

Assignment Project Exam Help  
Caches Part II

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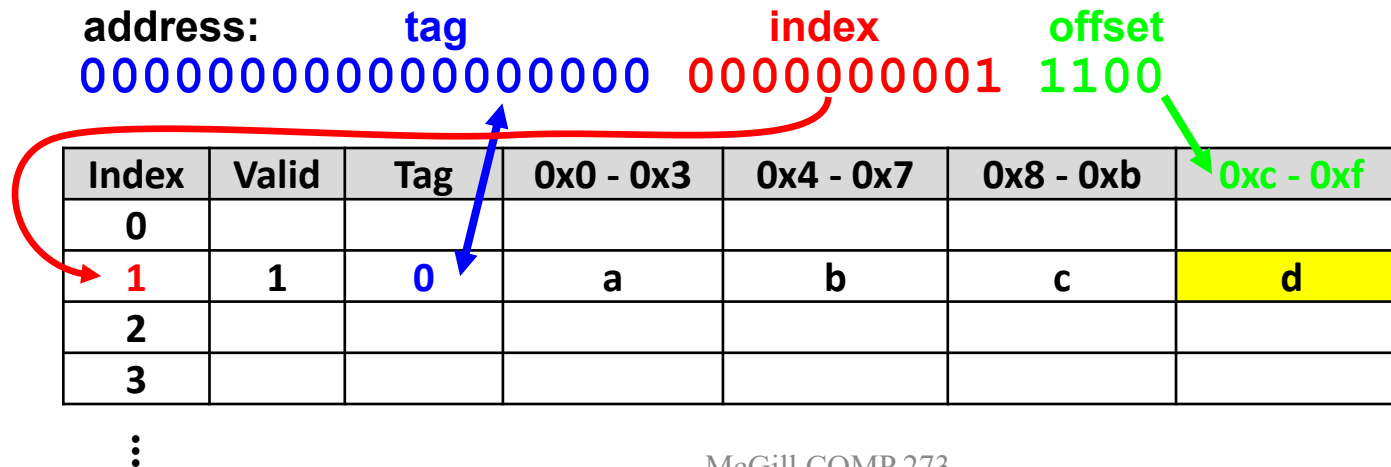
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# Review

- We would like to have the capacity of disk at the speed of the processor: unfortunately this is not feasible
- So we create a memory hierarchy:
  - each successively lower level contains “most used” data from next lower level
  - exploits temporal locality
  - do the common case fast, worry less about the exceptions (design principle of MIPS)
- Locality of reference is a Big Idea

# Big Idea Review

- Mechanism for transparent movement of data among levels of a storage hierarchy
  - set of address/value bindings
  - address provides index to **set** of candidates
  - compare desired address with tag
  - service hit or miss
    - load new block and binding on miss



# Outline

- Block Size Tradeoff
- Types of Cache Misses
- Fully Associative Cache
- N-Way Associative Cache
- Block Replacement Policy
- Multilevel Caches (if time)
- Cache write policy (if time)

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# Block Size Tradeoff (1/3)

- Benefits of Larger Block Size

- Spatial Locality: if we access a given word, we're likely to access other nearby words soon (Another Big Idea)
- Very applicable with stored-program concept: if we execute a given instruction, it's likely that we'll execute the next few as well
- Works nicely in sequential array accesses too

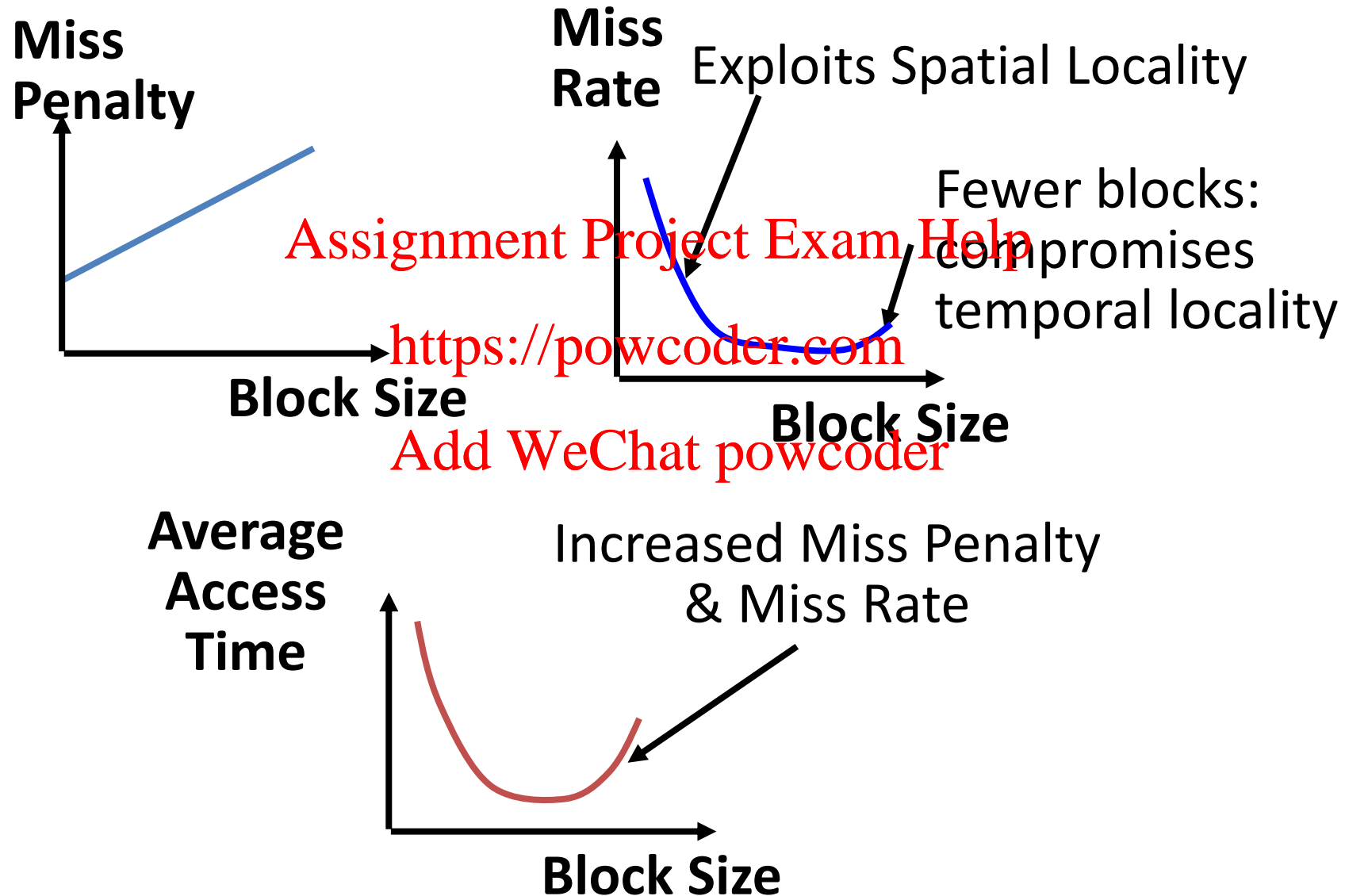
# Block Size Tradeoff (2/3)

- Drawbacks of Larger Block Size
  - Larger block size means larger miss penalty
    - on a miss, takes longer time to load a new block from next level
  - If block size is too big relative to cache size, then there are too few blocks
    - Result: miss rate goes up
- In general, minimize  
Average Access Time
  - = Hit Time + Miss Penalty x Miss Rate

# Block Size Tradeoff (3/3)

- Hit Time = time to find and retrieve data from current level cache
- Miss Penalty = average time to retrieve data on a current level miss (includes the possibility of misses on successive levels of memory hierarchy) <https://powcoder.com>
- Hit Rate = % of requests that are found in current level cache
- Miss Rate =  $1 - \text{Hit Rate}$

# Block Size Tradeoff Conclusions





# Types of Cache Misses (1/2)

- Compulsory Misses

- occur when a program is first started
- cache does not contain any of that program's data yet, so misses are bound to occur
- can't be avoided easily, so won't focus on these in this course

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# Types of Cache Misses (2/2)

- Conflict Misses

- miss that occurs because two distinct memory addresses map to the same cache location
- two blocks (which happen to map to the same location) can keep overwriting each other
- big problem in direct-mapped caches
- how do we lessen the effect of these?

# Dealing with Conflict Misses

- Solution 1: Make the cache size bigger
  - relatively expensive
- Solution 2: Multiple distinct blocks can fit in the same Cache Index?

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# Fully Associative Cache (1/3)

- Memory address fields:
  - Tag: same as before
  - Offset: same as before
  - Index: non-existent
- What does this mean?
  - any block can go anywhere in the cache
  - must compare with all tags in entire cache to see if data is there

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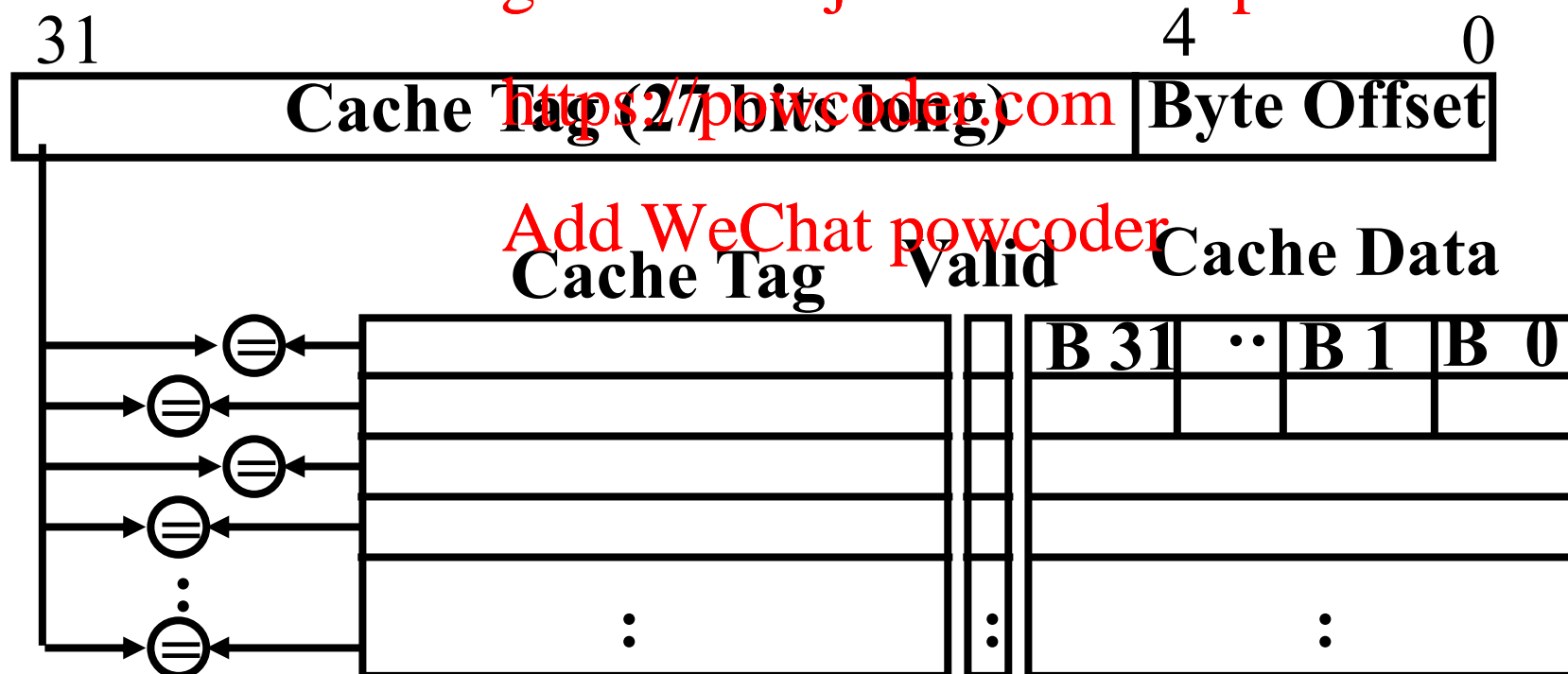
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# Fully Associative Cache (2/3)

- Fully Associative Cache (e.g., 32 B block)

- compare tags in parallel

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# Fully Associative Cache (3/3)

- Benefit of Fully Assoc Cache
  - No Conflict Misses (since data can go anywhere)
- Drawbacks of Fully Assoc Cache
  - Need hardware comparator for every single entry:
    - If we have a 64KB of data in cache with 4B entries, we need 16K comparators:  
very expensive
- Small fully associative cache may be feasible

# Third Type of Cache Miss

- Capacity Misses

- miss that occurs because the cache has a limited size
- miss that would not occur if we increase the size of the cache
- sketchy definition, so just get the general idea

- This is the primary type of miss for Fully Associate caches.

# N-Way Set Associative Cache (1/4)

- Memory address fields:
  - Tag: same as before
  - Offset: same as before
  - Index: points us to the correct “row” (called a set in this case)
- So what’s the difference?
  - each set contains multiple blocks
  - once we’ve found correct set, must compare with all tags in that set to find our data

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# N-Way Set Associative Cache (2/4)

- Summary:
  - cache is direct-mapped with respect to sets
  - each set is fully associative
  - If we have  $T$  blocks total, then we basically have an  $T/N$  direct-mapped cache, where at each index we find a fully associative  $N$  block cache. Each has its own valid bit and data.

# N-Way Set Associative Cache (3/4)

- Given memory address:
  - Find correct set using Index value.
  - Compare Tag with all Tag values in the determined set.
  - If a match occurs, it's a hit, otherwise a miss.
  - Finally, use the offset field as usual to find the desired data within the desired block.

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# N-Way Set Associative Cache (4/4)

- What's so great about this?
  - even a 2-way set associative cache avoids a lot of conflict misses
  - hardware cost isn't that bad: only need  $N$  comparators
- In fact, for a cache with  $M$  blocks,
  - it's Direct-Mapped if it's 1-way set associative (1 block per set)
  - it's Fully Associative if it's  $M$ -way set associative ( $M$  blocks per set)
  - so these two are just special cases of the more general set associative design

# Block Replacement Policy (1/2)

- Direct-Mapped Cache: index completely specifies which position a block can go in on a miss
- N-Way Set Assoc ( $N > 1$ ): index specifies a set, but block can occupy any position within the set on a miss
- Fully Associative: block can be written into any position (there is no index)
- Question: if we have the choice, where should we write an incoming block?

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# Block Replacement Policy (2/2)

- Solution!
- If there are any locations with valid bit off (empty), then usually write the new block into the first one.
- If all possible locations already have a valid block, we must use a replacement policy by which we determine which block gets “cached out” on a miss.

# Block Replacement Policy: LRU

- LRU (Least Recently Used)
  - Idea: cache out block which has been accessed (read or write) least recently
  - Pro: temporal locality → recent past use implies likely future use: in fact, this is a very effective policy
  - Con: with 2-way set assoc, easy to keep track (one LRU bit); with 4-way or greater, requires complicated hardware and much time to keep track of this

# Block Replacement Example

- We have a 2-way set associative cache with a four word *total* capacity and one word blocks. We perform the following word accesses (ignore bytes for this problem):

0, 2, 0, 1, 4, 0, 2, 3, 5, 4

How many hits and how many misses will there be for the LRU block replacement policy?

# Block Replacement Example: LRU

- Addresses 0, 2, 0, 1, 4, 0, ...

**0: miss, bring into set 0 (loc 0)**

0 remainder 2 is 0, so set 0

**2: miss, bring into set 0 (loc 1)**

2 remainder 2 is 0, so set 0

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0: hit

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**1: miss, bring into set 1 (loc 0)**

1 remainder 2 is 1, so set 1

**4: miss, bring into set 0 (loc 1, replace 2)**

4 remainder 2 is 0, so set 0

0: hit

	loc 0	loc 1
set 0	0	iru
set 1		
set 0	iru 0	2
set 1		
set 0	0	iru 2
set 1		
set 0	0	iru 2
set 1	1	iru
set 0	iru 0	4
set 1	1	iru
set 0	0	iru 4
set 1	1	iru



# Ways to reduce miss rate

- Larger cache
  - limited by cost and technology
  - hit time of first level cache < cycle time
- More places in the cache to put each block of memory - associativity
  - fully-associative
    - any block any line
  - k-way set associated
    - k places for each block
    - direct map:  $k=1$

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# Big Idea

- How do we choose between options of associativity, block size, replacement policy?
- Design against a performance model
  - Minimize: *Average Access Time*  
$$= \text{Hit Time} + \text{Miss Penalty} \times \text{Miss Rate}$$
  - influenced by technology and program behavior

# Example

- Assume
  - Hit Time = 1 cycle
  - Miss rate = 5%
  - Miss penalty = 20 cycles
- Average memory access time =  $1 + 0.05 \times 20$   
= 2 cycle

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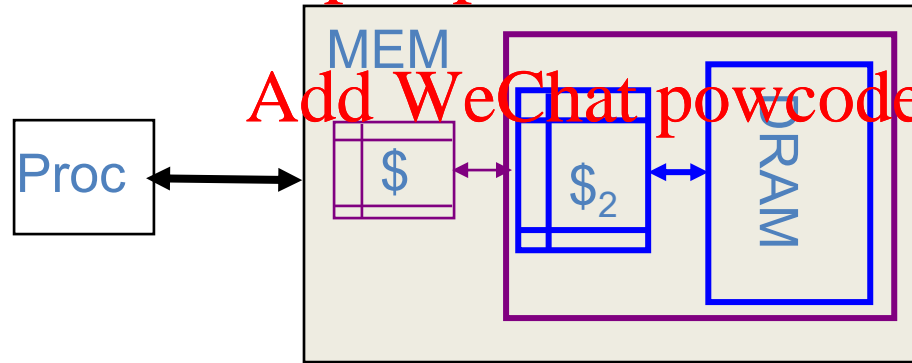
# Improving Miss Penalty

- When caches first became popular, Miss Penalty  $\sim 10$  processor clock cycles
- Today: 1000 MHz Processor (1 ns per clock cycle) and 100 ns to go to DRAM  
 **$\Rightarrow 100$  processor clock cycles!**

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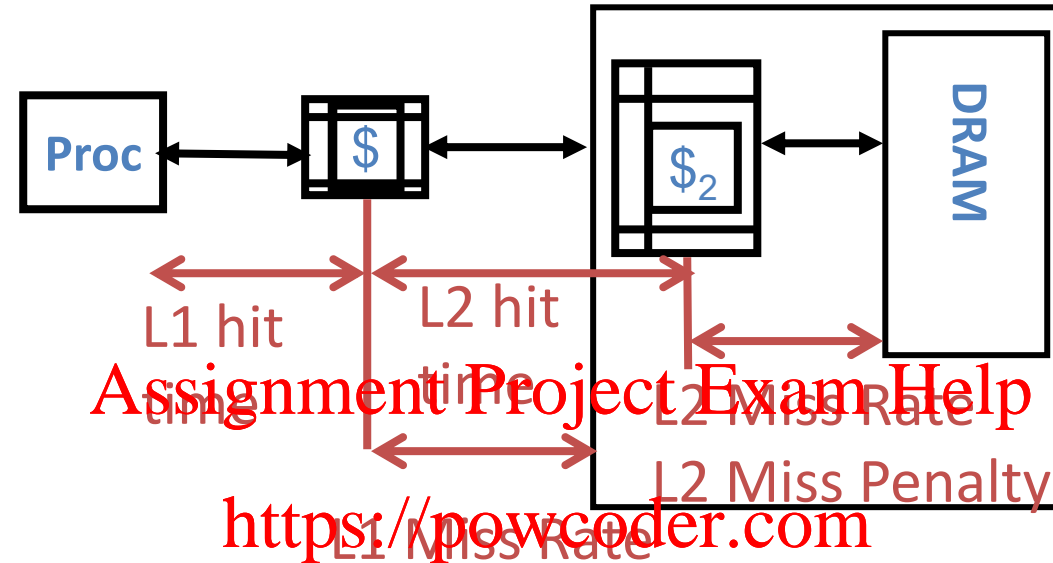
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**Solution: another cache between memory and the processor cache: Second Level (L2) Cache**

# Analyzing Multi-level cache hierarchy



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$$\text{Avg Mem Access Time} = \text{L1 Hit Time} + \text{L1 Miss Rate} * \text{L1 Miss Penalty}$$

$$\text{L1 Miss Penalty} = \text{L2 Hit Time} + \text{L2 Miss Rate} * \text{L2 Miss Penalty}$$

$$\text{Avg Mem Access Time} = \text{L1 Hit Time} + \text{L1 Miss Rate} * (\text{L2 Hit Time} + \text{L2 Miss Rate} * \text{L2 Miss Penalty})$$

# Typical Scale

- L1
  - size: tens of KB
  - hit time: complete in one clock cycle
  - miss rates: 1-5%
- L2
  - size: hundreds of KB
  - hit time: few clock cycles
  - miss rates: 10-20%
- L2 miss rate is fraction of L1 misses that also miss in L2
  - why so high?

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# Example: without L2 cache

- Assume
  - L1 Hit Time = 1 cycle
  - L1 Miss rate = 5%
  - L1 Miss Penalty = 100 cycles
- Average memory access time =  $1 + 0.05 \times 100$   
= 6 cycles

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# Example with L2 cache

- Assume
  - L1 Hit Time = 1 cycle
  - L1 Miss rate = 5%
  - L2 Hit Time = 5 cycles
  - L2 Miss rate = 15% (% L1 misses that miss)
  - L2 Miss Penalty = 100 cycles
- L1 miss penalty =  $5 + 0.15 * 100 = 20$
- Average memory access time =  $1 + 0.05 * 20$   
= 2 cycle

*3x faster with L2 cache*



# What to do on a write hit?

- Write-through

- update the word in cache block and corresponding word in memory

- Write-back

- update word in cache block
  - allow memory word to be “stale”
  - *add ‘dirty’ bit to each line indicating that memory needs to be updated when block is replaced*
  - *OS flushes cache before I/O !!!*

- Performance trade-offs?

# “And in conclusion...” (1/2)

- Caches are NOT mandatory:
  - Processor performs arithmetic
  - Memory stores data
  - Caches simply make data transfers go faster
- Each level of memory hierarchy is just a subset of next higher level
- Caches speed up due to **temporal locality**: store data used recently
- Block size > 1 word speeds up due to **spatial locality**: store words adjacent to the ones used recently

# “And in conclusion...” (2/2)

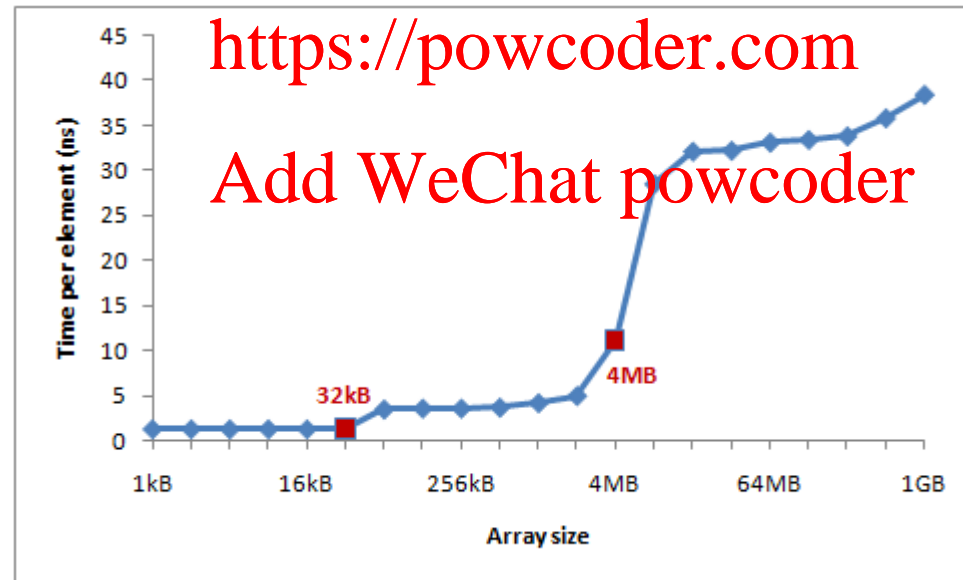
- Cache design choices:
  - size of cache: speed v. capacity
  - direct-mapped v. associative
  - for N-way set assoc: choice of N
  - block replacement policy
  - 2nd level cache?
  - Write through v. write back?
- Use performance model to pick between choices, depending on programs, technology, budget, ...

# A real example

- And additional reading (for fun):

<http://igoro.com/archive/gallery-of-processor-cache-effects/>

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```

package comp273;
/** Let us observe the effect of the cache */
public class CacheTest1 {
    public static void main( String[] args ) {
        int[] A = new int[128 * 1024 * 1024];
        double total;
        int N = 8;    // average together 8 samples

        // Loop 1
        total = 0;
        for ( int j = 0; j < N; j++ ) {
            double start = System.nanoTime();

            for (int i = 0; i < A.length; i++) A[i] *= 3;

            double stop = System.nanoTime();
            double elapsed = stop - start;
            total += elapsed;
        }
        double loop1Time = total / N;
        System.out.println( "average time loop 1 = " + loop1Time );

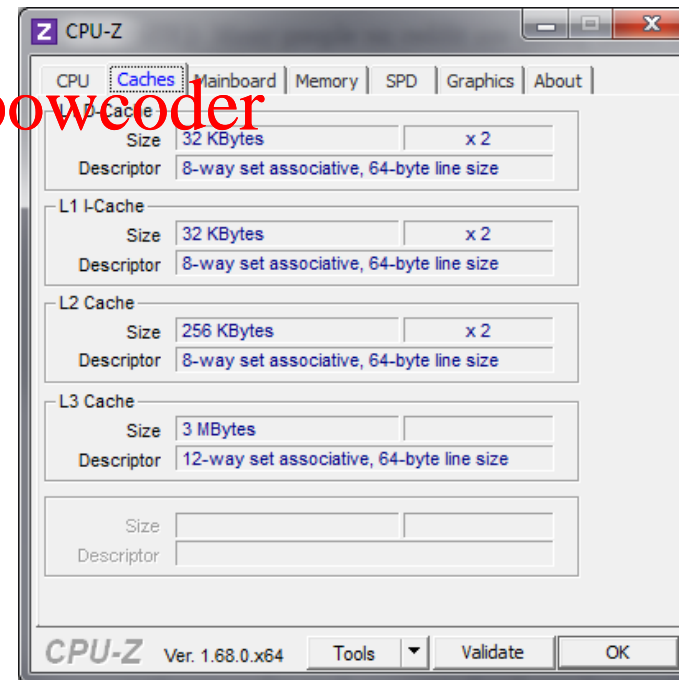
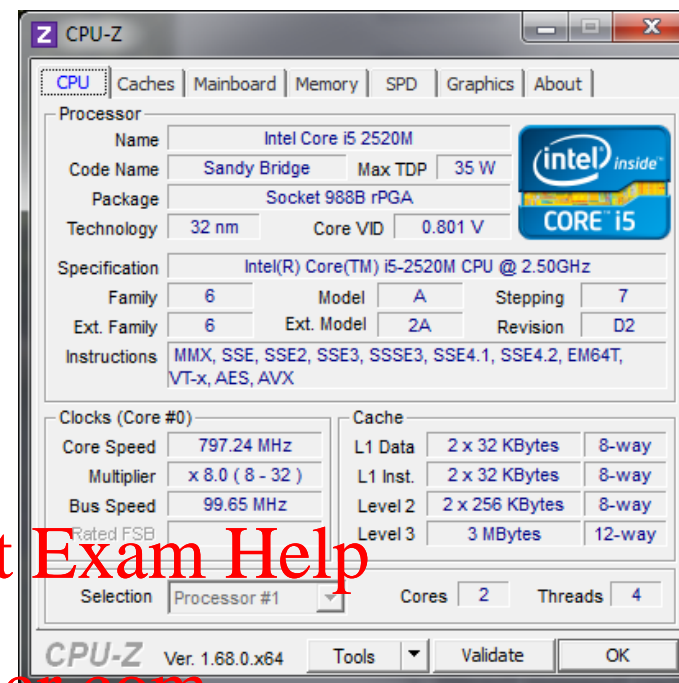
        // Loop 2
        total = 0;
        for ( int j = 0; j < N; j++ ) {
            double start = System.nanoTime();

            for (int i = 0; i < A.length; i+=32) A[i] *= 3;

            double stop = System.nanoTime();
            double elapsed = stop - start;
            total += elapsed;
        }
        double loop2Time = total / N;
        System.out.println( "average time loop 2 = " + loop2Time );
        System.out.println( "ratio is " + loop1Time / loop2Time +
            " but first loop does 32 times more work!!" );
    }
}

```

average time loop 1 = 126858657.625  
 average time loop 2 = 71715726.125  
 ratio is 1.7689098957721807  
 but first loop does 32 times more work!!  
**Loop 1 gets work done 18 times faster!**



```

package comp273;

/** Let us try to see how long a cache line is */
public class CacheTest2 {

    public static void main( String[] args ) {

        System.out.println("A=[");
        int[] A = new int[128 * 1024 * 1024];
        int K = 1;
        for ( int k = 0; k < 11; k++ ) {
            // try going through memory in increments K = 2^k
            long start = System.nanoTime();

            for (int i = 0; i < A.length; i += K) A[i] *= 3;

            long stop = System.nanoTime();
            System.out.println( k + " " + K + " " + (stop - start) );
            K = K*2;
        }
        System.out.println("];");
        System.out.println("plot(A(:,1),A(:,3));");
        System.out.println("title('How big is a cache block?');");
        System.out.println("ylabel('time');");
        System.out.println("xlabel('exponent of size');");
    }
}

```

```

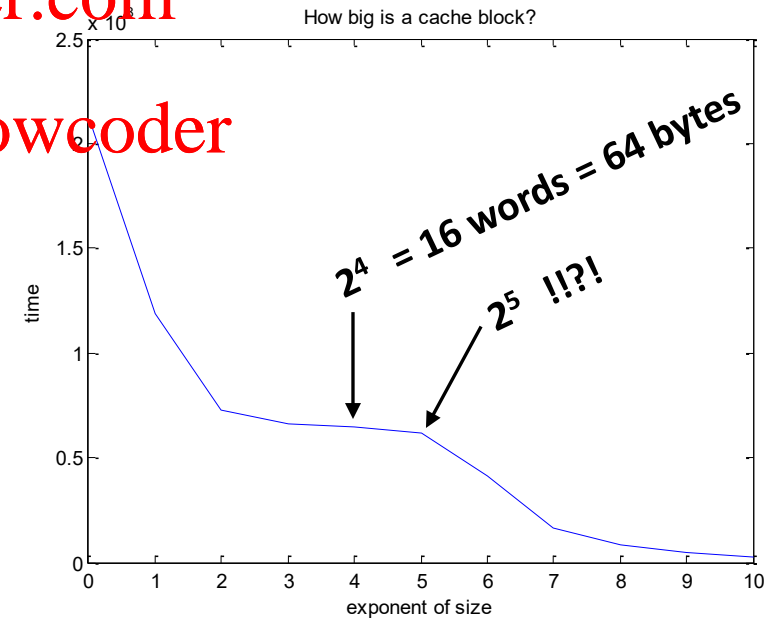
A=[
0 1 240591499
1 2 134307003
2 4 84736089
3 8 74437939
4 16 70215291
5 32 73695400
6 64 52077957
7 128 19758427
8 256 10488407
9 512 6369311
10 1024 3736937
];
plot(A(:,1),A(:,3));
title('How big is a cache block?');
ylabel('time');
xlabel('exponent of size');

```

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```

package comp273;

/** Lets try to figure out the cache sizes... */
public class CacheTest3 {

    public static void main( String[] args ) {
        int steps = 4 * 64 * 1024 * 1024; // Arbitrary number of steps

        System.out.println("B=[");
        int size = 1024; // start with 1K array
        for ( int j = 0; j < 15; j++ ) {
            int[] A = new int[size];
            long start = System.nanoTime();
            int lengthMod = A.length - 1;

            for (int i = 0; i < steps; i++) A[(i * 32) & lengthMod]++;

            long stop = System.nanoTime();
            System.out.println( j + " " + size + " " + (stop - start) );

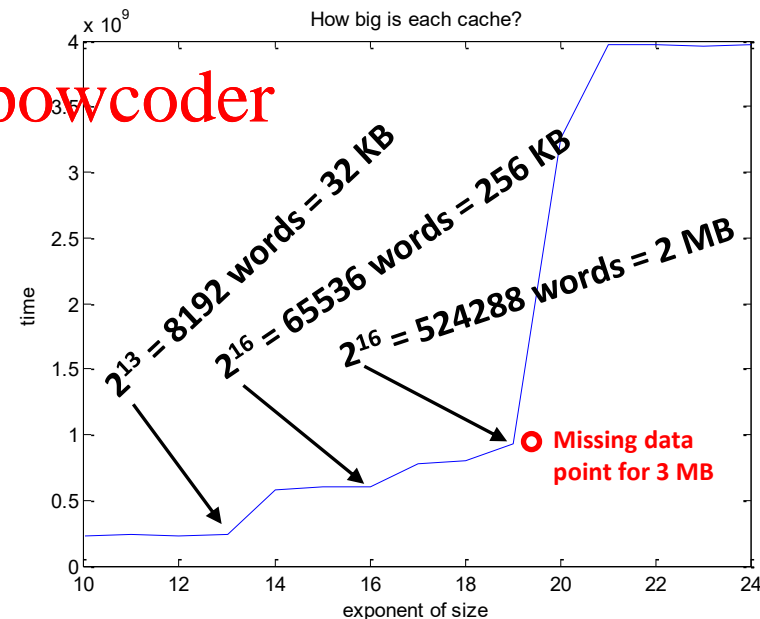
            System.gc(); // garbage collect now!
            size *= 2;
        }
        System.out.println("];");
        System.out.println("plot(B(:,1)+10,B(:,3))");
        System.out.println("title('How big is each cache?')");
        System.out.println("ylabel('time')");
        System.out.println("xlabel('exponent of size');");
    }
}

```

```

B=[
0 1024 226172611
1 2048 236937569
2 4096 227578791
3 8192 240087707
4 16384 581669367
5 32768 602241011
6 65536 607694375
7 131072 776806172
8 262144 799580776
9 524288 924929650
10 1048576 3261174238
11 2097152 3971720257
12 4194304 3972356366
13 8388608 3954041924
14 16777216 3971577666
15 33554432 3971577666
];
plot(B(:,1)+10,B(:,3))
title('How big is each cache?');
ylabel('time');
xlabel('exponent of size');

```



# Review and More Information

- Sections 5.3 - 5.4 of textbook

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