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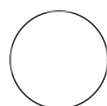
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We use this protocol and it's working

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 Research on ERIH PLUS approved SSH journals present in OpenCitations Meta database V.4

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**Keywords:** ERIH PLUS, SSH journals, OpenCitations Meta

In this study, we present a comprehensive workflow to assess the coverage of publications in Social Science and Humanities (SSH) journals indexed in ERIH-PLUS and their Open Access status according to the Directory of Open Access Journals (DOAJ). The workflow utilizes three data sources: ERIH-PLUS, OpenCitations Meta, and DOAJ.

The application of this workflow results in a dataset containing detailed information on SSH publications, including their disciplines, countries of origin, and Open Access status. Each step of the methodology enriches the dataset with new variables and insights. The output of this workflow includes discipline and country rankings, as well as visualizations to effectively communicate the findings. By following this step-by-step approach, researchers can better understand the landscape of SSH publications, identify trends in disciplines and countries, and evaluate the prevalence of Open Access in the field.

The software implemented by means of this methodology and related documentation can be found in our [github repository](#) that will eventually be published on Zenodo.

## Retrieve OpenCitation Meta publication and Journals that a...

- 1 Starting from the ERIH-PLUS index of Social Science and Humanities approved journals dataset  `ERIHPLUSapprovedJournals.csv` (downloaded 27/04/2023) we want to retrieve all the publications belonging to one of those journals, included in OpenCitations Meta database ([https://opencitations.net/meta#:~:text=For%20each%20publication%2C%20the%20metadata,an d%20PubMed%20Identifiers%20\(PMIDs\).](https://opencitations.net/meta#:~:text=For%20each%20publication%2C%20the%20metadata,an d%20PubMed%20Identifiers%20(PMIDs).))

- 1.1 In order to fulfill this task, we download the OpenCitations Meta data dump. To process the files we run the function *process\_files()*: this script processes CSV files in parallel. This is how it works:

```
with concurrent.futures.ProcessPoolExecutor(max_workers=4) as  
    executor:
```

This line creates a ProcessPoolExecutor with 4 worker processes. The with statement is used to ensure that the executor is properly closed once the processing is done. The number 4 represents the maximum number of worker processes that will be created to execute the tasks concurrently. You can adjust this number based on the resources available on your system.

```
results = executor.map(process_file_wrapper, [(f, erih_plus_df)
for f in batch_files])
```

The `executor.map()` function is used to apply the `process_file_wrapper` function to a list of input arguments. In this case, the input arguments are tuples, each containing a file from the `batch_files` and the `erih_plus_df` DataFrame. The `executor.map()` function returns an iterator that yields the results of applying the `process_file_wrapper` function to each tuple in the list.

```
all_results.extend(results)
```

This line extends the `all_results` list with the results obtained from processing the current batch of files. The `extend()` method is used to add multiple items to the list at once.

## 1.2 Processing the ERIH-PLUS Journals dataset and matching venues identifiers with OpenCitations Meta dump's venues.

For this step, the function `process_meta_csv()` is called. It takes in input as parameters

1. the chunk of the csv that is being processed
2. and the ERIH-PLUS dataset as a dataframe.

### Note

**Input:** ERIH-PLUS approved journal's dataset  
Structured as follow:

| Journal ID | Print ISSN | Online ISSN | Original Title                     | International Title                | Country of Publication |
|------------|------------|-------------|------------------------------------|------------------------------------|------------------------|
| 486254     | 1989-3477  | NaN         | @tic.revista d'innovació educativa | @tic.revista d'innovació educativa | Spain                  |

OpenCitations Meta data about **venues** (issn that we need to decide how to retrieve)

## Note

**output:** A dataset mapping OpenCitations Meta venue data (OMID and ISSN) to ERIH-PLUS venue data (Journal ID and ISSN).

This dataset will have the following structure:

| OC_omid        | issn                   | EP_id  | Publications_in_venue |
|----------------|------------------------|--------|-----------------------|
| meta:br/060156 | [4522-4592, 5687-3452] | 503890 | 12                    |
| meta:br/060164 | isbn:242352513         | 783726 | 13526                 |
| meta:br/060167 | issn:4522-4592         | 503890 | 56                    |

### 1.3 Processing whether each journal in the merged\_data dataframe is Open Access based on its ISSN being present in the DOAJ data.

For this step, the ancillary function *process\_doaj\_file()* is called.

This function takes in input

1. doaj\_df
2. merged\_data

and returns a Dataframe structured as follows:

| OC_omid        | issn                   | EP_id  | Publications_in_venue | Open_Access |
|----------------|------------------------|--------|-----------------------|-------------|
| meta:br/060167 | [2423-5251, 8763-2891] | 876390 | 12                    | Unknown     |
| meta:br/060167 | [4522-4592, 5687-3452] | 503890 | 56                    | True        |

The function follows these steps:

It first selects three columns from the DOAJ dataframe: the 5th, 6th, and 10th columns. The columns correspond to print ISSN, online ISSN, and Country of publisher (about the latter: see *step 2.3* below).

It then creates an empty dictionary, **open\_access\_dict**, which will map both print and online ISSNs to a Boolean value True that indicates Open Access status.

The function loops over the rows of the DOAJ dataframe, adding each print and online ISSN to the dictionary and setting its value to True.

It creates a list, **open\_access\_keys**, of all the keys (ISSNs) in the **open\_access\_dict**.

It then adds a new column, **'Open Access'**, to the **merged\_data** dataframe and initializes all its values to **'Unknown'**.

The function goes over each ISSN in the **merged\_data** dataframe. If this ISSN is found in the **open\_access\_keys**, the Open Access status of that row in **merged\_data** is updated to True.

## Retrieving Data about Countries and Disciplines

- 2 Our second and third research question are
1. What are the disciplines that have more publications?
  2. What are countries providing the largest number of publications and journals?

These information are both present in the ERIH-PLUS dataset. The script **'Disciplines\_Countries\_classes.py'** allows for processing metadata from multiple sources to retrieve and count information about journals by country and discipline. The code is object-oriented, utilizing classes and inheritance for shared attributes and methods. The **'class ResultsProcessor'** is a base class. It takes two parameters: **'meta\_coverage'** and **'meta\_coverage\_processed\_files'**. It reads the processed files into a dataframe and gets dataframes for ERIH PLUS and DOAJ data.

### 2.1 Disciplines :

The script processes discipline information by creating a dictionary. Each discipline is mapped to a list of journal IDs associated with that discipline. This allows us to count the disciplines and understand which one has the highest number of publications.

### 2.2 Countries:

This class is used to process country information. It selects certain columns from the DOAJ dataframe. It creates a dictionary mapping each country to a list of journal IDs that are published in that country. The method uses a helper function to retrieve country information for unmatched journals from the DOAJ dataset.

### 2.3 Missing Countries:

1. The script checks whether there are missing countries in the ERIH-PLUS dataset that are present in the DOAJ dataset. It does this by creating a dataframe that only includes rows where the journal ID is in the list of unmatched ISSNs. It then tries to match the ISSNs in both versions of the dataset.
2. It cleans up the resulting dataframe by selecting only necessary columns, merging the country information into a new 'Country' column, and dropping duplicates. It then separates the entries where 'Country' is still NaN after the merge. The country dictionary is updated by adding the journal ID of each journal to the list corresponding to its 'Country' in the dictionary.
3. It checks for and handles some specific cases where the country names may appear differently but refer to the same country (e.g., 'Turkey' and 'Türkiye', 'Venezuela' and

## Final counts

- 3 To answer our research questions, we will compute the **counts of publications and journals** for both disciplines and countries. It will take in input either the country or the discipline **dictionary** created in the previous step (2.1, 2.3) and the **label** to set as the first column value in the final **dataset**.

The method iterates over the dictionary keys to filter the first output DataFrame (*SSH\_Publications\_in\_OC\_Meta\_and\_Open\_Access\_status.csv*) according to journals in the list specified as value, then it stores the length of the filtered DataFrame as the **count of the journals**. Lastly, it sums all the values in the column `Publications_in_venue` to calculate the count of publications.

About our research question "How many of the SSH journals are available in Open Access according to the data in DOAJ?": we will simply count the rows that have a **"TRUE"** value in the **Open Access** column of *SSH\_Publications\_in\_OC\_Meta\_and\_Open\_Access\_status.csv*

## Visualize results

- 4 For each visualization, we use Python libraries like Matplotlib, Seaborn, Plotly to create the bar and pie charts and map, as follow:
- a bar plot that visualizes the number of publications for different disciplines. Each discipline is represented by a bar, and the height of the bar corresponds to the publication count. The plot also includes axis labels, a title, and gridlines on the x-axis. The colors used for the bars are defined in the colors list.
  - a horizontal bar chart that shows the top 30 countries ranked by their journal count. Each country is represented by a bar, and the length of the bar corresponds to the journal count.
  - a horizontal bar chart that shows the last 30 countries ranked by their journal count. Each country is represented by a bar, and the length of the bar corresponds to the journal count.
  - a horizontal bar chart that shows the top 30 countries ranked by their publication count. Each country is represented by a bar, and the length of the bar corresponds to the publication count.
  - a horizontal bar chart that shows the last 30 countries ranked by their publication count. Each country is represented by a bar, and the length of the bar corresponds to the publication count.
  - a pie chart that illustrates the percentage of ERIH Plus journals that are covered in

OpenCitations Meta and the percentage that are not covered. The chart includes labels for each section showing the coverage status and the corresponding percentage.

- a pie chart that represents the distribution of the 'Open Access' categories. Each category is represented by a slice of the pie, and the size of each slice corresponds to the count or percentage of occurrences.
- a choropleth representation of the publications by country. Each country is filled with a color based on its publication count, as specified by the 'Publication\_count' column. Hovering over a country shows its name and additional information.