

# 1 Definitions

## 1.1 Deep learning

## 1.2 Todo

TODO:

## 1.3 Formal description

We denote by  $I_f$  the *domain of definition* of a function  $f$  ("I" for "input") and by  $O_f = f(I_f)$  its *image* ("O" for "output"), and we represent it as  $I_f \xrightarrow{f} O_f$ . An activation function is a function  $f$  such that  $I_f = O_f$ . Vector spaces considered in this thesis are always assumed to be finite-dimensional. A tensor space is a cartesian product of vector spaces, equipped with the canonical operators.

### Definition 1.1. Neural network

Let  $F$  be a function such that  $I_f$  and  $O_f$  are vector spaces.

$F$  is said to be a *functional formulation* of a *neural network* if there are a series of linear functions  $(g_k)_{k=1,2,\dots,L}$  and a series of non-linear derivable activation functions  $(h_k)_{k=1,2,\dots,L}$  such that:

$$\begin{cases} \forall k \in \{1, 2, \dots, L\}, f_k = h_k \circ g_k, \\ I_F = I_{f_1} \xrightarrow{f_1} O_{f_1} \cong I_{f_2} \xrightarrow{f_2} \dots \xrightarrow{f_L} O_{f_L} = O_F, \\ F = f_L \circ \dots \circ f_2 \circ f_1 \end{cases}$$

The couple  $(g_k, h_k)$  is called the *k-th layer* of the neural network. For  $x \in I_f$ , we denote by  $x_k = f_k \circ \dots \circ f_2 \circ f_1(x)$  the *activations* of the *k-th* layer.

Any linear function  $g$  is characterized by a *set of parameters*  $\theta_g$ . Without loss of generality, let's suppose  $I_g$  and  $O_g$  are vector spaces<sup>1</sup>. Then there exists a *connectivity matrix*  $W$  for which:

$$\begin{cases} \forall x \in I_g, g(x) = Wx \\ \forall (i, j), W_{ij} \in \theta_g \text{ or } W_{ij} = 0 \end{cases}$$

The *weights* of the *k-th* layer of a neural network, denoted  $\theta_k$ , are defined as the set of parameters of its linear part.

Usually, a *loss* function  $\mathcal{L}$  penalizes the output  $F(x)$ , and its gradient w.r.t.  $\theta_k$  is used to update the weights via an optimization algorithm based on gradient descent and a learning rate  $\alpha$ , that is:

$$\theta_k^{\text{new}} = \theta_k^{\text{old}} - \alpha \nabla (\mathcal{L})_{\theta_k}$$

Thanks to the chain rule, gradients w.r.t. weights of a layer  $k$ , denoted  $\nabla_{\theta_k}$ , can be computed using gradients that are w.r.t. the elementary basis of  $O_{f_k}$ ,

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<sup>1</sup>for instance if they are tensor spaces, they can be reshaped to vector spaces

denoted  $\nabla_k$ , which in turn can be computed using gradients w.r.t. weights of the next layer  $k + 1$ , up to the gradients given on the output layer. That is:

$$\begin{cases} \nabla_{\theta_k} = J(W_k)_{\theta_k} \nabla_{W_k} \\ \nabla_{W_k} = J(x_k)_{W_k} \nabla_k \\ \nabla_k = J(W_{k+1})_k \nabla_{W_{k+1}} \\ \nabla_{W_{k+1}} = \dots \\ \dots \\ \nabla_L = \nabla(\mathcal{L}(x_L))_L \end{cases}$$

where  $J(\cdot)_{\text{wrt}}$  are the respective jacobians which can be determined with the layer's expressions.

This allows to compute the gradients with a complexity that is linear with the number of weights, instead of being quadratic if it were done with the difference quotient expression of the derivatives.

**Remark 1. Neural interpretation**

**TODO:**

**Definition 1.2. Dense layer**

A *dense layer*  $(g, h)$  is a layer such that there is a *weight matrix*  $W$  for which

$$\begin{cases} I_g \text{ and } O_g \text{ are vector spaces} \\ \forall x \in I_g, g(x) = Wx \end{cases}$$

**Definition 1.3. Partially connected layer**

A *partially connected layer* is a dense layer such that  $\exists(i, j), W_{i,j} = 0$ .

**Definition 1.4. Convolutional layer**

A *n-dimensional convolutional layer*  $(g, h)$  is a layer such that there is a *weight tensor*  $W$  of rank  $n + 2$  for which

$$\begin{cases} I_g \text{ and } O_g \text{ are tensor spaces of rank } n + 1 \\ \forall x \in I_g, g(x) = (g(x)_q = \sum_p W_{pq} *_n x_p)_{\forall q} \end{cases}$$

where  $p$  and  $q$  index the last ranks and  $*_n$  denotes the  $n$ -d convolution. The tensor slices indexed by  $p$  and  $q$  are typically called *feature maps*.

Note that a  $n$ -dimensional convolutional layer that has its domain and image reshaped to vector spaces is a partially connected layer for which the weight matrix  $W$  is a Toeplitz matrix.

**Definition 1.5. Pooling** A layer with *pooling*  $(g, h)$  is such that  $g = g_1 \circ g_2$ , where  $(g_1, h)$  is a layer and  $g_2$  is a pooling operation.

A layer with *dropout*  $(g, h)$  is such that  $h = h_1 \circ h_2$ , where  $(g, h_2)$  is a layer and  $h_1$  is a dropout operation [?]. When dropout is used, a certain number of neurons are randomly set to zero during the training phase, compensated at test time by scaling down the whole layer. This is done to prevent overfitting.

#### TODO: neuron interpretation

A multilayer perceptron (MLP) [?] is a neural network composed of only dense layers. A convolutional neural network (CNN) [?] is a neural network composed of convolutional layers.

Neural networks are commonly used for machine learning tasks. For example, to perform supervised classification, we usually add a dense output layer  $s = (g_{L+1}, h_{L+1})$  with as many neurons as classes. We measure the error between an output and its expected output with a discriminative loss function  $\mathcal{L}$ . During the training phase, the weights of the network are adapted for the classification task based on the errors that are back-propagated [?] via the chain rule and according to a chosen optimization algorithm (e.g. [?]).

## 1.4 Graphs

A graph  $G$  is defined as a couple  $(V, E)$  where  $V$  represents the set of nodes and  $E \subseteq \binom{V}{2}$  is the set of edges connecting these nodes.

#### TODO: Example of figure

We encounter the notion of graphs several times in deep learning:

- Connections between two layers of a deep learning model can be represented as a bipartite graph, coined *connectivity graph*. It encodes how the information is propagated through a layer to another. See section 1.4.1.
- A computation graph is used by deep learning frameworks to keep track of the dependencies between layers of a deep learning models, in order to compute forward and back-propagation. See section 1.4.2.
- A graph can represent the underlying structure of an object (often a vector), whose nodes represent its features. See section 1.4.3.
- Datasets can also be graph-structured, where the nodes represent the objects of the dataset. See section 1.4.4.

### 1.4.1 Connectivity graph

A Connectivity graph is a graphical representation of the linear part of the mathematical model implemented by a layer of neurons. Formally, given a linear part of a layer, let  $\mathbf{x}$  and  $\mathbf{y}$  be the input and output signals,  $n$  the size of the set of input neurons  $N = \{u_1, u_2, \dots, u_n\}$ , and  $m$  the size of the set of output neurons  $M = \{v_1, v_2, \dots, v_m\}$ . This layer implements the equation  $y = \Theta x$  where  $\Theta$  is a  $n \times m$  matrix.

**Definition 1.6.** The *connectivity graph*  $G = (V, E)$  is defined such that  $V = N \cup M$  and  $E = \{(u_i, v_j) \in N \times M, \Theta_{ij} \neq 0\}$ .

I.e. the connectivity graph is obtained by drawing an edge between neurons for which  $\Theta_{ij} \neq 0$ . For instance, in the special case of a complete bipartite graph, we would obtain a dense layer. Connectivity graphs are especially useful

to represent partially connected layers, for which most of the  $\Theta_{ij}$  are 0. For example, in the case of layers characterized by a small local receptive field, the connectivity graph would be sparse, and output neurons would be connected to a set of input neurons that corresponds to features that are close together in the input space. Figure 1 depicts some examples.

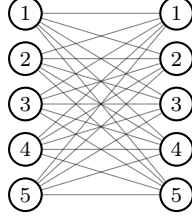


Figure 1: Examples

**TODO: Figure 1. It's just a placeholder right now**

Connectivity graphs also allow to graphically modelize how weights are tied in a neural layer. Let's suppose the  $\Theta_{ij}$  are taking their values only into the finite set  $K = \{w_1, w_2, \dots, w_\kappa\}$  of size  $\kappa$ , which we will refer to as the *kernel of weights*. Then we can define a labelling of the edges  $s : E \rightarrow K$ .  $s$  is called the *weight sharing scheme* of the layer. This layer can then be formulated as  $\forall v \in M, y_v = \sum_{u \in N, (u,v) \in E} w_{s(u,v)} x_u$ . Figure 2 depicts the connectivity graph of a 1-d convolution layer and its weight sharing scheme.

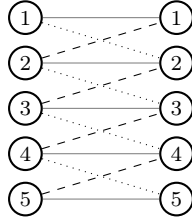


Figure 2: Depiction of a 1D-convolutional layer and its weight sharing scheme.

**TODO: Add weight sharing scheme in Figure 2**

#### 1.4.2 Computation graph

#### 1.4.3 Underlying graph structure

#### 1.4.4 Graph-structured dataset

transductive vs inductive

- 1.5 Geometric grids
- 1.6 Grid graphs
- 1.7 Spatial graphs
- 1.8 Projections of spatial graphs