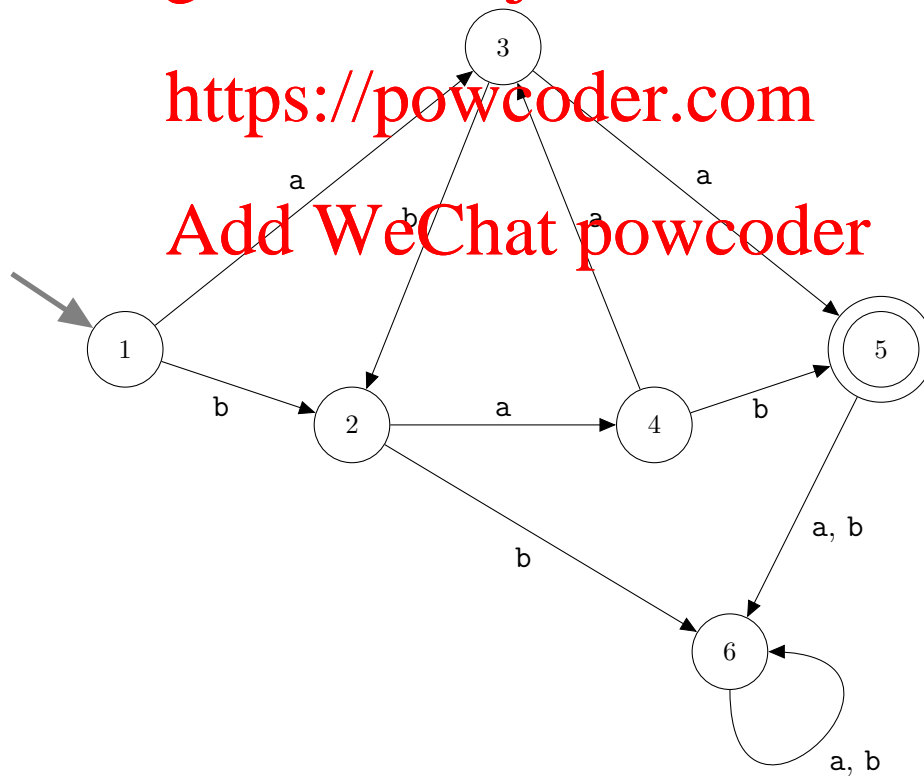


FIT2014
Tutorial 4
Pumping Lemma, and Context Free Languages

Although you may not need to do all the many exercises in this Tutorial Sheet, it is still important that you attempt all the main questions and a selection of the Supplementary Exercises.

1. Consider the following Finite Automaton, called SHAUN:



The **SHAUN Game** is played between two players, Reg and Nona, as follows. They take turns, with Reg moving first. The game is very short: Reg moves first, then Nona moves, then Reg moves, then Nona moves, making only four moves in total. The rules for their moves are as follows.

- Reg first chooses a number N .
- Nona chooses a string w accepted by SHAUN the Finite Automaton such that $|w| > N$.
- Then Reg divides w up into substrings x, y, z such that $y \neq \varepsilon$ and $|xy| \leq N$.
- Then Nona chooses a non-negative integer i .

The result of the game is that:

- if xy^iz is accepted by SHAUN, then Reg wins;
- if xy^iz is rejected by SHAUN, then Nona wins.

For example, here are two possible games between Reg and Nona.

• **First game:**

1. **Reg:** chooses $N = 2$.
2. **Nona:** chooses $w = \text{bab}$.
3. **Reg:** chooses $x = \varepsilon, y = \text{ba}, z = \text{b}$.
4. **Nona:** chooses $i = 3$.

Outcome: **Nona wins**, because $xy^iz = xy^3z = \text{bababab}$ is *rejected* by SHAUN.

• **Second game:**

1. **Reg:** chooses $N = 5$.
2. **Nona:** chooses $w = \text{abaaa}$.
3. **Reg:** chooses $x = \text{a}, y = \text{baa}, z = \text{a}$.
4. **Nona:** chooses $i = 2$.

Outcome: **Reg wins**, because $xy^iz = xy^2z = \text{abaabaaa}$ is *accepted* by SHAUN.

(a) Play this game *twice* with one of your fellow FIT2014 students (or a friend or family member, if you prefer), using different moves to those shown in the example games above, and different moves in each game. For the second game, you must reverse the roles you had in the first game. So each player plays one game as Reg, and one as Nona. Record each of the games, showing for each game:

- the name of each player, and which role they each played (Reg/Nona),
- the sequence of moves by each player,
- the outcome of the game.

(b) Using quantifiers, write down the assertion that Reg has a winning strategy. (I.e., he can choose a first move such that, no matter what Nona does in reply, Reg can choose his second move so that, for any last move by Nona, the outcome is that Reg wins.)

When doing this, assume you have a predicate **ShaunAccepts**(w) which takes a string w as its sole argument and is True if SHAUN accepts w and False if SHAUN rejects w .

(c) Using quantifiers, write down the assertion that Nona has a winning strategy.

(d) One of the players has a winning strategy. Determine who this is, and describe the winning strategy.

2.

Let SHAWN be the language represented by the regular expression $(a \cup \varepsilon)(baa)^*(a \cup (bab))$. The **SHAWN Game** is played just like the SHAUN Game, except that

- in Nona's first move, she is required to choose a string w of length $> N$ that *belongs to the language SHAWN* (instead of being accepted by the Finite Automaton SHAUN);
- the condition for Reg to win is that the final string xy^iz *belongs to the language SHAWN* (instead of being accepted by the Finite Automaton SHAUN);
- the condition for Nona to win is that the final string xy^iz *does not belong to the language SHAWN* (instead of being rejected by the Finite Automaton SHAUN).

One of the players has a winning strategy. Determine who this is, and describe the winning strategy.

3.

The **HALF-AND-HALF language** is defined by

$$\text{HALF-AND-HALF} = \{a^n b^n : n \in \mathbb{N}\}.$$

The **HALF-AND-HALF Game** is also played between Reg and Nona, exactly as for the SHAWN game except that the language HALF-AND-HALF is used instead. So, the string w must be chosen to belong to HALF-AND-HALF, and the outcome is determined by whether or not the string xy^iz belongs to HALF-AND-HALF. The rules for the moves are as follows.

- Reg first chooses N
- Nona chooses a string $w \in \text{HALF-AND-HALF}$ such that $|w| > N$.
- Then Reg divides w up into substrings x, y, z such that $y \neq \varepsilon$ and $|xy| \leq N$.
- Then Nona chooses a non-negative integer i .

The result of the game is that:

- if $xy^iz \in \text{HALF-AND-HALF}$, then Reg wins;
- if $xy^iz \notin \text{HALF-AND-HALF}$, then Nona wins.

- (a) Play this game twice, for practice.
- (b) One of the players has a winning strategy. Determine who this is, and describe the winning strategy.

4. The games SHAWN and HALF-AND-HALF are instances of a huge class of games called **Pumping Games**. You can play a Pumping Game for *any* language. As usual, the players are Reg and Nona. First, the players are given a language L (which may or may not be regular). Reg moves first, then Nona moves, then Reg moves, then Nona moves. The rules for their moves are as follows.

- Reg first chooses a number N
- Nona chooses a string $w \in L$ with $|w| > N$.
- Then Reg divides w up into substrings x, y, z such that $y \neq \varepsilon$ and $|xy| \leq N$.
- Then Nona chooses a non-negative integer i .

The result of the game is that:

- if $xy^iz \in L$, then Reg wins;
- if $xy^iz \notin L$, then Nona wins.

- (a) Using quantifiers, write down the assertion that Reg has a winning strategy.
- (b) Using quantifiers, write down the assertion that Nona has a winning strategy.
- (c) What does the Pumping Lemma tell us about circumstances in which winning strategies exist?

5. Some programs (e.g., the editor **emacs**), allow a regular expression to contain $\backslash n$, where n is a digit. This must be matched by another copy of whatever substring matched the subexpression in the n -th pair of parentheses in the regular expression.

For example, suppose we have the expression $a(b^*c^*)^1a$. This matches the string **abbb-bcbbbbba**, since the first **bbbb** matches what's within the first parentheses (i.e., b^*), so that any occurrence of $\backslash 1$ must also be matched by that exact string, **bbbb**. The expression is not matched by the string **abbbbbcbbba**, since the second string of **b**'s is different to the first and therefore cannot match $\backslash 1$.

Prove or disprove: every language described by an extended regular expression of this type is regular.

- 6.
- (a) Prove that the difference between two squares of two consecutive positive integers increases as the numbers increase.
 - (b) Use the Pumping Lemma to prove that the language $\{a^{n^2} : n \in \mathbb{N}\}$ is not regular.
 - (c) Hence prove that the language of binary string representations of adjacency matrices of graphs is not regular.

Definitions.

The *adjacency matrix* $A(G)$ of a graph G on n vertices is an $n \times n$ matrix whose rows and columns are indexed by the vertices of G , with the entry for row v and column w being 1 if v and w are adjacent in G , and 0 otherwise.

The *binary string representation* of $A(G)$ is obtained from $A(G)$ by just turning each row of $A(G)$ into a string of n bits, and then concatenating all these strings in row order, to form a string of n^2 bits.

7. Let CENTRAL-ONE be the language of binary strings of odd length whose middle bit is 1.

(a) Prove or disprove: CENTRAL-ONE is regular.

(b) Prove or disprove: CENTRAL-ONE is context-free.

8. Consider the following Context Free Grammar for arithmetic expressions:

$$\begin{aligned} S &\rightarrow E \\ E &\rightarrow E + T \mid E - T \mid T \\ T &\rightarrow T * F \mid T / F \mid F \\ F &\rightarrow \text{int} \mid (E) \end{aligned}$$

where **int** stands for any integer. Find parse trees for each of the following arithmetic expressions.

i) $4 + 6 - 8 + 9$

ii) $(4 + 10)/(8 - 6)$

iii) $(2 - 3)^{(4 - 8)/(3 - 8) + (2 - 4)}$

9. In this question, you will write a Context Free Grammar for a very small subset of English.

For many years, children in Victorian schools learned to read from *The Victorian Readers* (Ministry of Education, Victoria, Australia, 1928; many reprints since). Some of you may have grandparents (or even parents!) who used these books in school.

The *First Book* of this series (there were eight altogether) contains simple sentences, with illustrations. Among these sentences are:

I can hop.

I can run.

I can stop.

I am big.

I am six.

I can dig.

I can run and hop and dig.

Tom can hop and dig.

Tom is big.

Tom and I can run.

(a) Write a simple CFG in BNF which can generate these sentences and a variety of others.

(b) Using your grammar, give a derivation and a parse tree for the sentence

Tom and I can dig and hop and run.

10. Consider the following Context Free Grammar.

$$\begin{aligned} S &\rightarrow E \\ E &\rightarrow E \cup T \mid T \\ T &\rightarrow TF \mid F \\ F &\rightarrow F^* \mid (E) \mid a \mid b \mid \epsilon \end{aligned}$$

Note: in the last line, ϵ is an actual symbol (i.e., one letter); it is not the empty string itself, but rather a symbol used in regular expressions to match the empty string.

(a) Find the **leftmost** and **rightmost** derivations of the following words generated by the above Context Free Grammar.

i) $a^*b^* \cup b^*a^*$

ii) $(aa \cup bb)^*$

iii) $(a \cup \epsilon)(b \cup \epsilon)(a \cup \epsilon)$

(b) Prove that the language generated by this grammar is not regular.

11. Prove the following:

If a string in a context-free language has a derivation of length n , then it has a leftmost derivation of length n .

The simplest approach is to think about parse trees ...

For a more complicated proof, by induction, see Q19.

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Supplementary exercises

12.

Recall that the EVEN-EVEN *language* consists of all strings over alphabet $\{a, b\}$ that contain an even number of a s and an even number of b s.

The **EVEN-EVEN Game** is played between two players, Reg and Nona, as follows. They take turns, with Reg moving first. The game is very short: Reg moves first, then Nona moves, then Reg moves, then Nona moves, making only four moves in total. The rules for their moves are as follows.

- Reg first chooses a number N .
- Nona chooses a string $w \in \text{EVEN-EVEN}$ such that $|w| > N$.
- Then Reg divides w up into substrings x, y, z such that $y \neq \varepsilon$ and $|xy| \leq N$.
- Then Nona chooses a non-negative integer i .

The result of the game is that:

- if $xy^iz \in \text{EVEN-EVEN}$, then Reg wins;
- if $xy^iz \notin \text{EVEN-EVEN}$, then Nona wins.

Here are two possible games between Reg and Nona.

- **First game:**

1. **Reg:** first chooses $N = 4$.
2. **Nona:** chooses $w = \text{ababbaab}$.
3. **Reg:** chooses $x = \varepsilon, y = \text{abab}, z = \text{baab}$.
4. **Nona:** chooses $i = 2$.

Outcome: **Reg wins**, because $xy^iz = xy^2z = \text{ababababbaab} \in \text{EVEN-EVEN}$.

- **Second game:**

1. **Reg:** first chooses $N = 4$.
2. **Nona:** chooses $w = \text{ababbaab}$.
3. **Reg:** chooses $x = \text{ab}, y = \text{ab}, z = \text{baab}$.
4. **Nona:** chooses $i = 0$.

Outcome: **Nona wins**, because $xy^iz = xy^0z = \text{abbaab} \notin \text{EVEN-EVEN}$.

- (a) Play this game twice, for practice.
- (b) Using quantifiers, write down the assertion that Reg has a winning strategy.
- (c) Using quantifiers, write down the assertion that Nona has a winning strategy.
- (d) One of the players has a winning strategy, provided $N \geq 4$. Determine who this is, and describe the winning strategy.

13. Prove or disprove:

In a Context-Free Grammar, if the right-hand side of every production is a palindrome, then any string in the generated language is a palindrome.

14. Recall that \overleftarrow{x} denotes the reverse of string x . If L is any language, define $\overleftarrow{L} = \{x : \overleftarrow{x} \in L\}$, i.e., the set of all reversals of strings in L .

Prove that, if L is a Context-Free Language, then so is \overleftarrow{L} .

15. Use Questions 11 and 14 to prove that, if a string in a context-free language has a derivation of length n , then it has a rightmost derivation of length n .

16. For our purposes, a *permutation* is represented as a string, over the three-symbol alphabet containing 0, 1 and “,” (comma), as follows: each of the numbers $1, 2, \dots, n$ is represented in binary, and the numbers are given in some order in a comma-separated list. Each number in $1, 2, \dots, n$ appears exactly once, in binary, in this list. For example, the permutation 3,1,2 is represented by the string “11,1,10”.

Prove that the language of permutations is not regular.

17.

For this question, you may use the fact that there exist arbitrarily long sequences of positive integers that are not prime. In other words, for any N , there is a sequence of numbers $x, x+1, \dots, x+N$ none of which is prime.¹

Prove that the language $\{a^p : p \text{ is prime}\}$ is not regular.

18. You saw in Q10 that the language of regular expressions, over the eight-character alphabet $\{a, b, \epsilon, \cup, (,), *, \emptyset\}$, is not, itself, regular(!), but it is context-free.

Now, prove that the language of context-free grammars is regular. Assume that the non-terminal symbols are S, X_1, \dots, X_m , where S is the start symbol, and that the terminal symbols are x_1, \dots, x_n . So the alphabet for your regular expression is $\{S, X_1, \dots, X_m, x_1, \dots, x_n, \epsilon, \rightarrow\}$.

But don't get confused about this! It certainly does *not* follow that every context-free language is regular! Remind yourself of the actual relationship between regular languages and context-free languages.

19. Prove the following theorem, by induction on derivation length:

If a string in a context-free language has a derivation of length n , then it has a leftmost derivation of length n .

It may help to do this by proving the following stronger result.

Let σ be a string, which can contain terminal and non-terminal symbols. If a string in a context-free language has a derivation from σ of length n , then it has a leftmost derivation from σ of length n .

¹Mathematically-inclined students are encouraged to try to prove this fact for themselves.