



# Assignment Project Exam Help

Algorithms:

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11. INTRACTABILITY

- We say that a (sequential) algorithm is *polynomial time* if for every input it terminates in polynomially many steps in the length of the input.

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- We denote this by  $A \in \mathbf{P}$ .

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- As we will see, definition of polynomial time computability is quite robust with respect to how we represent inputs.
- For example, we could also define the length of an integer  $x$  as the number of digits in the decimal representation of  $x$ .
- This can only change the constants involved in the expression  $T(n) = O(n^k)$  but not the asymptotic bound.

- If input is a weighted graph  $G$ , then  $G$  can be described by giving for each vertex  $v_i$  a list of edges incident to  $v_i$  together with their (integer) weights, represented in binary.

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- However, since we are interested only in whether the algorithm runs in polynomial time and not in the particular degree of the polynomial bounding such a run time, this does not matter.

- In fact, every precise description without artificial redundancies will do.

- We say that a decision problem  $A(x)$  is in *non-deterministic polynomial time*, denoted by  $A \in \mathbf{NP}$ , if:

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- Clearly, the problem “ $x$  is divisible by  $y$ ” is decidable by an algorithm which runs in time polynomial in the length of  $x$  only.
- In fact, “integer  $x$  is not prime” is actually decidable in (deterministic) polynomial time, but this is a hard theorem to prove.

Examples of  $NP$ -decision problems:

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Examples of *NP*-decision problems:

- (Vertex Cover) Instance: a graph  $G$  and an integer  $k$ . Problem: “There exists a subset  $U$  consisting of at most  $k$  vertices of  $G$  (called a vertex cover of  $G$ ) such that each edge has at least one end belonging to  $U$ .”

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- (SAT) Instance: a propositional formula in the CNF form  $C_1 \wedge C_2 \wedge \dots \wedge C_n$  where each clause  $C_i$  is a disjunction of propositional variables or their negations, for example

$$(P_1 \vee \neg P_2 \vee P_3 \vee \neg P_5) \wedge (P_2 \vee P_3 \vee \neg P_5 \vee \neg P_6) \wedge (\neg P_3 \vee \neg P_4 \vee P_5)$$

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Clearly, given an evaluation of the propositional variables one can determine in polynomial time if the formula is true for such an evaluation.

- If each clause  $C_i$  involves exactly three variables we call such decision problem 3SAT.

- As we have mentioned, for example, the decision problem “integer  $n$  is not prime” is obviously in NP, but it has been proved in 2002 that it is also in P.

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- However, so far, no one has been able to prove (or disprove) that this is indeed the case, despite a huge effort of very many very famous people!!
- Conjecture that NP is a strictly larger class of decision problems than P is known as “ $P \neq NP$ ” hypothesis, and it is widely believed that it is one of the hardest open problems in the whole of Mathematics!!

- Let  $U$  and  $V$  be two decision problems. We say that  $U$  is polynomially reducible to  $V$  if and only if there exists a function  $f(x)$  such that:

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- 2 For every instance  $x$  of  $U$  we have that  $U(x)$  is true just in case  $f(x)$  is an instance of  $V$  such that  $V(f(x))$  is true.

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- 3  $f(x)$  is computable by a polynomial time algorithm.

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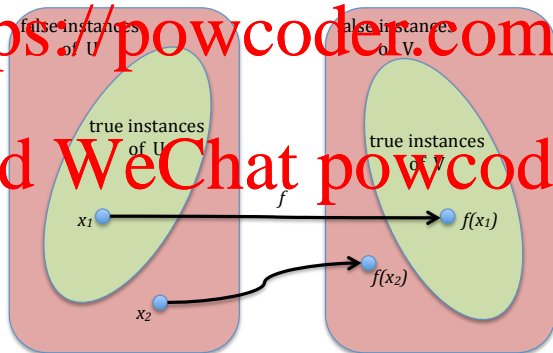
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Example of a polynomial reduction:

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# Polynomial Reductions

Example of a polynomial reduction:

- Every instance of SAT is polynomially reducible to an instance of 3SAT.

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- Clearly, (??) can be obtained from (??) using a simple polynomial time algorithm.



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- 2 then running the algorithm that solves  $U$  on instance  $f(x)$ .

- So NP complete problems are the hardest NP problems - a polynomial time algorithm for solving an NP complete problem would make every other NP problem also solvable in polynomial time.

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- A vast number of practically important decision problems are NP complete!

NP complete problems are everywhere!

- Traveling Salesman Problem

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- Instance.

- ① a map, i.e., a weighted graph with locations as vertices and with edges connecting these vertices which represent roads connecting these locations and with the weights of these edges representing the lengths of these roads.

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- Think of a mailman which has to deliver mail to several addresses and then return to the post office. Can he do it while traveling less than  $L$  kilometres in total?

NP complete problems are everywhere!

- Register Allocation Problem

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- In graph theoretic terms: Is it possible to color the vertices of a graph  $G$  with at most  $K$  colors so that no edge has both vertices of the same color.

• Set Cover Problem

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- Assume you want to buy DVDs, each with one out of  $N$  movie that you like.

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- As we will see, many other practically important problems are NP complete.

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  - Instance: A weighted graph (a map of locations) with weights representing the lengths of the edges of the graph (roads between locations);
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- Think of a mailman having to deliver mail to several addresses while having to travel as small total distance as possible.

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- The Traveling Salesman Optimisation Problem is clearly NP hard:
- using a “black box” for solving it, we can solve the Traveling Salesman Decision problem:
  - Given a weighted graph  $G$  and a number  $L$  we can determine if there is a cycle containing all vertices of the graph and whose length is at most  $L$ .
  - We do that by solving the Traveling Salesman Optimisation Problem thus determining the length of the cycle of minimal possible length and comparing the length of such a cycle with  $L$ .
  - Since all other NP problems are polynomial time reducible to the Traveling Salesman Decision problem (which is NP complete), then every other NP problem is solvable using a “black box” for the Traveling Salesman Optimisation Problem.

# The significance of NP hard problems

- It is important to be able to figure out if a problem at hand is NP hard in order to know that one has to abandon trying to come up with a feasible (i.e., polynomial time) solution.

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- Thus, for a practical problem which appears to be hard, the strategy would be:

- prove that the problem is indeed NP hard, to justify not trying solving the problem exactly;
- look for an approximation algorithm which provides a feasible sub-optimal solution that it is not too bad.

# Proving NP completeness

Warning: sometimes distinction between a problem in P and an NP complete problem can be subtle!

in P	NP complete
<ul style="list-style-type: none"><li>Given a graph <math>G</math> and two vertices <math>s</math> and <math>t</math>, is there a path from <math>s</math> to <math>t</math> of length <b>at most</b> <math>K</math>?</li></ul>	<ul style="list-style-type: none"><li>Given a graph <math>G</math> and two vertices <math>s</math> and <math>t</math>, is there a simple path from <math>s</math> to <math>t</math> of length <b>at least</b> <math>K</math>?</li></ul>
<ul style="list-style-type: none"><li>Given a propositional formula in CNF form such that every clause has at most <b>two</b> propositional variables, does the formula have a satisfying assignment?</li></ul>	<ul style="list-style-type: none"><li>Given a propositional formula in CNF form such that every clause has at most <b>three</b> propositional variables, does the formula have a satisfying assignment?</li></ul>
<ul style="list-style-type: none"><li>Given a graph <math>G</math>, does <math>G</math> have a tour where every <b>edge</b> is traversed exactly once? (An <i>Euler tour</i>.)</li></ul>	<ul style="list-style-type: none"><li>Given a graph <math>G</math>, does <math>G</math> have a tour where every <b>vertex</b> is visited exactly once? (A <i>Hamiltonian cycle</i>.)</li></ul>

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Taking for granted that SAT is NP complete, how do we prove NP completeness of another NP problem??

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- **Theorem:** Let  $U$  be an NP complete problem, and let  $V$  be another NP problem. If  $U$  is polynomially reducible to  $V$  then  $V$  is also NP complete.

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- We claim that  $g(f(x))$  is a polynomial reduction of  $W$  to  $V$ .

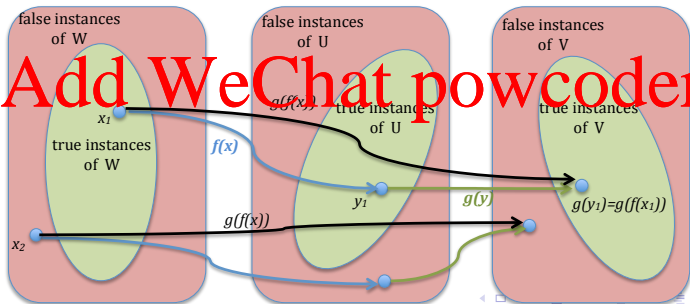
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- In total, the computation of  $g(f(x))$  terminates in at most  $P(|x|) + Q(P(|x|))$  many steps, which is a polynomial bound in  $|x|$ .

# Reducing 3SAT to VC

- Reducing an instance of 3SAT to an instance of a Vertex Cover (VC) problem, thus proving that *VC* is NP complete:

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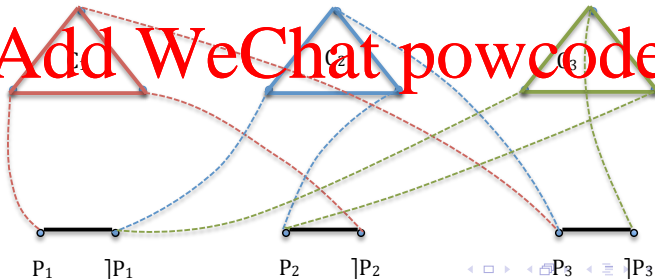
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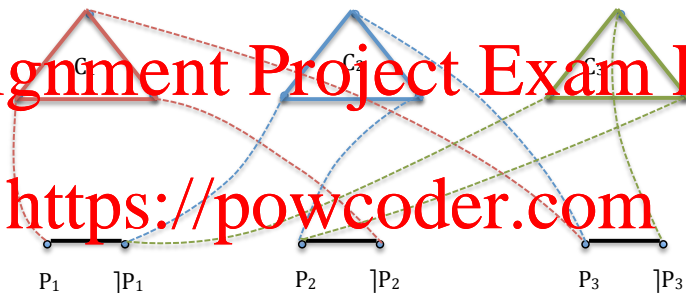
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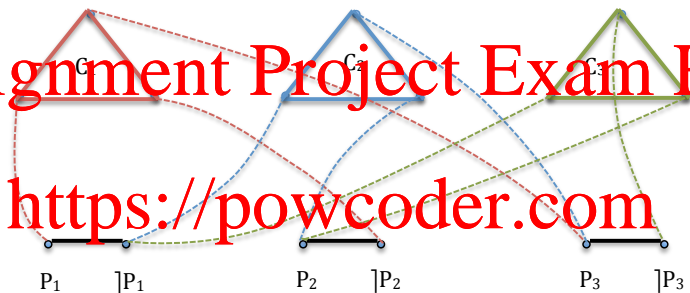
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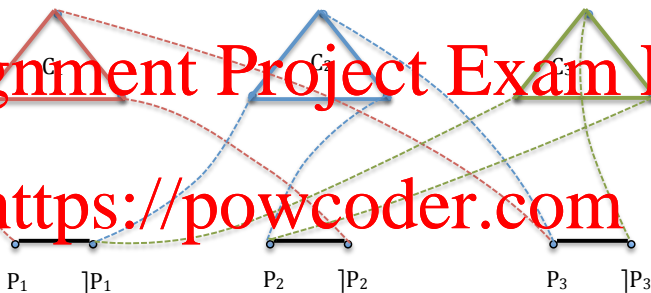
- Claim: an instance of 3SAT consisting of  $M$  clauses and containing in total  $N$  propositional variables has an assignment of variables which makes that instance true if and only if the corresponding graph has a Vertex Cover of size at most  $2M + N$ .

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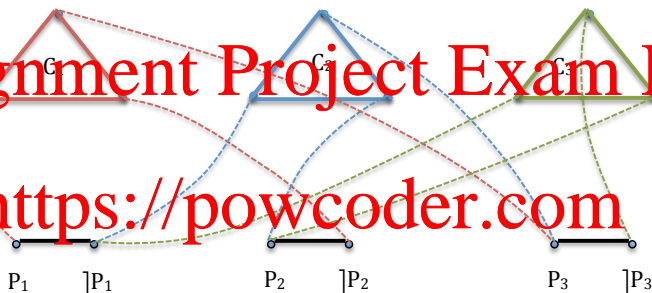
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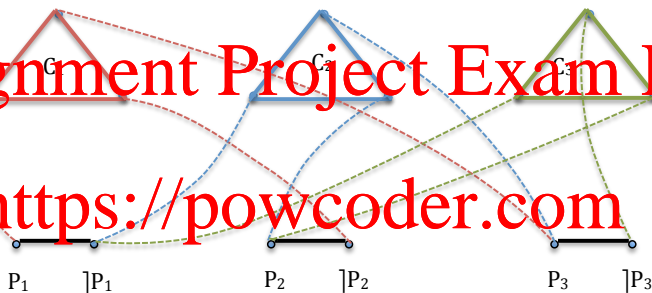
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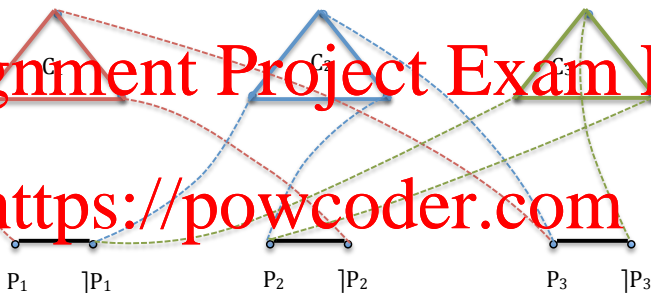


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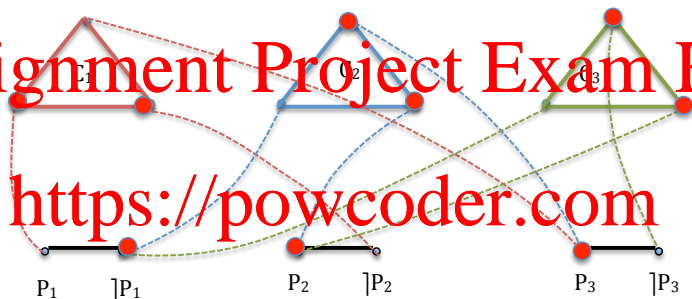
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- Claim: an instance of 3SAT consisting of  $M$  clauses and containing in total  $N$  propositional variables has an assignment of variables which makes that instance true if and only if the corresponding graph has a Vertex Cover of size at most  $2M + N$ .
- Assume there is a vertex cover with at most  $2M + N$  vertices chosen. Then
  - 1 Each triangle must have at least two vertices chosen;
  - 2 Each segment must have at least one of its ends chosen.
- This is in total  $2M + N$  points; thus each triangle must have *exactly* two vertices chosen and each segment must have *exactly* one of its ends chosen.

# Reducing 3SAT to VC

$$(P_1 \vee \neg P_2 \vee P_3) \wedge (\neg P_1 \vee P_2 \vee P_3) \wedge (\neg P_1 \vee P_2 \vee \neg P_3)$$

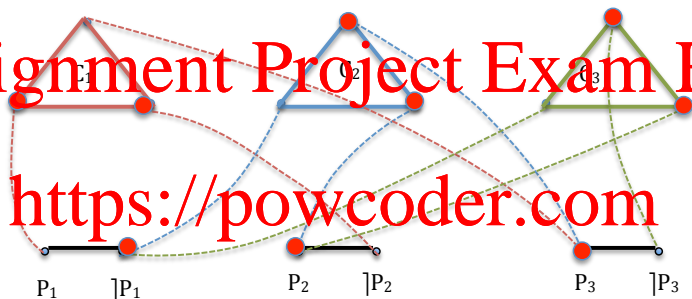


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- Set each propositional letter  $P_i$  to true if  $P_i$  end of the segment corresponding to  $P_i$  is covered;

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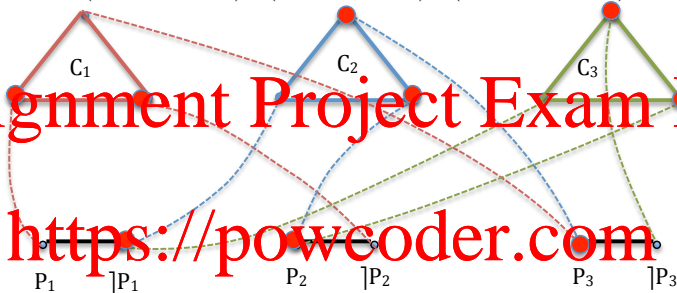
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- In a vertex cover of such a graph every uncovered vertex of each triangle must be connected to a covered end of a segment, which guarantees that the clause corresponding to each triangle is true.

## Reducing 3SAT to VC

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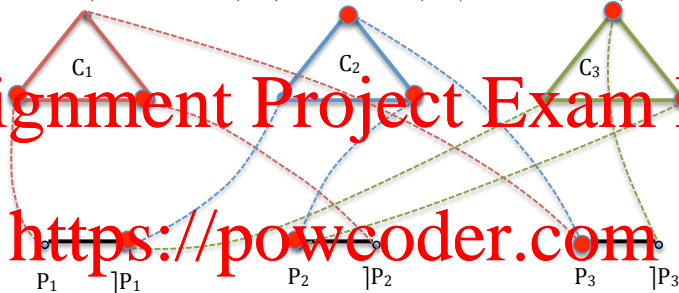


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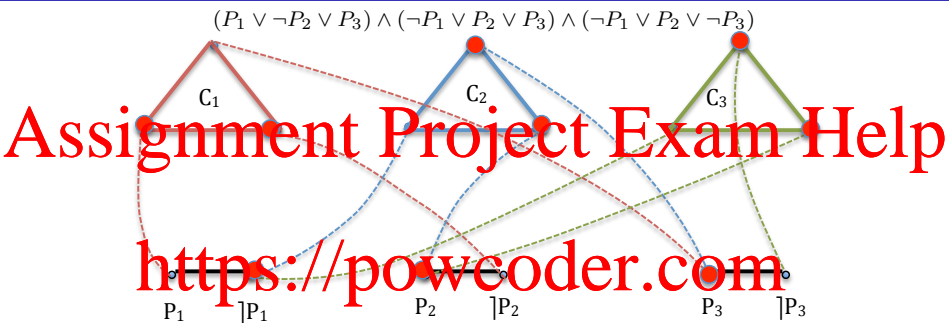
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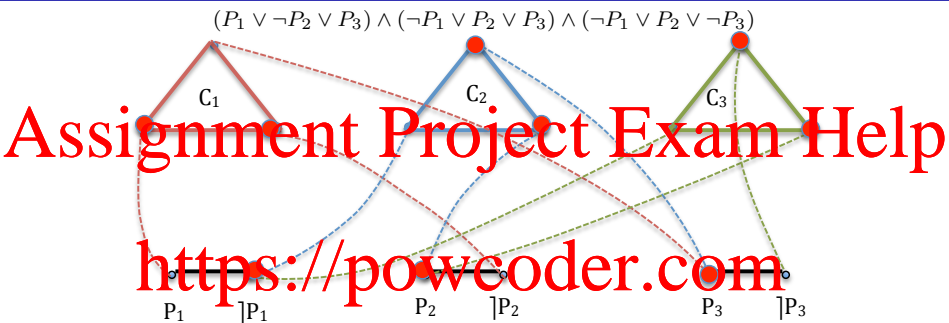
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- For each triangle corresponding to a clause at least one vertex must be connected to a covered end of a segment, namely to the segment corresponding to the variable which makes that clause true; cover the remaining two vertices of the triangle.
- in this way we cover exactly  $2M + N$  vertices of the graph and clearly every edge between a segment and a triangle has at least one end covered.

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- If an optimisation problem is NP hard, we do not try to solve it exactly, but instead, try to find a feasible (i.e., P time) algorithm which produces a solution that is not too bad.

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# Dealing with NP hard optimisation problems

- If an optimisation problem is NP hard, we do not try to solve it exactly, but instead, try to find a feasible (i.e., P time) algorithm which produces a solution that is not too bad.
- Example: Vertex Cover has an approximation algorithm which always produces a covering set with at most twice the number of the smallest vertex cover.

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- Thus we have produced a vertex cover of size at most twice the size of the minimal vertex cover.

- Example: Metric Traveling Salesman Problem (MTSP).

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# Dealing with NP hard optimisation problems

- Example: Metric Traveling Salesman Problem (MTSP).
- Instance: A complete weighted graph  $G$  with weights  $d(i, j)$  of edges (to be interpreted as distances) satisfying the “triangle inequality”: for any three vertices  $i, j, k$   
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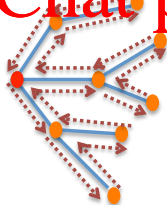
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- We now take shortcuts to avoid visiting vertices more than once; because of the triangle inequality, this operation does not increase the length of the tour.

- As we have mentioned, all NP complete problems are in a sense equally difficult because any of them is reducible to any other via a polynomial time transformation.

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# Dealing with NP hard optimisation problems

- As we have mentioned, all NP complete problems are in a sense equally difficult because any of them is reducible to any other via a polynomial time transformation.

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- However, in a sense they can also be extremely different: for example, as we have seen, the Vertex Cover problem allows an approximation which produces a cover which is at most twice as large as the optimal cover of minimal possible size.

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- To prove this, we show that if there existed  $K > 0$  and a polynomial time algorithm producing a tour which is at most  $K$  times longer than the optimal tour, then we could obtain an algorithm which solves in polynomial time the Hamiltonian Cycle Problem, i.e., which for every graph  $G$  determines if  $G$  contains a cycle visiting all vertices exactly once, which is impossible because this problem is known to be NP complete.

- To see this, let  $G$  be an arbitrary graph with  $n$  vertices.

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## Dealing with NP hard optimisation problems

- To see this, let  $G$  be an arbitrary graph with  $n$  vertices.
- We turn this graph into a complete weighted graph  $G^*$  by setting the weights of all existing edges to 1 and then add edges between the remaining pairs of vertices and set their weights to  $1/n$ .

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- We now apply the approximation algorithm (which we have assumed to exist) to produce a tour of all vertices of total length at most  $K \cdot \text{opt}$  where  $\text{opt}$  is the length of the optimal tour through  $G^*$ .

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- Clearly, if the original graph  $G$  has a Hamiltonian cycle then  $G^*$  has a tour consisting of edges already in  $G$  and of weights equal to 1, so such a tour has length of exactly  $n$ .

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- This is impossible, because this would be a polynomial time decision procedure for determining if  $G$  has a Hamiltonian cycle.