

기본 알고리즘

제8장



2017-Fall

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String Matching

- Substring search
 - Find pattern of length M in a text of length N .
(typically $N \gg M$)

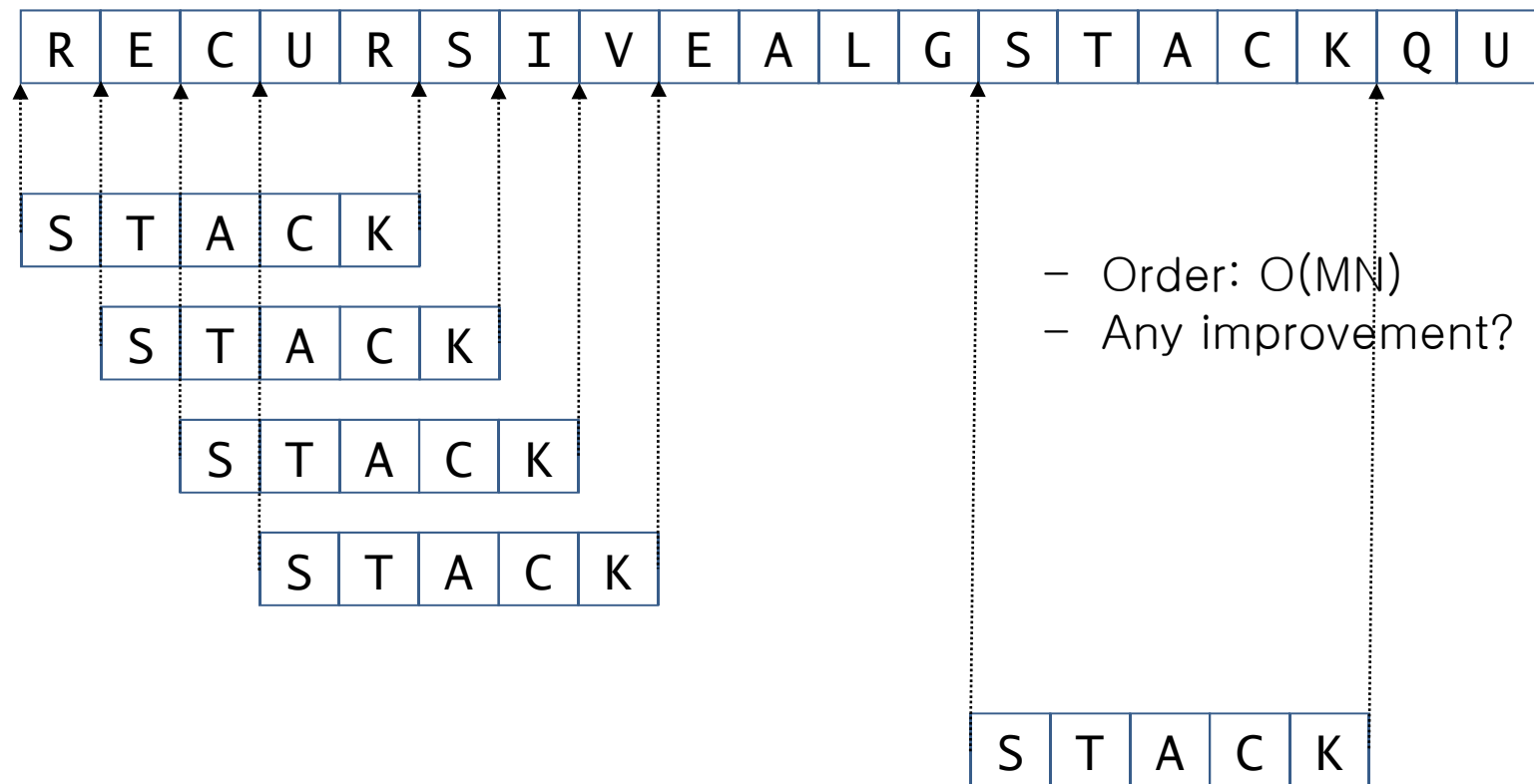
text → R E C U R S I V E A L G S T A C K Q U

pattern → S T A C K

match

Brute-Force Substring Search

- Naïve Algorithm 1
 - Check for pattern starting at each text position



Brute-Force Substring Search

- Naïve Algorithm 2
 - Check for pattern starting at each text position

```
int naiveStringMatch(char text[], char pattern[])
{
    int patLength, txtLength;

    patLength = strlen(pattern);
    txtLength = strlen(text);

    for(int i=0; i <= txtLength - patLength; i++)
    {
        for(int j=0; j < patLength; j++)
            if(text[i+j] != pattern[j])
                break;
        if(j == patLength)
            return i;
    }
    return 0;
}
```

Find just only one sample of pattern.

Modify the code to find all samples of the pattern.

Brute-Force Substring Search

- Naïve Algorithm 2
 - Check for pattern starting at each text position

i	j	i+j	0	1	2	3	4	5	6	7	8	9	10
			txt → A B A C A D A B R A C										
0	2	2	A	B	R	A	← pat						
1	0	1		A	B	R	A	entries in red are mismatches					
2	1	3			A	B	R	A	entries in gray are for reference only				
3	0	3				A	B	R	A				
4	1	5					A	B	R	A			
5	0	5						A	B	R	A		
6	4	10							A	B	R	A	

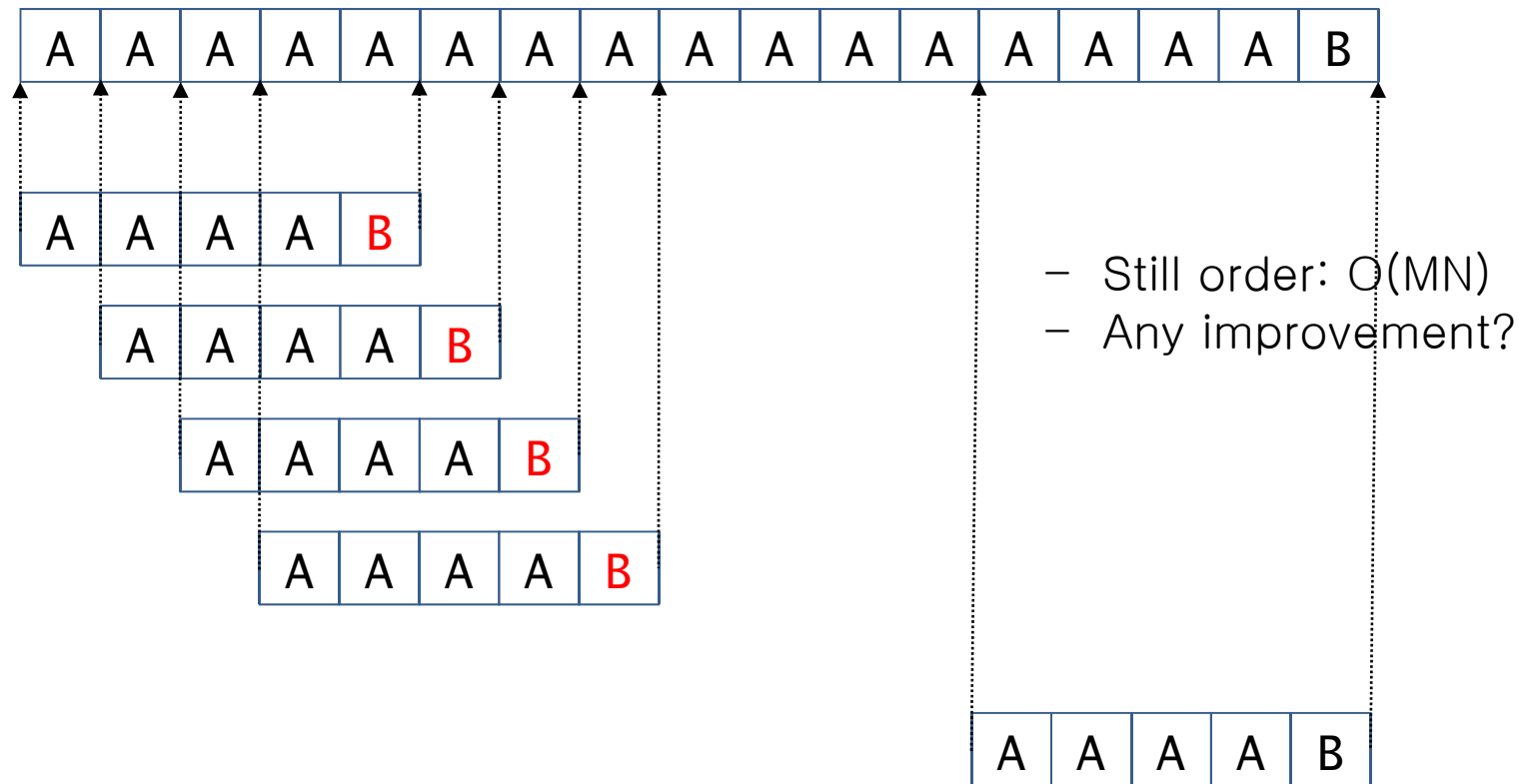
entries in black match the text

return i when j is M

match

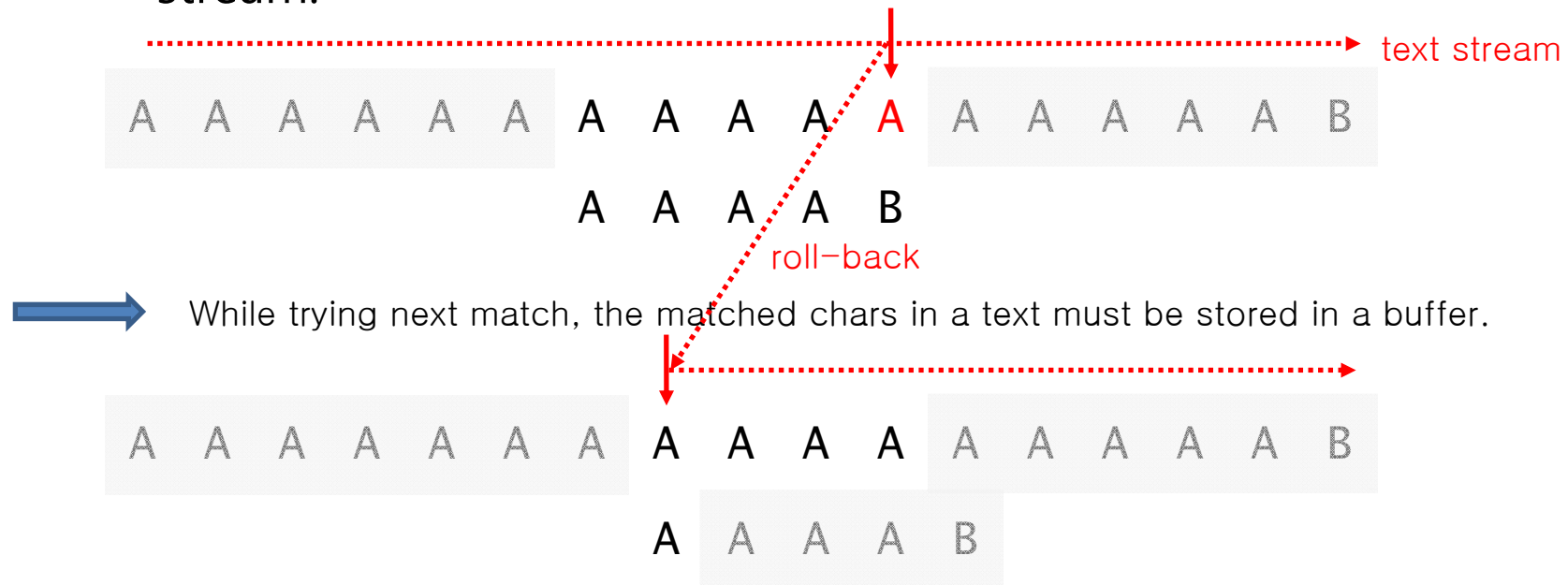
Brute-Force Substring Search

- Naïve Algorithm 2
 - Naïve algorithm can be slow if text and pattern are repetitive



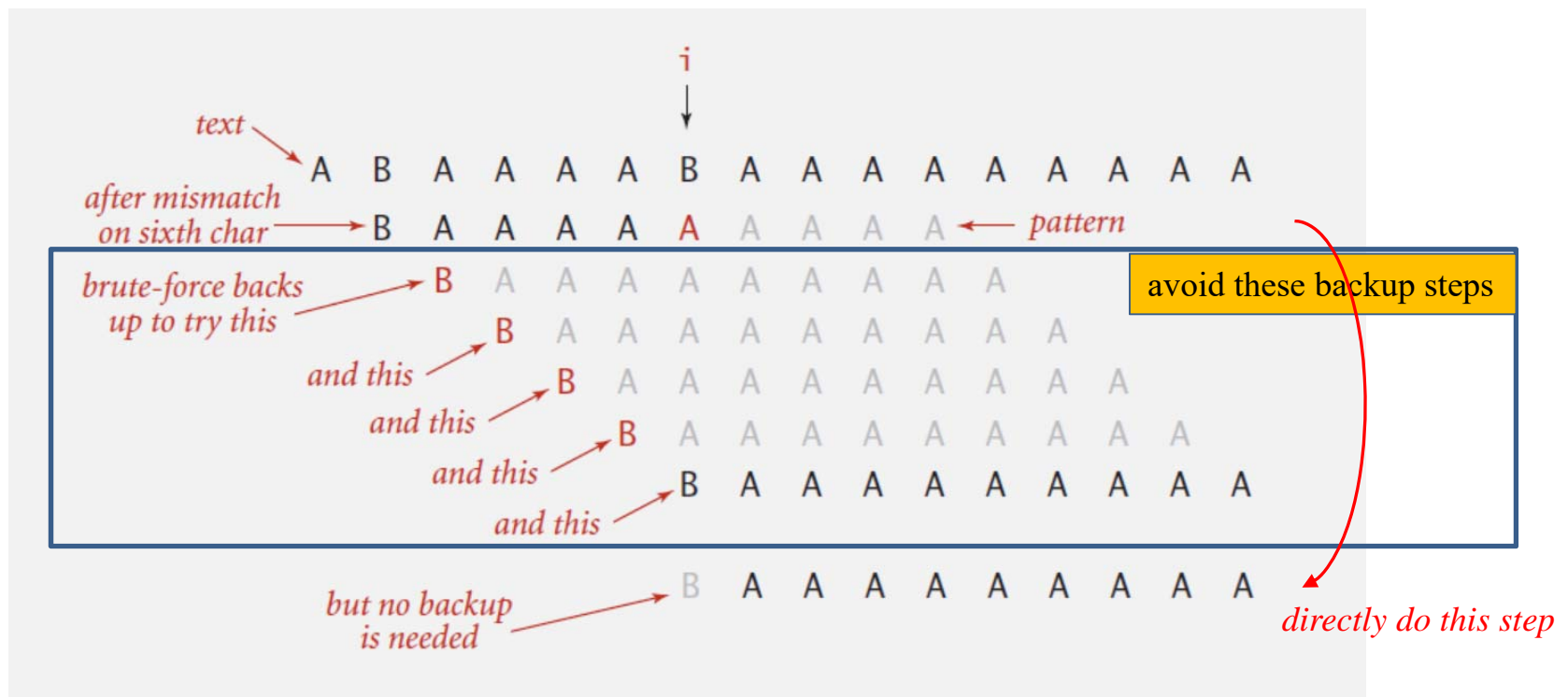
Brute-Force Substring Search

- Improvement
 - Develop a linear time algorithm
 - Avoid **backup**
 - Naïve algorithm needs backup for every mismatch
 - Thus naïve algorithm cannot be used when input text is a stream.



Knuth-Morris-Pratt(KMP) Algorithm

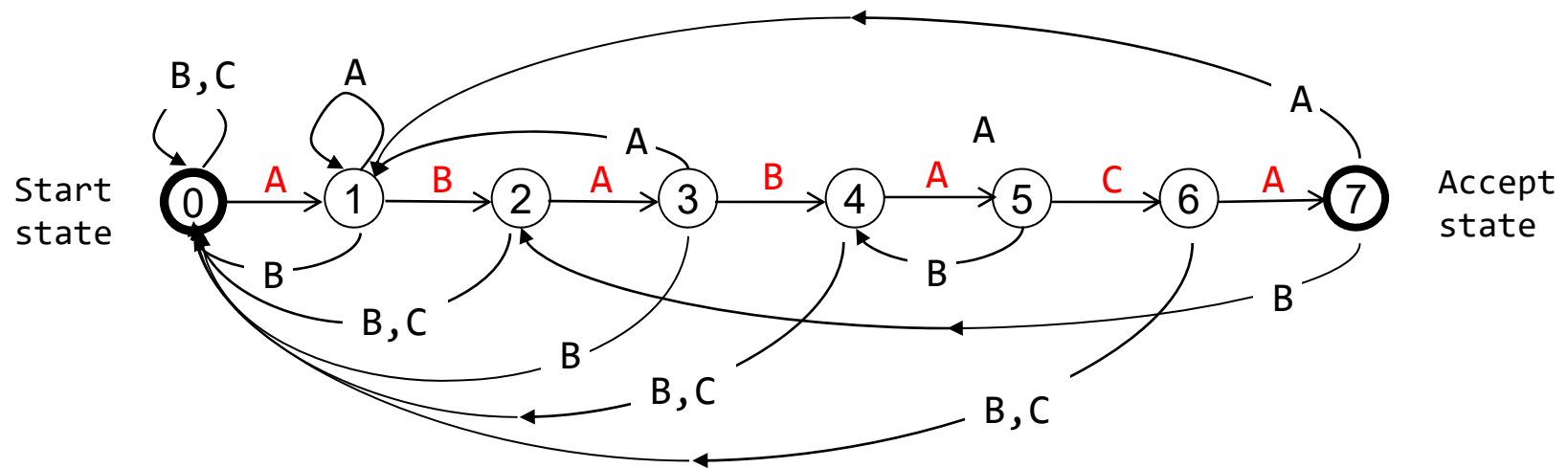
- KMP algorithm
 - Clever method to always avoid **backup** problem.



Deterministic Finite Automaton

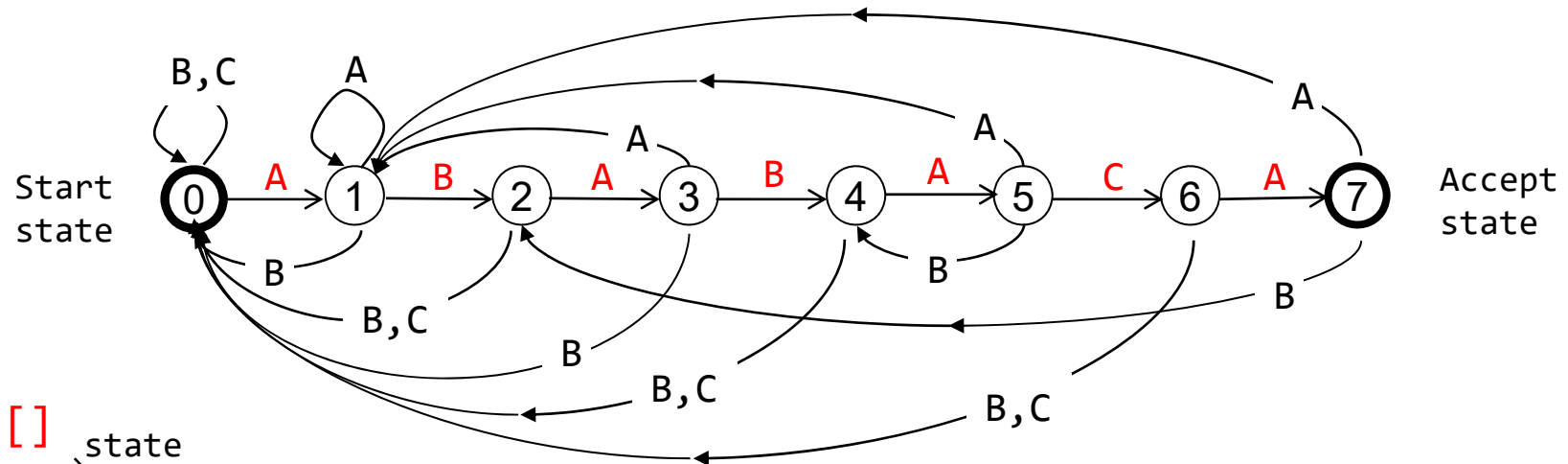
- DFA(Deterministic Finite State Automaton)
 - Finite number of states (including **start** and **accept states**)
 - Exactly one transition for each char
 - Accept if sequence of transitions leads to accept state

DFA for pattern **ABABACA**



DFA

DFA for pattern **ABABACA**



DFA[][]

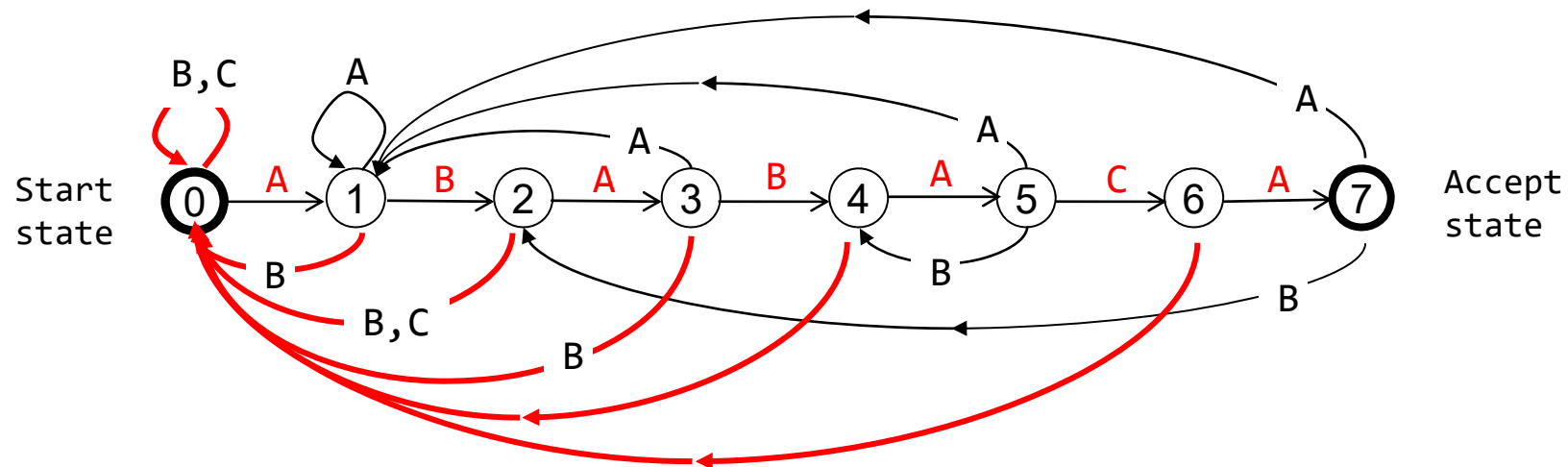
state	0	1	2	3	4	5	6	7
char	0	1	2	3	4	5	6	7
A	1	1	3	1	5	1	7	1
B	0	2	0	4	0	4	0	2
C	0	0	0	0	0	6	0	0
others	0	0	0	0	0	0	0	0

if in state j reading char c :
 if j is 7, halt and accept
 else move to state $DFA[c][j]$

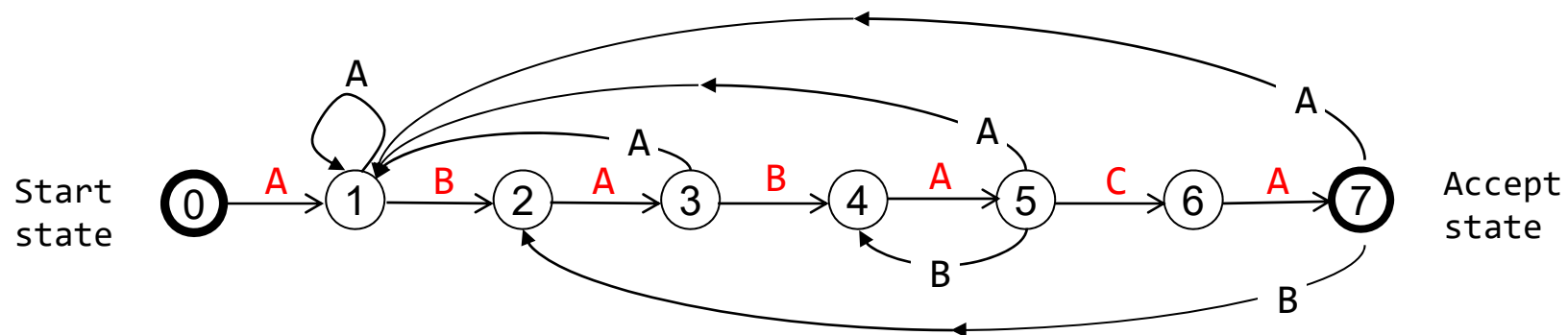
Example:
 text: ABCABAAB**ABABACA**CA
 state: 0120123123454567

DFA

DFA for pattern **ABABACA**



Simplified Diagram: remove transitions to state 0



Algorithm with DFA

- Difference from naïve algorithm
 - Precomputation of DFA[][] from pattern
 - Text pointer *i* never decrements (**no backup**)

```
// patLength = strlen(pattern);
int KMP(char text[])
{
    int i, j, txtLength;

    txtLength = strlen(text);

    for(i=0, j=0; i <= txtLength && j < patLength; i++)
        j = DFA[text[i]][j]; // text[i] to be modified

    if(j == patLength)
        return i - patLength;
    else
        return 0;
}
```

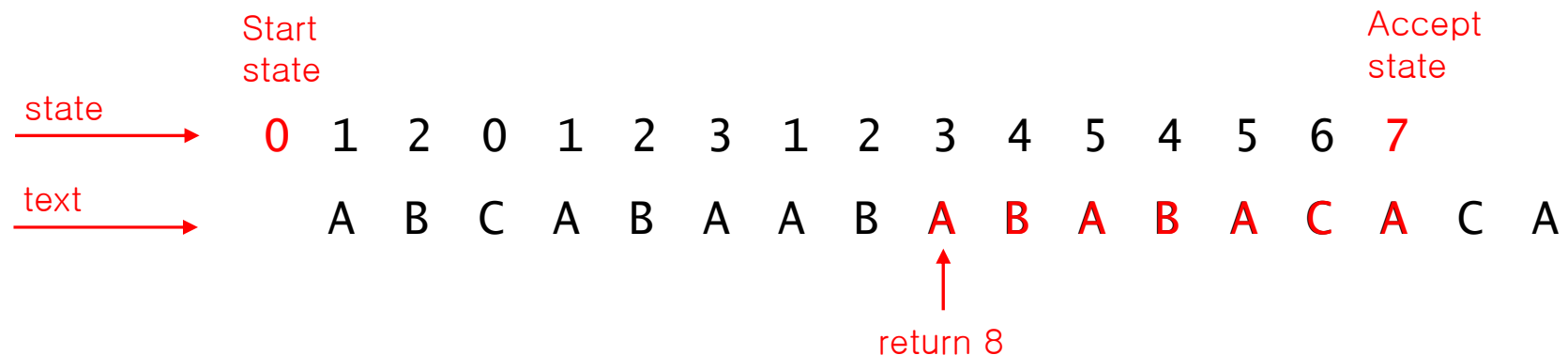
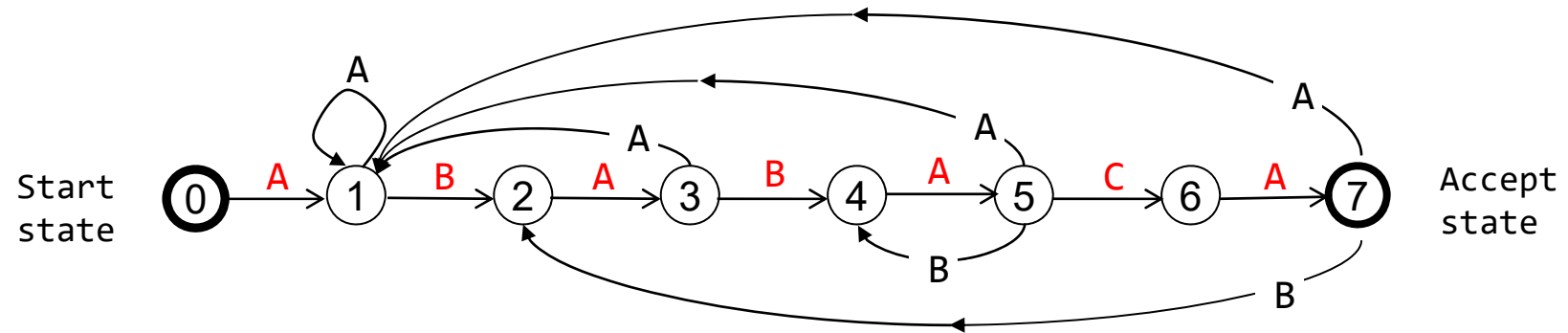
– Order: $O(N)$

simulation of DFA on text with no backup

- How to build DFA efficiently?

Algorithm with DFA

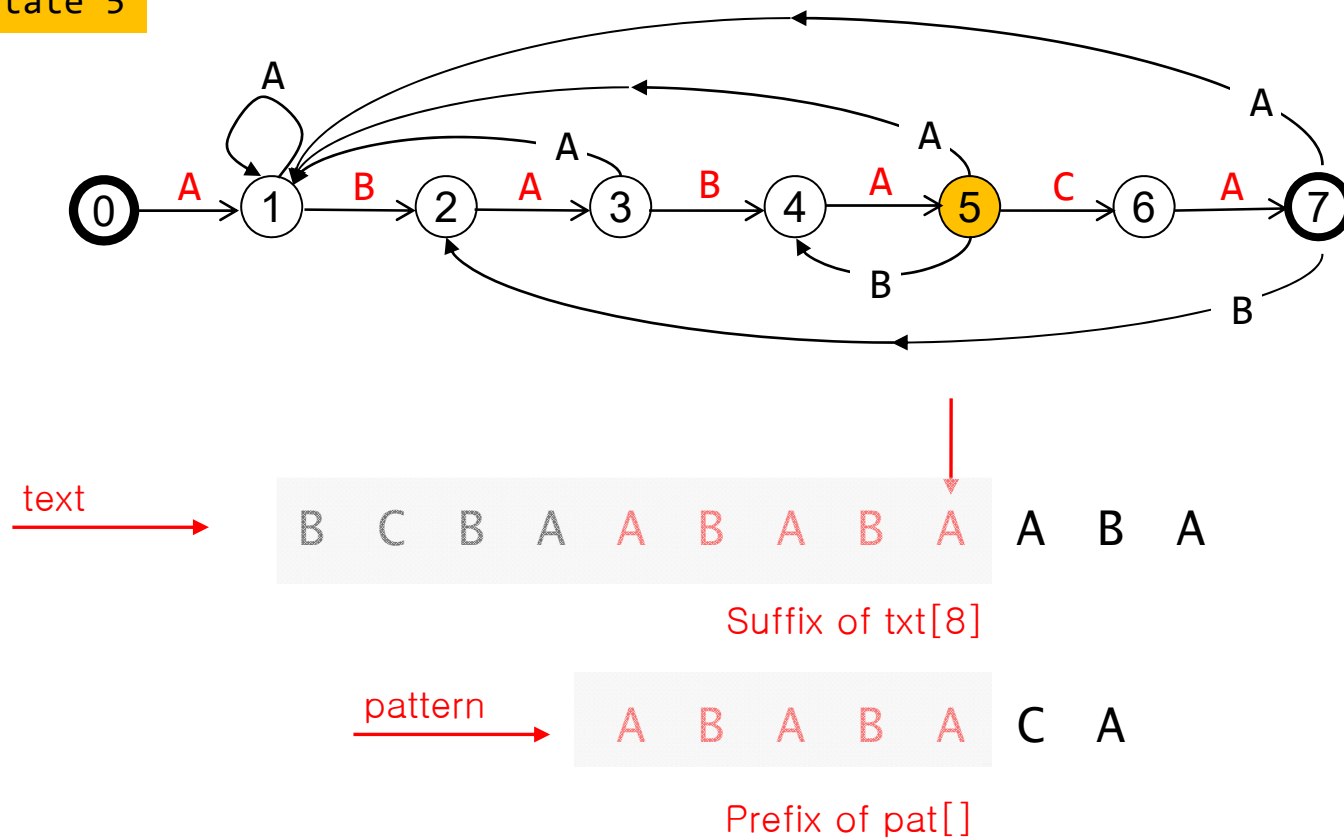
DFA for pattern **ABABACA**



Interpretation of DFA

- The state of DFA represents
 - the number of characters in pattern that have been matched

State 5

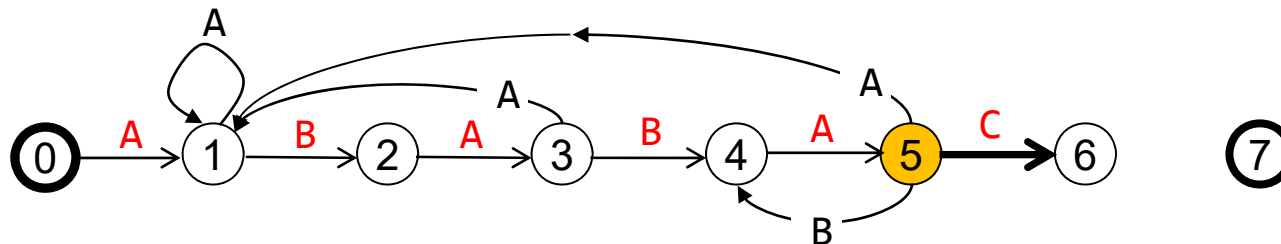


DFA Construction

- DFA Construction:
 - Suppose that all transitions from state 0 to state $j-1$ are already computed
 - Match transition:
 - If in state j and next char $c == \text{pat}[j]$, then transit to state $j+1$.

Pattern: ABABACA

State 5

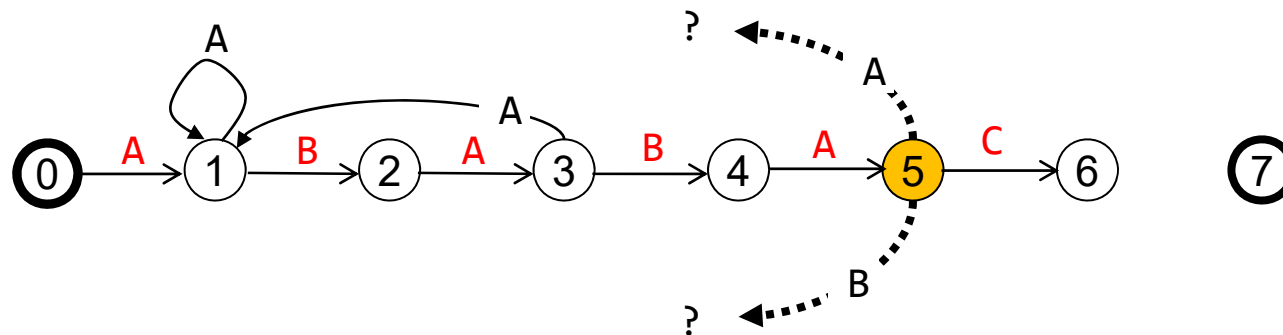


DFA Construction

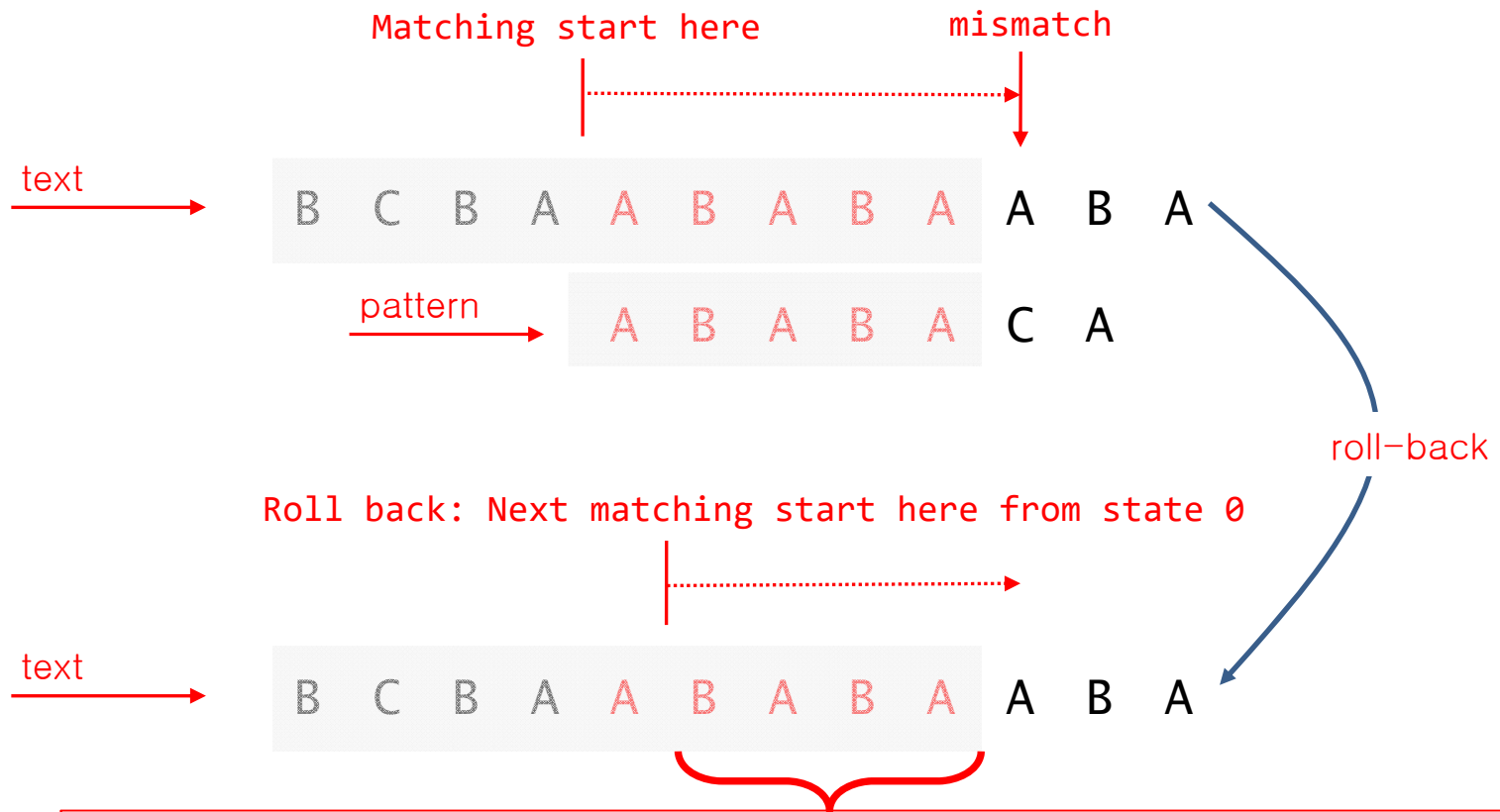
- DFA Construction:
 - Mismatch transition:
 - If in state j and next char $c \neq \text{pat}[j]$, then which state to transit?

Pattern: ABABACA

State 5



DFA Construction



- The same as $pat[1] \sim pat[j-1]$
- Roll-back and transit to some state **X** by matching $pat[1] \sim pat[j-1]$ from state 0 on DFA.
- Transit to the next state $DFA['A'][X]$ for the mismatched char 'A'.

DFA Construction

- DFA Construction:
 - Mismatch transition:
 - If in state j and next char $c \neq \text{pat}[j]$, then the last $j-1$ characters of input text are $\text{pat}[1] \sim \text{pat}[j-1]$, followed by c .
 - Compute $\text{DFA}[c][j]$:
 - Simulate $\text{pat}[1] \sim \text{pat}[j-1]$ on DFA from state 0 and let X be the current state
 - Then $\text{DFA}[c][j] = \text{DFA}[c][X]$

DFA Construction

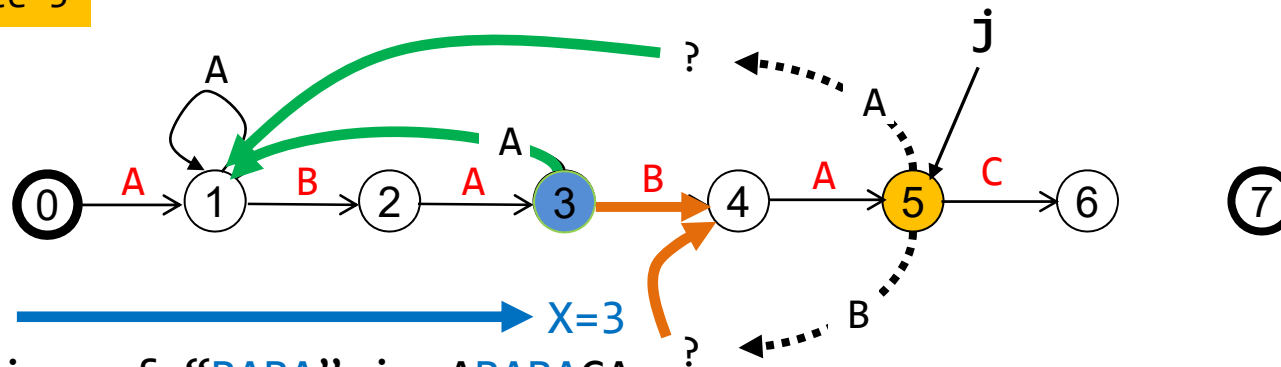
- DFA Construction:
 - Mismatch transition:
 - $DFA[c][j] = DFA[c][X]$

$DFA['A'][5] = DFA['A'][3] = 1$

$DFA['B'][5] = DFA['B'][3] = 4$

Pattern: ABABACA

State 5



Simulation of “BABA” in ABABACA

DFA Construction

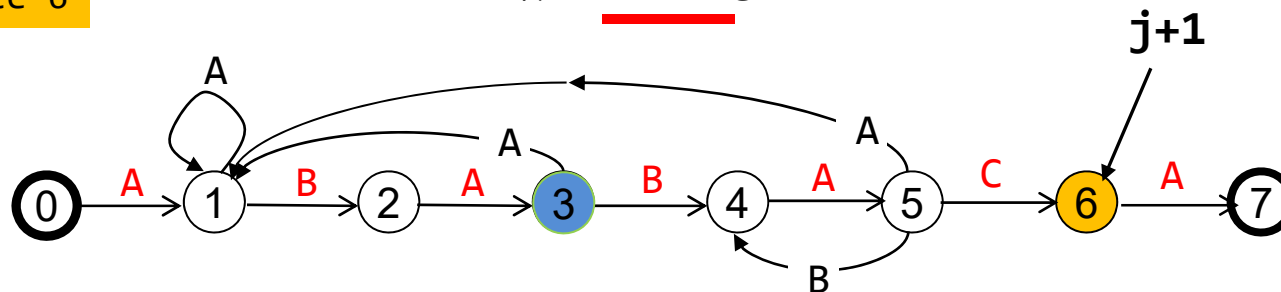
- DFA Construction:
 - Mismatch transition:
 - If in state j and next char $c \neq \text{pat}[j]$, then the last $j-1$ characters of input text are $\text{pat}[1] \sim \text{pat}[j-1]$, followed by c .
 - To compute $\text{DFA}[c][j]$:
 - Simulate $\text{pat}[1] \sim \text{pat}[j-1]$ on DFA (*still under construction*) and let the current state X .
 - take a transition c from state X .
 - Running time : require j steps.
 - But, if we maintain state X , it takes only constant time!

DFA Construction

- DFA Construction:
 - Maintaining state X :
 - Finished computing transitions from state j .
 - Now, now move to next state $j+1$.
 - Then what the new state(X') of X be?

State 6

Pattern: ABABACA



Simulation of “BABA” in ABABACA

Simulation of “BABAC” in ABABACA

- Simulation requires $j+1$ steps
- But, $X' = \text{DFA}['C'][X]$

$$X' = \text{DFA}['C'][3] = 0$$

DFA Construction

- DFA Construction: A Linear Time Algorithm
 - For each state j :
 - Match case: set $\text{DFA}[\text{pat}[j]][j]=j+1$
 - Mismatch case: Copy $\text{DFA}[][X]$ to $\text{DFA}[][j]$
 - Update X

```
int DFA[MAX_SIZE][MAX_SIZE]; /* initially all elements are 0 */
// int R;                      /* text character set size */

void constructDFA(char pat[])
{
    int patLength = strlen(pat);

    DFA[pat[0]][0] = 1;

    for(int X=0, j=0; j<patLength; j++)
    {
        for(int c=0; c<R; c++)           // copy mismatch cases
            DFA[c][j] = dfa[c][X];

        DFA[pat[j]][j] = j+1;           // copy match case
        X = DFA[pat[j]][X];             // update X
    }
}
```

DFA Construction

- DFA Construction: Example

DFA[][]

j start from 1

0123456

Pattern: ABABACA

state \ char	0	1	2	3	4	5	6	7
A	1	1	3	1	5	1	7	1
B	0	2	0	4	0	4	0	2
C	0	0	0	0	0	6	0	0
others	0	0	0	0	0	0	0	0

X 0 0 1 2 3 0 1 1

DFA[B][0] DFA[B][1] DFA[C][3] DFA[A][1]

DFA[A][0] DFA[A][2] DFA[A][0]

Algorithm with DFA

- Question:
 - Text에 나타나는 모든 pattern 을 찾을 수 있는가?
 - Text: AAAAAAAAAA
 - Pattern: AAAAA
 - 해답: 0, 1, 2, 3, 4, 5

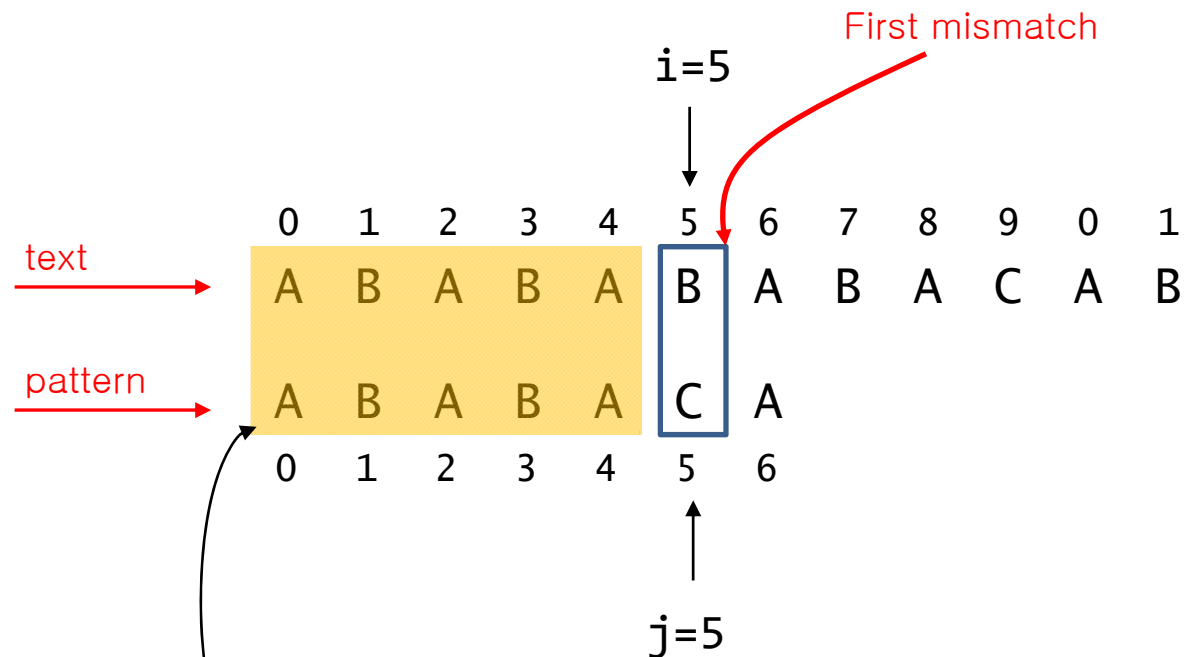
Prefix/Suffix

- Prefix / Suffix of a Text

bananada		
	Prefix	Suffix
NULL string →	bananada	bananada
	bananada	bananada
	bananada	bananada
	bananada	bananada
	bananada	bananada
	bananada	bananada
	bananada	bananada
	bananada	bananada

KMP Algorithm

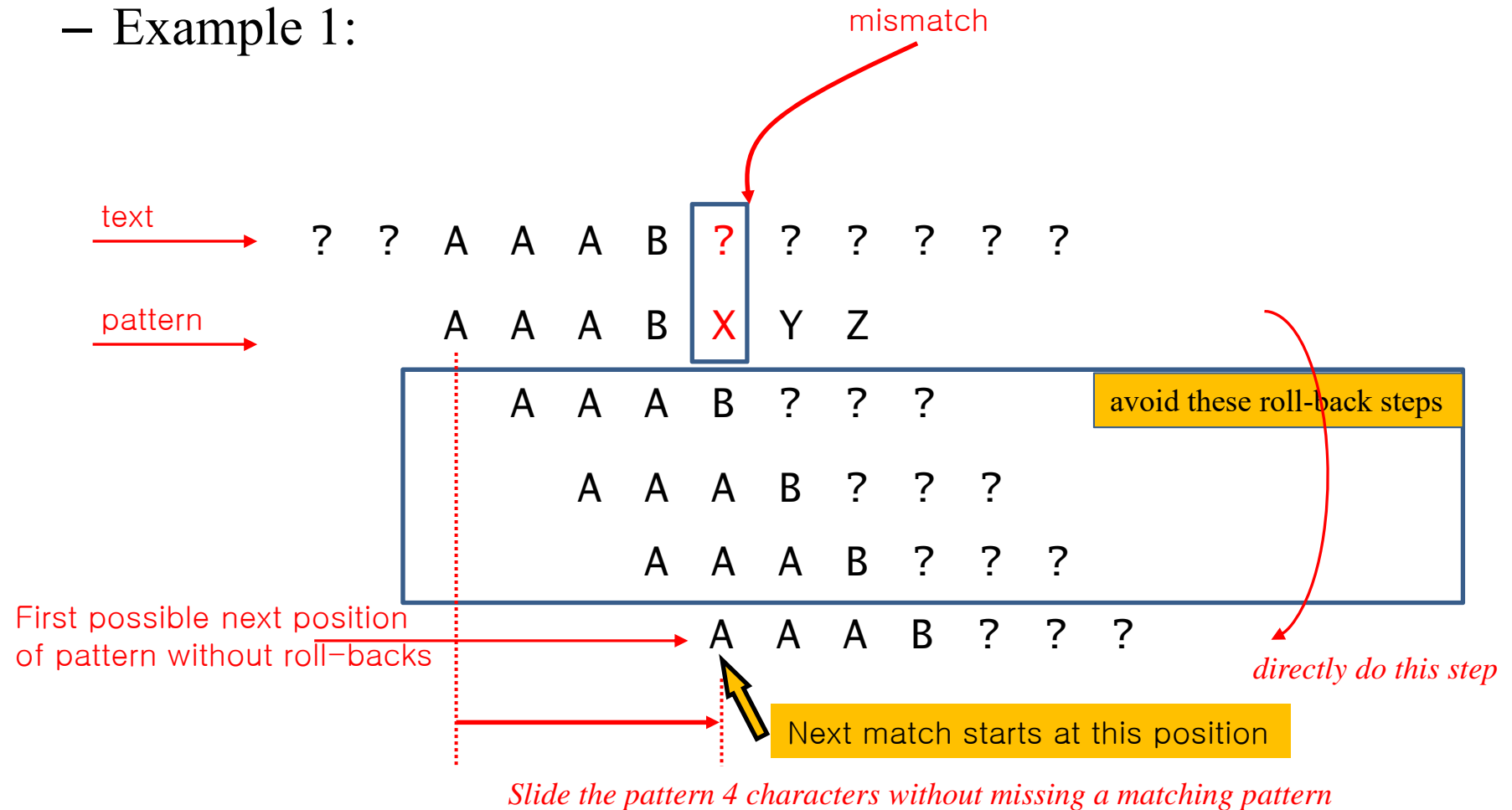
- Naïve algorithm again:



These matched characters have very important information.
Do not waste this information!!!

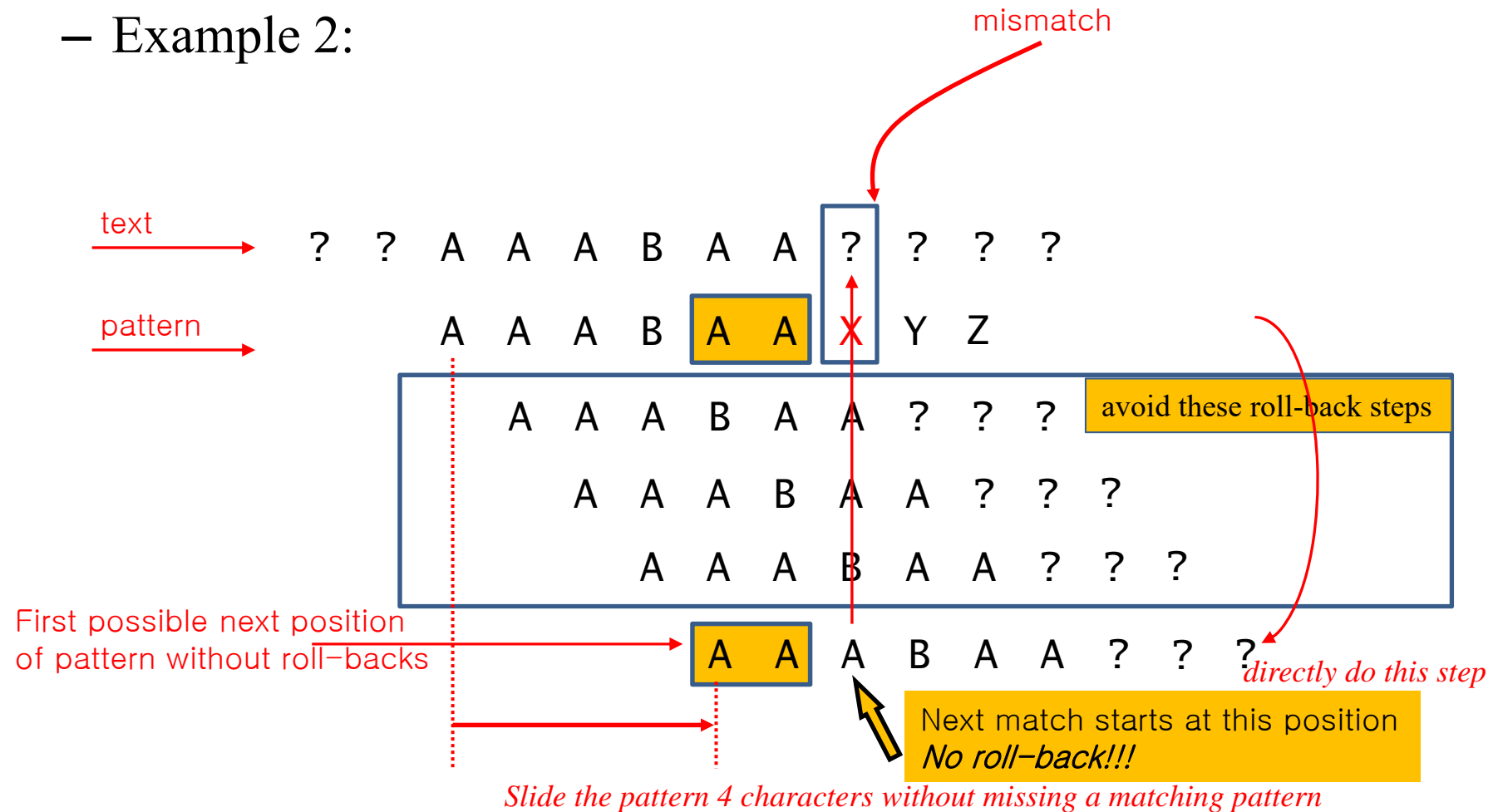
KMP Algorithm

- Avoid roll-backs in naïve algorithm:
 - Example 1:



KMP Algorithm

- Avoid roll-backs in naïve algorithm:
 - Example 2:



KMP Algorithm

- Prefix and Proper Suffix of the Prefix

pattern → A A A B A A X Y Z

Prefix

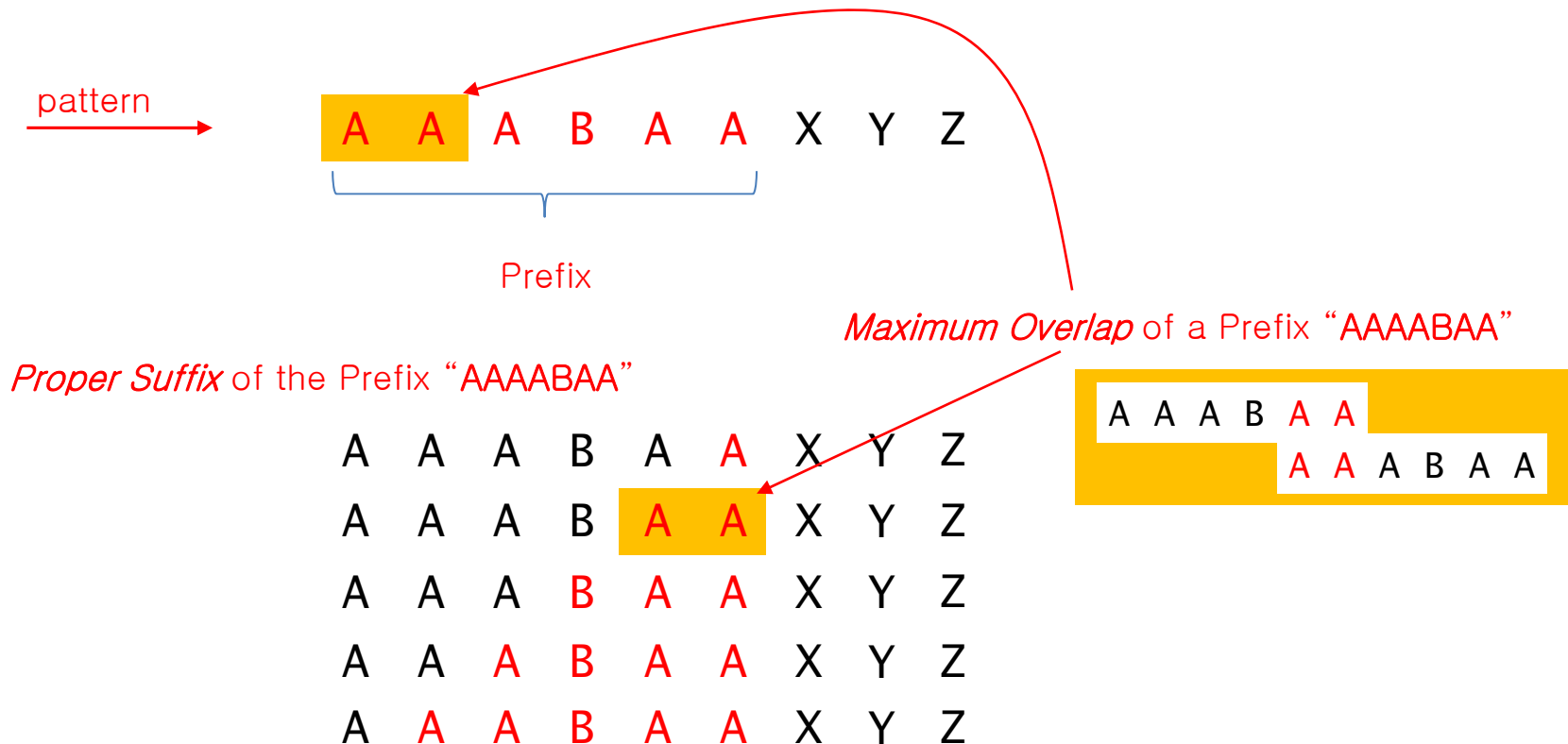
Proper Suffix of the Prefix “AAAABAA”

A	A	A	B	A	A	X	Y	Z
A	A	A	B	A	A	X	Y	Z
A	A	A	B	A	A	X	Y	Z
A	A	A	B	A	A	X	Y	Z
A	A	A	B	A	A	X	Y	Z
A	A	A	B	A	A	X	Y	Z

← Not a *proper* suffix of the prefix
(the same as the prefix)

KMP Algorithm

- Maximum Overlap of a Prefix
 - the longest proper suffix that is equal to prefix of the prefix



KMP Algorithm

- Maximum Overlap of a Prefix
 - the longest proper suffix that is equal to prefix of the prefix
- Example:

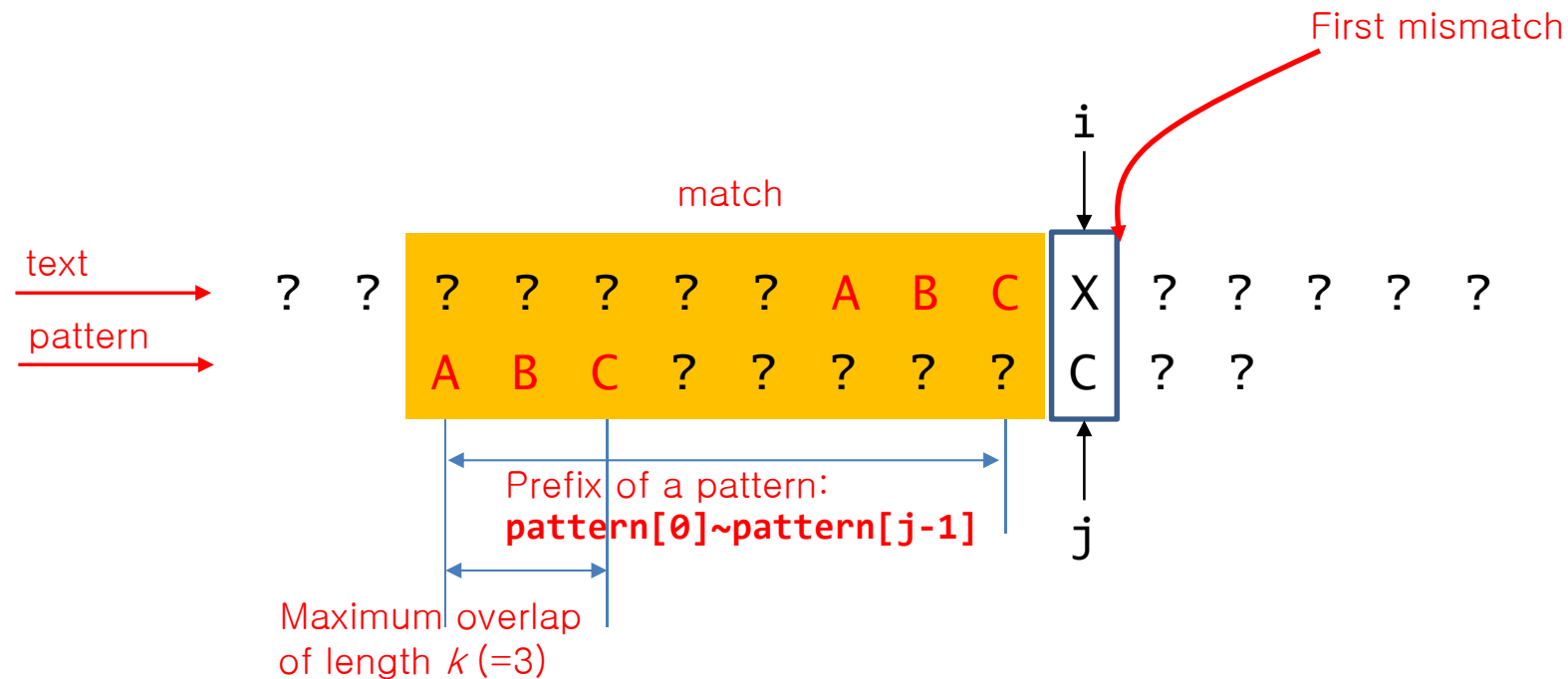
Prefix	Maximum Overlap
AAAAA	AAAA
AABA	A
AAAB	
ABABABAB	ABABAB

← not AAAAA

← NULL String

KMP Algorithm

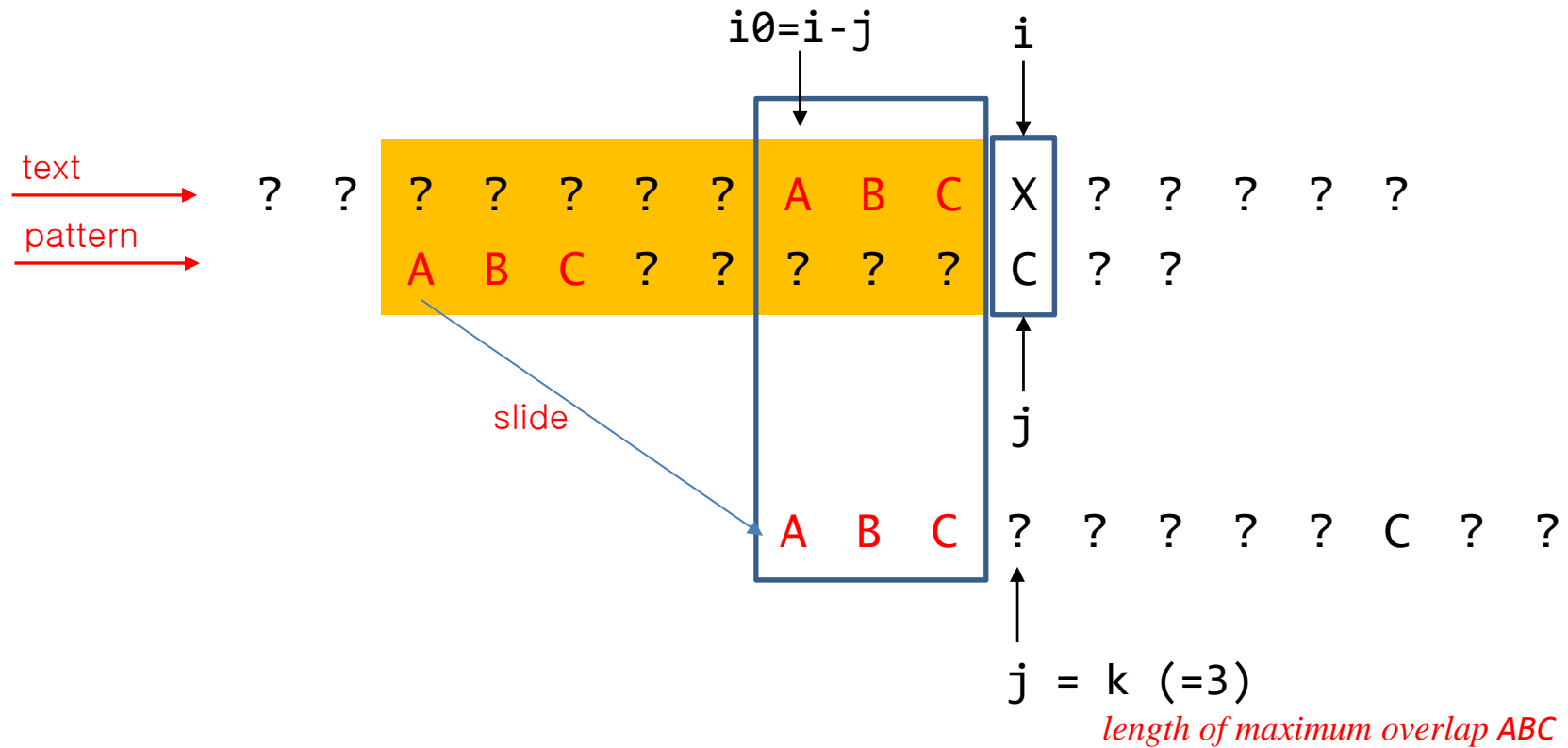
- Reuse of prefix information when there is a mismatch
 - Mismatch at `text[i]` and `pattern[j]`



Note that if the mismatched *location* is `pattern[j]`, then *prefix* is: `pattern[0]~pattern[j-1]`

KMP Algorithm

- Then we can slide the pattern so that the *suffix and prefix aligns without missing out on a match*:



KMP Algorithm

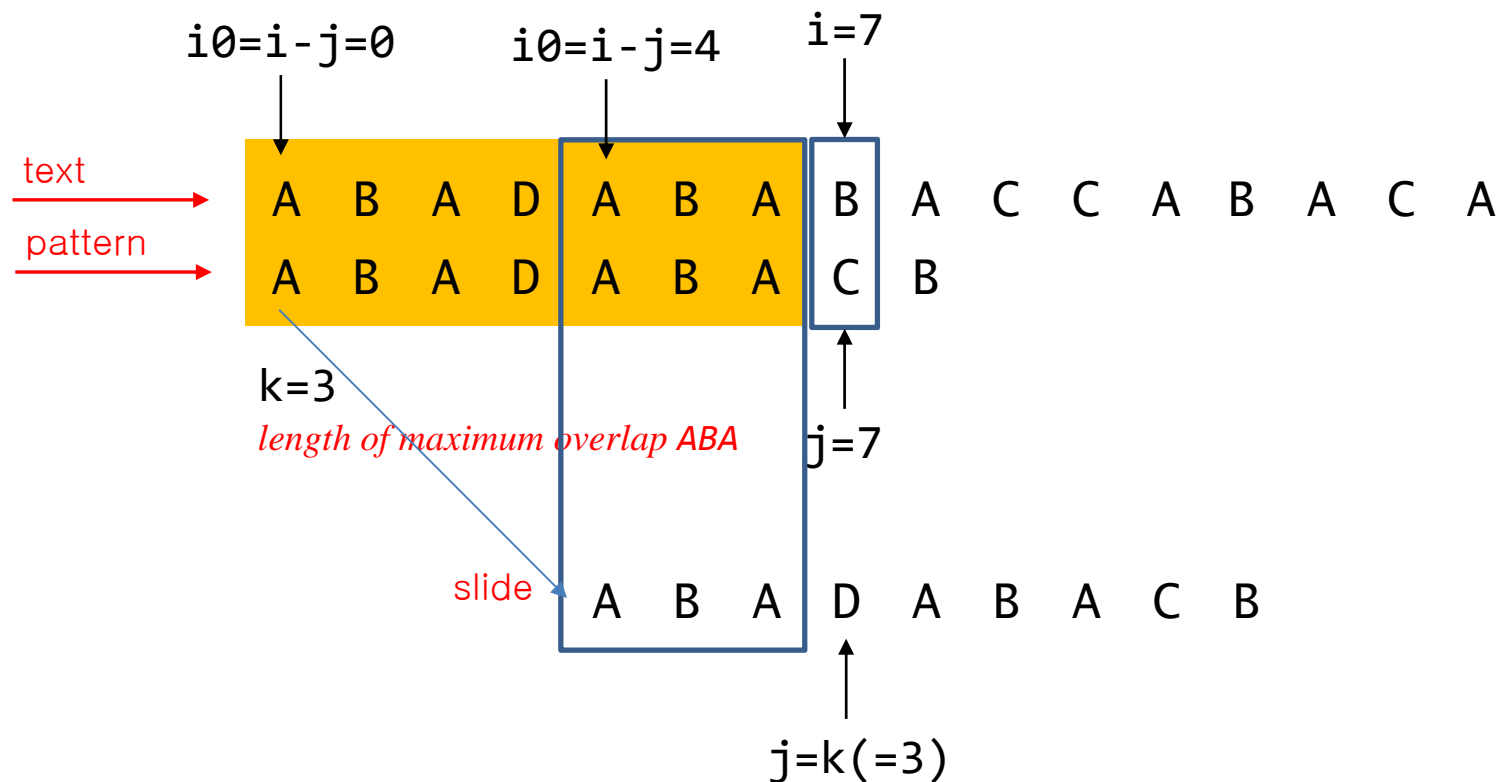
- Fast sliding algorithm:
 - Psuedo program:

```
// mismatch found at text[i], pattern[j]
prefix = pattern[0] ~ pattern[j-1];
k = Length of maximum overlap of prefix;
j = k;
// i is unchanged !

// Matched position i0 in text starts from (i - j);
i0 = i - j;
```

KMP Algorithm

- Fast sliding algorithm:
 - Example:



KMP Algorithm

- Failure function:
 - m : the length of a pattern
 - For $0 < k < m$, the **failure function** $fail(k)$ is the length of maximum overlap of a prefix $pattern[0] \sim pattern[k]$
 - Note that $fail(0) = 0$

banabana	k	prefix	$fail(k)$
	0	b	0
	1	ba	0
	2	ban	0
	3	bana	0
	4	banab	1
	5	banaba	2
	6	banaban	0
	7	banabana	4

KMP Algorithm

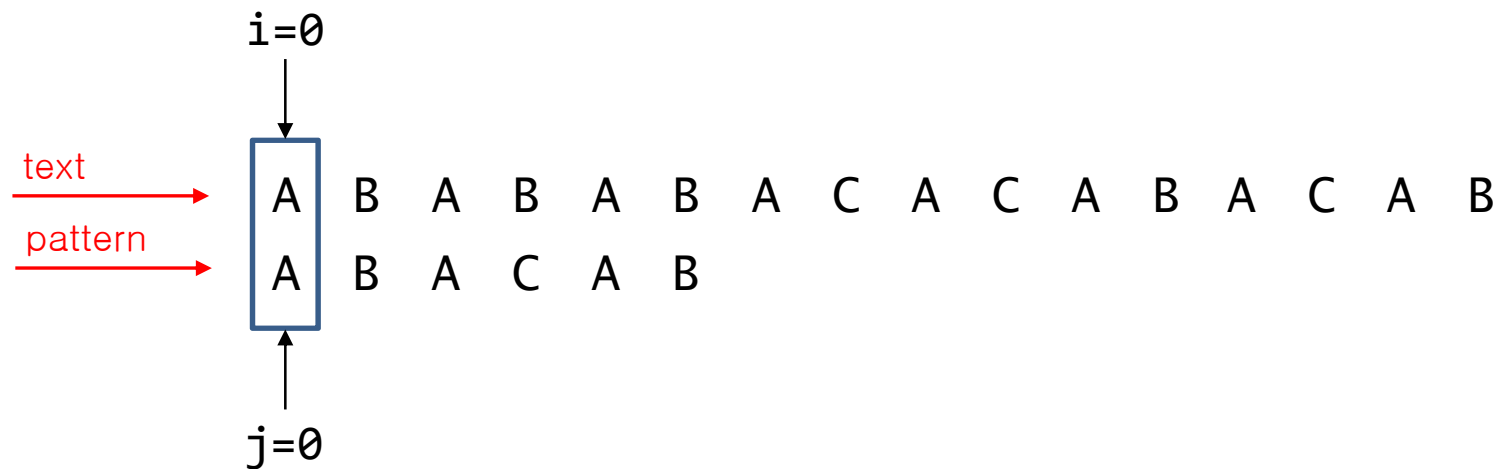
- Knuth-Morris-Pratt(KMP) Algorithm

```
vector<int> kmp(string text, string pattern)
{
    vector<int> ans;
    fail = getFail(pattern);           // failure function
    int n = (int) text.size(), m = (int) pattern.size();
    int j = 0;                          // j : index of pattern

    for(int i = 0 ; i < n ; i++)        // i : index of text
    {
        while(j>0 && text[i] != pattern[j])
            j = fail[j-1];
        if(text[i] == pattern[j])
        {
            if(j==m-1)                  // pattern matching is found
            {
                ans.push_back(i-j); // save the matched position
                j = pi[j];
            }
            else
                j++;
        }
    }
    return ans;
}
```

KMP Algorithm

– Example:



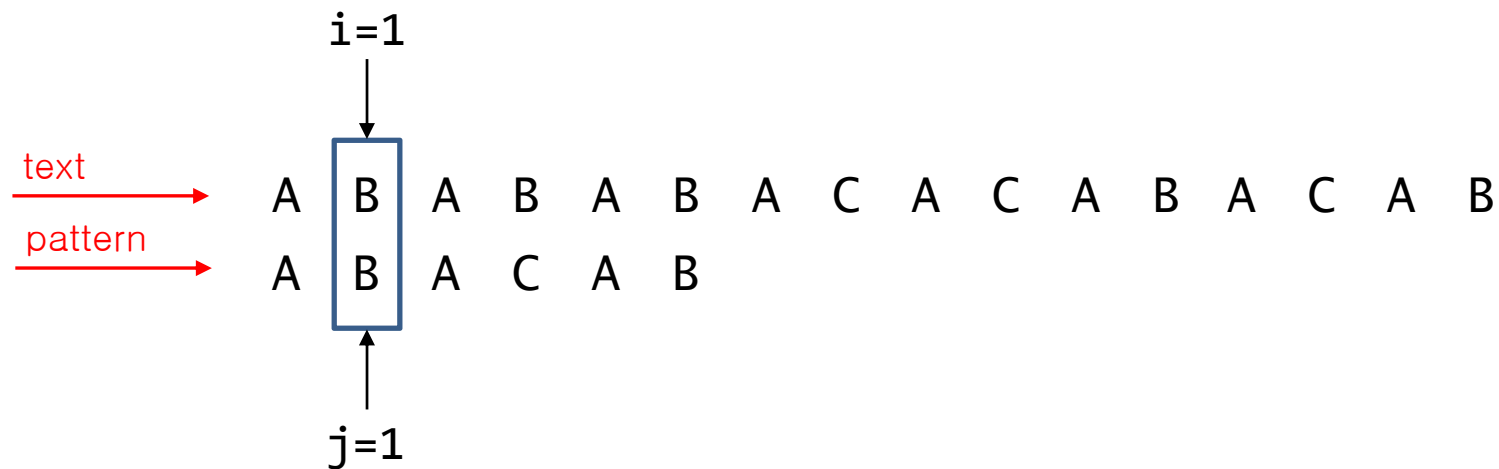
`text[i] == pattern[j]`

➔ `i++, j++`

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



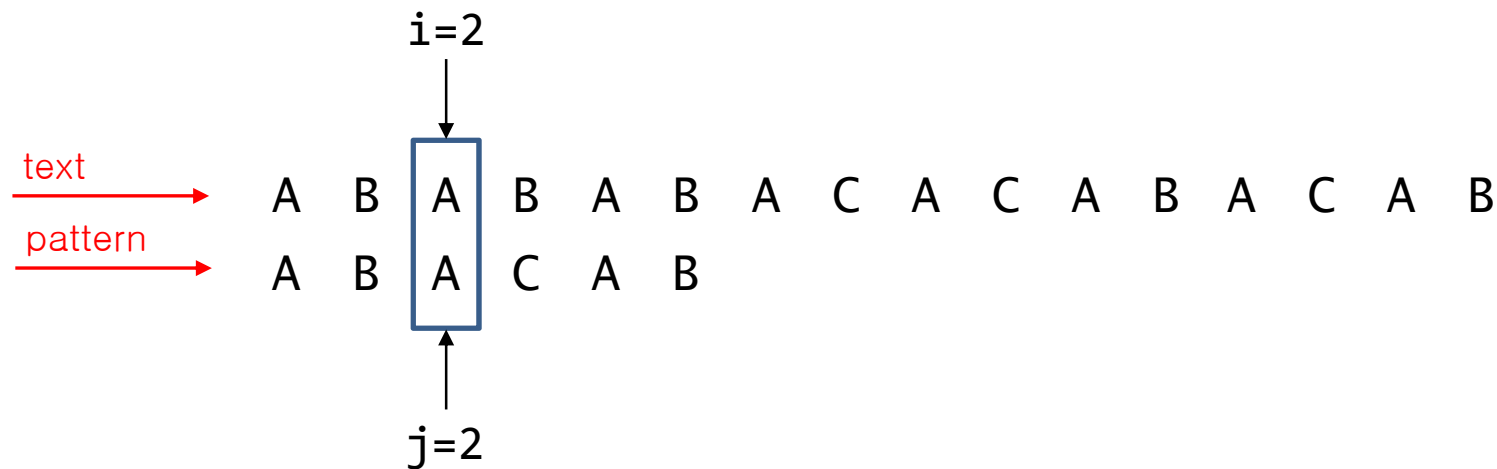
`text[i] == pattern[j]`

→ `i++, j++`

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



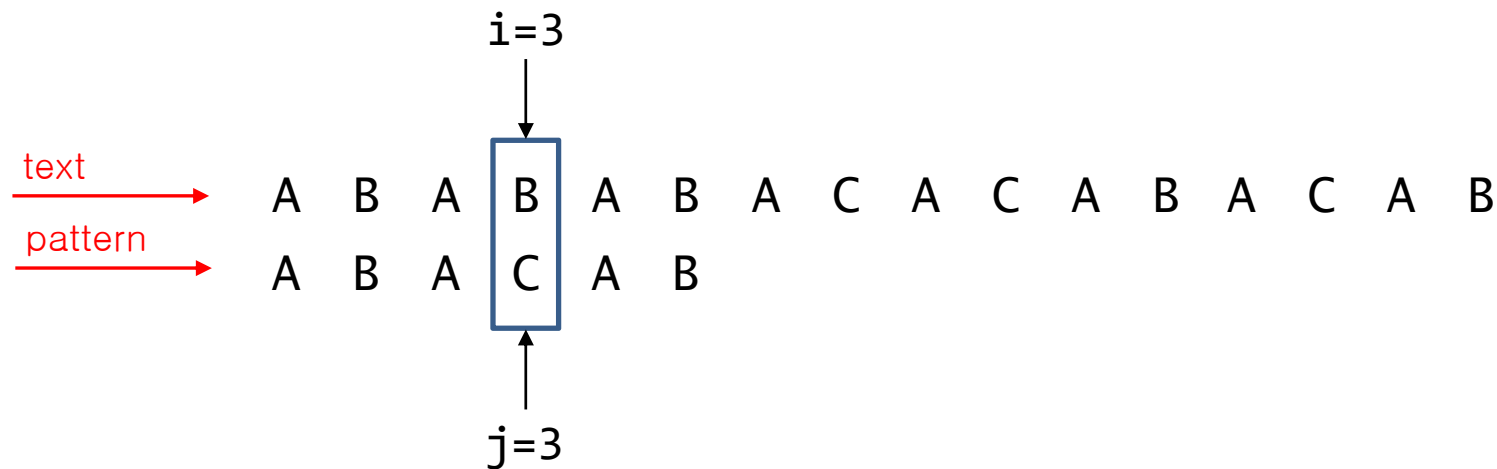
$\text{text}[i] == \text{pattern}[j]$

→ $i++$, $j++$

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



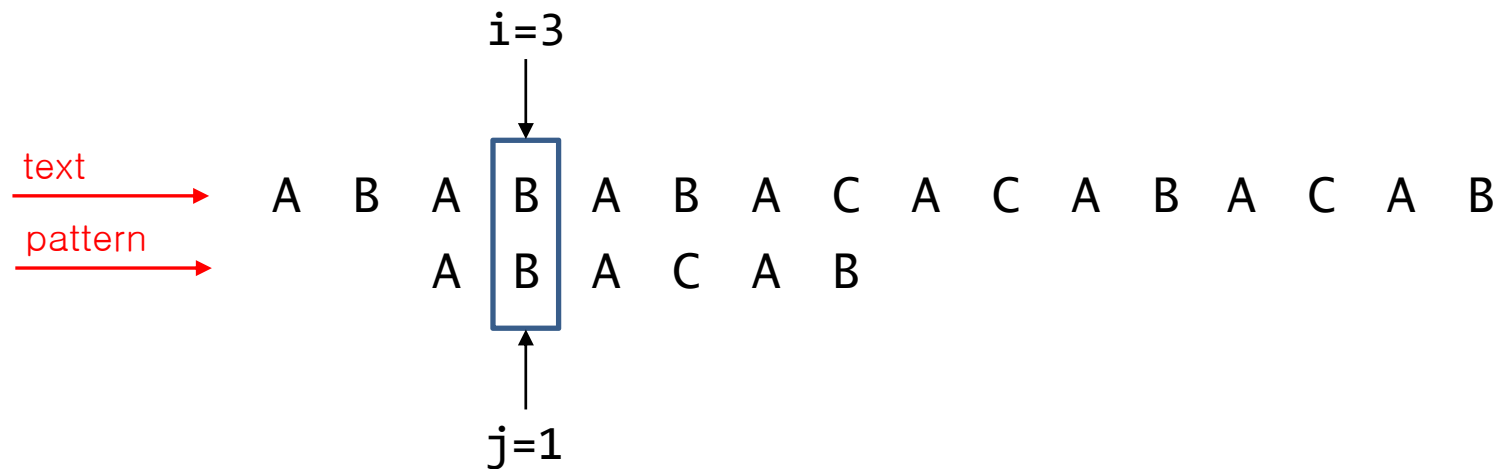
$\text{text}[i] \neq \text{pattern}[j]$

$\rightarrow j = \text{fail}[j-1]$

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



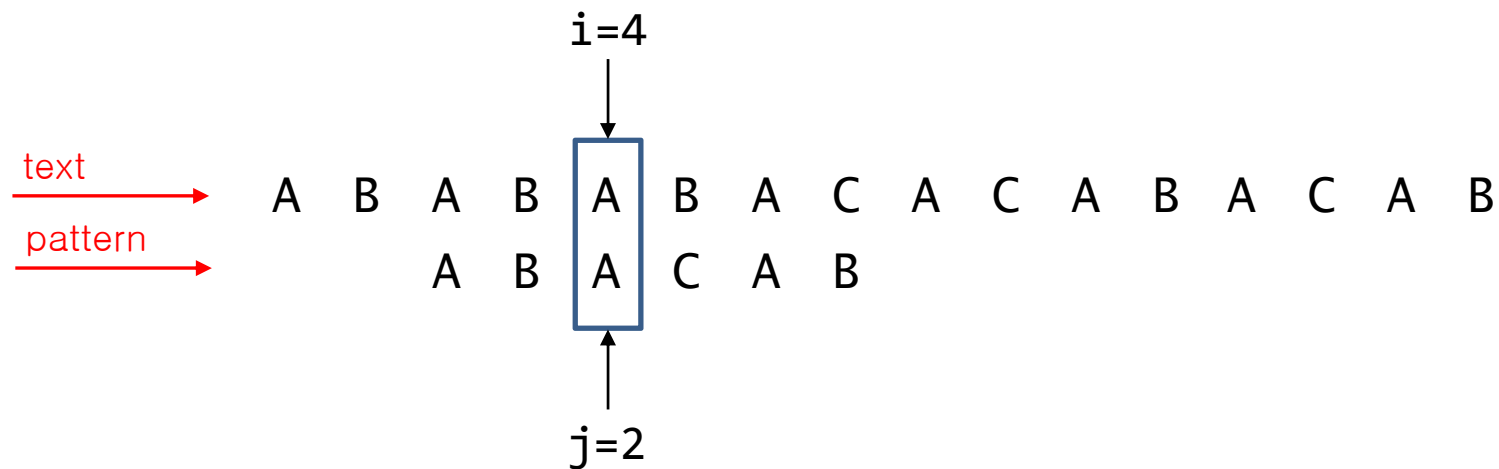
`text[i] == pattern[j]`

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KMP Algorithm

– Example:



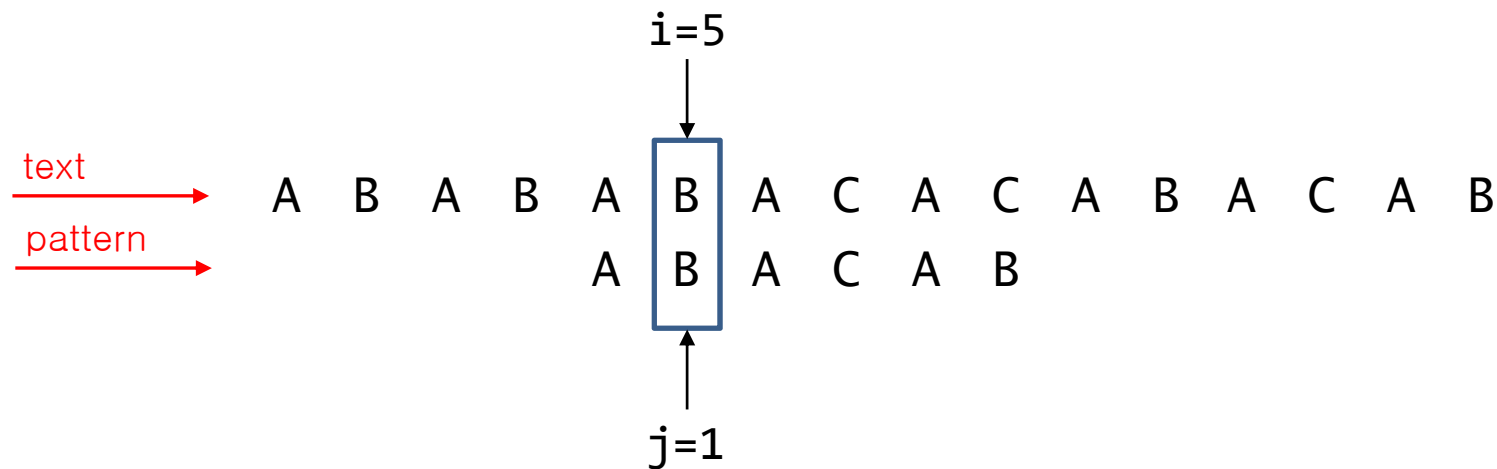
$\text{text}[i] == \text{pattern}[j]$

$\rightarrow i++, j++$

i	0	1	2	3	4	5
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KMP Algorithm

– Example:



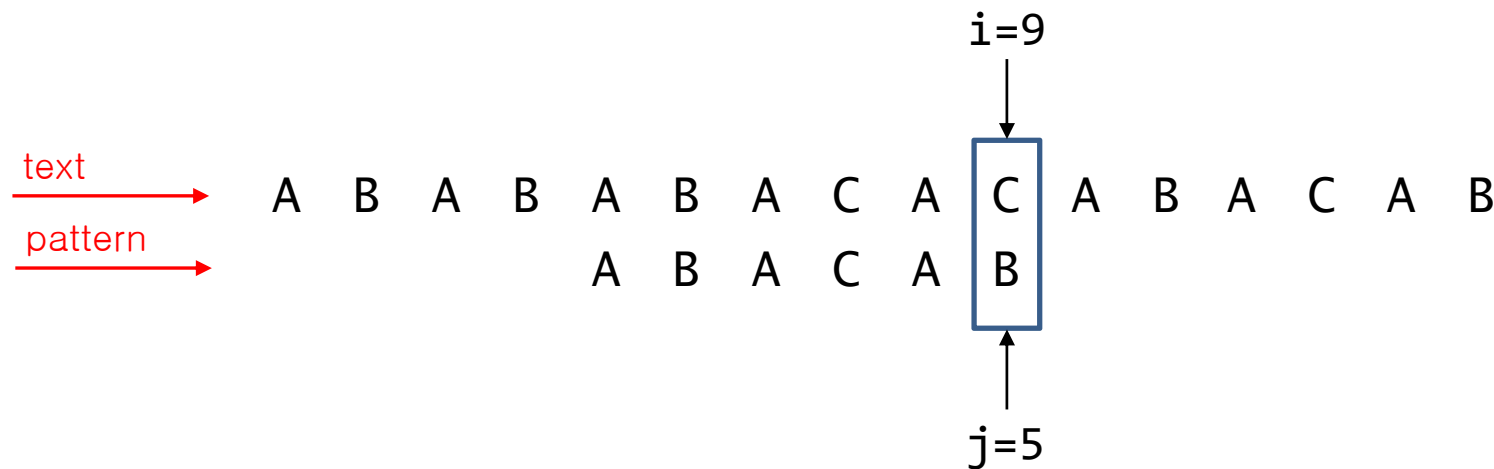
`text[i] == pattern[j]`

→ `i++, j++`

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



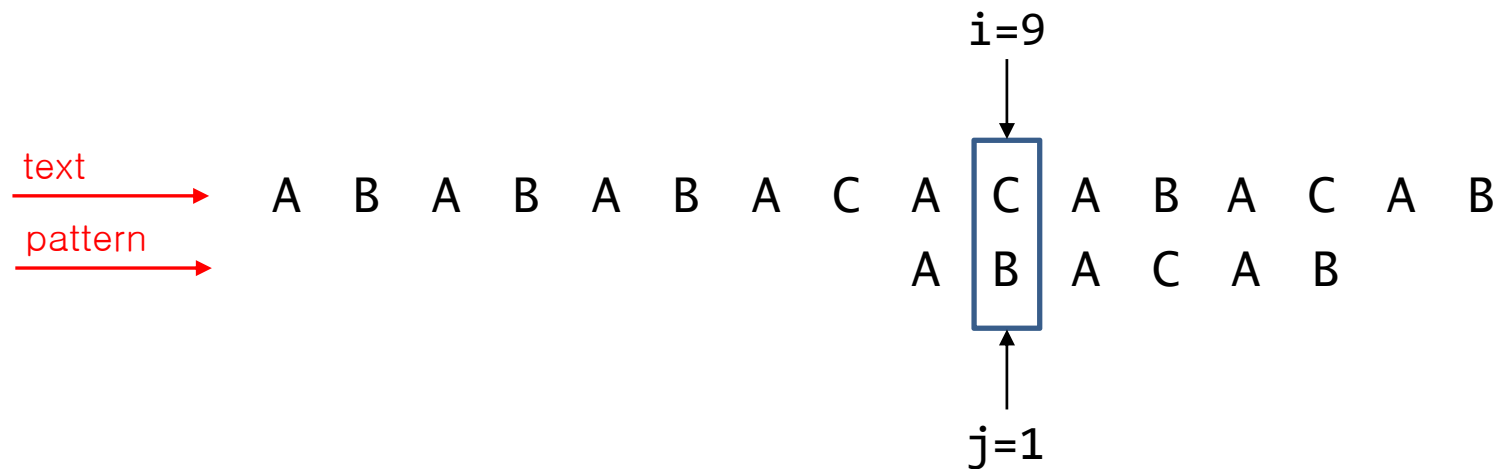
`text[i] == pattern[j]`

$\rightarrow i++, j++$

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



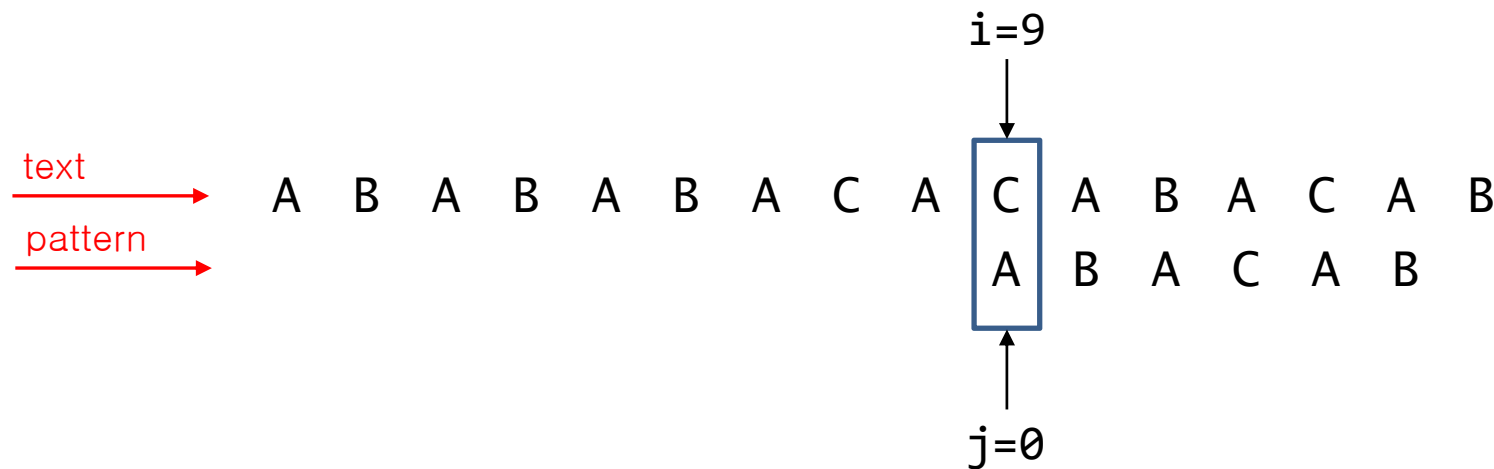
$\text{text}[i] \neq \text{pattern}[j]$

$\rightarrow j = \text{fail}[j-1]$

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



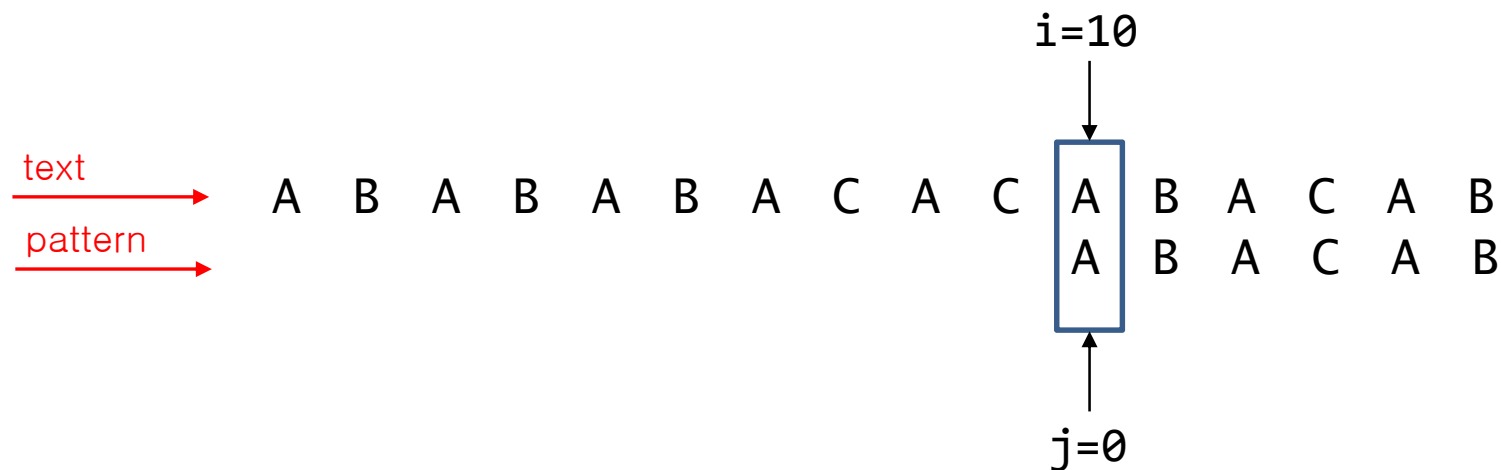
$j==0 \ \&\& \ \text{text}[i] \neq \text{pattern}[j]$

$\rightarrow i++$

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:



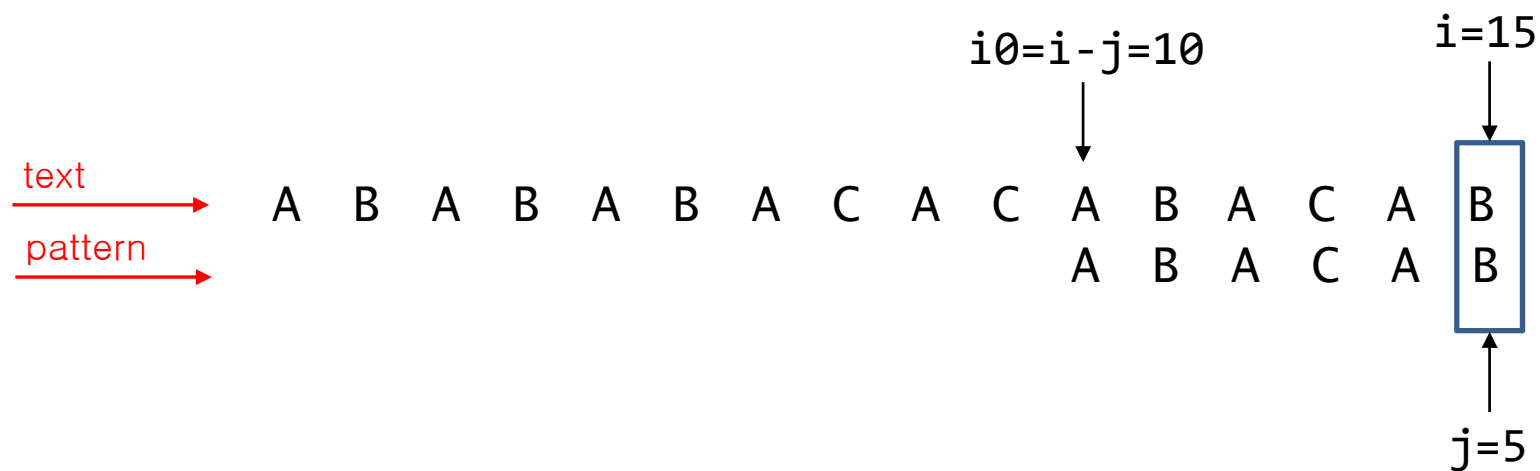
`text[i] == pattern[j]`

→ `i++, j++`

i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

– Example:

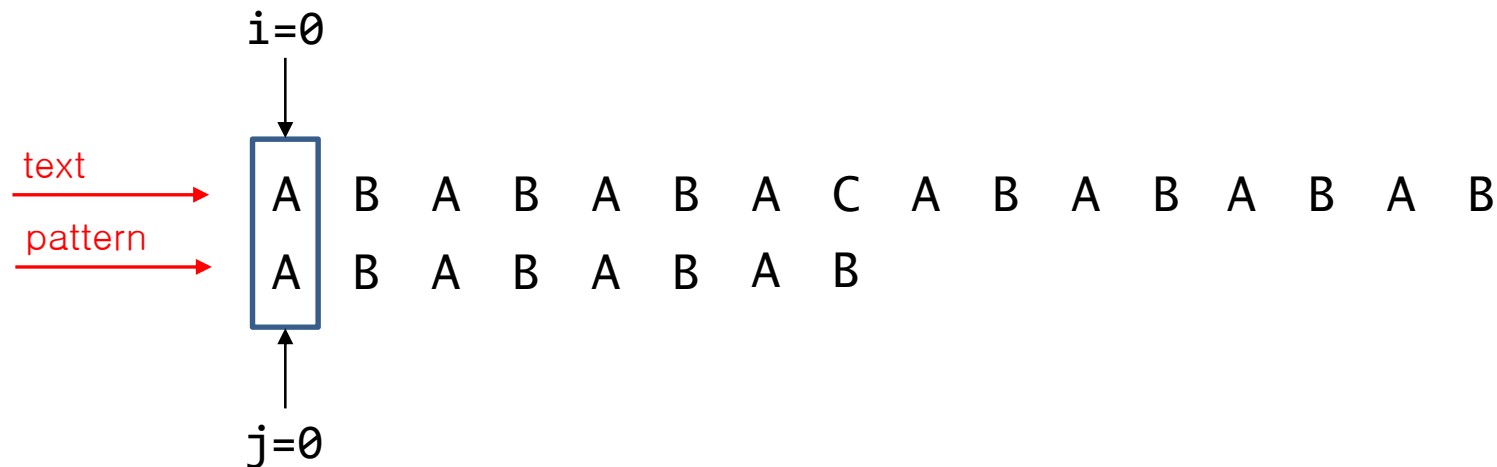


i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

KMP Algorithm

- Knuth-Morris-Pratt(KMP) Algorithm
 - Why?

```
while(j>0 && text[i] != pattern[j])  
    j = pi[j-1];
```



$\text{text}[i] == \text{pattern}[j]$

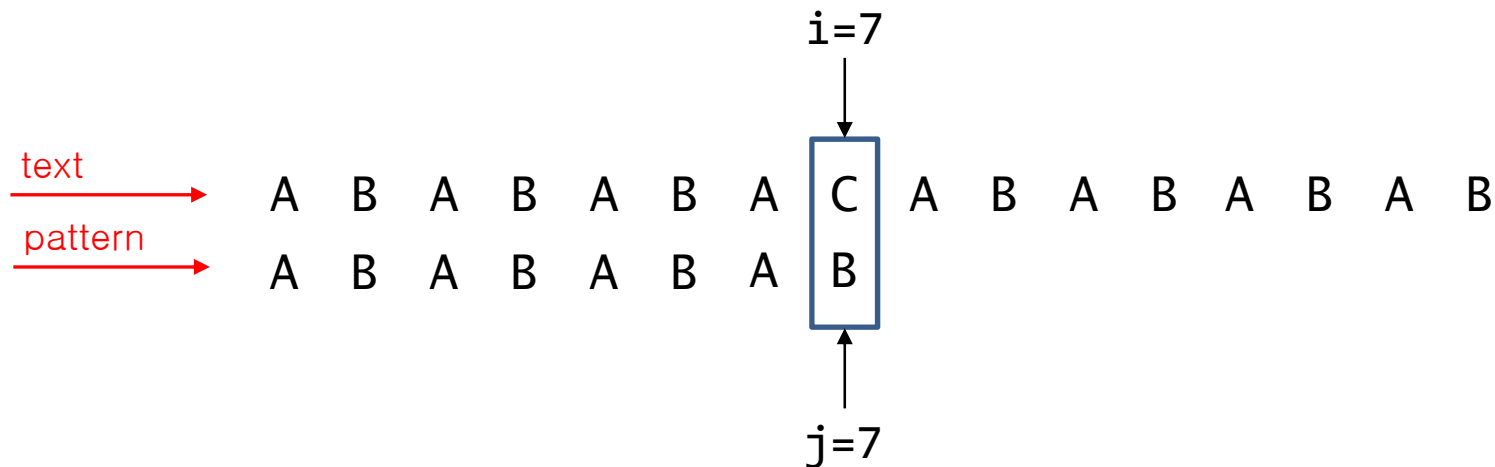
→ $i++$, $j++$

i	0	1	2	3	4	5	6	7
fail(i)	0	0	1	2	3	4	5	0

KMP Algorithm

- Knuth-Morris-Pratt(KMP) Algorithm
 - Why?

```
while(j>0 && text[i] != pattern[j])  
    j = pi[j-1];
```



$\text{text}[i] \neq \text{pattern}[j]$

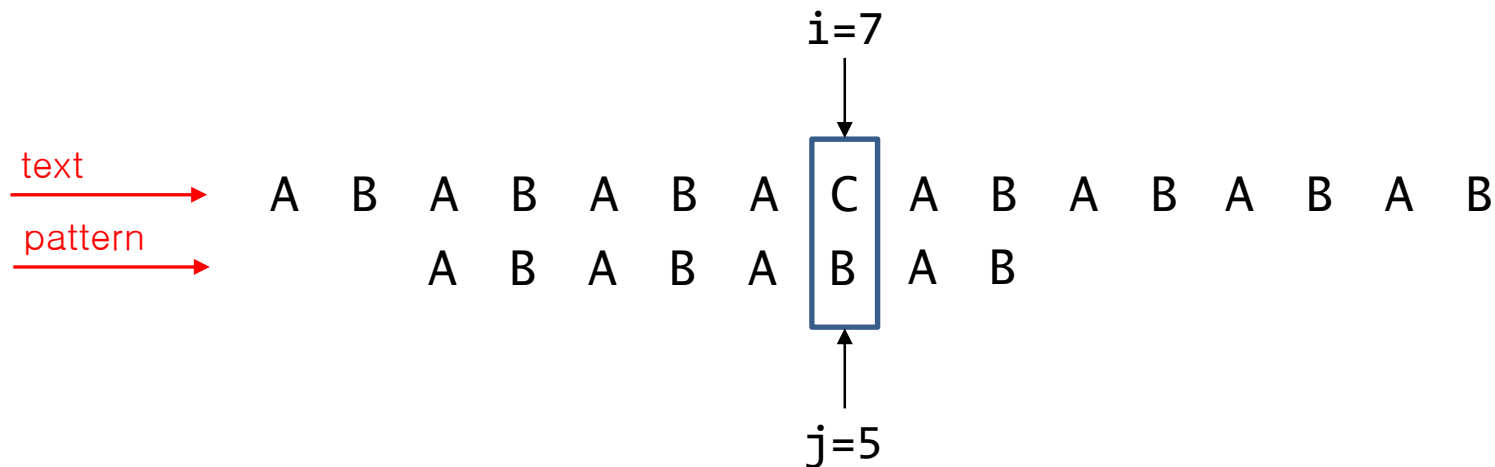
$\rightarrow j = \text{fail}[j-1]$

i	0	1	2	3	4	5	6	7
fail(i)	0	0	1	2	3	4	5	0

KMP Algorithm

- Knuth-Morris-Pratt(KMP) Algorithm
 - Why?

```
while(j>0 && text[i] != pattern[j])  
    j = pi[j-1];
```



$\text{text}[i] \neq \text{pattern}[j]$

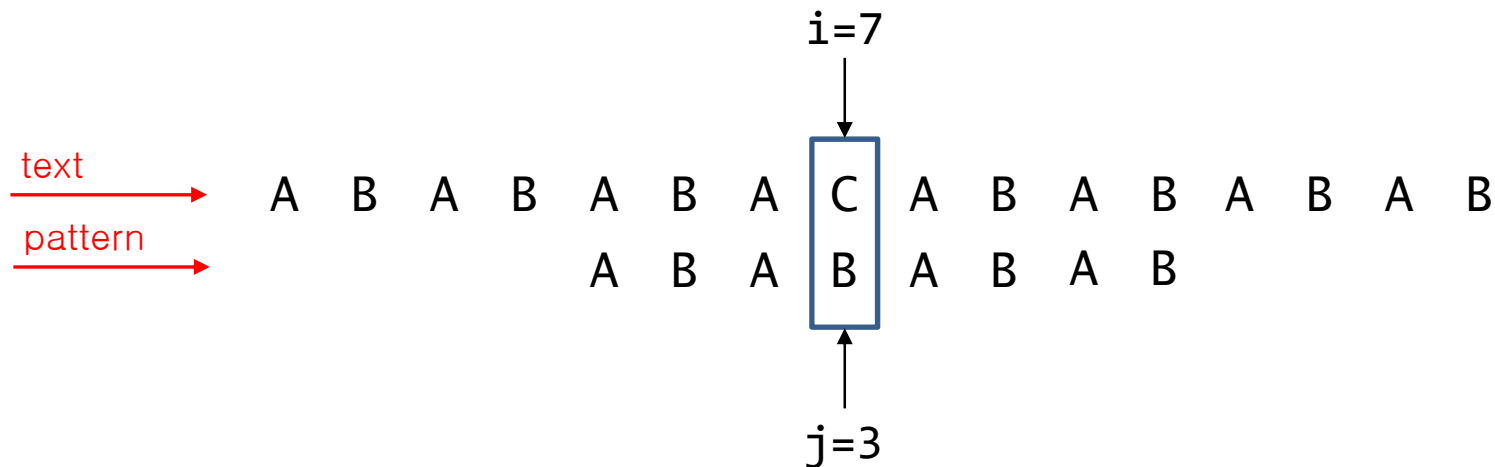
$\rightarrow j = \text{fail}[j-1]$

i	0	1	2	3	4	5	6	7
fail(i)	0	0	1	2	3	4	5	0

KMP Algorithm

- Knuth-Morris-Pratt(KMP) Algorithm
 - Why?

```
while(j>0 && text[i] != pattern[j])  
    j = pi[j-1];
```



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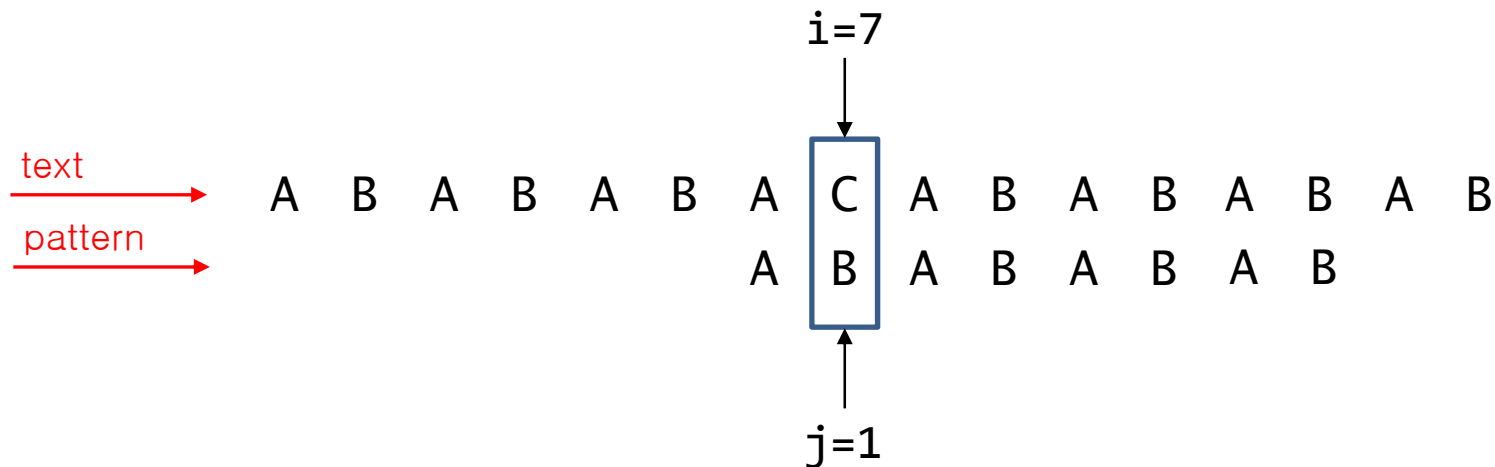
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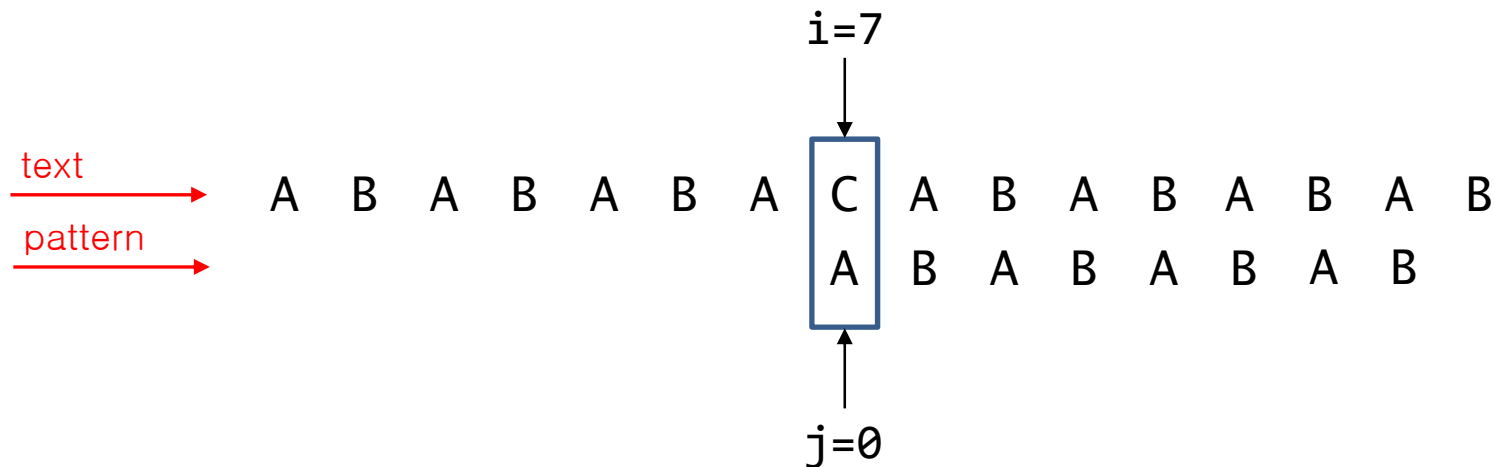
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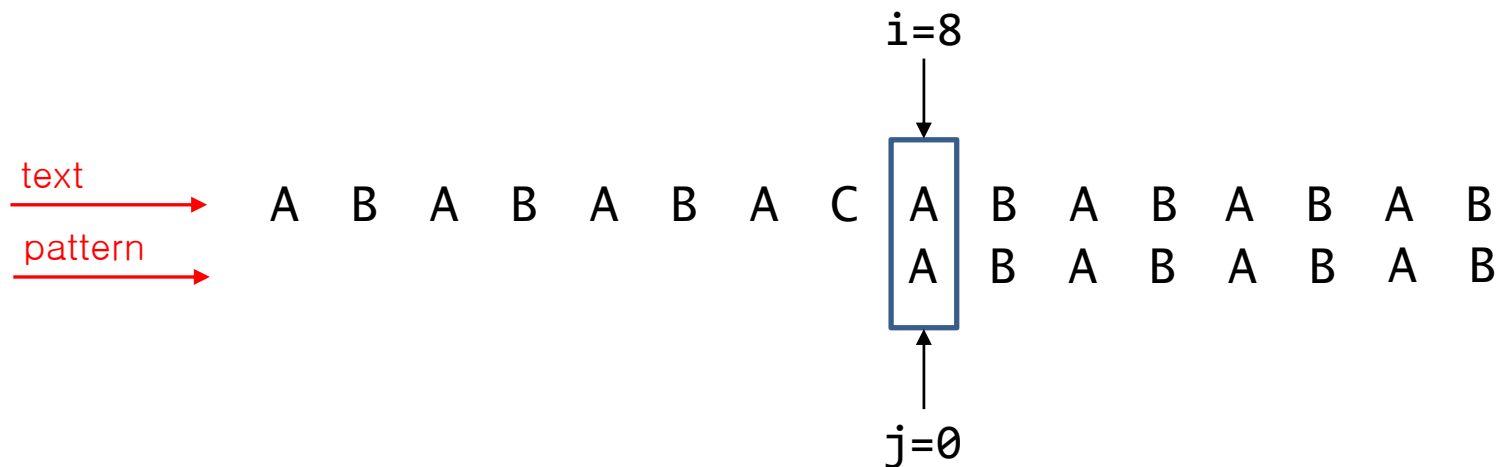
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KMP Algorithm

- Knuth-Morris-Pratt(KMP) Algorithm
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    j = pi[j-1];
```



$j=0 \ \&\& \ \text{text}[i] \neq \text{pattern}[j]$

→ $i++$

i	0	1	2	3	4	5	6	7
fail(i)	0	0	1	2	3	4	5	0