' X
    using xs_def(1) zs_def

```

```

    by (auto simp: image_iff comp_def vs_zs intro!: beXI[of _ map Inl zs])
next
fix vs
assume vs ∈ map projl 'X
then obtain xs where xs_def: xs ∈ X vs = map projl xs
    by auto
have xs_map_Inl: xs = map Inl vs
    using assms xs_def
    by (auto simp: map_idl)
show vs ∈ fo_rep (AD, n, X)
    using xs_def(1)
    by (auto simp: xs_map_Inl intro!: beXI[of _ xs] ad_agr_list_refl)
qed

definition eval_abs :: ('a, 'b) fo_fmula ⇒ ('a table, 'b) fo_intp ⇒ ('a, nat) fo_t where
    eval_abs φ I = (act_edom φ I, nfv φ, fo_nmlz (act_edom φ I) 'proj_fmula φ {σ. esat φ I σ UNIV})

lemma map_projl_Inl: map projl (map Inl xs) = xs
    by (metis (mono_tags, lifting) length_map nth_equalityI nth_map sum.sel(1))

lemma fo_rep_eval_abs:
    fixes φ :: ('a :: infinite, 'b) fo_fmula
    assumes wf_fo_intp φ I
    shows fo_rep (eval_abs φ I) = proj_sat φ I
proof -
    obtain AD n X where AD_X_def: eval_abs φ I = (AD, n, X) AD = act_edom φ I
        n = nfv φ X = fo_nmlz (act_edom φ I) 'proj_fmula φ {σ. esat φ I σ UNIV}
        by (cases eval_abs φ I) (auto simp: eval_abs_def)
    have AD_sub: act_edom φ I ⊆ AD
        by (auto simp: AD_X_def)
    have X_def: X = fo_nmlz AD 'map Inl 'proj_fmula φ {σ. sat φ I σ}
        using AD_X_def norm_proj_fmula_esat_sat[OF assms]
        by auto
    have {ts. ∃ ts' ∈ X. ad_agr_list AD (map Inl ts) ts'} = proj_fmula φ {σ. sat φ I σ}
proof (rule set_eqI, rule iffI)
    fix vs
    assume vs ∈ {ts. ∃ ts' ∈ X. ad_agr_list AD (map Inl ts) ts'}
    then obtain vs' where vs'_def: vs' ∈ proj_fmula φ {σ. sat φ I σ}
        ad_agr_list AD (map Inl vs) (fo_nmlz AD (map Inl vs'))
        using X_def
        by auto
    have length vs = length (fv_fo_fmula_list φ)
        using vs'_def
        by (auto simp: proj_fmula_map ad_agr_list_def fo_nmlz_length)
    then obtain σ where σ_def: vs = map σ (fv_fo_fmula_list φ)
        using exists_map[of fv_fo_fmula_list φ vs] sorted_distinct_fv_list
        by fastforce
    obtain τ where τ_def: fo_nmlz AD (map Inl vs') = map τ (fv_fo_fmula_list φ)
        using vs'_def fo_nmlz_map
        by (fastforce simp: proj_fmula_map)
    have ad_agr: ad_agr_list AD (map (Inl ∘ σ) (fv_fo_fmula_list φ)) (map τ (fv_fo_fmula_list φ))
        by (metis σ_def τ_def map_map vs'_def(2))
    obtain τ' where τ'_def: map Inl vs' = map (Inl ∘ τ') (fv_fo_fmula_list φ)
        sat φ I τ'
        using vs'_def(1)
        by (fastforce simp: proj_fmula_map)
    have ad_agr': ad_agr_list AD (map τ (fv_fo_fmula_list φ))
        (map (Inl ∘ τ') (fv_fo_fmula_list φ))

```



```

    by (rule ad_agr_list_comm) (metis fo_nmlz_ad_agr  $\tau'$ _def(1)  $\tau$ _def map_map map_projl_Inl)
  have esat: esat  $\varphi$  I  $\tau$  UNIV
    using esat_UNIV_ad_agr_list[OF ad_agr' AD_sub, folded sat_esat_conv[OF assms]]  $\tau'$ _def(2)
    by auto
  show  $vs \in \text{proj\_fmla } \varphi \{ \sigma. \text{sat } \varphi I \sigma \}$ 
    using esat_UNIV_ad_agr_list[OF ad_agr AD_sub, folded sat_esat_conv[OF assms]] esat
    unfolding  $\sigma$ _def
    by (auto simp: proj_fmla_map)
next
fix vs
assume  $vs \in \text{proj\_fmla } \varphi \{ \sigma. \text{sat } \varphi I \sigma \}$ 
then have  $vs\_X: \text{fo\_nmlz } AD (\text{map } \text{Inl } vs) \in X$ 
  using X_def
  by auto
then show  $vs \in \{ ts. \exists ts' \in X. \text{ad\_agr\_list } AD (\text{map } \text{Inl } ts) ts' \}$ 
  using fo_nmlz_ad_agr
  by auto
qed
then show ?thesis
  by (auto simp: AD_X_def proj_sat_fmla)
qed

lemma fo_wf_eval_abs:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmla}$ 
  assumes wf_fo_intp  $\varphi$  I
  shows  $\text{fo\_wf } \varphi I (\text{eval\_abs } \varphi I)$ 
  using fo_nmlz_set[of act_edom  $\varphi$  I] finite_act_edom[OF assms(1)]
    finite_subset[OF fo_nmlz_proj_sub, OF nall_tuples_finite]
    fo_rep_eval_abs[OF assms] assms
  by (auto simp: eval_abs_def fo_nmlz_sound fo_nmlz_length nfv_def proj_sat_def proj_fmla_map)
blast

lemma fo_fin:
  fixes  $t :: ('a :: \text{infinite}, \text{nat}) \text{fo\_t}$ 
  assumes fo_wf  $\varphi$  I t
  shows  $\text{fo\_fin } t = \text{finite } (\text{fo\_rep } t)$ 
proof -
  obtain AD n X where  $t\_def: t = (AD, n, X)$ 
    using assms
    by (cases t) auto
  have fin:  $\text{finite } AD \text{ finite } X$ 
    using assms
    by (auto simp: t_def)
  have  $\text{len\_in\_X}: \bigwedge vs. vs \in X \implies \text{length } vs = n$ 
    using assms
    by (auto simp: t_def)
  have  $\text{Inl\_X\_AD}: \bigwedge x. \text{Inl } x \in \bigcup (\text{set } 'X) \implies x \in AD$ 
    using assms
    by (fastforce simp: t_def)
  define Z where  $Z = \{ ts. \exists ts' \in X. \text{ad\_agr\_list } AD (\text{map } \text{Inl } ts) ts' \}$ 
  have  $\text{fin\_Z\_iff}: \text{finite } Z = (\bigcup (\text{set } 'Z) \subseteq AD)$ 
    using assms fin_ad_agr_list_iff[OF fin(1) _ Z_def, of n]
    by (auto simp: Z_def t_def ad_agr_list_def)
  moreover have  $(\bigcup (\text{set } 'Z) \subseteq AD) \longleftrightarrow (\forall x \in \bigcup (\text{set } 'X). \text{isl } x)$ 
  proof (rule iffI, rule ccontr)
    fix x
    assume  $Z\_sub\_AD: \bigcup (\text{set } 'Z) \subseteq AD$ 
    assume  $\neg(\forall x \in \bigcup (\text{set } 'X). \text{isl } x)$ 

```

```

then obtain  $vs\ i\ m$  where  $vs\_def: vs \in X\ i < n\ vs ! i = Inr\ m$ 
  using  $len\_in\_X$ 
  by (auto simp:  $in\_set\_conv\_nth$ ) (metis  $sum.collapse(2)$ )
obtain  $\sigma$  where  $\sigma\_def: vs = map\ \sigma\ [0..<n]$ 
  using  $exists\_map[of\ [0..<n]\ vs]\ len\_in\_X[OF\ vs\_def(1)]$ 
  by auto
obtain  $\tau$  where  $\tau\_def: ad\_agr\_list\ AD\ vs\ (map\ Inl\ (map\ \tau\ [0..<n]))$ 
  using  $proj\_out\_list[OF\ fin(1),\ of\ \sigma\ [0..<n]]$ 
  by (auto simp:  $\sigma\_def$ )
have  $map\_tau\_in\_Z: map\ \tau\ [0..<n] \in Z$ 
  using  $vs\_def(1)\ ad\_agr\_list\_comm[OF\ \tau\_def]$ 
  by (auto simp:  $Z\_def$ )
moreover have  $\tau\ i \notin AD$ 
  using  $\tau\_def\ vs\_def(2,3)$ 
  apply (auto simp:  $ad\_agr\_list\_def\ ad\_equiv\_list\_def\ set\_zip\ comp\_def\ \sigma\_def$ )
  unfolding  $ad\_equiv\_pair.simps$ 
  by (metis (no\_types, lifting)  $Inl\_Inr\_False\ diff\_zero\ image\_iff\ length\_upt\ nth\_map\ nth\_upt\ plus\_nat.add\_0$ )
ultimately show  $False$ 
  using  $vs\_def(2)\ Z\_sub\_AD$ 
  by fastforce
next
assume  $\forall x \in \bigcup (set\ 'X). isl\ x$ 
then show  $\bigcup (set\ 'Z) \subseteq AD$ 
  using  $Inl\_X\_AD$ 
  apply (auto simp:  $Z\_def\ ad\_agr\_list\_def\ ad\_equiv\_list\_def\ set\_zip\ in\_set\_conv\_nth$ )
  unfolding  $ad\_equiv\_pair.simps$ 
  by (metis  $image\_eqI\ isl\_def\ nth\_map\ nth\_mem$ )
qed
ultimately show ?thesis
  by (auto simp:  $t\_def\ Z\_def[symmetric]$ )
qed

lemma  $eval\_pred$ :
  fixes  $I :: 'b \times nat \Rightarrow 'a :: infinite\ list\ set$ 
  assumes  $finite\ (I\ (r,\ length\ ts))$ 
  shows  $fo\_wf\ (Pred\ r\ ts)\ I\ (eval\_pred\ ts\ (I\ (r,\ length\ ts)))$ 
proof -
  define  $\varphi$  where  $\varphi = Pred\ r\ ts$ 
  have  $nfv\_len: nfv\ \varphi = length\ (fv\_fo\_terms\_list\ ts)$ 
  by (auto simp:  $\varphi\_def\ nfv\_def\ fv\_fo\_fmla\_list\_def\ fv\_fo\_fmla\_list\_Pred$ )
  have  $vimage\_unfold: Inl\ -' (\bigcup x \in I\ (r,\ length\ ts). Inl\ ' set\ x) = \bigcup (set\ ' I\ (r,\ length\ ts))$ 
  by auto
  have  $eval\_table\ ts\ (map\ Inl\ ' I\ (r,\ length\ ts)) \subseteq nall\_tuples\ (act\_edom\ \varphi\ I)\ (nfv\ \varphi)$ 
  by (auto simp:  $\varphi\_def\ proj\_vals\_def\ eval\_table\ nfv\_len[unfolded\ \varphi\_def]$ 
     $fo\_nmlz\_length\ fo\_nmlz\_sound\ eval\_eterms\_def\ fv\_fo\_terms\_set\_list\ fv\_fo\_terms\_set\_def$ 
     $vimage\_unfold\ intro!: nall\_tuplesI\ fo\_nmlzd\_all\_AD\ dest!: fv\_fo\_term\_setD$ 
     $(smt\ UN\_I\ Un\_iff\ eval\_eterm.simps(2)\ imageE\ image\_eqI\ list.set\_map)$ )
  then have  $eval: eval\_pred\ ts\ (I\ (r,\ length\ ts)) = eval\_abs\ \varphi\ I$ 
  by (force simp:  $eval\_abs\_def\ \varphi\_def\ proj\_fmla\_def\ eval\_pred\_def\ eval\_table\ fv\_fo\_fmla\_list\_def$ 
     $fv\_fo\_fmla\_list\_Pred\ nall\_tuples\_set\ fo\_nmlz\_idem\ nfv\_len[unfolded\ \varphi\_def]$ )
  have  $fin: wf\_fo\_intp\ (Pred\ r\ ts)\ I$ 
  using  $assms$ 
  by auto
show ?thesis
  using  $fo\_wf\_eval\_abs[OF\ fin]$ 
  by (auto simp:  $eval\ \varphi\_def$ )
qed

```

```

lemma ad_agr_list_eval:  $\bigcup (\text{set } (\text{map } \text{set\_fo\_term } ts)) \subseteq AD \implies \text{ad\_agr\_list } AD (\sigma \odot_e ts) zs \implies$ 
 $\exists \tau. zs = \tau \odot_e ts$ 
proof (induction ts arbitrary: zs)
  case (Cons t ts)
    obtain w ws where zs_split:  $zs = w \# ws$ 
    using Cons(3)
    by (cases zs) (auto simp: ad_agr_list_def eval_eterms_def)
    obtain  $\tau$  where  $\tau\_def$ :  $ws = \tau \odot_e ts$ 
    using Cons
    by (fastforce simp: zs_split ad_agr_list_def ad_equiv_list_def sp_equiv_list_def pairwise_def
      eval_eterms_def)
    show ?case
    proof (cases t)
      case (Const c)
        then show ?thesis
          using Cons(3)[unfolded zs_split] Cons(2)
          unfolding Const
          apply (auto simp: zs_split eval_eterms_def  $\tau\_def$  ad_agr_list_def ad_equiv_list_def)
          unfolding ad_equiv_pair.simps
          by blast
      next
        case (Var n)
          show ?thesis
          proof (cases n  $\in$  fv_fo_terms_set ts)
            case True
              obtain i where i_def:  $i < \text{length } ts \wedge ts ! i = \text{Var } n$ 
              using True
              by (auto simp: fv_fo_terms_set_def in_set_conv_nth dest!: fv_fo_term_setD)
              have  $w = \tau n$ 
              using Cons(3)[unfolded zs_split  $\tau\_def$ ] i_def
              using pairwiseD[of sp_equiv_pair _ ( $\sigma n, w$ ) ( $\sigma \cdot_e (ts ! i), \tau \cdot_e (ts ! i)$ )]
              by (force simp: Var eval_eterms_def ad_agr_list_def sp_equiv_list_def set_zip)
              then show ?thesis
                by (auto simp: Var zs_split eval_eterms_def  $\tau\_def$ )
            next
              case False
                then have  $ws = (\tau(n := w)) \odot_e ts$ 
                using eval_eterms_cong[of ts  $\tau$   $\tau(n := w)$ ]  $\tau\_def$ 
                by fastforce
                then show ?thesis
                  by (auto simp: zs_split eval_eterms_def Var fun_upd_def intro: exI[of _  $\tau(n := w)$ ])
          qed
        qed
    qed (auto simp: ad_agr_list_def eval_eterms_def)

lemma sp_equiv_list_fv_list:
  assumes sp_equiv_list ( $\sigma \odot_e ts$ ) ( $\tau \odot_e ts$ )
  shows sp_equiv_list ( $\text{map } \sigma (\text{fv\_fo\_terms\_list } ts)$ ) ( $\text{map } \tau (\text{fv\_fo\_terms\_list } ts)$ )
proof –
  have sp_equiv_list ( $\sigma \odot_e (\text{map Var } (\text{fv\_fo\_terms\_list } ts))$ )
    ( $\tau \odot_e (\text{map Var } (\text{fv\_fo\_terms\_list } ts))$ )
  unfolding eval_eterms_def
  by (rule sp_equiv_list_subset[OF _ assms[unfolded eval_eterms_def]])
    (auto simp: fv_fo_terms_set_list dest: fv_fo_terms_setD)
  then show ?thesis
    by (auto simp: eval_eterms_def comp_def)
qed

```

```

lemma ad_agr_list_fv_list: ad_agr_list X ( $\sigma \odot e$  ts) ( $\tau \odot e$  ts)  $\implies$ 
  ad_agr_list X (map  $\sigma$  (fv_fo_terms_list ts)) (map  $\tau$  (fv_fo_terms_list ts))
using sp_equiv_list_fv_list
by (auto simp: eval_eterms_def ad_agr_list_def ad_equiv_list_def sp_equiv_list_def set_zip)
  (metis (no_types, opaque_lifting) eval_eterm.simps(2) fv_fo_terms_setD fv_fo_terms_set_list
    in_set_conv_nth nth_map)

lemma eval_bool: fo_wf (Bool b) I (eval_bool b)
by (auto simp: eval_bool_def fo_nmlzd_def nats_def Let_def List.map_filter_simps
  proj_sat_def fv_fo_fmula_list_def ad_agr_list_def ad_equiv_list_def sp_equiv_list_def nfv_def)

lemma eval_eq: fixes I :: 'b  $\times$  nat  $\Rightarrow$  'a :: infinite list set
  shows fo_wf (Eqa t t') I (eval_eq t t')
proof -
  define  $\varphi$  :: ('a, 'b) fo_fmula where  $\varphi = \text{Eqa } t \ t'$ 
  obtain AD n X where AD_X_def: eval_eq t t' = (AD, n, X)
  by (cases eval_eq t t') auto
  have AD_def: AD = act_edom  $\varphi$  I
  using AD_X_def
  by (auto simp: eval_eq_def  $\varphi\_def$  split: fo_term.splits if_splits)
  have n_def: n = nfv  $\varphi$ 
  using AD_X_def
  by (cases t; cases t')
  (auto simp:  $\varphi\_def$  fv_fo_fmula_list_def eval_eq_def nfv_def split: if_splits)
  have X_def: X = fo_nmlz AD 'proj_fmula  $\varphi$  { $\sigma$ . esat  $\varphi$  I  $\sigma$  UNIV}
  proof (rule set_eqI, rule iffI)
    fix vs
    assume assm: vs  $\in$  X
    define pes where pes = proj_fmula  $\varphi$  { $\sigma$ . esat  $\varphi$  I  $\sigma$  UNIV}
    have  $\bigwedge c \ c'. \ t = \text{Const } c \wedge t' = \text{Const } c' \implies$ 
      fo_nmlz AD 'pes = (if c = c' then {[]} else {})
    by (auto simp:  $\varphi\_def$  pes_def proj_fmula_map fo_nmlz_def fv_fo_fmula_list_def)
    moreover have  $\bigwedge c \ n. \ (t = \text{Const } c \wedge t' = \text{Var } n) \vee (t' = \text{Const } c \wedge t = \text{Var } n) \implies$ 
      fo_nmlz AD 'pes = {[Inl c]}
    by (auto simp:  $\varphi\_def$  AD_def pes_def proj_fmula_map fo_nmlz_Cons fv_fo_fmula_list_def im-
      age_def
      split: sum.splits) (auto simp: fo_nmlz_def)
    moreover have  $\bigwedge n. \ t = \text{Var } n \implies t' = \text{Var } n \implies \text{fo\_nmlz } AD \text{ 'pes} = \{[Inr \ 0]\}$ 
    by (auto simp:  $\varphi\_def$  AD_def pes_def proj_fmula_map fo_nmlz_Cons fv_fo_fmula_list_def im-
      age_def
      split: sum.splits)
    moreover have  $\bigwedge n \ n'. \ t = \text{Var } n \implies t' = \text{Var } n' \implies n \neq n' \implies$ 
      fo_nmlz AD 'pes = {[Inr 0], [Inr 0]}
    apply (auto simp:  $\varphi\_def$  AD_def pes_def proj_fmula_map fo_nmlz_Cons fv_fo_fmula_list_def
      split: sum.splits)
    subgoal for i i' σ
    by (cases  $\sigma \ i'$ ) (auto simp: fo_nmlz_def split: if_splits)
    subgoal for i i'
    by (auto simp: image_def fo_nmlz_def intro!: exI[of _ [Inr 0, Inr 0]])
    done
    ultimately show vs  $\in$  fo_nmlz AD 'pes
    using assm AD_X_def
    by (cases t; cases t') (auto simp: eval_eq_def split: if_splits)
  next
  fix vs
  assume assm: vs  $\in$  fo_nmlz AD 'proj_fmula  $\varphi$  { $\sigma$ . esat  $\varphi$  I  $\sigma$  UNIV}
  obtain  $\sigma$  where  $\sigma\_def$ : vs = fo_nmlz AD (map  $\sigma$  (fv_fo_fmula_list  $\varphi$ ))

```

```

    esat (Eqa t t') I σ UNIV
  using assm
  by (auto simp: φ_def fv_fo_fmula_list_def proj_fmula_map)
show vs ∈ X
  using σ_def AD_X_def
  by (cases t; cases t')
    (auto simp: φ_def eval_eq_def fv_fo_fmula_list_def fo_nmlz_Cons fo_nmlz_Cons_Cons
      split: sum.splits)
qed
have eval: eval_eq t t' = eval_abs φ I
  using X_def[unfolded AD_def]
  by (auto simp: eval_abs_def AD_X_def AD_def n_def)
have fin: wf_fo_intp φ I
  by (auto simp: φ_def)
show ?thesis
  using fo_wf_eval_abs[OF fin]
  by (auto simp: eval φ_def)
qed

lemma fv_fo_terms_list_Var: fv_fo_terms_list_rec (map Var ns) = ns
  by (induction ns) auto

lemma eval_eterms_map_Var: σ ⊙ e map Var ns = map σ ns
  by (auto simp: eval_eterms_def)

lemma fo_wf_eval_table:
  fixes AD :: 'a set
  assumes fo_wf φ I (AD, n, X)
  shows X = fo_nmlz AD ' eval_table (map Var [0..

```

```

    by auto
  have len_vs: length vs = n
    using vs_in assms(1)
    by auto
  obtain  $\tau$  where  $\tau\_def$ :  $ad\_agr\_list\ AD\ vs\ (map\ Inl\ (map\ \tau\ [0..<n]))$ 
    using proj_out_list[OF fin_AD, of (!) vs [0..<length vs], unfolded map_nth]
    by (auto simp: len_vs)
  have map_ $\tau$ _in:  $map\ \tau\ [0..<n] \in fo\_rep\ (AD, n, X)$ 
    using vs_in ad_agr_list_comm[OF  $\tau\_def$ ]
    by auto
  have vs = fo_nmlz AD (map Inl (map  $\tau$  [0..<n]))
    using fo_nmlz_eqI[OF  $\tau\_def$ ] fo_nmlz_idem vs_in assms(1)
    by fastforce
  then show  $vs \in fo\_nmlz\ AD\ 'map\ Inl\ 'fo\_rep\ (AD, n, X)$ 
    using map_ $\tau$ _in
    by blast
next
fix vs
assume  $vs \in fo\_nmlz\ AD\ 'map\ Inl\ 'fo\_rep\ (AD, n, X)$ 
then obtain  $xs\ xs'$  where  $vs\_def$ :  $xs' \in X\ ad\_agr\_list\ AD\ (map\ Inl\ xs)\ xs'$ 
 $vs = fo\_nmlz\ AD\ (map\ Inl\ xs)$ 
  by auto
then have  $vs = fo\_nmlz\ AD\ xs'$ 
  using fo_nmlz_eqI[OF  $vs\_def(2)$ ]
  by auto
then have  $vs = xs'$ 
  using  $vs\_def(1)$  assms(1) fo_nmlz_idem
  by fastforce
then show  $vs \in X$ 
  using  $vs\_def(1)$ 
  by auto
qed

lemma fo_wf_X:
  fixes  $\varphi :: ('a :: infinite, 'b) fo\_fmla$ 
  assumes wf:  $fo\_wf\ \varphi\ I\ (AD, n, X)$ 
  shows  $X = fo\_nmlz\ AD\ 'proj\_fmla\ \varphi\ \{\sigma. esat\ \varphi\ I\ \sigma\ UNIV\}$ 
proof -
  have fin:  $wf\_fo\_intp\ \varphi\ I$ 
    using wf
    by auto
  have AD_def:  $AD = act\_edom\ \varphi\ I$ 
    using wf
    by auto
  have fo_wf:  $fo\_wf\ \varphi\ I\ (AD, n, X)$ 
    using wf
    by auto
  have fo_rep:  $fo\_rep\ (AD, n, X) = proj\_fmla\ \varphi\ \{\sigma. sat\ \varphi\ I\ \sigma\}$ 
    using wf
    by (auto simp: proj_sat_def proj_fmla_map)
  show ?thesis
    using fo_rep_norm[OF fo_wf] norm_proj_fmla_esat_sat[OF fin]
    unfolding fo_rep AD_def[symmetric]
    by auto
qed

lemma eval_neg:
  fixes  $\varphi :: ('a :: infinite, 'b) fo\_fmla$ 

```

```

assumes wf: fo_wf  $\varphi$  I t
shows fo_wf (Neg  $\varphi$ ) I (eval_neg (fv_fo_fmula_list  $\varphi$ ) t)
proof -
obtain AD n X where t_def: t = (AD, n, X)
  by (cases t) auto
have eval_neg: eval_neg (fv_fo_fmula_list  $\varphi$ ) t = (AD, nfv  $\varphi$ , nall_tuples AD (nfv  $\varphi$ ) - X)
  by (auto simp: t_def nfv_def)
have fv_unfold: fv_fo_fmula_list (Neg  $\varphi$ ) = fv_fo_fmula_list  $\varphi$ 
  by (auto simp: fv_fo_fmula_list_def)
then have nfv_unfold: nfv (Neg  $\varphi$ ) = nfv  $\varphi$ 
  by (auto simp: nfv_def)
have AD_def: AD = act_edom (Neg  $\varphi$ ) I
  using wf
  by (auto simp: t_def)
note X_def = fo_wf_X[OF wf[unfolded t_def]]
have esat_iff:  $\bigwedge vs. vs \in \text{nall\_tuples } AD \text{ (nfv } \varphi) \implies$ 
   $vs \in \text{fo\_nmlz } AD \text{ 'proj\_fmula } \varphi \{ \sigma. \text{esat } \varphi \text{ I } \sigma \text{ UNIV} \} \longleftrightarrow$ 
   $vs \notin \text{fo\_nmlz } AD \text{ 'proj\_fmula } \varphi \{ \sigma. \text{esat (Neg } \varphi) \text{ I } \sigma \text{ UNIV} \}$ 
proof (rule iffI; rule ccontr)
  fix vs
  assume vs  $\in \text{fo\_nmlz } AD \text{ 'proj\_fmula } \varphi \{ \sigma. \text{esat } \varphi \text{ I } \sigma \text{ UNIV} \}$ 
  then obtain  $\sigma$  where  $\sigma\_def$ : vs = fo_nmlz AD (map  $\sigma$  (fv_fo_fmula_list  $\varphi$ ))
    esat  $\varphi$  I  $\sigma$  UNIV
  by (auto simp: proj_fmula_map)
  assume  $\neg vs \in \text{fo\_nmlz } AD \text{ 'proj\_fmula } \varphi \{ \sigma. \text{esat (Neg } \varphi) \text{ I } \sigma \text{ UNIV} \}$ 
  then obtain  $\sigma'$  where  $\sigma'\_def$ : vs = fo_nmlz AD (map  $\sigma'$  (fv_fo_fmula_list  $\varphi$ ))
    esat (Neg  $\varphi$ ) I  $\sigma'$  UNIV
  by (auto simp: proj_fmula_map)
  have esat  $\varphi$  I  $\sigma$  UNIV = esat  $\varphi$  I  $\sigma'$  UNIV
  using esat_UNIV_cong[OF ad_agr_sets_restrict[OF iffD2[OF ad_agr_list_link],
    OF fo_nmlz_eqD[OF trans[OF  $\sigma\_def(1)$ [symmetric]  $\sigma'\_def(1)$ ]]]]
  by (auto simp: AD_def)
  then show False
  using  $\sigma\_def(2)$   $\sigma'\_def(2)$  by simp
next
fix vs
  assume asms: vs  $\notin \text{fo\_nmlz } AD \text{ 'proj\_fmula } \varphi \{ \sigma. \text{esat (Neg } \varphi) \text{ I } \sigma \text{ UNIV} \}$ 
   $vs \notin \text{fo\_nmlz } AD \text{ 'proj\_fmula } \varphi \{ \sigma. \text{esat } \varphi \text{ I } \sigma \text{ UNIV} \}$ 
  assume vs  $\in \text{nall\_tuples } AD \text{ (nfv } \varphi)$ 
  then have l_vs: length vs = length (fv_fo_fmula_list  $\varphi$ ) fo_nmlzd AD vs
  by (auto simp: nfv_def dest: nall_tuplesD)
  obtain  $\sigma$  where vs = fo_nmlz AD (map  $\sigma$  (fv_fo_fmula_list  $\varphi$ ))
  using l_vs sorted_distinct_fv_list_exists_fo_nmlzd by metis
  with asms show False
  by (auto simp: proj_fmula_map)
qed
moreover have  $\bigwedge R. \text{fo\_nmlz } AD \text{ 'proj\_fmula } \varphi \text{ R} \subseteq \text{nall\_tuples } AD \text{ (nfv } \varphi)$ 
  by (auto simp: proj_fmula_map nfv_def nall_tuplesI fo_nmlz_length fo_nmlz_sound)
ultimately have eval: eval_neg (fv_fo_fmula_list  $\varphi$ ) t = eval_abs (Neg  $\varphi$ ) I
  unfolding eval_neg eval_abs_def AD_def[symmetric]
  by (auto simp: X_def proj_fmula_def fv_unfold nfv_unfold image_subset_iff)
have wf_neg: wf_fo_intp (Neg  $\varphi$ ) I
  using wf
  by (auto simp: t_def)
show ?thesis
  using fo_wf_eval_abs[OF wf_neg]
  by (auto simp: eval)
qed

```

definition $\text{cross_with } f \ t \ t' = \bigcup ((\lambda xs. \bigcup (f \ xs \ ' \ t')) \ ' \ t)$

lemma mapping_join_cong :

assumes $\bigwedge X \ X'. \ X \subseteq \text{set_of_idx } t \implies X' \subseteq \text{set_of_idx } t' \implies f \ X \ X' = f' \ X \ X'$
shows $\text{mapping_join } f \ t \ t' = \text{mapping_join } f' \ t \ t'$
using assms
apply transfer
apply (rule ext)
apply $(\text{auto simp: ran_def split: option.splits})$
done

lemma $\text{mapping_join_cross_with}$:

assumes $\bigwedge x \ x'. \ x \in t \implies x' \in t' \implies h \ x \neq h' \ x' \implies f \ x \ x' = \{\}$
shows $\text{set_of_idx } (\text{mapping_join } (\text{cross_with } f) \ (\text{cluster } (\text{Some } \circ h) \ t) \ (\text{cluster } (\text{Some } \circ h') \ t')) = \text{cross_with } f \ t \ t'$

proof –

have $\text{sub: cross_with } f \ \{y \in t. \ h \ y = h \ x\} \ \{y \in t'. \ h' \ y = h \ x\} \subseteq \text{cross_with } f \ t \ t' \text{ for } t \ t' \ x$
by $(\text{auto simp: cross_with_def})$

have $\exists a. \ a \in h \ ' \ t \wedge a \in h' \ ' \ t' \wedge z \in \text{cross_with } f \ \{y \in t. \ h \ y = a\} \ \{y \in t'. \ h' \ y = a\} \text{ if } z: z \in \text{cross_with } f \ t \ t' \text{ for } z$

proof –

obtain $xs \ ys$ **where** $\text{wit: } xs \in t \ ys \in t' \ z \in f \ xs \ ys$

using z

by $(\text{auto simp: cross_with_def})$

have $h: h \ xs = h' \ ys$

using $\text{assms}(1)[OF \ \text{wit}(1-2)] \ \text{wit}(3)$

by auto

have $\text{hys: } h' \ ys \in h \ ' \ t$

using $\text{wit}(1)$

by $(\text{auto simp: h[symmetric]})$

show $?thesis$

apply $(\text{rule exI}[of \ _ \ h \ xs])$

using $\text{wit hys } h$

by $(\text{auto simp: cross_with_def})$

qed

then show $?thesis$

using sub

apply $(\text{transfer fixing: } f \ h \ h')$

apply $(\text{auto simp: ran_def})$

apply fastforce+

done

qed

lemma $\text{fo_nmlzd_mono_sub: } X \subseteq X' \implies \text{fo_nmlzd } X \ xs \implies \text{fo_nmlzd } X' \ xs$

by $(\text{meson fo_nmlzd_def order_trans})$

lemma $\text{set_of_idx_cluster: } \text{set_of_idx } (\text{cluster } (\text{Some } \circ f) \ X) = X$

by $\text{transfer } (\text{auto simp: ran_def})$

lemma $\text{eval_conj_idx: assumes } wf: \text{fo_wf } \varphi \ I \ t\varphi \ \text{fo_wf } \psi \ I \ t\psi$

shows $\text{eval_conj_table } (fv_fo_fmla_list \ \varphi) \ t\varphi \ (fv_fo_fmla_list \ \psi) \ t\psi =$

$\text{eval_conj_idx } (fv_fo_fmla_list \ \varphi) \ t\varphi \ (fv_fo_fmla_list \ \psi) \ t\psi$

proof –

obtain $AD\varphi \ n\varphi \ X\varphi$ **where** $t\varphi_def: t\varphi = (AD\varphi, n\varphi, X\varphi)$

by $(\text{cases } t\varphi) \ \text{auto}$

obtain $AD\psi \ n\psi \ X\psi$ **where** $t\psi_def: t\psi = (AD\psi, n\psi, X\psi)$

by $(\text{cases } t\psi) \ \text{auto}$


```

define  $AD$  where  $AD = AD\varphi \cup AD\psi$ 
define  $AD\Delta\varphi$  where  $AD\Delta\varphi = AD - AD\varphi$ 
define  $AD\Delta\psi$  where  $AD\Delta\psi = AD - AD\psi$ 
define  $ns\varphi$  where  $ns\varphi = fv\_fo\_fmla\_list\ \varphi$ 
define  $ns\psi$  where  $ns\psi = fv\_fo\_fmla\_list\ \psi$ 
define  $ns$  where  $ns = filter\ (\lambda n. n \in set\ ns\psi)\ ns\varphi$ 
have  $AD\_sub$ :  $AD\varphi \subseteq AD$   $AD\psi \subseteq AD$ 
  by (auto simp: AD_def)
have  $AD\_disj$ :  $AD\varphi \cap AD\Delta\varphi = \{\}$   $AD\psi \cap AD\Delta\psi = \{\}$ 
  by (auto simp: AD\Delta\varphi_def AD\Delta\psi_def)
have  $AD\_delta$ :  $AD = AD\varphi \cup AD\Delta\varphi$   $AD = AD\psi \cup AD\Delta\psi$ 
  by (auto simp: AD\Delta\varphi_def AD\Delta\psi_def AD_def)
have  $sd\_ns$ : sorted_distinct  $ns\varphi$  sorted_distinct  $ns\psi$ 
  by (auto simp: ns\varphi_def ns\psi_def sorted_distinct_fv_list)
have  $X\varphi\_props$ : fo_nmlzd  $AD\varphi$  vs length  $vs = length\ ns\varphi$  if  $vs \in X\varphi$  for  $vs$ 
  using wf(1) that
  by (auto simp: t\varphi_def nfv_def ns\varphi_def)
have  $X\psi\_props$ : fo_nmlzd  $AD\psi$  vs length  $vs = length\ ns\psi$  if  $vs \in X\psi$  for  $vs$ 
  using wf(2) that
  by (auto simp: t\psi_def nfv_def ns\psi_def)
have  $fo\_nmlzd\_X$ : Ball  $X\varphi$  (fo_nmlzd  $AD\varphi$ ) Ball  $X\psi$  (fo_nmlzd  $AD\psi$ )
  using wf
  by (auto simp: t\varphi_def t\psi_def)
have  $cross\_eval\_conj\_tuple$ :  $(\lambda X\varphi''\ X\psi''.\ eval\_conj\_set\ AD\ ns\varphi\ X\varphi''\ ns\psi\ X\psi'') = cross\_with$ 
(eval_conj_tuple  $AD\ ns\varphi\ ns\psi$ ) for  $AD :: 'a\ set$  and  $ns\varphi\ ns\psi$ 
  by (rule ext) + (auto simp: eval_conj_set_def cross_with_def)
have  $empty\_delta$ : Set.is_empty  $AD\Delta\varphi \implies AD = AD\varphi$  Set.is_empty  $AD\Delta\psi \implies AD = AD\psi$ 
  by (auto simp: AD_def AD\Delta\varphi_def AD\Delta\psi_def Set.is_empty_def)
have  $ect\_empty$ :  $x \in ad\_agr\_close\_set\ AD\Delta\varphi\ X\varphi' \implies x' \in ad\_agr\_close\_set\ AD\Delta\psi\ X\psi' \implies$ 
 $fo\_nmlz\ AD\ (proj\_tuple\ ns\ (zip\ ns\varphi\ x)) \neq fo\_nmlz\ AD\ (proj\_tuple\ ns\ (zip\ ns\psi\ x')) \implies$ 
 $eval\_conj\_tuple\ AD\ ns\varphi\ ns\psi\ x\ x' = \{\}$ 
if  $X\varphi' \subseteq X\varphi$   $X\psi' \subseteq X\psi$  for  $X\varphi'\ X\psi'$  and  $x\ x'$ 
apply (rule eval_conj_tuple_empty[where ?ns=filter (\lambda n. n \in set ns\psi) ns\varphi])
using  $X\varphi\_props\ X\psi\_props$  that fo_nmlzd_mono_sub[OF AD_sub(1)] sd_ns
fo_nmlzd_mono_sub[OF AD_sub(1)] fo_nmlzd_mono_sub[OF AD_sub(2)]
ad_agr_close_sound[OF _ AD_disj(1), folded AD_delta]
ad_agr_close_sound[OF _ AD_disj(2), folded AD_delta]
by (auto simp: ns_def ad_agr_close_set_def split: if_splits) (smt (z3) ad_agr_list_length subsetD) +
have  $inner\_cong$ :  $(\lambda X\varphi''.\ eval\_conj\_set\ AD\ ns\varphi\ X\varphi''\ ns\psi) = (cross\_with\ (eval\_conj\_tuple\ AD\ ns\varphi\ ns\psi))$ 
by (rule ext) + (auto simp: eval_conj_set_def cross_with_def)
have  $inner\_cross$ : set_of_idx (mapping_join  $(\lambda X\varphi''.\ eval\_conj\_set\ AD\ ns\varphi\ X\varphi''\ ns\psi)$ 
(cluster (Some  $\circ (\lambda xs.\ fo\_nmlz\ AD\ (proj\_tuple\ ns\ (zip\ ns\varphi\ xs))$ ))) (ad_agr_close_set  $AD\Delta\varphi\ X\varphi'$ ))
(cluster (Some  $\circ (\lambda ys.\ fo\_nmlz\ AD\ (proj\_tuple\ ns\ (zip\ ns\psi\ ys))$ ))) (ad_agr_close_set  $AD\Delta\psi\ X\psi'$ )))
=
cross_with (eval_conj_tuple  $AD\ ns\varphi\ ns\psi$ ) (ad_agr_close_set  $AD\Delta\varphi\ X\varphi'$ ) (ad_agr_close_set  $AD\Delta\psi\ X\psi'$ )
if  $sub$ :  $X\varphi' \subseteq X\varphi$   $X\psi' \subseteq X\psi$  for  $X\varphi'\ X\psi'$ 
using mapping_join_cross_with[where ?f=eval_conj_tuple AD ns\varphi ns\psi
and  $?h = \lambda xs.\ fo\_nmlz\ AD\ (proj\_tuple\ ns\ (zip\ ns\varphi\ xs))$ 
and  $?h' = \lambda ys.\ fo\_nmlz\ AD\ (proj\_tuple\ ns\ (zip\ ns\psi\ ys))$ 
and  $?t = ad\_agr\_close\_set\ AD\Delta\varphi\ X\varphi'$  and  $?t' = ad\_agr\_close\_set\ AD\Delta\psi\ X\psi'$ , OF ect_empty[OF sub]]
by (auto simp: inner_cong)
have  $aux$ : cross_with (eval_conj_tuple  $AD\ ns\varphi\ ns\psi$ ) (ad_agr_close_set  $AD\Delta\varphi\ X\varphi'$ ) (ad_agr_close_set
 $AD\Delta\psi\ X\psi'$ ) =
cross_with  $(\lambda xs\ ys.\ eval\_conj\_set\ AD\ ns\varphi\ (ad\_agr\_close\_set\ AD\Delta\varphi\ \{xs\})\ ns\psi\ (ad\_agr\_close\_set\ AD\Delta\psi\ \{ys\}))\ X\varphi'\ X\psi'$ 

```

```

for  $X\varphi' X\psi'$ 
by (auto simp: cross_with_def eval_conj_set_def ad_agr_close_set_def)
have outer_cong: mapping_join ( $\lambda X\varphi' X\psi'. \text{set\_of\_idx } (\text{mapping\_join } (\lambda X\varphi''. \text{eval\_conj\_set } AD \text{ ns}\varphi X\varphi'' \text{ ns}\psi))$ 
  (cluster (Some  $\circ (\lambda xs. \text{fo\_nmlz } AD (\text{proj\_tuple } ns (\text{zip } \text{ns}\varphi xs)))$ ) (ad_agr_close_set  $AD\Delta\varphi X\varphi'$ ))
  (cluster (Some  $\circ (\lambda ys. \text{fo\_nmlz } AD (\text{proj\_tuple } ns (\text{zip } \text{ns}\psi ys)))$ ) (ad_agr_close_set  $AD\Delta\psi X\psi'$ ))
  (cluster (Some  $\circ (\lambda xs. \text{fo\_nmlz } (AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\varphi xs)))$ )  $X\varphi$ )
  (cluster (Some  $\circ (\lambda ys. \text{fo\_nmlz } (AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\psi ys)))$ )  $X\psi$ ) =
  mapping_join (cross_with ( $\lambda xs ys. \text{eval\_conj\_set } AD \text{ ns}\varphi (\text{ad\_agr\_close\_set } AD\Delta\varphi \{xs\}) \text{ ns}\psi$ 
    (ad_agr_close_set  $AD\Delta\psi \{ys\})$ ))
  (cluster (Some  $\circ (\lambda xs. \text{fo\_nmlz } (AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\varphi xs)))$ )  $X\varphi$ )
  (cluster (Some  $\circ (\lambda ys. \text{fo\_nmlz } (AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\psi ys)))$ )  $X\psi$ )
by (rule mapping_join_cong) (auto simp: set_of_idx_cluster inner_cross aux)
have fo_nmlzd_x:  $x \in X\varphi \implies \text{Ball } \{x\} (\text{fo\_nmlzd } AD\varphi) x \in X\psi \implies \text{Ball } \{x\} (\text{fo\_nmlzd } AD\psi) \text{ for }$ 
 $x$ 
using fo_nmlzd_X
by (auto)
have ect_empty_closed: eval_conj_set  $AD \text{ ns}\varphi (\text{ad\_agr\_close\_set } AD\Delta\varphi \{x\}) \text{ ns}\psi (\text{ad\_agr\_close\_set } AD\Delta\psi \{x'\}) = \{\}$ 
if  $x \in X\varphi x' \in X\psi$  fo_nmlz  $(AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\varphi x)) \neq \text{fo\_nmlz } (AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\psi x'))$ 
for  $x x'$ 
using that fo_nmlzd_x eval_conj_tuple_close_empty[OF _ _ _ sd_ns ns_def that(3)] X\varphi_props X\psi_props
by (auto simp: eval_conj_set_def AD_def AD\Delta\varphi_def AD\Delta\psi_def ad_agr_close_set_eq[where ?AD'=AD\varphi] ad_agr_close_set_eq[where ?AD'=AD\psi])
note outer_cross = mapping_join_cross_with[where ?f=\lambda xs ys. eval_conj_set AD ns\varphi (ad_agr_close_set AD\Delta\varphi \{xs\}) ns\psi (ad_agr_close_set AD\Delta\psi \{ys\})
and  $?h = \lambda xs. \text{fo\_nmlz } (AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\varphi xs))$ 
and  $?h' = \lambda ys. \text{fo\_nmlz } (AD\varphi \cap AD\psi) (\text{proj\_tuple } ns (\text{zip } \text{ns}\psi ys))$ 
and  $?t = X\varphi$  and  $?t' = X\psi$ , OF ect_empty_closed, simplified
show  $?thesis$ 
by (auto simp: t\varphi_def t\psi_def Let_def AD_def[symmetric] AD\Delta\varphi_def[symmetric] AD\Delta\psi_def[symmetric] ns\varphi_def[symmetric] ns\psi_def[symmetric] ns_def[symmetric] outer_cong outer_cross)
(auto simp: eval_conj_set_def cross_with_def ad_agr_close_set_def split: if_splits)

```

qed

lemma *proj_fmula_conj_sub*:

```

assumes AD_sub: act_edom  $\psi I \subseteq AD$ 
shows fo_nmlz  $AD \text{ 'proj_fmula } (Conj \varphi \psi) \{\sigma. \text{esat } \varphi I \sigma UNIV\} \cap$ 
  fo_nmlz  $AD \text{ 'proj_fmula } (Conj \varphi \psi) \{\sigma. \text{esat } \psi I \sigma UNIV\} \subseteq$ 
  fo_nmlz  $AD \text{ 'proj_fmula } (Conj \varphi \psi) \{\sigma. \text{esat } (Conj \varphi \psi) I \sigma UNIV\}$ 
proof (rule subsetI)
fix  $vs$ 
assume  $vs \in \text{fo\_nmlz } AD \text{ 'proj_fmula } (Conj \varphi \psi) \{\sigma. \text{esat } \varphi I \sigma UNIV\} \cap$ 
  fo_nmlz  $AD \text{ 'proj_fmula } (Conj \varphi \psi) \{\sigma. \text{esat } \psi I \sigma UNIV\}$ 
then obtain  $\sigma \sigma'$  where  $\sigma\_def$ :
   $\sigma \in \{\sigma. \text{esat } \varphi I \sigma UNIV\} vs = \text{fo\_nmlz } AD (\text{map } \sigma (\text{fv\_fo\_fmula\_list } (Conj \varphi \psi)))$ 
   $\sigma' \in \{\sigma. \text{esat } \psi I \sigma UNIV\} vs = \text{fo\_nmlz } AD (\text{map } \sigma' (\text{fv\_fo\_fmula\_list } (Conj \varphi \psi)))$ 
unfolding proj_fmula_map
by blast
have ad_sub: act_edom  $\psi I \subseteq AD$ 
using assms(1)
by auto
have ad_agr: ad_agr_list  $AD (\text{map } \sigma (\text{fv\_fo\_fmula\_list } \psi)) (\text{map } \sigma' (\text{fv\_fo\_fmula\_list } \psi))$ 
by (rule ad_agr_list_subset[OF fo_nmlz_eqD[OF trans[OF \sigma\_def(2)[symmetric] \sigma\_def(4)]]])
(auto simp: fv\_fo\_fmula\_list_set)
have  $\sigma \in \{\sigma. \text{esat } \psi I \sigma UNIV\}$ 

```

```

using esat_UNIV_cong[OF ad_agr_sets_restrict[OF iffD2[OF ad_agr_list_link]],
  OF ad_agr ad_sub]  $\sigma\_def(3)$ 
by blast
then show  $vs \in fo\_nmlz\ AD \text{ 'proj\_fmla (Conj } \varphi \psi) \{\sigma. esat (Conj \varphi \psi) I \sigma UNIV\}$ 
  using  $\sigma\_def(1,2)$ 
  by (auto simp: proj_fmla_map)
qed

lemma eval_conj_table:
  fixes  $\varphi :: ('a :: infinite, 'b) fo\_fmla$ 
  assumes wf:  $fo\_wf\ \varphi\ I\ t\varphi\ fo\_wf\ \psi\ I\ t\psi$ 
  shows  $fo\_wf\ (Conj\ \varphi\ \psi)\ I\ (eval\_conj\_table\ (fv\_fo\_fmla\_list\ \varphi)\ t\varphi\ (fv\_fo\_fmla\_list\ \psi)\ t\psi)$ 
proof -
obtain  $AD\varphi\ n\varphi\ X\varphi\ AD\psi\ n\psi\ X\psi$  where  $ts\_def$ :
   $t\varphi = (AD\varphi, n\varphi, X\varphi)\ t\psi = (AD\psi, n\psi, X\psi)$ 
   $AD\varphi = act\_edom\ \varphi\ I\ AD\psi = act\_edom\ \psi\ I$ 
  using assms
  by (cases  $t\varphi$ , cases  $t\psi$ ) auto
have  $AD\_sub: act\_edom\ \varphi\ I \subseteq AD\varphi\ act\_edom\ \psi\ I \subseteq AD\psi$ 
  by (auto simp:  $ts\_def(3,4)$ )

obtain  $AD\ n\ X$  where  $AD\_X\_def$ :
   $eval\_conj\_table\ (fv\_fo\_fmla\_list\ \varphi)\ t\varphi\ (fv\_fo\_fmla\_list\ \psi)\ t\psi = (AD, n, X)$ 
  by (cases  $eval\_conj\_table\ (fv\_fo\_fmla\_list\ \varphi)\ t\varphi\ (fv\_fo\_fmla\_list\ \psi)\ t\psi$ ) auto
have  $AD\_def: AD = act\_edom\ (Conj\ \varphi\ \psi)\ I\ act\_edom\ (Conj\ \varphi\ \psi)\ I \subseteq AD$ 
   $AD\varphi \subseteq AD\ AD\psi \subseteq AD\ AD = AD\varphi \cup AD\psi$ 
  using  $AD\_X\_def$ 
  by (auto simp:  $ts\_def\ Let\_def$ )
have  $n\_def: n = nfv\ (Conj\ \varphi\ \psi)$ 
  using  $AD\_X\_def$ 
  by (auto simp:  $ts\_def\ Let\_def\ nfv\_card\ fv\_fo\_fmla\_list\_set$ )

define  $S\varphi$  where  $S\varphi \equiv \{\sigma. esat\ \varphi\ I\ \sigma\ UNIV\}$ 
define  $S\psi$  where  $S\psi \equiv \{\sigma. esat\ \psi\ I\ \sigma\ UNIV\}$ 
define  $ns\varphi'$  where  $ns\varphi' = filter\ (\lambda n. n \notin fv\_fo\_fmla\ \varphi)\ (fv\_fo\_fmla\_list\ \varphi)$ 
define  $ns\psi'$  where  $ns\psi' = filter\ (\lambda n. n \notin fv\_fo\_fmla\ \psi)\ (fv\_fo\_fmla\_list\ \varphi)$ 

note  $X\varphi\_def = fo\_wf\_X[OF\ wf(1)[unfolded\ ts\_def(1)],\ unfolded\ proj\_fmla\_def,\ folded\ S\varphi\_def]$ 
note  $X\psi\_def = fo\_wf\_X[OF\ wf(2)[unfolded\ ts\_def(2)],\ unfolded\ proj\_fmla\_def,\ folded\ S\psi\_def]$ 
have  $fv\_sub: fv\_fo\_fmla\ (Conj\ \varphi\ \psi) = fv\_fo\_fmla\ \varphi \cup set\ (fv\_fo\_fmla\_list\ \psi)$ 
   $fv\_fo\_fmla\ (Conj\ \varphi\ \psi) = fv\_fo\_fmla\ \psi \cup set\ (fv\_fo\_fmla\_list\ \varphi)$ 
  by (auto simp:  $fv\_fo\_fmla\_list\_set$ )
note  $res\_left\_alt = ext\_tuple\_ad\_agr\_close[OF\ S\varphi\_def\ AD\_sub(1)\ AD\_def(3)$ 
   $X\varphi\_def(1)[folded\ S\varphi\_def]\ ns\varphi'\_def\ sorted\_distinct\_fv\_list\ fv\_sub(1)]$ 
note  $res\_right\_alt = ext\_tuple\_ad\_agr\_close[OF\ S\psi\_def\ AD\_sub(2)\ AD\_def(4)$ 
   $X\psi\_def(1)[folded\ S\psi\_def]\ ns\psi'\_def\ sorted\_distinct\_fv\_list\ fv\_sub(2)]$ 

note  $eval\_conj\_set = eval\_conj\_set\_correct[OF\ ns\varphi'\_def[folded\ fv\_fo\_fmla\_list\_set]$ 
   $ns\psi'\_def[folded\ fv\_fo\_fmla\_list\_set]\ res\_left\_alt(2)\ res\_right\_alt(2)$ 
   $sorted\_distinct\_fv\_list\ sorted\_distinct\_fv\_list]$ 
have  $X = fo\_nmlz\ AD \text{ 'proj\_fmla (Conj } \varphi \psi) \{\sigma. esat\ \varphi\ I\ \sigma\ UNIV\} \cap$ 
   $fo\_nmlz\ AD \text{ 'proj\_fmla (Conj } \varphi \psi) \{\sigma. esat\ \psi\ I\ \sigma\ UNIV\}$ 
  using  $AD\_X\_def$ 
  apply (simp add:  $ts\_def(1,2)\ Let\_def\ ts\_def(3,4)[symmetric]\ AD\_def(5)[symmetric]$ )
  unfolding  $eval\_conj\_set\ proj\_fmla\_def$ 
  unfolding  $res\_left\_alt(1)\ res\_right\_alt(1)\ S\varphi\_def\ S\psi\_def$ 
  by auto
then have  $eval: eval\_conj\_table\ (fv\_fo\_fmla\_list\ \varphi)\ t\varphi\ (fv\_fo\_fmla\_list\ \psi)\ t\psi =$ 

```

```

    eval_abs (Conj  $\varphi$   $\psi$ ) I
  using proj_fmula_conj_sub[OF AD_def(4)[unfolded ts_def(4)], of  $\varphi$ ]
  unfolding AD_X_def AD_def(1)[symmetric] n_def eval_abs_def
  by (auto simp: proj_fmula_map)
have wf_conj: wf_fo_intp (Conj  $\varphi$   $\psi$ ) I
  using wf
  by (auto simp: ts_def)
show ?thesis
  using fo_wf_eval_abs[OF wf_conj]
  by (auto simp: eval)
qed

lemma eval_conj:
  fixes  $\varphi :: ('a :: infinite, 'b) \text{fo\_fmula}$ 
  assumes wf: fo_wf  $\varphi$  I t $\varphi$  fo_wf  $\psi$  I t $\psi$ 
  shows fo_wf (Conj  $\varphi$   $\psi$ ) I (eval_conj_idx (fv_fo_fmula_list  $\varphi$ ) t $\varphi$  (fv_fo_fmula_list  $\psi$ ) t $\psi$ )
  using eval_conj_table eval_conj_idx assms
  by metis

lemma fo_nmlz_ad_agr_close:
  assumes AD_sub: act_edom  $\psi$  I  $\subseteq$  AD $\psi$  AD $\psi$   $\subseteq$  AD
    and S $\psi$ _def: S $\psi$   $\equiv$  { $\sigma$ . esat  $\psi$  I  $\sigma$  UNIV}
    and X $\psi$ _def: X $\psi$  = fo_nmlz AD $\psi$  'proj_vals S $\psi$  (fv_fo_fmula_list  $\psi$ )
  shows fo_nmlz AD xs  $\in$  ad_agr_close_set (AD - AD $\psi$ ) X $\psi$   $\longleftrightarrow$  fo_nmlz AD $\psi$  xs  $\in$  X $\psi$ 
proof (rule iffI)
  assume fo_nmlz AD xs  $\in$  ad_agr_close_set (AD - AD $\psi$ ) X $\psi$ 
  then obtain ys where ys_def:
    ys  $\in$  proj_vals S $\psi$  (fv_fo_fmula_list  $\psi$ )
    fo_nmlz AD xs  $\in$  ad_agr_close (AD - AD $\psi$ ) (fo_nmlz AD $\psi$  ys)
    using AD_sub(2)
  by (auto simp: ad_agr_close_set_def X $\psi$ _def Set.is_empty_def ad_agr_close_empty[OF fo_nmlz_sound]
    split: if_splits)
  have ad_agr_list AD $\psi$  xs (fo_nmlz AD xs)
    by (rule ad_agr_list_mono[OF AD_sub(2) fo_nmlz_ad_agr])
  moreover have ad_agr_list AD $\psi$  (fo_nmlz AD xs) (fo_nmlz AD $\psi$  ys)
    using ad_agr_list_comm ad_agr_close_sound[OF ys_def(2) fo_nmlz_sound]
    by auto
  ultimately have ad_agr_list AD $\psi$  xs (fo_nmlz AD $\psi$  ys)
    using ad_agr_list_trans
    by auto
  then show fo_nmlz AD $\psi$  xs  $\in$  X $\psi$ 
    using ys_def(1)
    by (auto simp: X $\psi$ _def fo_nmlz_idem[OF fo_nmlz_sound] dest!: fo_nmlz_eqI)
next
  assume fo_nmlz AD $\psi$  xs  $\in$  X $\psi$ 
  then obtain ys where ys_def:
    ys  $\in$  proj_vals S $\psi$  (fv_fo_fmula_list  $\psi$ )
    ad_agr_list AD $\psi$  xs ys
    by (auto simp: X $\psi$ _def dest: fo_nmlz_eqD)
  have ad_agr_list AD $\psi$  ys (fo_nmlz AD $\psi$  ys)
    by (rule fo_nmlz_ad_agr)
  note ad_agr_xs_ys = ad_agr_list_comm[OF ad_agr_list_trans[OF ad_agr_list_comm[OF
    ad_agr_list_mono[OF AD_sub(2) fo_nmlz_ad_agr]]
    ad_agr_list_trans[OF ys_def(2) fo_nmlz_ad_agr]]]
  have fo_nmlz AD xs  $\in$  ad_agr_close (AD - AD $\psi$ ) (fo_nmlz AD $\psi$  ys)
    using AD_sub ad_agr_close_complete[OF _ _ _ ad_agr_xs_ys]
    by (auto simp: fo_nmlz_sound sup.absorb2)
  then show fo_nmlz AD xs  $\in$  ad_agr_close_set (AD - AD $\psi$ ) X $\psi$ 

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    using ys_def(1) AD_sub(2)
  by (auto simp: ad_agr_close_set_def Xψ_def Set.is_empty_def ad_agr_close_empty[OF fo_nmlz_sound]
      split: if_splits)
qed

lemma eval_ajoin:
  fixes φ :: ('a :: infinite, 'b) fo_fmula
  assumes wf: fo_wf φ I tφ fo_wf ψ' I tψ'
  shows fo_wf (Conj φ (Neg ψ')) I
    (eval_ajoin (fv_fo_fmula_list φ) tφ (fv_fo_fmula_list ψ') tψ')
proof -
  obtain ADφ nφ Xφ ADψ' nψ' Xψ' where ts_def:
    tφ = (ADφ, nφ, Xφ) tψ' = (ADψ', nψ', Xψ')
    ADφ = act_edom φ I ADψ' = act_edom ψ' I
  using assms
  by (cases tφ, cases tψ') auto
  have AD_sub: act_edom φ I ⊆ ADφ act_edom ψ' I ⊆ ADψ'
  by (auto simp: ts_def(3,4))

  obtain AD n X where AD_X_def:
    eval_ajoin (fv_fo_fmula_list φ) tφ (fv_fo_fmula_list ψ') tψ' = (AD, n, X)
  by (cases eval_ajoin (fv_fo_fmula_list φ) tφ (fv_fo_fmula_list ψ') tψ') auto
  have AD_def: AD = act_edom (Conj φ (Neg ψ')) I
    act_edom (Conj φ (Neg ψ')) I ⊆ AD ADφ ⊆ AD ADψ' ⊆ AD AD = ADφ ∪ ADψ'
  using AD_X_def
  by (auto simp: ts_def Let_def)
  have n_def: n = nfv (Conj φ (Neg ψ'))
  using AD_X_def
  by (auto simp: ts_def Let_def nfv_card fv_fo_fmula_list_set)

  define Sφ where Sφ ≡ {σ. esat φ I σ UNIV}
  define Sψ' where Sψ' ≡ {σ. esat ψ' I σ UNIV}
  define nsφ' where nsφ' = filter (λn. n ∉ fv_fo_fmula φ) (fv_fo_fmula_list ψ')
  define nsψ' where nsψ' = filter (λn. n ∉ fv_fo_fmula ψ') (fv_fo_fmula_list φ)
  define ns where ns = sort (fv_fo_fmula_list φ @ fv_fo_fmula_list ψ')

  note Xφ_def = fo_wf_X[OF wf(1)[unfolded ts_def(1)], unfolded proj_fmula_def, folded Sφ_def]
  note Xψ'_def = fo_wf_X[OF wf(2)[unfolded ts_def(2)], unfolded proj_fmula_def, folded Sψ'_def]
  have fv_sub: fv_fo_fmula (Conj φ (Neg ψ')) = fv_fo_fmula φ ∪ set (fv_fo_fmula_list ψ')
    fv_fo_fmula (Conj φ (Neg ψ')) = fv_fo_fmula ψ' ∪ set (fv_fo_fmula_list φ)
  by (auto simp: fv_fo_fmula_list_set)
  note res_left_alt = ext_tuple_ad_agr_close[OF Sφ_def AD_sub(1) AD_def(3)
    Xφ_def(1)[folded Sφ_def] nsφ'_def sorted_distinct_fv_list fv_sub(1)]
  note res_right_alt = ext_tuple_ad_agr_close[OF Sψ'_def AD_sub(2) AD_def(4)
    Xψ'_def(1)[folded Sψ'_def] nsψ'_def sorted_distinct_fv_list fv_sub(2)]

  have Z_props: ∧xs. xs ∈ fo_nmlz AD 'proj_vals Sφ (fv_fo_fmula_list (Conj φ (Neg ψ')))) ⇒
    fo_nmlz AD xs = xs ∧ length xs = length (fv_fo_fmula_list (Conj φ (Neg ψ')))
  using fo_nmlz_idem[OF fo_nmlz_sound]
  by (auto simp: fo_nmlz_length proj_vals_def)
  have Z_diff: fo_nmlz AD 'proj_vals Sφ (fv_fo_fmula_list (Conj φ (Neg ψ')))) -
    ext_tuple_set AD (fv_fo_fmula_list ψ') nsψ' (ad_agr_close_set (AD - ADψ') Xψ') =
    {xs ∈ fo_nmlz AD 'proj_vals Sφ (fv_fo_fmula_list (Conj φ (Neg ψ')))).
      fo_nmlz ADψ' (proj_tuple (fv_fo_fmula_list ψ') (zip (fv_fo_fmula_list (Conj φ (Neg ψ')) xs))
        ∉ Xψ')}
  using proj_ext_tuple(2)[OF Sψ'_def AD_def(4)[unfolded ts_def(4)] res_right_alt(2)
    nsψ'_def sorted_distinct_fv_list fv_sub(2) Z_props]
  fo_nmlz_ad_agr_close[OF AD_sub(2) AD_def(4) Sψ'_def Xψ'_def]

```

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by auto

have fv_sort: fv_fo_fmula_list (Conj  $\varphi$  (Neg  $\psi'$ )) =
  remdups_adj (sort (fv_fo_fmula_list  $\varphi$  @ fv_fo_fmula_list  $\psi'$ ))
  apply (rule sorted_distinct_set_unique)
  using sorted_distinct_fv_list
  by (auto simp: fv_fo_fmula_list_def distinct_remdups_adj_sort)

have X_def:  $X = \text{fo\_nmlz } AD \text{ 'proj\_fmula (Conj } \varphi \text{ (Neg } \psi') \text{) } \{\sigma. \text{esat } \varphi \text{ } I \text{ } \sigma \text{ UNIV}\} -$ 
   $\text{fo\_nmlz } AD \text{ 'proj\_fmula (Conj } \varphi \text{ (Neg } \psi') \text{) } \{\sigma. \text{esat } \psi' \text{ } I \text{ } \sigma \text{ UNIV}\}$ 
  using AD_X_def
  unfolding eval_ajoin.simps ts_def(1,2) Let_def AD_def(5)[symmetric] fv_fo_fmula_list_set
    ns $\varphi'$ _def[symmetric] res_left_alt(1) fv_sort[symmetric] Z_diff[symmetric]
    res_right_alt(1) proj_fmula_def S $\varphi$ _def[symmetric] S $\psi'$ _def[symmetric]
  by auto

have AD_sub: act_edom (Neg  $\psi'$ )  $I \subseteq AD$ 
  by (auto simp: AD_def(1))
have  $X = \text{fo\_nmlz } AD \text{ 'proj\_fmula (Conj } \varphi \text{ (Neg } \psi') \text{) } \{\sigma. \text{esat } \varphi \text{ } I \text{ } \sigma \text{ UNIV}\} \cap$ 
   $\text{fo\_nmlz } AD \text{ 'proj\_fmula (Conj } \varphi \text{ (Neg } \psi') \text{) } \{\sigma. \text{esat (Neg } \psi') \text{ } I \text{ } \sigma \text{ UNIV}\}$ 
  unfolding X_def
  by (auto simp: proj_fmula_map dest!: fo_nmlz_eqD)
  (metis AD_def(4) ad_agr_list_subset esat_UNIV_ad_agr_list fv_fo_fmula_list_set fv_sub(2)
    sup_ge1 ts_def(4))
then have eval: eval_ajoin (fv_fo_fmula_list  $\varphi$ )  $t\varphi$  (fv_fo_fmula_list  $\psi'$ )  $t\psi' =$ 
  eval_abs (Conj  $\varphi$  (Neg  $\psi'$ ))  $I$ 
  using proj_fmula_conj_sub[OF AD_sub, of  $\varphi$ ]
  unfolding AD_X_def AD_def(1)[symmetric] n_def eval_abs_def
  by (auto simp: proj_fmula_map)
have wf_conj_neg: wf_fo_intp (Conj  $\varphi$  (Neg  $\psi'$ ))  $I$ 
  using wf
  by (auto simp: ts_def)
show ?thesis
  using fo_wf_eval_abs[OF wf_conj_neg]
  by (auto simp: eval)
qed

lemma eval_disj:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmula}$ 
  assumes wf: fo_wf  $\varphi \text{ } I \text{ } t\varphi$  fo_wf  $\psi \text{ } I \text{ } t\psi$ 
  shows fo_wf (Disj  $\varphi \text{ } \psi$ )  $I$ 
    (eval_disj (fv_fo_fmula_list  $\varphi$ )  $t\varphi$  (fv_fo_fmula_list  $\psi$ )  $t\psi$ )
proof -
  obtain AD $\varphi$  n $\varphi$  X $\varphi$  AD $\psi$  n $\psi$  X $\psi$  where ts_def:
     $t\varphi = (AD\varphi, n\varphi, X\varphi) \text{ } t\psi = (AD\psi, n\psi, X\psi)$ 
     $AD\varphi = \text{act\_edom } \varphi \text{ } I \text{ } AD\psi = \text{act\_edom } \psi \text{ } I$ 
    using assms
    by (cases  $t\varphi$ , cases  $t\psi$ ) auto
  have AD_sub: act_edom  $\varphi \text{ } I \subseteq AD\varphi$  act_edom  $\psi \text{ } I \subseteq AD\psi$ 
    by (auto simp: ts_def(3,4))

  obtain AD n X where AD_X_def:
    eval_disj (fv_fo_fmula_list  $\varphi$ )  $t\varphi$  (fv_fo_fmula_list  $\psi$ )  $t\psi = (AD, n, X)$ 
    by (cases eval_disj (fv_fo_fmula_list  $\varphi$ )  $t\varphi$  (fv_fo_fmula_list  $\psi$ )  $t\psi$ ) auto
  have AD_def:  $AD = \text{act\_edom (Disj } \varphi \text{ } \psi) \text{ } I \text{ act\_edom (Disj } \varphi \text{ } \psi) \text{ } I \subseteq AD$ 
     $AD\varphi \subseteq AD \text{ } AD\psi \subseteq AD \text{ } AD = AD\varphi \cup AD\psi$ 
    using AD_X_def
    by (auto simp: ts_def Let_def)

```

```

have n_def: n = nfv (Disj  $\varphi$   $\psi$ )
  using AD_X_def
  by (auto simp: ts_def Let_def nfv_card fv_fo_fmula_list_set)

define S $\varphi$  where S $\varphi$   $\equiv$  { $\sigma$ . esat  $\varphi$  I  $\sigma$  UNIV}
define S $\psi$  where S $\psi$   $\equiv$  { $\sigma$ . esat  $\psi$  I  $\sigma$  UNIV}
define ns $\varphi$ ' where ns $\varphi$ ' = filter ( $\lambda n$ .  $n \notin$  fv_fo_fmula  $\varphi$ ) (fv_fo_fmula_list  $\psi$ )
define ns $\psi$ ' where ns $\psi$ ' = filter ( $\lambda n$ .  $n \notin$  fv_fo_fmula  $\psi$ ) (fv_fo_fmula_list  $\varphi$ )

note X $\varphi$ _def = fo_wf_X[OF wf(1)[unfolded ts_def(1)], unfolded proj_fmula_def, folded S $\varphi$ _def]
note X $\psi$ _def = fo_wf_X[OF wf(2)[unfolded ts_def(2)], unfolded proj_fmula_def, folded S $\psi$ _def]
have fv_sub: fv_fo_fmula (Disj  $\varphi$   $\psi$ ) = fv_fo_fmula  $\varphi$   $\cup$  set (fv_fo_fmula_list  $\psi$ )
  fv_fo_fmula (Disj  $\varphi$   $\psi$ ) = fv_fo_fmula  $\psi$   $\cup$  set (fv_fo_fmula_list  $\varphi$ )
  by (auto simp: fv_fo_fmula_list_set)
note res_left_alt = ext_tuple_ad_agr_close[OF S $\varphi$ _def AD_sub(1) AD_def(3)
  X $\varphi$ _def(1)[folded S $\varphi$ _def] ns $\varphi$ '_def sorted_distinct_fv_list fv_sub(1)]
note res_right_alt = ext_tuple_ad_agr_close[OF S $\psi$ _def AD_sub(2) AD_def(4)
  X $\psi$ _def(1)[folded S $\psi$ _def] ns $\psi$ '_def sorted_distinct_fv_list fv_sub(2)]

have X = fo_nmlz AD 'proj_fmula (Disj  $\varphi$   $\psi$ ) { $\sigma$ . esat  $\varphi$  I  $\sigma$  UNIV}  $\cup$ 
  fo_nmlz AD 'proj_fmula (Disj  $\varphi$   $\psi$ ) { $\sigma$ . esat  $\psi$  I  $\sigma$  UNIV}
  using AD_X_def
  apply (simp add: ts_def(1,2) Let_def AD_def(5)[symmetric])
  unfolding fv_fo_fmula_list_set proj_fmula_def ns $\varphi$ '_def[symmetric] ns $\psi$ '_def[symmetric]
    S $\varphi$ _def[symmetric] S $\psi$ _def[symmetric]
  using res_left_alt(1) res_right_alt(1)
  by auto
then have eval: eval_disj (fv_fo_fmula_list  $\varphi$ ) t $\varphi$  (fv_fo_fmula_list  $\psi$ ) t $\psi$  =
  eval_abs (Disj  $\varphi$   $\psi$ ) I
  unfolding AD_X_def AD_def(1)[symmetric] n_def eval_abs_def
  by (auto simp: proj_fmula_map)
have wf_disj: wf_fo_intp (Disj  $\varphi$   $\psi$ ) I
  using wf
  by (auto simp: ts_def)
show ?thesis
  using fo_wf_eval_abs[OF wf_disj]
  by (auto simp: eval)
qed

lemma fv_ex_all:
  assumes pos i (fv_fo_fmula_list  $\varphi$ ) = None
  shows fv_fo_fmula_list (Exists i  $\varphi$ ) = fv_fo_fmula_list  $\varphi$ 
    fv_fo_fmula_list (Forall i  $\varphi$ ) = fv_fo_fmula_list  $\varphi$ 
  using pos_complete[of i fv_fo_fmula_list  $\varphi$ ] fv_fo_fmula_list_eq[of Exists i  $\varphi$   $\varphi$ ]
    fv_fo_fmula_list_eq[of Forall i  $\varphi$   $\varphi$ ] assms
  by (auto simp: fv_fo_fmula_list_set)

lemma nfv_ex_all:
  assumes Some: pos i (fv_fo_fmula_list  $\varphi$ ) = Some j
  shows nfv  $\varphi$  = Suc (nfv (Exists i  $\varphi$ )) nfv  $\varphi$  = Suc (nfv (Forall i  $\varphi$ ))
proof -
  have i  $\in$  fv_fo_fmula  $\varphi$  j < nfv  $\varphi$  i  $\in$  set (fv_fo_fmula_list  $\varphi$ )
    using fv_fo_fmula_list_set pos_set[of i fv_fo_fmula_list  $\varphi$ ]
    pos_length[of i fv_fo_fmula_list  $\varphi$ ] Some
    by (fastforce simp: nfv_def)+
  then show nfv  $\varphi$  = Suc (nfv (Exists i  $\varphi$ )) nfv  $\varphi$  = Suc (nfv (Forall i  $\varphi$ ))
    using nfv_card[of  $\varphi$ ] nfv_card[of Exists i  $\varphi$ ] nfv_card[of Forall i  $\varphi$ ]
    by (auto simp: finite_fv_fo_fmula)

```

qed

lemma *fv_fo_fmula_list_exists*: *fv_fo_fmula_list* (*Exists* *n* φ) = *filter* ((\neq) *n*) (*fv_fo_fmula_list* φ)
by (*auto simp*: *fv_fo_fmula_list_def*)
(*metis* (*mono_tags*, *lifting*) *distinct_filter* *distinct_remdups_adj_sort*
distinct_remdups_id *filter_set* *filter_sort* *remdups_adj_set* *sorted_list_of_set_sort_remdups*
sorted_remdups_adj *sorted_sort* *sorted_sort_id*)

lemma *eval_exists*:
fixes $\varphi :: ('a :: \text{infinite}, 'b) \text{fo_fmula}$
assumes *wf*: *fo_wf* φ *I* *t*
shows *fo_wf* (*Exists* *i* φ) *I* (*eval_exists* *i* (*fv_fo_fmula_list* φ) *t*)

proof –

obtain *AD* *n* *X* **where** *t_def*: *t* = (*AD*, *n*, *X*)
AD = *act_edom* φ *I* *AD* = *act_edom* (*Exists* *i* φ) *I*
using *assms*
by (*cases* *t*) *auto*
note *X_def* = *fo_wf_X*[*OF* *wf*[*unfolded* *t_def*], *folded* *t_def*(2)]
have *eval*: *eval_exists* *i* (*fv_fo_fmula_list* φ) *t* = *eval_abs* (*Exists* *i* φ) *I*
proof (*cases* *pos* *i* (*fv_fo_fmula_list* φ))
case *None*
note *fv_eq* = *fv_ex_all*[*OF* *None*]
have *X* = *fo_nmlz* *AD* ‘ *proj_fmula* (*Exists* *i* φ) { σ . *esat* φ *I* σ *UNIV*}
unfolding *X_def*
by (*auto simp*: *proj_fmula_def* *fv_eq*)
also have ... = *fo_nmlz* *AD* ‘ *proj_fmula* (*Exists* *i* φ) { σ . *esat* (*Exists* *i* φ) *I* σ *UNIV*}
using *esat_exists_not_fv*[*of* *i* φ *UNIV* *I*] *pos_complete*[*OF* *None*]
by (*simp add*: *fv_fo_fmula_list_set*)
finally show ?*thesis*
by (*auto simp*: *t_def* *None* *eval_abs_def* *fv_eq* *nfv_def*)

next

case (*Some* *j*)
have *fo_nmlz* *AD* ‘ *rem_nth* *j* ‘ *X* =
fo_nmlz *AD* ‘ *proj_fmula* (*Exists* *i* φ) { σ . *esat* (*Exists* *i* φ) *I* σ *UNIV*}
proof (*rule* *set_eqI*, *rule* *iffI*)
fix *vs*
assume *vs* \in *fo_nmlz* *AD* ‘ *rem_nth* *j* ‘ *X*
then obtain *ws* **where** *ws_def*: *ws* \in *fo_nmlz* *AD* ‘ *proj_fmula* φ { σ . *esat* φ *I* σ *UNIV*}
vs = *fo_nmlz* *AD* (*rem_nth* *j* *ws*)
unfolding *X_def*
by *auto*
then obtain σ **where** σ_def : *esat* φ *I* σ *UNIV*
ws = *fo_nmlz* *AD* (*map* σ (*fv_fo_fmula_list* φ))
by (*auto simp*: *proj_fmula_map*)
obtain τ **where** τ_def : *ws* = *map* τ (*fv_fo_fmula_list* φ)
using *fo_nmlz_map* σ_def (2)
by *blast*
have *esat_* τ : *esat* (*Exists* *i* φ) *I* τ *UNIV*
using *esat_UNIV_ad_agr_list*[*OF* *fo_nmlz_ad_agr*[*of* *AD* *map* σ (*fv_fo_fmula_list* φ),
folded σ_def (2), *unfolded* τ_def]]] σ_def (1)
by (*auto simp*: *t_def* *intro!*: *exI*[*of* τ *i*])
have *rem_nth_ws*: *rem_nth* *j* *ws* = *map* τ (*fv_fo_fmula_list* (*Exists* *i* φ))
using *rem_nth_sound*[*of* *fv_fo_fmula_list* φ *i* *j* τ] *sorted_distinct_fv_list* *Some*
unfolding *fv_fo_fmula_list_exists* τ_def
by *auto*
have *vs* \in *fo_nmlz* *AD* ‘ *proj_fmula* (*Exists* *i* φ) { σ . *esat* (*Exists* *i* φ) *I* σ *UNIV*}
using τ_def (2) *esat_* τ
unfolding *rem_nth_ws*


```

    by (auto simp: proj_fmula_map)
  then show  $vs \in fo\_nmlz\ AD \text{ ' } proj\_fmula\ (Exists\ i\ \varphi)\ \{\sigma. esat\ (Exists\ i\ \varphi)\ I\ \sigma\ UNIV\}$ 
    by auto
next
fix  $vs$ 
assume  $assm: vs \in fo\_nmlz\ AD \text{ ' } proj\_fmula\ (Exists\ i\ \varphi)\ \{\sigma. esat\ (Exists\ i\ \varphi)\ I\ \sigma\ UNIV\}$ 
from  $assm$  obtain  $\sigma$  where  $\sigma\_def: vs = fo\_nmlz\ AD\ (map\ \sigma\ (fv\_fo\_fmula\_list\ (Exists\ i\ \varphi)))$ 
   $esat\ (Exists\ i\ \varphi)\ I\ \sigma\ UNIV$ 
  by (auto simp: proj_fmula_map)
then obtain  $x$  where  $x\_def: esat\ \varphi\ I\ (\sigma(i := x))\ UNIV$ 
  by auto
define  $ws$  where  $ws \equiv fo\_nmlz\ AD\ (map\ (\sigma(i := x))\ (fv\_fo\_fmula\_list\ \varphi))$ 
then have  $length\ ws = nfv\ \varphi$ 
  using  $nfv\_def\ fo\_nmlz\_length$  by (metis length_map)
then have  $ws\_in: ws \in fo\_nmlz\ AD \text{ ' } proj\_fmula\ \varphi\ \{\sigma. esat\ \varphi\ I\ \sigma\ UNIV\}$ 
  using  $x\_def\ ws\_def$ 
  by (auto simp: fo_nmlz_sound proj_fmula_map)
obtain  $\tau$  where  $\tau\_def: ws = map\ \tau\ (fv\_fo\_fmula\_list\ \varphi)$ 
  using  $fo\_nmlz\_map\ ws\_def$ 
  by blast
have  $rem\_nth\_ws: rem\_nth\ j\ ws = map\ \tau\ (fv\_fo\_fmula\_list\ (Exists\ i\ \varphi))$ 
  using  $rem\_nth\_sound[of\ fv\_fo\_fmula\_list\ \varphi\ i\ j]\ sorted\_distinct\_fv\_list\ Some$ 
  unfolding  $fv\_fo\_fmula\_list\_exists\ \tau\_def$ 
  by auto
have  $set\ (fv\_fo\_fmula\_list\ (Exists\ i\ \varphi)) \subseteq set\ (fv\_fo\_fmula\_list\ \varphi)$ 
  by (auto simp: fv_fmula_list_exists)
then have  $ad\_agr: ad\_agr\_list\ AD\ (map\ (\sigma(i := x))\ (fv\_fo\_fmula\_list\ (Exists\ i\ \varphi)))$ 
   $(map\ \tau\ (fv\_fo\_fmula\_list\ (Exists\ i\ \varphi)))$ 
  by (rule  $ad\_agr\_list\_subset$ )
  (rule  $fo\_nmlz\_ad\_agr[of\ AD\ map\ (\sigma(i := x))\ (fv\_fo\_fmula\_list\ \varphi),\ folded\ ws\_def,$ 
     $unfolded\ \tau\_def]$ )
have  $map\_fv\_cong: map\ (\sigma(i := x))\ (fv\_fo\_fmula\_list\ (Exists\ i\ \varphi)) =$ 
   $map\ \sigma\ (fv\_fo\_fmula\_list\ (Exists\ i\ \varphi))$ 
  by (auto simp: fv_fmula_list_exists)
have  $vs\_rem\_nth: vs = fo\_nmlz\ AD\ (rem\_nth\ j\ ws)$ 
  unfolding  $\sigma\_def(1)\ rem\_nth\_ws$ 
  apply (rule  $fo\_nmlz\_eqI$ )
  using  $ad\_agr[unfolded\ map\_fv\_cong]$  .
show  $vs \in fo\_nmlz\ AD \text{ ' } rem\_nth\ j \text{ ' } X$ 
  using  $Some\ ws\_in$ 
  unfolding  $vs\_rem\_nth\ X\_def$ 
  by auto
qed
then show ?thesis
  using  $nfv\_ex\_all[OF\ Some]$ 
  by (auto simp:  $t\_def\ Some\ eval\_abs\_def\ nfv\_def$ )
qed
have  $wf\_ex: wf\_fo\_intp\ (Exists\ i\ \varphi)\ I$ 
  using  $wf$ 
  by (auto simp:  $t\_def$ )
show ?thesis
  using  $fo\_wf\_eval\_abs[OF\ wf\_ex]$ 
  by (auto simp:  $eval$ )
qed

lemma  $fv\_fo\_fmula\_list\_forall: fv\_fo\_fmula\_list\ (Forall\ n\ \varphi) = filter\ ((\neq)\ n)\ (fv\_fo\_fmula\_list\ \varphi)$ 
  by (auto simp:  $fv\_fo\_fmula\_list\_def$ )
  (metis (mono_tags, lifting) distinct_filter distinct_remdups_adj_sort)

```

*distinct_remdups_id filter_set filter_sort remdups_adj_set sorted_list_of_set_sort_remdups
sorted_remdups_adj sorted_sort sorted_sort_id)*

lemma *pairwise_take_drop*:

assumes *pairwise* P (set (zip xs ys)) $length\ xs = length\ ys$
shows *pairwise* P (set (zip (take i xs @ drop (Suc i) xs) (take i ys @ drop (Suc i) ys)))
by (rule *pairwise_subset*[OF *assms*(1)]) (auto simp: set_zip *assms*(2))

lemma *fo_nmlz_set_card*:

fo_nmlz $AD\ xs = xs \implies set\ xs = set\ xs \cap Inl\ 'AD \cup Inr\ '\{..
by (metis *fo_nmlz_sound* *fo_nmlzd_set* *card_Inr_vimage_le_length* *min.absorb2*)$

lemma *ad_agr_list_take_drop*: *ad_agr_list* $AD\ xs\ ys \implies$

ad_agr_list AD (take i xs @ drop (Suc i) xs) (take i ys @ drop (Suc i) ys)
apply (auto simp: *ad_agr_list_def* *ad_equiv_list_def* *sp_equiv_list_def*)
apply (metis *take_zip_in_set_takeD*)
apply (metis *drop_zip_in_set_dropD*)
using *pairwise_take_drop*
by *fastforce*

lemma *fo_nmlz_rem_nth_add_nth*:

assumes *fo_nmlz* $AD\ zs = zs\ i \leq length\ zs$
shows *fo_nmlz* AD (rem_nth i (*fo_nmlz* AD (add_nth $i\ z\ zs$))) = zs

proof –

have *ad_agr*: *ad_agr_list* AD (add_nth $i\ z\ zs$) (*fo_nmlz* AD (add_nth $i\ z\ zs$))
using *fo_nmlz_ad_agr*
by *auto*

have *i_lt_add*: $i < length\ (add_nth\ i\ z\ zs)\ i < length\ (fo_nmlz\ AD\ (add_nth\ i\ z\ zs))$
using *add_nth_length* *assms*(2)
by (*fastforce* simp: *fo_nmlz_length*) +

show ?thesis

using *ad_agr_list_take_drop*[OF *ad_agr*, of i , folded *rem_nth_take_drop*[OF *i_lt_add*(1)]
rem_nth_take_drop[OF *i_lt_add*(2)], unfolded *rem_nth_add_nth*[OF *assms*(2)]]
apply (subst *eq_commute*)
apply (subst *assms*(1)[*symmetric*])
apply (auto intro: *fo_nmlz_eqI*)
done

qed

lemma *ad_agr_list_add*:

assumes *ad_agr_list* $AD\ xs\ ys\ i \leq length\ xs$
shows $\exists z' \in Inl\ 'AD \cup Inr\ '\{..
ad_agr_list AD (take i xs @ $z' \# drop\ i\ xs$) (take i ys @ $z' \# drop\ i\ ys$)$

proof –

define n **where** $n = length\ xs$

have *len_ys*: $n = length\ ys$
using *assms*(1)
by (auto simp: *ad_agr_list_def* *n_def*)

obtain σ **where** σ_def : $xs = map\ \sigma\ [0..
unfolding *n_def*
by (metis *map_nth*)$

obtain τ **where** τ_def : $ys = map\ \tau\ [0..
unfolding *len_ys*
by (metis *map_nth*)$

have *i_le_n*: $i \leq n$
using *assms*(2)
by (auto simp: *n_def*)

have *set_n*: $set\ [0..i] @ $n \# [i..) = \{..n\}$$

```

    using i_le_n
  by auto
have ad_agr: ad_agr_sets ({..n} - {n}) ({..n} - {n}) AD  $\sigma$   $\tau$ 
  using iffD2[OF ad_agr_list_link, OF assms(1)[unfolded  $\sigma\_def$   $\tau\_def$ ]]
  unfolding set_n .
have set_ys:  $\tau$  ' ({..n} - {n}) = set ys
  by (auto simp:  $\tau\_def$ )
obtain z' where z'_def:  $z' \in \text{Inl } 'AD \cup \text{Inr } ' \{..< \text{Suc } (\text{card } (\text{Inr } - ' \text{set } ys))\} \cup \text{set } ys$ 
  ad_agr_sets {..n} {..n} AD ( $\sigma(n := z)$ ) ( $\tau(n := z')$ )
  using extend_ $\tau$ [OF ad_agr_subset_refl,
    of Inl 'AD  $\cup$  Inr ' {..<Suc (card (Inr - ' set ys))}  $\cup$  set ys z]
  by (auto simp: set_ys)
have map_take: map ( $\sigma(n := z)$ ) ([0..i] @ n # [i..n]) = take i xs @ z # drop i xs
  map ( $\tau(n := z')$ ) ([0..i] @ n # [i..n]) = take i ys @ z' # drop i ys
  using i_le_n
  by (auto simp:  $\sigma\_def$   $\tau\_def$  take_map drop_map)
show ?thesis
  using iffD1[OF ad_agr_list_link, OF z'_def(2)[unfolded set_n[symmetric]]] z'_def(1)
  unfolding map_take
  by auto
qed

```

```

lemma add_nth_restrict:
  assumes fo_nmlz AD zs = zs i  $\leq$  length zs
  shows  $\exists z' \in \text{Inl } 'AD \cup \text{Inr } ' \{..< \text{Suc } (\text{card } (\text{Inr } - ' \text{set } zs))\}.$ 
    fo_nmlz AD (add_nth i z zs) = fo_nmlz AD (add_nth i z' zs)
proof -
  have set zs  $\subseteq$  Inl 'AD  $\cup$  Inr ' {..<Suc (card (Inr - ' set zs))}
    using fo_nmlz_set_card[OF assms(1)]
    by auto
  then obtain z' where z'_def:
     $z' \in \text{Inl } 'AD \cup \text{Inr } ' \{..< \text{Suc } (\text{card } (\text{Inr } - ' \text{set } zs))\}$ 
    ad_agr_list AD (take i zs @ z # drop i zs) (take i zs @ z' # drop i zs)
    using ad_agr_list_add[OF ad_agr_list_refl assms(2), of AD z]
    by auto blast
  then show ?thesis
    unfolding add_nth_take_drop[OF assms(2)]
    by (auto intro: fo_nmlz_eqI)
qed

```

```

lemma fo_nmlz_add_rem:
  assumes i  $\leq$  length zs
  shows  $\exists z'. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ z zs)} = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ z' (fo\_nmlz } AD \text{ zs))}$ 
proof -
  have ad_agr: ad_agr_list AD zs (fo_nmlz AD zs)
    using fo_nmlz_ad_agr
    by auto
  have i_le_fo_nmlz: i  $\leq$  length (fo_nmlz AD zs)
    using assms(1)
    by (auto simp: fo_nmlz_length)
  obtain x where x_def: ad_agr_list AD (add_nth i z zs) (add_nth i x (fo_nmlz AD zs))
    using ad_agr_list_add[OF ad_agr assms(1)]
    by (auto simp: add_nth_take_drop[OF assms(1)] add_nth_take_drop[OF i_le_fo_nmlz])
  then show ?thesis
    using fo_nmlz_eqI
    by auto
qed

```

```

lemma fo_nmlz_add_rem':
  assumes i ≤ length zs
  shows ∃ z'. fo_nmlz AD (add_nth i z (fo_nmlz AD zs)) = fo_nmlz AD (add_nth i z' zs)
proof -
  have ad_agr: ad_agr_list AD (fo_nmlz AD zs) zs
    using ad_agr_list_comm[OF fo_nmlz_ad_agr]
    by auto
  have i_le_fo_nmlz: i ≤ length (fo_nmlz AD zs)
    using assms(1)
    by (auto simp: fo_nmlz_length)
  obtain x where x_def: ad_agr_list AD (add_nth i z (fo_nmlz AD zs)) (add_nth i x zs)
    using ad_agr_list_add[OF ad_agr i_le_fo_nmlz]
    by (auto simp: add_nth_take_drop[OF assms(1)] add_nth_take_drop[OF i_le_fo_nmlz])
  then show ?thesis
    using fo_nmlz_eqI
    by auto
qed

lemma fo_nmlz_add_nth_rem_nth:
  assumes fo_nmlz AD xs = xs i < length xs
  shows ∃ z. fo_nmlz AD (add_nth i z (fo_nmlz AD (rem_nth i xs))) = xs
  using rem_nth_length[OF assms(2)] fo_nmlz_add_rem[of i rem_nth i xs AD xs ! i,
    unfolded assms(1) add_nth_rem_nth_self[OF assms(2)]] assms(2)
  by (subst eq_commute) auto

lemma sp_equiv_list_almost_same: sp_equiv_list (xs @ v # ys) (xs @ w # ys) ⇒
  v ∈ set xs ∪ set ys ∨ w ∈ set xs ∪ set ys ⇒ v = w
  by (auto simp: sp_equiv_list_def pairwise_def) (metis UnCI sp_equiv_pair.simps zip_same)+

lemma ad_agr_list_add_nth:
  assumes i ≤ length zs ad_agr_list AD (add_nth i v zs) (add_nth i w zs) v ≠ w
  shows {v, w} ∩ (Inl ' AD ∪ set zs) = {}
  using assms(2)[unfolded add_nth_take_drop[OF assms(1)]] assms(1,3) sp_equiv_list_almost_same
  by (auto simp: ad_agr_list_def ad_equiv_list_def ad_equiv_pair.simps)
  (smt append_take_drop_id set_append sp_equiv_list_almost_same)+

lemma Inr_in_tuple:
  assumes fo_nmlz AD zs = zs n < card (Inr - ' set zs)
  shows Inr n ∈ set zs
  using assms fo_nmlz_set_card[OF assms(1)]
  by (auto simp: fo_nmlzd_code[symmetric])

lemma card_wit_sub:
  assumes finite Z card Z ≤ card {ts ∈ X. ∃ z ∈ Z. ts = f z}
  shows f ' Z ⊆ X
proof -
  have set_unfold: {ts ∈ X. ∃ z ∈ Z. ts = f z} = f ' Z ∩ X
    by auto
  show ?thesis
    using assms
    unfolding set_unfold
    by (metis Int_lower1 card_image_le card_seteq finite_imageI inf.absorb_iff1 le_antisym
      surj_card_le)
qed

lemma add_nth_iff_card:
  assumes (∧xs. xs ∈ X ⇒ fo_nmlz AD xs = xs) (∧xs. xs ∈ X ⇒ i < length xs)
  fo_nmlz AD zs = zs i ≤ length zs finite AD finite X

```

```

shows  $(\forall z. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs) \in X) \longleftrightarrow$ 
 $Suc \text{ (card } AD + \text{card (Inr - 'set } zs)) \leq \text{card } \{ts \in X. \exists z. ts = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)\}$ 
proof -
have inj: inj_on  $(\lambda z. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs))$ 
 $(Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\})$ 
using ad_agr_list_add_nth[OF assms(4)] Inr_in_tuple[OF assms(3)] less_Suc_eq
by (fastforce simp: inj_on_def dest!: fo_nmlz_eqD)
have card_Un:  $\text{card } (Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\}) =$ 
 $Suc \text{ (card } AD + \text{card (Inr - 'set } zs))$ 
using card_Un_disjoint[of Inl ' AD Inr '  $\{..<Suc \text{ (card (Inr - 'set } zs))\}$ ] assms(5)
by (auto simp add: card_image disjoint_iff_not_equal)
have restrict_z:  $(\forall z. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs) \in X) \longleftrightarrow$ 
 $(\forall z \in Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\}. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs) \in X)$ 
using add_nth_restrict[OF assms(3,4)]
by metis
have restrict_z':  $\{ts \in X. \exists z. ts = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)\} =$ 
 $\{ts \in X. \exists z \in Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\}. ts = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)\}$ 
using add_nth_restrict[OF assms(3,4)]
by auto
{
assume  $\bigwedge z. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs) \in X$ 
then have image_sub:  $(\lambda z. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)) \text{ ' }$ 
 $(Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\}) \subseteq$ 
 $\{ts \in X. \exists z. ts = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)\}$ 
by auto
have  $Suc \text{ (card } AD + \text{card (Inr - 'set } zs)) \leq$ 
 $\text{card } \{ts \in X. \exists z. ts = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)\}$ 
unfolding card_Un[symmetric]
using card_inj_on_le[OF inj image_sub] assms(6)
by auto
then have  $Suc \text{ (card } AD + \text{card (Inr - 'set } zs)) \leq$ 
 $\text{card } \{ts \in X. \exists z. ts = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)\}$ 
by (auto simp: card_image)
}
moreover
{
assume assm:  $\text{card } (Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\}) \leq$ 
 $\text{card } \{ts \in X. \exists z \in Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\}. ts = \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs)\}$ 
have  $\forall z \in Inl \text{ ' } AD \cup Inr \text{ ' } \{..<Suc \text{ (card (Inr - 'set } zs))\}. \text{fo\_nmlz } AD \text{ (add\_nth } i \text{ } z \text{ } zs) \in X$ 
using card_wit_sub[OF assm] assms(5)
by auto
}
ultimately show ?thesis
unfolding restrict_z[symmetric] restrict_z'[symmetric] card_Un
by auto
qed

```

```

lemma set_fo_nmlz_add_nth_rem_nth:
assumes  $j < \text{length } xs \wedge x. x \in X \implies \text{fo\_nmlz } AD \text{ } x = x$ 
 $\wedge x. x \in X \implies j < \text{length } x$ 
shows  $\{ts \in X. \exists z. ts = \text{fo\_nmlz } AD \text{ (add\_nth } j \text{ } z \text{ (fo\_nmlz } AD \text{ (rem\_nth } j \text{ } xs))\}) =$ 
 $\{y \in X. \text{fo\_nmlz } AD \text{ (rem\_nth } j \text{ } y) = \text{fo\_nmlz } AD \text{ (rem\_nth } j \text{ } xs)\}$ 
using fo_nmlz_rem_nth_add_nth[where ?zs=fo_nmlz AD (rem_nth j xs)] rem_nth_length[OF assms(1)]
fo_nmlz_add_nth_rem_nth assms
by (fastforce simp: fo_nmlz_idem[OF fo_nmlz_sound] fo_nmlz_length)

```

```

lemma eval_forall:
  fixes  $\varphi :: ('a :: \text{infinite}, 'b) \text{fo\_fmla}$ 
  assumes wf:  $\text{fo\_wf } \varphi \ I \ t$ 
  shows  $\text{fo\_wf } (\text{Forall } i \ \varphi) \ I \ (\text{eval\_forall } i \ (\text{fv\_fo\_fmla\_list } \varphi) \ t)$ 
proof -
  obtain  $AD \ n \ X$  where  $t\_def: t = (AD, n, X) \ AD = \text{act\_edom } \varphi \ I$ 
     $AD = \text{act\_edom } (\text{Forall } i \ \varphi) \ I$ 
    using assms
    by (cases t) auto
  have  $AD\_sub: \text{act\_edom } \varphi \ I \subseteq AD$ 
    by (auto simp: t_def(2))
  have  $\text{fin\_AD}: \text{finite } AD$ 
    using finite_act_edom wf
    by (auto simp: t_def)
  have  $\text{fin\_X}: \text{finite } X$ 
    using wf
    by (auto simp: t_def)
  note  $X\_def = \text{fo\_wf\_X}[OF \text{wf}[\text{unfolded } t\_def], \text{folded } t\_def(2)]$ 
  have  $\text{eval}: \text{eval\_forall } i \ (\text{fv\_fo\_fmla\_list } \varphi) \ t = \text{eval\_abs } (\text{Forall } i \ \varphi) \ I$ 
  proof (cases pos i (fv\_fo\_fmla\_list \varphi))
  case None
    note  $\text{fv\_eq} = \text{fv\_ex\_all}[OF \text{None}]$ 
    have  $X = \text{fo\_nmlz } AD \ ' \ \text{proj\_fmla } (\text{Forall } i \ \varphi) \ \{\sigma. \text{esat } \varphi \ I \ \sigma \ UNIV\}$ 
      unfolding  $X\_def$ 
      by (auto simp: proj_fmla_def fv_eq)
    also have  $\dots = \text{fo\_nmlz } AD \ ' \ \text{proj\_fmla } (\text{Forall } i \ \varphi) \ \{\sigma. \text{esat } (\text{Forall } i \ \varphi) \ I \ \sigma \ UNIV\}$ 
      using esat_forall_not_fv[of i \varphi UNIV I] pos_complete[OF None]
      by (auto simp: fv\_fo\_fmla\_list\_set)
    finally show ?thesis
      by (auto simp: t_def None eval_abs_def fv_eq nfv_def)
  next
  case (Some j)
  have  $i\_in\_fv: i \in \text{fv\_fo\_fmla } \varphi$ 
    by (rule pos_set[OF Some, unfolded fv\_fo\_fmla\_list\_set])
  have  $\text{fo\_nmlz\_X}: \bigwedge xs. xs \in X \implies \text{fo\_nmlz } AD \ xs = xs$ 
    by (auto simp: X_def proj_fmla_map fo\_nmlz\_idem[OF fo\_nmlz\_sound])
  have  $j\_lt\_len: \bigwedge xs. xs \in X \implies j < \text{length } xs$ 
    using pos_sound[OF Some]
    by (auto simp: X_def proj_fmla_map fo\_nmlz\_length)
  have  $\text{rem\_nth } j \ \text{le } len: \bigwedge xs. xs \in X \implies j \leq \text{length } (\text{fo\_nmlz } AD \ (\text{rem\_nth } j \ xs))$ 
    using rem_nth_length j\_lt\_len
    by (fastforce simp: fo\_nmlz\_length)
  have  $\text{img\_proj\_fmla}: \text{Mapping.keys } (\text{Mapping.filter } (\lambda t \ Z. \text{Suc } (\text{card } AD + \text{card } (\text{Inr } - ' \ \text{set } t)) \leq \text{card } Z))$ 
     $(\text{cluster } (\text{Some } \circ (\lambda ts. \text{fo\_nmlz } AD \ (\text{rem\_nth } j \ ts))) \ X) =$ 
     $\text{fo\_nmlz } AD \ ' \ \text{proj\_fmla } (\text{Forall } i \ \varphi) \ \{\sigma. \text{esat } (\text{Forall } i \ \varphi) \ I \ \sigma \ UNIV\}$ 
  proof (rule set_eqI, rule iffI)
  fix vs
  assume  $vs \in \text{Mapping.keys } (\text{Mapping.filter } (\lambda t \ Z. \text{Suc } (\text{card } AD + \text{card } (\text{Inr } - ' \ \text{set } t)) \leq \text{card } Z))$ 
     $(\text{cluster } (\text{Some } \circ (\lambda ts. \text{fo\_nmlz } AD \ (\text{rem\_nth } j \ ts))) \ X)$ 
  then obtain  $ws$  where  $ws\_def: ws \in X \ \text{vs} = \text{fo\_nmlz } AD \ (\text{rem\_nth } j \ ws)$ 
     $\bigwedge a. \text{fo\_nmlz } AD \ (\text{add\_nth } j \ a \ (\text{fo\_nmlz } AD \ (\text{rem\_nth } j \ ws))) \in X$ 
    using add_nth_iff_card[OF fo\_nmlz\_X j\_lt\_len fo\_nmlz\_idem[OF fo\_nmlz\_sound]
     $\text{rem\_nth } j \ \text{le } len \ \text{fin\_AD } \text{fin\_X}] \ \text{set\_fo\_nmlz\_add\_nth\_rem\_nth}[OF j\_lt\_len \text{fo\_nmlz\_X}$ 
     $j\_lt\_len]$ 
    by transfer (fastforce split: option.splits if_splits)
  then obtain  $\sigma$  where  $\sigma\_def:$ 
     $\text{esat } \varphi \ I \ \sigma \ UNIV \ ws = \text{fo\_nmlz } AD \ (\text{map } \sigma \ (\text{fv\_fo\_fmla\_list } \varphi))$ 

```

```

  unfolding X_def
  by (auto simp: proj_fmula_map)
obtain  $\tau$  where  $\tau\_def$ :  $ws = \text{map } \tau (fv\_fo\_fmula\_list \ \varphi)$ 
  using fo_nmlz_map  $\sigma\_def(2)$ 
  by blast
have fo_nmlzd  $\tau$ : fo_nmlzd AD (map  $\tau$  (fv\_fo\_fmula\_list  $\varphi$ ))
  unfolding  $\tau\_def[symmetric]$   $\sigma\_def(2)$ 
  by (rule fo_nmlz_sound)
have rem_nth_j ws: rem_nth j ws = map  $\tau$  (filter (( $\neq$ ) i) (fv\_fo\_fmula\_list  $\varphi$ ))
  using rem_nth_sound[OF _ Some] sorted_distinct_fv_list
  by (auto simp:  $\tau\_def$ )
have esat  $\tau$ : esat (Forall i  $\varphi$ ) I  $\tau$  UNIV
  unfolding esat.simps
proof (rule ballI)
  fix x
  have fo_nmlz AD (add_nth j x (rem_nth j ws))  $\in$  X
    using fo_nmlz_add_rem[of j rem_nth j ws AD x] rem_nth_length
    j_lt_len[OF ws_def(1)] ws_def(3)
    by fastforce
  then have fo_nmlz AD (map ( $\tau(i := x)$ ) (fv\_fo\_fmula\_list  $\varphi$ ))  $\in$  X
    using add_nth_rem_nth_map[OF _ Some, of x] sorted_distinct_fv_list
    unfolding  $\tau\_def$ 
    by fastforce
  then show esat  $\varphi$  I ( $\tau(i := x)$ ) UNIV
    by (auto simp: X_def proj_fmula_map esat_UNIV_ad_agr_list[OF _ AD_sub]
        dest!: fo_nmlz_eqD)
qed
have rem_nth ws: rem_nth j ws = map  $\tau$  (fv\_fo\_fmula\_list (Forall i  $\varphi$ ))
  using rem_nth_sound[OF _ Some] sorted_distinct_fv_list
  by (auto simp: fv\_fo\_fmula\_list_forall  $\tau\_def$ )
then show  $vs \in fo\_nmlz \ AD \ 'proj\_fmula \ (Forall \ i \ \varphi) \ \{\sigma. \ esat \ (Forall \ i \ \varphi) \ I \ \sigma \ UNIV\}$ 
  using ws_def(2) esat_ $\tau$ 
  by (auto simp: proj_fmula_map rem_nth_ws)
next
fix vs
assume assm:  $vs \in fo\_nmlz \ AD \ 'proj\_fmula \ (Forall \ i \ \varphi) \ \{\sigma. \ esat \ (Forall \ i \ \varphi) \ I \ \sigma \ UNIV\}$ 
from assm obtain  $\sigma$  where  $\sigma\_def$ :  $vs = fo\_nmlz \ AD \ (map \ \sigma \ (fv\_fo\_fmula\_list \ (Forall \ i \ \varphi)))$ 
  esat (Forall i  $\varphi$ ) I  $\sigma$  UNIV
  by (auto simp: proj_fmula_map)
then have all_esat:  $\bigwedge x. \ esat \ \varphi \ I \ (\sigma(i := x)) \ UNIV$ 
  by auto
define ws where  $ws \equiv fo\_nmlz \ AD \ (map \ \sigma \ (fv\_fo\_fmula\_list \ \varphi))$ 
then have length ws = nfv  $\varphi$ 
  using nfv_def fo_nmlz_length by (metis length_map)
then have ws_in:  $ws \in fo\_nmlz \ AD \ 'proj\_fmula \ \varphi \ \{\sigma. \ esat \ \varphi \ I \ \sigma \ UNIV\}$ 
  using all_esat[of  $\sigma$  i] ws_def
  by (auto simp: fo_nmlz_sound proj_fmula_map)
then have ws_in_X:  $ws \in X$ 
  by (auto simp: X_def)
obtain  $\tau$  where  $\tau\_def$ :  $ws = \text{map } \tau (fv\_fo\_fmula\_list \ \varphi)$ 
  using fo_nmlz_map ws_def
  by blast
have rem_nth ws: rem_nth j ws = map  $\tau$  (fv\_fo\_fmula\_list (Forall i  $\varphi$ ))
  using rem_nth_sound[of fv\_fo\_fmula\_list  $\varphi$  i j] sorted_distinct_fv_list Some
  unfolding fv\_fo\_fmula\_list_forall  $\tau\_def$ 
  by auto
have set (fv\_fo\_fmula\_list (Forall i  $\varphi$ ))  $\subseteq$  set (fv\_fo\_fmula\_list  $\varphi$ )
  by (auto simp: fv\_fo\_fmula\_list_forall)

```

```

then have ad_agr: ad_agr_list AD (map  $\sigma$  (fv_fo_fmula_list (Forall i  $\varphi$ )))
  (map  $\tau$  (fv_fo_fmula_list (Forall i  $\varphi$ )))
apply (rule ad_agr_list_subset)
using fo_nmlz_ad_agr[of AD] ws_def  $\tau$ _def
by metis
have map_fv_cong:  $\bigwedge x. \text{map } (\sigma(i := x)) \text{ (fv\_fo\_fmula\_list (Forall } i \ \varphi)) =$ 
  map  $\sigma$  (fv_fo_fmula_list (Forall i  $\varphi$ ))
by (auto simp: fv_fo_fmula_list_forall)
have vs_rem_nth: vs = fo_nmlz AD (rem_nth j ws)
unfolding  $\sigma$ _def(1) rem_nth_ws
apply (rule fo_nmlz_eqI)
using ad_agr[unfolded map_fv_cong] .
have  $\bigwedge a. \text{fo\_nmlz AD (add\_nth j a (fo\_nmlz AD (rem\_nth j ws)))} \in$ 
  fo_nmlz AD 'proj_fmula  $\varphi$  { $\sigma. \text{esat } \varphi \ I \ \sigma \ \text{UNIV}$ }
proof -
  fix a
obtain x where add_rem: fo_nmlz AD (add_nth j a (fo_nmlz AD (rem_nth j ws))) =
  fo_nmlz AD (map ( $\tau(i := x)$ ) (fv_fo_fmula_list  $\varphi$ ))
using add_nth_rem_nth_map[OF _ Some, of  $\tau$ ] sorted_distinct_fv_list
  fo_nmlz_add_rem'[of j rem_nth j ws] rem_nth_length[of j ws]
  j_lt_len[OF ws_in_X]
by (fastforce simp:  $\tau$ _def)
have esat (Forall i  $\varphi$ ) I  $\tau$  UNIV
apply (rule iffD1[OF esat_UNIV_ad_agr_list  $\sigma$ _def(2), OF _ subset_refl, folded t_def])
using fo_nmlz_ad_agr[of AD map  $\sigma$  (fv_fo_fmula_list  $\varphi$ ), folded ws_def, unfolded  $\tau$ _def]
unfolding ad_agr_list_link[symmetric]
by (auto simp: fv_fo_fmula_list_set ad_agr_sets_def sp_equiv_def pairwise_def)
then have esat  $\varphi \ I \ (\tau(i := x)) \ \text{UNIV}$ 
by auto
then show fo_nmlz AD (add_nth j a (fo_nmlz AD (rem_nth j ws)))  $\in$ 
  fo_nmlz AD 'proj_fmula  $\varphi$  { $\sigma. \text{esat } \varphi \ I \ \sigma \ \text{UNIV}$ }
by (auto simp: add_rem proj_fmula_map)
qed
then show vs  $\in \text{Mapping.keys (Mapping.filter } (\lambda t \ Z. \text{Suc (card AD + card (Inr - 'set t))} \leq \text{card}$ 
Z)
  (cluster (Some  $\circ (\lambda ts. \text{fo\_nmlz AD (rem\_nth j ts)})$ ) X))
unfolding vs_rem_nth X_def[symmetric]
using add_nth_iff_card[OF fo_nmlz_X j_lt_len fo_nmlz_idem[OF fo_nmlz_sound]
  rem_nth_j_le_len fin_AD fin_X] set_fo_nmlz_add_nth_rem_nth[OF j_lt_len fo_nmlz_X
j_lt_len] ws_in_X
by transfer (fastforce split: option.splits if_splits)
qed
show ?thesis
using nfv_ex_all[OF Some]
by (simp add: t_def Some eval_abs_def nfv_def img_proj_fmula[unfolded t_def(2)]
  split: option.splits)
qed
have wf_all: wf_fo_intp (Forall i  $\varphi$ ) I
using wf
by (auto simp: t_def)
show ?thesis
using fo_wf_eval_abs[OF wf_all]
by (auto simp: eval)
qed

fun fo_res :: ('a, nat) fo_t  $\Rightarrow$  'a eval_res where
  fo_res (AD, n, X) = (if fo_fin (AD, n, X) then Fin (map projl 'X) else Infin)

```



```

lemma fo_res_fin:
  fixes t :: ('a :: infinite, nat) fo_t
  assumes fo_wf  $\varphi$  I t finite (fo_rep t)
  shows fo_res t = Fin (fo_rep t)
proof -
  obtain AD n X where t_def: t = (AD, n, X)
  using assms(1)
  by (cases t) auto
  show ?thesis
  using fo_fin assms
  by (fastforce simp only: t_def fo_res.simps fo_rep_fin split: if_splits)
qed

lemma fo_res_infin:
  fixes t :: ('a :: infinite, nat) fo_t
  assumes fo_wf  $\varphi$  I t ¬finite (fo_rep t)
  shows fo_res t = Infin
proof -
  obtain AD n X where t_def: t = (AD, n, X)
  using assms(1)
  by (cases t) auto
  show ?thesis
  using fo_fin assms
  by (fastforce simp only: t_def fo_res.simps split: if_splits)
qed

lemma fo_rep: fo_wf  $\varphi$  I t  $\implies$  fo_rep t = proj_sat  $\varphi$  I
  by (cases t) auto

global_interpretation Ailamazyan: eval_fo fo_wf eval_pred fo_rep fo_res
eval_bool eval_eq eval_neg eval_conj_idx eval_ajoin eval_disj
eval_exists eval_forall
defines eval_fmla = Ailamazyan.eval_fmla
and eval = Ailamazyan.eval
apply standard
  apply (rule fo_rep, assumption+)
  apply (rule fo_res_fin, assumption+)
  apply (rule fo_res_infin, assumption+)
  apply (rule eval_pred, assumption+)
  apply (rule eval_bool)
  apply (rule eval_eq)
  apply (rule eval_neg, assumption+)
  apply (rule eval_conj, assumption+)
  apply (rule eval_ajoin, assumption+)
  apply (rule eval_disj, assumption+)
  apply (rule eval_exists, assumption+)
  apply (rule eval_forall, assumption+)
done

definition esat_UNIV :: ('a :: infinite, 'b) fo_fmla  $\Rightarrow$  ('a table, 'b) fo_intp  $\Rightarrow$  ('a + nat) val  $\Rightarrow$  bool
where
  esat_UNIV  $\varphi$  I  $\sigma$  = esat  $\varphi$  I  $\sigma$  UNIV

lemma esat_UNIV_code[code]: esat_UNIV  $\varphi$  I  $\sigma \longleftrightarrow$  (if wf_fo_intp  $\varphi$  I then
  (case eval_fmla  $\varphi$  I of (AD, n, X)  $\Rightarrow$ 
  fo_nmlz (act_edom  $\varphi$  I) (map  $\sigma$  (fv_fo_fmla_list  $\varphi$ ))  $\in$  X)
  else esat_UNIV  $\varphi$  I  $\sigma$ )
proof -

```

```

obtain  $AD\ n\ T$  where  $t\_def$ :  $Ailamazyan.eval\_fmla\ \varphi\ I = (AD, n, T)$ 
by ( $cases\ Ailamazyan.eval\_fmla\ \varphi\ I$ ) auto
{
  assume  $wf\_fo\_intp$ :  $wf\_fo\_intp\ \varphi\ I$ 
  note  $fo\_wf = Ailamazyan.eval\_fmla\_correct[OF\ wf\_fo\_intp,\ unfolded\ t\_def]$ 
  note  $T\_def = fo\_wf\_X[OF\ fo\_wf]$ 
  have  $AD\_def$ :  $AD = act\_edom\ \varphi\ I$ 
    using  $fo\_wf$ 
    by auto
  have  $esat\_UNIV\ \varphi\ I\ \sigma \longleftrightarrow$ 
     $fo\_nmlz\ (act\_edom\ \varphi\ I)\ (map\ \sigma\ (fv\_fo\_fmla\_list\ \varphi)) \in T$ 
    using  $esat\_UNIV\_ad\_agr\_list[OF\ \_subset\_refl]$ 
    by (force simp add: esat_UNIV_def T_def AD_def proj_fmla_map
       $dest!$ :  $fo\_nmlz\_eqD$ )
}
then show  $?thesis$ 
by (auto simp: t_def)
qed

```

```

lemma  $sat\_code[code]$ :
  fixes  $\varphi :: ('a :: infinite, 'b)\ fo\_fmla$ 
  shows  $sat\ \varphi\ I\ \sigma \longleftrightarrow (if\ wf\_fo\_intp\ \varphi\ I\ then$ 
     $(case\ eval\_fmla\ \varphi\ I\ of\ (AD,\ n,\ X) \Rightarrow$ 
       $fo\_nmlz\ (act\_edom\ \varphi\ I)\ (map\ (Inl\ \circ\ \sigma)\ (fv\_fo\_fmla\_list\ \varphi)) \in X)$ 
     $else\ sat\ \varphi\ I\ \sigma)$ 
  using  $esat\_UNIV\_code\ sat\_esat\_conv[folded\ esat\_UNIV\_def]$ 
  by metis

```

end

References

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