

NoSQL Databases

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Database and Database Management System

- ▶ **Database:** an organized collection of data.



- ▶ **Database Management System (DBMS):** a software that interacts with users, other applications, and the database itself to capture and analyze data.

Relational Databases Management Systems (RDBMSs)

- ▶ RDBMSs: the dominant technology for storing structured data in web and business applications.
- ▶ SQL is good
 - Rich language and toolset
 - Easy to use and integrate
 - Many vendors
- ▶ They promise: ACID



ACID Properties

► Atomicity

- All included statements in a transaction are either **executed** or the **whole transaction is aborted** without affecting the database.

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► Isolation

- Transactions can not see **uncommitted changes** in the database.

ACID Properties

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- A database is in a **consistent** state before and after a transaction.

► Isolation

- Transactions can not see **uncommitted changes** in the database.

► Durability

- Changes are written to a **disk** before a database commits a transaction so that committed data cannot be lost through a power **failure**.

- ▶ Web-based applications caused spikes.

- Internet-scale data size
- High read-write rates
- Frequent schema changes



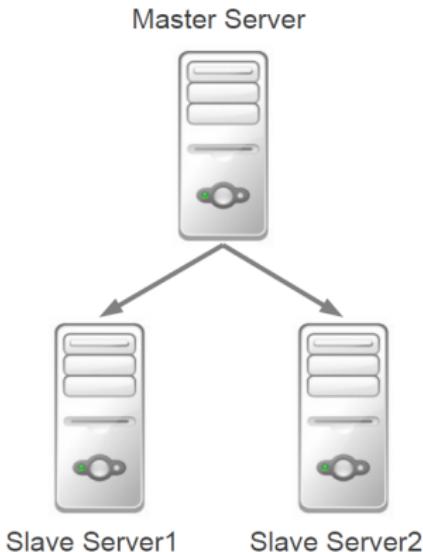
Let's Scale RDBMSs

- ▶ RDBMS were not designed to be distributed.
- ▶ Possible solutions:
 - Replication
 - Sharding

Let's Scale RDBMSs - Replication

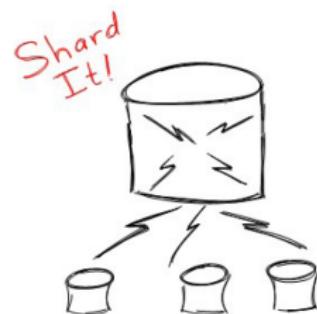
- ▶ Master/Slave architecture

- ▶ Scales **read** operations

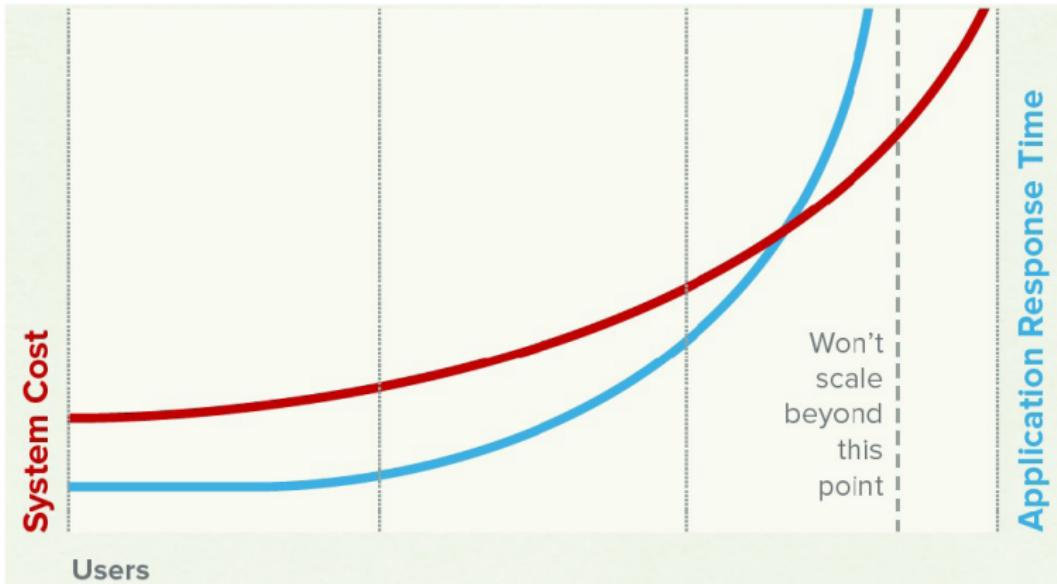


Let's Scale RDBMSs - Sharding

- ▶ Dividing the database across many machines.
- ▶ It scales **read** and **write** operations.
- ▶ **Cannot** execute **transactions** across shards (partitions).



Scaling RDBMSs is Expensive and Inefficient

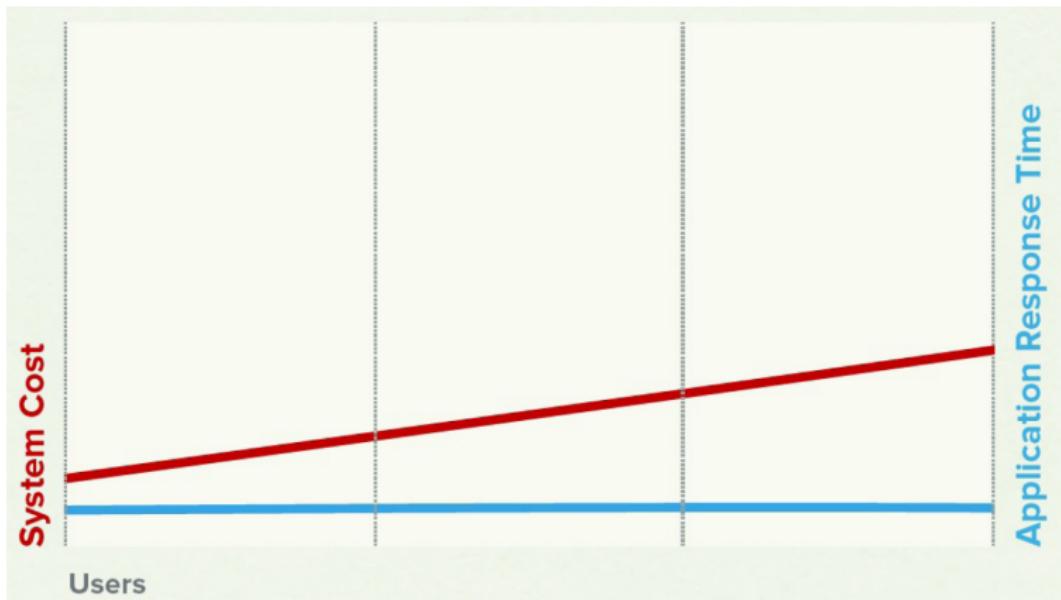


[<http://www.couchbase.com/sites/default/files/uploads/all/whitepapers/NoSQLWhitepaper.pdf>]

NoSQL

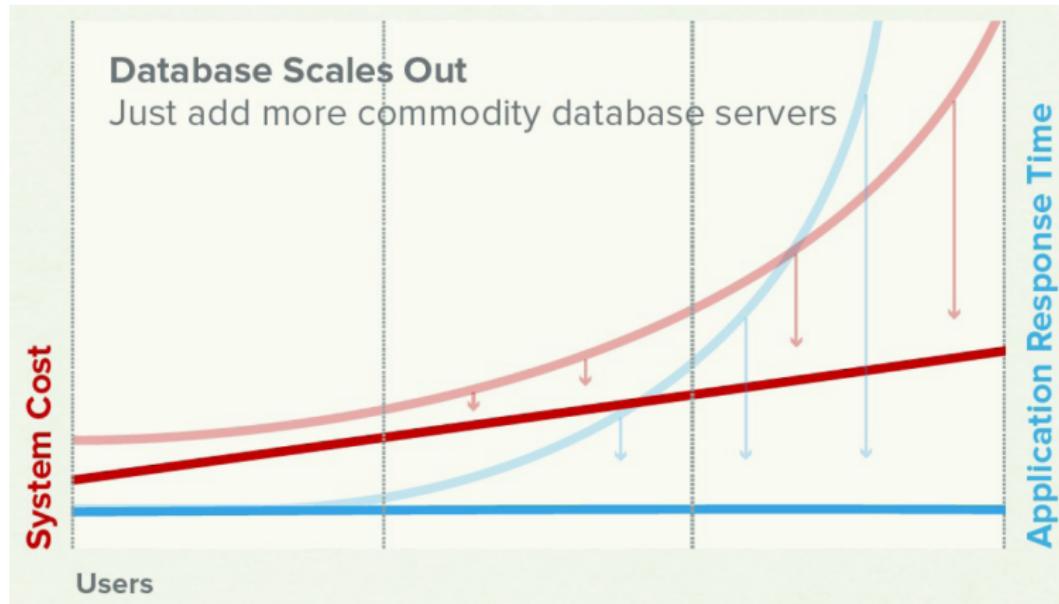
- ▶ Avoidance of unneeded complexity
- ▶ High throughput
- ▶ Horizontal scalability and running on commodity hardware
- ▶ Compromising reliability for better performance

NoSQL Cost and Performance



[<http://www.couchbase.com/sites/default/files/uploads/all/whitepapers/NoSQLWhitepaper.pdf>]

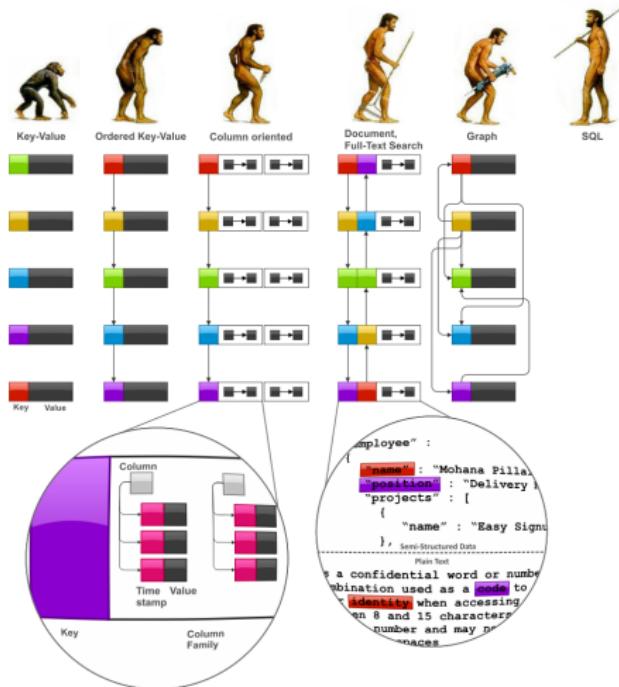
RDBMS vs. NoSQL



[<http://www.couchbase.com/sites/default/files/uploads/all/whitepapers/NoSQLWhitepaper.pdf>]

NoSQL Data Models

NoSQL Data Models



[<http://highlyscalable.wordpress.com/2012/03/01/nosql-data-modeling-techniques>]

Key-Value Data Model

- ▶ Collection of **key/value** pairs.
- ▶ **Ordered Key-Value:** processing over **key ranges**.
- ▶ Dynamo, Scalaris, Voldemort, Riak, ...

Column-Oriented Data Model

- ▶ Similar to a **key/value** store, but the **value** can have multiple **attributes** (Columns).
- ▶ **Column**: a set of data **values** of a particular **type**.
- ▶ Store and process data by **column** instead of **row**.
- ▶ BigTable, Hbase, Cassandra, ...



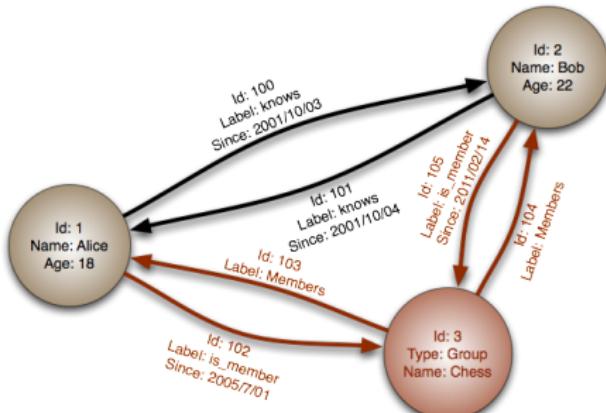
Document Data Model

- ▶ Similar to a **column-oriented** store, but values can have **complex documents**, instead of fixed format.
- ▶ Flexible schema.
- ▶ XML, YAML, JSON, and BSON.
- ▶ CouchDB, MongoDB, ...

```
{  
    FirstName: "Bob",  
    Address: "5 Oak St.",  
    Hobby: "sailing"  
}  
  
{  
    FirstName: "Jonathan",  
    Address: "15 Wanamassa Point Road",  
    Children: [  
        {Name: "Michael", Age: 10},  
        {Name: "Jennifer", Age: 8},  
    ]  
}
```

Graph Data Model

- ▶ Uses graph structures with **nodes**, **edges**, and **properties** to represent and store data.
- ▶ Neo4J, InfoGrid, ...



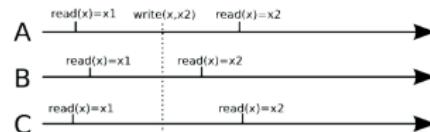
[http://en.wikipedia.org/wiki/Graph_database]

Consistency

Consistency

► Strong consistency

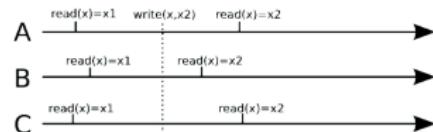
- After an update completes, any subsequent access will return the updated value.



Consistency

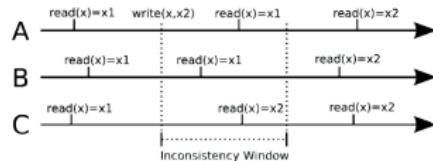
► Strong consistency

- After an update completes, any subsequent access will return the updated value.



► Eventual consistency

- Does not guarantee that subsequent accesses will return the updated value.
- Inconsistency window.
- If no new updates are made to the object, eventually all accesses will return the last updated value.



Quorum Model

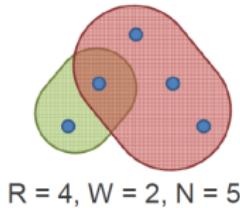
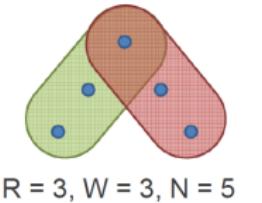
- ▶ N : the number of nodes to which a data item is replicated.
- ▶ R : the number of nodes a value has to be read from to be accepted.
- ▶ W : the number of nodes a new value has to be written to before the write operation is finished.

- ▶ To enforce strong consistency: $R + W > N$



Quorum Model

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Consistency vs. Availability

- ▶ The large-scale applications have to be **reliable**: **availability** + **redundancy**
- ▶ These properties are **difficult** to achieve with **ACID** properties.
- ▶ The **BASE** approach forfeits the ACID properties of **consistency** and **isolation** in favor of availability, graceful degradation, and performance.

► Basic Availability

- Possibilities of faults but not a fault of the whole system.

► Soft-state

- Copies of a data item may be inconsistent

► Eventually consistent

- Copies becomes consistent at some later time if there are no more updates to that data item

CAP Theorem

► Consistency

- Consistent state of data after the execution of an operation.

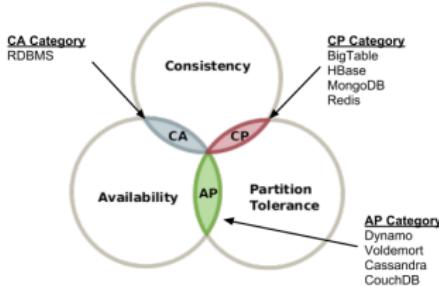
► Availability

- Clients can always read and write data.

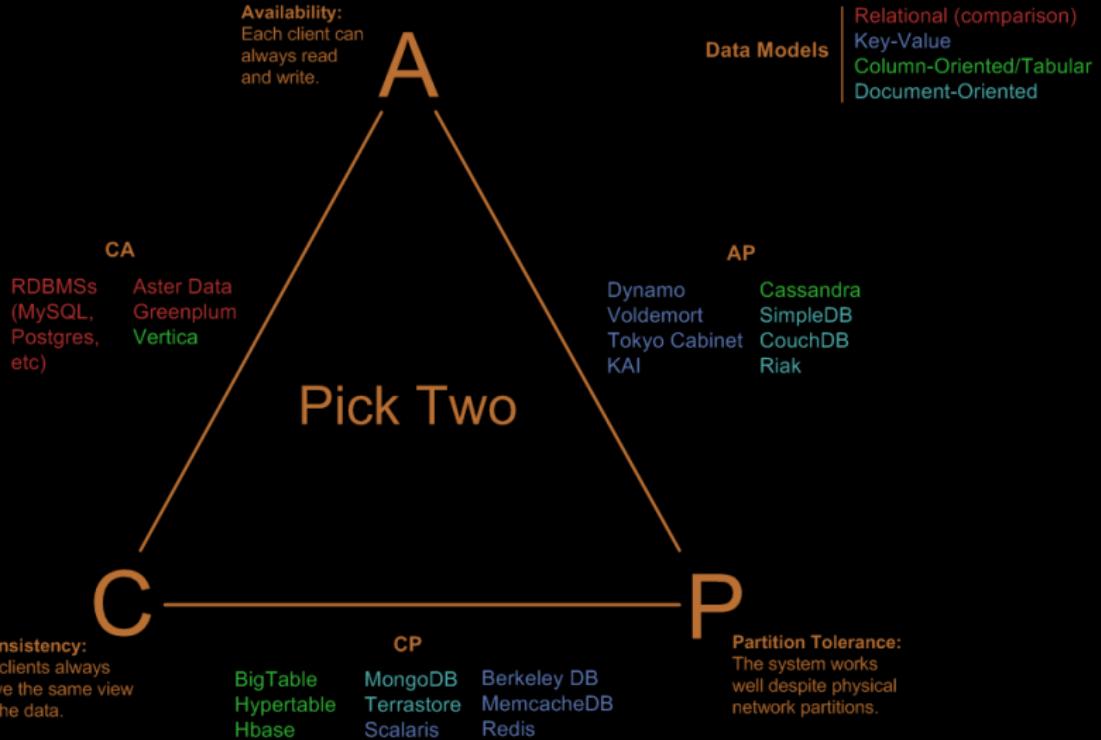
► Partition Tolerance

- Continue the operation in the presence of network partitions.

► You can choose only two!



Visual Guide to NoSQL Systems

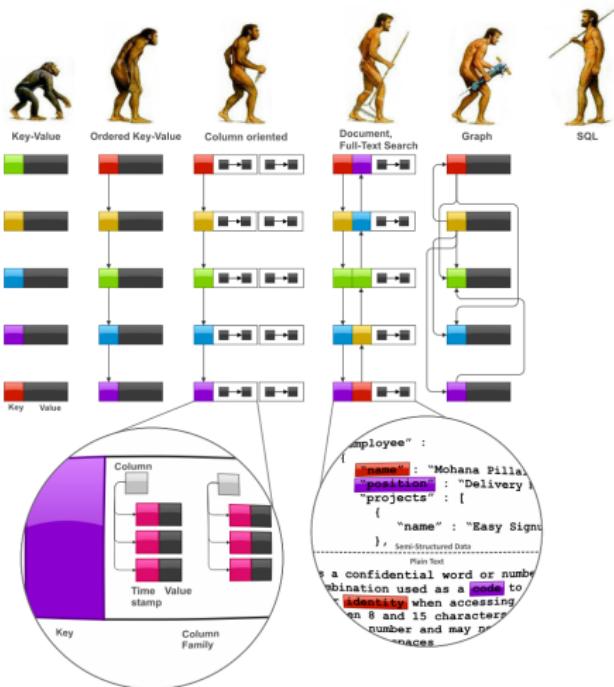


Dyanmo

- ▶ Distributed **key/value** storage system
- ▶ Scalable and Highly available
- ▶ **CAP**: it sacrifices **strong consistency** for **availability**: **always writable**

Data Model

Data Model



[<http://highlyscalable.wordpress.com/2012/03/01/nosql-data-modeling-techniques>]

Partitioning

- ▶ Key/value, where values are stored as objects.
- ▶ If size of data exceeds the capacity of a single machine: partitioning



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- ▶ Key/value, where values are stored as objects.
- ▶ If size of data exceeds the capacity of a single machine: partitioning
- ▶ Consistent hashing is one form of sharding (partitioning).



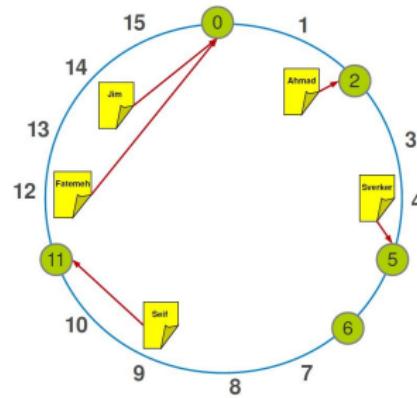
Consistent Hashing

- ▶ Hash both `data` and `nodes` using the `same` hash function in a `same` id space.
- ▶ `partition = hash(d) mod n`, `d`: data, `n`: number of nodes

Consistent Hashing

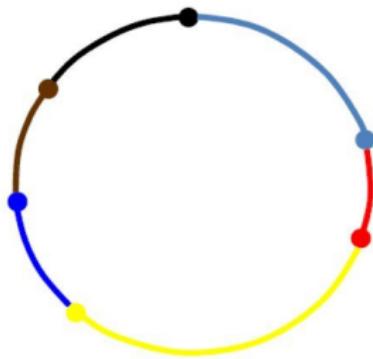
- ▶ Hash both **data** and **nodes** using the **same hash function** in a **same id space**.
- ▶ **partition = hash(d) mod n**, **d**: data, **n**: number of nodes

```
hash("Fatemeh") = 12  
hash("Ahmad") = 2  
hash("Seif") = 9  
hash("Jim") = 14  
hash("Sverker") = 4
```



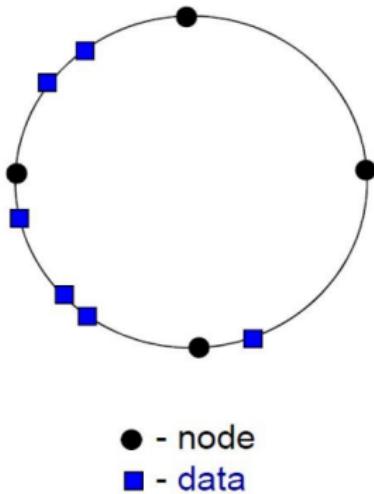
Load Imbalance (1/4)

- ▶ Consistent hashing may lead to **imbalance**.
- ▶ Node identifiers may not be balanced.



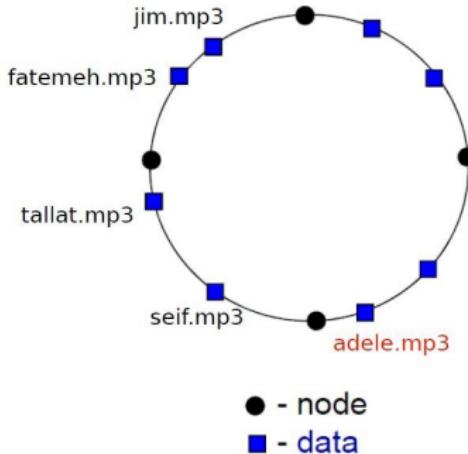
Load Imbalance (2/4)

- ▶ Consistent hashing may lead to **imbalance**.
- ▶ **Data identifiers** may not be balanced.



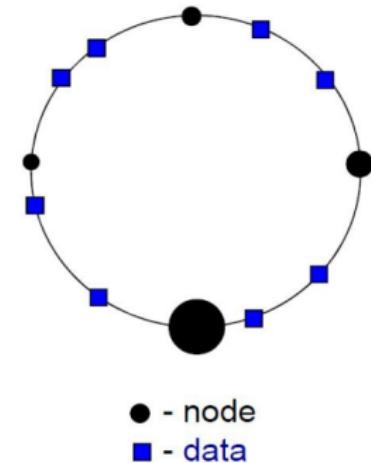
Load Imbalance (3/4)

- ▶ Consistent hashing may lead to **imbalance**.
- ▶ Hot spots.



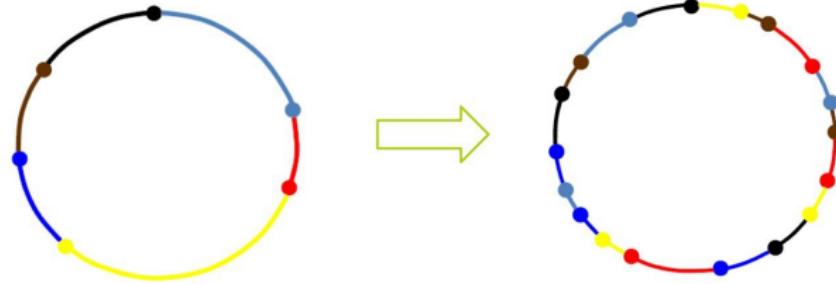
Load Imbalance (4/4)

- ▶ Consistent hashing may lead to **imbalance**.
- ▶ **Heterogeneous** nodes.



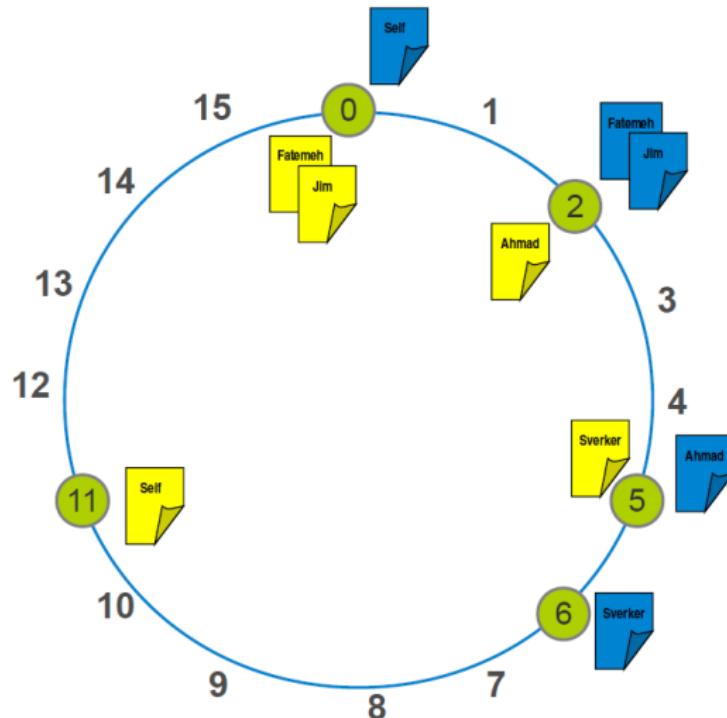
Load Balancing via Virtual Nodes

- ▶ Each physical node picks multiple random identifiers.
- ▶ Each identifier represents a virtual node.
- ▶ Each node runs multiple virtual nodes.



Replication

- To achieve high **availability** and **durability**, data should be **replicated** on multiple nodes.



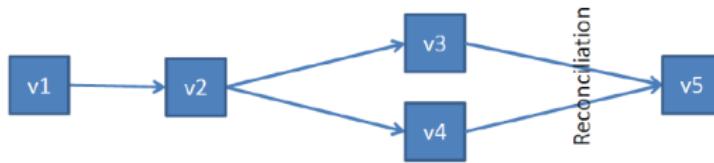
Data Consistency

Data Consistency

- ▶ **Eventual consistency**: updates are propagated **asynchronously**.
- ▶ Each update/modification of an item results in a **new and immutable version** of the data.
 - Multiple **versions** of an object may exist.
- ▶ Replicas **eventually** become consistent.

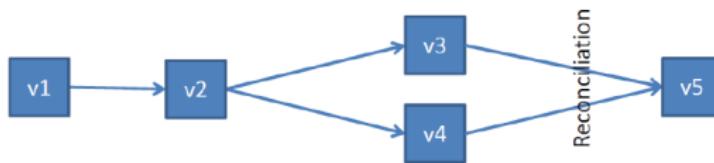
Data Versioning (1/2)

- ▶ Use **vector clocks** for capturing **causality**, in the form of (node, counter)
 - If **causal**: older version can be forgotten
 - If **concurrent**: conflict exists, requiring reconciliation



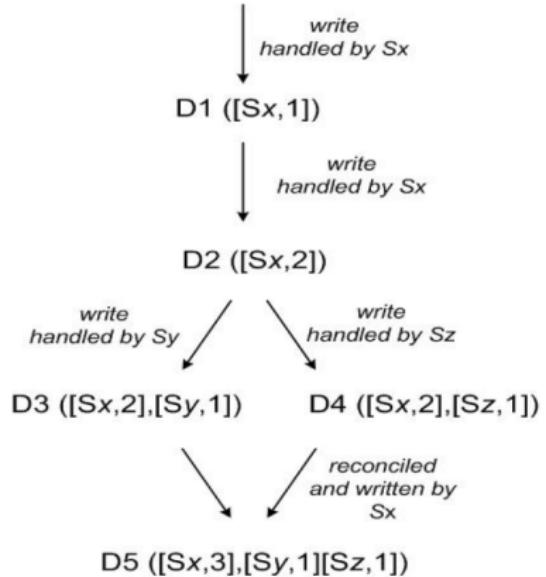
Data Versioning (1/2)

- ▶ Use **vector clocks** for capturing **causality**, in the form of (node, counter)
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- ▶ **Version branching** can happen due to **node/network failures**.



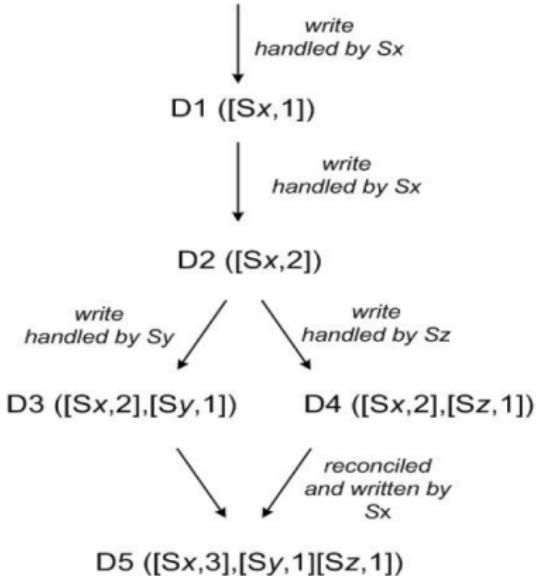
Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.



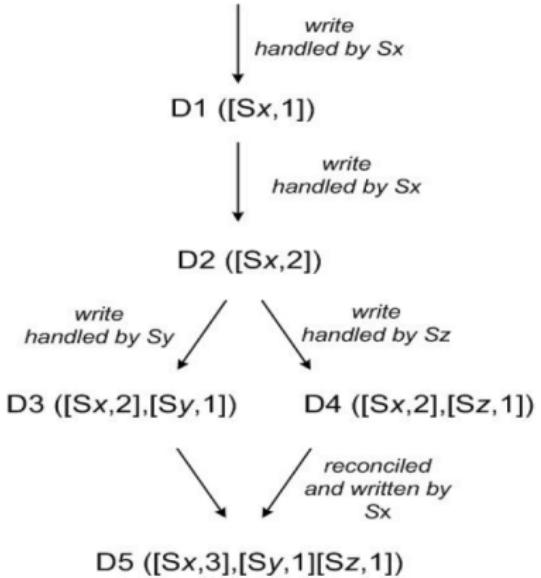
Data Versioning (2/2)

- ▶ Client **C1** writes new object via **Sx**.
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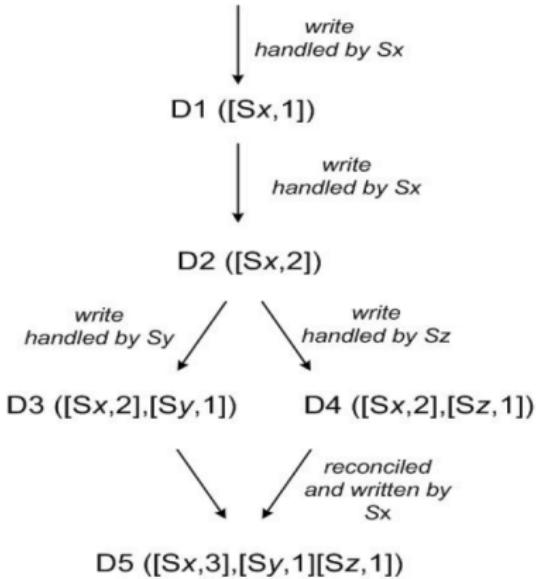
Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.
- ▶ C1 updates the object via Sx.
- ▶ C1 updates the object via Sy.



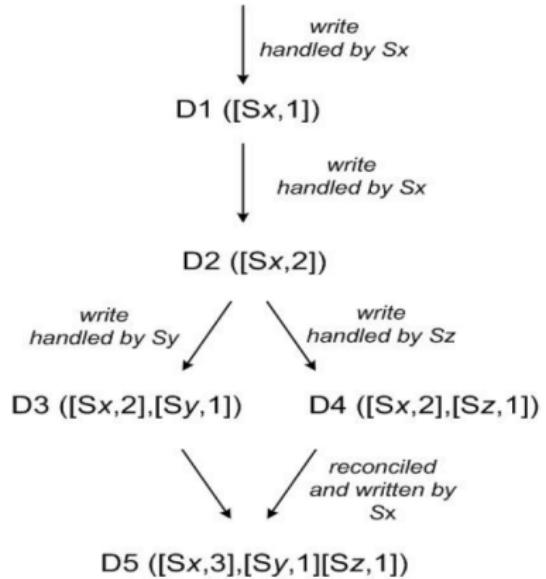
Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.
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- ▶ C1 updates the object via Sy.
- ▶ C2 reads D2 and updates the object via Sz.



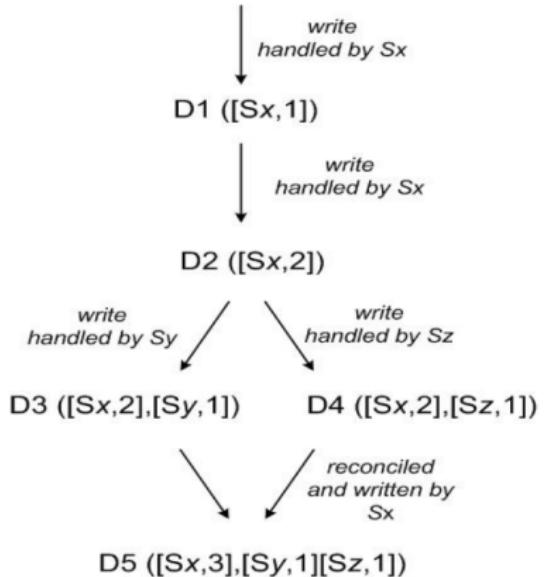
Data Versioning (2/2)

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- ▶ C2 reads D2 and updates the object via Sz.
- ▶ C3 reads D3 and D4 via Sx.
 - The read context is a summary of the clocks of D3 and D4: [(Sx, 2), (Sy, 1), (Sz, 1)].



Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.
- ▶ C1 updates the object via Sx.
- ▶ C1 updates the object via Sy.
- ▶ C2 reads D2 and updates the object via Sz.
- ▶ C3 reads D3 and D4 via Sx.
 - The read context is a summary of the clocks of D3 and D4: $[(Sx, 2), (Sy, 1), (Sz, 1)]$.
- ▶ Reconciliation



Dynamo API

► `get(key)`

- Return single object or `list of objects` with conflicting version and context.

► `put(key, context, object)`

- Store `object` and `context` under `key`.
- Context encodes system metadata, e.g., `version number`.

put Operation

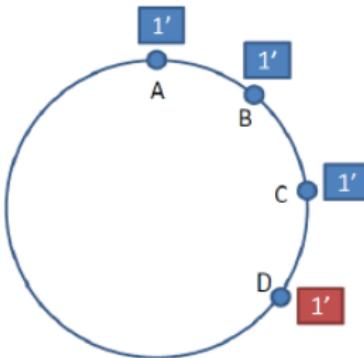
- ▶ Coordinator generates **new vector clock** and writes the new version **locally**.
- ▶ Send to **N** nodes.
- ▶ Wait for response from **W** nodes.

get Operation

- ▶ Coordinator requests existing versions from N .
 - Wait for response from R nodes.
- ▶ If **multiple versions**, return all versions that are causally unrelated.
- ▶ **Divergent versions** are then reconciled.
- ▶ Reconciled version written back.

Sloppy Quorum

- ▶ Due to **partitions**, quorums might not exist.
 - **Sloppy quorum**.
 - Create **transient replicas**: N healthy nodes from the preference list.
 - Reconcile after partition heals.

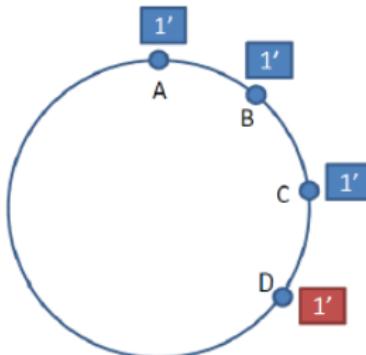


Sloppy Quorum

- ▶ Due to **partitions**, quorums might not exist.

- **Sloppy quorum**.
- Create **transient replicas**: N healthy nodes from the preference list.
- Reconcile after partition heals.

- ▶ Say **A** is unreachable.
- ▶ **put** will use **D**.
- ▶ Later, **D** detects **A** is alive.
 - Sends the replica to **A**
 - Removes the replica.



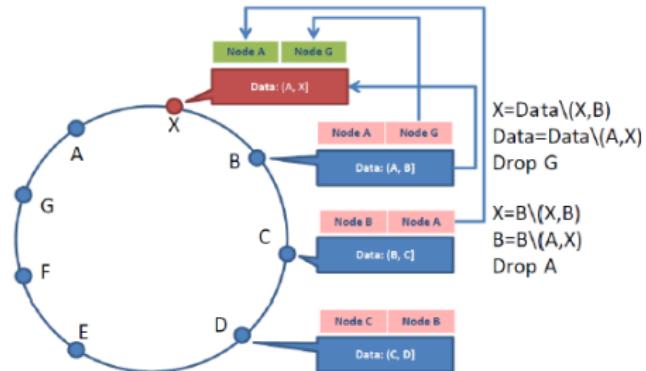
Membership Management

Membership Management

- ▶ Administrator explicitly adds and removes nodes.
- ▶ Gossiping to propagate membership changes.
 - Eventually consistent view.
 - $O(1)$ hop overlay.

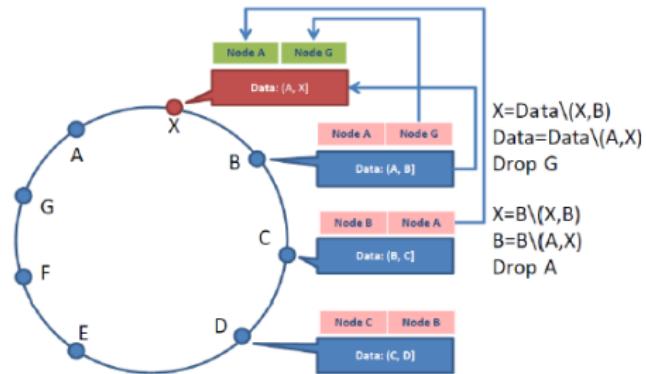
Adding and Removing Nodes

- ▶ A new node $\textcolor{teal}{X}$ added to system.
 - $\textcolor{teal}{X}$ is assigned key ranges w.r.t. its virtual servers.
 - For each key range, it transfers the data items.



Adding and Removing Nodes

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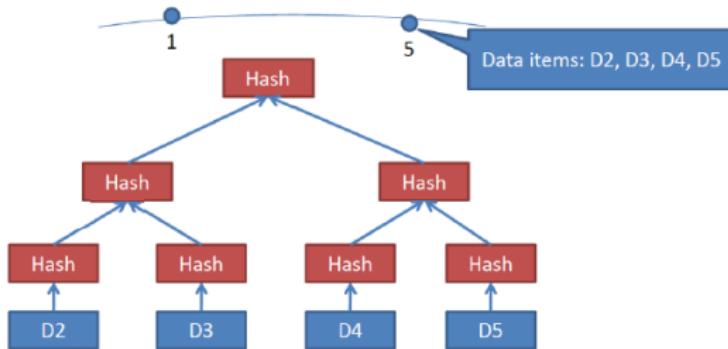
- ▶ Removing a node: **reallocation of keys** is a reverse process of adding nodes.

Failure Detection (1/2)

- ▶ **Passive** failure detection.
 - Use **pings** only for detection from failed to alive.
- ▶ In the **absence of client requests**, node **A** doesn't need to know if node **B** is alive.

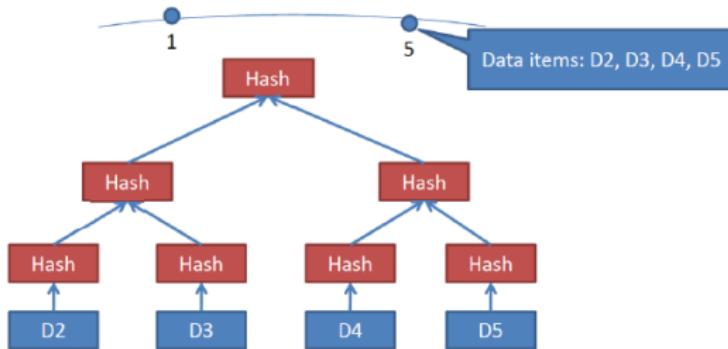
Failure Detection (2/2)

- ▶ Anti-entropy for replica synchronization.
- ▶ Use Merkle trees for fast inconsistency detection and minimum transfer of data.



Failure Detection (2/2)

- ▶ Anti-entropy for replica synchronization.
- ▶ Use Merkle trees for fast inconsistency detection and minimum transfer of data.
 - Nodes maintain Merkle tree of each key range.
 - Exchange root of Merkle tree to check if the key ranges are updated.



BigTable

Motivation

- ▶ Lots of (semi-)structured data at Google.
 - URLs, TextGreenerper-user data, geographical locations, ...
- ▶ Big data
 - Billions of URLs, hundreds of millions of users, 100+TB of satellite image data, ...

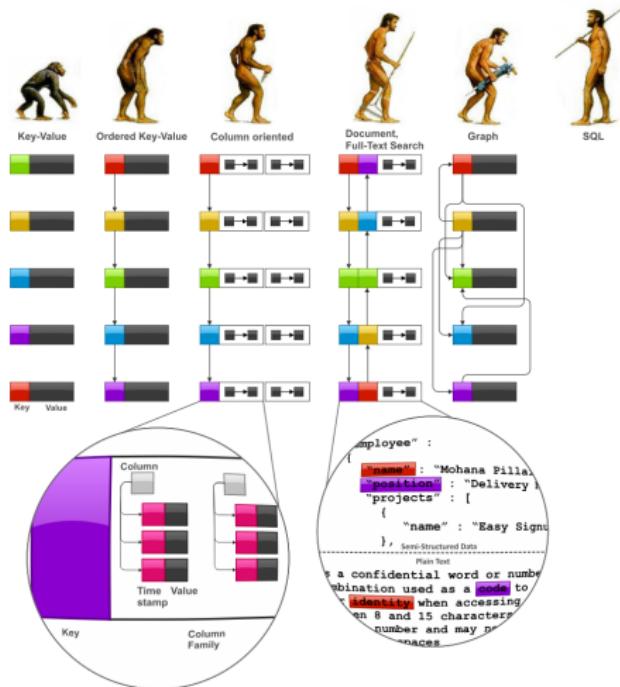
BigTable

- ▶ Distributed multi-level map
- ▶ Fault-tolerant
- ▶ Scalable and self-managing
- ▶ CAP: strong consistency and partition tolerance



Data Model

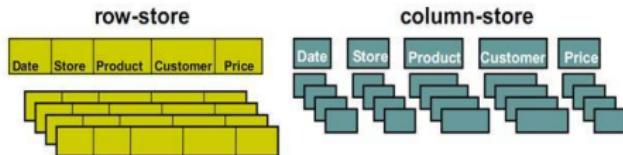
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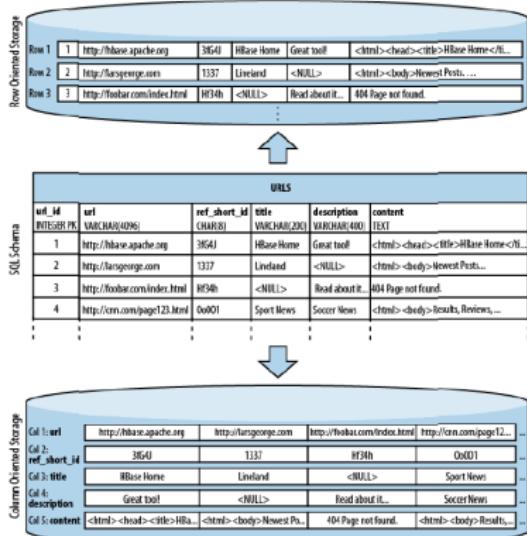
Column-Oriented Data Model (1/2)

- ▶ Similar to a **key/value** store, but the **value** can have multiple **attributes** (Columns).
- ▶ **Column**: a set of data **values** of a particular **type**.
- ▶ Store and process data by **column** instead of **row**.



Columns-Oriented Data Model (2/2)

- In many analytical databases queries, **few attributes** are needed.
- Column values** are stored **contiguously** on disk: **reduces I/O**.



[Lars George, "Hbase: The Definitive Guide", O'Reilly, 2011]

BigTable Data Model (1/5)

- ▶ Table
- ▶ Distributed multi-dimensional sparse map



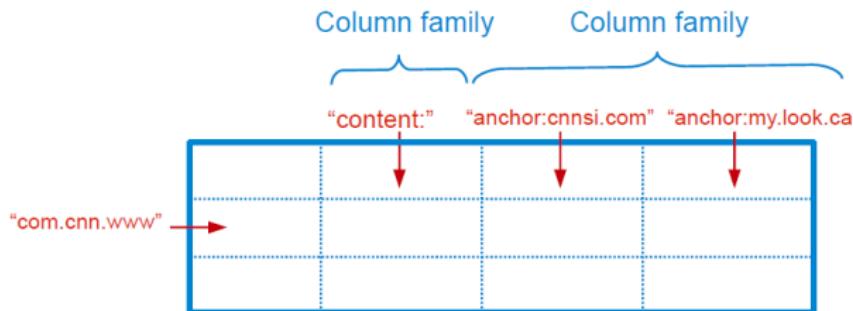
BigTable Data Model (2/5)

- ▶ Rows
- ▶ Every read or write in a **row** is **atomic**.
- ▶ Rows sorted in **lexicographical** order.



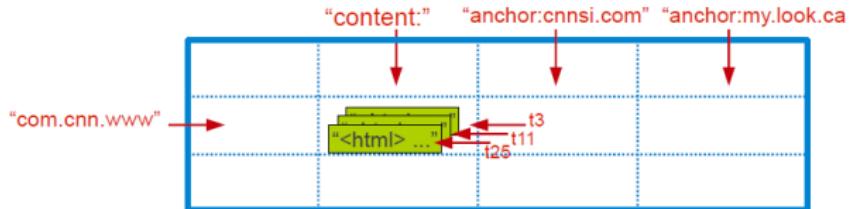
BigTable Data Model (3/5)

- ▶ Column
 - ▶ The **basic unit** of data access.
 - ▶ **Column families**: group of (the same type) column keys.
 - ▶ Column key naming: **family:qualifier**



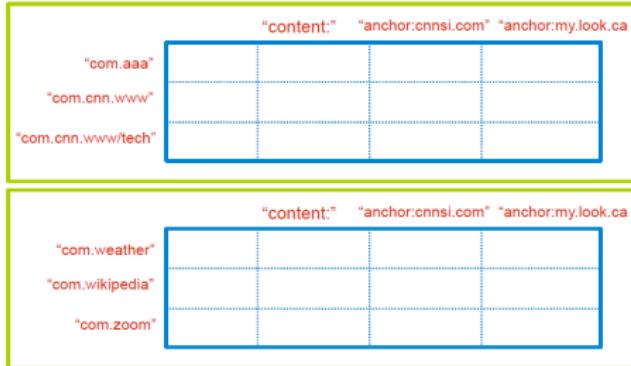
BigTable Data Model (4/5)

- ▶ **Timestamp**
- ▶ Each column value may contain multiple **versions**.



BigTable Data Model (5/5)

- ▶ **Tablet**: contiguous ranges of rows stored together.
- ▶ Tables are **split** by the system when they become too large.
- ▶ **Auto-Sharding**
- ▶ Each **tablet** is served by exactly one **tablet server**.



Bigtable API

The Bigtable API

- ▶ **Metadata** operations
 - Create/delete tables, column families, change metadata

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The Bigtable API

- ▶ **Metadata** operations
 - Create/delete tables, column families, change metadata
- ▶ **Writes:** single-row, atomic
 - write/delete cells in a row, delete all cells in a row
- ▶ **Reads:** read arbitrary cells in a Bigtable table
 - Each row read is **atomic**.
 - **One** row, **all or specific** columns, **certain timestamps**, and ...

Writing Example

```
// Open the table
Table *T = OpenOrDie("/bigtable/web/webtable");

// Write a new anchor and delete an old anchor
RowMutation r1(T, "com.cnn.www");
r1.Set("anchor:www.c-span.org", "CNN");
r1.Delete("anchor:www.abc.com");
Operation op;
Apply(&op, &r1);
```

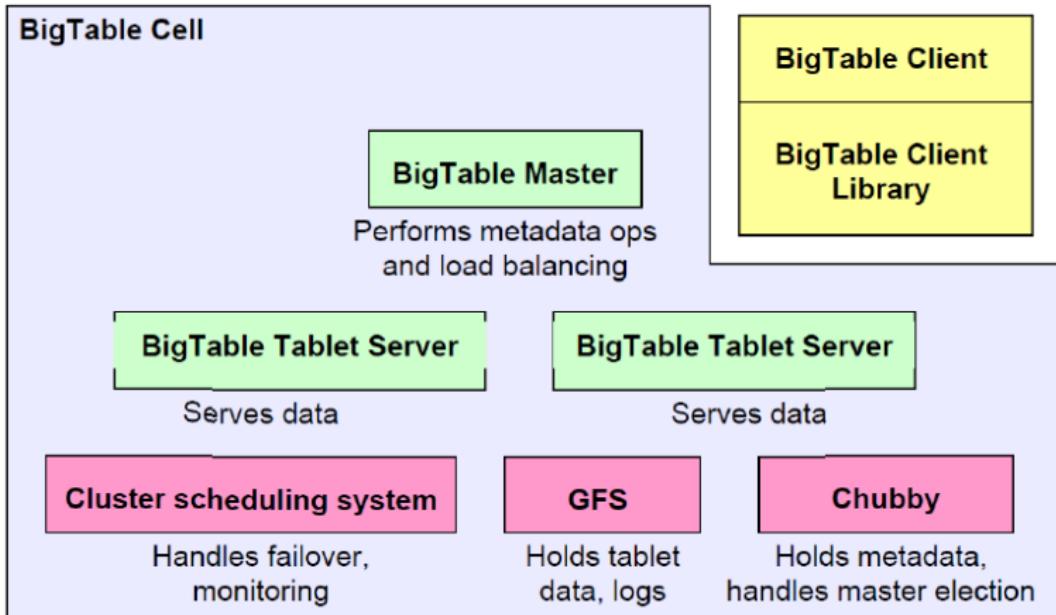
Reading Example

```
Scanner scanner(T);
scanner.Lookup("com.cnn.www");
ScanStream *stream;
stream = scanner.FetchColumnFamily("anchor");
stream->SetReturnAllVersions();

for ( ; !stream->Done(); stream->Next()) {
    printf("%s %s %lld %s\n",
        scanner.RowName(),
        stream->ColumnName(),
        stream->MicroTimestamp(),
        stream->Value());
}
```

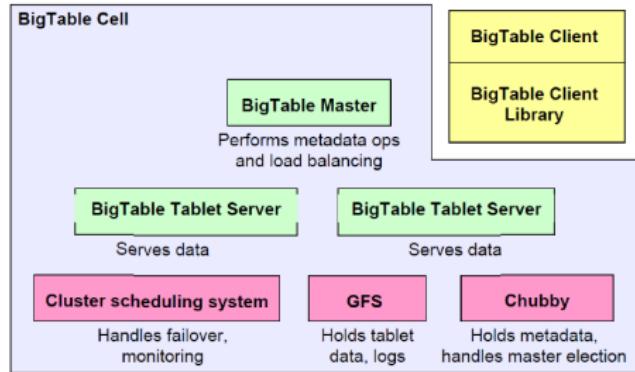
BigTable Architecture

BigTable Cell



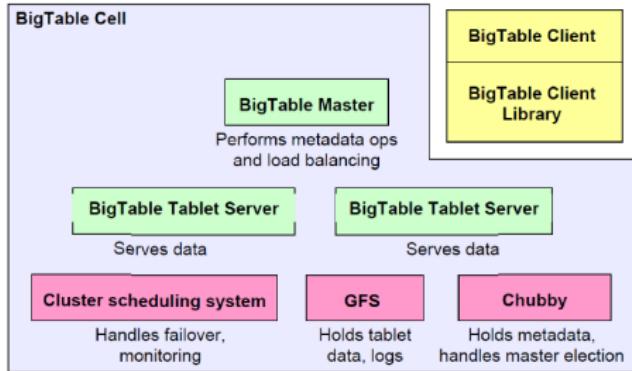
Main Components

- ▶ Master server
- ▶ Tablet server
- ▶ Client library



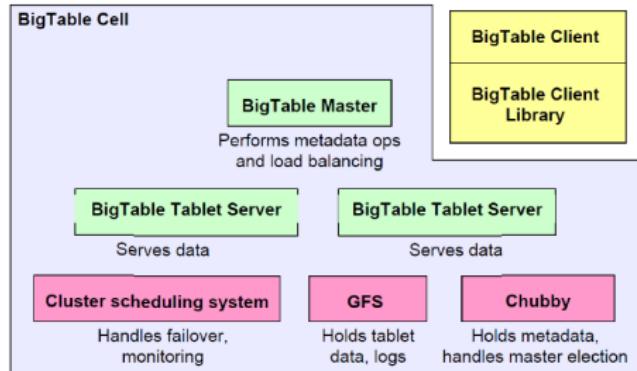
Master Server

- ▶ One master server.
- ▶ Assigns tablets to tablet server.
- ▶ Balances tablet server load.
- ▶ Garbage collection of unneeded files in GFS.
- ▶ Handles schema changes, e.g., table and column family creations



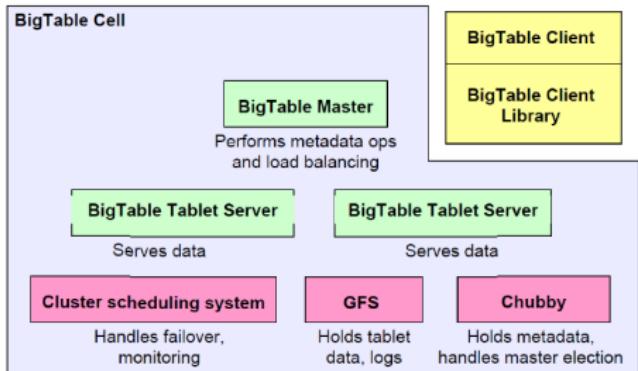
Tablet Server

- ▶ Many tablet servers.
- ▶ Can be added or removed dynamically.
- ▶ Each manages a set of tablets (typically 10-1000 tablets/server).
- ▶ Handles read/write requests to tablets.
- ▶ Splits tablets when too large.



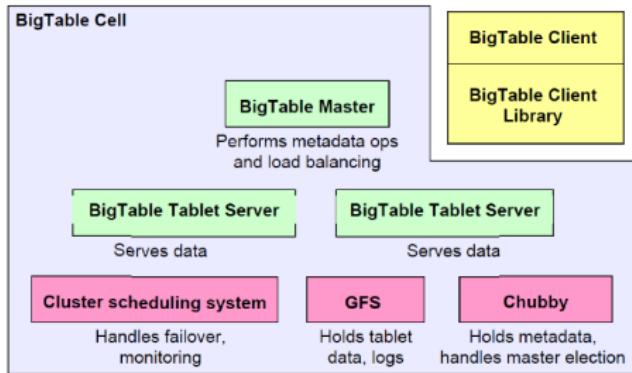
Client Library

- ▶ **Library** that is linked into every client.
- ▶ Client **data does not move** though the **master**.
- ▶ Clients communicate **directly** with **tablet servers** for **reads/writes**.



Building Blocks

- ▶ The building blocks for the BigTable are:
 - **Google File System (GFS)**: raw storage
 - **Chubby**: distributed lock manager
 - **Scheduler**: schedules jobs onto machines



Google File System (GFS)

- ▶ Large-scale distributed file system.
- ▶ Store **log** and **data** files.

Chubby Lock Service

- ▶ Ensure there is only one active master.
- ▶ Store bootstrap location of BigTable data.
- ▶ Discover tablet servers.
- ▶ Store BigTable schema information.
- ▶ Store access control lists.

Master Startup

- ▶ The master executes the following steps at startup:
 - Grabs a unique master lock in Chubby, which prevents concurrent master instantiations.
 - Scans the servers directory in Chubby to find the live servers.
 - Communicates with every live tablet server to discover what tablets are already assigned to each server.
 - Scans the METADATA table to learn the set of tablets.

Tablet Assignment

- ▶ 1 tablet → 1 tablet server.

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- ▶ Master uses **Chubby** to keep tracks of set of live tablet servers and unassigned tablets.
 - When a tablet server starts, it creates and acquires an exclusive lock in Chubby.

Tablet Assignment

- ▶ 1 tablet → 1 tablet server.
- ▶ Master uses **Chubby** to keep tracks of set of live tablet servers and unassigned tablets.
 - When a **tablet server starts**, it creates and acquires an **exclusive lock** in Chubby.
- ▶ Master detects the **status of the lock of each tablet server** by checking periodically.

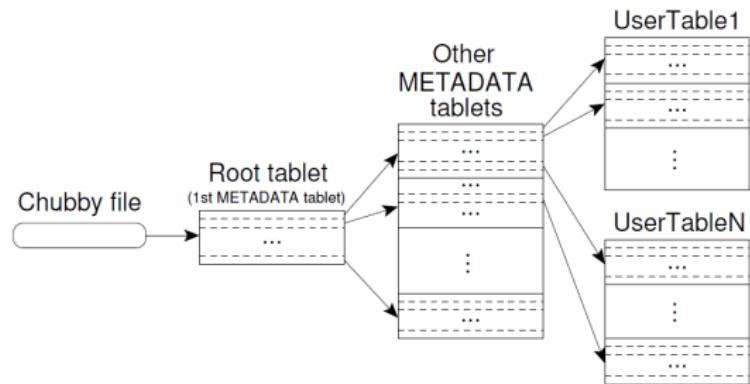
Tablet Assignment

- ▶ 1 tablet → 1 tablet server.
- ▶ Master uses Chubby to keep tracks of set of live tablet servers and unassigned tablets.
 - When a tablet server starts, it creates and acquires an exclusive lock in Chubby.
- ▶ Master detects the status of the lock of each tablet server by checking periodically.
- ▶ Master is responsible for finding when tablet server is no longer serving its tablets and reassigning those tablets as soon as possible.

Table Serving

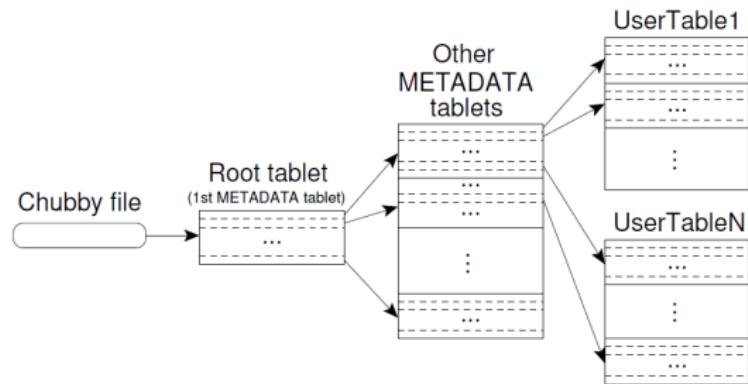
Finding a Tablet

- ▶ Three-level hierarchy.



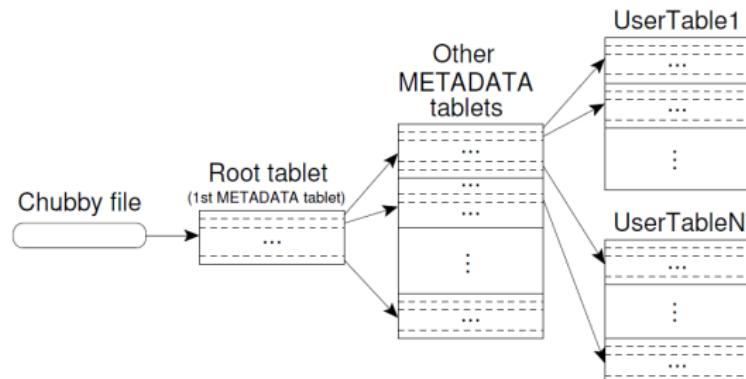
Finding a Tablet

- ▶ Three-level hierarchy.
- ▶ Root tablet contains **location of all tablets** in a special **METADATA** table.



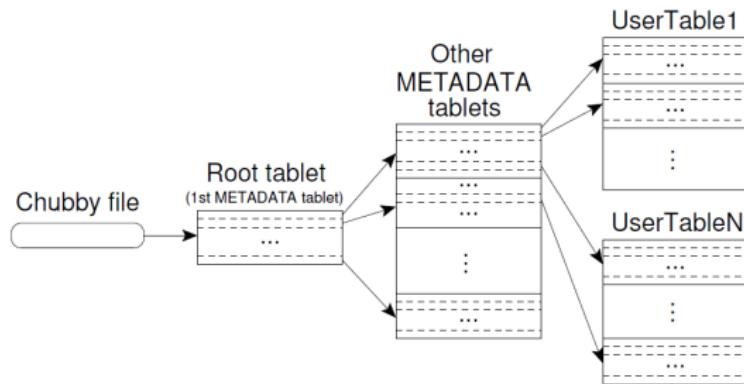
Finding a Tablet

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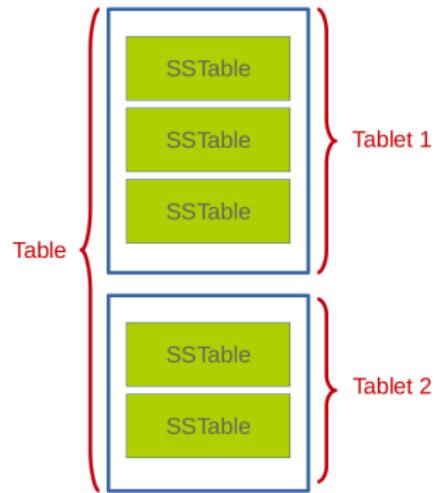
Finding a Tablet

- ▶ Three-level hierarchy.
- ▶ Root tablet contains **location of all tablets** in a special **METADATA** table.
- ▶ **METADATA** table contains location of each **tablet** under a row.
- ▶ The client library **caches tablet locations**.



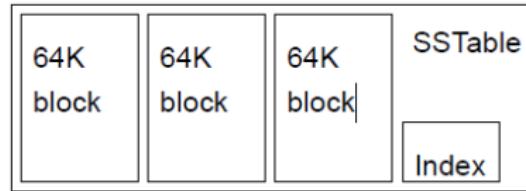
SSTable (1/2)

- ▶ **SSTable** file format used internally to **store** Bigtable data.
- ▶ **Immutable**, sorted file of **key-value** pairs.
- ▶ Each SSTable is stored in a **GFS file**.



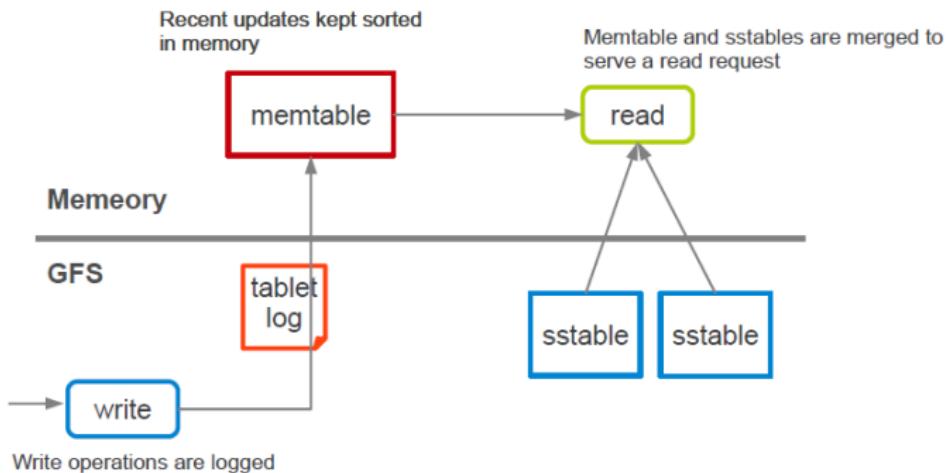
SSTable (2/2)

- ▶ Chunks of data plus a block index.
 - A block index is used to locate blocks.
 - The index is loaded into memory when the SSTable is opened.



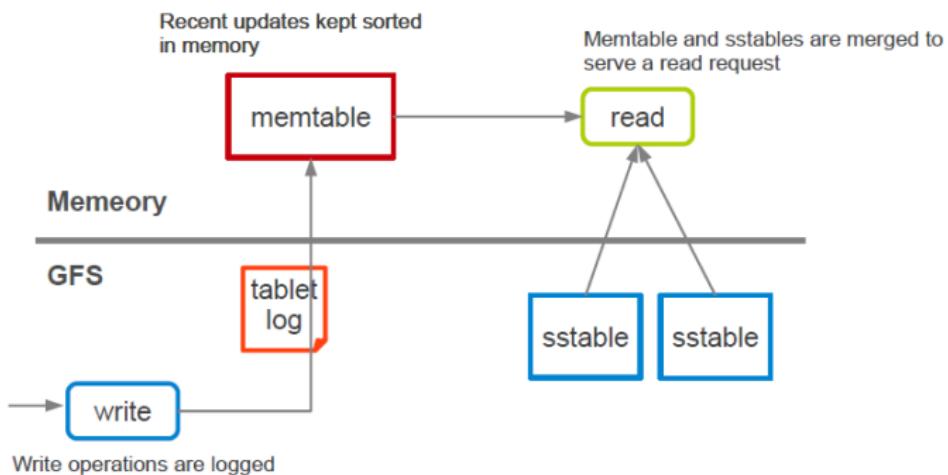
Tablet Serving (1/2)

- ▶ Updates committed to a **commit log**.



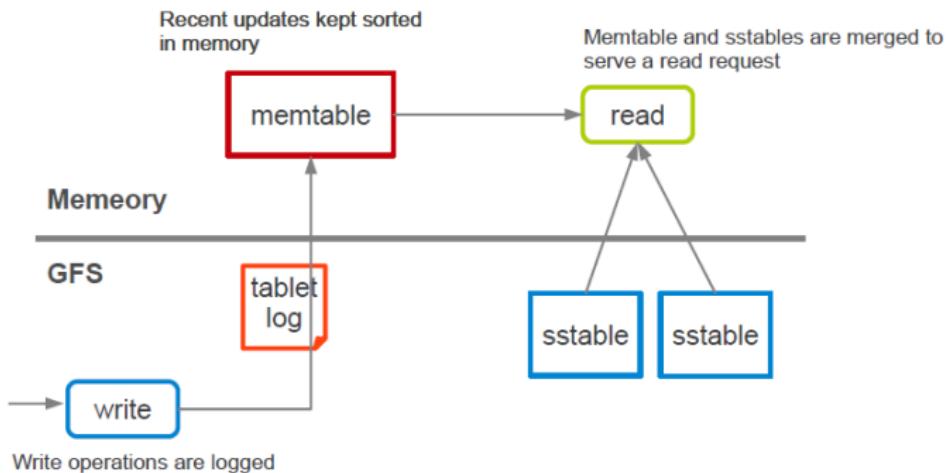
Tablet Serving (1/2)

- ▶ Updates committed to a **commit log**.
- ▶ Recently committed updates are stored in **memory** - **memtable**



Tablet Serving (1/2)

- ▶ Updates committed to a **commit log**.
- ▶ Recently committed updates are stored in **memory** - **memtable**
- ▶ **Older updates** are stored in a sequence of **SSTables**.



Tablet Serving (2/2)

- ▶ **Strong consistency**

- Only **one tablet server** is responsible for a given piece of data.
- **Replication** is handled on the **GFS** layer.

Tablet Serving (2/2)

- ▶ Strong consistency
 - Only one tablet server is responsible for a given piece of data.
 - Replication is handled on the GFS layer.
- ▶ Tradeoff with availability
 - If a tablet server fails, its portion of data is temporarily unavailable until a new server is assigned.

Loading Tablets

- ▶ To load a tablet, a **tablet server** does the following:
- ▶ Finds **location of tablet** through its **METADATA**.
 - Metadata for a tablet includes **list of SSTables** and set of redo points.
- ▶ Read **SSTables index blocks** into memory.
- ▶ Read the **commit log** since the redo point and reconstructs the **memtable**.

Compaction

- ▶ **Minor** compaction
 - Convert the **memtable** into an **SSTable**.

Compaction

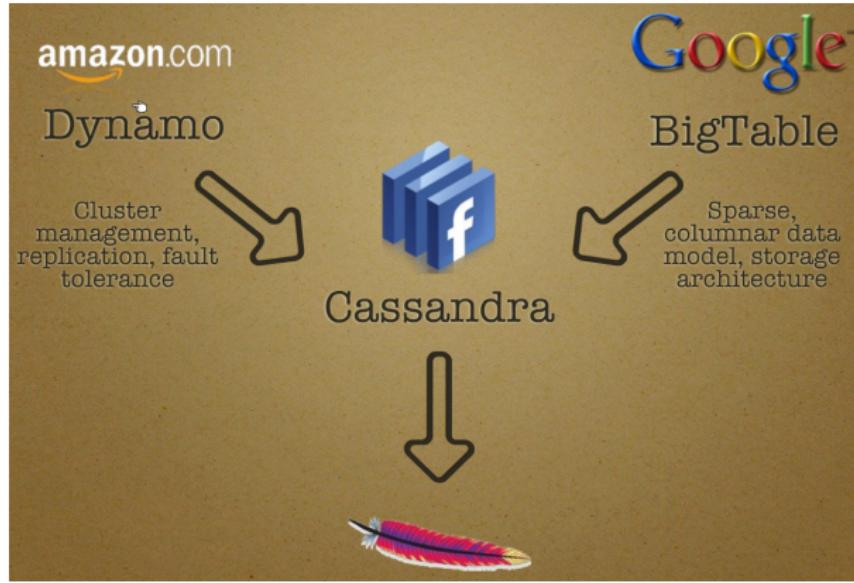
- ▶ **Minor** compaction
 - Convert the **memtable** into an **SSTable**.
- ▶ **Merging** compaction
 - Reads the contents of a **few SSTables and the memtable**, and writes out a new **SSTable**.

Compaction

- ▶ **Minor** compaction
 - Convert the **memtable** into an **SSTable**.
- ▶ **Merging** compaction
 - Reads the contents of a **few SSTables and the memtable**, and writes out a new SSTable.
- ▶ **Major** compaction
 - Merging compaction that results in **only one SSTable**.
 - No deleted records, only sensitive live data.

Cassandra

Cassandra



From Dynamo

- ▶ Symmetric P2P architecture
- ▶ Gossip based discovery and error detection
- ▶ Distributed key-value store: partitioning and topology discovery
- ▶ Eventual consistency

From BigTable

- ▶ Sparse Column oriented sparse array
- ▶ SSTable disk storage
 - Append-only commit log
 - Memtable (buffering and sorting)
 - Immutable sstable files
 - Compaction

Summary

Summary

- ▶ NoSQL data models: key-value, column-oriented, document-oriented, graph-based
- ▶ Sharding and consistent hashing
- ▶ ACID vs. BASE
- ▶ CAP (Consistency vs. Availability)

Summary

- ▶ Dynamo: key/value storage: put and get
- ▶ Data partitioning: consistent hashing
- ▶ Load balancing: virtual server
- ▶ Replication: several nodes, preference list
- ▶ Data versioning: vector clock, resolve conflict at read time by the application
- ▶ Membership management: join/leave by admin, gossip-based to update the nodes' views, ping to detect failure
- ▶ Handling transient failure: sloppy quorum
- ▶ Handling permanent failure: Merkle tree

- ▶ BigTable
- ▶ Column-oriented
- ▶ Main components: master, tablet server, client library
- ▶ Basic components: GFS, chubby, SSTable

Questions?