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Roadmap to the bioinformatic study of gene and protein evolution

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Protocol for studying gene and protein evolution

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We present a compilation of nucleic acid and protein databases and bioinformatic tools for phylogenetic reconstructions and a wide range of studies on molecular evolution and population genetics. We provide a protocol for information extraction from biological databases and simple phylogenetic reconstruction.

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Evolution, bioinformatics, phylogenetic analysis, evolutionary studies, molecular evolution, Phylogenetic inference

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Sequence selection and comparisons

1 State of the art on genes and proteins

Evolutionary analyses on molecular data, (genes, genomes or proteins), generally require retrieving information from public biological databases. Several dozens of databases store information about the state of the art on genes and proteins. In particular, many of them provide the sequences in fasta format, which is necessary for evolutionary studies. Other information and annotations, including structure, activity, biological function, tissue expression, subcellular location and polymorphism can

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1

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also prove relevant.

Here is a non-exhaustive list of nucleic acid databases, and a list of the main protein databases, with their main features.

>Retrieve the sequence from one of the following databases (e.g. NCBI or Uniprot) and paste the sequence in a fasta file using fasta format, using .fasta as filename extension.

Fasta format includes a headline starting with ">", and the nucleic acid or amino acid sequence.

For example:

>sp|P04637|P53_HUMAN Cellular tumor antigen p53 OS=Homo sapiens OX=9606 GN=TP53 PE=1 SV=4 MEEPQSDPSVEPPLSQETFSDLWKLLPENNVLSPLPSQAMDDLMLSPDDIEQWFTEDPGP DEAPRMPEAAPPVAPAAPAPAPAPAPASWPLSSSVPSQKTYQGSYGFRLGFLHSGTAK SVTCTYSPALNKMFCQLAKTCPVQLWVDSTPPPGTRVRAMAIYKQSQHMTEVVRRCPHHE RCSDSDGLAPPQHLIRVEGNLRVEYLDDRNTFRHSVVVPYEPPEVGSDCTTIHYNYMCNS SCMGGMNRRPILTIITLEDSSGNLLGRNSFEVRVCACPGRDRRTEEENLRKKGEPHHELP PGSTKRALPNNTSSSPQPKKKPLDGEYFTLQIRGRERFEMFRELNEALELKDAQAGKEPG GSRAHSSHLKSKKGQSTSRHKKLMFKTEGPDSD

Α	В	С
Database	Features	Link
BAR	Database of plant genes and proteins	http://bar.utoronto.ca/
Bgee	Gene expression patterns	https://bgee.org/
Ensembl	Genome browser of vertebrates, includes tools for identification of homology	https://www.ensembl.org/index.html
Entrez	Gene sequences and structures	https://www.ncbi.nlm.nih.gov/Web/Search/entrezfs.html
FigTree	Vizualization tool for phylogenetic trees	https://github.com/rambaut/figtree/releases
FlyBase	Genome and proteins of the model insect <i>D.</i> melanogaster	https://flybase.org/
GenBank	Annotated DNA sequences	https://www.ncbi.nlm.nih.gov/genbank/
PomBase	Genes and proteins of the model yeast <i>S. pombe</i>	https://www.pombase.org/
TAIR	Genome and proteins of the model plant <i>A.</i> thaliana	https://www.arabidopsis.org/
WormBase	Genome and proteins of the model nematode <i>C.</i> elegans	https://wormbase.org//#012-34-5
Xenbase	Genome and proteins of the model amphibian <i>X.</i> laevis	http://www.xenbase.org/entry/

List of the main nucleic acid databases



Α	В	С
Database	Features	Link
Gene ontology	Unified annotation of	http://geneontology.org/
	molecular function, biological processes,	
	and cellular components of proteins	
Interpro	Classification of	https://www.ebi.ac.uk/interpro/
	proteins domains and functional sites	
KEGG	Protein function and	https://www.genome.jp/kegg/
	biological pathways	
PDB	3-dimensional structures	https://www.rcsb.org/
	of proteins	
Pfam	Information about protein	http://pfam.xfam.org/
	families and domains, includes tools for	
	identification of homology	
Pharos	Centralizes literature	https://pharos.nih.gov/
	for human proteins	
Prints	Protein fingerprints	http://www.bioinf.man.ac.uk/dbbrowser/PRINTS/
	classification database	
Prosite	Protein family database	https://prosite.expasy.org/
Scop	Classification of protein	https://scop.mrc-lmb.cam.ac.uk/
	based on their structure	
Superfamily	Protein structure and	https://supfam.org/
	functions	
The human protein	Information on human	https://www.proteinatlas.org/
atlas	protein and their link with diseases	
Uniprot	General information on	https://www.uniprot.org/uniprot/P04637
	proteins	

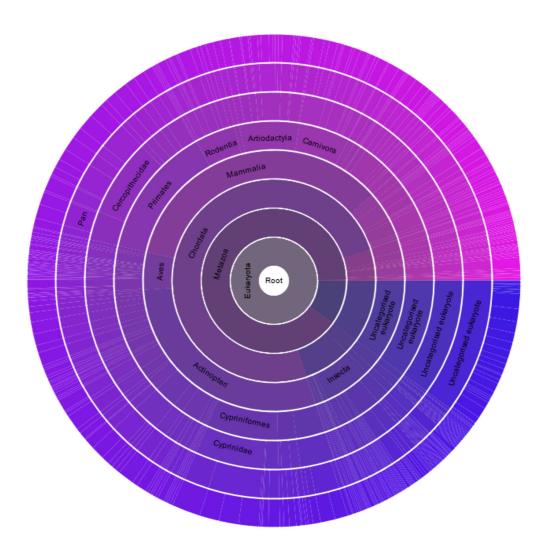
List of the main protein databases

1.1 Protein classification

Using protein classification can also be relevant for evolutionary studies. Proteins are classified into different categories based on structural and functional similarity, evolutionary relationship, or both. Retrieving the classification of a protein of interest, and identifying the main protein domains, often provides valuable insight on its biodiversity and evolutionary origin. Several classification systems are published and listed in the table above.

>Use a classification system (e.g. Pfam or Interpro) to identify the main domains of a protein. Pfam presents also their occurrence in living organisms as a sunburst plot.

This figure displays the diversity of the domain P53 that can be retrieved from Pfam. It shows that this domain is present in virtually all animals, and some of their close relatives, such as choanoflagellates, and suggests that this domain appeared before the divergence between animals and these protists.



Sunburst plot of the distribution of the p53 protein domain (PF00870) in living organisms according to Pfam.

2 Identification of homologues

Studying the evolution of a family of genes or proteins requires the identification of homologues, *i.e.*, genes or protein with shared ancestry. Homologues include orthologues, that are present in different species, and paralogues, that are present in the same genome. Bioinformatic tools can be used to identify gene or protein homology based on sequence similarity, in the genomes of any species (see the list below).

>Use the sequence of your gene of interest under fasta format to identify homologues in the genomes of other species using BLAST, for example. Paste the sequences of the homologues in a single fasta file and select the species or groups of species of interest (e.g. all animals and choanoflagellates). Download the sequences of the homologues covering the diversity of animals and paste them under fasta format in another fasta file.

Here is a list of tools that can be used to identify sequence homologues:

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Α	В	С
Database	Features	Link
BLAST	Protein or DNA homology search	https://blast.ncbi.nlm.nih.gov/Blast.cgi
Blat	Sequence search	https://genome.ucsc.edu/cgi-bin/hgBlat
Ensembl	DNA homology search	https://m.ensembl.org/index.html
FASTA	Sequence search and alignment	https://www.ebi.ac.uk/Tools/sss/fasta/
HMMER	Protein homology search	http://hmmer.org/
Shaha	Sequence search and alignment	https://www.sanger.ac.uk/tool/ssaha/

List of bioinformatic tools for identification of gene and protein homologues

3 Sequence alignment

Phylogenetic analysis requires identification of homologue bases or amino acid residues of the different sequences. Homology is inferred by a sequence alignment. Sequences of different genes or proteins are put in every row one after the other to arrange every homologous base or amino acid in the same column.

Here is a list of tools for nucleic acid or amino acid sequence alignment. Use an appropriate tool (nucleic acid or amino acid) to generate an alignment of the sequences. Insertions and deletions are indicated by gaps "-" added to the sequences.

>It is sometimes recommended to check the alignment and, when necessary, to improve it manually or using GBlocks. Then, export the alignment using fasta format.

Α	В	С
Software	Features	Link
BAli-Phy	Bayesian alignment and	http://www.bali-phy.org
	phylogenetic analysis	
ClustalOmega	DNA or amino acid	https://www.ebi.ac.uk/Tools/msa/clustalo/
	sequence alignment	
ClustalW	DNA or amino acid	https://www.genome.jp/tools-bin/clustalw
	sequence alignment	
GBlocks	DNA or amino acid	http://molevol.cmima.csic.es/castresana/Gblocks_server.html
	alignment	
MAFFT	DNA or amino acid	https://mafft.cbrc.jp/alignment/server/
	sequence alignment	
Muscle	DNA or amino acid	https://www.ebi.ac.uk/Tools/msa/muscle/
	sequence alignment	
Prank	DNA or amino acid	http://wasabiapp.org/software/prank/
	sequence alignment	
Probcons	Amino acid sequence	http://probcons.stanford.edu/
	alignment	
T-Coffee	Sequence alignment	http://tcoffee.crg.cat/



List of programs for sequence alignment

Phylogenetic analysis

4 Phylogenetic inference

The evolutionary history of gene, protein or species is generally presented as a phylogenetic tree, a graphical illustration of the evolutionary relationships between the studied taxa. Several methods for phylogenetic inference exist: Maximum Parsimony, the distance-methods and the probabilistic methods. Choose an appropriate method to reconstruct the phylogenetic tree of the genes/proteins of interest. The probabilistic methods are nowadays the most widely used for molecular data, but many studies use other methods, distance methods in complement.

>Choose one or several of these methods to reconstruct the evolutionary history of your gene, protein or species of interest.

Here is a list of tools for phylogenetic reconstruction using diverse methods, and visualization of phylogenetic trees that can be used in complement. For beginners, we recommend using SeaView or Mega with ML analyses, which are very user-friendly and include several tools for sequence alignment, phylogenetic inference including maximum parsimony, distance methods and probabilistic methods, and a tree visualization tool. Advanced users generally prefer the more complex PhyML or RaxML.

Α	В	С
Software	Features	Link
ETEToolkit	Visualization and	http://etetoolkit.org/
	analysis of phylogenetic trees	
FigTree	Graphic software for	http://tree.bio.ed.ac.uk/software/figtree/
	phylogenetic trees	
ITOL	Visualization and	https://itol.embl.de/
	annotation of phylogenetic trees	
Mega	Sequence alignment, model	https://www.megasoftware.net/
	selection, phylogenetic analysis	
	(parsimony, distance methods)	
MrBayes	Bayesian phylogenetic	http://nbisweden.github.io/MrBayes/
	inference, ancestral states	
	reconstruction, phylogenetic	
	calibration	
PAML	Maximum likelihood	http://abacus.gene.ucl.ac.uk/software/paml.html
	phylogenetic inference, estimation of	
	selection strength, ancestral states	
	reconstruction and other analyses	
PAUP	Phylogenetic analyses	http://paup.phylosolutions.com/
PhyML	Maximum likelihood	http://atgc.lirmm.fr/phyml/
	phylogenetic inference, ancestral	
	states reconstruction and other	
	analyses	
RaxML	Maximum likelihood	https://cme.h-its.org/exelixis/web/software/raxml/
	phylogenetic inference	
SeaView	Sequence alignment and	http://doua.prabi.fr/software/seaview
	phylogenetic analysis (parsimony, NJ, ML)	

List of programs and databases for phylogenetic analysis using diverse methods

Α

4.1 Option 1: Maximum parsimony

Maximum parsimony is a classic and simple method, that calculates the minimum number of evolutionary steps, including nucleotide insertions, deletions or substitutions, between species.

However, this method ignores hidden mutations and does not take into account branch lengths, potentially leading to long branch attraction, which is an incorrect clustering of unrelated taxa. Furthermore, it does not consider the possibility of hidden mutations, making it not relevant for distant taxa. While maximum parsimony is still used for morphological data, it is no longer considered relevant for molecular data.

4.2 Option 2: Distance methods

The Unweighted Pair Group Method with Arithmetic mean (UPGMA), Neighbor Joining (NJ), and Minimum Evolution (ME) are all based on the overall molecular distance, defined by the number of differences between the sequences.

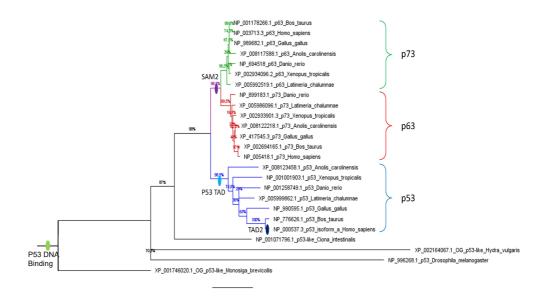
However, this method also ignores hidden mutations and is also subject to long branch attraction. These methods, in particular NJ and ME, are still often used in complement to probabilistic methods in scientific studies.

4.3 Option 3: Probabilistic methods

The strength of probabilistic methods is the use of specified models of molecular evolution. Probabilistic methods take into account different mutation rates between sites to avoid mutation saturation. Nowadays, almost all studies of phylogenetic reconstruction use probabilistic methods.

They include Maximum Likelihood (ML) and Bayesian inference (BI). ML calculates the probability of observing the data (in this case, the sequence alignment) under different explicit models of molecular evolution. ML aims to identify the best fit model by exploring multiple combinations of model parameters. BI evaluates the probability of each model of molecular evolution given the data. We recommend using different methods of phylogenetic reconstruction, including ML and BI.

In our example, we used Maximum likelihood to build the phylogenetic tree of the P53 family (including the p73, p63 and p53 proteins of different animal species), and FigTree for a graphical representation of the phylogenetic tree.



Phylogenetic tree of p53 domain-containing proteins of metazoans using maximum likelihood.

4.4 Model prediction

Probabilistic methods require a selection of the best model of molecular evolution for the data. Several models of nucleotide evolution exist, differing in their number of parameters like mutation rates between sites. Models of protein evolution also exist. A few programs can be used to calculate a criterion (AIC or BIC) to evaluate the likelihood of different published model for the alignment. Use one of them to select the best fit model for your alignment, which is the model with the lowest AIC or BIC criterion.

>If you are using probabilistic methods, use the aligned sequences and a model prediction tool to determine the best model of nucleotide or aminoacid substitution.

Here is a list of tools for selection of models of molecular evolution: Model selectors are also implemented in software for evolutionary analysis such as Mega.

Α	В	С
Software	Features	Link
ModelTest / JModelTest	Nucleotide substitution model selection	http://evomics.org/resources/software/molecular- evolution-software/modeltest/
ProtTest	Aminoacid substitution model selection	https://github.com/ddarriba/prottest3
SMS	Nucleotide or aminoacid model selection included in PhyML	http://www.atgc-montpellier.fr/sms/

List of programs for molecular evolution model selection

4.5 Tree rooting

Phylogenetic trees can be unrooted or rooted. Rooting a tree consists in identifying ancestral and derived states. This is useful to study the direction of the evolution and interpret the evolution of the studied taxa.

Diverse methods have been developed to root phylogenetic trees:

- -Including outgroups (taxa that do not belong to the studied ingroup but are closely related) in the analysis. It is recommended to choose two outgroups, one being more closely related to the ingroup than to the other outgroup. If outgroups are available, this method can be preferred.
- -Midpoint rooting, which corresponds to setting the root at the mid-point of the longest branches.
- -Molecular clock rooting, a method that assumes that evolution speed is constant between the sequences. Since evolution speed can vary lot between lineages, this method should be restricted to close species.



Working with phylogenies

5 Reconstruct the evolution of the gene or protein

Sequence alignments and phylogenetic trees can be used to reconstruct diverse aspects of the evolutionary history of genes, proteins and species, as well as the study the genetic structures within populations. In this last section, we provide a brief and non-exhaustive overview of the main evolutionary studies that can be performed using bioinformatic tools.

5.1 Reconstitution of ancestral states

Retracing the functional evolution of genes, proteins, or biological traits often requires the reconstitution of ancestral states. Ancestral states can be inferred from a phylogenetic tree using maximum of parsimony, maximum likelihood, or Bayesian inference; and requires the aligned sequences and the model of molecular evolution that has been used for the phylogenetic analysis (when using probabilistic methods only).

Α	В	С
Software	Features	Link
BayesTraits	Evolutionary analyses using Bayesian inference	http://www.evolution.rdg.ac.uk/BayesTraitsV3.0.5/BayesTraitsV3.0.5.html
BEAST	Phylogenetic calibration	http://www.beast.community
Mega	Sequence alignment, model selection, phylogenetic analysis (parsimony, distance methods)	https://www.megasoftware.net/
Mesquite	Comparative analyses and statistics	http://www.mesquiteproject.org/
MrBayes	Bayesian phylogenetic inference, ancestral states reconstruction, phylogenetic calibration	http://nbisweden.github.io/MrBayes/
PAML	Maximum likelihood phylogenetic inference, estimation of selection strength, ancestral states reconstruction and other analyses	http://abacus.gene.ucl.ac.uk/software/paml.html
RASP	Ancestral states reconstruction	http://mnh.scu.edu.cn/soft/blog/RASP/index.html

List of programs and databases for ancestral states reconstruction



5.2 Measure of selection strength

The strength of selection on protein coding genes can be calculated by evaluating the ration of the number of non-synonymous mutations (mutations changing the protein sequence) per non-synonymous site (dN) and the number of synonymous mutations (mutations with no effect on the protein sequence due to the redundancy of the genetic code) per synonymous site (dS). The ratio dN/dS reveals, if >1, that non-synonymous mutations are higher than expected and the gene is under positive selection. If dN/dS<1, the gene is under purifying selection and if dN/dS=1, the selection is neutral. Selection strength can be calculated by sequence alignment programs such as Mega.

5.3 Phylogenetic calibration

Phylogenetic calibration consists in estimating the age of speciation events with events with a known age, *i.e.*, using fossil and other geological data (that can only give minimal ages) as calibration points. Alternatively, mutation rates can be used to calculate the divergence time between two sequences.

Databases such as Timetree, also implemented in Mega, compute the estimated divergence time between species. Mesquite also provides tools to calibrate phylogenetic trees in geological times using fossil data. Ohnologs can also be used to estimate the divergence time between homologues resulting from whole genome duplications in vertebrates.

Α	В	С
Software	Features	Link
BayesTraits	Evolutionary analyses using Bayesian inference	http://www.evolution.rdg.ac.uk/BayesTraitsV3.0.5/BayesTraitsV3.0.5.html
BEAST	Phylogenetic calibration	http://www.beast.community
Mega	Sequence alignment, model selection, phylogenetic analysis (parsimony, distance methods)	https://www.megasoftware.net/
Mesquite	Comparative analyses and statistics	http://www.mesquiteproject.org/
MrBayes	Bayesian phylogenetic inference, ancestral states reconstruction, phylogenetic calibration	http://nbisweden.github.io/MrBayes/
Ohnologs	Database of vertebrate ohnologues, resulting from whole genome duplications	http://ohnologs.curie.fr/
Timetree	Tree calibration	http://www.timetree.org/

List of programs and databases that can be used for phylogenetic calibration using diverse methods

5.4 Study of coevolution

Evolutionary ecology and parasitology often study the evolution of hosts and parasites association through an approach of coevolution, or reciprocal genetic change between different species. Coevolution can also be used to study associations of genes or proteins. Studying coevolution consists in identifying evolutionary events such as cospeciation, host change, and duplication or loss of interaction from the phylogenetic



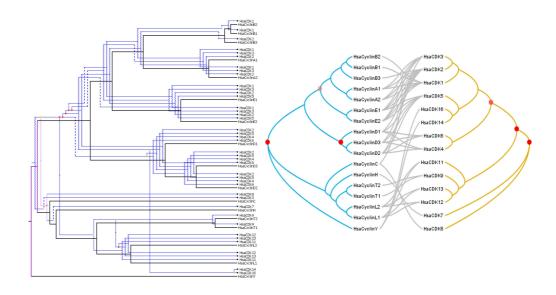
trees of the hosts and the parasites.

In our second example, we used Maximum likelihood to reconstruct the evolution of cyclins and CDKs, two families of proteins involved in cell cycle control and closely interacting. We used Jane and TreeMap to reconstruct coevolutionary scenarios between the two families.

In both figures, the clustering of cyclins and CDKs indicate an interaction (in this case, that the cyclin can bind the CDK). Red spots indicate significant events of coevolution between the two families of proteins. Co-speciation (hollow red circle), duplications (solid red circle), duplications with host switch (yellow circle), loss of interaction (dashed lines), failures to diverge (jagged lines) are indicated on the cophylogeny.

Α	В	С
Software	Features	Link
Copycat	Software for studying coevolution	http://www.cophylogenetics.com/
Core-PA	Software for studying coevolution	http://pacosy.informatik.uni-leipzig.de/49-1-CoRe- PA.html
Jane	Software for studying coevolution	https://www.cs.hmc.edu/~hadas/jane/
TreeMap	Software for studying co- evolution	https://sites.google.com/site/cophylogeny/treemap

List of programs to study coevolution



Two co-evolutionary scenarios of the associations between, and co-evolution of, human cyclins and CDKs

5.5 Phylogenetic comparative analysis



Evolutionary biology often employs the so-called phylogenetic comparative methods to study the adaptive significance of biological traits. These methods aim at identifying biological characters, in terms of morphology, physiology, or ecology, that result from a shared ancestry. Comparative analyses can be done for quantitative or qualitative variables. A very appropriate tool for comparative analysis and to compute statistics on phylogenetic trees is Mesquite. BayesTraits can also be used.

5.6 Genome evolution

Evolutionary events, such as mutations, insertions, deletions, gene or whole genome duplications, genome reorganization, and genetic exchanges can be identified using phylogenetic trees in complement with genomics tools and databases. Here is a list of databases tools to study diverse aspects of genome evolution, including genome browsers of diverse lineages and tools for comparative genomics and evolutionary genomics:

Α	В	С
Software	Features	Link
BAR	Database of plant genes and proteins	http://bar.utoronto.ca/
CAFE	Gene family evolution	https://github.com/hahnlab/CAFE5
CoGE	Comparative genomics analyses	https://genomevolution.org/coge/
Ensembl	Genome browser of vertebrates, includes tools for identification of homology	https://www.ensembl.org/index.html
Entrez	Gene sequences and structures	https://www.ncbi.nlm.nih.gov/Web/Search/entrezfs.html
FlyBase	Genome and proteins of the model insect <i>D. melanogaster</i>	https://flybase.org/
GenBank	Annotated DNA sequences	https://www.ncbi.nlm.nih.gov/genbank/
HGT-Finder	Horizontal gene transfer finding	http://cys.bios.niu.edu/HGTFinder/ HGTFinder.tar.gz
Ohnologs	Database of vertebrate ohnologues, resulting from whole genome duplications	http://ohnologs.curie.fr/
PomBase	Genes and proteins of the model yeast <i>S. pombe</i>	https://www.pombase.org/
TAIR	Genome and proteins of the model plant <i>A. thaliana</i>	https://www.arabidopsis.org/
WormBase	Genome and proteins of the model nematode <i>C. elegans</i>	https://wormbase.org//#012-34-5
Xenbase	Genome and proteins of the model amphibian <i>X.</i> laevis	http://www.xenbase.org/entry/

List of programs and databases to study genome evolution

5.7 Population genetics

Genetic diversity can also be explored at the population level by analyzing



polymorphism between members of the same species. Gene polymorphisms studies allele diversity within a population, including single nucleotide polymorphisms (SNP), indels, microsatellites or transposable elements. Mathematical models have been developed to describe polymorphism. Several programs are suitable for population genetics studies.

Α	В	С
Software	Features	Link
Arlequin	Population genetics analyses	http://cmpg.unibe.ch/software/arlequin35/
DNAsp	Analysis of DNA polymorphism	http://www.ub.edu/dnasp/
Genepop	Population genetics analyses	https://genepop.curtin.edu.au/
SNiplay	SNP detection and other population genetics analyses	https://sniplay.southgreen.fr/cgi-bin/home.cgi

List of programs and databases for population genetics

5.8 Study of protein structure and function evolution
Studying the functional evolution of proteins can require structure alignments, that can
be realized by appropriate programs such as PyMol, and the mean distance in Å
between homologous residues can be calculated.

Other programs allow to identify and study structures conservation between proteins and infer a structures from a protein sequence. These analyses and a phylogenetic tree of the protein families, together with the reconstruction of ancestral states, can facilitate the study of the evolution of protein functions within the family.

Here is a list of tools that can be used for analyses on protein structures in an evolutionary framework:

Α	В	С
Software	Features	Link
PyMol	3D visualization of molecules	http://www.mesquiteproject.org/
I-Tasser	Protein structure prediction	https://zhanglab.dcmb.med.umich.edu/I-TASSER/
Forsa	Protein structure prediction	http://www.bo-protscience.fr/forsa/
HHPred	Protein structure prediction	https://toolkit.tuebingen.mpg.de/tools/hhpred

List of programs for protein structure analyses