

## SQL Aggregate Queries

CS430/630  
Lecture 8

Slides based on "Database Management Systems" 3rd ed, Ramakrishnan and Gehrke

## Aggregate Operators

Significant extension of relational algebra

```
COUNT (*)
COUNT ([DISTINCT] A)
SUM ([DISTINCT] A)
AVG ([DISTINCT] A)
MAX (A)
MIN (A)
```

*A is a single column*

Result is **single** value obtained by applying aggregate over all qualifying tuples

```
SELECT COUNT (*)
FROM Sailors S
```

## Aggregate Queries Examples

```
SELECT AVG (S.age)
FROM Sailors S
WHERE S.rating=10
```

```
SELECT COUNT (DISTINCT S.rating)
FROM Sailors S
WHERE S.sname='Bob'
```

```
SELECT S.sname
FROM Sailors S
WHERE S.rating= (SELECT MAX (S2.rating)
                  FROM Sailors S2)
```

*Aggregate + nested!*

## Common Mistake with Aggregates

```
SELECT S.sname, MAX (S.age)
FROM Sailors S
```

**Illegal Query!**

- ▶ Can't have both aggregates and non-aggregates in SELECT
  - ▶ Exception: GROUP BY (later in this class)
- ▶ Reason: it is not guaranteed that there is only one tuple with the MAX value

## Grouping Results

- ▶ So far, aggregates applied to all (qualifying) tuples
  - ▶ We may want to apply them to each of several groups
- ▶ "Find the age of the youngest sailor **for each** rating level"
  - ▶ In general, we don't know how many rating levels exist, and what the rating values for these levels are!
  - ▶ Suppose we know that rating values go from 1 to 10

```
SELECT MIN (S.age)      SELECT MIN (S.age)
FROM Sailors S          FROM Sailors S
WHERE S.rating = 1      WHERE S.rating = 10
```

```
SELECT MIN (S.age)
FROM Sailors S
WHERE S.rating = 2
```

*How to achieve this?*

## Queries With GROUP BY and HAVING

```
SELECT [DISTINCT] target-list
FROM relation-list
WHERE qualification
GROUP BY grouping-list
HAVING group-qualification
```

- ▶ The **target-list** contains:
  - (i) attribute names list
  - (ii) terms with aggregate operations (e.g., MIN (S.age))
- ▶ The **attribute list (i)** must be a subset of **grouping-list**
  - ▶ A **group** is a set of tuples that have the same value for all attributes in **grouping-list**
  - ▶ Each answer tuple corresponds to a **group**, so these attributes must have a single value per group.

## Conceptual Evaluation

1. Compute cross-product of **relation-list**
2. Discard tuples that fail **qualification**, 'unnecessary' fields are deleted
3. Remaining tuples are partitioned into groups by the value of attributes in **grouping-list**
4. Discard groups that fail **group-qualification**
  - ▶ Expressions in **group-qualification** must have a **single value per group!**
  - ▶ An attribute in **group-qualification** that is not an argument of an aggregate operation must appear in **grouping-list** (unless **EVERY** or **ANY** used)
5. Generate single answer tuple per qualifying group

## GROUPBY Query Example

"Find age of the youngest sailor with age at least 18, for each rating with at least 2 such sailors"

Sailors

sid	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5

```
SELECT S.rating, MIN(S.age)
      AS minage
FROM Sailors S
WHERE S.age >= 18
GROUP BY S.rating
HAVING COUNT(*) > 1
```

## GROUPBY Conceptual Evaluation Example

"Find age of the youngest sailor with age at least 18, for each rating with at least 2 such sailors"

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5

→

rating	age
7	45.0
3	25.5
3	63.5
3	25.5
7	45.0
7	35.0
8	55.5
8	25.5
9	35.0
10	35.0

→

rating	minage
3	25.5
7	35.0
8	25.5

## More Group Qualification Functions

- ▶ So far, we have seen group qualification based on a **property of the group**
  - ▶ E.g., aggregate function computed for entire group
- ▶ But recent SQL standard version allow group qualification based on a **property of individual records**
  - ▶ **EVERY(condition)**: TRUE if condition holds for every group tuple
  - ▶ **ANY(condition)**: TRUE if condition holds for some group tuple

Find age of the youngest sailor with age  $\geq 18$ , for each rating with at least 2 such sailors and with every sailor under 60.

**HAVING COUNT(\*) > 1 AND EVERY (S.age <= 60)**

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5

→

rating	age
7	45.0
3	25.5
3	63.5
3	25.5
7	45.0
7	35.0
8	55.5
8	25.5
9	35.0
10	35.0

→

rating	minage
7	35.0
8	25.5

## Pay attention to order of steps!

- ▶ **HAVING** executes **AFTER** **WHERE**

"Find age of the youngest sailor with age  $\geq 18$ , for each rating with at least 2 sailors (of any age)"

```
SELECT S.rating, MIN(S.age)
FROM Sailors S
WHERE S.age >= 18
GROUP BY S.rating
HAVING COUNT(*) > 1
```

**WRONG!!!**

Find age of the youngest sailor with age  $\geq 18$ ,  
for each rating with at least 2 sailors (of any age)

rating	age	rating	age	rating	age	rating	minage
7	45.0	7	45.0	<del>7</del>	<del>45.0</del>	3	25.5
1	33.0	1	33.0	3	25.5	7	35.0
8	55.5	8	55.5	3	63.5	8	25.5
8	25.5	8	25.5	3	25.5	10	35.0
10	35.0	10	35.0	7	45.0		
7	35.0	7	35.0	7	35.0		
10	16.0	10	16.0	8	55.5		
9	35.0	9	35.0	8	25.5		
3	25.5	3	25.5	<del>8</del>	<del>25.5</del>		
3	63.5	3	63.5	<del>10</del>	<del>35.0</del>		
3	25.5	3	25.5	10	35.0		

Pay attention to order of steps!

"Find age of the youngest sailor with age  $\geq 18$ ,  
for each rating with at least 2 sailors (of any age)"

```
SELECT S.rating, MIN (S.age)
FROM Sailors S
WHERE S.age  $\geq 18$ 
GROUP BY S.rating
HAVING 1 < (SELECT COUNT (*)
            FROM Sailors S2
            WHERE S.rating=S2.rating)
```

- HAVING executes **AFTER** WHERE
- HAVING clause can also contain a subquery!

"Summary of Cases" - INFORMAL

- Can group validation condition be evaluated on "intermediate" relation alone?
  - If **NO**, then we need subquery in HAVING
  - If **YES**, then we do not need subquery, and we have two further cases:
    - Group validation condition **DOES NOT** depend on individual tuples in group, only aggregates and group-by attributes appear in the HAVING clause
    - Group validation **DOES** depend on individual tuples in group, in which case non-group-by attributes may appear with ANY or EVERY operator
- Note: this is just a guideline, for most cases, it is actually possible to have a mix of the above!!!

Aggregates and FROM subqueries

- Aggregate operations cannot be nested!
    - "Find rating that has lowest average sailor age" **WRONG**
- ```
SELECT S.rating
FROM Sailors S
WHERE S.age = (SELECT MIN (AVG (S2.age)) FROM Sailors S2)
```
- Correct solution:
- ```
SELECT Temp.rating, Temp.avgage
FROM (SELECT S.rating, AVG (S.age) AS avgage
      FROM Sailors S
      GROUP BY S.rating) Temp
WHERE Temp.avgage = (SELECT MIN (Temp.avgage)
                    FROM Temp)
```