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• So how does the dilute Fermi system with  $\delta$ -function interaction behave? (In 3d and also 1 dimension.)

• For a fixed number of fermions  $N$ , it is relevant to consider the energy per particle  $[E(\rho) \equiv E/N]$  as a function of density  $[\rho = N/V]$ .

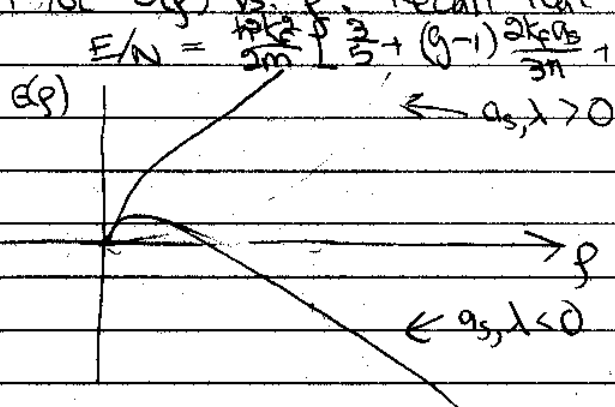
• We've switched to  $V$  for volume instead of  $\Omega$  to avoid confusion below! (Sorry!)

•  $E(\rho)$  is an intensive quantity: if we double  $N$  and  $V$ ,  $E(\rho)$  stays the same.

• The other possibility would have been the energy density:  $[E(\rho) \equiv E/V]$ , which is also intensive but not as useful here.

• Note that  $[E(\rho) = E(\rho)/\rho]$

• Plot  $E(\rho)$  vs.  $\rho$ . Recall that  $\rho \propto k_F^3$



• What is the pressure? From thermodynamics,

$$P = -\left(\frac{\partial E}{\partial V}\right)_N = -\left(\frac{\partial E/N}{\partial (V/N)}\right)_N = -\frac{\partial E}{\partial (V/N)} = -\frac{\partial E}{\partial \rho}$$

$$\text{or } P = \rho^2 \frac{\partial E(\rho)}{\partial \rho}$$

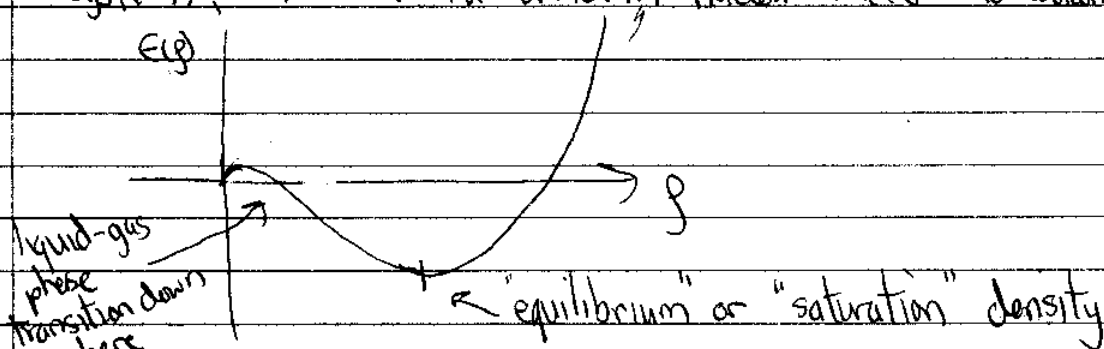
so positive or negative slope corresponds to the sign of the pressure.

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- So the pressure is always positive for the repulsive ( $\lambda > 0$ ) case. What if  $\lambda = 0$ ? Why is there pressure in that case?  $P > 0 \Rightarrow$  system expands if no external pressure.
- You could keep such a system stable by confining it in a trap (magnetic or optical) as done with cold atoms, or use gravity, as in neutron stars (neutron matter).  $\Rightarrow$  balance pressure.
- For the attractive case, the pressure is negative except at small densities  $\Rightarrow$  collapses!
- You'll prove this is a general result (PS#1)

- What is the pressure for a nucleus (or any other self-bound system)?  $P = 0$ . For uniform nuclear matter (no Coulomb):



- occurs when  $P = 0 \Rightarrow \frac{\partial E}{\partial \rho} = 0 \Rightarrow$  minimum of  $E(\rho)$  is  $\rho$

- In PS#2:

- What is the chemical potential and how does it relate to  $P, E$  in general and at equilibrium?
- How do we test for stability against long-wavelength fluctuations. (eg. Can we lower the energy for a given  $N$  and  $V$  with a non-uniform system?)

- Aside: If  $g=1$ , the interaction term vanishes. Why?

- For atoms in magnetic traps,  $g < 1$  because only one hyperfine state trapped.

essentially noninteracting  
 $\uparrow$

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## Review of Thermodynamics/Statistical Mechanics

• To go to even the next order of perturbation theory is awkward and, in general, we will want to develop non-perturbative approximations.

⇒ need a new framework.

• We will use path integral methods.

• Later we'll show the connection to operator-based approaches.

• The most convenient function to calculate with many-body path integrals is the grand canonical partition function.

⇒ review some thermo and stat. mech.

NOTE: Volume is  $V$  in this section, not  $\Omega$ .

Recall: three ensembles

i) microcanonical: fixed  $E$  and  $N$

ii) canonical: system exchanges energy with heat bath

⇒ fixed  $N$  and fixed average  $E$

Probability of system alone with  $E$  is  $\boxed{e^{-E/k_B T} = e^{-\beta E}}$   $\beta = \frac{1}{k_B T}$

iii) grand canonical: system exchanges energy and particles with heat/particle bath. Neither  $N$  nor  $E$  fixed

Probability of system alone have  $E$  and  $N$  is

$$\boxed{e^{-(E-\mu N)/k_B T} = e^{-\beta(E-\mu N)}}$$

• Suppose we have the complete set of energy and particle number eigenstates:  $\hat{H}|N, j\rangle = E_{jN}|N, j\rangle$ ;  $\hat{N}|N, j\rangle = N|N, j\rangle$

Then the grand partition function

$$\boxed{Z_G \equiv \sum_N \sum_j e^{-\beta(E_{jN} - \mu N)}}$$

$\beta = \frac{1}{k_B T}$

is related to the thermodynamic potential  $\Omega(T, V, \mu)$  by

$$\boxed{\Omega(T, V, \mu) = -\frac{1}{\beta} \ln Z_G}$$

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Let's recall the laundry list of thermodynamic functions

intensive variables:  $T$        $P$        $\mu$

extensive variables:  $S$        $V$        $N$

energy  $E = E(S, V, N)$

Helmholtz free energy  $F = F(T, V, N)$  • minimized for a mechanically isolated system at constant  $T$

Gibbs free energy  $G = G(T, P, N)$  • equilibrium at constant  $T$  and  $P$  when minimized

\* Thermodynamic potential  $\Omega(T, V, \mu)$

• First law of thermodynamics specifies how the internal energy changes with changes in independent variables:

$$dE = TdS - PdV + \mu dN$$

which implies

$$T = \left( \frac{\partial E}{\partial S} \right)_{V, N} \quad P = - \left( \frac{\partial E}{\partial V} \right)_{S, N} \quad \mu = \left( \frac{\partial E}{\partial N} \right)_{S, V}$$

• At  $T=0$ , in the ground state,  $S=0 \Rightarrow \mu = \left( \frac{\partial E}{\partial N} \right)_V$

• To obtain the other thermodynamic functions from  $E$ , we perform Legendre transformations

$\Rightarrow$  change the independent variables.

• it's important to understand these, because we'll be doing the functional generalization later

$$F = E - \left( \frac{\partial E}{\partial S} \right)_{V, N} S = E - TS \Rightarrow dF = -SdT - PdV + \mu dN$$

$$G = F + PV = E - TS + PV \Rightarrow dG = -SdT + VdP + \mu dN$$

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Finally,

$$\Omega = F - \mu N = E - TS - \mu N$$

$$\Rightarrow d\Omega = -SdT - PdV - Nd\mu$$

so that

$$S = \left( -\frac{\partial \Omega}{\partial T} \right)_{\mu}$$

$$P = -\left( \frac{\partial \Omega}{\partial V} \right)_{\mu}$$

$$N = -\left( \frac{\partial \Omega}{\partial \mu} \right)_{TV}$$

• Now consider  $P = -\left( \frac{\partial \Omega}{\partial V} \right)_{\mu}$

•  $T$  and  $\mu$  are both intensive but  $V$  and  $\Omega$  are extensive.  
So if we double the size of the system,  $T$  and  $\mu$  are unchanged but  $\Omega$  and  $V$  both double. More generally,  
 $\Omega = (\text{const.}) V$  with (const.) independent of  $V$ .

• Then the equation implies  $\Omega = -PV$

• Since

$$\Omega = E - TS - \mu N = -PV \Rightarrow E = TS - PV + \mu N$$

and then

$$F = -PV + \mu N \quad \text{and} \quad G = \mu N$$

• Another way to see this is to make a scale change  
 $\lambda = 1 + \eta$  with  $\eta$  infinitesimal. All extensive  $\rightarrow \lambda \times$  extensive.

• Then  $\lambda E = E(\lambda S, \lambda V, \lambda N)$

or

$$(1 + \eta)E = E + \eta S \left( \frac{\partial E}{\partial S} \right)_{\mu, N} + \eta V \left( \frac{\partial E}{\partial V} \right)_{S, \mu} + \eta N \left( \frac{\partial E}{\partial N} \right)_{S, V}$$

$$\Rightarrow E = ST + V(-P) + N\mu = TS - PV + \mu N \quad \text{as before}$$

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So we see that we can generate all the equilibrium thermodynamics in we knew  $\Omega(T, V, \mu)$ .

• Return to the partition function:

$$\begin{aligned}
 Z_G &= \sum_N \sum_{j_N} e^{-\beta(E_{j_N} - \mu N)} \\
 &= \sum_N \sum_{j_N} \langle N j_N | e^{-\beta(\hat{H} - \mu \hat{N})} | N j_N \rangle \quad \leftarrow \text{since diagonal in this basis} \\
 &= \text{Tr}(e^{-\beta(\hat{H} - \mu \hat{N})})
 \end{aligned}$$

Here Tr is the trace over any complete basis  $\Rightarrow$  much more general than first expression.

$$\Omega(T, V, \mu) = -\frac{1}{\beta} \ln Z_G \Rightarrow Z_G = e^{-\beta \Omega(T, V, \mu)} = \text{Tr}(e^{-\beta(\hat{H} - \mu \hat{N})})$$

The ensemble average or expectation value of an operator is

$$\langle \hat{O} \rangle = \frac{\text{Tr}(\hat{O} e^{-\beta(\hat{H} - \mu \hat{N})})}{\text{Tr} e^{-\beta(\hat{H} - \mu \hat{N})}} = \text{Tr}(\hat{\rho}_G \hat{O}) \quad \text{where} \quad \hat{\rho}_G = \frac{1}{Z_G} e^{-\beta(\hat{H} - \mu \hat{N})}$$

• Consider an example:  $\hat{O} = \hat{N}$

$$\langle \hat{N} \rangle = \frac{\text{Tr}(\hat{N} e^{-\beta(\hat{H} - \mu \hat{N})})}{\text{Tr} e^{-\beta(\hat{H} - \mu \hat{N})}} = \frac{1}{\beta} \frac{\partial}{\partial \mu} \text{Tr}(e^{-\beta(\hat{H} - \mu \hat{N})})}{\text{Tr} e^{-\beta(\hat{H} - \mu \hat{N})}} = \frac{1}{\beta Z_G} \frac{\partial Z_G}{\partial \mu} = \frac{1}{\beta} \frac{\partial}{\partial \mu} \ln Z_G$$

$$\text{check: } \ln Z_G = -\beta \Omega \Rightarrow \langle \hat{N} \rangle = \frac{1}{\beta} \frac{\partial}{\partial \mu} \beta \Omega = -\frac{\partial \Omega}{\partial \mu} = N \quad \checkmark$$

• This is a prototype of things we will do with path integrals to find expectation values.

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- Let's evaluate the trace in  $Z_G$  using the occupation number basis. In general, these are not eigenstates of  $\hat{H}$ , but let's start with

$$\hat{H} \rightarrow \hat{H}_0 = \sum_i \epsilon_i a_i^\dagger a_i \quad \hat{N} = \sum_i a_i^\dagger a_i$$

where  $i$  runs over the single-particle quantum numbers (e.g.  $k, \alpha$  for fermions in a box).

- We'll see that the trace is easy to evaluate in this case. This, in turn, will lead to a strategy for evaluating the more general case.

- In the occupation number basis, we don't sum over  $E_{\text{tot}}$  and  $N$ , but over  $n_1, n_2, \dots$  for "modes" (single-particle states)  $1, 2, 3, \dots$

$$Z_G = \text{Tr} e^{-\beta(\hat{H}_0 - \mu \hat{N})} = \sum_{n_1, \dots, n_\infty} \langle n_1, \dots, n_\infty | e^{\beta(\mu \hat{N} - \hat{H}_0)} | n_1, \dots, n_\infty \rangle$$

$$= e^{-\beta \Omega_0(T, V, \mu)}$$

- Recall that  $|n_1, \dots, n_\infty\rangle = |n_1\rangle |n_2\rangle \dots$
- For bosons, each  $n_i = 0, 1, 2, \dots, \infty$  ("i" labels the mode)
- For fermions, each  $n_i = 0$  or  $1$

$$\Rightarrow \hat{H}_0 |n_1, \dots, n_\infty\rangle = \sum_i \epsilon_i a_i^\dagger a_i |n_1, \dots, n_\infty\rangle = \sum_i n_i \epsilon_i |n_1, \dots, n_\infty\rangle$$

$$\hat{N} |n_1, \dots, n_\infty\rangle = \sum_i n_i |n_1, \dots, n_\infty\rangle$$

- Recall that  $[\hat{n}_i, \hat{n}_j] = 0$  for all  $i, j$ , which means we can exponentiate these results.

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Plugging in,

$$Z_G = \sum_{n_1 \dots n_\infty} e^{-\beta \sum_i (\epsilon_i - \mu) n_i} = \left( \sum_{n_1=0}^{\infty} e^{-\beta(\epsilon_1 - \mu)n_1} \right) \left( \sum_{n_2=0}^{\infty} e^{-\beta(\epsilon_2 - \mu)n_2} \right) \dots \dots$$

boson or fermion      only 1  $\mu$ !

bosons:  $n_i = 0, 1, 2, \dots$

$$\sum_{n_i=0}^{\infty} e^{-\beta(\epsilon_i - \mu)n_i} = 1 + e^{-\beta(\epsilon_i - \mu)} + e^{-2\beta(\epsilon_i - \mu)} + \dots$$

(bosons) =  $\frac{1}{1 - e^{-\beta(\epsilon_i - \mu)}}$  geometric series!

fermions:  $n_i = 0, 1$

$$\sum_{n_i=0}^1 e^{-\beta(\epsilon_i - \mu)n_i} = 1 + e^{-\beta(\epsilon_i - \mu)} \text{ (fermions)}$$

$$\Rightarrow \Omega_0(T, V, \mu) = -\frac{1}{\beta} \ln Z_G = \begin{cases} +\frac{1}{\beta} \sum_{i=1}^{\infty} \ln(1 - e^{-\beta(\epsilon_i - \mu)}) & \text{bosons} \\ -\frac{1}{\beta} \sum_{i=1}^{\infty} \ln(1 + e^{-\beta(\epsilon_i - \mu)}) & \text{fermions} \end{cases}$$

$\Rightarrow$  just two little sign differences!

bosons:  $\langle N \rangle = -\frac{\partial}{\partial \mu} \Omega_0 = -\frac{1}{\beta} \sum_{i=1}^{\infty} \frac{1}{1 - e^{-\beta(\epsilon_i - \mu)}} (-e^{-\beta(\epsilon_i - \mu)}) \left( \frac{1}{\beta} \right)$

$$= \sum_{i=1}^{\infty} \frac{1}{e^{\beta(\epsilon_i - \mu)} - 1} \equiv \sum_{i=1}^{\infty} n_i^0 \text{ (bosons)}$$

where  $n_i^0$  is the mean occupation number in the  $i^{\text{th}}$  state.

Can  $\mu$  take on any value?

fermions:  $\langle N \rangle = -\frac{\partial}{\partial \mu} \Omega_0 = -\left( \frac{1}{\beta} \sum_{i=1}^{\infty} \frac{1}{1 + e^{-\beta(\epsilon_i - \mu)}} e^{-\beta(\epsilon_i - \mu)} \right) \left( \frac{1}{\beta} \right)$

$$= + \sum_{i=1}^{\infty} \frac{1}{e^{\beta(\epsilon_i - \mu)} + 1} \equiv \sum_{i=1}^{\infty} n_i^0 \text{ (fermions)}$$

$\Rightarrow n_i^0 = (e^{\beta(\epsilon_i - \mu)} + 1)^{-1}$  for fermions.