# Quantitative genetics using the sommer package

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The sommer package was developed to provide R users with a powerful and reliable multivariate mixed model solver for different genetic and non-genetic analyses in diploid and polyploid organisms. This package allows the user to estimate variance components for a mixed model with the advantages of specifying the variance-covariance structure of the random effects, specifying heterogeneous variances, and obtaining other parameters such as BLUPs, BLUEs, residuals, fitted values, variances for fixed and random effects, etc. The core algorithms of the package are coded in C++ using the Armadillo library to optimize dense matrix operations common in the derect-inversion algorithms.

The package is focused on problems of the type p > n related to genomic prediction (hybrid prediction & genomic selection) and GWAS analysis, although any general mixed model can be fitted as well. The package provides kernels to estimate additive (A.mat), dominance (D.mat), and epistatic (E.mat) relationship matrices that have been shown to increase prediction accuracy under certain scenarios or simply to estimate the variance components of such. The package provides flexibility to fit other genetic models such as full and half diallel models as well.

The vignettes aim to provide several examples in how to use the sommer package under different scenarios. We will spend the rest of the space providing examples for:

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# **SECTION 1: Introduction**

## Backgrounds in linear algebra

The core of the package is the mmer() function which solves the mixed model equations. The functions are an interface to call the NR Direct-Inversion Newton-Raphson or Average Information (Tunnicliffe 1989; Gilmour et al. 1995; Lee et al. 2016). Since version 2.0, sommer can handle multivariate models. Following Maier et al. (2015), the multivariate (and by extension the univariate) mixed model implemented has the form:

$$y_1 = X_1\beta_1 + Z_1u_1 + \epsilon_1$$
  

$$y_2 = X_2\beta_2 + Z_2u_2 + \epsilon_2$$
  
...  

$$y_i = X_i\beta_i + Z_iu_i + \epsilon_i$$

where  $y_i$  is a vector of trait phenotypes,  $\beta_i$  is a vector of fixed effects,  $u_i$  is a vector of random effects for individuals and  $e_i$  are residuals for trait i (i = 1, ..., t). The random effects ( $u_1 ... u_i$  and  $e_i$ ) are assumed to be normally distributed with mean zero. X and Z are incidence matrices for fixed and random effects respectively. The distributions of the multivariate response and the phenotypic variance covariance (V) are:

$$Y = X\beta + ZU + \epsilon_i$$
$$Y \sim MVN(X\beta, V)$$

$$\mathbf{Y} = \begin{bmatrix} y_1 \\ y_2 \\ \dots \\ y_t \end{bmatrix}$$

$$\mathbf{X} = \begin{bmatrix} X_1 & \dots & \dots \\ \vdots & \ddots & \vdots \\ \dots & \dots & X_t \end{bmatrix}$$

$$\mathbf{V} = \begin{bmatrix} Z_1 K \sigma_{g_1}^2 Z_1' + H \sigma_{\epsilon_1}^2 & \dots & Z_1 K \sigma_{g_1,t} Z_t' + H \sigma_{\epsilon_1,t} \\ \vdots & \ddots & & \vdots \\ Z_1 K \sigma_{g_1,t} Z_t' + H \sigma_{\epsilon_1,t} & \dots & Z_t K \sigma_{g_t}^2 Z_t' + H \sigma_{\epsilon_t}^2 \end{bmatrix}$$

where K is the relationship or covariance matrix for the kth random effect (u=1,...,k), and R=I is an identity matrix for the residual term. The terms  $\sigma_{g_i}^2$  and  $\sigma_{\epsilon_i}^2$  denote the genetic (or any of the kth random terms) and residual variance of trait i, respectively and  $\sigma_{g_{ij}}$  and  $\sigma_{\epsilon_{ij}}$  the genetic (or any of the kth random terms) and residual covariance between traits i and j (i=1,...,t, and j=1,...,t). The algorithm implemented optimizes the log likelihood:

$$log L = 1/2 * ln(|V|) + ln(X'|V|X) + Y'PY$$

where || is the determinant of a matrix. The REML estimates are updated using a Newton optimization algorithm of the form:

$$\theta^{k+1} = \theta^k + (H^k)^{-1} * \tfrac{dL}{d\sigma_\cdot^2} |\theta^k|$$

Where  $\theta$  is the vector of variance components for random effects and covariance components among traits,  $H^{-1}$  is the inverse of the Hessian matrix of second derivatives for the kth cycle,  $\frac{dL}{d\sigma_i^2}$  is the vector of first derivatives of the likelihood with respect to the variance-covariance components. The Eigen decomposition of the relationship matrix proposed by Lee and Van Der Werf (2016) was included in the Newton-Raphson algorithm to improve time efficiency. Additionally, the popular vpredict() function to estimate standard

errors for linear combinations of variance components (i.e. heritabilities and genetic correlations) was added to the package as well.

Please refer to the canonical papers listed in the Literature section to check how the algorithms work. We have tested widely the methods to make sure they provide the same solution when the likelihood behaves well, but for complex problems they might lead to slightly different answers. If you have any concern please contact me at cova ruber@live.com.mx.

In the following section we will go in detail over several examples on how to use mixed models in univariate and multivariate case and their use in quantitative genetics.

# SECTION 2: Topics in quantitative genetics

# 1) Marker and non-marker based heritability calculation

Heritability is one of the most popular parameters among the breeding and genetics communities because of the insight it provides in the inheritance of the trait and potential selection response. Heritability is usually estimated as narrow sense ( $h^2$ ; only additive variance in the numerator  $\sigma_A^2$ ), and broad sense ( $H^2$ ; all genetic variance in the numerator  $\sigma_G^2$ ).

In a classical breeding experiment with no molecular markers, special designs are performed to estimate and dissect the additive  $(\sigma_A^2)$  and non-additive (e.g., dominance  $\sigma_D^2$ , and epistatic  $\sigma_E^2$ ) variance along with environmental variability. Designs such as generation analysis, North Carolina designs are used to dissect  $\sigma_A^2$  and  $\sigma_D^2$  to estimate the narrow sense heritability  $(h^2)$  using only  $\sigma_A^2$  in the numerator. When no special design is available we can still disect the genetic variance  $(\sigma_G^2)$  and estimate the broad sense heritability. In this first example we will show the broad sense estimation which doesn't use covariance matrices for the genotypic effect (e.g., genomic-additive relationship matrices). For big models with no relationship matrices, sommer's direct inversion is a bad idea to use but we will still show how to do it, but keep in mind that for very sparse models with no relationship matrices or other special covariance structures we recommend using the lmer() function from the lme4 package or any other package using MME-based algorithms (e.g., asreml-R).

The following dataset has 41 potato lines evaluated in 5 locations across 3 years in an RCBD design. We show how to fit the model and extract the variance components to calculate the  $h^2$ .

```
VarCompSE
                            VarComp
                                                  Zratio Constraint
## Name.Yield-Yield
                           3.692333
                                     1.5498650 2.382358
                                                           Positive
## Env.Yield-Yield
                          11.760303 10.4620379 1.124093
                                                           Positive
## Env:Name.Yield-Yield
                           5.044321
                                     1.3776692 3.661489
                                                           Positive
## Env:Block.Yield-Yield
                          0.000000
                                     0.2385750 0.000000
                                                           Positive
## units.Yield-Yield
                           4.437881
                                     0.7256334 6.115872
                                                           Positive
(n.env <- length(levels(DT$Env)))</pre>
```

```
## [1] 3
```

```
vpredict(ans1, h2 ~ V1 / ( V1 + (V3/n.env) + (V5/(2*n.env)) )
## Estimate SE
## h2 0.6039717 0.1236941
```

That is an estimate of broad-sense heritability.

Recently with markers becoming cheaper, thousand of markers can be run in the breeding materials. When markers are available, a special design is not necessary to dissect the additive genetic variance. The availability of the additive, dominance and epistatic relationship matrices allow us to estimate  $\sigma_A^2$ ,  $\sigma_D^2$  and  $\sigma_I^2$ , although given that A, D and E are not orthogonal the interpretation of models that fit more than the A matrix at the same time becomes cumbersome.

Assume you have a population (even unreplicated) in the field but in addition we have genetic markers. Now we can fit the model and estimate the genomic heritability that explains a portion of the additive genetic variance (with high marker density  $\sigma_A^2 = \sigma_{markers}^2$ )

```
data(DT_cpdata)
DT <- DT_cpdata
GT <- GT_cpdata
MP <- MP_cpdata
DT$idd <-DT$id; DT$ide <-DT$id
### look at the data
A <- A.mat(GT) # additive relationship matrix
D <- D.mat(GT) # dominance relationship matrix
E <- E.mat(GT) # epistatic relationship matrix
ans.ADE <- mmer(color~1,
                 random=~vs(id,Gu=A) + vs(idd,Gu=D),
                 rcov=~units, iters=3,
                 data=DT, verbose = FALSE)
(summary(ans.ADE)$varcomp)
                         VarComp
                                    VarCompSE
                                                 Zratio Constraint
## u:id.color-color 0.003486639 0.0011076709 3.147721
                                                          Positive
## u:idd.color-color 0.001286778 0.0005071774 2.537136
                                                          Positive
## units.color-color 0.002152054 0.0002976821 7.229372
                                                          Positive
vpredict(ans.ADE, h2 ~ (V1) / ( V1+V3) ) # narrow sense
##
       Estimate
                        SE
## h2 0.6183417 0.08605268
vpredict(ans.ADE, h2 ~ (V1+V2) / ( V1+V2+V3) ) # broad-sense
##
                        SE
       Estimate
## h2 0.6892552 0.05896317
```

In this example we showed how to estimate the additive  $(\sigma_A^2)$  and dominance  $(\sigma_D^2)$  variance components based on markers and estimate broad  $(H^2)$  and narrow-sense heritability  $(h^2)$ . Notice that we used the vs() function which indicates that the random effect inside the parenthesis (i.e. id, idd or ide) has a covariance matrix (A, D, or E), that will be specified in the Gu argument of the vs() function. Please DO NOT provide the inverse, but rather the original covariance matrix.

# 2) Specifying heterogeneous variances in univariate models

Very often in multi-environment trials, the assumption that genetic variance is the same across locations may be too naive. Because of that, specifying a general genetic component and a location-specific genetic variance is the way to go.

We estimate variance components for  $GCA_2$  and SCA specifying the variance structure.

```
##
        Multivariate Linear Mixed Model fit by REML
  ************** sommer 4.1 *************
##
        logLik
                 AIC
                        BIC Method Converge
## Value -165.3187 332.6373 336.6288
## Variance-Covariance components:
##
                 VarComp VarCompSE Zratio Constraint
## 3:GCA2.Yield-Yield
                  64.79
                         53.20 1.218
## 4:GCA2.Yield-Yield
                  97.31
                         73.29 1.328
                                     Positive
## 1:units.Yield-Yield 218.59
                         33.35 6.555
                                     Positive
## 2:units.Yield-Yield 218.59
                         33.35 6.555
                                     Positive
## 3:units.Yield-Yield 489.29
                         72.57
                              6.743
                                     Positive
## 4:units.Yield-Yield 705.15
                         101.15 6.971
                                     Positive
## Fixed effects:
   Trait
           Effect Estimate Std.Error t.value
## 1 Yield (Intercept)
                 138.1
                         0.9476
 ______
## Groups and observations:
##
       Yield
## 3:GCA2
         20
         20
## 4:GCA2
## Use the '$' sign to access results and parameters
```

In the previous example we showed how the at() function is used in the mmer() solver. By using the at() function you can specify that i.e. the GCA2 has a different variance in different Locations, in this case locations 3 and 4, but also a main GCA variance. This is considered a CS + DIAG (compound symmetry + diagonal) model.

In addition, other functions can be added on top to fit models with covariance structures, i.e. the **Gu** argument from the **vs()** function to indicate a covariance matrix (A, pedigree or genomic relationship matrix)

```
data(DT_cornhybrids)
DT <- DT_cornhybrids
DTi <- DTi_cornhybrids
GT <- GT_cornhybrids
GT[1:4,1:4]</pre>
```

## A258 A634 A641 A680

```
## A258 2.23285528 -0.3504778 -0.04756856 -0.32239362
## A634 -0.35047780 1.4529169 0.45203869 -0.02293680
## A641 -0.04756856 0.4520387 1.96940221 -0.09896791
## A680 -0.32239362 -0.0229368 -0.09896791 1.65221984
### fit the model
modFD <- mmer(Yield~1,</pre>
           random=~ vs(at(Location,c("3","4")),GCA2,Gu=GT),
           rcov= ~ vs(ds(Location), units), iters=3,
           data=DT, verbose = FALSE)
summary(modFD)
Multivariate Linear Mixed Model fit by REML
## ***************** sommer 4.1 *************
##
         logLik
                  AIC
                          BIC Method Converge
## Value -165.9475 333.895 337.8865
                                NR
                                     FALSE
## Variance-Covariance components:
                  VarComp VarCompSE Zratio Constraint
## 3:GCA2.Yield-Yield
                    29.50
                            29.55 0.9982
                                        Positive
## 4:GCA2.Yield-Yield
                    43.16
                            40.62 1.0625
                                        Positive
## 1:units.Yield-Yield 218.40
                            33.12 6.5935
                                        Positive
## 2:units.Yield-Yield 218.40
                            33.12 6.5935
                                        Positive
## 3:units.Yield-Yield 498.42
                            72.58 6.8674
                                        Positive
## 4:units.Yield-Yield 725.62
                           101.68 7.1366
                                        Positive
## =============
## Fixed effects:
   Trait
             Effect Estimate Std.Error t.value
## 1 Yield (Intercept)
                     138.1
                            0.9184
## -----
## Groups and observations:
##
        Yield
## 3:GCA2
## 4:GCA2
## Use the '$' sign to access results and parameters
```

# 3) Using the vpredict calculator

Sometimes the user needs to calculate ratios or functions of specific variance-covariance components and obtain the standard errors for such parameters. Examples of these are the genetic correlations, heritabilities, etc. Using the CPdata we will show how to estimate the heritability and the standard error using the vpredict() function that uses the delta method to come up with these parameters. This can be extended for any linear combination of the variance components.

```
data(DT_cpdata)
DT <- DT_cpdata
GT <- GT_cpdata
MP <- MP_cpdata
### look at the data</pre>
```

#### 3.1) Standar error for heritability

```
## VarComp VarCompSE Zratio Constraint

## u:id.color-color 0.003486639 0.0011076709 3.147721 Positive

## u:idd.color-color 0.001286778 0.0005071774 2.537136 Positive

## units.color-color 0.002152054 0.0002976821 7.229372 Positive

vpredict(ans, h2 ~ (V1) / (V1+V2) )
```

```
## Estimate SE
## h2 0.6405467 0.05805209
```

The same can be used for multivariate models. Please check the documentation of the vpredict function to see more examples.

## 3.2) Standar error for genetic correlation

```
## -----
##
        Multivariate Linear Mixed Model fit by REML
## ************* sommer 4.1 ***********
logLik
                AIC
                      BIC Method Converge
## Value -661.5678 1335.136 1367.608 NR
                                FALSE
## Variance-Covariance components:
##
                     VarComp VarCompSE Zratio Constraint
## u:dam.tarsus-tarsus
                     0.22297 0.04739 4.7048 Positive
## u:dam.tarsus-back
                    -0.03167 0.02774 -1.1417
                                         Unconstr
                     0.08243 0.03531 2.3344
## u:dam.back-back
                                         Positive
## u:fosternest.tarsus-tarsus 0.06200 0.02779 2.2312
                                        Positive
## u:fosternest.back-back 0.10113 0.03491 2.8968
## u:units.tarsus-tarsus
                    0.57299 0.03262 17.5677
                                         Positive
## u:units.tarsus-back
                    -0.01747
                            0.02639 -0.6618
                                         Unconstr
## u:units.back-back
                     0.80596 0.04287 18.8010
                                        Positive
## -----
## Fixed effects:
    Trait
           Effect Estimate Std.Error t.value
## 2 back (Intercept) -0.01227 0.06431 -0.1909
          sexMale 0.76914 0.05734 13.4145
## 3 tarsus
```

```
## 4
            sexMale 0.01023
                           0.06712 0.1525
     back
## 5 tarsus
             sexUNK 0.20663
                           0.12708 1.6259
     back
             sexUNK 0.08901
                           0.14795 0.6016
  _____
## Groups and observations:
##
            tarsus back
## u:dam
              106 106
## u:fosternest
              104 104
## Use the '$' sign to access results and parameters
#### calculate the genetic correlation
vpredict(mix3, gen.cor ~ V2 / sqrt(V1*V3))
##
                      SE
          Estimate
## gen.cor -0.2336198 0.2131913
```

# 4) Half and full diallel designs (use of the overlay)

When breeders are looking for the best single-cross combinations, diallel designs have been by far the most used design in crops like maize. There are 4 types of diallel designs depending on whether reciprocal and self-crosses (omission of parents) are performed (full diallel with parents  $n^2$ ; full diallel without parents  $n^2$ ; half diallel with parents  $n^2$ ; half diallel with parents  $n^2$ ; half diallel with parents  $n^2$ ; half diallel design (reciprocal crosses are performed) and half diallel designs (only one of the directions is performed).

In the first data set we show a full diallel among 40 lines from 2 heterotic groups, 20 in each. Therefore 400 possible hybrids are possible. We have pehnotypic data for 100 of them across 4 locations. We use the data available to fit a model of the form:

```
y = X\beta + Zu_1 + Zu_2 + Zu_S + \epsilon
```

We estimate variance components for  $GCA_1$ ,  $GCA_2$  and SCA and use them to estimate heritability. Additionally BLUPs for GCA and SCA effects can be used to predict crosses.

```
data(DT cornhybrids)
DT <- DT_cornhybrids
DTi <- DTi_cornhybrids
GT <- GT_cornhybrids
modFD <- mmer(Yield~Location,</pre>
               random=~GCA1+GCA2+SCA,
                rcov=~units, iters=3,
               data=DT, verbose = FALSE)
(suma <- summary(modFD)$varcomp)
##
                        VarComp VarCompSE
                                                Zratio Constraint
                        0.00000
                                  15.44312
## GCA1.Yield-Yield
                                            0.0000000
                                                         Positive
## GCA2.Yield-Yield
                       14.10303
                                  22.64264
                                            0.6228527
                                                         Positive
## SCA.Yield-Yield
                      181.42205
                                  38.12280 4.7588861
                                                         Positive
## units.Yield-Yield 221.52450
                                 18.46066 11.9998143
                                                         Positive
Vgca <- sum(suma[1:2,1])</pre>
Vsca <- suma[3,1]
Ve \leftarrow suma[4,1]
Va = 4*Vgca
Vd = 4*Vsca
```

```
Vg <- Va + Vd
(H2 \leftarrow Vg / (Vg + (Ve)))
## [1] 0.7792756
(h2 \leftarrow Va / (Vg + (Ve)))
```

```
## [1] 0.05620837
```

Don't worry too much about the small h2 value, the data was simulated to be mainly dominance variance, therefore the Va was simulated extremely small leading to such value of narrow sense h2.

In the second data set we show a small half diallel with 7 parents crossed in one direction. There are n(n-1)/2possible crosses; 7(6)/2 = 21 unique crosses. Parents appear as males or females indistictly. Each with two replications in a CRD. For a half diallel design a single GCA variance component for both males and females can be estimated and an SCA as well ( $\sigma_G^2CA$  and  $\sigma_S^2CA$  respectively), and BLUPs for GCA and SCA of the parents can be extracted. We will show first how to do so with the mmer() function using the overlay() function. The specific model here is:

```
y = X\beta + Zu_q + Zu_s + \epsilon
data("DT_halfdiallel")
DT <- DT_halfdiallel
head(DT)
     rep geno male female
##
                                 sugar
## 1
       1
            12
                  1
                          2 13.950509
## 2
       2
            12
                   1
                          2 9.756918
## 3
            13
                          3 13.906355
       1
                   1
## 4
       2
            13
                   1
                          3
                             9.119455
## 5
       1
            14
                   1
                             5.174483
       2
            14
                          4 8.452221
DT$femalef <- as.factor(DT$female)</pre>
DT$malef <- as.factor(DT$male)</pre>
DT$genof <- as.factor(DT$geno)</pre>
#### model using overlay
modh <- mmer(sugar~1,</pre>
              random=~vs(overlay(femalef,malef))
              + genof, iters=3,
              data=DT, verbose = FALSE)
summary(modh)$varcomp
                                   VarComp VarCompSE
                                                         Zratio Constraint
## u:femalef:malef.sugar-sugar 5.424247 3.083695 1.759009
                                                                   Positive
```

```
## genof.sugar-sugar
                               1.798406
                                         1.348585 1.333550
                                                              Positive
                               3.137651 1.024681 3.062075
## units.sugar-sugar
                                                             Positive
```

Notice how the overlay() argument makes the overlap of incidence matrices possible making sure that male and female are joint into a single random effect.

#### 5) Genomic selection: predicting mendelian sampling

In this section we will use wheat data from CIMMYT to show how genomic selection is performed. This is the case of prediction of specific individuals within a population. It basically uses a similar model of the form:

$$y = X\beta + Zu + \epsilon$$

and takes advantage of the variance covariance matrix for the genotype effect known as the additive relationship matrix (A) and calculated using the A.mat function to establish connections among all individuals and predict the BLUPs for individuals that were not measured. The prediction accuracy depends on several factors such as the heritability  $(h^2)$ , training population used (TP), size of TP, etc.

```
data(DT_wheat)
DT <- DT_wheat
GT <- GT_wheat
colnames(DT) <- paste0("X",1:ncol(DT))</pre>
DT <- as.data.frame(DT);DT$id <- as.factor(rownames(DT))</pre>
# select environment 1
rownames(GT) <- rownames(DT)</pre>
K <- A.mat(GT) # additive relationship matrix</pre>
colnames(K) <- rownames(CT)</pre>
# GBLUP pedigree-based approach
set.seed(12345)
y.trn <- DT
vv <- sample(rownames(DT),round(nrow(DT)/5))</pre>
y.trn[vv,"X1"] <- NA
head(y.trn)
##
                Х1
                             X2
                                         ХЗ
                                                     Х4
                                                          id
## 775
         1.6716295 -1.72746986 -1.89028479 0.0509159
## 2166 -0.2527028  0.40952243  0.30938553 -1.7387588 2166
## 2167
                NA -0.64862633 -0.79955921 -1.0535691 2167
## 2465
        0.7854395  0.09394919  0.57046773  0.5517574  2465
## 3881 0.9983176 -0.28248062 1.61868192 -0.1142848 3881
## 3889 2.3360969 0.62647587 0.07353311 0.7195856 3889
## GBLUP
ans \leftarrow mmer(X1~1,
            random=~vs(id,Gu=K),
            rcov=~units,iters=3,
            data=y.trn, verbose = FALSE) # kinship based
ans$U$`u:id`$X1 <- as.data.frame(ans$U$`u:id`$X1)</pre>
rownames(ans$U$`u:id`$X1) <- gsub("id","",rownames(ans$U$`u:id`$X1))</pre>
cor(ans$U$`u:id`$X1[vv,],DT[vv,"X1"], use="complete")
## [1] 0.5733721
## rrBLUP
ans2 <- mmer(X1~1,
             random=~vs(list(GT), buildGu = FALSE),
             rcov=~units, getPEV = FALSE, iters=3,
             data=y.trn, verbose = FALSE) # kinship based
u <- GT %*% as.matrix(ans2$U$`u:GT`$X1) # BLUPs for individuals
rownames(u) <- rownames(GT)
cor(u[vv,],DT[vv,"X1"]) # same correlation
## [1] 0.5771181
# the same can be applied in multi-response models in GBLUP or rrBLUP
```

Please notice that when specifying the marker matrix as a random effect we used the argument 'buildGu=FALSE' to inform the 'mmer' function that a covariance matrix for the levels of the random effect shouldn't be built. Imagine a model with 100,000 markers, that would imply a relationship matrix of 100,000

x 100,000. If that matrix is a diagonal it would only compromise the speed and memory of the function. By setting 'buildGu=FALSE' the mmer solver will avoid the matrix multiplications using that huge diagonal matrix. If you want to specify a relationship matrix for the marker matrix then you cannot use that 'buildGu' argument.

#### 6) Indirect genetic effects

General variance structures can be used to fit indirect genetic effects. Here, we use an example dataset to show how we can fit the variance and covariance components between two or more different random effects.

We first fit a direct genetic effects model:

```
## VarComp VarCompSE Zratio Constraint
## focal.trait-trait 19659.66 2723.9297 7.21739 Positive
## units.trait-trait 10148.00 485.4917 20.90251 Positive
```

We now fit the indirect genetic effects model without covariance between DGE and IGE:

```
##
                           VarComp VarCompSE
                                                 Zratio Constraint
## focal.trait-trait
                         20217.544
                                    2677.366
                                              7.551281
                                                         Positive
## neighbour.trait-trait
                          2883.242
                                     560.679
                                              5.142411
                                                         Positive
## units.trait-trait
                          7325.944
                                     375.064 19.532519
                                                         Positive
```

We now fit the indirect genetic effects model with covariance between DGE and IGE for which we will use the gvs() function:

VarComp VarCompSE Zratio Constraint

```
## focal:focal.trait-trait 20694.652 2688.0801 7.698674 Positive ## focal:neighbour.trait-trait -7371.217 1078.6967 -6.833448 Unconstr ## neighbour:neighbour.trait-trait 2988.762 563.8362 5.300763 Positive ## units.trait-trait 7288.032 360.5018 20.216354 Positive
```

On top of that we can include a relationship matrix for the two random effects that are being forced to co-vary

```
## focal:focal.trait-trait 25387.719 2457.3287 10.331430 Positive ## focal:neighbour.trait-trait -8641.986 883.4940 -9.781601 Unconstr ## neighbour:neighbour.trait-trait 3089.418 404.8147 7.631684 Positive ## units.trait-trait 7512.272 382.7062 19.629344 Positive
```

#### 7) Genomic selection: single cross prediction

When doing prediction of single cross performance the phenotype can be dissected in three main components, the general combining abilities (GCA) and specific combining abilities (SCA). This can be expressed with the same model analyzed in the diallel experiment mentioned before:

```
y = X\beta + Zu_1 + Zu_2 + Zu_S + \epsilon with:

u_1 \sim N(0, K_1\sigma_u^2 1)

u_2 \sim N(0, K_2\sigma_u^2 2)

u_s \sim N(0, K_3\sigma_u^2 s)
```

And we can specify the K matrices. The main difference between this model and the full and half diallel designs is the fact that this model will include variance covariance structures in each of the three random effects (GCA1, GCA2 and SCA) to be able to predict the crosses that have not ocurred yet. We will use the data published by Technow et al. (2015) to show how to do prediction of single crosses.

```
data(DT_technow)
DT <- DT_technow
Md <- (Md_technow*2) - 1
Mf <- (Mf_technow*2) - 1</pre>
Ad <- A.mat(Md)
Af <- A.mat(Mf)
# RUN THE PREDICTION MODEL
y.trn <- DT
vv1 <- which(!is.na(DT$GY))</pre>
vv2 <- sample(vv1, 100)</pre>
y.trn[vv2,"GY"] <- NA
anss2 <- mmer(GY~1,
                random=~vs(dent,Gu=Ad) + vs(flint,Gu=Af),
                rcov=~units, iters=3,
                data=y.trn, verbose = FALSE)
summary(anss2)$varcomp
```

```
##
                  VarComp VarCompSE
                                        Zratio Constraint
## u:dent.GY-GY 15.41945 2.1873054
                                     7.049517
                                                 Positive
                                                 Positive
## u:flint.GY-GY 10.85510 1.8321606
                                     5.924756
                 16.95573 0.7948218 21.332744
## units.GY-GY
                                                 Positive
zu1 <- model.matrix(~dent-1,y.trn) %*% anss2$U$`u:dent`$GY</pre>
zu2 <- model.matrix(~flint-1,y.trn) %*% anss2$U$`u:flint`$GY
u <- zu1+zu2+anss2$Beta[1,"Estimate"]
cor(u[vv2,], DT$GY[vv2])
```

#### ## [1] 0.7754206

In the previous model we only used the GCA effects (GCA1 and GCA2) for practicity, altough it's been shown that the SCA effect doesn't actually help that much in increasing prediction accuracy, but does increase a lot the computation intensity required since the variance covariance matrix for SCA is the kronecker product of the variance covariance matrices for the GCA effects, resulting in a 10578 x 10578 matrix that increases in a very intensive manner the computation required.

A model without covariance structures would show that the SCA variance component is insignificant compared to the GCA effects. This is why including the third random effect doesn't increase the prediction accuracy.

## 8) Spatial modeling: using the 2-dimensional spline

We will use the CPdata to show the use of 2-dimensional splines for accommodating spatial effects in field experiments. In early generation variety trials the availability of seed is low, which makes the use of unreplicated designs a necessity more than anything else. Experimental designs such as augmented designs and partially-replicated (p-rep) designs are becoming ever more common these days.

In order to do a good job modeling the spatial trends happening in the field, special covariance structures have been proposed to accommodate such spatial trends (i.e. autoregressive residuals; ar1). Unfortunately, some of these covariance structures make the modeling rather unstable. More recently, other research groups have proposed the use of 2-dimensional splines to overcome such issues and have a more robust modeling of the spatial terms (Lee et al. 2013; Rodríguez-Álvarez et al. 2018).

In this example we assume an unreplicated population where row and range information is available which allows us to fit a 2 dimensional spline model.

```
## Value -151.2647 304.5293 308.421
  ## Variance-Covariance components:
                    VarComp VarCompSE Zratio Constraint
##
## u:id.Yield-Yield
                      792.6
                               317.6 2.4959
                                            Positive
## u:Rowf.Yield-Yield
                      807.6
                               371.3 2.1750
                                            Positive
## u:Colf.Yield-Yield
                      183.2
                               139.7 1.3121
                                            Positive
## A:all.Yield-Yield
                      515.8
                               701.3 0.7354
                                            Positive
## u:units.Yield-Yield 2918.4
                               292.8 9.9667
                                            Positive
## ==========
## Fixed effects:
    Trait
              Effect Estimate Std.Error t.value
## 1 Yield (Intercept)
                       132.1
                                8.761
                                       15.08
## =========
## Groups and observations:
##
        Yield
          363
## u:id
## u:Rowf
           13
## u:Colf
           36
## A:all
          168
## Use the '$' sign to access results and parameters
# make a plot to observe the spatial effects found by the spl2D()
W <- with(DT,spl2Da(Row,Col)) # 2D spline incidence matrix
DT$spatial <- W$Z$`A:all`\*\mix$U$`A:all`$Yield # 2D spline BLUPs
lattice::levelplot(spatial~Row*Col, data=DT) # plot the spatial effect by row and column
                                                                            10
   30
                                                                            5
   20
                                                                            0
   10
                                                                            -5
              2
                                         8
                       4
                                6
                                                  10
                                                            12
                                   Row
```

Notice that the job is done by the spl2Da() function that takes the Row and Col information to fit a spatial kernel.

#### 9) Multivariate genetic models and genetic correlations

Sometimes is important to estimate genetic variance-covariance among traits—multi-reponse models are very useful for such a task. Let see an example with 3 traits (color, Yield, and Firmness) and a single random effect (genotype; id) although multiple effects can be modeled as well. We need to use a variance covariance structure for the random effect to be able to obtain the genetic covariance among traits.

Now you can extract the BLUPs using randef(ans.m) or simply ans.m\$U. Also, genetic correlations and heritabilities can be calculated easily.

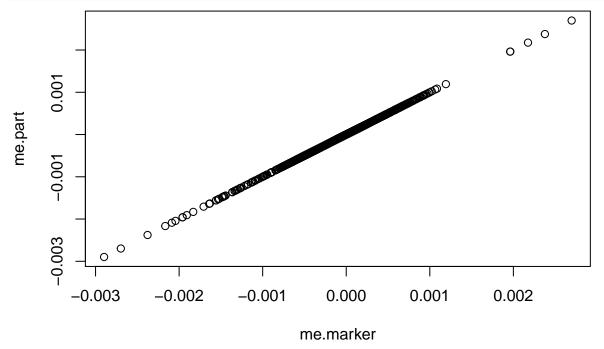
```
cov2cor(ans.m$sigma$`u:id`)

## Yield color
## Yield 1.0000000 0.1131409
## color 0.1131409 1.0000000
```

# SECTION 3: Special topics in Quantitative genetics

#### 1) Partitioned model

The partitioned model was popularized by () to show that marker effects can be obtained by fitting a GBLUP model to reduce the computational burden and then recover them by creating some special matrices MM' for GBLUP and M'(M'M)- to recover marker effects. Here we show a very easy example using the DT\_cpdata:



As can be seen, these two models are equivalent with the exception that the partitioned model is more computationally efficient.

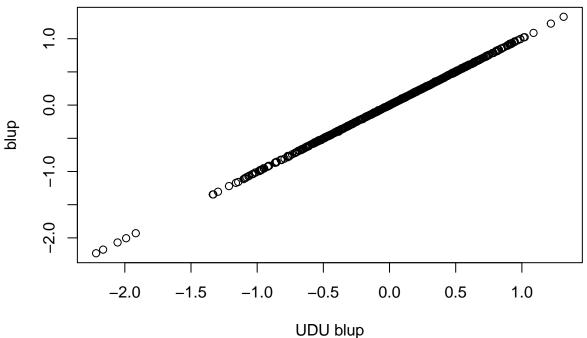
# 2) UDU' decomposition

Lee and Van der Warf (2015) proposed a decomposition of the relationship matrix A=UDU' together with a transformation of the response and fixed effects Uy = Ux + UZ + e, to fit a model where the phenotypic variance matrix V is a diagonal because the relationship matrix is the diagonal matrix D from the decomposition that can be inverted easily and make multitrait models more feasible.

```
data("DT_wheat")
rownames(GT_wheat) <- rownames(DT_wheat)
G <- A.mat(GT_wheat)
Y <- data.frame(DT_wheat)

# make the decomposition
UD<-eigen(G) # get the decomposition: G = UDU'</pre>
```

```
U<-UD$vectors
D<-diag(UD$values) # This will be our new 'relationship-matrix'
rownames(D) <- colnames(D) <- rownames(G)
X<-model.matrix(~1, data=Y) # here: only one fixed effect (intercept)</pre>
UX \leftarrow t(U)%*%X # premultiply X and y by U'
UY <- t(U) %*% as.matrix(Y) # multivariate</pre>
# dataset for decomposed model
DTd<-data.frame(id = rownames(G) ,UY, UX =UX[,1])</pre>
DTd$id<-as.character(DTd$id)</pre>
modeld <- mmer(cbind(X1,X2) ~ UX - 1,</pre>
               random = ~vs(id,Gu=D),
               rcov = ~vs(units),
               data=DTd, verbose = FALSE)
# dataset for normal model
DTn<-data.frame(id = rownames(G) , DT_wheat)</pre>
DTn$id<-as.character(DTn$id)</pre>
modeln <- mmer(cbind(X1,X2) ~ 1,</pre>
               random = ~vs(id,Gu=G),
               rcov = ~vs(units),
               data=DTn, verbose = FALSE)
## compare regular and transformed blups
plot(x=(solve(t(U)))%*%modeld$U$`u:id`$X2[colnames(D)],
     y=modeln$U$`u:id`$X2[colnames(D)], xlab="UDU blup",
     ylab="blup")
```



As can be seen, the two models are equivalent. Despite the fact that sommer doesn't take a great advantage of this trick because it was built for dense matrices using the Armadillo library. Other software may be better

using this trick.

## 3) Mating designs

Estimating variance components has been a topic of interest for the breeding community for a long time. Here we show how to calculate additive and dominance variance using the North Carolina Design I (Nested design) and North Carolina Design II (Factorial design) using the classical Expected Mean Squares method and the REML methods from sommer and how these two are equivalent.

#### North Carolina Design I (Nested design)

## 1.579537 -1.257241

```
## Analysis of Variance Table
##
## Response: yield
##
                      Df Sum Sq Mean Sq F value
                        1 0.1780 0.17796 1.6646 0.226012
## setf
## setf:repf
                        2 0.9965 0.49824 4.6605 0.037141 *
## setf:malef
                        4 7.3904 1.84759 17.2822 0.000173 ***
## setf:femalef:malef 6 1.6083 0.26806 2.5074 0.095575 .
## Residuals
                       10 1.0691 0.10691
## ---
## Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' 1
ms1 <- MS["setf:malef", "Mean Sq"]</pre>
ms2 <- MS["setf:femalef:malef", "Mean Sq"]</pre>
mse <- MS["Residuals", "Mean Sq"]</pre>
nrep=2
nfem=2
Vfm <- (ms2-mse)/nrep
Vm \leftarrow (ms1-ms2)/(nrep*nfem)
## Calculate\ Va\ and\ Vd
Va=4*Vm \# assuming no inbreeding (4/(1+F))
Vd=4*(Vfm-Vm) # assuming no inbreeding(4/(1+F)^2)
Vg=c(Va,Vd); names(Vg) <- c("Va","Vd"); Vg
```

```
###################################
## REML method
##################################
mix2 <- mmer(yield~ setf + setf:repf,
            random=~femalef:malef:setf + malef:setf, iters=3,
            data=DT, verbose = FALSE)
vc <- summary(mix2)$varcomp; vc</pre>
##
                                      VarComp VarCompSE
                                                            Zratio Constraint
## femalef:malef:setf.yield-yield 0.0795986 0.07959526 1.000042
                                                                     Positive
## malef:setf.yield-yield
                                   0.3875096 0.27561291 1.405992
                                                                     Positive
## units.yield-yield
                                    0.1080557 0.05294578 2.040875
                                                                     Positive
Vfm <- vc[1,"VarComp"]</pre>
Vm <- vc[2,"VarComp"]</pre>
## Calculate Va and Vd
Va=4*Vm \# assuming no inbreeding (4/(1+F))
Vd=4*(Vfm-Vm) # assuming no inbreeding(4/(1+F)^2)
Vg=c(Va,Vd); names(Vg) <- c("Va","Vd"); Vg
##
          Va
                     VИ
## 1.550038 -1.231644
As can be seen the REML method is easier than manipulating the MS and we arrive to the same results.
DT <- DT_expdesigns$car2
DT <- aggregate(yield~set+male+female+rep, data=DT, FUN = mean)
DT$setf <- as.factor(DT$set)</pre>
DT$repf <- as.factor(DT$rep)</pre>
DT$malef <- as.factor(DT$male)</pre>
DT$femalef <- as.factor(DT$female)</pre>
#levelplot(yield~male*female/set, data=DT, main="NC desing II")
head(DT)
North Carolina Design II (Factorial design)
##
     set male female rep
                           yield setf repf malef femalef
## 1
                    1
                        1 831.03
       1
          1
                                      1
                                           1
                                                 1
                                                          1
## 2
            2
                    1
                        1 1046.55
                                           1
                                                 2
      1
                                      1
                                                          1
                       1 853.33
## 3
       1
            3
                    1
                                      1
                                           1
                                                 3
                                                          1
                        1 940.00
## 4
       1
            4
                    1
                                      1
                                           1
                                                 4
                                                          1
## 5
                    1 1 802.00
                                     1
                                           1
                                                 5
       1
                                                          1
                      1 625.93
## 6
            1
                    2
                                                          2
N=with(DT,table(female, male, set))
nmale=length(which(N[1,,1] > 0))
nfemale=length(which(N[,1,1] > 0))
nrep=table(N[,,1])
nrep=as.numeric(names(nrep[which(names(nrep) !=0)]))
###################################
## Expected Mean Square method
#####################################
```

```
mix1 <- lm(yield~ setf + setf:repf +
             femalef:malef:setf + malef:setf + femalef:setf, data=DT)
MS <- anova(mix1); MS
## Analysis of Variance Table
##
## Response: yield
##
                      Df Sum Sq Mean Sq F value
                                                      Pr(>F)
## setf
                       1 847836 847836 45.6296 1.097e-09 ***
                       4 144345 36086 1.9421 0.109652
## setf:repf
## setf:malef
                       8 861053 107632 5.7926 5.032e-06 ***
## setf:femalef
                       8 527023
                                  65878 3.5455 0.001227 **
## setf:femalef:malef 32 807267
                                    25227 1.3577 0.129527
## Residuals
                      96 1783762
                                   18581
## ---
## Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' 1
ms1 <- MS["setf:malef", "Mean Sq"]</pre>
ms2 <- MS["setf:femalef", "Mean Sq"]</pre>
ms3 <- MS["setf:femalef:malef", "Mean Sq"]</pre>
mse <- MS["Residuals", "Mean Sq"]</pre>
nrep=length(unique(DT$rep))
nfem=length(unique(DT$female))
nmal=length(unique(DT$male))
Vfm <- (ms3-mse)/nrep;</pre>
Vf <- (ms2-ms3)/(nrep*nmale);
Vm <- (ms1-ms3)/(nrep*nfemale);</pre>
Va=4*Vm; # assuming no inbreeding (4/(1+F))
Va=4*Vf; # assuming no inbreeding (4/(1+F))
Vd=4*(Vfm); # assuming no inbreeding (4/(1+F)^2)
Vg=c(Va,Vd); names(Vg) <- c("Va","Vd"); Vg</pre>
##
          Vа
                    Vd
## 10840.192 8861.659
###############################
## REML method
###################################
mix2 <- mmer(yield~ setf + setf:repf ,
            random=~femalef:malef:setf + malef:setf + femalef:setf,
            iters=3.
            data=DT, verbose = FALSE)
vc <- summary(mix2)$varcomp; vc</pre>
                                     VarComp VarCompSE
                                                           Zratio Constraint
## femalef:malef:setf.yield-yield 2235.754 2320.298 0.9635634
                                                                    Positive
## malef:setf.yield-yield
                                    5464.494 3484.990 1.5680085
                                                                    Positive
## femalef:setf.yield-yield
                                    2722.922 2316.795 1.1752969
                                                                    Positive
                                   18569.305 2665.240 6.9672171
## units.yield-yield
                                                                    Positive
Vfm <- vc[1,"VarComp"]</pre>
Vm <- vc[2,"VarComp"]</pre>
Vf <- vc[3,"VarComp"]</pre>
```

```
Va=4*Vm; # assuming no inbreeding (4/(1+F))
Va=4*Vf; # assuming no inbreeding (4/(1+F))
Vd=4*(Vfm); # assuming no inbreeding(4/(1+F)^2)
Vg=c(Va,Vd); names(Vg) <- c("Va","Vd"); Vg
```

```
## Va Vd
## 10891.689 8943.017
```

As can be seen, the REML method is easier than manipulating the MS and we arrive to the same results.

# 4) Dominance variance

The estimation of non-additive variance has been proposed to be a challenge since the additive and dominance relationship matrices are not orthogonal. In recent literature it has been proposed that the best practice to fit the dominance component is to fit the additive component first and then fix the value of that variance c

```
data(DT_cpdata)
DT <- DT_cpdata
GT <- GT_cpdata
MP <- MP cpdata
#### create the variance-covariance matrix
A <- A.mat(GT) # additive relationship matrix
#### look at the data and fit the model
mix1 <- mmer(Yield~1,
            random=~vs(id,Gu=A),
            rcov=~units, iters=3,
             data=DT, verbose = FALSE)
#### adding dominance and forcing the other VC's
DT$idd <- DT$id;</pre>
D <- D.mat(GT) # dominance relationship matrix
mm <- matrix(3,1,1) ## matrix to fix the var comp
mix2 <- mmer(Yield~1,
            random=~vs(id, Gu=A, Gti=mix1$sigma_scaled$`u:id`, Gtc=mm)
                    + vs(idd, Gu=D, Gtc=unsm(1)), iters=3,
             rcov=~vs(units,Gti=mix1$sigma_scaled$units, Gtc=mm),
             data=DT, verbose = FALSE)
# analyze variance components
summary(mix1)$varcomp
                    VarComp VarCompSE
                                       Zratio Constraint
## u:id.Yield-Yield
                    653.282 330.2970 1.977862
                                                Positive
## units.Yield-Yield 4029.519 344.1508 11.708584
                                                Positive
summary(mix2)$varcomp
                       VarComp VarCompSE
                                           Zratio Constraint
## u:id.Yield-Yield
                      653.2820 508.5058 1.2847089
                                                      Fixed
## u:idd.Yield-Yield
                      154.6015 295.3833 0.5233927
                                                   Positive
```

Fixed

## u:units.Yield-Yield 4029.5192 360.2627 11.1849475

# 5) GWAS by GBLUP

Gualdron-Duarte et al. (2014) and Bernal-Rubio et al. (2016) proved that in (SingleStep)GBLUP or RRBLUP/SNP-BLUP, dividing the estimate of the marker effect by its standard error is mathematically equivalent to fixed regression EMMAX GWAS, even if markers are estimated as random effects in GBLUP and as fixed effects in EMMAX. That way fitting a GBLUP model is enough to perform GWAS for additive and on-additive effects.

Let us use the DT cpdata dataset to explore the GWAS by GBLUP method

```
data(DT_cpdata)
DT <- DT_cpdata
GT <- GT_cpdata
MP <- MP_cpdata
#### create the variance-covariance matrix
A <- A.mat(GT) # additive relationship matrix
n <- nrow(DT) # to be used for degrees of freedom
k <- 1 # to be used for degrees of freedom (number of levels in fixed effects)</pre>
```

First we fit a regular GWAS/EMMAX using the GWAS function available in sommer that first calculates variance components and then fits a regression marker by marker as a fixed effect.

#### ## Performing GWAS evaluation

To compare EMMAX to the approach proposed by Gualdron-Duarte et al. (2014) and Bernal-Rubio et al. (2016) we will start fitting an RRBLUP/SNP-BLUP model to show that the estimate of the marker effect by its standard error is mathematically equivalent to fixed regression EMMAX GWAS.

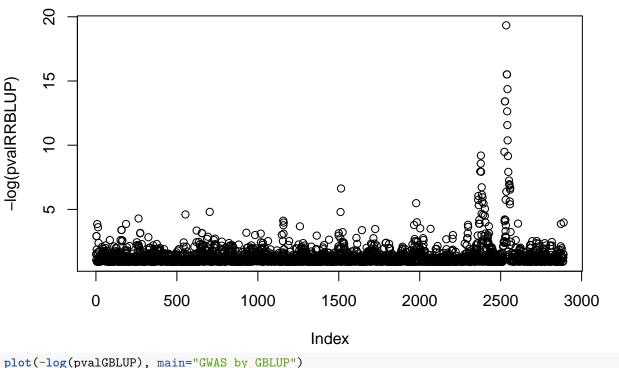
Instead of fitting the RRBLUP/SNP-BLUP model we can fit a GBLUP model which is less computationally demanding and recover marker effects and their standard errors from the genotype effects.

```
M<- GT
MMT <-tcrossprod(M) ## MM' = additive relationship matrix</pre>
MMTinv<-solve(MMT) ## inverse of MM'
MTMMTinv<-t(M)%*%MMTinv # M' %*% (M'M)-
mixGBLUP <- mmer(color~1,
             random=~vs(id, Gu=MMT) + Rowf + Colf,
             rcov=~units, iters=3,
             verbose = FALSE,
             data=DT)
a.from.g <-MTMMTinv%*%matrix(mixGBLUP$U$`u:id`$color,ncol=1)
var.g <- kronecker(MMT,mixGBLUP$sigma$`u:id`) - mixGBLUP$PevU$`u:id`$color</pre>
var.a.from.g <- t(M)%*%MMTinv%*% (var.g) %*% t(MMTinv)%*%M</pre>
se.a.from.g <- sqrt(diag(var.a.from.g))</pre>
t.stat.from.g <- a.from.g/se.a.from.g # t-statistic
pvalGBLUP <- dt(t.stat.from.g,df=n-k-1) # -log10(pval)</pre>
```

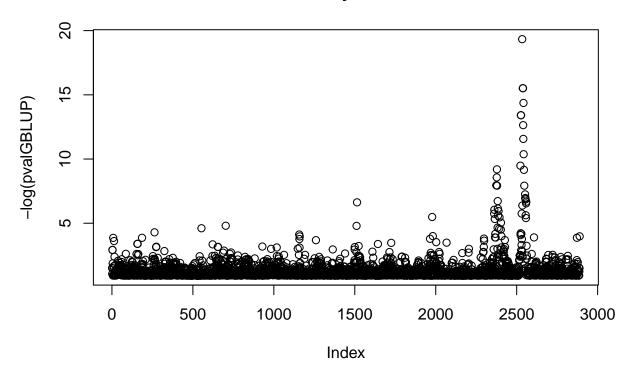
Now we can look at the p-values coming from the 3 approaches to indeed show that results are equivalent.

```
###########################
#### Compare results
############################
# plot(mix2$scores[,1], main="GWAS")
plot(-log(pvalRRBLUP), main="GWAS by RRBLUP/SNP-BLUP")
```

# **GWAS by RRBLUP/SNP-BLUP**



# **GWAS by GBLUP**



#### Final remarks

Keep in mind that sommer uses a direct inversion (DI) algorithm which can be very slow for large datasets. The package is focused on problems of the type p > n (more random effect levels than observations) and models with dense covariance structures. For example, for experiments with dense covariance structures with low-replication (i.e. 2000 records from 1000 individuals replicated twice with a covariance structure of 1000x1000) sommer will be faster than MME-based software. Also for genomic problems with large number of random effect levels, i.e. 300 individuals (n) with 100,000 genetic markers (p). For highly replicated trials with small covariance structures or n > p (i.e. 2000 records from 200 individuals replicated 10 times with covariance structure of 200x200) asreml or other MME-based algorithms will be much faster and we recommend you to opt for those. When datasets are big, the installation of the OpenBLAS library can make sommer quite fast and sometimes faster than asreml given the capacitity of sommer to take advantage of the multi-processor architecture of some systems.

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