


3/9/03

Comments from Mattuck Introduction

- Available as reading on web page
- Our analysis of the analytic structure of single-particle Green's functions showed that even when interactions are strong, we can identify degrees of freedom — quasi-particles — that behave much more simply than the original "bare" particles.
- Mattuck makes the analogy to a familiar example of introducing fictitious systems: treating two masses  $m_1$  and  $m_2$  connected by a spring 
  - If we toss it in the air, or even just let it move in one dimension on a frictionless surface, the motion of the individual masses appears to be quite complicated.
  - But the motion simplifies dramatically if we concentrate on two fictitious systems:
    - i) the center of mass — an independent body with no size with mass  $m_1 + m_2$
    - ii) a single body with reduced mass  $\mu = \frac{m_1 m_2}{m_1 + m_2}$ , which feels the force of the spring.
  - Note that these motions completely decouple in the idealized case.
- In considering the scattering of two particles, we have a similar simplification after decomposing into the center of mass and a particle with reduced mass in an external potential.
- Note that we still have our choice of variables: we could use  $x_1$  and  $x_2$  for the two masses. It's just more complicated!

3/9/03

- Mattuck goes on to call "quasi-particles" the fictitious bodies in a many-body system that have simpler motion
  - intuitively understood as "real" particle plus cloud of other particles
  - ⇒ screening, different mass ( $m^*$ ), finite lifetime

• In QED, a "bare" electron acquires a cloud of virtual photons

$$\text{'bare' particle} + \begin{matrix} \text{"clothing"} \\ \text{or} \\ \text{'cloud'} \end{matrix} = \begin{matrix} \text{"dressed"} \\ \text{or} \\ \text{"physical"} \\ \text{renormalized particle} \end{matrix}$$

- Conceptually, think of adding a bare particle to a system and watching it propagate (move through the system)
  - this is what the one-particle Green's function does for us
  - if we add an electron to a neutral Coulomb system, we find quasi electrons, which are electrons with a cloud of positive charges:
    - if dilute enough, clouds from different quasi electrons don't overlap ⇒ quasi electrons interact only weakly ⇒ explains why metals generally behave as if their electrons were independent
  - note that quasi particles are excited states
  - there will be dissipation of the original particle plus cloud as time goes on ⇒ the lifetime then depends on the phase space of states available.
    - ⇒ the lifetime goes to infinity at the Fermi surface, where momentum and energy conservation severely limit the available states.

3/9/03

- In nuclei, despite powerful short-range forces between protons and neutrons (like an incompressible liquid in many ways), the nucleons behave as if they move independently of each other
  - shell model prediction of orbitals
  - electron scattering evidence (last week)
- ⇒ not bare nucleons, but quasiparticles.
- Although quasiparticles are good degrees of freedom to deal with, their derivation from the underlying forces (as specified by the Hamiltonian or Lagrangian) they may be prohibitively difficult to derive.
  - But Fermi liquid theory indicates that we don't need to derive the properties of the quasiparticles and their interactions.
  - Instead we parametrize our ignorance systematically
    - ⇒ effective mass, parameters  $F_0, F_1, G_0, F_1, \dots$
    - ⇒ write most general form, fit parameters with one set of observables, then predict other observables.
- ⇒ a form of effective field theory of using most general Lagrangian with  $\cancel{\phi_0} + \cancel{\phi_1} + \cancel{\phi_2} + \dots$  replacing the actual, complicated interaction.
 

$\phi_0$   
 $\delta(\mathbf{x}-\mathbf{x}')$

$\phi_1$   
 $\delta^2(\mathbf{x}-\mathbf{x}')$

$\phi_2$   
 $\delta^3(\mathbf{x}-\mathbf{x}')$
- You'll gain familiarity with Fermi liquid parameters in homework problem ⇒ apply to 1-d.
- Now we switch gears and go back to ground state properties
  - nonperturbative systems
  - spontaneous symmetry breaking



3/9/03

The "tool" that we'll use to study these things is the effective action.

- As an introduction we'll apply the effective action formalism in a rather unexpected way: deriving the boson ground state energy for a dilute gas from the dilute fermion calculation.

- Recall that the energy density (energy per particle) for a low density, uniform system of fermions with degeneracy  $g$  is (for spin-independent interactions):

$$\mathcal{E} = g \frac{k_F^2}{2m} \left[ \frac{3}{5} + (g-1) \frac{2}{3\pi} (k_F a_s) + (g-1) \frac{4}{3\pi^2} (1 + 2 \ln 2) (k_F a_s)^2 + \dots \right]$$

- The corresponding answer for a dilute (spinless) Bose system (again, with spin-independent interaction) is:

$$\mathcal{E} = \frac{2\pi a_s g^2}{m} + \frac{2\pi a_s}{m} g^2 \frac{128}{15\sqrt{\pi}} \sqrt{\rho a_s^3} + \dots$$

- Is there a connection?  $k_F \propto \rho^{1/3}$ , but Bose  $\mathcal{E}$  has  $\rho^{5/2}$ ! Where does that exponent come from?

- Let's start by considering Bose and Fermi noninteracting ground states (i.e.  $T=0$ ). Suppose there are 6 particles

		$g=2$	$g=4$	$g=6$
$k_2$	_____	oo	_____	_____
$k_1$	_____	oo	oo	_____
$k=0$	oooooo	oo	oooo	oooooo
	Bose	Fermi	Fermi	Fermi

3/9/03

So the Bose ground state with  $N$  particles has the same occupation numbers as a Fermi system with  $g \geq N$ .

- How are the wave functions related? Fermi wave function must be totally antisymmetric under particle exchange

$\Rightarrow$  same spatial wave function  $\times$  totally antisymmetric spin (or flavor) wave function.

• eg.  $N=2, g=2$   $\Psi_{\text{Fermi}}(x_1, x_2) = \Psi_{\text{Bose}}(\vec{x}_1, \vec{x}_2) \times (\uparrow\downarrow - \downarrow\uparrow)$

$\Rightarrow$  for a noninteracting Bose system, introduce an artificial "flavor" or "color" degeneracy  $g$  and make it bigger than the number of particles.

- Treat like Fermi system and ignore flavor wavefunction at the end.

• Then turn on the interaction  $\Rightarrow$  if the state that evolves adiabatically is the ground state (true for a dilute system), then we generate the Bose interacting ground state!

Plan: Calculate the dilute Fermi system for arbitrary  $g$ .

Take  $g \rightarrow \infty$  with  $g$  constant (thermodynamic limit)

$\Rightarrow \boxed{g = \frac{g k_F^3}{6\pi^2}} \text{ constant} \Rightarrow \boxed{k_F \rightarrow 0} \Rightarrow \text{Bose ground state}$

• Let's try it on the diagrams of the Fermi series.

• Recall that this is a systematic expansion in powers of  $(k_F a_s)$ .

• Every additional  $\bullet$  means another power of  $k_F$

• We can count maximum powers of  $g$  easily

$\Rightarrow$  Find  $g^i k_F^j$  for any diagram.

3/9/03

Try out the first three Fermi terms...

$$\epsilon_0 = \frac{3}{5} \frac{k_F^2}{2m} \rho \xrightarrow{k_F \rightarrow 0} 0$$

Ok, in noninteracting Bose system condensed with  $k=0 \Rightarrow$  no kinetic energy

$$\epsilon_1 = \frac{k_F^2}{2m} \left( (g-1) \frac{2}{3\pi} (k_F a_s) \right) \rho = \left( 1 - \frac{1}{g} \right) \frac{2\pi a_s \rho^2}{m}$$

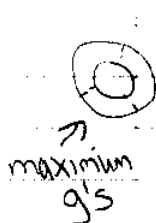
$$\xrightarrow{g \rightarrow \infty} \frac{2\pi a_s \rho^2}{m}$$

no exchange!  
✓ Hartree (but not Fock) survives.  
Gives known answer.

$$\epsilon_2 = \frac{k_F^2}{2m} (g-1) \frac{4}{35\pi^2} (1 + 2 \ln 2) (k_F a_s)^2 \rho \propto g^2 k_F^7 \propto (g k_F)^3 k_F \xrightarrow{k_F \rightarrow 0} 0$$

$\Rightarrow$  no beach ball contribution.

What about particle-particle (hole-hole) rings?



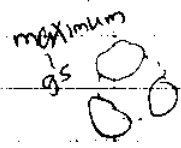
$$\epsilon \propto g^2 k_F^8 \propto (g k_F^3)^2 k_F^2 \xrightarrow{k_F \rightarrow 0} 0$$



$$\epsilon \propto g^2 k_F^9 \propto (g k_F^3)^2 k_F^3 \xrightarrow{k_F \rightarrow 0} 0$$

and so on.  $\Rightarrow$  all zero!

But what about particle-hole rings?



$$\epsilon \propto g^3 k_F^8 \propto (g k_F^3)^3 / k_F \xrightarrow{k_F \rightarrow 0} \infty$$

oops!



$$\epsilon \propto g^4 k_F^{10} \propto (g k_F^3)^4 / k_F^2 \xrightarrow{k_F \rightarrow 0} \infty$$

even worse!

Plan: Problem is  $g \rightarrow \infty$ , so figure out how to solve the system in large  $g$  limit.

(221)

3/9/03

Hint of what to do by considering

$$Z = \int \mathcal{D}(\psi, \bar{\psi}) e^{i \int d^4x \left[ \bar{\psi} \left( i \not{\partial} + \not{\partial}_{\text{am}} + \mu \right) \psi - \frac{1}{2} C_0 (\bar{\psi} \psi)^2 \right]}$$

• Heuristically,  $\bar{\psi} \psi \rightarrow$  factor of  $g \Rightarrow "g(\bar{\psi} \psi)"$

• let  $C_0 = c_0/g$

$$\Rightarrow Z = \int \mathcal{D}(\psi, \bar{\psi}) e^{i g \int d^4x \left[ \bar{\psi} \left( i \not{\partial} + \not{\partial}_{\text{am}} + \mu \right) \psi - \frac{1}{2} c_0 (\bar{\psi} \psi)^2 \right]}$$

so there is an overall factor of  $g$ . Solve this problem in the large  $g$  limit.

• Recall our model partition function and introduce "l"

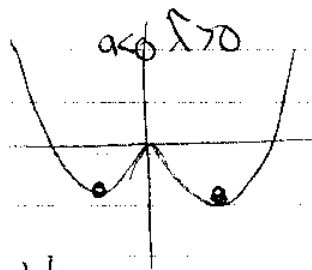
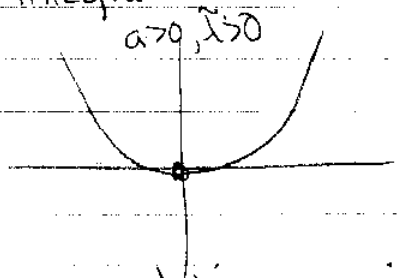
$$Z = \int_{-\infty}^{\infty} \frac{dx}{\sqrt{\pi l a}} e^{-\frac{x^2}{2a}} e^{-\frac{1}{4} x^4}$$

• let  $\lambda = \tilde{\lambda}/l$ ,  $x = \sqrt{l} t \Rightarrow dx = \sqrt{l} dt$

$$Z(l) = \frac{1}{\sqrt{\pi l a}} \int_{-\infty}^{\infty} dt e^{-l \left( \frac{t^2}{2a} + \frac{1}{4} t^4 \right)}$$

what happens as  $l$  gets large?

$\Rightarrow$  more and more of the contribution to  $Z(l)$  comes from region in integrand near stationary points of integrand (where the derivative of the integrand vanishes).



$\Rightarrow$  asymptotic expansion in  $1/l$ .

3/9/03

Consider the more general integral

$$I(l) = \int_{-\infty}^{\infty} dt e^{-lF(t)}$$

where  $F(t)$  has an absolute minimum at  $t=t_0$  (just one, to keep things simple).

• Expand  $F(t)$  about  $t=t_0$

$$\begin{aligned} F(t) &= F(t_0) + (t-t_0)F'(t_0) + \frac{1}{2}(t-t_0)^2 F''(t_0) + \sum_{n=3}^{\infty} \frac{1}{n!}(t-t_0)^n F^{(n)}(t_0) \\ &= f_0 + (t-t_0) \overset{0}{F'(t_0)} + \frac{1}{2}(t-t_0)^2 f_0'' + \sum_{n=3}^{\infty} \frac{1}{n!}(t-t_0)^n f_0^{(n)} \end{aligned}$$

• Since  $t_0$  is a minimum  $\boxed{f_0' = 0}$  and  $\boxed{f_0'' > 0}$

So

$$I(l) = e^{-lf_0} \int_{-\infty}^{\infty} dt e^{-\frac{l}{2}f_0''(t-t_0)^2 - l \sum_{n=3}^{\infty} \frac{(t-t_0)^n}{n!} f_0^{(n)}}$$

As  $l$  gets large, the dominant part of the integral comes from  $t \sim t_0 \Rightarrow$  shift variables to

$$\begin{aligned} \tau &\equiv \sqrt{l}(t-t_0) \\ \Rightarrow I(l) &= e^{-lf_0} \sqrt{\frac{2\pi}{l}} \int_{-\infty}^{\infty} d\tau e^{-\frac{f_0''}{2}\tau^2} e^{-\sum_{n=3}^{\infty} \frac{\tau^n}{n!} \frac{f_0^{(n)}}{l^{(n/2-1)}}} \end{aligned}$$

as  $l \rightarrow \infty$ , we can expand  $I(l)$  (or  $\ln I(l)$ , which is really what we want) in powers of  $1/l$ .

$\Rightarrow$  expand exponent and do Gaussian integrals

$$\Rightarrow I(l) = e^{-lf_0 + \frac{1}{2} \ln \left( \frac{2\pi}{lf_0''} \right) + \frac{1}{l} \left( \frac{5}{24} \frac{(f_0^{(3)})^2}{(f_0'')^3} - \frac{1}{8} \frac{f_0^{(4)}}{(f_0'')^2} \right) + O\left(\frac{1}{l^2}\right)}$$

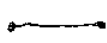
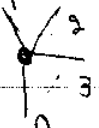


3/9/03

- Note that the Gaussian  $(\frac{1}{l})^0$  integrand gives a determinant ( $1 \times 1$  in this case!), which we exponentiate to get a  $\ln$  correction to the leading  $lf_0$  term.
- We can apply our diagrammatic expansion approach (introduce a source term  $jx$ , remove the interaction term using  $\frac{\partial}{\partial j}$ , complete the square, apply the linked cluster theorem — replica method!)

$$\Rightarrow \ln I(l) = -lf_0 + \frac{1}{2} \ln \left( \frac{2\pi}{lf_0''} \right) + \sum (\text{all linked diagrams})$$

where the "Feynman rules" are

- 1)  $1/f_0''$  for each "propagator" 
- 2)  $-\frac{f_0^{(n)}}{f_0^{(2)}-1} = \frac{1}{n} \frac{f_0^{(n)}}{f_0''}$  for a vertex with  $n$  legs 
- 3) Same symmetry factor as before

- Let's reproduce the  $1/l$  terms. To get  $1/l$  either:  
i)  $2 \times (n=3)$  vertices or ii)  $1 \times (n=4)$  vertex.

$$\begin{aligned} \text{i) } & \text{Diagram 1: Two vertices connected by two lines.} \quad \left( -\frac{f_0^{(3)}}{f_0''-1} \right)^2 \left( \frac{1}{f_0''} \right)^2 \left( \frac{1}{2} \cdot \frac{1}{3!} \cdot \frac{1}{2} \right) = \frac{1}{12} \frac{f_0^{(3)^2}}{f_0''^3} \\ & \text{Diagram 2: Two vertices connected by one line.} \quad \left( -\frac{f_0^{(3)}}{f_0''-1} \right)^2 \left( \frac{1}{f_0''} \right)^3 \left( \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \right) = \frac{1}{8} \frac{f_0^{(3)^2}}{f_0''^3} \end{aligned} \quad \left\{ \begin{array}{l} \frac{1}{12} \frac{f_0^{(3)^2}}{f_0''^3} \\ \frac{1}{8} \frac{f_0^{(3)^2}}{f_0''^3} \end{array} \right.$$

$$\text{ii) } \text{Diagram 3: One vertex with four legs.} \quad \left( -\frac{f_0^{(4)}}{f_0''-1} \right) \left( \frac{1}{f_0''} \right)^2 \left( \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \right) = \frac{1}{8} \frac{f_0^{(4)}}{f_0''^2}$$

which agrees with our previous expression.

- We can generalize to the complex exponent case (see Negele + Orland)
- We'll see the same structure: "classical" + "trace  $\ln$ " + diagrams.

3/9/03

The effective action is found as a functional Legendre transformation of  $\ln Z[J]$  (where  $J$  is a source).

- It can be minimized to obtain the ground state energy and is particularly useful when we have "spontaneous symmetry breaking".
- We'll expand  $Z[J]$  in a "loop expansion" (this is the saddle-point/stationary phase expansion) that generates the large  $g$  expansion of the effective action.
- Recall that we've already reviewed Legendre transformations in thermodynamics; which relate  $E \rightarrow F \rightarrow G \rightarrow \Omega$

Consider another example, which will be a relevant analogy when we consider pairing: a spin system with Hamiltonian  $H(S)$ .

For example:

$$H(S) = -\frac{1}{2N} J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j - \vec{H} \cdot \sum_i \vec{S}_i$$

external magnetic field "source"  $\rightarrow$  spin operator

where the sums are over lattice sites.  
(actually just the first term is  $H(S)$ .)

- This is a highly contrived example, because we sum the interaction over all pairs of spins (unlike the Ising model, where only nearest neighbors interact)
  - We take the exchange energy to be  $-J/N$ , so there is a finite  $N \rightarrow \infty$  limit
  - What is the physical origin of the exchange energy? Why is it unrealistic to say it is long ranged?

• The external magnetic field  $\vec{H}$  acts like a source  $J$ .

3/9/03

The partition function  $Z$  is (dropping vectors and let  $S_i = \pm 1$ )

$$Z(\beta, H, N) = \sum_{\{S_i\}} e^{\beta \left( \frac{J}{N} \sum_{i,j} S_i S_j + H \sum_i S_i \right)}$$

configurations  $\{S_i\}$   
of spin -  $2^N$  of them!  $\rightarrow \int \mathcal{D}\vec{S} e^{-\beta \sum_i [H(S_i) - H S_i]}$

Now the Helmholtz free energy is obtained as

$$F = -\frac{1}{\beta} \ln Z \quad \text{or} \quad Z = e^{-\beta F(H)}$$

The magnetization  $\bar{m}$  is the expectation value of  $\sum_i S_i$ :

$$m = \frac{1}{Z} \text{Tr} \left( \sum_i S_i e^{-\beta \left( \frac{J}{N} \sum_{i,j} S_i S_j + H \sum_i S_i \right)} \right) = - \frac{\partial F(H)}{\partial H}$$

$\Rightarrow$  find  $m = m(H)$

Now we can invert this equation to find  $H = H(m)$  and define the Gibbs free energy by the Legendre transformation:

$$G(m) = F(H(m)) + mH(m)$$

note that  $-m$

$$\frac{\partial G}{\partial m} = \frac{\partial F}{\partial H} \frac{\partial H}{\partial m} + H + m \frac{\partial H}{\partial m} = H$$

so that  $G$  as a function of  $m$  is minimized at  $H=0$ .

That is, if  $H=0$ , the most stable state is the minimum of  $G(m)$ .

$\Rightarrow$  use this to study ferromagnetism!

$F(H)$  and  $G(m)$  in principle have the same physical information.  
But  $G(m)$  is much better to approximate.

3/1/03

- A perturbative approximation to  $F(H)$  never predicts a ferromagnetic phase with  $H \rightarrow 0$ . But a perturbative approximation to  $G(m)$  does!
- $G(m)$  is the analog of the effective action,
  - We'll come back to this example next quarter when we do pairing.

- For now simply ask how we would deal with evaluating  $Z$  with the inconvenient spin sum  $\sum_{S_i} e^{2S_i \mu}$ . A very useful technique is to introduce an auxiliary field  $\mu$  using the Gaussian identity

$$e^{\beta \frac{J}{N} (\sum S_i)^2} = \int_{-\infty}^{\infty} \frac{d\mu}{\sqrt{2\pi/N\beta J}} e^{-\frac{N\beta J}{2} \mu^2 + \beta J \mu \sum_{i=1}^N S_i} \quad (S_i = \pm 1)$$

Since

$$\sum_{S_i} e^{\beta(J\mu + H) S_i} = \frac{N}{2} (e^{\beta(J\mu + H)} + e^{-\beta(J\mu + H)})$$

we get  $Z$  as an integral over  $\mu$ :

$$Z(\beta, H, N) = \int_{-\infty}^{\infty} \frac{d\mu}{\sqrt{2\pi/N\beta J}} e^{-\frac{N\beta J}{2} \mu^2 + N \log 2 \cosh \beta(H + \mu J)}$$

which yields the magnetization and susceptibility from derivatives of  $\log Z$  with respect to  $H$ .

- Note the overall factor of  $N \Rightarrow$  large  $N$  limit as saddlepoint expansion.
- We'll return to this later: For now let's do the Fermi effective field theory.

(227)

3/9/03

Start with our EFT Lagrangian: (with  $\mu$ ) short-hand here!

$$\mathcal{L}_{\text{EFT}} = \psi_{\alpha}^{\dagger} \left[ i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu \right] \psi_{\alpha} - \frac{1}{2} C_0 (\psi_{\alpha}^{\dagger} \psi_{\alpha})^2 \\ + \frac{C_2}{16} [(\psi \psi)^{\dagger} (\psi \psi) + \text{h.c.}] + \frac{C_4}{8} (\psi \nabla \psi)^{\dagger} (\psi \nabla \psi) + \dots$$

with  $\vec{\nabla} = \nabla - \vec{\nabla}$ .

- We'll only use  $C_0$  here (S-function potential)  
 $\Rightarrow$  appropriate for a dilute system.

- By matching to 2-to-2 scattering,  $C_0 = \frac{4\pi a_s}{m}$   
 (with dimensional regularization/minimal subtraction).

The "partition function" (in Minkowski space, so not really!)

$$Z = \int \mathcal{D}(\psi^{\dagger}, \psi) e^{i \int d^4x \left[ \psi_{\alpha}^{\dagger} \left( i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu \right) \psi_{\alpha} - \frac{1}{2} C_0 (\psi_{\alpha}^{\dagger} \psi_{\alpha})^2 \right]}$$

has the same complication of a quartic term as we just saw in the spin system.

- $\Rightarrow$  use the same auxiliary field technique to replace  $\psi_{\alpha}^{\dagger} \psi_{\alpha}$  by an auxiliary scalar (bosonic) field  $\sigma$ .

We can do this easily using

$$1 = \frac{\int \mathcal{D}\sigma e^{\frac{i}{2} C_0 \int d^4x (\sigma(x) - \psi_{\alpha}^{\dagger}(x) \psi_{\alpha}(x)) (\sigma(x) - \psi_{\alpha}^{\dagger}(x) \psi_{\alpha}(x))}}{\int \mathcal{D}\sigma e^{\frac{i}{2} C_0 \int d^4x \sigma(x)^2}}$$

which follows simply by shifting the  $\sigma(x)$  integration in the numerator.Note that the term proportional to  $(\psi_{\alpha}^{\dagger} \psi_{\alpha})^2$  is equal and opposite to the one in  $Z$ .

3/9/03

So now

$$Z = \int \mathcal{D}(\psi, \bar{\psi}) \int \mathcal{D}\sigma e^{i \int d^4x \bar{\psi} \gamma_\mu \psi (i \frac{\partial}{\partial t} - \frac{\nabla^2}{2m} + \mu - C_0 \sigma(x)) \gamma_\mu \psi + \frac{1}{2} C_0 (\sigma(x))^2}$$

⇒ we can do the  $\mathcal{D}(\psi, \bar{\psi})$  integral (with  $\sigma(x)$  fixed)  
 • It is a Gaussian integral ⇒ determinant of the operator between  $\psi_x$  and  $\bar{\psi}_x$ .

• We can identify the operator as an inverse fermion propagator

$$G^{-1}(x, y) \mathcal{D}_{\text{op}} = \left[ i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu - C_0 \sigma(x) \right] \mathcal{D}^4(x-y) \mathcal{D}_{\text{op}}$$

• still depends on  $\sigma(x)$ , which is integrated over.

Now use the identity

$$\det A = e^{\text{Tr} \ln A}$$

(discussed long ago in the notes on Gaussian integrals).

$$\Rightarrow Z[J] = e^{iW[J]} = \int \mathcal{D}\sigma e^{g \text{Tr} \ln G^{-1}(x, y)} e^{\frac{1}{2} C_0 \int d^4x (\sigma(x))^2} e^{i \int d^4x J(x) \sigma(x)}$$

- we've introduced a source term  $J(x)\sigma(x)$  and defined  $W[J]$  so we can do a perturbative expansion,
- Note that the path integral looks something like a sample path integral over  $\sigma$  we introduced earlier in the quarter, but with a strange  $e^{g \text{Tr} \ln G^{-1}(x, y)}$  term.
- The  $g$  comes from the spin/flavor trace and  $\text{Tr}$  means a trace over space-time (think in terms of discretizing space and time ⇒ matrices).

• If we scale  $C_0 = c_0/g$  and  $\sigma = g\sigma'$ , then there is a single overall  $g$  factor in the exponent  
 ⇒ stationary phase approximation as  $g \rightarrow \infty$

(22)

3/9/03

•  $W[J]$  is analogous to  $F(H)$ , so do a Legendre transformation analogously.

• We define the classical field  $\sigma_c(x)$  [cf. magnetization] in the presence of  $J(x)$  to be the ground state expectation value of  $\sigma(x)$ :

$$\begin{aligned}\sigma_c(x) &\equiv \langle \sigma(x) \rangle_{J_0} = -i \frac{1}{Z} \frac{\delta}{\delta J(x)} Z[J] = -i \frac{\delta}{\delta J(x)} \ln Z[J] \\ &= \frac{\delta W[J]}{\delta J(x)}\end{aligned}$$

• Then the "effective action"  $\Gamma[\sigma_c]$  is defined by the functional Legendre transformation:

$$\Gamma[\sigma_c] \equiv W[J] - \int d^4x J(x) \sigma_c(x)$$

where we have inverted  $\sigma_c = \frac{\delta W}{\delta J}$  to obtain  $J[\sigma_c(x)]$ .

Note that

$$\frac{\delta \Gamma[\sigma_c]}{\delta \sigma_c(x)} = \int d^4y \frac{\delta W}{\delta J(y)} \frac{\delta J[\sigma_c(y)]}{\delta \sigma_c(x)} - \int d^4y \frac{\delta J[\sigma_c(y)]}{\delta \sigma_c(x)} \sigma_c(y) - J(x) = -J(x)$$

so for a vanishing source  $J=0$ , which is the physical state, we have

$$\frac{\delta \Gamma[\sigma_c]}{\delta \sigma_c(x)} = 0$$

and solutions to this equation represent the stable quantum states.

3/9/03

At the minimum  $\sigma_c^0$  [with  $J(x)=0$ ] of a uniform system, the energy density  $\mathcal{E}$  of the ground state is related to the effective action by

$$\Gamma[\sigma_c^0]|_{J=0} = -VT\mathcal{E}$$

where  $VT$  is the space-time volume.

• more generally, at finite density we must examine spatially dependent  $\sigma_c$  to find the absolute ground state.

• Ok, so what do we do? We can't carry out the Legendre transformation on the full  $W$ .

⇒ carry out the saddle point evaluation.

• Write  $\sigma = \sigma_c + \eta$  and expand in quantum fluctuations  $\eta$  about the classical field (at  $t=t_0 + \tau$ )

• We'll derive this result next time:

$$\Gamma[\sigma_c] = \frac{g}{i} \text{Tr} \ln[G_H^{-1}(x,y)] + \frac{C_0}{2} \int d^4x (\sigma_c(x))^2 + \frac{1}{2} \text{Tr} \ln[D_0^{-1}(x,y)] + \text{(connected 1PI diagrams)} \leftarrow \text{in } D_0$$

where

$$G_H^{-1}(x,y) \equiv \left[ i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu - C_0 \sigma_c(x) \right] \delta^4(x-y)$$

$$D_0^{-1}(x,y) \equiv -i C_0 \delta^4(x-y) + g C_0^2 G_H(y,x) G_H(x,y)$$