# SMM692 Introduction to Programming in Python

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# Preface

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# Organization of the Module

# Getting Started with Python

2.1 Installing Python

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2.3 How We Run Python Programs

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2.4 Managing Python Environments

# Python Language Fundamentals

3.1 Variables

. . .

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. .

3.3 References

## Python Objects

In this chapter, we pursue the following learning objectives:

• ....

What is a Python object?

Built-in and ad-hoc objects

Why do built-in Python objects matter?

In essence, Python objects are pieces of data. Mark Lutz, the author of the popular book Learning Python<sup>1</sup>, points out

in Python, we do things with stuff. "Things" take the form of operations like addition and concatenation, and "stuff" refers to the objects on which we perform those operations.

In Python, there are two families of objects: built-in objects provided by the Python language itself and ad-hoc objects — called classes — we can create to accomplish specific goals.

Typically, we do not need to create ad-hoc objects. Python provides us with diverse built-in objects that make our job easier:

- built-in objects make coding efficient and easy. For example, using the string object, we can represent and manipulate a piece of text e.g., a newspaper article without loading any module
- built-in objects are flexible. For example, we can deploy built-in objects to create a class
- built-in objects have been created and refined over time by a large community of expert developers. Hence, they are often more efficient than ad-hoc objects (unless the creator of the ad-hoc object really knows her business!)

The core built-in Python objects

Table 4.1 illustrates the types of built-in Python objects. For example, Numbers and strings objects are used to represent numeric and textual data respectively. Lists and dictionaries are — likely as not — the two most popular data structures in Python. Lists are ordered collections of other objects (any type!!). Dictionaries are pairs of keys (e.g., a product identifier) and objects (e.g., the price of the product). No worries: we will go through each built-in type in the following sections of this document. Caveat: in the interest of logical coherence, the various built-in types will not be presented in the order adopted in Table 4.1.

Table 4.1 Built-In Objects in Python

Object type	Example literals/creation
Numbers	1234, 3.1415, 3+4j, 0b111, Decimal(), Fraction()
Strings	'spam', "Bob's", b'a\x01c', u'sp\xc4m'
Lists	[1, [2, 'three'], 4.5], list(range(10))
Dictionaries	{'food': 'spam', 'taste': 'yum'}, dict(hours=10)
Tuples	(1, 'spam', 4, 'U'), tuple('spam'), namedtuple
Files	open('eggs.txt'), open(r'C:\ham.bin', 'wb')
Sets	set('abc'), {'a', 'b', 'c'}
Other core types	Booleans, types, None
Program unit types	Functions, modules, classes
Implementation types	Compiled code, stack tracebacks

#### 4.1 Number Type Fundamentals

Types of 'number' objects

Snippet 4.1, "Doing stuff with numbers," highlights the two most popular 'number' instances in Python: integers and floating-point numbers. Integers are whole numbers such as 0, 4, or -12. Floating-point numbers are the representation of real numbers such as 0.5, 3.1415, or -1.6e-19. However, floating points in Python do not have — in general — the same value as the real number they represent. It is worth noticing that any single number with a period '.' is considered a floating point in Python. Also, Snippet 4.1 shows that the multiplication of an integer by a floating point yields a floating point. That happens because Python first converts operands up to the type of the most complicated operand.

# Snippet 4.1 — doing 'stuff' with numbers # integer addition >>> 1 + 1 2 # floating-point multiplication >>> 10 \* 0.5 5.0 # 3 to the power 100 >>> 3 \*\* 100 11 515377520732011331036461129765621272702107522001

Besides integers and floating points

Besides integers and floating points numbers, Python includes fixed-precision, rational numbers, Booleans, and sets instances — see Table 4.2.

 $\begin{array}{c} \text{Table } 4.2 \\ \text{Number Type Objects in Python} \end{array}$ 

Literal	Interpretation
1234, -24, 0, 9999999999999	Integers (unlimited size)
1.23, 1., 3.14e-10, 4E210, 4.0e+210	Floating-point numbers
0o177, 0x9ff, 0b101010	Octal, hex, and binary literals in 3.X
0177, 0o177, 0x9ff, 0b101010	Octal, octal, hex, and binary literals in 2.X
3+4j, $3.0+4.0j$ , $3J$	Complex number literals
$set('spam'), \{1, 2, 3, 4\}$	Sets: 2.X and 3.X construction forms
Decimal('1.0'), Fraction(1, 3)	Decimal and fraction extension types
bool(X), True, False	Boolean type and constants

Basic arithmetic operations in Python

Numbers in Python support the usual mathematical operations:

- $+ \rightarrow$  addition
- $\rightarrow$  subtraction
- $\bullet$  \*  $\rightarrow$  multiplication
- $\setminus \rightarrow$  floating point division
- //  $\rightarrow$  integer division
- $\% \rightarrow \text{modulus (remainder)}$
- \*\*  $\rightarrow$  exponentiation

To use these operations, it is sufficient to launch a Python or IPython session without any modules loaded (see Snippet 4.1). Advanced mathematical operations

Besides the mathematical operations shown above, there are many modules shipped with Python that carry out advanced/specific numerical analysis. For example, the math module provides access to the mathematical functions defined by the C standard.<sup>3</sup> Table 4.3 reports a sample of these functions. To use them math, we have to import the module as shown in Snippet 4.2. Another popular module shipped with Python is random, implementing pseudo-random number generators for various distributions (see the lower section of Example 2).

Function name	Expression
math.sqrt(x)	$\sqrt{x}$
math.exp(x)	$e^x$
math.log(x)	lnx
math.log(x, b)	$log_b(x)$
math.log10(x)	$log_{10}(x)$
math.sin(x)	sin(x)
math.cos(x)	cos(x)
math.tan(x)	tan(x)
<pre>math.asin(x)</pre>	arcsin(x)
math.acos(x)	arccos(x)
math.atan(x)	arctan(x)
math.sinh(x)	sinh(x)
math.cosh(x)	cosh(x)
math.tanh(x)	tanh(x)
math.asinh(x)	arsinh(x)
math.acosh(x)	arcosh(x)
math.atanh(x)	artanh(x)
<pre>math.hypot(x, y)</pre>	The Euclidean norm, $\sqrt{x^2 + y^2}$
<pre>math.factorial(x)</pre>	x!
math.erf(x)	The error function at $x$
math.gamma(x)	The gamma function at $x$ , $\omega(x)$
math.degrees(x)	Converts $x$ from radians to degrees
math.radians(x)	Converts $x$ from degrees to radians

Snippet 4.2 — advanced mathematical operations with the modules shipped with Python # import the math module >>> import math # base-y log of x >>> math.log(12, 8) 1.1949875002403856 # base-10 log of x >>> math.log10(12) 1.0791812460476249 11 12 # import the random module 13 >>> import random  $_{15}$  # a draw from a normal distribution with mean = 0 and standard deviation = 1 16 >>> random.normalvariate(0, 1) -0.136017752991189 18 19 # trigonometric functions 20 >>> math.cos(0) 21 1.0 22 23 >>> math.sin(0) 24 0.0 25 26 >>> math.tan(0) 0.0 27 28 29 # an expression containing a factorial product  $_{30}$  >>> math.factorial(4) - 4 \* 3 \* 2 \* 1

Operator precedence

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As shown in Snippet 4.2, line 30, Python expressions can string together multiple operators. So, how does Python know which operation to perform first? The answer to this question lies in operator precedence. When you write an expression with more than one operator, Python groups its parts according to what is called precedence rules,<sup>4</sup> and this grouping determines the order in which the expression's parts are computed. Table 4.4 reports the precedence hierarchy concerning the most common operators. Note that operators lower in the table have higher precedence. Parentheses can be used to create subexpressions that override operator precedence rules.

Table 4.4 Operator Precedence Hierarchy (Ascending Order)

Operator	Description
x + y	Addition, concatenation
x - y x * y	Subtraction, set difference Multiplication, repetition
x % y	Remainder, format;
x / y, x // y	Division: true and floor
-x, +x	Negation, identity
$^{\sim}$ x	Bitwise NOT (inversion)
x ** y	Power (exponentiation)

Technical and scientific computation with Python

Variables and Basic Expressions

Python is at the center of a rich ecosystem of modules for technical and scientific computation. In the following chapter, the attention will revolve around two of the most prominent modules: NumPy and SciPy. In a nutshell, NumPy offers the infrastructure for the efficient manipulation of (potentially massive) data structures, while SciPy implements many algorithms across the fields of statistics, linear algebra, optimization, calculus, signal processing, image processing, and others. Another core module in the technical and scientific domain is SimPy, a library for symbolic mathematics. Note that none of these three modules are shipped with Python and should be installed with the package manager of your choice (e.g., conda).

Variables are simply names—created by you or Python—that are used to keep track of information in your program. In Python:

- Variables are created when they are first assigned values
- Variables are replaced with their values when used in expressions
- Variables must be assigned before they can be used in expressions
- Variables refer to objects and are never declared ahead of time

As Snippet 4.3 shows, the assignment of x = 2 causes the variable x to come into existence 'automatically.' From that point, we can use the variables in the context of expressions such as the ones displayed in lines 8, 12, 16, and 20 or create new variables like in line 24.

Snippet 4.3 — expressions involving arithmetic operations # let us assign the variables 'x' and 'y' to two number objects >>> x = 2>>> y = 4.0# subtracting an integer from variable 'x.' >>> x - 1 1 10  $^{11}$  # dividing the variable 'y' by an integer <sub>12</sub> >>> y / 73 0.0547945205479452 13 14 # integer-dividing the variable 'y' by an integer <sub>16</sub> >>> y // 73 17 0.0 18 # getting a linear combination of 'x' and 'y' 19 >>> 3 \* x - 5 \* y-14.021 22

 $^{23}$  # assigning the variable 'z' to the linear combination of 'x' and 'y'

Displaying number objects

>>> z = 3 \* x - 5 \* y

Snippet 4.3 includes some expressions whose result is not passed to a new variable (e.g., lines 8, 12, 16, 20). In those cases, the IPython session displays the outcome of the expression 'as is (e.g., 0.0547945205479452). However, a number with more than three or four decimals may not suit the table or report we have to prepare. Python has powerful string formatting capabilities to display number objects in a readable and nice manner. Table 4.5 illustrates various number formatting options with concrete cases. Format strings contain 'replacement fields' surrounded by curly braces {}. Anything that is not contained in braces is considered literal text, which is copied unchanged to the output. Snippet 4.4 presents a fully-fledged number formatting case. First, we assign the variable a to a floating-point number (line 2). Then, we pass the formatting option {:.2f} over the variable a using the Python built-in function format.

Number	Format	Output	Description
3.1415926	{:.2f}	3.14	Format float 2 decimal places
3.1415926	{:+.2f}	+3.14	Format float 2 decimal places with sign
-1	{:+.2f}	-1.00	Format float 2 decimal places with sign
2.71828	{:.0f}	3	Format float with no decimal places
5	{:0>2d}	05	Pad number with zeros (left padding, width 2)
5	$\{:x<4d\}$	5xxx	Pad number with x's (right padding, width 4)
10	$\{:x<4d\}$	10xx	Pad number with x's (right padding, width 4)
1000000	<b>{:,}</b>	1,000,000	Number format with comma separator
0.25	{:.2%}	25.00%	Format percentage
1000000000	{:.2e}	1.00e + 09	Exponent notation
13	{:10d}	13	Right aligned (default, width 10)
13	{:<10d}	13	Left aligned (width 10)
13	{:^10d}	13	Center aligned (width 10)

Table 4.5
Number Formatting Options in Python

```
Snippet 4.4 — number formatting in Python

# assign the variable 'a' to a floating-point number

>>> a = 0.67544908755

# displaying 'a' with the first two decimals only

>>> "{:.2f}".format(a)

"0.68"

# displaying 'a' with the first three decimals only

>>> "{:.3f}".format(a)

"0.675"
```

How do I compare number objects?

Comparisons are used frequently to create control flows, a topic we will discuss later in this chapter. Normal comparisons in Python regard two number objects and return a Boolean result. Chained comparisons concern three or more objects and, like normal comparisons, yield a Boolean result. Snippet 4.5 provides a sample of normal comparisons (between lines 1 and 15) and chained comparisons (between lines 21 and 30). As evident in the example, comparisons can regard both numbers and variables assigned to numbers. Chained comparisons can take the form of a range test (see line 21), a joined, 'AND' test of the truth of multiple expressions (see line 25), or a disjoined, 'OR' test of the truth of multiple expressions (see line 29).

```
Snippet 4.5 — comparing numeric objects
  # less than
  >>> 3 < 2
  False
  # greater than or equal
   >>> 1 <= 2
   True
   # equal
9
  >>> 2 == 2
11
  True
12
13 # not equal
<sub>14</sub> >>> 4 != 4
15 False
16
17
  # range test
_{18} >>> x = 3
  >>> y = 5
19
_{20} >>> z = 4
  >>> x < y < z
21
  False
22
23
24 # joined test
_{25} >>> x < y and y > z
26 True
27
_{28} # disjoined test
29
  >>> x < y or y < z
   True
```

#### 4.2 String Type Fundamentals

What is a string?

How do we use strings?

A Python string is a positionally ordered collection of other objects. Sequences maintain a left-to-right order among the items they contain: their items are stored and fetched by their relative positions. Strictly speaking, strings are *immutable sequences* of one-character strings; other, more general sequence types include lists and tuples, covered later.

Strings are used to record words, contents of text files loaded into memory, Internet addresses, Python source code, and so on. Strings can also be used to hold the raw bytes used for media files and network transfers and both the encoded and decoded forms of non-ASCII Unicode text used in internationalized programs.

Is abc a Python string?

String indexing and slicing

Nope. Python strings are enclosed in single quotes ('...') or double quotes ("...") with the same result. Hence, "abc" can be Python string, while abc cannot. abc can be a variable name, though.

The fact that strings are immutable sequences affects how we manipulate textual data in Python. In Snippet 4.6, we fetch the individual elements of S, a variable assigned to "Python 3.X." As per the builtin function len, S contains six unitary strings. That means that each element in S is associated with a position in the numerical progression  $\{0, 1, 2, 3, 4, 5\}$ . Now, you may be surprised to see the first element of the list is 0 instead of 1. The reason is that Python is a zero-based indexed programming language: the first element of a series has an index of 0, while the last element has an index len(obj) - 1. Fetching the individual elements of a string, such as S, requires passing the desired index between brackets, as shown in line 9 (where we get the first unitary string, namely, "P".), line 13 (where we get the last unitary string, namely, "X"), and line 21 (where we get the unitary string with index 3, i.e., the fourth unitary string appearing in S, "h"). Note that line 17 is an alternative indexing strategy to the one presented in line 13: it is possible to retrieve the last unitary string by counting 'backward'; that is, getting the first element starting from the right-hand side of the string, which equates to index -1. In lines 26 and 30, we exploit the indices of S to retrieve multiple unitary strings in a row. What we pass among brackets is not a single index. Instead, we specify a range of indices i:j. It is worth noticing that, in Python, the element associated with the lower bound index is returned, whereas the element associated with the upper bound index j is not. In line 26, we fetch the unitary strings between index 2 — equating to third unitary string of S — and index 5 excluded — namely, the fifth unitary string of S. In line 30, we adopt the 'backward' approach to retrieve the unitary string with index -3 — the third string counting from the right-hand side of S — as well as any other unitary strings following index -3. To do that, we leave the upper bound index blank.

#### Snippet 4.6 — Python strings as sequences # let us assign the string "Python 3.X" to the variable S 2 >>> S = "Python 3.X" 4 # check the length of S 5 >>> len(S) 8 # access the first unitary string in the sequence behind S 9 >>> S[0] 10 "P" 11 $_{12}$ # access the last unitary string in the sequence behind S 13 >>> S[len(S)-1] 14 "X" 15 16 # or, equivalently 17 >>> S[-1] 18 "X" 19 # access the i-th, e.g., 3rd, unitary string in the sequence behind S 21 >>> S[3] 22 "h" 23 # access the unitary strings between the i-th and j-th positions in the 25 # sequence behind S <sub>26</sub> >>> S[2:5] 27 "tho" 28 # access the unitary strings following the i-th position in the sequence 30 # behind S 31 >>> S[-3:] 32 "3.X"

Common string literals and operators

Snippet 4.6 deals with string indexing and slicing, two of the many operations we can carry out on strings. Table 4.6 reports a sample of common string literals and operators. The first two lines of Table 4.6 remind us that single and double quotes are equivalent when it comes to assigning a variable to a string object. However, we must refrain from mixing and matching single and double quotes. In other words, a string object requires the leading and trailing quotes are of the same type (i.e., double-double or single-single). In the interest of consistency, it is a good idea to make a policy choice, such as "in my Python code, I use double quotes only", and to stick with that throughout the various lines of the script. I prefer using double quotes because the single quote symbol is relatively popular in natural language (consider, for example, the Saxon genitive). As shown in the third line of Table 4.6, the single quote is treated as a unitary string insofar as double quotes are used to delimit the string object. Should the string object be delimited by single quotes, we should tell Python not to treat the single quote symbol after m as a Python special character but as a unitary string. To do that, we use the escape symbol  $\setminus$  as shown in the fourth line of Table 4.6. Table A.1 provides several escaping examples.

Table 4.6 Sample of String Literals and Operators

Literal/operation	Interpretation
S = ""	Empty string
S = ',	Single quotes, same as double quotes
S = "spam's"	Single quote as a string
S = 'spam\'s'	Escape symbol
length(S)	Length
S[i]	Index
S[i:j]	Slice
S1 + S2	Concatenate
S * 3	Repeat S $n$ times (e.g., three times)
"text".join(strlist)	Join multiple strings on a character (e.g., "text")
" $\{\}$ ".format()	String formatting expression
S.strip()	Remove white spaces
S.replace("pa", "xx")	Replacement
S.split(",")	Split on a character (e.g., ",")
S.lower()	Case conversion — to lower case
S.upper()	Case conversion — to upper case
S.find("text")	Search substring (e.g., "text")
S.isdigit()	Test if the string is a digit
S.endswith("spam")	End test
S.startswith("spam")	Start test
S = """multiline"""	Triple-quoted block strings

String manipulation tasks

Snippet 4.7 presents a sample of miscellaneous string manipulation tasks. In lines 6 and 9, we check the length of the variables S1 and S2. In line 13, we display five repetitions of S1. In line 17, we use the algebraic operator "+" to concatenate S1 and S2. In line 21, we expand on the previous input by separating S1 and S2 by a white-space. In line 25, we carry out the same task as line 17 — however, we rely on the built-in join function to join S1 and S2 with whitespace. The argument taken by join is a Python list, the subject of paragraph 5.3. In line 29, S1 and S2 are joined with a custom string object, namely, "Vs. ". Finally, in line 33, we use the built-in format function (see also Snippet 4.4) to display a string object including S1 and S2. For a comprehensive list of string methods, see Table A.2.

#### Snippet 4.7 — miscellaneous string manipulation tasks 1 # let us assign S1 and S2 to two strings 2 >>> S1 = "Python 3.X" 3 >>> S2 = "Julia" 5 # check the length of S1 and S2 6 >>> len(S1) 7 10 9 >>> len(S2) 10 5 12 # display the S1 repeated five times 13 >>> S1 \* 5 "Python 3.XPython 3.XPython 3.XPython 3.XPython 3.X" $_{16}$ # display the concatenation of S1 and S2 17 >>> S1 + S2 18 "Python 3.XJulia" $_{20}$ # display the concatenation of S1, whitespace, and S2 21 >>> S1 + " " + S2 22 "Python 3.X Julia" 23 $^{24}$ # display the outcome of joining S1 and S2 with a whitespace 25 >>> " ".join([S1, S2]) 26 "Python 3.X Julia" 27 $^{28}$ # display the outcome of joining S1 and S2 with an arbitrary string object 29 >>> " **Vs**. ".join([S1, S2]) 30 "Python 3.X Vs. Julia" 31 32 # string formatting 33 >>> "Both {} and {} have outstanding ML modules".format(S1, S2) 34 "Both Python 3.X and Julia have outstanding ML modules"

String editing

Snippet 4.8 illustrates some string editing tasks. In line 5, we use lstrip — a variation of the built-in function strip — that returns a copy of the string with leading characters removed. In line 9, we use the built-in replace to return a copy of the string with all occurrences of substring old (first argument taken by the function) replaced by new (second argument taken by the function). Finally, in line 17, we use the built-in function lower to return a copy of the string with all the cased characters converted to lowercase.

```
Snippet 4.8 — miscellaneous string editing tasks
  # let us assign S to a string object
  >>> S = "Both Python 3.X and Julia have outstanding ML modules"
  # strip target leading characters
  >>> S.lstrip("Both ")
  "Python 3.X and Julia have outstanding ML modules"
  # replace target characters
9 >>> S.replace("Python 3.X", "R")
10 "Both R and Julia have outstanding ML modules"
12 # split string on target characters
13 >>> S.split(" and ")
["Both Python 3.X", "Julia have outstanding ML modules"]
15
_{16} # make the string lower case
17 >>> S.lower()
  "both python 3.x and julia have outstanding ml modules"
```

Testing and searching strings

Snippet 4.9 presents a series of string test and search tasks. The built-in function find (see lines 5 and 9) returns the lowest index in the string where substring sub is found within the slice S[start:end] or -1 if substring is not found. The built-in function isdigit return True if all characters in the string are digits and there is at least one character, False otherwise. Finally, the built-in function endswith returns True if the string ends with the specified suffix, otherwise returns False.

```
Snippet 4.9 — miscellaneous string test and search tasks
# let us assign S to a string object
  >>> S = "The first version of Python was released in 1991"
  # search for "Python" in S
  >>> S.find("Python")
6 21
  # search for "Julia" in S
  >>> S.find("Julia")
10 -1
11
# slice the string the get Python's release year information
_{13} >>> SS = S[-4:]
14
15 # display SS
16 >>> SS
17 "1991"
18
19 # test if all characters in SS are digits
20 >>> SS.isdigit()
21 True
22
^{23} # test if all characters in SS are digits
24 >>> SS.isdigit()
25 True
26
27 # test if all characters in SS are digits
28 >>> SS.isdigit()
29 True
30
_{
m 31} # test if S ends with "1991" / SS
32 >>> S.endswith(SS)
  True
```

Multiline string printing

In the previous examples, we came across the builtin function print. Such a function can be used to print both number- and string-type objects. Sometimes, what we want to print fits into a single line. In other circumstances, we are interested in visualizing rich data, which can span multiple lines. Snippet 4.9 how to print objects across multiple lines with the triple-quoted block string (see line). As evident from the Python code included in lines 8-13, any line between triple-quotes is considered part of the same string object.

```
Snippet 4.9 — multiline string printing
  # single-line print
  >>> print("Hello world!")
  Hello world!
  # multi-line print
  >>> print(
               | COL B | ... | COL K
  ... Sheldon | Cooper
                                            | bazinga.com
  ... NOTES: this table has fake data
14
  ...)
15
16
17
  COL A
              | COL B
                                        | COL K
19
              | Cooper
                           | ...
                                        | bazinga.com
  Sheldon
20
  NOTES: this table has fake data
```

#### 4.3 List and Dictionaries

What is a list?

Why do we use lists?

What type of objects can we include in a list?

A Python list is an *ordered*, *mutable* array of objects. A list is constructed by specifying the objects, separated by commas, between square brackets, [].

Lists are just places to collect other objects so you can treat them as groups.

Lists can contain any sort of object: numbers, strings, and even other lists. See Snippet 4.10.

# Snippet 4.10 — sample lists with different items # an empty list >>> L = [] # a list with an integer, a float, and a string >>> L = [2, -3.56, "XyZ"] # a list with an integer and a list >>> L = [4, ["abc", 8.98]]

List indexing

We can retrieve one or more list component objects via indexing. That is possible because list items are ordered by their position (similarly to strings). Since Python is a zero-based indexed programming language, to fetch the first item of a list we have to call the index 0 (see Snippet 4.11, line 5). A list nested in another list can be fetched by using multiple indices (line 13). The first index refers to the outer list, while any subsequent index refers to an inner list. In our case, we have two indices, one for each list; that is, L and its sub-list ["abc", 8.98].

```
Snippet 4.11 — list indexing and slicing

1  # the list
2  >>> L = [4, ["abc", 8.98]]

3  # get the first item of L
5  >>> L[0]
6  4

7  # get the second element of L
9  >>> L[1]
10  ["abc", 8.98]

11  # get the first item of L's second item
13  >>> L[1][0]
14  "abc"
```

List mutability

Lists are mutable objects, which may be changed in place by assignment to offsets and slices, list method calls, deletion statements, and more. Snippet 4.12 illustrates some snippets to change a list's items. In the first part of the example, we change the items using indexing (line 5) and slicing (line 10). In the second half, we use Python's del statement to delete the items using indexing (line 15) and slicing (line 20).

#### Snippet 4.12 — changing and deleting list items in place 1 # the list 2 >>> L = ["Leonard", "Penny", "Sheldon"] # change the second item of L via indexing >>> L[1] = "Raj" 6 >>> print(L) ["Leonard", "Raj", "Sheldon"] 9 # change multiple items of L via slicing 10 >>> L[0:2] = ["Amy", "Howard"] 11 >>> print(L) ["Amy", "Howard", "Sheldon"] 13 $_{14}$ # delete the first item of L via indexing and using the 'del' statement 15 >>> del L[0] 16 >>> print(L) 17 ["Howard", "Sheldon"] 18 19 # delete multiple items of L via slicing and using the 'del' statement 20 >>> del L[0:2] 21 >>> print(L) 22 []

List manipulation with built-in methods

Python offers many methods to manipulate and test list objects. Table 4.7 reports some of the most popular methods along with synopses. The first three methods — .append(), .insert(), and .extend() — expand an existing list. The fourth method, .index test for the presence of an item in an existing list. Note this method raises a ValueError if there is no such item. The remaining methods produce in-place changes in an existing list's items.

Table 4.7 Popular list methods

Method	Synopsis
L.append(X)	Append an item to an existing list
L.insert(i, X)	Append an item to an exisint list in position $i$
L.extend([X0, X1, X2])	Extend an existing list with the items from another list
L.index(X)	Get the index of the first instance of the argument in an existing list
L.count(X)	Get the cardinality of an item in an existing list
L.sort()	Sort the items in an existing list
L.reverse()	Reverse the order of the items in an existing list
L.copy()	Get a copy of an existing list
L.pop(i)	Remove the item at the given position in the list, and return it
L.remove(X)	Remove the first instance of an item in an existing list
L.clear()	Remove all items in an existing list

Expanding an existing list

Both the .append() and .extend() methods can be used to expand an existing list as per Snippet 4.13. However, they accomplish different goals and should not be confused: .append() adds a new item (of any type) to the end of the list (see line 6); .extend() extend the list by appending all the items from another iterable (e.g., another list, see line 11).

```
Snippet 4.13 — methods for expanding an existing list

1  # create two lists
2 >>> L1 = ["Leonard", "Penny", "Sheldon"]
3 >>> L2 = ["Howard", "Raj", "Amy", "Bernadette"]

4  # expand an existing list with .append()
6 >>> L2.append("Priya")
7 >>> print(L2)
8  ["Howard", "Raj", "Amy", "Bernadette", "Priya"]

9  # concatenate L1 and L2 with .extend()
11 >>> L1.extend(L2)
12 >>> print(L1)
13  ["Leonard", "Penny", "Sheldon", "Howard", "Raj", "Amy", "Bernadette", "Priya"]
```

In-place change of an existing list's items

One of the most common list manipulation task consists of changing the order of an item's list. As shown in Snippet 4.14, it is possible to use the .reverse() method to reverse the elements of the list in place (see line 5), while sorting an item's list can be carried out with the .sort() method.

```
Snippet 4.14 — methods for changing list items in place

# create a list

>>> L = ["Howard", "Raj", "Amy", "Bernadette", "Priya"]

# reverse the list's item positions

>>> L.reverse()

>>> print(L)

["Priya", "Bernadette", "Amy", "Raj", "Howard"]

# sort the list's items

>>> L.sort()

>>> print(L)

["Amy", "Bernadette", "Howard", "Priya", "Raj"]
```

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### 4.4 Dictionaries

What is a dictionary?

Why do we use dictionaries?

What type of objects can we include in a dictionary?

How do we create a dictionary?

Along with lists, dictionaries are one of the most flexible built-in data types in Python. If you think of lists as ordered collections of objects, you can think of dictionaries as unordered collections; the chief distinction is that in dictionaries, items are stored and fetched by *key*, instead of by *positional offset*.

Dictionaries take the place of records, search tables, and any other sort of aggregation where item names are more meaningful than item positions.

Like lists, dictionaries can contain objects of any type, and they support nesting to any depth(they can contain lists, other dictionaries, and so on). Each key can have just one associated value, but that value can be a collection of multiple objects if needed, and a given value can be stored under any number of keys.

Snippet 4.15 shows two different ways to create a dictionary. A dictionary can be created by including key-value pairs among braces (see line 2). In the example, there are three keys, associated with Marvel characters, and as many values, which can be thought as the characters' position in an ideal power rank. A colon separates a key and its associated value. The second way to create a dictionary is based on Python's builtin dict, mapping key onto values, and zip, which iterates over two elements in parallel. Specifically, zip creates the one-to-one correspondence between keys (characters) and values (characaters' power) that is passed as the argument of dict. We will analyze the topic of iterations extensively in sections 4.10 and 4.11.

```
Snippet 4.15 — initializing a new dictionary object

# method 1

>>> D = {"Captain Marvel": 3, "Living Tribunal": 2, "One-Above-All": 1}

# method 2

>>> CHARACTERS = ["Captain Marvel", "Living Tribunal", "One-Above-All"]

>>> RANK = [3, 2, 1]

>>> D = dict(zip(CHARACTERS, RANK))

>>> print(D)

{"Captain Marvel": 3, "Living Tribunal": 2, "One-Above-All": 1}
```

Accessing a dictionary's values

Dictionaries' items cannot be accessed via positional offsets — like lists. Instead, we fetch the individual items by using the dictionary keys as shown in Snippet 4.16 (see line 5). The reference key is passed among brackets. When the dictionary at hand contains nested dictionaries (see line 9), it is possible to concatenate multiple queries, namely, sequences of keys between brackets (see line 21).

```
Snippet 4.16 — fetching dictionary items
  # the dictionary
  >>> D = {"Captain Marvel": 3, "Living Tribunal": 2, "One-Above-All": 1}
  # let's fetch Captain Marvel's position in the Marvel characters' power rank
  >>> D["Captain Marvel"]
  3
  # a dictionary of dictionaries
  >>> D = {
           "Dr. Strange": {
10
                    "first_appearance": 1963,
                    "created_by": "Lee & Ditko"
12
                    },
13
           "Iron Man": {
14
                    "first_appearance": 1963,
15
                    "created_by": "Lee, Lieber, Heck & Kirby"
16
17
                    },
       }
18
19
  # let us fetch the creator of Dr. Strange
21 >>> D["Dr. Strange"]["created_by"]
   "Lee & Ditko"
```

Are dictionaries mutable?

Dictionsries, like lists, are mutable. Thus, we can change, expand, and shrink them in place without making new dictionaries: simply assign a value to a key to change or create an entry. The del statement works here, too; it deletes the entry associated with the key specified as an index (see Snippet 4.17).

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```
Snippet 4.17 — dictionary mutability examples
  # the dictionary
  >>> D = {"Captain Marvel": 3, "Living Tribunal": 2, "One-Above-All": 1}
  # let us change the power rank for Captain Marvel
  >>> D["Captain Marvel"] = 12
6 >>> print(D)
  {"Captain Marvel": 12, "Living Tribunal": 2, "One-Above-All": 1}
  # let us eliminate the character Living Tribunal
10 >>> del D["Living Tribunal"]
11 >>> print(D)
12 {"Captain Marvel": 12, "One-Above-All": 1}
13
# let us add a further character
_{15} >>> D["Wanda Maximoff"] = 4
16 >>> print(D)
17 {"Captain Marvel": 12, "One-Above-All": 1, "Wanda Maximoff": 4}
```

Dictionary manipulation with built-in methods

Like for lists, Python offers many methods to manipulate dictionary objects. Table 4.8 reports some of the most common methods along with synopses. The first three methods, .keys() .values() .items(), get the constitutive elements of dictionaries: keys, values, and key-value pairs respectively. The fourth method, .get(key, default?) gets the value for a specific key. The fifth method, .update(), updates the value for a specific key. Like .update(), .popitem(), .pop(), and d.clear() alter the information of a dictionary in place. The first removes the value of a certain key; the second removes the item (a key-value pair) for a certain key; the latter delete all dictionary items. Finally, .copy() creates a shallow copy of an existing dictionary.

Table 4.8 Popular dictionary methods

Method	Synopsis
D.keys()	Get all dictionary keys
D.values()	Get all dictionary values
D.items()	Get all dictionary key-value pairs as tuples
<pre>D.get(key, default?)</pre>	Query a dictionary element by key
D.update(D2)	Update a dictionary key's value
<pre>D.popitem()</pre>	Remove the value corresponding to a certain key
<pre>D.pop(key, default?)</pre>	Remove the item at the given position in the list,
D.clear()	Delete all dictionary items
D.copy()	Copy the target dictionary

How do we access the information in a dictionary?

Snippet 4.18 shows how to use builtin methods to carry out three fundamental tasks: accessing dictionary keys (see line 5), values (see line 9), and items (i.e., key-value pairs, see line 13). It is worth noticing that the three methods illustrated in the example yield specific dictionary objects such as dict\_keys, dict\_values, and dict\_items. Translating one of these dictionary objects into a list — if needed — is straightforward (see line 17).

```
Snippet 4.18 — accessing the information included in a dictionary
  # the dictionary
  >>> D = {"Captain Marvel": 3, "Living Tribunal": 2, "One-Above-All": 1}
  # get the keys
  >>> D.keys()
  dict_keys(["Captain Marvel", "Living Tribunal", "One-Above-All"])
  # get the values
  >>> D.values()
10 dict_values([3, 2, 1])
11
12 # get the items
13 >>> D.items()
dict_items([("Captain Marvel", 3), ("Living Tribunal", 2), ("One-Above-All", 1)])
15
16 # get the keys as a list
17 >>> list(D.keys())
  ["Captain Marvel", "Living Tribunal", "One-Above-All"]
```

### 4.5 Tuples

What is a tuple?

Tuples are immutable!

Why do we use tuples?

Tuples are sequences of immutable Python objects. They are similar to lists, but they are immutable. Tuples are created by enclosing a comma-separated list of values in parentheses.

Tuples are immutable, which means that once they are created, they cannot be changed!!

Tuples are useful for storing data that is not to be changed, such as the coordinates of a point in a two-dimensional space. In general, we use tuples any time information integrity is a concern — in other words when we want to make sure the information included in an object will not change because of another reference somewhere in our program.

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How do we create a tuple?

Python objects, separated by a comma, must be included between parentheses (see Snippet 4.19, line 2).

How do we access the information in a tuple?

By positional offsets, like lists (see Snippet 4.19, lines 5 and 9).

```
Snippet 4.19 — creating and accessing a tuple

# the tuple

>>>> T = ("Captain Marvel", 3)

# access a tuple element

>>>> T[0]

"Captain Marvel"

# access a tuple element

>>>> T[1]

3
```

Can we convert a tuple into a list?

Yes, we can. To do that, we pass the tuple as the argument of list (see Snippet 4.20).

Tuples with the collections module

collections is a module that is shipped with Python and provides data containers that are alternative to Python's general purpose built-in containers, i.e., dict, list, set, and tuple. One of these containers can be created with the function namedtuple (see Snippet 4.21), which allows annotating the tuple items with names. In line 2, we import the function namedtuple from the collections module. In line 5, we create an ad hoc class that best represents the structure of our sample data, concerning Marvel characters' names and the year in which they first appeared in the comic series. The first argument taken by the function is customary and regards the name of the class we are about to create. The second argument is a list with the names of the attributes included in our data structure. In line 8, we use the newly created class Rec to create a tuple, which is eventually printed as per line 11.

# Snippet 4.21 — creating an annotated tuple with the collection module # import the namedtuple function from the module collection >>> from collections import namedtuple # create an ad hoc class object 'Rec' that fits our data structure >>> Rec = namedtuple("Rec", ["character", "first\_appearance"]) # use the generated class "Rec" >>> IRONMAN = Rec("Iron Man", 1963) # A named-tuple record >>> IRONMAN Rec(character="Iron Man", first\_appearance=1963)

### 4.6 Sets

What is a set?

What does it mean that sets are unordered collections?

What does it mean that sets have unique items?

A set is an *unordered* collection of *unique* and *im-mutable* objects.

By design, set is a data structure with undefined element ordering (see Snippet 4.22 — the outcome included in line 6 does not follow any particular order).

By definition, an item appears only once in a set, no matter how many times it is added (see Snippet 4.22, line 2 Vs. line 7).

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```
Snippet 4.22 — creating a set

1  # create a list
2 >>> L = ["a", "a", "b", "c", "c"]

3  # get a set from L
5 >>> S = set(L)
6 >>> print(S)
7  {"b", "a", "c"}
```

Why do we use sets?

Sets made this way support common mathematical set operations (see Snippet 4.23). Hence, they have a variety of applications, especially in numeric and database-focused work.

```
Snippet 4.23 — set operations
1 # create two sets
2 >>> X = set(["a", "b", "c"])
3 >>> Y = set(["c", "d", "e"])
5 # set difference
6 >>> X - X
7 set()
8 >>> X - Y
9 {"a", "b"}
10
11 # union
12 >>> X | Y
13 {"a", "b", "c", "d", "e"}
14
15 # intersection
16 >>> X & Y
17 {"c"}
18
19 # superset
20 >>> X > Y
21 False
22
23 # subset
24 >>> X < Y
25 False
```

### 4.7 Files

How do the files in our OS relate with Python?

How do we open a file?

How do we source the data stored in a file?

Our Python program may involve input and/or output operations. In other words, we may want to read data from a file stored in our machine and/or write the outcome of our analysis to a file. The built-in function open creates a Python file object, which serves as a link to a file residing on your machine. As Lutz notes:

"Compared to the types you've seen so far, file objects are somewhat unusual. They are considered a core type because they are created by a built-in function, but they're not numbers, sequences, or mappings, and they don't respond to expression operators; they export only methods for common file-processing tasks" (page 282)

We open a pipe to a file using the built-in function open. The output of the function is a file object.

We open a pipe to a file using the built-in function open. The output of the function is a file object. Snippet 4.24 illustrates how to use open for data sourcing. In the first part of the snippet, we create a file object to read the data included in the existing file my\_file.txt.<sup>5</sup> At least, we have to pass one argument to open: the path pointing to the file. A second optional argument is mode, which specifies the mode in which the file is opened to source. It defaults to r, which means open for reading in text mode. Other common values are w for writing,<sup>6</sup> x for exclusive creation, and a for appending. <sup>7</sup> To read a file's contents, we use the .read() method (see line 9), returning a string object (see line 10).

```
Snippet 4.24 — data input with open

1  # create a pipe to a file
2  >>> file = open(file="my_file.txt", mode="r")

3  # calling "file" yields the attributes of the file object
5  >>> file
6  <_io.TextIOWrapper name="my_file.txt" mode="r" encoding="UTF-8">
7  # let us source the data
9  >>> data = file.read()
10  >>> print(data)
```

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```
Hi there

12
13 # close the pipe
14 >>> file.close()
```

How do we write the data in the current Python session to a file?

Snippet 4.25 illustrates how to use open for data writing. In the first part of the snippet, we create three strings — i.e., the information we are manipulating in the active Python session (see lines 2, 4, and 6). Then, we create a file object in 'writing' mode (see the value passed to mode, line 9). Finally, we manipulate the three strings (as a sample task, in line 12, we concatenate FIRSTLAW, SECONDLAW, THIRDLAW) and write the result to a file (line 16).

```
Snippet 4.25 — data output with open
  # the strings (data) to save permanently to a file
  >>> FIRSTLAW = "A robot may not injure a human being or, through inaction, "\
                  "allow a human being to come to harm."
  >>> SECONDLAW = "A robot must obey the orders given it by human beings except "\
                  "where such orders would conflict with the First Law."
  >>> THIRDLAW = "A robot must protect its own existence as long as such "\
                  "protection does not conflict with the First or Second Law."
  # create a pipe to a file
  >>> file = open(file="my_file.txt", mode="w")
10
11
12 # concatenate the strings
  >>> TO_WRITE = "\n".join([FIRSTLAW, SECONDLAW, THIRDLAW])
13
15 # write the concatenated strings
  >>> file.write(TO_WRITE)
16
17
  # close the pipe
18
  >>> file.close()
```

How about reading a single line from a file?

Hold on: what is a line? A string whose last character is \n. We can read a single line from a file using the .readline() method (see Snippet 4.26). Such a method starts by reading the first line included in the file (see line 11); then, it reads any subsequent lines included in the file (see line 15); when it reaches the end of the file (EOF), it returns the empty string "" (see line 19).

### Snippet 4.26 — reading one line at a time with .readline() # the strings (data) to save permanently to a file 2 >>> DATA = "The first line\nThe second line" # create a pipe to a file and write DATA 5 >>> file = open(file="my\_file.txt", mode="w") 6 >>> file.write(DATA) >>> file.close() # read one line from the file 10 >>> file = open(file="my\_file.txt", mode="r") 11 >>> file.readline() "The first line\n" 13 # calling file.readline() again reds the subsequent line 15 >>> file.readline() "The second line" $_{18}$ # ... and so on until the end of the file is reached >>> file.readline() 19 20

How about reading multiple lines at a time?

The .readlines() method reads the lines from a file and returns them as a list (see Snippet 4.27).

```
Snippet 4.27 — reading multiple lines at a time with .readlines())

# the strings (data) to save permanently to a file

>>> DATA = "A\nB\nC\nD"

# create a pipe to a file and write DATA

>>> file = open(file="my_file.txt", mode="w")

>>> file.write(DATA)

>>> file.close()

# read multiple lines

>>> file = open(file="my_file.txt", mode="r")

>>> file.readlines()

['A\n', 'B\n', 'C\n', 'D']

# equivalently to the previous line, we can use 'list'

['A\n', 'B\n', 'C\n', 'D']
```

What are the most common file methods?

Table 4.9 illustrates some key file methods' names and their corresponding synopsis.

### 4.8 Python Statements and Syntax

Table 4.9 Popular file methods

Method	Description
file.close()	Closes the file
file.detach()	Returns the separated raw stream from the buffer
<pre>file.fileno()</pre>	Returns a number that represents the stream as per the OS' perspective
<pre>file.flush()</pre>	Flushes the internal buffer
<pre>file.isatty()</pre>	Returns whether the file stream is interactive or not
file.read()	Returns the file content
<pre>file.readable()</pre>	Returns whether the file stream can be read or not
<pre>file.readline()</pre>	Returns one line from the file
<pre>file.readlines()</pre>	Returns a list of lines from the file
file.seek()	Change the file position
file.seekable()	Returns whether the file allows us to change the file position
file.tell()	Returns the current file position
<pre>file.truncate()</pre>	Resizes the file to a specified size
<pre>file.writable()</pre>	Returns whether the file can be written to or not
<pre>file.write()</pre>	Writes the specified string to the file
file.writelines()	Writes a list of strings to the file

Notes: file is a fictionary object used to illustrate the usage of the file methods.

What is a Python statement?

In his popular book 'Learning Python,' Lutz provides a concise and effective description of what a Python statement is:

In simple terms, statements are the things you write to tell Python what your programs should do. If, as suggested [omitted], programs "do things with stuff," then statements are the way you specify what sort of things a program does. Less informally, Python is a procedural, statement-based language; by combining statements, you specify a procedure that Python performs to satisfy a program's goals.

What are Python's statements?

Table 4.10 illustrates common Python statements, their role, and application examples. Some of these statements were used in the examples considered so far. Other statements — the majority — will be faced in the next sections of the current chapter and/or in the subsequent chapters.

Table 4.10 Python Statements

Statement	Role	Example
import	Module access	import math
from	Attribute access	from math import sqrt
class	Building ad hoc objects	class Subclass(Superclass): def method(self): pass
del	Deleting references	del a
Assignment	Creating references	a = "before b"
Calls and other expressions	Running functions	file.write("Hello")
print	Printing objects	print("Hello")
if/elif/else	Selecting actions	<pre>if "abc" in text: print(text)</pre>
for/else	Iteration	for x in mylist: $print(x)$
while/else	General loops	while X > Y: print("Hello")
pass	Empty placeholder	while True: pass
break	Loop exit	while True: if exit test(): break
continue	Loop continue	while True: if skiptest(): continue
def	Functions and methods	<pre>def f(a, b, c=1, *d): print(a+b+c+d[0])</pre>
return	Functions results	<pre>def f(a, b, c=1, *d): return a+b+c+d[0]</pre>
yield	Generator functions	def gen(n): for i in n: yield i*2

### 4.9 Control Flow (or If-Then Statements)

What is control flow in Python?

Many Python statements we write are compound statements: there is one statement nested inside another. The outer statement is called the 'if' statement and the inner statement is called the 'then' statement. The 'if' statement is used to determine whether to execute the 'then' statement. Specifically, the 'then' statement is executed insofar as the 'if' statement evaluates to 'True.' Snippet 4.28 illustrates a control flow case, a simple rule-based product recommender that suggests products based on users' purchasing patterns. If product x belongs to a user's set of past purchases, then a certain item is recommended; otherwise, no recommendation is offered (see lines 7 and 8, containing the else statement).

### Snippet 4.28 — an example of control flow in Python # a set with a customer's past purchases >>> S = set(["a", "x", "u"]) # a rule-based product recommender >>> if "x" in S: ... print("Customers who bought x also bought Air Jordan 7 Retro Miro") ... else: ... pass Customers who bought x also bought Air Jordan 7 Retro Miro

End of line  $\rightarrow$  end of the statement

The ':' character is required for nested statements!

Indentation has substantive meaning in Python!

Any Python statements are contained in the same line — the end of the line equates to the statement end. In the interest of redundancy, Python statements do not traverse multiple lines. In Snippet 4.28, the if statement is in line 5; the then statement is in line 6.

The colon character is required to separate the if statement from the 'then' statement (see Snippet 4.28 line 5).

'Then' statements are indented (with a tab or four consecutive spaces). Do not creatively use indents to embellish your code — that is not consistent with Python's rules and design principles (see Snippet 4.28 line 6).

End of indentation  $\rightarrow$  end of nested statements

Multiple 'then' statements

'Then' statements are indented (with a tab or four consecutive spaces). In Snippet 4.28, the indentation in line 6 makes lines 5 and 6 to be evaluated together.

Exmple 4.29 shows how to use elif to concatenate multiple 'if-then' statements in the same control flow. Like in Snippet 4.28, the 'else' statement defines the residual behavior of the control flow; that is, what Python does when both the 'if' and 'elif' statements evaluate to 'False.'

## Snippet 4.29 — an example of control flow with multiple 'if-then' statements in Python # a list with a customer's past purchases >>> S = set(["a", "w", "u"]) # a rule-based product recommender >>> if "x" in S: ... print("Customers who bought x also bought Air Jordan 7 Retro Miro") ... elif "w" in S: print("How about Converse Chuck Taylor All Star?") ... else: print("Falling short of suggestions --- I'm a dull recommender!") How about Converse Chuck Taylor All Star?

Nested if-then statements

In Python, it is possible to nest an if-then statement into another. In Snippet 4.30, the 'if' statements in lines 6 and 8 are nested inside the 'if' statement in line 5. It is worth noticing that lines 7 and 9 — regarding 'then' statements — are indented twice because they terminate distinct if-then statements nested in the broader if-then statement commencing on line 5.

```
Snippet 4.30 — an example of nested control flow in Python
  # a list with a customer's past purchases
  >>> S = set(["a", "x", "b"])
  # a rule-based product recommender
  >>> if "x" in S:
           if "a" in S:
   . . .
               print("Customers who bought x & a also bought Air Force")
           elif "u" in S:
               print("Customers who bought x & u also bought Air Max 95")
   . . .
10
   ... else:
11
          print("Falling short of suggestions --- I'm a dull recommender!")
  Customers who bought x & a also bought Air Force
```

### 4.10 While and For Loops

Looping!

How do we write loops in Python?

What is the difference between for and while statements?

Oftentimes, we write Python statements that repeat a same task — i,e., they loop a certain number of times or over multiple items.

Using for and while statements.

The while statement provides a way to code general loops. The for statement is designed for stepping through the items in a sequence or other iterable object and running a block of code for each.

```
Snippet 4.31 — while loop examples
1 # loop until reaching a numeric threshold
  >>> i = 0
3 >>> while i <= 3:
           print(i)
           i = i + 1
   . . .
   0
  1
  2
  3
10
# loop until an empty string is returned
12 >>> x = "Indiana Jones"
13 >>> while x != "":
           print(x)
14 ...
           x = x[1:]
15 . . .
16 . . .
17 Indiana Jones
18 ndiana Jones
19 diana Jones
20 iana Jones
21 ana Jones
22 na Jones
23 a Jones
24 Jones
25 Jones
26 ones
27 nes
28 es
  s
```

while loops in action

for loops in action

11 >>> print(output)

12 [3, 9, 2]

Snippet 4.32 — a for loop example

while statements run a code block insforar as a test evaluates to True. In the upper section of Exmple 4.31, we assing a i to a number. Then we create a for loop with the following elements: the first one is a statementing testing wether i is smaller or equal 3 (see line 3); the second element is the loop body (indented), which is repeated as long as the test evaluates to True. It is worth noticing that every iteration of the loop body produces a unitary increase in i — therefore, the program leaves the loop after four iterations. In the lower section of Snippet 4.31, we assigng the variable x to a string (line 13), which we eventually print (line 14) and slice (line 15) until we get an empty string (line 13x).

for steps through a sequence of items and carries out a task. In the upper section of Snippet 4.32, we print the result of a mathematical operation that is deployed over the items of a list (an example of Python iterable object). The code included in line 2 assigngs temporarily the variable item to an element of iterable. Then, the code block (indented) is executed over the temporary object. In the lower section of Snippet 4.32, the execution of the loop operates a mathematical expression over the items of a first list and appends the outcome to a second list (line 10).

```
# print the result of a mathematical operation carried out over a list of items
>>> for item in [0, -99, 13, 6.54]:
...    print(item ** 0.5)

# run a mathematical operation on a list of items and append the outcome
# to a second list
>>> input = [2, 8, 1]
>>> output = []
>>> for item in input:
...    output.append(item + 1)
```

for loops with dictionaries

Like lists, dictionaries are iterable objects. In the upper section of Snippet 4.33, we create a dictionary and iterate over its items printing a simple predicate. As we know from section 4.4, we access a dictionary's values by keys. Hence, in line 5, we retrieve the keys of D. Then, in line 12, we fetch the value of the temporary object k, namely, D[k]. Particularly, we print the temporary object k, the string object IS, and the value associated with k; that is, D[k]. In Snippet 34, we accomplish the same task of Snippet 33. However, the loop regards a dictionary's items—i.e., key-value pairs—instead of keys (that is self-evident from the comparison of Snippet 33's line 55 and Snippet 34's line 5).

```
Snippet 4.33 — looping on dictionary keys

1  # the dictionary
2 >>> D = {"Thor": "Asgardian", "Vision": "android", "Wanda Maximoff": "human"}

4  # get the keys of D
5 >>> keys = D.keys()
6 >>> print(keys)
7  dict_keys(['Thor', 'Vision', 'Wanda Maximoff'])

8  # iterate over the keys to fetch the dictionary values and do something
10  # with them
11 >>> for k in keys:
12  ... print(k + " IS " + D[k])
13 Thor IS Asgardian
14 Vision IS android
15 Wanda Maximoff IS human
```

```
Snippet 4.34 — looping on dictionary items

# the dictionary

>>> D = {"Thor": "Asgardian", "Vision": "android", "Wanda Maximoff": "human"}

# get the items of D

>>> items = D.items()

# iterate over key-value pairs and do something with them

>>> for k, v in items:

... print(k + " IS " + v)

Thor IS Asgardian

Vision IS android

Wanda Maximoff IS human
```

### Counter for loops

The built-in class range provides an immutable sequence that is particularly helpful for loops that repeat an action a certain number of times. Snippet 35 shows an example of a for loop with range.

### 

### Nested for loops

A Python statement that contains multiple for loops is a nested for loop. Mainly, a for loop allows to carry out a task over the elements of two iterables jointly. The outer loop considers the individual items of the first iterable (see Snippet 4.26, line 6); the inner loop (indented) considers the individual items of the second list (see line 7). Once we have created a pair of temporary objects, we can do something with it (see line 8).

```
Snippet 4.36 — a nested loop example
  # the lists
  >>> LETTERS = ["x", "y", "z"]
  >>> COLORS = ["blue", "green", "red"]
  # create all permutations of letters and colors and print them
  >>> for i in LETTERS:
           for j in COLORS:
               print(i, " - ", j)
     <->
  X
          blue
  X
     <->
           green
10
  X
     <->
          red
     <-> blue
12
  у
     <-> green
  У
14
     <-> red
  У
     <-> blue
15
  Z
16
  z
     <-> green
  z
     <-> red
```

Nested for loops Vs. zip for loops

Contrarily to the nested for loops, which considers all permutations containing multiple iterables' items, the built-in zip steps through several iterables in parallel, producing tuples with an item from each one. As shown in Snippet 4.37, there is neither an inner nor an outer for loop in this case — instead, there is a single loop considering two temporary objects, i and j, that occupy the same position in the offset of the iterables at hand (see line 6; the first item from the first iterable goes with the first item from the second iterable, the second item from the second iterable, and so on and so forth).

```
Snippet 4.37 — looping over two iterables in parallel with zip

# the lists

>>> LETTERS = ["x", "y", "z"]

>>> COLORS = ["blue", "green", "red"]

# create one-to-one matches of items and do something with them

>>> for i, j in zip(LETTERS, COLORS):

... print(i, " <-> ", j)

x <-> blue

y <-> green

z <-> red
```

### 4.11 Iterations and Comprehensions

Over and beyond while and for loops

Why do we use list comprehensions?

How do we create list comprehensions?

As we know from the previous section, while and for loops can handle most repetitive tasks programs need to perform. However, Python provides further tools to make loops easier to write/read and more efficient. One of the most prominent tools is list comprehension.

To create a list containing the outcome of an action repeated over an iterable's items (see Snippet 4.38, line 14).

We include a Python statement containing a for clause among brackets (see Snippet 4.38, line 12).

### 

How do we implement a nested for loop in list comprehensions?

As Snippet 4.39 shows, a nested for loop becomes a one-liner in a list comprehension. The first for clause in line 7 would correspond to the outer for loop reported in Snippet 4.36, whereas the second for clause in line 7 would correspond to the inner for loop reported in Snippet 4.36.

```
Snippet 4.39 — nested for loop with list comprehensions
1 # the lists
  >>> LETTERS = ["x", "y", "z"]
  >>> COLORS = ["blue", "green", "red"]
  # implementing a nested for loop with a list comprehension
  >>> LETTER2COLOR = ["{} <-> {}".format(i, j) for i in LETTERS for j in COLORS]
  ['x <-> blue',
    'x <-> green',
9
    'x <-> red',
    'y <-> blue',
10
11
    'y <-> green',
    'y <-> red',
12
    'z <-> blue',
13
    'z <-> green',
    'z <-> red']
```

Can we use the zip generator within a list comprehension?

Yes, we can. To do that, the for clause must consider two iterables at once (see Snippet 4.40, line 6).

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### Snippet 4.40 — looping over two iterables in parallel with zip and list comprehension # the lists >>> LETTERS = ["x", "y", "z"] >>> COLORS = ["blue", "green", "red"] # implementing a nested for loop with a list comprehension >>> LETTER2COLOR = ["{} <-> {}".format(i, j) for i, j in zip(LETTERS, COLORS)] ['1 <-> blue', '2 <-> green', '3 <-> red']

Can we use embed control flow in a list comprehension? Yes, we can. To do that, the for clause must be preceded by an if statement and, at least, an else statement (see for example Snippet 4.40's line 22).

```
Snippet 4.41 — control flow in list comprehensions
# import the function log from math
2 from math import log
# the object to manipulate
  >>> L1 = [0, 1, 2]
  # the for loop way
  # --+ the empty list
  L2 = []
10 # --+ the for loop appending the log of some items
11 >>> for i in L1:
12 ... if i > 0:
13 ...
               L2.append(log(i))
14 . . .
           else:
15 . . .
               L2.append(log(i + 0.001))
16 # --+ print the list
17 >>> print(L2)
<sub>18</sub> [-6.907755278982137, 0.0, 0.6931471805599453]
19
20 # the list comprehension way
21 # --+ the list comprehension is a one-liner!
_{22} >>> L2 = [log(i) if i > 0 else log(i + 0.001) for i in L1]
_{23} # --+ print the list
24 >>> print(L2)
  [-6.907755278982137, 0.0, 0.6931471805599453]
```

### Notes

<sup>&</sup>lt;sup>1</sup>Lutz, Mark. Learning Python: Powerful object-oriented programming. O'Reilly Media, Inc., 2013.

<sup>&</sup>lt;sup>2</sup>Floating numbers are stored in binaries with an assigned level of precision that is typically equivalent to 15 or 16 decimals.

 $<sup>^3</sup>$ As per the documentation of the Python programming language, math cannot be used with complex numbers.

<sup>&</sup>lt;sup>4</sup>The official Python documentation has an extensive section on operator precedence rules in the section dedicated to syntax of expressions

<sup>&</sup>lt;sup>5</sup>For the sake of simplicity, we assume the target file is located in the same directory as the Python script.

<sup>&</sup>lt;sup>6</sup>By deafult, w truncates the file if it already exists

<sup>&</sup>lt;sup>7</sup>If encoding is not specified the encoding used is platform-dependent. Specifically, locale.getpreferredencoding(False)

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is called to get the current locale encoding. Character encoding is the process of assigning numbers to graphical characters, especially the written characters of human language, allowing them to be stored, transmitted, and transformed using digital computers.

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### Chapter 5

### Technical & Scientific Computation with NumPy

Learning goals:

- •
- •

### 5.1 Installing NumPy

Does NumPy come with the official Python installation file?

I am an Anaconda user: do I need to install NumPy 'separately'?

How do I install NumPy?

No, it does not. You need to install it separately using the package manager pip.

No, you do not. NumPy is included in 'base', the default environment of Anaconda. However, if you create a new environment, you need to install NumPy — as well as all the other modules you need — with the package manager conda.

The easiest way is using the command line. Anaconda users run \$ conda install numpy scipy, whereas Python official release users run \$ pip install numpy scipy. Having said that, Anaconda users can also install the modules they need from within Anaconda-Navigator.

### 5.2 NumPy ndarray

What is a NumPy ndarray?

Put simply, an ndarray is a data container, like dictionaries and lists.

Can **ndarrays** contain objects of different type?

How do we create an ndarray?

No, they cannot. An **ndarray** must contain homogenous items; that is, items of the same type.

As shown in Snippet 5.1, we pass an object to numpy.array. If the object we pass is a scalar, a 0-dimensional array containing object is returned (line 5). Passing a list to numpy.array produces a one-dimensional array (line 9); passing a list of lists produces a two-dimensional array (line 13); finally, passing a list of lists of lists produces a three-dimensional array (line 18).

```
Snippet 5.1 — creating an ndarray
  # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # a O-D array
  >>> np.array(0)
  array(0)
  # a 1-D array
  >>> np.array([1, 2, 3, 4])
  array([1, 2, 3, 4])
10
11
12 # a 2-D array
  >>> np.array([[1, 2], [3, 4]])
  array([[1, 2],
          [3, 4]])
15
16
17
  # a 3-D array
  >>> np.array([[[1, 2], [3, 4]], [[5, 6], [7, 8]]])
18
  array([[[1, 2],
19
           [3, 4]],
20
21
          [[5, 6],
22
            [7, 8]])
23
```

What are the distinctive features of ndarrays?

ndarrays have been designed — and tuned over time — with flexibility and efficiency in mind. For example, ndarrays allow to carry out computations on arrays with a syntax similar to scalar values. As shown in Snippet 5.2, we can multiply an array by a scalar (line 10) as well as add two vectors (14). That is not possible if we use pure Python code. Multiplying a list by a scalar N replicates the ordered collection of items N times (see line 23). Adding two lists yields concatenation (see line 30). At the same time, ndarrays support the analysis of large volumes of data<sup>8</sup>.

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Snippet 5.2 — NumPy allows to manipulate vectors with an expressive syntax

```
# import numpy with the socially accepted alias 'np'
2 import numpy as np
4 # generate some random
5 >>> DATA = np.random.randn(3)
6 >>> print(DATA)
  [-0.44144029 -0.44451097 0.31997294]
9 # can we multiply a list by a scalar with NumPy? Of course!
10 >>> print(DATA * 3)
[-4.4144029 , -4.44510974, 3.19972941]
# can we sum two arrays with NumPy? Of course!
14 >>> print(DATA + DATA)
<sub>15</sub> [-0.88288058, -0.88902195, 0.63994588]
16
# let us try to replicate the previous tasks in pure Python?
_{18} # --+ get the DATA as a list
19 >>> DATA = list(DATA)
20 >>> print(DATA)
<sub>21</sub> [-0.4414402896845323, -0.4445109735283278, 0.31997294069261617]
_{
m 22} # --+ is the NumPy syntax of line 10 still valid if we use a list? Nope
23 >>> print(DATA * 3)
24
25 -0.4414402896845323, -0.4445109735283278, 0.31997294069261617,
  -0.4414402896845323, -0.4445109735283278, 0.31997294069261617,
27 -0.4414402896845323, -0.4445109735283278, 0.31997294069261617
28
29 # --+ is the NumPy syntax of line 14 still valid if we use a list? Nope
30 >>> print(DATA + DATA)
31
32 -0.4414402896845323, -0.4445109735283278, 0.31997294069261617,
33 -0.4414402896845323, -0.4445109735283278, 0.31997294069261617
34
```

How do I check an array's number of dimensions?

How do I check an array's shape?

In Snippet 5.3, we saw NumPy infers an ndarrays's number of dimensions from the data. Snippet 5.3 shows how to access .ndim, the ndarrays's attribute concerning the number of dimensions. In the example, DATA has two dimensions (e.g., coordinates).

The lower section of Snippet 5.3 shows how to access .shape, the ndarrays's attribute concerning the shape. In the example, each dimension of the DATA has size 2.

# Snippet 5.3 — an array's number of dimensions and shape # import numpy with the socially accepted alias 'np' >>> import numpy as np # the data >>> DATA = np.array([[1, 2], [3, 4]]) >>> print(DATA) [[1 2] [3 4]] # get the number of dimensions >>> DATA.ndim 2 # get the shape >>> DATA.shape [6 (2, 2)

What are the attributes of an array?

Table 5.1 illustrates the common use attributes of ndarrays.

TABLE 5.1 Common Use Attributes of NumPy array

Attribute	Synopsis
DATA.flags	Information about the memory layout of the array
DATA.shape	Tuple of array dimensions
DATA.strides	Tuple of bytes to step in each dimension when traversing an array
DATA.ndim	Number of array dimensions
DATA.data	Python buffer object pointing to the start of the array's data
DATA.size	Number of elements in the array
DATA.itemsize	Length of one array element in bytes
DATA.nbytes	Total bytes consumed by the elements of the array
DATA.dtype	Data-type of the array's elements

Notes: DATA is a fictionary object used to illustrate the usage of the array attributes.

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I know that a NumPy array must contain homogenous data — but which object types are allowed?

How do I specify the data type of an array?

Table 5.2 reports the NumPy data types. Python beginners are not supposed to appreciate the distinctive attributes of each type. Instead, they may want to get a clear understanding of the high-level types, namely, floating points, complex, integer, boolean, string, or general Python objects. When it comes to working on sophisticated projects, requiring more control over the storage types, then it is highly suggested to get a thorough knowledge of the types in Table 5.2. It is worth noticing that dtypes are a source of NumPy's flexibility for interacting with data coming from other systems. In most cases, they provide a mapping directly onto an underlying disk or memory representation, which makes it easy to read and write binary streams of data to disk and also to connect to code written in a low-level language like C or Fortran. The numerical dtypes are named the same way: a type name, like float or int, followed by a number indicating the number of bits per element. A standard double-precision floating-point value takes up 8 bytes or 64 bits. Thus, this type is known in NumPy as float64.

As Snippet 5.4 shows, dtype is an optional argument of ndarray. It is also possible to change dtype using the method .astype().

```
Snippet 5.4 — specifying a nd changing dtype
  # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # accept the default type
  >>> A = np.array([1, 2, 3, 4, 5])
  # check the type
  >>> A.dtype
  dtype('int64')
10
11 # specify the type
  >>> A = np.array([1, 2, 3, 4, 5], dtype=np.int32)
12
13
14 # check the type
15 >>> A.dtype
16 type('int32')
17
18 # type change
19 >>> S = np.array(['1.25', '-9.6', '42'], dtype=np.string_)
20 >>> S = S.astype(float)
21 >>> S.dtype
  dtype('float64')
```

TABLE 5.2 NumPy Data Types

Type	Type Code	Synopsis
int8, uint8	i1, u1	Signed and unsigned 8-bit (1 byte) integer types
int16, uint16	i2, u2	Signed and unsigned 16-bit integer types
int32, uint32	i4, u4	Signed and unsigned 32-bit integer types
int64, uint64	i8, u8	Signed and unsigned 64-bit integer types
float16	f2	Half-precision floating point float32 f4 or f Standard single-
		precision floating point; compatible with C float
float64	f8 or d	Standard double-precision floating point; compatible with C
		double and Python
float object	float $128 \text{ f} 16 \text{ or g}$	Extended-precision floating point
complex64, complex128, complex256	c8, c16, c32	Complex numbers represented by two 32, 64, or 128 floats,
		respectively
bool	.?	Boolean type storing True and False values
object	0	Python object type; a value can be any Python object
string	$\infty$	Fixed-length ASCII string type (1 byte per character); for
		example, to create a string dtype with length 10, use 'S10'
unicode	U	Fixed-length Unicode type (number of bytes platform spe-
		cific); same specification semantics as string- (e.g., 'U10')

### 5.3 Array Creation Routines

Does NumPy offer recipes for creating arrays?

Creating arrays from shape or value

Yes, it does. NumPy has seven families of arraycreating routines:

- From shape or value
- From existing data
- Creating record arrays (np.rec)
- Numerical ranges
- Building matrices
- The Matrix class

This family of routines creates arrays with a certain number of dimensions, shape, values, and attributes. Snippet 5.5. shows how to create:

- an array with a certain shape and a constant scalar (see lines 5 .zeros, 12 .ones, 19 full), and
- an array containing an identity matrix (see lines 26 .eye and 33 .identity)

### Snippet 5.5 — creating arrays from shape or value # import numpy with the socially accepted alias 'np' 2 >>> import numpy as np # create an array with zeros only 5 >>> np.zeros([4,4]) 6 array([[0., 0., 0., 0.], [0., 0., 0., 0.],[0., 0., 0., 0.],[0., 0., 0., 0.]]) 9 10 # create an array with ones only |12| >>> np.ones((4,4))13 array([[1., 1., 1., 1.], [1., 1., 1., 1.], 14 [1., 1., 1., 1.], 15 [1., 1., 1., 1.]]) 16 17 18 # create a full matrix with a given scalar 19 >>> np.full((4,4), -99) 20 array([[-99, -99, -99, -99], [-99, -99, -99, -99], 21 [-99, -99, -99, -99], 22 [-99, -99, -99, -99]]) 23 24 $_{25}$ # create an identity array of a given shape with .eye $_{26} >>> np.eye(4, 3)$ 27 array([[1., 0., 0.], [0., 1., 0.], 28 [0., 0., 1.], 29 [0., 0., 0.]]) 30 31 32 # create an identity array with .identity 33 >>> np.identity(4) 34 array([[1., 0., 0., 0.], [0., 1., 0., 0.],35 [0., 0., 1., 0.],36 [0., 0., 0., 1.]]) 37

Table 5.3 Routines for Creating Arrays from Shape or Value

Routine	Synopsis
np.empty(shape[, dtype, order, like])	Return a new array of given shape and type, without initializing entries
np.empty_like(prototype[, dtype, order, subok,])	Return a new array with the same shape and type as a given array
<pre>np.eye(N[, M, k, dtype, order, like])</pre>	Return a 2-D array with ones on the diagonal and zeros elsewhere
np.identity(n[, dtype, like])	Return the identity array
np.ones(shape[, dtype, order, like])	Return a new array of given shape and type, filled with ones
np.ones_like(a[, dtype, order, subok,])	Return an array of ones with the same shape and type as a given array
np.zeros(shape[, dtype, order, like])	Return a new array of given shape and type, filled with zeros
np.full(shape, fill_value[, dtype, order, like])	Return a new array of given shape and type, filled with fill value
<pre>full_like(a, fill_value[, dtype, order,])</pre>	Return a full array with the same shape and type as a given array

Notes: the statements included in the 'Routine' column assume NumPy is loaded with the np alias.

Creating arrays from existing data

In Snippets 5.1 - 5.4, we saw how to use array for passing data to a NumPy array. Snippet 5.6 shows further routines to create NumPy arrays from existing data include for example:

- .fromfunction, creating an array by executing a function over each coordinate (line 8)
- .fromfile, creating an array from data in a text or binary file (line 19)
- .loadtxt, loading data from a text file (line 37). The example represents a real-world data set containing both numeric and text information. The first argument we pass .loadtxt is a file object. To correctly parse the data, we also pass the following discretionary arguments to .loadtxt: i) comments="#" indicates that any lines in the file commencing with # must be considered a comment, not a piece of data; ii) delimiter="," indicates that two items separated by the character , belong to different fields (i.e., 'columns' to use a spreadsheet-alike vocabulary); iii) textttquotechar=""' indicates that strings are enclosed between double quotes9.

### Snippet 5.6 — creating arrays from existing data

```
# import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # get data from a function
  # --+ create a function
6 >>> my_function = lambda x, y: x - 0.5 * y ** 2
  # --+ create an array from my for given coordinates
  >>> np.fromfunction(my_function, (3, 3), dtype=float)
  array([[ 0. , -0.5, -2. ],
10
          [1., 0.5, -1.],
          [2., 1.5, 0.]])
11
12
13 # get data from a binary file
# --+ create an array from a list of numbers
15 >>> D = np.array([1, 2, 3, 4, 5, 6, 7, 8, 9])))
# --+ save the raw data to a binary file
17 >>> D.tofile("data.bin")
18 # --+ read the data back
19 >>> np.fromfile("data.bin", dtype=int)
20
21 # get data from a text file
# --+ create a string with the data and some qualitative comments on them
23 >>> S = """
  # Below are some demographic data about Michael J. Jordan (basketball player)
```

```
25 # from Wikipedia.
26 #
27 # Data labels are:
28 #
29 # NAME, BORN, NBA CHAMPIONSHIPS, AVERAGE POINT PER GAME
30 "Jordan, Michael Jeffrey","17-02-1963",6,30.1
31 || || || ||
_{32} # --+ write the data to a file
33 >>> with open("my_data", "w") as pipe:
          pipe.write(S)
35 >>> pipe.close()
_{36} # --+ read the data and assign them to a NumPy array
37 >>> np.loadtxt(
       open("my_data", "r"),
38 . . .
             dtype={
39 . . .
                    "names": (
40 ...
41 ...
                              "NAME",
                              "BORN",
42 ...
                              "NBA CHAMPIONSHIPS",
43 ...
                              "AVERAGE POINT PER GAME"
44 ...
45 ...
                                   ),
                    "formats": ("S30", "S10", "i1", "f2"),
46 ...
                    },
47 ...
48 ...
             comments="#",
             delimiter=",",
49 ...
             quotechar='"'
50 . . .
51 ...
<sub>52</sub> array((b'Jordan, Michael Jeffrey', b'17-02-1963', 6, 30.1),
53
          dtype=[('NAME', 'S30'),('BORN', 'S10'),
54
                 ('NBA CHAMPIONSHIPS', 'i1'),
                 ('AVERAGE POINT PER GAME', '<f2')]
55
56 )
```

 $\begin{array}{c} \text{Table 5.4} \\ \text{Routines for Creating Arrays from Existing Data} \end{array}$ 

Routing	Symoneis
<pre>np.array(object[,dtype, copy, subok,])</pre>	Create an array
<pre>np.asarray(a[, dtype, order, like])</pre>	Convert the input to an array
<pre>np.asanyarray(a[, dtype, order, like])</pre>	Convert the input to an ndarray, but pass ndarray subclasses through
<pre>np.ascontiguousarray(a[, dtype, like])</pre>	Return a contiguous array (ndim $i=1$ ) in memory (C order)
<pre>np.asmatrix(data[, dtype])</pre>	Interpret the input as a matrix
<pre>np.copy(a[, order, subok])</pre>	Return an array copy of the given object
<pre>np.frombuffer(buffer[, dtype, count, offset, like])</pre>	Interpret a buffer as a 1-dimensional array
<pre>np.fromfile(file[, dtype, count, sep, offset, like])</pre>	Construct an array from data in a text or binary file
<pre>np.fromfunction(function, shape, *[, dtype, like])</pre>	Construct an array by executing a function over each coordinate
<pre>np.fromiter(iter, dtype[, count, like])</pre>	Create a new 1-dimensional array from an iterable object
<pre>np.fromstring(string[, dtype, count, like])</pre>	A new 1-D array initialized from text data in a string
np.loadtxt(fname[, dtype, comments, delimiter,]) Load data from a text file	Load data from a text file

Notes: the statements included in the 'Routine' column assume NumPy is loaded with the  ${\tt np}$  alias.

Record arrays

NumPy arrays do no contain any information about the attributes of the data. For example, a NumPy array cannot accommodate any meta-data, such as the names of the fields included in the data. Here is where .rec kick in (see Table 5.5). For example, .core.records.array allows to flexibly specify a field's type and name (see Snippet 5.7, line 8). Once a 'recarray' is created, it is possible to fetch its data by field name (see Snippet 5.7, line 11).

```
Snippet 5.7 — creating record arrays

# import records array with an alias that does not conflict with
# `standard' NumPy arrays

>>> from numpy.core.records import array as recarray

# the data
>>> LOCS = [("51.5072° N", "0.1276° W"), ("35.6762° N", "139.6503° E")]

# create a recarray
>>> D = recarray(LOCS, formats=["U12", "U12"], names=["Latitude", "Longitude"])

# fetch the data by field name
>>> D.Latitude
array(['51.5072° N', '35.6762° N'], dtype='<U12')</pre>
```

 $\begin{array}{c} \text{Table 5.5} \\ \text{Routines for Creating Record Arrays} \end{array}$ 

Routine	Synopsis
np.core.records.array(obj[, dtype, shape,])	Construct a record array from a wide-variety of objects
])	Create a record array from a (flat) list of arrays
	Create a recarray from a list of records in text form
np.core.records.fromstring(datastring[, dtype,]) Create a record array from binary data	Create a record array from binary data
np.core.records.fromfile(fd[, dtype, shape,])	Create an array from binary file data

Creating numerical ranges

One may want to create a numerical range for different reasons, including running functional analysis or computer simulation. NumPy has a bunch of array-creating routines for numerical ranges (see Table 5.6), some of which that are quite popular in the fields of technical and scientific computation as well as data science. For example, np.arange and np.linspace frequently appear in Python programs when it comes to create evenly spaced values in a certain interval and evely spaced samples respectively (see Snippet 5.8, lines XX and XX). Another popular routine is .meshgrid, returning coordinate matrices from coordinate vectors.

#### Snippet 5.8 — creating numerical ranges # import numpy with the socially accepted alias 'np' >>> import numpy as np # two ranges of evenly spaced values # --+ evenly spaced values between 0 and 10 >>> np.arange(0, 10, 1) 7 array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9]) 8 # --+ ... equivalent to 9 >>> np.arange(10) 10 array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9]) 11 # --+ evenly spaced values between 0 and 10 divided by a 2-unit step 12 >>> np.arange(0, 10, 2) 13 array([0, 2, 4, 6, 8]) 14 $_{15}$ # 50 evenly spaced values between 0 and 1 16 >>> np.linspace(0, 1, 10) 17 array([0. , 0.11111111, 0.22222222, 0.33333333, 0.44444444, 0.5555556, 0.66666667, 0.77777778, 0.88888889, 1. 18 19 20 # get coordinate matrices from coordinate vectors $_{21}$ # --+ the 'x-' and 'y-axis' vectors 22 >>> X = np.linspace(0, 1, 10) $_{23}$ >>> Y = np.linspace(0, 1, 5) 24 # --+ get 'x-axis' ('y-axis') coordinates for any value of vector Y (X) 25 >>> XX, YY = np.meshgrid(X, Y) 26 >>> XX , 0.11111111, 0.22222222, 0.33333333, 0.44444444, 27 array([[0. 0.5555556, 0.66666667, 0.77777778, 0.88888889, 1. 28 , 0.11111111, 0.22222222, 0.33333333, 0.44444444, 29 0.5555556, 0.66666667, 0.77777778, 0.88888889, 1. 30 , 0.11111111, 0.22222222, 0.33333333, 0.44444444, [0. 31 0.5555556, 0.66666667, 0.77777778, 0.88888889, 1. 32 , 0.11111111, 0.22222222, 0.33333333, 0.44444444, 33 0.5555556, 0.66666667, 0.77777778, 0.88888889, 1. 34 , 0.11111111, 0.22222222, 0.33333333, 0.44444444, 35 0.5555556, 0.66666667, 0.77777778, 0.88888889, 1. ]])

```
>>> YY
  [0.25, 0.25, 0.25, 0.25, 0.25, 0.25, 0.25, 0.25, 0.25, 0.25]
       [0.75, 0.75, 0.75, 0.75, 0.75, 0.75, 0.75, 0.75, 0.75, 0.75]
41
       42
  # --+ create a matrix from X and Y
43
  >>> ZZ = np.sqrt(XX**2 + YY**2)
44
  # --+ check the dimensions of the newly created objects
>>> print(XX.shape, YY.shape, ZZ.shape)
47 >>> ((101, 101), (101, 101), (101, 101))
_{
m 48} # --+ make a contour plot showing the associations among X, Y, and Z
49 # (see Figure 5.1)
50 >>> fig = plt.figure()
51 >>> ax = fig.add_subplot(111)
52 >>> ax = plt.contourf(X, Y, ZZ)
53 >>> plt.axis('scaled')
54 >>> plt.colorbar()
  >>> plt.show()
```

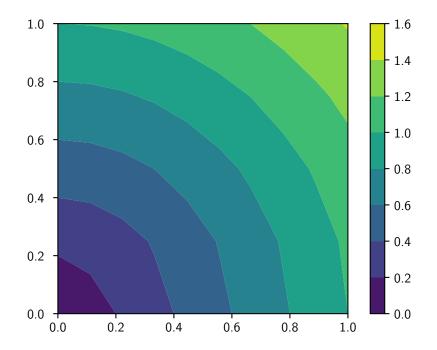


Figure 5.1: A contour plot showing the associations among X, Y, and Z Notes: the data behind this plot come from Snippet 5.8, lines 22 - 44.

TABLE 5.6 Routines for Numerical Ranges

Routine	Synopsis
<pre>np.arange([start,] stop[, step,][, dtype, like]) np.linspace(start, stop[, num, endpoint,]) np.logspace(start, stop[, num, endpoint, base,]) np.geomspace(start, stop[, num, endpoint,]) np.meshgrid(*xi[, copy, sparse, indexing]) np.mgrid np.ogrid</pre>	Return evenly spaced values within a given interval Return evenly spaced numbers over a specified interval Return numbers spaced evenly on a log scale Return numbers spaced evenly on a log scale (a geometric progression) Return coordinate matrices from coordinate vectors and grid instance which returns a dense multi-dimensional "meshgrid" nd.grid instance which returns an open multi-dimensional "meshgrid"

Building matrices

NumPy has routines to create arrays from an existing matrix as well as build matrices with certain properties (see Table 5.7) As Snippet 5.9 shows, .diag creates an array by fetching a matrix's diagonal (see line 10), while .tri creates a triangular matrix (see line 17).

```
Snippet 5.9 — creating arrays from existing data
  # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # create an array by fetching a matrix diagonal
  # --+ the matrix
  >>> M = np.array([[1, 2, 3], [4, 5, 6], [7, 8, 9]])
  >>> M.shape
   (3, 3)
9 # --+ the new array
_{10} >>> A = np.diag(M)
11 >>> print(A)
12 [1 5 9]
13 >>> A.shape
<sub>14</sub> (3,)
15
16 # create a triangular matrix
17 >>> np.tri(10, 10)
18 array([[1., 0., 0., 0., 0., 0., 0., 0., 0., 0.],
19
          [1., 1., 0., 0., 0., 0., 0., 0., 0., 0.]
          [1., 1., 1., 0., 0., 0., 0., 0., 0., 0.]
20
          [1., 1., 1., 1., 0., 0., 0., 0., 0., 0.]
21
22
          [1., 1., 1., 1., 1., 0., 0., 0., 0., 0.]
          [1., 1., 1., 1., 1., 1., 0., 0., 0., 0.]
23
          [1., 1., 1., 1., 1., 1., 1., 0., 0., 0.]
24
          [1., 1., 1., 1., 1., 1., 1., 1., 0., 0.],
25
          [1., 1., 1., 1., 1., 1., 1., 1., 1., 0.],
26
27
          [1., 1., 1., 1., 1., 1., 1., 1., 1., 1.]
```

 $\begin{array}{c} \text{Table 5.7} \\ \text{Routines for Building Matrices} \end{array}$ 

Routine	Synopsis
np.diag(v[, k])	Extract a diagonal or construct a diagonal array
np.diagflat(v[, k])	Create a two-dimensional array with the flattened input as a diagonal
np.tri(N[, M, k, dtype, like])	An array with ones at and below the given diagonal and zeros elsewhere
np.tril(m[, k])	Lower triangle of an array
np.triu(m[, k])	Upper triangle of an array
<pre>np.vander(x[, N, increasing])</pre>	Generate a Vandermonde matrix

The Matrix Class

What is the advantage of using a matrix class object?

In Snippet 5.9, line 17, I claim to create a matrix. Actually, the outcome displayed in line 18 is consistent with the concept of 'matrix' we have been taught in a typical linear algebra class: what a human being sees is a set of numbers arranged in rows and columns. However, in NumPy terms, the object displayed in line 18 is an array with two dimensions. If we want to create a NumPy Matrix Class object<sup>10</sup>, we have to call np.matrix, a subclass of np.ndarray. Table 5.8 illustrates the two matrix-creating routines of NumPy.

As we will see later on in this chapter, the advantage of using a matrix class object is that we can use the matrix class object to perform matrix operations with a simple and intuitive syntax. For example, we can use the matrix class object to perform matrix manipulation multiplication, addition, and subtraction. In the lower section of Snippet 5.10, we use .linalg.inv to compute the inverse of a matrix.

```
Snippet 5.10 — creating a NumPy Matrix Class object
  # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # create a matrix class object
  # --+ the arrays to populate a matrix class object
  >>> A = np.array([0, 1, 2])
  >>> B = np.array([-99, 203, 1009])
  >>> C = np.array([-1000, -1001, -1002])
  # --+ the matrix class object
10 >>> M = np.matrix([A, B, C])
matrix([[
                0,
                       1,
                              2],
           -99,
                     203,
                           1009],
12
           [-1000, -1001, -1002]]
13
14
15 # get the inverse of M
16 >>> np.linalg.inv(M)
matrix([[-1.60040278e+00, 1.98412698e-03, -1.19642857e-03],
           [ 2.19880556e+00, -3.96825397e-03, 3.92857143e-04],
18
           [-5.99402778e-01, 1.98412698e-03, -1.96428571e-04]])
```

TABLE 5.8 Routines for the Matrix Class

Routine	Synopsis
<pre>np.mat(data[, dtype]) bmat(obj[, ldict, gdict])</pre>	<pre>np.mat(data[, dtype]) Interpret the input as a matrix omat(obj[, ldict, gdict]) Build a matrix object from a string, nested sequence, or array</pre>
Notes: the statements included	Notes: the statements included in the 'Routine' column assume NumPy is loaded with the np alias.

# 5.4 Array Manipulation Routines

I have an array. What can I do with it?

NumPy offers many array manipulation routines (see Table A.7), which can be grouped around the following families:

- basic operations
- changing array shape
- transpose-like operations
- changing number of dimensions
- changing kind of array
- joining arrays
- splitting arrays
- tiling arrays
- adding and removing elements
- rearranging elements

Presenting every NumPy array manipulation routine would require writing a dedicated book (and certainly falls beyond the remit of an introductory module on Python). That said, let me whet the reader's appetite by illustrating a sample of miscellaneous routines (see Snippet 5.11). Here is the sequence of tasks we accomplish: first, we create an array of shape (6,) (see line 5); then, we change the shape of the array (line 10) and transpose its rows and columns (line 16); in the lower section of the snippet we join two arrays using several routines: .concatenate joins two arrays along the desired axis (the default axis is the first one, meaning the second arrays are concatenated row-wise); .vstack requires to pass a tuple of arrays to stack row-wise; .hstack requires to pass a tuple of arrays to stack column-wise. It is worth noticing that the rules for vector/matrix manipulation we learned at school apply to the routines that join arrays. In other words, two NumPy arrays can be joined if and only have the same length on the joining axis. For example, it is possible to stack vertically two arrays with shape (3, 3) and (2, 3) because they have the same number of columns.

### Snippet 5.11 — miscellaneous array-manipulating routines # import numpy with the socially accepted alias 'np' 2 >>> import numpy as np 4 # the array 5 >>> A = np.arange(6) array([0, 1, 2, 3, 4, 5]) 9 # reshape the array into a 2x3 array $_{10}$ >>> A = A.reshape(2, 3) 11 >>> A 12 array([[0, 1, 2], [3, 4, 5]])13 14 15 # transpose the array 16 >>> A.T 17 array([[0, 3], [1, 4], 18 [2, 5]]) 19 20 # get back to a 'flat' array with shape (6,) 22 >>> A.ravel() 23 array([0, 1, 2, 3, 4, 5]) 24 25 # reshape the array into a 3x2 array 26 >>> A.reshape(3, 2) 27 array([[0, 1], [2, 3], 28 [4, 5]])29 30 $_{ m 31}$ # join two arrays --- note the dimensions for the concatenation must match 32 >>> np.concatenate((A.reshape(3, 2), A.T)) 33 array([[0, 1], [2, 3], 34 [4, 5],35 [0, 3], 36 [1, 4], 37 [2, 5]])38 $_{ m 40}$ # stack two arrays vertically (ROW-WISE) 41 >>> np.vstack((A, np.array([6, 7, 8]))) 43 # stack two arrays horizontally (COLUMN-WISE) 44 >>> np.hstack((A, np.array([6, 7]).reshape(2, 1))) 45 array([[0, 1, 2, 6], [3, 4, 5, 7]]) 46

## 5.5 Universal Functions in NumPy

What is a universal function?

What is the rationale for using ufuncts?

What are the unfunct options available in NumPy?

A universal function, or ufunc, is a function that performs element-wise operations on ndarrays. You can think of them as fast vectorized wrappers for simple functions that take one or more scalar values and produce one or more scalar results<sup>11</sup>.

Per the previous point, using a ufunc offer substantial performance advantages vis a' vis non-vectorized code — i.e., code using built-in Python iterators.

There are circa sixty universal functions implemented in NumPy. For the sake of convenience, the full list of ufunc options is reported in Tables A.3 - A.6. The following sections of the current chapter will illustrate how to use some of the popular central ufuncts in NumPy.

#### 5.6 Mathematical Functions

What are the mathematical functions available in NumPy?

There are many mathematical functions available in NumPy, some of which are implemented as universal functions (for a complete list of universal functions, see Tables A.3). The available routines can be grouped into:

- trigonometric functions
- hyperbolic functions
- rounding functions
- sums, products, and differences
- exponential and logarithmic functions
- extrema finding

What are the mathematical functions available in NumPy?

Similar to the case of array-manipulating routines, a detailed discussion of every NumPy mathematical function exceeds the scope of these notes. However, every function can be accessed using the same procedure; mainly, we need a set of values to pass to the argument of the function — that is it! Snippet 5.12 shows the procedure to use a NumPy mathematical functions by means of two trignometric functions, namely, .sin and .cos. In line 9, we create a range of values to assign to the variable X; then, in line 11, we create two further arrays assigned to the outcome of .sin and .cos respectively; finally, we use the Matplotlib module to visualize the two functions (if you do not get the Matplotlib code logic, do not worry at all — you will familiarize with it in the Fall Term module 'SMM635, Data Visualization.')

# Snippet 5.12 — NumPy trigonometric functions in action # import numpy with the socially accepted alias 'np' >>> import numpy as np # import a data viz module >>> import matplotlib.pyplot as plt # trigonometric functions # --+ x-values >>> X = np.arange(0, 2 \* np.pi, 0.# --+ plot SI 10 # --+ y-values $\sim$ >>> SI, CS = np.sin(X), np.cos(X) 12 13 # plot the functions <sub>14</sub> # --+ plot SI 15 >>> fig = plt.figure(figsize=(2.5, 2.5)) 16 >>> ax = fig.add\_subplot(111) 17 >>> ax.axhline(y=0, color="k", linewidth=0.5) 18 >>> ax.set\_xticks([0, 0.5 \* np.pi, np.pi, 1.5 \* np.pi, 2 \* np.pi]) 19 >>> ax.set\_xticklabels( ["0", r"\$\frac{1}{2} \pi\$", r"\$\pi\$", r"\$\frac{3}{2} \pi\$", r"\$2 \pi\$"] 20 . . . $_{21}$ ...) 22 >>> plt.xlabel("\$X\$") 23 >>> plt.ylabel("\$sine(X)\$") 24 >>> ax.grid(True) 25 >>> ax.plot(X, SI, color="Blue") 26 >>> plt.title("A") 27 >>> plt.show() 28 # --+ plot CS 29 >>> fig = plt.figure(figsize=(2.5, 2.5)) 30 >>> ax = fig.add\_subplot(111) 31 >>> ax.axhline(y=0, color="k", linewidth=0.5) 32 >>> ax.set\_xticks([0, 0.5 \* np.pi, np.pi, 1.5 \* np.pi, 2 \* np.pi]) >>> ax.set\_xticklabels(

```
34 ... ["0", r"$\frac{1}{2} \pi$", r"$\pi$", r"$\frac{3}{2} \pi$", r"$2 \pi$"]
35 ... )
36 >>> plt.xlabel("$X$")
37 >>> plt.ylabel("$cosine(X)$")
38 >>> ax.grid(True)
39 >>> ax.plot(X, CS, color="Blue")
40 >>> plt.title("B")
41 >>> plt.show()
```



Figure 5.2: Visual representation of NumPy .sin and .cos functions as per Snippet 5.12.

### 5.7 Statistics

What are the statistical functions available in NumPy?

Can I run multivariate statistical analysis with NumPy NumPy offers essential statistical functions, sufficient to implement an Exploratory Data Analysis/descritive statistics. Specifically, there are four families of statistical routines (see Tables 5.9, 5.10, 5.11, and 5.12):

- order statistics (e.g., quantiles)
- average and variances
- correlations
- histograms

Short answer: no. There are dedicated Python modules to run multivariate analyses, though. For example linearmodels and statsmodels are two popular modules to carry out econometric models in Python, while scikit-learn is the acclaimed module for machine learning in Python.

 ${\it TABLE~5.9}$  NumPy Startistical Routines: Order Statistics

Routine	Synopsis
<pre>np.ptp(a[, axis, out, keepdims]) np.percentile(a, q[, axis, out,]) np.nanpercentile(a, q[, axis, out,]) np.quantile(a, q[, axis, out, overwrite_input,]) np.nanquantile(a, q[, axis, out,])</pre>	Range of values (maximum - minimum) along an axis Compute the q-th percentile of the data along the specified axis Compute the qth percentile of the data along the specified axis, while ignoring nan values Compute the q-th quantile of the data along the specified axis Compute the qth quantile of the data along the specified axis, while ignoring nan values

Routine	Synopsis
<pre>np.median(a[, axis, out, overwrite_input, keepdims])</pre>	Compute the median along the specified axis
<pre>np.average(a[, axis, weights, returned, keepdims])</pre>	Compute the weighted average along the specified axis
<pre>np.mean(a[, axis, dtype, out, keepdims, where])</pre>	Compute the arithmetic mean along the specified axis
<pre>np.std(a[, axis, dtype, out, ddof, keepdims, where])</pre>	Compute the standard deviation along the specified axis
<pre>np.var(a[, axis, dtype, out, ddof, keepdims, where])</pre>	Compute the variance along the specified axis
<pre>np.nanmedian(a[, axis, out, overwrite_input,])</pre>	Compute the median along the specified axis, while ignoring NaNs
np.nanmean(a[, axis, dtype, out, keepdims, where])	Compute the arithmetic mean along the specified axis, ignoring NaNs
<pre>np.nanstd(a[, axis, dtype, out, ddof,])</pre>	Compute the standard deviation along the specified axis, while ignoring NaNs
<pre>np.nanvar(a[, axis, dtype, out, ddof,])</pre>	Compute the variance along the specified axis, while ignoring NaNs

Routine	Synopsis
np.histogram(a[, bins, range, normed, weights,]) Compute the histogram o	Compute the histogram of a dataset
np.histogram2d(x, y[, bins, range, normed,])	Compute the bi-dimensional histogram of two data samples
<pre>np.histogramdd(sample[, bins, range, normed,])</pre>	Compute the multidimensional histogram of some data
np.bincount(x, /[, weights, minlength])	Count number of occurrences of each value in array of non-negative ints
<pre>np.histogram_bin_edges(a[, bins, range, weights])</pre>	Function to calculate only the edges of the bins used by the histogram function
<pre>np.digitize(x, bins[, right])</pre>	Return the indices of the bins to which each value in input array belongs

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So, how do I product a set of summary stats in NumPy?

Snippet 5.13 shows how to create a typical set of summary stats including an array's mean, standard deviation, minimum, maximum, and some percentiles of interest. Mainly, the snippet has three steps. First, we create an array (line 8). Second, we assign a couple of variables to NumPy statistical functions such as .mean, .std, .min, np.max, and .percentile (see lines 11-17). It is worth noticng that min and max are keywords reserved for Python builtin functions. To avoid any name conflict and poterntial sources of confusion, in lines 13 and 14, we use the names min\_ and max\_. Finally, we create a table displaying the variables created in the previous step (see lines 21, 23, and 27).

```
Snippet 5.12 — NumPy trigonometric functions in action
  # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # import a module for arranging numbers in a tabular format
  >>> import tabulate
  # the array
  >>> X = np.array([0, 0, -3, 12, 7, 2, -4, 6, 9, -1, 5, 3, -1, 3, 10, 9])
10 # get the descriptive stats
11 >>> mean = np.mean(X)
12 >>> std = np.std(X)
13 >>> min_ = np.min(X)
_{14} >>> max_ = np.max(X)
15 >>> pp25 = np.percentile(X, 25)
16 >>> pp50 = np.percentile(X, 50)
_{17} >>> pp75 = np.percentile(X, 75)
18
19 # arrange the stats in a tabular format
20 # --+ create the table header
_{21} >>> headers = [
          "Mean", "St. Dev.", "Min", "Max", "25th pp", "50th pp", "75th pp"
22
23
_{
m 24} # --+ format the floating point numbers to two decimal places and get a string
  >>> stats = [
25
          str(np.round(i, 3)) for i in [mean, std, min_, max_, pp25, pp50, pp75]
26
27
_{28} # --+ print the table
  >>> print(tabulate([stats], headers=headers, tablefmt="grid"))
29
  +----+
30
      Mean | St. Dev. |
                          Min |
                                 Max |
                                        25th pp | 50th pp |
31
32
                 4.756
                           -4 |
                                  12 |
                                          -0.25
                                                         3 |
                                                                  7.5
  1 3.562 l
```

Can I calaculate Pearson's correlaton coefficients in NumPy?

Yes, you can. Snippet 5.13 shows how to do so by using .corrcoef, one of the functions included in Table 5.11.

# 

### 5.8 Linear Algebra

Is NumPy a good choice when it comes doing linear algebra?

Yes, it is. NumPy offers a rich set of routines, comparable to Matalab's one. <sup>12</sup> Currently, there are five families of routines concerning the field linear algebra at large:

- products
- decomposition
- $\bullet$  eigenvalues
- norms
- equations and inversions

For an overview of numPy's linear algebra routines, see Tables 5.13, 5.14, 5.15, 5.16, and 5.17.

 ${\it TABLE~5.13}$  NumPy Linear Algebra Routines: Matrix and Vector Products

Routine	Synopsis
np.dot(a, b[, out])	Dot product of two arrays
<pre>np.linalg.multi_dot(arrays, *[, out])</pre>	Compute the dot product of two or more arrays in a single function call, while automatically selecting the fastest evaluation order
np.vdot(a, b, /)	Return the dot product of two vectors
np.inner(a, b, /)	Inner product of two arrays
np.outer(a, b[, out])	Compute the outer product of two vectors
np.matmul(x1, x2, /[, out, casting, order,])	Matrix product of two arrays
np.tensordot(a, b[, axes])	Compute tensor dot product along specified axes
np.einsum(subscripts, *operands[, out, dtype,])	Evaluates the Einstein summation convention on the operands
np.einsum_path(subscripts, *operands[, optimize])	Evaluates the lowest cost contraction order for an einsum expression by
	considering the creation of intermediate arrays
np.linalg.matrix_power(a, n)	Raise a square matrix to the (integer) to the power n
np.kron(a, b)	Kronecker product of two arrays

Koutine
np.linalg.cholesky(a)  Cholesky decomposition  np.linalg.gr(a[, mode])  Compute the gr factorization of a matrix
'np.linalg.svd(a[, full_matrices, compute_uv,]) Singular Value Decomposition

Routine	Synopsis
<pre>np.linalg.eig(a) np.linalg.eigh(a[, UPLO])</pre>	Compute the eigenvalues and right eigenvectors of a square array Return the eigenvalues and eigenvectors of a complex Hermitian (con-
nn linalo piovals(a)	jugate symmetric) or a real symmetric matrix
np.linalg.eigvalsh(a[, UPL0])	Compute the eigenvalues of a complex Hermitian or real symmetric ma-
	trix

Table 5.16
NumPy Linear Algebra Routines: Norms and Other Number

Routine	Synopsis
<pre>np.linalg.norm(x[, ord, axis, keepdims])</pre>	Matrix or vector norm
<pre>np.linalg.cond(x[, p])</pre>	Compute the condition number of a matrix
np.linalg.det(a)	Compute the determinant of an array
<pre>np.linalg.matrix_rank(A[, tol, hermitian])</pre>	Return matrix rank of array using SVD method
np.linalg.slogdet(a)	Compute the sign and (natural) logarithm of the determinant of an array
np.trace(a[, offset, axis1, axis2, dtype, out]) Return the sum along diagonals	Return the sum along diagonals of the array

 ${\it TABLE~5.17}$  NumPy Linear Algebra Routines: Solving Equations and Inverting Matrices

Routine	Synopsis
np.linalg.solve(a, b)	Solve a linear matrix equation, or system of linear scalar equations
np.linalg.tensorsolve(a, b[, axes])	Solve the tensor equation $a x = b \text{ for } x$
np.linalg.lstsq(a, b[, rcond])	Return the least-squares solution to a linear matrix equation
np.linalg.inv(a)	Compute the (multiplicative) inverse of a matrix
np.linalg.pinv(a[, rcond, hermitian])	Compute the (Moore-Penrose) pseudo-inverse of a matrix
np.linalg.tensorinv(a[, ind])	Compute the 'inverse' of an N-dimensional array

Matrix and vector products

Snippet 5.14 shows how to use NumPy to get dot, inner, and outer products — whose definitions are reported in equations 5.1, 5.2, and 5.3 respectively:

$$x \cdot y = \sum_{i=1}^{n} x_i y_i \tag{5.1}$$

where x and y are vectors of length n.

$$\langle x, y \rangle = x^T y \tag{5.2}$$

where  $x^T$  is the transpose of x.

$$x \otimes y = xy^T \tag{5.3}$$

where  $y^T$  is the transpose of y.

```
Snippet 5.14 — matrix and vector products
1 # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # the arrays
  >>> X = np.arange(1, 11, 1)
  array([1, 2, 3, 4, 5, 6, 7, 8, 9, 10])
  >>> Y = np.arange(10, 0, -1)
11 array([10, 9, 8, 7, 6, 5, 4, 3, 2,
                                              1])
12
13 # dot product
14 >>> np.dot(X, Y)
15 220
16
17 # inner product
18 # --+ let's reshape the arrays
19 >>> X = X.reshape(5, 2)
20 >>> X
21 array([[ 1,
                2],
          [3, 4],
22
          [5,
                6],
23
          [7, 8],
24
          [ 9, 10]])
25
_{26} >>> Y = Y.reshape(5, 2)
27 >>> Y
28 array([[10,
                9],
          [8,
               7],
29
          [6, 5],
30
          [4,
               3],
31
          [ 2,
                1]])
33 >>> np.inner(X, Y)
  array([[ 28, 22,
                                 4],
                      16,
                           10,
```

5.8. LINEAR ALGEBRA 99

```
35
         [66, 52, 38, 24, 10],
         [104, 82, 60,
                         38,
                             16],
36
         [142, 112, 82, 52, 22],
37
         [180, 142, 104, 66,
                             28]])
38
40 # outer product
41 >>> np.outer([0, 1, 2], [4, 5, 6, 7])
42 array([[ 0, 0, 0, 0],
         [4, 5, 6, 7],
43
         [8, 10, 12, 14]])
```

...

Solving a system of equations

Snippet 5.15 shows how to solve the following system of equations:

$$\begin{cases} 4x + 2y = 2000 \\ 7x + 13y = 3000 \end{cases}$$

```
Snippet 5.15 — solving a system of equations such as

# import numpy with the socially accepted alias 'np'

>>> import numpy as np

# the system of equations

# --+ left-hand side

>>> a = np.array([[4, 2], [7, 13]])

# --+ right-hand side

>>> b = np.array([2000, 3000])

# solve the system of equations

>>> np.linalg.solve(a, b)

array([526.31578947, -52.63157895])
```

```
Snippet 5.16 — computing the least-square solutions to a linear matrix equation
  # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # the arrays
5 >>> x = np.arange(0, 15, 1)
6 >>> y = [3, 5, 7, 9, 11, 13, 15, 17, 19, 21, 23, 25, 27, 29]
  and use the least-square estimator to e
  # data preparation / adding a constant to the model
  >>> A = np.vstack([x, np.ones(len(X))]).T
10 >>> A
11 array([[ 0.,
                  1.],
12
           [ 1.,
                  1.],
           [ 2.,
                  1.],
13
          [ 3.,
                  1.],
14
           [ 4.,
15
                  1.],
           [ 5.,
                  1.],
16
           [ 6.,
                  1.],
17
           [7.,
                  1.],
18
           [8.,
                  1.],
19
           [ 9.,
                  1.],
20
           [10.,
                  1.],
21
           [11.,
                  1.],
22
           [12.,
                  1.],
23
           [13.,
                 1.],
24
          [14., 1.]])
25
  # estimate the regression coefficients (a.k.a., the regression slopes) of
_{28} # the linear model
  >>> b = np.linalg.lstsq(A, y)[0]
29
30 >>> b
  array([2.15714286, 2.23333333])
```

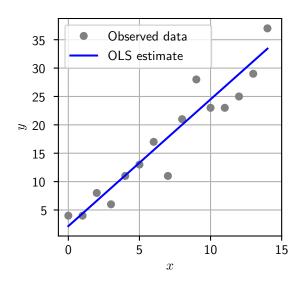


Figure 5.3: A visual illustration of the least-square estimation carried out in Snippet 5.16

### 5.9 Pseudorandom Number Generation

Why do I need pseudorandom number generators?

What is the gamut of pseudorandom numbers available in NumPy?

Psedudorenadom number generators play a central role in computer simulation, a flexible and powerful tool that can be used in different ways, e.g., to get a better understanding of real-world data, to appreciate the functioning of complex systems, or for scenario analysis. <sup>13</sup>

NumPy has three families of pseudorandom number generators:

- simple random data
- permutations
- distributions

Tables 5.18, 5.19, and 5.20 provide a summary of the available generators.

Table 5.18
NumPy Pseudorandom Generators: Simple Random Data

Routine	Synopsis
integers(low[, high, size, dtype, endpoint]) Return random integers from low	Return random integers from low (inclusive) to high (exclusive), or if
<pre>random([size, dtype, out])</pre>	Return random floats in the half-open interval [0.0, 1.0)
choice(a[, size, replace, p, axis, shuffle]) Generates a random sample from a	Generates a random sample from a given array
bytes (length)	Return random bytes

Notes: the statements included in the 'Routine' column assume numpy.random.Generator has been imported.

TABLE 5.19

NumPy Pseudorandom Generators: Permutations

Routine	Synopsis
<pre>shuffle(x[, axis])</pre>	Modify an array or sequence in-place by shuffling its contents
<pre>permutation(x[, axis])</pre>	Randomly permute a sequence, or return a permuted range
<pre>permuted(x[, axis, out])</pre>	<pre>oermuted(x[, axis, out]) Randomly permute x along axis</pre>

Notes: the statements included in the 'Routine' column assume numpy.random.Generator has been imported.

Table 5.20 NumPy Pseudorandom Generators: Distributions

Routine	Synopsis
beta(a, b[, size])	Draw samples from a Beta distribution
binomial(n, p[, size])	Draw samples from a binomial distribution
<pre>chisquare(df[, size])</pre>	Draw samples from a chi-square distribution
<pre>dirichlet(alpha[, size])</pre>	Draw samples from the Dirichlet distribution
<pre>exponential([scale, size])</pre>	Draw samples from an exponential distribution
f(dfnum, dfden[, size])	Draw samples from an F distribution
<pre>gamma(shape[, scale, size])</pre>	Draw samples from a Gamma distribution
<pre>geometric(p[, size])</pre>	Draw samples from the geometric distribution
<pre>gumbel([loc, scale, size])</pre>	Draw samples from a Gumbel distribution
hypergeometric(ngood, nbad, nsample[, size])	Draw samples from a Hypergeometric distribution
<pre>laplace([loc, scale, size])</pre>	Draw samples from the Laplace or double exponential distribution with
	specified location (or mean) and scale (decay)
<pre>logistic([loc, scale, size])</pre>	Draw samples from a logistic distribution
<pre>lognormal([mean, sigma, size])</pre>	Draw samples from a log-normal distribution
logseries(p[, size])	Draw samples from a logarithmic series distribution
<pre>multinomial(n, pvals[, size])</pre>	Draw samples from a multinomial distribution
<pre>multivariate_hypergeometric(colors, nsample)</pre>	Generate variates from a multivariate hypergeometric distribution
<pre>multivariate_normal(mean, cov[, size,])</pre>	Draw random samples from a multivariate normal distribution

TABLE 5.20 (cont'd)

Routine	Synopsis
negative_binomial(n, p[, size])	Draw samples from a negative binomial distribution
noncentral_chisquare(df, nonc[, size])	Draw samples from a noncentral chi-square distribution
noncentral_f(dfnum, dfden, nonc[, size])	Draw samples from the noncentral F distribution
normal([loc, scale, size])	Draw random samples from a normal (Gaussian) distribution
<pre>pareto(a[, size])</pre>	Draw samples from a Pareto II or Lomax distribution with specified
	snape
<pre>poisson([lam, size])</pre>	Draw samples from a Poisson distribution
<pre>power(a[, size])</pre>	Draws samples in $[0, 1]$ from a power distribution with positive exponent
	d - 1
rayleigh([scale, size])	Draw samples from a Rayleigh distribution
standard_cauchy([size])	Draw samples from a standard Cauchy distribution with mode $= 0$
standard_exponential([size, dtype, method, out])	Draw samples from the standard exponential distribution
standard_gamma(shape[, size, dtype, out])	Draw samples from a standard Gamma distribution
standard_normal([size, dtype, out])	Draw samples from a standard Normal distribution (mean=0, stdev=1)
standard_t(df[, size])	Draw samples from a standard Student's t distribution with df degrees
	of freedom
<pre>triangular(left, mode, right[, size])</pre>	Draw samples from the triangular distribution over the interval [left, right]
<pre>uniform([low, high, size])</pre>	Draw samples from a uniform distribution
<pre>vonmises(mu, kappa[, size])</pre>	Draw samples from a von Mises distribution
<pre>wald(mean, scale[, size])</pre>	Draw samples from a Wald, or inverse Gaussian, distribution
<pre>weibull(a[, size])</pre>	Draw samples from a Weibull distribution
<pre>zipf(a[, size])</pre>	Draw samples from a Zipf distribution

Notes: the statements included in the 'Routine' column assume numpy.random.Generator has been imported.

Permutation in NumPy

Permuting means changing the order of the elements in an array. Array permutation can be done 'inplace' (see Snippet 5.17, line 13), so the original array is modified, or creating a copy (see line 17). Note the outcome of the np.random functions is not reproducible. Put simply, running the same NumPy generator n times might return n different outcomes. To ensure the reproducibility of NumPy code, we must initialize a random generator instance as shown in the lower section of Snippet 5.17. Specifically, in line 23, we assign the object rgn to the outcome of .default\_rng. The parameter passed to the generator, known as 'seed,' is an arbitrary number object. If you reproduce the lines 23-25, you will get the outcome I got, displayed in line 26.

```
Snippet 5.17 — .shuffle Vs. .permutation
  # import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # shuffling/permuting an existing array
  # --+ the array
  >>> A = np.arange(10)
  array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
  # --+ .shuffle produces in-place changes
10 >>> np.random.shuffle(A)
11 >>> A
12 array([6, 9, 3, 8, 7, 2, 4, 1, 0, 5])
13 # --+ .permutation creates a copy
14 >>> np.random.permutation(A)
array([7, 6, 5, 2, 1, 8, 0, 4, 9, 3])
16 >>> A
17 array([6, 9, 3, 8, 7, 2, 4, 1, 0, 5])
18
# shuffling an existing array and ensure reproduciblity
_{20} # --+ the array
_{21} >>> B = [0, 1, 2, 3]
22 # --+ initialize a random generator instance
23 >>> rng = np.random.default_rng(12345)
24 >>> rng.shuffle(B)
25 >>> B
  [2, 0, 3, 1]
```

Sampling what?

Random sampling is the process of selecting a random subset of elements from an array. NumPy allows us:

- to sample the elements belonging to an existing array
- to sample the elements included in a certain interval
- to sample from a theoretical distribution

Lines 9 and 12 included in Snippet 5.18 show how to sample a given number of elements (see parameter size) from an existing array. The code in line 12 differes from the code in line 9 because of the optional parameter replace that is set to False (default is True). In so doing, an element can be sampled once and once only.

In lines 22 and 27, we create two arrays of shape (10000,) from the random normal (see .random.normal) and Poisson (see .random.poisson) distribution respectively. In the interesting of redundancy, .random.normal takes three mandatory arguments: the mean of the distribution (loc), the standard deviation of the distribution (scale), and the size of the array (size); (see .random.poisson) takes two mandatory arguments: the expected value/variance of the distribution (lam) and the size of the distribution (size). In lines 32 - 50, we use Matplotlib to visualize the distribution of the two arrays (see Figure 5.4)

```
Snippet 5.18 — .integers Vs. .random Vs. .choice
# import numpy with the socially accepted alias 'np'
  >>> import numpy as np
  # the array
  >>> A = np.arange(10)
  # random sampling from an existing array
  # --+ sampling with replacement (items can be drawn multiple times)
9 >>> np.random.choice(A, size=7)
array([3, 5, 7, 3, 1, 5, 2])
# --+ sampling without replacement (items can be drawn only once)
12 >>> np.random.choice(A, size=7, replace=False)
13 array([4, 2, 6, 3, 1, 0, 5])
14
15 # sampling from a range
16 >>> np.random.integer(0, 10, size=4)
17 array([4, 3, 2, 3])
```

```
# sampling from a theoretical distribution
  \# --+ 10,000 items from a the normal distribution with mean 10 and
21 # standard deviation 10
22 >>> N = np.random.norm(loc=10, scale=10, size=10000)
23 >>> N
24 array([-1.80532765, 1.43318889, 1.14774224, ..., 0.38328412,
          1.37732456, 0.76801253])
25
 # --+ 10,000 items from the Poisson distribution with lambda=10
26
  >>> P = np.random.poisson(lam=10, size=10000)
 >>> P
29 array([11, 7, 7, ..., 9, 6, 7])
  # --+ viualize the two arrays
31 # ---+ Normal distribution
fig = plt.figure(figsize=(3, 3))
ax = fig.add_subplot(111)
ax.hist(N, color='blue', bins=50)
35 ax.set_ylabel('Count')
36 ax.set_xlabel('Value')
plt.title('Normal distribution data')
plt.grid(True, ls="--")
39 plt.show()
40 # ----+ Poisson distribution
41 from collections import Counter
42 P_FR = Counter(P)
fig = plt.figure(figsize=(3, 3))
ax = fig.add_subplot(111)
ax.scatter(P_FR.keys(), P_FR.values(), color='blue')
46 ax.set_ylabel('Count')
47 ax.set_xlabel('Value')
48 plt.title('Poisson distribution data')
49 plt.grid(True, ls="--")
50 plt.show()
```

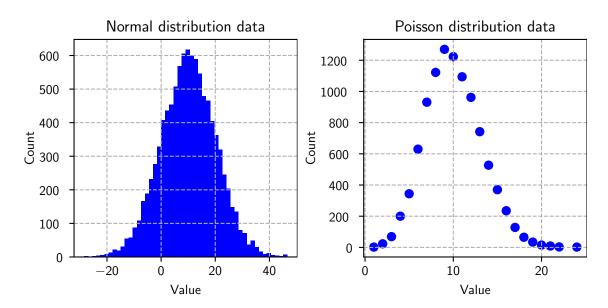


Figure 5.4: A visual illustration of the Normal and Poisson data generated in Snippet 5.18

### 5.10 File Input and Output (IO) with ndarrays

NumPy binary files

What are the advantages of IO with the .npy format?

What are the IO routines for the .npy format?

Can you show me some IO examples?

In Section 5.3, we saw how to create an array from the data included in a file stored locally. In this section, we will focus on how to input and output NumPy arrays as NumPy binary files

There are several advantages:

- Efficiency! Efficiency!! Efficiency!!! IO with NumPy arrays is easy to code and fast to operate
- We do not have to care about carachter encoding aspects
- It is possible to wrap multiple arrays up in the same file

There are four routines, summarized in Table 5.21.

Of course! Snippet 5.19 deals with the following cases:

- Single array case: lines 18 and 20 illustrate how to write and read an .npy file (accepting one and one array only!)
- Multiple array case: lines 35 60 illustrate how to write and read an .npz file (accepting multiple arrays)

Regarding the 'multiple array case,' it is worth noticing that it is possible to write the arrays to a file preserving the variable names assigned to the arrays (e.g., A, B, C, see line 55). Such an option is particularly helpful when the variable names are meaningful and, perhaps, we expect to load the data back sometime in the future, when we may or may not remember 'what is what.'

Table 5.21
File Input and Output with NumPy Arrays

:	
Routine	Synopsis
<pre>np.load(file[, mmap_mode, allow_pickle,])</pre>	Load arrays or pickled objects from .npy, .npz or pickled files
np.save(file, arr[, allow_pickle, fix_imports])] Save an array to a binary file in NumPy .npy format	Save an array to a binary file in NumPy .npy format
np.savez(file, *args, **kwds)	Save several arrays into a single file in uncompressed .npz format
np.savez_compressed(file, *args, **kwds)	Save several arrays into a single file in compressed .npz format

Notes: the statements included in the 'Routine' column assume NumPy is loaded with the np alias.

```
Snippet 5.19 — IO with the .npy (.npz) format
1 # import numpy with the socially accepted alias 'np'
2 >>> import numpy as np
  # the array
5 >>> A = np.reshape(np.arange(100), (10, 10))
6 >>> A
  array([[ 0, 1, 2, 3, 4, 5, 6, 7, 8, 9],
          [10, 11, 12, 13, 14, 15, 16, 17, 18, 19],
          [20, 21, 22, 23, 24, 25, 26, 27, 28, 29],
9
          [30, 31, 32, 33, 34, 35, 36, 37, 38, 39],
10
          [40, 41, 42, 43, 44, 45, 46, 47, 48, 49],
11
          [50, 51, 52, 53, 54, 55, 56, 57, 58, 59],
          [60, 61, 62, 63, 64, 65, 66, 67, 68, 69],
13
          [70, 71, 72, 73, 74, 75, 76, 77, 78, 79],
14
          [80, 81, 82, 83, 84, 85, 86, 87, 88, 89],
15
          [90, 91, 92, 93, 94, 95, 96, 97, 98, 99]])
16
17
18 # save A, delete it, and load the data back in
19 >>> np.save('A.npy', A)
20 >>> del A
21 >>> A = np.load('A.npy')
22 >>> A
23 array([[ 0, 1, 2, 3, 4, 5, 6, 7, 8, 9],
          [10, 11, 12, 13, 14, 15, 16, 17, 18, 19],
24
          [20, 21, 22, 23, 24, 25, 26, 27, 28, 29],
25
          [30, 31, 32, 33, 34, 35, 36, 37, 38, 39],
26
          [40, 41, 42, 43, 44, 45, 46, 47, 48, 49],
27
          [50, 51, 52, 53, 54, 55, 56, 57, 58, 59],
28
          [60, 61, 62, 63, 64, 65, 66, 67, 68, 69],
          [70, 71, 72, 73, 74, 75, 76, 77, 78, 79],
30
          [80, 81, 82, 83, 84, 85, 86, 87, 88, 89],
31
          [90, 91, 92, 93, 94, 95, 96, 97, 98, 99]])
32
33
34 # working w/multiple arrays
35 # --+ save A and its transpose into a single file in uncompressed format
36 >>> np.savez('AAT.npz', A, A.T)
37 # --+ load the arrays back
38 # ----+ create an NpzFile object
39 >>> my_arrays = np.load('AAT.npz')
40 # ----+ check the arrays with the file attribute files
41 >>> my_arrays.files
42 ['arr_0', 'arr_1']
_{43} # ----+ fetch the data on the second item
44 >>> my_arrays['arr_1']
45 array([[ 0, 10, 20, 30, 40, 50, 60, 70, 80, 90],
          [ 1, 11, 21, 31, 41, 51, 61, 71, 81, 91],
          [ 2, 12, 22, 32, 42, 52, 62, 72, 82, 92],
47
          [ 3, 13, 23, 33, 43, 53, 63, 73, 83, 93],
48
          [ 4, 14, 24, 34, 44, 54, 64, 74, 84, 94],
49
          [5, 15, 25, 35, 45, 55, 65, 75, 85, 95],
50
          [ 6, 16, 26, 36, 46, 56, 66, 76, 86, 96],
```

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```
52
          [7, 17, 27, 37, 47, 57, 67, 77, 87, 97],
          [8, 18, 28, 38, 48, 58, 68, 78, 88, 98],
53
54
          [ 9, 19, 29, 39, 49, 59, 69, 79, 89, 99]])
  # --+ it is also possible to save the arrays with their original names
  >>> np.savez('AAT.npz', A=A, AT=A.T)
  >>> my_arrays = np.load('AAT.npz')
57
  >>> my_arrays.files
58
  ['A', 'AT']
59
  >>> AT = my_arrays['AT']
60
  >>> AT
61
  array([[ 0, 10, 20, 30, 40, 50, 60, 70, 80, 90],
62
          [ 1, 11, 21, 31, 41, 51, 61, 71, 81, 91],
63
64
          [ 2, 12, 22, 32, 42, 52, 62, 72, 82, 92],
          [ 3, 13, 23, 33, 43, 53, 63, 73, 83, 93],
65
          [ 4, 14, 24, 34, 44, 54, 64, 74, 84, 94],
66
          [5, 15, 25, 35, 45, 55, 65, 75, 85, 95],
67
68
          [ 6, 16, 26, 36, 46, 56, 66, 76, 86, 96],
          [7, 17, 27, 37, 47, 57, 67, 77, 87, 97],
69
          [8, 18, 28, 38, 48, 58, 68, 78, 88, 98],
70
          [ 9, 19, 29, 39, 49, 59, 69, 79, 89, 99]])
```

#### Notes

<sup>8</sup>The Internet has many blog posts showing the performance of NumPy in linear algebra tasks is comparable to compiled languages, such as C.

<sup>9</sup>This feature was introduced with NumPy 1.23.

<sup>10</sup>The documentation of numPy 1.23 states that It is no longer recommended to use this class, even for linear algebra. Instead use regular arrays. The class may be removed in the future.

<sup>11</sup>Here is an interesting passage from the official NumPy documentation: NumPy hands off array processing to C, where looping and computation are much faster than in Python. To exploit this, programmers using NumPy eliminate Python loops in favor of array-to-array operations. vectorization can refer both to the C offloading and to structuring NumPy code to leverage it.

<sup>12</sup>Matlab is a numeric computing environment that is particularly popular in academia and industry.

<sup>13</sup>The students who want to familiarize with the role of computer simulation in understanding economc and social formationsmay want to refer to: i) Axelrod, R. The complexity of cooperation, Princeton University Press, 1997; ii) Schelling, T. C. Micromotives and macrobehavior. WW Norton & Company, 1978; iii) Epstein, J. M. & Axtell, R. Growing Artificial Societies—Social Science from the Bottom Up. Artif Life 3, 237–242, 1997.

### Chapter 6

## Data Management with Pandas

Learning goals:

• ...

#### 6.1 Pandas 101

What is special about Pandas?

Pandas is the *de facto* standard when it come managing data (in general, not only in Python!). So, what is special about Pandas?

- Compared to competing frameworks for data management,<sup>14</sup> Pandas offers a unique combination of features, including ease of use, flexibility, and performance
- Pandas has an edge in the area of time series<sup>15</sup> and panel data <sup>16</sup>— which is not surprising since Pandas was created for quantitative financial analysts and it is still very popular in the field of finance at large<sup>17</sup>
- Pandas builds on NumPy. That means access to a large variety of vectorized functions; that is, users/developers do not have to write boring and inefficient loops to perform operations on data
- The quality of the documentation covering Pandas is off-the-chart. The API is welldocumented and well-tested, while the user guide has plenty of examples showing Panda's features in action

Is Pandas a must-have skill and why?

Got it: Pandas is a must-have skill. But what can I achieve with it?

Yes, it is. The reason is pretty straightforward: in an idea world, data are clean and easy to work with. In a real world, data are messy and difficult to work with. So, it is important to have a tool that can help you work with data. Also, junior analysists are — oftentimes — required to carry out a substantial amount of data management. So you may want to get prepared before you land in the industry . . .

Data scientists and quantitative analysts use Pandas to carry out tasks falling in the following families:

- Data preparation, consisting of maximizing the quality of the data at hand; that is, getting information offering the most accurate representation of the business, economic, or financial process of interest. An example is cleaning the outcome of web-crawling project targeting an online community of, say, beer enthusiasts
- Data augmentation, consisting of expanding on the raw information to create the variables that best capture the process we want to analyze. An example is creating a measure of customer satisifaction based on the data acquired using web-crawling
- Data transformation, consisting of arranging the data in the way that best supports a data visualization or analysis task. An example is converting a long data table, whereing observations are nested in one or multiple grouping variable, to a wide structure (hold your horses, we will see a concrete example later on in thi chapter)

#### 6.2 The Pandas DataFrame

What is a Pandas DataFrame?

Per the Pandas API, a DataFrame is a:

"two-dimensional, size-mutable, potentially heterogeneous tabular data"

Put simply (i.e., from a user standpoint), a DataFrame is a tabular data structure with rows and columns. Tipically, the rows are the cases (e.g., individuals, groups, firms, countries, etc), whereas the columns are the so-called fields, variables, or features (e.g., wage, job satistisfaction, stock-market value, etc.). Figure 6.1 shows a stylized representation of a case-by-variable data structure, very common in Pandas.

Case	Var a	Var b	 Var i		Var k
1	$a_1$	$b_1$	 $i_1$		$egin{array}{c} k_1 \ k_2 \end{array}$
2	$a_2$	$b_2$	 $i_2$		$k_2$
j	$  a_j  $	$ $ $b_j$	 $ $ $i_j$		$ \mathbf{k}_{j} $
	,	,	,	•	, ,
n	$a_n$	$b_n$	 $\mathbf{i}_n$		$k_n$

Figure 6.1: A stylized representation of a case-by-variable data structure

How do I create a DataFrame?

Passing iterables to the DataFrame class

Mainly, there are two alternatives:

- Option 1: passing iterables loaded onto the current Python session<sup>19</sup> to the .DataFrame class
- Option 2: sourcing external data, available in a local file or on a server,

Table 6.1 shows three functions that can be used to create a DataFrame from existing iterables, namely:

- .DataFrame.from\_dict, which creates a DataFrame from a dictionary
- .DataFrame.from\_records, which creates a DataFrame from a list of tuples
- .DataFrame.sparse.from\_spmatrix, which creates a DataFrame from a SciPy sparse matrix (a convenient option when it comes to manipulate network data, which, oftentimes, exhibit sharp sparseness)

Table 6.1

Creating a DataFrame from Data Loaded in the Python Session

Routine	Synopsis
<pre>pd.DataFrame.from_dict</pre>	Construct DataFrame from dict of array-like or dicts
<pre>pd.DataFrame.from_records</pre>	Convert structured or record ndarray to DataFrame
<pre>pd.DataFrame.sparse.from_spmatrix</pre>	pd.DataFrame.sparse.from_spmatrix

Notes: the statements included in the 'Routine' column assume Pandas is loaded with the pd alias.

.DataFrame.from\_dict in action

Snippet 6.1 shows how to create a DataFrame from one or more iterables that are loaded in the current Python session. In line 5, we create a dictionary whose keys are associated with variables — i.e., the columns of the DataFrame. In line 10, we assign the variable df to the outoput of .from\_dict with the dictionary my\_data as input. In line 11, df is printed to the screen. You may have noticed that the mathematical progression reported on the left-right of the tabular data: that is the so-called Pandas 'index,' a concept that we will see in Section 6.3.

By default, .from\_dict parses the values of the input dictionary as columns. However, it is also possible to parse a dictionary's values as cases. That is what we achieve in line 30, where we populate the discretionary parameter orient with the value index, meaning Python must consider the dictionary keys as cases rather than columns. In line 38, we make a further adjustement by passing a list with the column names to the discretionary parameter columns. It is not necessary to do that, but it helps to interpret the columnsn — which, when we set orient="index", are named with a mathematical progression by default.

```
Snippet 6.1 — creating a DataFrame from a dictionary
  # import pandas with the socially accepted alias 'pd'
  >>> import pandas as pd
   # create a dictionary of arrays
  >>> my_data = {
           "var_1": [1, 2, 3, 4, 5],
           "var_2": ["ABC", "Hello world", "Bazinga!", "cheers", "ciao"],
       }
8
  # get a DataFrame from the dictionary and display it
  >>> df = pd.DataFrame.from_dict(my_data)
  >>> df
11
12
      var_1
                    var_2
                      ABC
  0
          1
13
          2 Hello world
14
  1
  2
          3
                 Bazinga
15
          4
  3
16
                   cheers
  4
          5
17
                     ciao
18
  # create a dictionary whose keys are cases
19
20
  >>> my_data = {"case_1": ["Pluto", "dog"], "case_2": ["Goofy", "dog"]}
21
22
23 # get a DataFrame from the dictionary and display it
24
  >>> df = pd.DataFrame.from_dict(my_data)
  >>> df
```

```
case_1
               case_2
26
  0
       Pluto
                Goofy
27
  1
28
         dog
                   dog
29
  \mid # \dots something wrong here - let us adjust the optional param 'orient'
31 >>> df = pd.DataFrame.from_dict(my_data, orient="index")
32 >>> df
                        1
33
34 case_1 Pluto
                      dog
35 case_2 Goofy
                      dog
36
  # ... still something wrong here - where are the column names?
37
38 >>> df = pd.DataFrame.from_dict(
           my_data, orient="index",
39
           columns=["name", "species"]
40
41
42 >>> df
43
                  species
            name
44 case_1 Pluto
                       dog
  case_2 Goofy
                       dog
```

Creating a DataFrame from 'external' data

As we will see in Section 6.13, there are plenty of Pandas IO utilities that target alrternative file formats/extensions (e.g., .json, .csv, .xlsx). In this section, I focus on one specific case, namely, the creation of a DataFrame from a CSV file. The first part of the snippet, up until line 27, create fake data and write them to a local .csv file. Creating a DataFrame from a CSV file is a simple matter of passing the name of the file (or a file path) to the .read\_csv. Such a function has a substantial number of discretionary parameters — I warmly encourage you to go through the documentation and familiarize with the various options.

```
Snippet 6.2 — creating a DataFrame from a CSV file
  # impoort pandas with the socially accepted alias 'pd'
  >>> import pandas as pd
  # create fake data and write them to a .csv
  # --+ column names
  >>> columns = ["x", "y"]
  # --+ column values
  >>> x = [0, 1, 2]
  >>> y = ["A", "B", "C"]
10 # --+ write teh data to a file
>>> with open("my_data.csv", "w") as f:
           # write the column names first
12
           f.write(",".join(columns) + "\n")
13
           # then, write the data case-by-case
14
```

```
15
           for i, j in zip(x, y):
                f.write("{},{}".format(i, j) + "\n")
16
_{17} # --+ close the pipe
18 f.close()
19
_{
m 20} # create a DataFrame from the .csv file and display it
  >>> df = pd.read_csv("my_data.csv")
  >>> df
22
23
         У
  0
      0
         Α
   1
      1
        В
   2
      2 C
```

### 6.3 Checking a DataFrame's Attributes

What are the key attributes of a DataFrame?

Pandas DataFrame can be characterized along a number of attributes, such as:

- shape
- size
- number of dimensions
- set of column names
- set of case indices

A convenient way to access multiple DataFrame's attributes in a row is by using the .info method. Per Snippet 6.3 (see lines 18-26), we know that df has three entries and as many indices, two columns (an object, country, and a float gdp\_pc), and occupies circa 176 bytes in memory.

The bottom section of Snippet 6.3 shows how to access the individual attributes of a DataFrame using .shape, .size, .ndim, and .memony\_usage.

```
Snippet 6.3 — accessing a DataFrame's attributes with .info
# import pandas with the socially accepted alias 'pd'
2 >>> import pandas as pd
# create a DataFrame from a dictionary
5 >>> gdp_data = {
          "country": ["Belgium", "France", "Germany"],
          "gdp_pc": [51767.8, 43518.5, 50801.8]
9 >>> df = pd.DataFrame.from_dict(gdp_data)
10 >>> df
     country
11
               gdp_pc
12 0 Belgium 51767.8
13 1
     France 43518.5
14 2 Germany 50801.8
15
16 # get DataFrame 'info'
17 >>> df.info()
18 RangeIndex: 3 entries, 0 to 2
19 Data columns (total 2 columns):
       Column Non-Null Count Dtype
20
21 ---
       _____
22 0
      country 3 non-null
                                object
23 1
       gdp_pc 3 non-null
                                float64
24 dtypes: float64(1), object(1)
25 memory usage: 176.0+ bytes
27 # let us get df's attributes one-by-one
_{28} # --+ shape (cases by columns)
29 >>> df.shape
_{30} (3, 2)
31 # --+ size (cases X columns)
32 >>> df.size
33 6
# --+ number of dimensions (columns)
35 >>> df.ndim
36 2
37 # --+ check memory usage column-by-column
38 >>> df.memory_usage()
39 Index
             128
40 country
              24
41 gdp_pc
42 dtype: int64
```

### 6.4 The Anatomy of a DataFrame

What are the components of a DataFrame?

Mainly, DataFrames have two components:

- an index
- a set of columns, each of which is a Pandas Series

What is the index of a DataFrame?

How does a DataFrame index matter?

Is it mandatory to pass an index when I create a DataFrame?

How do I access a DataFrame index?

Can I edit a DataFrame index?

22

# change the index

Snippet 6.4 — accessing and amending a DataFrame's index

Put simple, it is a Python object that is associated with a case or cases in a DataFrame. The index is the primary key for a DataFrame.

The index is the primary key for a DataFrame and makes data querying easier and more efficient (we will see this in Section 6.5.

In general, it is not necessary. When we do not pass an index, Pandas will create a mathematical progression and assign it to the index for us (see for example Snippet 6.3).

index is a DataFrame's attribute (in a Pythonic sense!). Snippet 6.4 shows how to access the index (line 13). Also, the snippet shows that a RangeIndex object is an iterable object (line 17).

Yes, you can. Snippet 6.4 illustrates how to assign a DataFrame's to an iterable object (line 24). To change the index, it is also possible to use the function .set\_index (see Section 6.6.)

```
# import pandas with the socially accepted alias 'pd'
  >>> import pandas as pd
  # create a DataFrame from a dictionary
  >>> df = pd.DataFrame.from_dict({"S":["s1", "s2", "s3"], "X":[-99, 8, 0]})
  >>> df
       S
           Х
     s1 -99
  1 s2
           8
  2 s3
           0
10
11
12 # access the index
13 >>> df.index
14 RangeIndex(start=0, stop=3, step=1)
16 # iterate over the index
17 >>> for item in df.index:
          print(item)
18 ...
19 0
20 1
21 2
```

What is a Pandas Series

How do I create a Series?

How do I access a Series included in DataFrame?

A Pandas Series is a one-dimensional object. The columns of a DataFrame are a collection of Series objects.

It is possible to create a Series using the .series class (see Snippet 6.5, line 5).

A Series object can be accessed as a DataFrame attribute (see Snippet 6.5, line 13). It is self-evident that a Series borrows the index of its parent DataFrame (see lines 16 and 24).

```
Snippet 6.5 — accessing a Series included in a DataFrame
  # import pandas with the socially accepted alias 'pd'
  >>> import pandas as pd
  # create a series
  >>> S = pd.Series(['s1', 's2', 's3'])
  >>> print(S)
  0
        s1
  1
        s2
  2
        s3
9
10
# accessing a DataFrame column as a Series
12 # --+ the data
| >>> df = pd.DataFrame.from_dict({"S":["s1", "s2", "s3"], "X":[-99, 8, 0]})
# --+ assign S to the fetched column and print S
15 >>> S = df.S
16 >>> print(S)
17 0
        s1
18 1
        s2
19 2
        s3
20 # --+ amend the index
21 >>> df.index = ["case_1", "case_2", "case_3"]
22 # --+ assign S to the fetched column and print S
_{23} >>> S = df.S
24 >>> print(S)
25 case_1
             s1
26 case_2
             s2
  case_2
             s3
```

### 6.5 Querying DataFrames

What is data querying?

How do I query data in Pandas?

Data queries can take two non-mututally exclusive forms. One may want to:

- select a subset of cases
- select a subset of columns

Pandas DataFrame objects have a property called .loc that allows to access a group of rows and columns by label(s) or a boolean array. To use .loc, one has to pass two inputs among brackets:

- the set of cases to select (the left-hand side element among brackets)
- the set of columns to select (the right-hand side element among brackets)

Snippet 6.6 shows a couple of use cases for the .loc property:

- line 33 selects the first two cases of the DataFrame
- line 39 selects the column "price" first two rows of the DataFrame
- line 46 selects the columns "price" and "color" for all cases included in the DataFrame
- line 52 selects the cases for which the column "price" is less than or equal to 8.00
- line 59 selects the cases for which the column "price" is less than 9.00 and the column "color" is equal to "green"
- line 65 expands on line 59 by selecting the column "price" when "price" is less than 9.00 and "color" is equal to "green"

Please refer to sections 4.8 and 4.9 to consolidate your knowledge on the Python syntax of statements and control flow.

```
Snippet 6.6 — querying data in Pandas with .1oc
# import pandas with the socially accepted alias 'pd'
2 >>> import pandas as pd
# create a DataFrame from a dictionary
5 >>> df = pd.DataFrame.from_dict(
          {
              "product": ["a", "b", "c"],
7
              "price": [9.87, 8.63, 6.45],
              "color": ["green", "green", "blue"],
9
          }
10
      )
11
12
13 # data preview
14 >>> df
   product price colror
16 0
        a 9.87 green
          b 8.63 green
17 1
18 2
          c 6.45 blue
19
20 # info
21 >>> df.info()
22 RangeIndex: 3 entries, 0 to 2
23 Data columns (total 3 columns):
       Column Non-Null Count Dtype
24
25 --- ----- -----
0 product 3 non-null
                              object
27 1 price 3 non-null
                              float64
28 2 color 3 non-null object
29 dtypes: float64(1), object(2)
memory usage: 200.0+ bytes
32 # select the first the two cases using a range of indices
33 >>> df.loc[0:2]
        product price color
34
            a 9.87 green
35
36
              b
                8.63 green
37
38 # select the column "price" for the first the two cases using a boolean array
39 >>> df.loc[0:2, "price"]
40 0
      9.87
       8.63
41 1
42 2 6.45
43 Name: price, dtype: float64
_{45} # select the columns "price" and "color" for all cases
46 >>> df.loc[:, ["price", "color"]]
    price color
47
48 0 9.87 green
49 1 8.63 green
  # select all cases for which the column "price" is greater than or equal to 8.00
```

```
52 >>> df.loc[df["price"] >= 8.00, ]
    product
              price
                     color
53
  0
               9.87
54
           a
                     green
55
               8.63
                     green
56
57 # select all cases for which the column "price" is less than to 9.00 and
  # "color" is equal to "green"
  >>> df.loc[(df["price"] < 9.00) & (df["color"] == "green"), ]
60
    product price color
               8.63
61
  1
62
_{
m 63} # select all cases for which the column "price" is less than to 9.00 and
4 # "color" is equal to "green"; also, keep the column "price" only
  >>> df.loc[(df["price"] < 9.00) & (df["color"] == "green" ), "price"]
66
  Name: price, dtype: float64
```

### 6.6 Manipulating DataFrame Columns

What are the main operations on a DataFrame's columns?

How do I rename or drop a column in Pandas?

Mainly, one may want to:

- rename a column
- drop a column
- create a new column
- amend an existing column's values

As shown in Snippet 6.7, Pandas has two methods, .rename() and .drop(), to rename and drop columns respectively.

Line 35 shows how to rename a column. The first argumet is a dictionary mapping the new name onto the old name (the dictionary's key). The second argument is a boolean flag that indicates whether to make the change effective (if "True") or not (if "False").

Lines 45 and 51 show how to drop a column. The first argument is an array with the name(s) of the column(s) to delete. The second argument is a boolean flag that indicates whether to make the change effective (if "True") or not (if "False").

```
Snippet 6.7 — renaming and dropping DataFrame columns
# import pandas with the socially accepted alias 'pd'
2 >>> import pandas as pd
4 # the df
  >>> df = pd.DataFrame(
          {
               "laptop": ["MacBook Pro 13inch", "Thinkpad T14", "Dell XPS 13"],
7
               "ram": ["16 GB", "48 GB", "8 GB"],
               "os": ["macOS Monterey", "Debian 11", "Windows 11"],
9
              "chip": ["M1", "Ryzen", "Intel Core i7"]
          }
11
      )
12
13
14 # preview
15 >>> df
16
                 laptop
                           ram
                                                         chip
17 O MacBook Pro 13inch 16 GB macOS Monterey
                                                           M1
           Thinkpad T14 48 GB Debian 11
18 1
                                                        Ryzen
19 2
            Dell XPS 13
                         8 GB
                                    Windows 11 Intel Core i7
20
21 # info
22 >>> df.info()
23 RangeIndex: 3 entries, 0 to 2
24 Data columns (total 4 columns):
25
       Column Non-Null Count Dtype
26
   0 laptop 3 non-null
                               object
27
               3 non-null
28
   1 ram
                               object
               3 non-null
29
      os
                              object
   3 chip
               3 non-null
                               object
30
31 dtypes: object(4)
memory usage: 224.0+ bytes
33
34 # rename the column "ram" to "memory"
35 >>> df.rename(columns={"ram": "memory"}, inplace=True)
36 >>> df
37
                 laptop memory
                                                         chip
38 O MacBook Pro 13inch 16 GB macOS Monterey
                                                           M1
           Thinkpad T14 48 GB
39 1
                                    Debian 11
                                                        Ryzen
40 2
            Dell XPS 13
                         8 GB
                                    Windows 11 Intel Core i7
41
42 # drop the column "chip"
43 # --+ when inplace is set to False, the outcom of .drop is sent to the
44 # interactive sessions, but the data in memory are not affected
45 >>> df.drop(columns=["chip"], inplace=False)
                 laptop memory
46
47 O MacBook Pro 13inch 16 GB macOS Monterey
48 1
           Thinkpad T14 48 GB
                                     Debian 11
            Dell XPS 13 8 GB
                                    Windows 11
_{50} # --+ when inplace is set to True, the data in memory are affected
51 >>> df.drop(columns=["chip"], inplace=True)
```

```
>>> df
52
                   laptop memory
53
     MacBook Pro 13inch
                            16 GB
                                   macOS Monterey
  0
            Thinkpad T14
                            48 GB
                                         Debian 11
55
  1
  2
             Dell XPS 13
                             8 GB
                                        Windows 11
```

How do I create a new column or amend an existing Pandas column? The .loc method is the most appropriate way to create a new column or alter an existing column's values. Snippet 6.8 illustrates a couple of common tasks. In line 15, we assign a list of string objects to a new column called manufacturer for all cases included in df.

In lines 24/26, 41, and 58 we amend the valued of an existing column. First, we manipulate the strings included under RAM so to replace the substring "\sGB" with an empty string (lines 24/26). Second, we change the type of the column from string to integer using the Pandas' .astype() method (line 41). Then, we take the log of ram and assign it to the new column log\_ram (line 58).

In line 85, we use .loc to create a new variable for a subset of cases only. Soecifically, we populate the column gpu provided the value of laptop is "Thinkpad T14."

```
Snippet 6.8 — creating and amending DataFrame columns
  # import pandas with the socially accepted alias 'pd'
  >>> import pandas as pd
  # the df
  >>> df = pd.DataFrame(
           {
               "laptop": ["MacBook Pro 13inch", "Thinkpad T14", "Dell XPS 13"],
               "ram": ["16 GB", "48 GB", "8 GB"],
               "os": ["macOS Monterey", "Debian 11", "Windows 11"],
9
               "chip": ["M1", "Ryzen", "Intel Core i7"],
10
           }
11
12
  )
13
  # create a new column, e.g., the name of the manufacturer
  >>> df.loc[:, "manufacturer"] = ["Apple", "Lenovo", "Dell"]
  >>> df
16
                  laptop ram
                                                         chip manufacturer
17
                                           os
                              macOS Monterey
18
  0
     MacBook Pro 13inch
                          16
                                                           M1
                                                                     Apple
  1
            Thinkpad T14
                          48
                                    Debian 11
                                                        Ryzen
                                                                    Lenovo
19
20
  2
             Dell XPS 13
                            8
                                   Windows 11 Intel Core i7
                                                                      Dell
21
22 # transform the column "ram" from string to number type
23 # --+ step 1: get rid of non-number characters using a regular expression
  >>> df.loc[:, "ram"] = df.loc[:, "ram"].str.replace(r"[^0-9]", "")
```

```
_{25} # --+ alterntive way to carry out step 1: using Pandas string methods
26 >>> df.loc[:, "ram"] = df["ram"].str.replace(r"\sGB", "")
27 # --+ step 2: convert the string to number type
28 # ---+ check the type of "ram"
29 >>> df.info()
30 Data columns (total 5 columns):
31
       Column
                     Non-Null Count Dtype
       _____
                    _____
32
   0 laptop
33
                     3 non-null
                                    object
      ram
                     3 non-null
                                   object
   1
34
                                  object
object
35
  2 os
                     3 non-null
                     3 non-null
      chip
36
   4 manufacturer 3 non-null
                                   object
37
38 dtypes: object(5)
39 # ----+ surprise-surprise: we have not a number type yet
40 # let us change dtype with Pandas astype method
41 >>> df.loc[:, "ram"] = df.loc[:, "ram"].astype(int)
_{42} # ---+ check the data type again
43 >>> df.info()
44 Data columns (total 5 columns):
45
       Column
                  Non-Null Count Dtype
       -----
                     _____
46
   0
                     3 non-null
47
      laptop
                                   object
                     3 non-null int64
3 non-null object
3 non-null object
   1
      ram
48
   2 os
49
   3 chip
50
51 4 manufacturer 3 non-null
                                   object
52 dtypes: int64(1), object(4)
memory usage: 248.0+ bytes
54 memory usage: 248.0+ bytes
55
_{56} # transform an existing column and assign the output to a new column
57 # --+ import numpy to access the log function
58 >>> df["log_ram"] = np.log(df["ram"])
59 # --+ preview
60 >>> df.info()
                 laptop ram
                                                      chip manufacturer \
61
62 0 MacBook Pro 13inch
                         16 macOS Monterey
                                                        M1
                                                                  Apple
           Thinkpad T14 48 Debian 11
                                                                 Lenovo
63 1
                                                     Ryzen
64 2
           Dell XPS 13 8
                                Windows 11 Intel Core i7
                                                                   Dell
65
      log_ram
66
67 0 2.772589
 1 3.871201
69 2 2.079442
70 # --+ info
71 >>> df.info()
72 Data columns (total 6 columns):
                 Non-Null Count Dtype
73
       Column
       _____
                     -----
   0
                     3 non-null
                                     object
       laptop
   1
       ram
                    3 non-null
                                    int64
```

```
2
                       3 non-null
                                        object
        os
    3
                                        object
        chip
                       3 non-null
        manufacturer 3 non-null
                                        object
79
        log_ram
                       3 non-null
                                        float64
80
  dtypes: float64(1), int64(1), object(4)
  memory usage: 272.0+ bytes
82
83
  # create a new column conditional on another column's value
84
  >>> df.loc[df["laptop"] == "Thinkpad T14", "gpu"] = True
  # --+ info
  >>> df.info()
87
  Data columns (total 7 columns):
88
                      Non-Null Count
89
                                       Dtype
                       _____
90
                                        object
    0
        laptop
                       3 non-null
    1
                       3 non-null
                                        int64
        ram
92
    2
                       3 non-null
                                        object
93
        os
    3
                                        object
        chip
                       3 non-null
94
95
        manufacturer 3 non-null
                                        object
                                        float64
        log_ram
                       3 non-null
96
    6
                       1 non-null
                                        object
        gpu
97
  dtypes: float64(1), int64(1), object(5)
  memory usage: 296.0+ bytes
  # --+ preview
.00
  >>> df
01
                   laptop
                                                           chip manufacturer
02
                           ram
                                             os
     MacBook Pro 13inch
                            16 macOS Monterey
                                                             M1
                                                                        Apple
03
  0
  1
            Thinkpad T14
                            48
                                     Debian 11
                                                          Ryzen
                                                                      Lenovo
.04
  2
             Dell XPS 13
                             8
                                     Windows 11 Intel Core i7
                                                                         Dell
.05
06
       log_ram
                 gpu
07
     2.772589
                 NaN
  0
      3.871201
                True
09
      2.079442
                 NaN
```

What is a missing value?

A missing value is a datapoint for which no information is available in the dataset. Mossong values can arise for many reasons. The most popular reasons are:

- the case does not present a value for the variable. For example, it is not possible to record the stock market of a company before the IPO
- the case does present a value for the variable. However, the value was not recorded because of the limitations of the data gathering process
- the recorded value is not accurate/valid hence, it was removed from the dataset

How does Pandas represent missing values?

How do I handle missing values in Pandas?

Pandas denote missing values using NumPy's floating representation numpy.NaN

There are several approaches to cope with missing values:

- deleting all cases where a missing value is present
- replacing missing values with a fixed value
- replacing missing values with the mean of the column
- replacing missing values with the estimate of a statical model

The latter approach is the most sophisticaed one and falls beyond the remit of these notes. In Snippet 6.9, I show how to implement the first three approaches in Pandas. Line XX. Line XX. Line XX.

.

```
Snippet 6.9 — handling missing values
# import numpy with the socially accepted alias "np"
2 >>> import numpy as np
3 # import pandas with the socially acceptable alias "pd"
  >>> import pandas as pd
  # the dataframe
  >>> df = pd.DataFrame(
           {
8
               "item": ["a", "b", "c", "d", "e"],
9
               "price": [16.32, 16.78, np.nan, np.nan, 16.41],
10
           }
11
  )
12
13
14 # preview
15 >>> df
16
     item price
17 0
        a 16.32
        b 16.78
18
19 2
        С
             NaN
  3
             NaN
20
        d
  4
        e 16.41
21
22
  # info
23
24 >>> df.info()
Data columns (total 2 columns):
        Column Non-Null Count Dtype
26
27
   0
                                 object
        item
                5 non-null
```

```
1 price 3 non-null float64
dtypes: float64(1), object(1)
memory usage: 208.0+ bytes

32
33 # approach 1 --- delete all cases where a missing value is present
```

### 6.7 Data Types and Pandas

What are the data types admitted in a Pandas object?

Can a Series contain multiple data types?

Mainly, Pandas uses NumPy arrays as the concrete objects contained with a Index, Series, or DataFrame.

Yes, a Series can contain multiple data types (hence, a DataFrame can). In this sense, Pandas offers a more flexible data structure than NumPy arrays, which must contain objects of the same type. Snippet 6.6 shows that a list with mixed types is downgraded to a NumPy array with string objects. Instead, a Series preserves the type of each individual object (line 22 preserves it).

```
Snippet 6.6 — multiple data types in a Series
```

```
# import numpy and pandas with the socially accepted alias 'np' and 'pd'
2 >>> import numpy as np
3 >>> import pandas as pd
  # a list with a string, a float, and an integer
  >>> L = ["xyz", -17.64, 0]
  # create a NumPy array from the list
  >>> A = np.array(L)
10 >>> print(A)
11 ['xyz' '-17.64' '0']
12
# create a Series from the list
14 >>> S = pd.Series(L)
15 >>> print(S)
16 0
          xyz
17 1
       -17.64
18 2
            0
20 # proof that Series preserves the type of the individual object
^{21} # --+ fetch the second item of the list and carry out a mathematical operation
<sub>22</sub> >>> S[1]/2
   -8.82
```

### 6.8 Handling 'Time' Data in Pandas

Pandas excels at handling time data, a key component of time series and panels. Specifically, Pandas has three kind of objects that can be used to strore and represent information on time-related quantities:

- .Timestamp, the Pandas replacement for Python's Datatime object, represent a specific point in time
- .Timedelta represents two dates or time
- .Period represents a period of time

Tables A.8, A.9, and A.10, included in Appendix A, provide a cheatsheet of the Pandas functions, methods, and attributes that apply to time data.

```
Snippet 6.7 — Pandas Timestamp
1 # import pandas with the socially accepted alias 'pd'
  >>> import pandas as pd
  # fake product review data
  >>> df = pd.DataFrame.from\_dict(
           {
               "timestamp": [
                   "2017-10-08 12:03:05",
                    "2020-09-07 08:09:45",
                    "2021-04-11 10:12:13",
10
               ],
11
               "product": [
12
                    "Darth Vader plush",
13
                    "Obi-Wan Kenobi lightsaber",
14
                    "Yoda pijamas"
15
          ],
16
               "reviewer": ["Sheldon", "Sheldon", "Leonard"],
17
               "rating": [1, 2, 5],
           }
19
       )
20
21
  # data view
  >>> df.head()
23
                                               product reviewer rating
                timestamp
24
  0 2017-10-08 12:03:05
                                    Darth Vader plush Sheldon
25
                                                                       1
      2020-09-07 08:09:45 Obi-Wan Kenobi lightsaber
                                                                       2
  1
                                                        Sheldon
26
                                                                       5
27
  2 2021-04-11 10:12:13
                                          Yoda pijamas
                                                        Leonard
28
29 # get basic info
30
  >>> df.info()
RangeIndex: 3 entries, 0 to 2
```

```
Data columns (total 4 columns):
                    Non-Null Count Dtype
33
        Column
34
35
   0
        timestamp
                    3 non-null
                                     object
                                     object
   1
        product
                    3 non-null
36
   2
        reviewer
                    3 non-null
                                     object
37
        rating
                    3 non-null
                                     int64
38
  dtypes: int64(1), object(3)
39
  memory usage: 224.0+ bytes
```

- 6.9 Shaping and Reshaping DataFrames
- 6.10 Group By Part I: Data Aggregation
- 6.11 Group By Part II: Data Transformation
- 6.12 Playing with Multiple DataFrames
- 6.13 File Input and Output (IO) with Pandas

#### Notes

<sup>&</sup>lt;sup>14</sup>The set of competing frameworks include Python's PyTables and Dask, as well as R's data.tables and Julia's DDataFrames

<sup>&</sup>lt;sup>15</sup>A time series is a set of dapoints arranged in chronological order.

<sup>&</sup>lt;sup>16</sup>Panel data, widely adopted in economics and finance, are multidimensional datasets wherein same statistical units are observed repeatadel over time.

<sup>&</sup>lt;sup>17</sup>Students interested in the origins of Pandas may want to read the article on/interview with Wes McKinney "Meet the man behind the most important tool in data science."

<sup>&</sup>lt;sup>18</sup>In the field of information systems and computer science, columns are oftentimes called fields; statisticians, economists, and analysts in general use the term variable to refer to a column; the term feature is common in the Machine Learning field.

<sup>&</sup>lt;sup>19</sup>All throughout the book, I refer to Python sessions without loss of generality. The points I make are valid also for IPython or Jupyter sessions.

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## Chapter 7

# Coda

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# Appendices

## Appendix A

## **Cheat Sheets**

### A.1 Escapes

Table A.1 Helpful Escapes

Escape	Meaning
	Backslash (stores one \)
	Single quotes escape (stores ')
\"	Double quotes escape (stores ")
\a	Bell
\b	Backspace
\f	Formfeed
$\backslash n$	Newline
\r	Carriage return
\t	Horizontal tab
\v	Vertical tab

## A.2 String Methods

 $\begin{array}{c} \text{Table A.2} \\ \text{Comprehensive List of String Methods} \end{array}$ 

Cases I	
s.capitalize()	Capitalize s # 'hello' =>'Hello'
s.lower()	Lowercase s # 'HELLO' => 'hello'
s.swapcase()	Swap cases of all characters in s # 'Hello' =>"hELLO"
s.title()	Titlecase s # 'hello world' =>'Hello World'
s.upper()	Uppercase s # 'hello' =>'HELLO'
Sequence Operations I	
s2 in s	Return true if s contains s2
s + s2	Concat s and s2
len(s)	Length of s
$\min(s)$	Smallest character of s
$\max(s)$	Largest character of s
Sequence Operations II	
s2 not in s	Return true if s does not contain s2
s * integer	Return integer copies of s concatenated # 'hello' =>'hellohellohello'
s[index]	Character at index of s
s[i:j:k]	Slice of s from i to j with step k
s.count(s2)	Count of s2 in s
Whitespace I	
s.center(width)	Center s with blank padding of width # 'hi' =>' hi '
s.isspace()	Return true if s only contains whitespace characters
s.ljust(width)	Left justifiy s with total size of width # 'hello' =>'hello'
s.rjust(width)	Right justify s with total size of width # 'hello' =>' hello'
s.strip()	Remove leading and trailing white space from s $\#$ ' hello ' =>'hello'
Find / Replace I	
s.index(s2, i, j)	Index of first occurrence of s2 in s after index i and before index j
s.find(s2)	Find and return lowest index of s2 in s
s.index(s2)	Return lowest index of s2 in s (but raise ValueError if not found)
s.replace(s2, s3)	Replace s2 with s3 in s
s.replace(s2, s3, count)	Replace s2 with s3 in s at most count times
s.rfind(s2)	Return highest index of s2 in s
s.rindex(s2)	Return highest index of s2 in s (raise ValueError if not found)
Cases II	
s.casefold()	Casefold s (aggressive lowercasing for caseless matching) # 'ßorat' =>'ssorat'
s.islower()	Return true if s is lowercase
1 ()	Return true if s is titlecased # 'Hello World' =>true
s.istitle()	return true it s is titlecased # freno world =>true

Table A.2 (Cont'ed)

Inspection I	
s.endswith(s2)	Return true if s ends with s2
s.isalnum()	Return true if s is alphanumeric
s.isalpha()	Return true if s is alphabetic
s.isdecimal()	Return true if s is decimal
s.isnumeric()	Return true if s is numeric
s.startswith(s2)	Return true is s starts with s2
Splitting I	
s.join('123')	Return s joined by iterable '123' # 'hello' =>'1hello2hello3'
s.partition(sep)	Partition string at sep and return 3-tuple with part before, the sep itself, and part after # 'hello' =>('he', 'l', 'lo')
s.rpartition(sep)	Partition string at last occurrence of sep, return 3-tuple with part before, the sep, and part after # 'hello' =>('hel', 'l', 'o')
s.rsplit(sep, maxsplit)	Return list of s split by sep with rightmost maxsplits performed
s.split(sep, maxsplit)	Return list of s split by sep with leftmost maxsplits performed
s.splitlines()	Return a list of lines in s $\#$ 'hello\nworld' =>['hello', 'world']
Inspection II	
s[i:j]	Slice of s from i to j
s.endswith( $(s1, s2, s3)$ )	Return true if s ends with any of string tuple s1, s2, and s3
s.isdigit()	Return true if s is digit
s.isidentifier()	Return true if s is a valid identifier
s.isprintable()	Return true is s is printable
Whitespace II	
s.center(width, pad)	Center s with padding pad of width # 'hi' =>'padpadhipadpad'
s.expandtabs(integer)	Replace all tabs with spaces of tabsize integer # 'hello\tworld' => 'hello world'
s.lstrip()	Remove leading whitespace from s $\#$ 'hello '=>'hello '
s.rstrip()	Remove trailing whitespace from s # ' hello ' =>' hello'
s.zfill(width)	Left fill s with ASCII '0' digits with total length width $\#$ '42' =>'00042'

### A.3 NumPy Array Manipulation Routines

 ${\it TABLE~A.3} \\ {\it NumPy~Universal~Functions:~Mathematical~Operations}$ 

	~ .
Universal Function	Synopsis
add(x1, x2, /[, out, where, casting, order,])	Add arguments element-wise
$\operatorname{subtract}(x1, x2, /[, \text{ out, where, casting, }])$	Subtract arguments, element-wise
multiply(x1, x2, /[, out, where, casting,])	Multiply arguments element-wise
$\operatorname{matmul}(x1, x2, /[, out, casting, order,])$	Matrix product of two arrays
divide(x1, x2, /[, out, where, casting,])	Divide arguments element-wise
logaddexp(x1, x2, /[, out, where, casting,])	Logarithm of the sum of exponentiations of the inputs
logaddexp2(x1, x2, /[, out, where, casting,])	Logarithm of the sum of exponentiations of the inputs in base-2
$true_divide(x1, x2, /[, out, where,])$	Divide arguments element-wise
$floor_divide(x1, x2, /[, out, where,])$	Return the largest integer smaller or equal to the
~ (	division of the inputs
negative(x, /[, out, where, casting, order,])	Numerical negative, element-wise
positive(x, /[, out, where, casting, order,])	Numerical positive, element-wise
power(x1, x2, /[, out, where, casting,])	First array elements raised to powers from second
	array, element-wise
$float_power(x1, x2, /[, out, where,])$	First array elements raised to powers from second array, element-wise
remainder(x1, x2, /[, out, where, casting,])	Returns the element-wise remainder of division
mod(x1, x2, /[, out, where, casting, order,])	Returns the element-wise remainder of division
fmod(x1, x2, /[, out, where, casting,])	Returns the element-wise remainder of division
divmod(x1, x2[, out1, out2], / [[, out,])	Return element-wise quotient and remainder simultaneously
absolute(x, /[, out, where, casting, order,])	Calculate the absolute value element-wise
fabs(x, /[, out, where, casting, order,])	Compute the absolute values element-wise
rint(x, /[, out, where, casting, order,])	Round elements of the array to the nearest integer
sign(x, /[, out, where, casting, order,])	Returns an element-wise indication of the sign of a number
heaviside(x1, x2, /[, out, where, casting,])	Compute the Heaviside step function
conj(x, /[, out, where, casting, order,])	Return the complex conjugate, element-wise
conjugate(x, /[, out, where, casting,])	Return the complex conjugate, element-wise
$\exp(x, /[, out, where, casting, order,])$	Calculate the exponential of all elements in the input array
$\exp 2(x, /[, out, where, casting, order,])$	Calculate 2**p for all p in the input array
$\log(x, /[$ , out, where, casting, order,])	Natural logarithm, element-wise
$\log 2(x, /[$ , out, where, casting, order,])	Base-2 logarithm of x
$\log 10(x, /[$ , out, where, casting, order,])	Return the base 10 logarithm of the input array, element-wise
expm1(x, /[, out, where, casting, order,])	Calculate $\exp(x) - 1$ for all elements in the array
sqrt(x, /[, out, where, casting, order,])	Return the non-negative square-root of an array,
	element-wise

 ${\it TABLE~A.4} \\ {\it NumPy~Universal~Functions:~Trigonometric~Operations}$ 

Universal Function	Synopsis
$\sin(x, /[, out, where, casting, order,])$	Trigonometric sine, element-wise
$\cos(x, /[, out, where, casting, order,])$	Cosine element-wise
tan(x, /[, out, where, casting, order,])	Compute tangent element-wise
$\arcsin(x, /[, out, where, casting, order,])$	Inverse sine, element-wise
$\arccos(x, /[, out, where, casting, order,])$	Trigonometric inverse cosine, element-wise
$\arctan(x, /[, out, where, casting, order,])$	Trigonometric inverse tangent, element-wise
$\arctan 2(x1, x2, /[, out, where, casting,])$	Element-wise arc tangent of x1/x2 choosing the
	quadrant correctly
hypot(x1, x2, /[, out, where, casting,])	Given the "legs" of a right triangle, return its hy-
	potenuse
$\sinh(x, /[, out, where, casting, order,])$	Hyperbolic sine, element-wise
$\cosh(x, /[, out, where, casting, order,])$	Hyperbolic cosine, element-wise
tanh(x, /[, out, where, casting, order,])	Compute hyperbolic tangent element-wise
$\operatorname{arcsinh}(x, /[, out, where, casting, order,])$	Inverse hyperbolic sine element-wise
$\operatorname{arccosh}(x, /[, \text{ out, where, casting, order, }])$	Inverse hyperbolic cosine, element-wise
$\operatorname{arctanh}(x, /[, \text{ out, where, casting, order, }])$	Inverse hyperbolic tangent element-wise
degrees(x, /[, out, where, casting, order,])	Convert angles from radians to degrees
radians(x, /[, out, where, casting, order,])	Convert angles from degrees to radians
deg2rad(x, /[, out, where, casting, order,])	Convert angles from degrees to radians
rad2deg(x, /[, out, where, casting, order,])	Convert angles from radians to degrees

 $\begin{array}{c} \text{Table A.5} \\ \text{NumPy Universal Functions: Floating Operations} \end{array}$ 

Universal Function	Synopsis
isfinite(x, /[, out, where, casting, order,])	Test element-wise for finiteness (not infinity and not Not a Number)
isinf(x, /[, out, where, casting, order,])	Test element-wise for positive or negative infinity
$\operatorname{isnan}(x, /[, \text{ out, where, casting, order, }])$	Test element-wise for NaN and return result as a
isnat(x, /[, out, where, casting, order,])	boolean array Test element-wise for NaT (not a time) and return result as a boolean array
fabs(x, /[, out, where, casting, order,])	Compute the absolute values element-wise
signbit(x, /[, out, where, casting, order,])	Returns element-wise True where signbit is set (less than zero)
copysign(x1, x2, /[, out, where, casting,])	Change the sign of x1 to that of x2, element-wise
nextafter(x1, x2, $/[$ , out, where, casting,])	Return the next floating-point value after x1 towards x2, element-wise
$\operatorname{spacing}(x,/[,\operatorname{out},\operatorname{where},\operatorname{casting},\operatorname{order},\ldots])$	Return the distance between x and the nearest adjacent number
modf(x[,out1,out2],/[[,out,where,])	Return the fractional and integral parts of an array, element-wise
ldexp(x1, x2, /[, out, where, casting,])	Returns x1 * 2**x2, element-wise
frexp(x[, out1, out2], / [[, out, where,])	Decompose the elements of x into mantissa and twos exponent
fmod(x1, x2, /[, out, where, casting,])	Returns the element-wise remainder of division
floor(x, /[, out, where, casting, order,])	Return the floor of the input, element-wise
ceil(x, /[, out, where, casting, order,])	Return the ceiling of the input, element-wise
trunc(x, /[, out, where, casting, order,])	Return the truncated value of the input, element-wise

 ${\it TABLE~A.6} \\ {\it NumPy~Universal~Functions:~Comparison~Operations}$ 

Universal Function	Synopsis
greater(x1, x2, /[, out, where, casting,])	Return the truth value of (x1 ¿ x2) element-wise
$greater_equal(x1, x2, /[, out, where,])$	Return the truth value of $(x1 \ge x2)$ element-wise
less(x1, x2, /[, out, where, casting,])	Return the truth value of (x1 ; x2) element-wise
$less_equal(x1, x2, /[, out, where, casting,])$	Return the truth value of $(x1 = x2)$ element-wise
$not_e qual(x1, x2, /[, out, where, casting,])$	Return $(x1 != x2)$ element-wise
equal( $x1, x2, /[, out, where, casting,]$ )	Return $(x1 == x2)$ element-wise
$logical_a nd(x1, x2, /[, out, where,])$	Compute the truth value of x1 AND x2 element-
	wise
$logical_{o}r(x1, x2, /[, out, where, casting,])$	Compute the truth value of x1 OR x2 element-wise
$logical_x or(x1, x2, /[, out, where,])$	Compute the truth value of x1 XOR x2, element-
	wise
$logical_not(x,/[,out,where,casting,])$	Compute the truth value of NOT x element-wise
maximum(x1, x2, /[, out, where, casting,])	Element-wise maximum of array elements
minimum(x1, x2, /[, out, where, casting,])	Element-wise minimum of array elements
fmax(x1, x2, /[, out, where, casting,])	Element-wise maximum of array elements
fmin(x1, x2, /[, out, where, casting,])	Element-wise minimum of array elements

TABLE A.7 NumPy Array Manipulation Routines

Routine	Synopsis
Basic operations	
np.copyto(dst, src[, casting, where])	Copies values from one array to another, broad- casting as necessary
np.shape(a)	Return the shape of an array
Changing array shape	
np.reshape(a, newshape[, order])	Gives a new shape to an array without changing its data
<pre>np.ravel(a[, order])</pre>	Return a contiguous flattened array
np.ndarray.flat	A 1-D iterator over the array
<pre>np.ndarray.flatten([order])</pre>	Return a copy of the array collapsed into one dimension
Changing array shape	
np.moveaxis(a, source, destination)	Move axes of an array to new position
<pre>np.rollaxis(a, axis[, start])</pre>	Roll the specified axis backwards, until it lies in a given position
np.swapaxes(a, axis1, axis2)	Interchange two axes of an array
np.ndarray.T	The transposed array
np.transpose(a[, axes]	Reverse or permute the axes of an array; returns the modified array
Changing number of dimensions	
np.atleast_1d(*arys)	Convert inputs to arrays with at least one dimension
np.atleast_2d(*arys)	View inputs as arrays with at least two dimensions
np.atleast_3d(*arys)	View inputs as arrays with at least three dimensions
np.broadcast	Produce an object that mimics broadcasting
np.broadcast_to(array, shape[, subok])	Broadcast an array to a new shape
np.broadcast_arrays(*args[, subok])	Broadcast any number of arrays against each other
np.expand_dims(a, axis)	Expand the shape of an array
np.squeeze(a[, axis])	Remove axes of length one from a

### Table A.7 (cont'd)

Changing kind of array	
np.asarray(a[, dtype, order, like])	Convert the input to an array
<pre>np.asanyarray(a[, dtype, order, like])</pre>	Convert the input to an ndarray, but pass ndarray subclasses through
np.asmatrix(data[, dtype])	Interpret the input as a matrix
np.asfarray(a[, dtype])	Return an array converted to a float type
np.asfortranarray(a[, dtype, like])	Return an array (ndim $>= 1$ ) laid out in Fortran order in memory
<pre>np.ascontiguousarray(a[, dtype, like])</pre>	Return a contiguous array (ndim $>= 1$ ) in memory (C order)
<pre>np.asarray_chkfinite(a[, dtype, order])</pre>	Convert the input to an array, checking for NaNs or Infs
<pre>np.require(a[, dtype, requirements, like])</pre>	Return an ndarray of the provided type that satisfies requirements
Joining arrays	
<pre>np.concatenate([axis, out, dtype, casting])</pre>	Join a sequence of arrays along an existing axis
np.stack(arrays[, axis, out])	Join a sequence of arrays along a new axis
np.block(arrays)	Assemble an nd-array from nested lists of blocks
np.vstack(tup)	Stack arrays in sequence vertically (row wise)
np.hstack(tup)	Stack arrays in sequence horizontally (column wise)
np.dstack(tup)	Stack arrays in sequence depth wise (along third axis)
${\tt np.column\_stack(tup)}$	Stack 1-D arrays as columns into a 2-D array
np.row_stack(tup)	Stack arrays in sequence vertically (row wise)
Splitting arrays	
<pre>np.split(ary, indices_or_sections[,</pre>	Split an array into multiple sub-arrays as views into
axis])	array
np.array_split(ary,	Split an array into multiple sub-arrays
indices_or_sections[, axis])	Culit amore into multiple cub amore along the 2nd
<pre>np.dsplit(ary, indices_or_sections)</pre>	Split array into multiple sub-arrays along the 3rd axis (depth)
<pre>np.hsplit(ary, indices_or_sections)</pre>	Split an array into multiple sub-arrays horizontally (column-wise)
<pre>np.vsplit(ary, indices_or_sections)</pre>	Split an array into multiple sub-arrays vertically (row-wise)

Table A.7 (cont'd)

Tiling elements	
np.tile(A, reps)	Construct an array by repeating A the number of times given by reps
<pre>np.repeat(a, repeats[, axis])</pre>	Repeat elements of an array
Adding and removing elements	
<pre>np.delete(arr, obj[, axis])</pre>	Return a new array with sub-arrays along an axis deleted
<pre>np.insert(arr, obj, values[, axis])</pre>	Insert values along the given axis before the given indices
<pre>np.append(arr, values[, axis])</pre>	Append values to the end of an array
np.resize(a, new_shape)	Return a new array with the specified shape
<pre>np.trim_zeros(filt[, trim])</pre>	Trim the leading and/or trailing zeros from a 1-D array or sequence
<pre>np.unique(ar[, return_index, return_inverse,])</pre>	Find the unique elements of an array
Rerraning elements	
np.flip(m[, axis])	Reverse the order of elements in an array along the given axis
np.fliplr(m)	Reverse the order of elements along axis 1 (left/right)
<pre>np.flipud(m)</pre>	Reverse the order of elements along axis 0 (up/down)
<pre>np.reshape(a, newshape[, order])</pre>	Gives a new shape to an array without changing its data
<pre>np.roll(a, shift[, axis])</pre>	Roll array elements along a given axis
np.rot90(m[, k, axes])	Rotate an array by 90 degrees in the plane specified by axes

Notes: the statements included in the 'Routine' column assume NumPy is loaded with the np alias.

### A.4 Pandas Features for Handling Time-Related Data

Routine	Synopsys
pd.	

Routine	Synopsys
pd.	

Routine	Synopsys
pd.	

## Appendix B

# Collaborative and Versioning Tools

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