

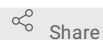


Jun 11, 2021

Collection of Protocols and Guidelines for Safety and Efficacy of Imatinib for Preserving Beta-cell Function in New-onset Type 1 Diabetes Mellitus

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ABSTRACT

This is a collection of protocols for: "Safety and Efficacy of Imatinib for Preserving Beta-cell Function in New-onset Type 1 Diabetes Mellitus".

This clinical study is supported by JDRF. The aim of the collection is to determine whether imatinib will slow the progression of the autoimmune destruction of β cells and lead to the preservation of C-peptide secretion in T1DM and to assess Diabetes-related objectives and safety of Imatinib in new-onset type 1 diabetes mellitus".

ATTACHMENTS

[dngubkeaf.pdf](#)

DOI

dx.doi.org/10.17504/protocols.io.bvfqn3mw

COLLECTION CITATION

Stephen.Gitelman , Jeffrey A. Bluestone 2021. Collection of Protocols and Guidelines for Safety and Efficacy of Imatinib for Preserving Beta-cell Function in New-onset Type 1 Diabetes Mellitus. **protocols.io**
<https://dx.doi.org/10.17504/protocols.io.bvfqn3mw>

KEYWORDS

Safety, Efficacy, Imatinib, Beta-cell function, New-onset Type 1 Diabetes Mellitus

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CREATED

Jun 01, 2021

LAST MODIFIED

Jun 11, 2021

OWNERSHIP HISTORY

Jun 01, 2021  Urmilas

Jun 03, 2021  Stephen.Gitelman

COLLECTION INTEGER ID

50384

GUIDELINES

Safety and Efficacy of Imatinib for Preserving Beta-cell Function in Newonset Type 1 Diabetes Mellitus

Version:8.0

August 23, 2016

IND # 117,644

Sponsored by the Juvenile Diabetes Research Foundation International (JDRF).

SAFETY AND EFFICACY OF IMATINIB FOR PRESERVING BETA-CELL FUNCTION IN NEW-ONSET TYPE 1 DIABETES MELLITUS

Version 7.0 (January 29, 2016)

IND # 117,644

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This clinical study is supported by JDRF and conducted by the University of California, San Francisco.

This document is confidential. It is provided for review only to investigators, potential investigators, consultants, study staff, and applicable independent ethics committees or institutional review boards. It is understood that the contents of this document will not be disclosed to others without written authorization from the study sponsor unless it is necessary to obtain informed consent from potential study participants.

Protocol Approval

A	B
Trial ID:	Protocol Version: 8.0
	Dated: August 23, 2016
IND # 117,644	Protocol Chairs: Jeffrey Bluestone, PhD and Stephen Gitelman, MD
Title: Safety and Efficacy of Imatinib for Preserving β -cell Function in New-onset Type 1 Diabetes Mellitus	
I confirm that I have read the above protocol in the latest version. I understand it, and I will work according to the principles of good clinical practice (GCP) as described in the US Code of Federal Regulations (CFR)—45 CFR part 46 and 21 CFR parts 50, 56, and 312, and in the International Conference on Harmonization (ICH) document Guidance for Industry: E6 Good Clinical Practice: Consolidated Guidance dated April 1996. Further, I will conduct the study in keeping with local legal and regulatory requirements.	
As the principal investigator, I agree to carry out the study by the criteria written in the protocol and understand that no changes can be made to this protocol without written permission of the study sponsor.	
Principal Investigator (Print)	
Principal Investigator (Sign) Date	

Synopsis

Title Safety and Efficacy of Imatinib for Preserving Beta-cell Function in New-onset Type 1 Diabetes Mellitus

IND Sponsor Stephen E. Gitelman, MD

Conducted by University of California, San Francisco

Protocol Chairs Jeffrey Bluestone, Ph.D. and Stephen E. Gitelman, MD

Accrual Objective Total of 66 participants will be enrolled during ~78 to 104 weeks.

Study Duration Each participant will be in the study for 104 weeks.

Study Design The study will be a multicenter, two-arm, double-blind, placebo-controlled, 2:1 randomly assigned, phase II clinical trial for individuals with recent-onset T1DM. The randomly assigned participants will receive either a 6-month course of imatinib or matching placebo tablets over a period of 26 weeks. Both treatment and placebo groups will undergo identical procedures and will be followed for 104 weeks. The primary endpoint, 2-hour C-peptide AUC in response to a mixedmeal tolerance test (MMTT), will be measured at 52 weeks. Safety, diabetes control, β -cell function, and immune function will be assessed for 104 weeks. Both groups will receive intensive diabetes management. During the follow-up phase, participants will undergo serial clinical and immunologic assessments over the 104 week study period, and possible mechanisms of imatinib action will be assessed.

Initial enrollment will be for subjects ages 18-45, with the goal to lower the age down to 12 upon acceptable safety review and prospect of benefit for this initial older cohort. When the first 10 enrollees have completed their week 26 assessment, the safety data will be reviewed by a subcommittee that includes the protocol chairs, the medical monitor, the clinical trial physician, and the DSMB. If the review concludes that significant safety concerns have been identified, then no further enrollment will occur pending further data review and evaluation by the DSMB. If the DSMB decides that the study may proceed then the FDA will be notified of study progress and determine if further age restriction is necessary, or if enrollment may be opened for subjects down to age 12. After the first 21 subjects have completed 6 months, a further safety review will occur for the DSMB. Additionally, an interim efficacy assessment will occur for the DSMB and FDA. The FDA will again be apprised of study progress, with further consideration for lowering the age of enrollees to 12, if this has not occurred earlier. If and when the age is lowered, an identical review procedure will be followed when the first 10 pediatric participants (ages 12-17) have completed their week 26 assessment. If the review is satisfactory, then enrollment will continue for subjects ages 12-45 until the study is fully enrolled. Adult participants will receive a single 400 mg tablet of imatinib or the corresponding placebo tablet daily for the first 6 months. Pediatric patients will receive 260 mg/m²/day or 400 mg/day (whichever is smaller) of active drug or placebo.

Primary Endpoint MMTT-stimulated 2 hour C-peptide AUC at week 52.

Secondary Endpoints:

Efficacy:

- MMTT-stimulated peak and 4 hour C-peptide AUC at weeks 52 and 104
- MMTT-stimulated 2 hour C-peptide AUC at week 104.
- MMTT-stimulated 2-hour C-peptide AUC assessed longitudinally at weeks -4, 13, 26, 52, 78, and 104.
- Insulin use in units per kilogram body weight per day at weeks 52 and 104.
- Major hypoglycemic events, as defined in section 8.2.1, occurring from randomization at weeks 0, 52 and 104.
- HbA1c levels at weeks 52 and 104

Safety:

- The rate of the following AEs in participants receiving imatinib or placebo:
 1. Myelosuppression.
 2. Gastrointestinal disorders.
 3. Infections.
 4. Hepatotoxicity.
 5. Cardiac toxicity and edema.
 6. Cutaneous reactions.
 7. Muscle cramps, bone pain, arthralgias.
 8. Fluid retention or peripheral edema.
 9. Bone metabolism and growth abnormalities (not including bone turnover markers).

10. Frequency and severity of all AEs in participants receiving imatinib or placebo.

Mechanistic:

Immunological assessments described in section 7 will be compared with clinical outcomes to determine whether there is evidence of immune tolerance to diabetes-associated autoantigens.

Metabolic:

- Proportion of patients who are exogenous insulin-free (for at least 3 months) with an HbA1C \leq 6.5% at weeks 52 and 104 in each treatment arm.
- Proportion of subjects who achieve a persistent reduction (for at least 3 months) in insulin dose to < 0.5 units/kg at weeks 52 and 104 in each treatment arm.
- Effects on insulin resistance as derived from data on MMTT, and change in adiponectin, proinsulin levels (and proinsulin/c-peptide ratio), and glucagon levels

Inclusion Criteria

- Males and females age 12–45 years of age who meet the ADA standard T1DM criteria¹. Positive for at least one islet cell autoantibody.
- Diagnosis of T1DM within 100 days of Visit 0.
- Peak stimulated C-peptide level >0.2 pmol/mL following an MMTT at screening.
- Participants of childbearing age who are sexually active must agree to effective contraception. For females, these contraceptive measures must be maintained throughout the study; for males these measures must be followed for a minimum of 3 months after discontinuation of imatinib therapy.

Exclusion Criteria

- Prior history of any significant cardiac disease such as congestive heart failure, myocardial infarction, arrhythmia, or structural defects or suspicion thereof.
- Leukopenia ($<3,000$ leukocytes/ μ L), neutropenia ($<1,500$ neutrophils/ μ L), or thrombocytopenia ($<125,000$ platelets/ μ L).
- Low Hemoglobin (baseline hemoglobin below lower limit of normal)
- Prior history of anaphylaxis, angioedema or serious cutaneous drug reactions
- Any sign of significant chronic active infection (e.g., hepatitis, tuberculosis, EBV, CMV, or toxoplasmosis), or screening laboratory evidence consistent with a significant chronic active infection (such as positive for HIV, PPD/IGRA, or HBSAg). Significant acute infections must be resolved before treatment may commence, e.g., acute respiratory tract, urinary tract, or gastrointestinal tract infections.
- Anticipated ongoing use of diabetes medications other than insulin that affect glucose homeostasis, such as metformin, sulfonylureas, thiazolidinediones, glucagon-like peptide 1 (GLP-1) mimetics, dipeptidyl peptidase IV (DPPIV) inhibitors, or amylin.
- Prior or current treatment that is known to cause a significant, ongoing change in the course of T1DM or immunologic status, including high-dose inhaled, extensive topical or systemic glucocorticoids.
- Evidence of liver dysfunction, with alanine aminotransferase (ALT) or aspartate aminotransferase (AST) >2.0 times the upper limit of normal persistent for 1 week or greater.
- Evidence of renal insufficiency as indicated by serum creatinine > 1.2 times the upper limit of normal and confirmed in a repeat test at least one week apart. Evidence of clinically significant metabolic bone disease (except adequately treated rickets).
- Females who are pregnant at the time of screening or unwilling to defer pregnancy during the 24-month study period.
- Prior treatment with imatinib or related tyrosine kinase inhibitor.
- Unable to avoid medications that affect CYP3A4: either inducers that may decrease imatinib levels, or inhibitors that may increase drug concentrations. (Refer to section 1.5.1.12 for a complete list of inducers and inhibitors.)
- Height standard deviation score ≥ 2 standard deviations below mean (participants of growing-age potential).
- Any sign of QT prolongation on Visit -1 noted on ECG (> 450 ms in males and > 470 ms in females).
- Known coagulation disorders or use of anticoagulants.
- Current and anticipated on-going treatment with drugs that may increase or decrease imatinib plasma

concentrations (CYP3A4 family inhibitors or inducers) or drugs that may have their plasma concentration altered by imatinib (drugs metabolized by CYP3A4/5 and CYP2D6).

- Any condition that, in the investigator's opinion, may compromise study participation or may confound the interpretation of the study results.

TABLE OF CONTENTS

ABBREVIATIONS	10
1. BACKGROUND AND RATIONALE	13
1.1 OVERVIEW	13
1.2 BACKGROUND	13
1.3 SCIENTIFIC RATIONALE	14
1.4 PRECLINICAL AND CLINICAL EXPERIENCE	16
1.4.1 Preclinical Studies	16
1.4.2 Clinical Studies in Patients with Diabetes	19
1.5 SUMMARY OF KNOWN AND POTENTIAL RISKS AND BENEFITS FOR HUMAN PARTICIPANTS	20
1.5.1 Risks	20
1.5.2 Potential Risks and Benefits of Trial Participation for Children	24
1.5.3 Potential Benefits	25
2. OBJECTIVES	26
2.1 PRIMARY OBJECTIVE	26
2.2 SECONDARY OBJECTIVES	26
3. STUDY DESIGN	27
3.1 DESCRIPTION	27
3.2 STUDY DURATION AND PACE OF ENROLLMENT	29
3.3 STUDY ENDPOINTS	29
3.3.1 Primary Endpoint	29
3.3.2 Secondary Endpoints	29
3.3.3 Exploratory Endpoints	30
3.4 RATIONALE FOR CLINICAL TRIAL DESIGN	30
3.5 RATIONALE FOR SELECTION OF DRUG, ROUTE, DOSE, AND REGIMEN	31
3.6 MEASURES TO MINIMIZE BIAS	32
3.7 STOPPING RULES	32
3.7.1 Ongoing Review	32
3.7.2 Stopping Rule Guidance	33
4. ELIGIBILITY	34
4.1 INCLUSION CRITERIA	34
4.2 EXCLUSION CRITERIA	34
4.3 PREMATURE TERMINATION OF A PARTICIPANT FROM THE STUDY	35
5. STUDY MEDICATIONS	36
5.1 INVESTIGATIONAL MEDICATION	36
5.1.1 Formulation and Packaging	36
5.1.2 Dosage, Preparation, and Administration	36
5.1.3 Recommended Storage Conditions	36
5.2 DOSE MODIFICATION AND MANAGEMENT OF ADVERSE EVENTS	36
5.2.1 Gastrointestinal Reactions	37
5.2.2 Muscle Cramps, Bone Pain, Arthralgias	38
5.2.3 Edema	38
5.2.4 Cutaneous Reactions	39
5.2.5 Hepatotoxicity	40
5.2.6 Myelosuppression	40
5.2.7 Infectious Disease Risk	40
5.2.8 Pregnancy	41
5.2.9 Bone and Mineral Metabolism	42
5.3 DISCONTINUATION OF STUDY MEDICATION IN AN INDIVIDUAL PARTICIPANT	43
5.4 CONCOMITANT MEDICATIONS	43

5.4.1 Prohibited Medications.....	43
5.5 DRUG ACCOUNTABILITY.....	43
5.6 ASSESSMENT OF COMPLIANCE WITH STUDY MEDICATION	44
6. STUDY PROCEDURES	44
6.1 INTENSIVE DIABETES MANAGEMENT	44
6.2 RANDOM ASSIGNMENT, BLINDING, AND UNBLINDING.....	45
6.2.1 Random Assignment.....	45
6.2.2 Blinding	45
6.2.3 Unblinding.....	45
6.3 VISIT WINDOWS	46
6.3.1 Scheduled Visits	46
6.4 GENERAL ASSESSMENTS.....	46
6.5 LABORATORY ASSESSMENTS	46
6.6 DISEASE-SPECIFIC ASSESSMENTS.....	47
6.7 BONE AND MINERAL METABOLISM ASSESSMENTS	47
6.8 GROWTH RATE ASSESSMENTS FOR GROWING-AGE PARTICIPANTS (AS DEFINED IN SECTION 5.2.9).....	47
7. MECHANISTIC ASSAYS	47
7.1 RATIONALE	47
7.2 RETENTION OF SAMPLES.....	48
7.3 FROZEN PBMCS	49
7.3.1 Functional Cell-Based Assays.....	49
7.3.2 FLOW CYTOMETRY PANEL STAINING	50
7.3.3 GENOMICS AND PROTEOMICS	50
7.4 SERUM ASSAYS	51
7.4.1 SERUM-AUTOANTIBODY ANALYSES	51
7.4.2 SERUM ARCHIVE.....	51
7.5 WHOLE BLOOD-GENE-EXPRESSION PROFILING.....	52
7.6 WHOLE BLOOD DNA-HLA GENOTYPES.....	52
7.7 CHANGE IN BETA CELL FUNCTION.....	52
8. ADVERSE EVENTS	53
8.1 ADVERSE EVENT DEFINITION	53
8.1.1 Adverse Event.....	53
8.1.2 Serious Adverse Event.....	53
8.1.3 Unexpected Adverse Event.....	54
8.1.4 Grading Event Severity.....	54
8.1.5 Attribution Definitions.....	54
8.2 ADVERSE EVENT REPORTING AND MONITORING	55
8.2.1 Reporting Pregnancy	56
9. STATISTICAL CONSIDERATIONS AND ANALYSIS PLAN	56
9.1 PRIMARY OUTCOME AND ANALYSES.....	56
9.2 SECONDARY OUTCOMES AND ANALYSES	57
9.4 SAMPLE SIZE AND POWER CALCULATIONS.....	58
9.5 INTERIM MONITORING PLAN.....	59
10. ACCESS TO SOURCE DATA/DOCUMENTS	60
11. QUALITY CONTROL AND QUALITY ASSURANCE	61
12. ETHICAL CONSIDERATIONS AND COMPLIANCE WITH GOOD CLINICAL PRACTICE	61
12.1 STATEMENT OF COMPLIANCE	61
12.2 INFORMED CONSENT.....	61
12.3 PRIVACY AND CONFIDENTIALITY.....	62
13. PUBLICATION POLICY	62
14. REFERENCES.....	63

LIST OF APPENDICES

APPENDIX 1. SCHEDULE OF EVENTS	73
APPENDIX 2. PROCEDURES FOR PERFORMING THE MIXED-MEAL TOLERANCE TEST	76

LIST OF FIGURES

Figure 1. Induction of diabetes remission.....	17
Figure 2. Long-lasting remission of diabetic NOD mice.....	18
Figure 3. Study Schema	28

ABBREVIATIONS

A	B
ADA	American Diabetes Association
AE	Adverse event
ALT	Alanine aminotransferase
ANCA	Anti-neutrophil cytoplasmic autoantibodies-associated vasculitis
AST	Aspartate aminotransferase
ATG	Antithymocyte globulin
ATP	Adenotriphosphate
AUC	Area under the curve
CBC	Complete blood count
CFR	Code of Federal Regulations
CML	Chronic myeloid leukemia
CMV	Cytomegalovirus
CRF	Case report form
CRO	Contract research organization
CTL	Cytotoxic T cell
CY	Cyclophosphamide
DB/DB	Diabetic dyslipidemia
DC	Dendritic cell
DCCT	Diabetes Control and Complications Trial
DPT-1	Diabetes Prevention Trial of Type I Diabetes
DSMB	Data and Safety Monitoring Board
EBV	Epstein-Barr virus
EDIC	Epidemiology of Diabetes Interventions and Complications Study
FDA	US Food and Drug Administration
GAD	Glutamate decarboxylase
GCP	Good clinical practice
GIST	Gastrointestinal stromal tumors
HbA1C	Hemoglobin A1C
HBSAg	Hepatitis B surface antigen
HIV	Human immunodeficiency virus
ICH	International Conference on Harmonisation
IFN γ	Interferon gamma
IGRA	Interferon Gamma Release Assay
IRB	Institutional Review Board

ITN	Immune Tolerance Network
LFTs	Liver function tests
MedDRA	Medical Dictionary for Regulatory Activities
MHC	Major histocompatibility complex
MMTT	Mixed-meal tolerance test
NCI-CTCAE	National Cancer Institute Common Terminology Criteria for Adverse Events
NFkB	Nuclear factor kappa B
NK	Natural killer cell
NKT	Natural killer T cell
NOD	Nonobese diabetic
PCR	Polymerase chain reaction
PDGFR	Platelet-derived growth factor receptor
PPD	Purified protein derivative test
PTH	Parathyroid hormone
IGRP	Islet-specific glucose-6-phosphatase catalytic subunit related protein
SAE	Serious adverse event
SAP	Statistical analysis plan
STZ	Streptozotocin
T1DM	Type 1 diabetes mellitus
TCR	T cell receptor
TK	Tyrosine blocking
TNF α	Tumor necrosis factor alpha
Tregs	Regulatory T cells
TUNEL +	TdT-mediated dLJTP-biotin nick-end-labeling positive cells
WHO	World Health Organization

PUBLICATION POLICY

The JDRF policy on publication of study results will apply to this study.

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Appendix 1. Schedule of Events:

Appendix 1. Schedule of Events

Week	-3 to -4	0	2	4	9	13	17	22	26	39	52	78	104
Visit	-1	0	1	2	3	4	5	6	7	8	9	10	11
GENERAL ASSESSMENTS													
Informed consent	X												
Eligibility criteria	X												
Medical history	X												
Adverse events	X	X	X	X	X	X	X	X	X	X	X	X	X
Concomitant medications	X	X	X	X	X	X	X	X	X	X	X	X	X
Physical examination ¹	X	X		X	X	X			X		X	X	X
Secondary sexual characteristics ²		X									(X)		(X)
Vital Signs	X	X	X	X	X	X	X	X	X	X	X	X	X
Pregnancy Monitoring (if applicable)	X	X		X	X	X	X	X	X		X	X	X
ECG	X		X										
LABORATORY ASSESSMENTS													
Serum chemistries and liver panel ³	X	X	X	X	X	X	X	X	X	X	X	X	X
Autoantibodies	X												
Hematology ⁴	X	X	X	X	X	X	X	X	X	X	X	X	X
Infectious disease serology ⁵	X												
PPD skin test or IGRA	X												
Urine hCG	X	X		X	X	X	X	X	X		X	X	X
Urinalysis	X								X		X		X
Prostate Specific Antigen (males only)		X							X		X		X
C-peptide levels (tested from MMTT) ⁶	X					X			X		X	X	X

¹Excluding genitalia unless clinically indicated

² The Tanner stages will be assessed at the baseline visit for every participant under 18 years of age. After the baseline visit, Tanner stages will be assessed annually on all participants who are < stage 3. If the Tanner stage is ≥3 at the baseline visit or any subsequent visit, Tanner stages will not need to be assessed at any future visit.

³ To include sodium, calcium, potassium, chloride, phosphate, total CO₂, BUN, creatinine, AST, ALT, alkaline phosphatase, direct and total bilirubin.

⁴ Performed locally; to include CBC with differential and platelets.

⁵ Hepatitis B and C, HIV, toxoplasmosis, VZV, EBV, and CMV serology. CMV/EBV PCR testing may be obtained (centrally) or locally, if necessary to confirm active infection.

⁶ 4-hour MMTT at Visits -1, 9, 11 and 2-hour MMTT at Visits 4, 7, and 10.

Week	-3 to -4	0	2	4	9	13	17	22	26	39	52	78	104
Visit	-1	0	1	2	3	4	5	6	7	8	9	10	11
Plasma Glucose (tested from MMT) [†]	X					X			X		X	X	X
HbA _{1c} levels	X					X			X	X	X	X	X
STUDY DRUG ADMINISTRATION													
Study drug administration		X	X	X	X	X	X	X					
Study drug compliance			X	X	X	X	X	X	X				
DISEASE SPECIFIC ASSESSMENTS													
Glucose (Glucometer Reading)		X	X	X	X	X	X	X	X	X	X	X	X
Insulin use		X	X	X	X	X	X	X	X	X	X	X	X
Hypoglycemia assessment		X	X	X	X	X	X	X	X	X	X	X	X
Serum-Adiponectin [‡]		X		X					X		X		X
Plasma-Glucagon [§]	X					X			X		X	X	X
Plasma-Proinsulin [§]	X					X			X		X	X	X
BONE AND MINERAL METABOLISM													
Serum Calcium [§]		X		X		X			X		X		
Serum Phosphate [§]		X		X		X			X		X		
PTH		X		X		X			X		X		
25-OH Vitamin D		X									X		
Serum CTX [§]		X				X			X		X		
Osteocalcin [§]		X				X			X		X		
GROWTH RATE ASSESSMENTS ¹⁰													
Plain Radiograph of Left Hand		X									X ¹¹		X ¹⁰
Height by Stadiometer ¹²	X	X	X	X	X	X	X	X	X	X	X	X	X
Arm span		X							X		X		X

[†] Samples will be archived for potential future testing.

[‡] Tested as part of serum chemistry panel.

[§] Samples will be archived and tested if clinically indicated.

¹⁰ To be performed on growing-age participants per Section 5.2.9.

¹¹ Bone age will be assessed annually until epiphyses are near complete closure (98% of mature height at bone age of 15 yrs 9 months for boys, 14 yrs for girls).

¹² Subjects will need to be measured with a wall mounted stadiometer to insure accurate height measurements.

Week	-3 to -4	0	2	4	9	13	17	22	26	39	52	78	104
Visit	-1	0	1	2	3	4	5	6	7	8	9	10	11
IGF-1, IGF-BP3, LH, FSH, Estradiol (females), Testosterone (males) ¹³		X							X		X		X
Total IgA		X ¹⁴											
TSH and T4		X ¹⁵									X ¹⁵		X ¹⁴
Tissue transglutaminase (IgA) ¹⁶		X ¹³									X ¹⁴		X ¹⁴
MECHANISTIC ASSAYS													
Serum-Autoantibody Analysis		X		X		X			X		X		X
PBMC-Flow Cytometry Panel Staining		X		X		X			X		X		X
PBMC-Cell Based Assays		X		X		X			X		X		X
PBMC-Genomics, Proteomics		X		X		X			X		X		X
PBMC-FOXP3 Methylation Assay		X		X		X			X		X		X
Whole Blood-Gene Expression Profiling		X		X		X			X		X		X
Whole Blood DNA-HLA Genotypes		X				X					X		
Plasma-Archive		X		X		X			X		X		X
Serum- Beta Cell Death Assay		X	X	X	X				X		X		X
Serum-Cytokines		X	X	X	X				X		X		

¹³ Samples will be archived and tested if clinically indicated.

¹⁴ Tested at Baseline only if not tested by referring physician within 3 months prior to Baseline.

¹⁵ Samples will be collected at Visits 9 and 11 only if clinically indicated in growing age participants. If required, testing will be performed real-time.

¹⁶ Performed locally.



ABSTRACT



This is a collection of protocols for: "Safety and Efficacy of Imatinib for Preserving Beta-cell Function in New-onset Type 1 Diabetes Mellitus".



This clinical study is supported by JDRF. The aim of the collection is to determine whether imatinib will slow the progression of the autoimmune destruction of β cells and lead to the preservation of C-peptide secretion in T1DM and to assess Diabetes-related objectives and safety of Imatinib in new-onset type 1 diabetes mellitus".



ATTACHMENTS



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Background and Rationale (Part 1 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
Version 1
by [Stephen.Gitelman](#)
- 




Objectives (Part 2 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
Version 1
by [Stephen.Gitelman](#)
- 




Study Design (Part 3 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
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Eligibility (Part 4 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
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Study Medications (Part 5 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
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Study Procedures (Part 6 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
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Mechanistic Assays (Part 7 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
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Adverse Events (Part 8 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
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Statistical Considerations and Analysis Plan (Part 9 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
Version 1
by [Stephen.Gitelman](#)
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Access to Source Data/Documents (Part 10 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)
Version 1

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Quality Control and Quality Assurance (Part 11 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)

Version 1

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Ethical Considerations and Compliance with Good Clinical Practice (Part 12 of Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus)

Version 1

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Schedule of Events (Appendix 1 of "Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus")

Version 1

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Procedures for Performing the Mixed-Meal Tolerance Test (Appendix 2 of "Safety and Efficacy of Imatinib for Preserving Beta-Cell Function in New-onset Type 1 Diabetes Mellitus")

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