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# Symmetry constraints and microscopic origin of the nucleon-nucleon interaction I

For the two-body system, the Hamiltonian is a sum of center-of-mass and relative motion

$$H_{2\text{-body}} = \frac{\vec{P}_{cm}^2}{4m_N} + \underbrace{\frac{\vec{p}_{12}^2}{m_N} + V_{12}(\text{nuclear part}) + V_{12}(\text{Coulomb part})}_{H_{12}}$$

Therefore, the wave function factorizes

$$|\Psi\rangle = |\vec{R}\rangle \otimes |\text{relative motion and internal quantum numbers}\rangle$$

with  $|\vec{k}\rangle$  a plane wave  $\langle \vec{R}_{cm} | \vec{k} \rangle = \frac{1}{(2\pi)^{3/2}} e^{i\vec{k}\vec{R}}$

$$(\vec{R}_{cm} = \frac{\vec{r}_1 + \vec{r}_2}{2})$$

and a basis of angular momentum eigenstates and spin/isospin for the relative part of the wave function

$$|\Psi\rangle = |\vec{k}\rangle \otimes |n\ell\rangle |S\rangle |T, M_T\rangle$$

relative space  $\uparrow$   $J, M_J$   $\uparrow$  2-body spin  $\uparrow$  2-body isospin  $\uparrow$   
coupled to total angular mom. eigenstate  
 $\vec{J} = \vec{L} + \vec{S}$

Matrix elements of  $H_{12}$

"charge independence"

$$\langle n J M \ell S; T M_T | H_{12} | n' J' M' \ell' S'; T' M_T' \rangle = \delta_{JJ'} \delta_{nn'} \delta_{SS'} \delta_{TT'} \delta_{M_T M_T'} \times$$

$$\langle \underline{n} \underline{J} \underline{\ell} \underline{S}; T M_T | H_{12} | \underline{n'} \underline{J'} \underline{\ell'} \underline{S'}; T M_T' \rangle$$

## General form of the nucleon-nucleon interaction

$$H_{NN} = T_{cm} + T_{rel} + V_{NN} + V_{Coulomb}$$

$\uparrow$   
 nuclear force

$$V_{Coulomb} = \frac{e^2}{|\vec{r}_1 - \vec{r}_2|} \frac{1}{2} (1 + \tau_1^z) \frac{1}{2} (1 + \tau_2^z) \quad \left( \text{can be treated as a perturbation!} \right)$$

$\parallel$   
 $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  projects on protons in isospin space

Since we are not able to derive  $V_{NN}$  from the underlying theory of the strong interactions, Quantum Chromodynamics (QCD), we first constrain the nucleon-nucleon interaction by its symmetries and then introduce a model for it, based on heavy-boson exchange forces.

## Symmetry constraints on $V_{NN}$

1.) hermiticity:  $V_{NN} = V_{NN}^\dagger$

\* 2.) translational invariance in time:  $V_{NN}$  must be time independent

3.) symmetric under exchange of particle labels:  $V_{NN}(1,2) = V_{NN}(2,1)$

\* 4.) translational invariance:  $V_{NN}(\vec{r}_1, \vec{r}_2, \dots) = V_{NN}(\vec{r}_1 - \vec{r}_2, \dots)$

\* 5.) rotational invariance:  $V_{NN}$  is a scalar under rotations in space and spin.  $\vec{r}_{12}, \vec{p}_{12}, \vec{\sigma}_1, \vec{\sigma}_2$  have to come in scalar products

6.) Galilean invariance:  $V_{NN}(\vec{r}_{12}, \vec{p}_1, \vec{p}_2, \dots) = V_{NN}(\vec{r}_{12}, \frac{\vec{p}_1 + \vec{p}_2}{2}, \dots)$

7.) time reversal invariance:  $\vec{r}_{12} \rightarrow \vec{r}_{12}, \vec{p}_{12} \rightarrow -\vec{p}_{12}, \vec{\sigma}_i \rightarrow -\vec{\sigma}_i$   
(reverse motion)  $\vec{t}_i \rightarrow -\vec{t}_i$

for a Hermitian operator:  $V_{NN}(\vec{r}_{12}, \vec{p}_{12}, \vec{\sigma}_1, \vec{\sigma}_2, \dots) = V_{NN}(\vec{r}_{12}, -\vec{p}_{12}, -\vec{\sigma}_1, -\vec{\sigma}_2, \dots)$

1)-7) also hold for the Coulomb interaction  $V_{\text{Coulomb}}$ . In addition,

we consider the strong interaction part of  $V_{NN}$  and ignore weak interactions. Thus, we also have

8) parity invariance:  $V_{NN}(\vec{r}_{12}, \vec{p}_{12}, \vec{\sigma}_1, \vec{\sigma}_2, \dots) = V_{NN}(-\vec{r}_{12}, -\vec{p}_{12}, \vec{\sigma}_1, \vec{\sigma}_2, \dots)$

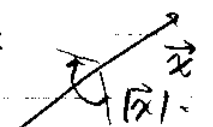
\* Recall: an operator  $O$  is invariant under a transformation  $U$  if

$$O = U^{-1} O U$$

explicit representations for the transformation are

(i) spatial translation:  $U(\vec{a}) = e^{-\frac{i}{\hbar} \vec{a} \cdot \vec{p}_{12}}$   
↑ momentum operator  
↑ spatial translation vector

(ii) temporal translation:  $U(t) = e^{-\frac{i}{\hbar} t H_{12}}$

(iii) rotation:  $U(\vec{x}) = e^{-\frac{i}{\hbar} \vec{x} \cdot \vec{J}}$   
↑ angular momentum operator  
↑ angle of rotation  
  $|\vec{x}|$  - angle

(4)

Furthermore, to a good approximation, the NN interaction may be taken to be charge independent:  $V_{NN} = V_{NN}(\dots, \vec{r}_1, \vec{r}_2, \dots)$

(Scalar in isospin-space  $[V_{NN}, T \cdot \frac{\vec{\tau}_1 + \vec{\tau}_2}{2}]$ , but  $[V_{Coulomb}, T] \neq 0$ )

In momentum space, the symmetries are realized (with

$$\begin{aligned} \vec{P} &= \vec{p}_1 + \vec{p}_2 \quad (\text{center of mass}) \\ \vec{P}_{\text{cm}} &= \vec{p}_1 + \vec{p}_2 \\ \vec{p}_1 &= \vec{P}_{\text{cm}} + \vec{q}'/2 + \vec{q}/2 \\ \vec{p}_2 &= \vec{P}_{\text{cm}} - \vec{q}'/2 - \vec{q}/2 = \vec{p}_1 \end{aligned}$$

$\langle \vec{p}_1, \vec{p}_2 | V_{NN} | \vec{p}_1, \vec{p}_2 \rangle =$

Galilean invariance:  $V_{NN}(\vec{P}_{\text{cm}}, \vec{q}, \vec{q}', \vec{\sigma}_1, \vec{\sigma}_2, \dots) = V_{NN}(\vec{q}, \vec{q}', \vec{\sigma}_1, \vec{\sigma}_2, \dots)$

\* 1) hermiticity:  $\vec{q} \rightarrow -\vec{q}$ , complex conjugation of matrix element  
" $i \rightarrow -i$ "

7) time reversal inv.: provided  $V_{NN}$  is hermitian

$$\vec{q}, \vec{q}' \rightarrow -\vec{q}, -\vec{q}', \vec{\sigma}_1, \vec{\sigma}_2 \rightarrow -\vec{\sigma}_1, -\vec{\sigma}_2, i \rightarrow -i$$

8) parity inv.:  $\vec{q}, \vec{q}' \rightarrow -\vec{q}, -\vec{q}'$

3.) sym. under exchange of particle labels:  $\vec{\sigma}_1 \leftrightarrow \vec{\sigma}_2, \vec{q}, \vec{q}' \rightarrow -\vec{q}, -\vec{q}'$

\* hermiticity  $\langle \vec{p}_3, \vec{p}_4 | V_{NN} | \vec{p}_1, \vec{p}_2 \rangle = \langle \vec{p}_1, \vec{p}_2 | V_{NN} | \vec{p}_3, \vec{p}_4 \rangle^*$

$\vec{q} \rightarrow -\vec{q}$       " $i \rightarrow -i$ "

(5)

Therefore the most general form of  $V_{NN}$  reads:

Coordinate space

Scalar interaction  $O_1 = 1$

Spin-spin interaction  $O_2 = \vec{\sigma}_1 \cdot \vec{\sigma}_2$

Tensor interaction  $O_3 = \vec{\sigma}_1 \cdot \hat{r}_{12} \vec{\sigma}_2 \cdot \hat{r}_{12} - \frac{1}{3} \vec{\sigma}_1 \cdot \vec{\sigma}_2$

Spin-orbit interaction  $O_4 = \vec{L} \cdot \vec{S}$

quadratic spin-orbit  $O_5 = \frac{1}{2} (\vec{\sigma}_1 \cdot \vec{L} \vec{\sigma}_2 \cdot \vec{L} + \vec{\sigma}_2 \cdot \vec{L} \vec{\sigma}_1 \cdot \vec{L})$

Momentum space

$O_1 = 1$

$O_2 = \vec{\sigma}_1 \cdot \vec{\sigma}_2$

$O_3 = \vec{\sigma}_1 \cdot \vec{q} \vec{\sigma}_2 \cdot \vec{q} - \frac{1}{3} \vec{\sigma}_1 \cdot \vec{\sigma}_2$

$O_4 = i(\vec{\sigma}_1 + \vec{\sigma}_2) \cdot \vec{q} \times \vec{q}'$

$O_5 = \vec{\sigma}_1 \cdot (\vec{q} \times \vec{q}') \vec{\sigma}_2 \cdot (\vec{q} \times \vec{q}')$

With these set of operators

$$V_{NN} = \sum_{i=1}^5 V_0^{(i)}(\vec{r}_{12}^2, \vec{p}_{12}^2, \vec{L}^2) O_i$$

$$+ \sum_{i=1}^5 V_T^{(i)}(\vec{r}_{12}^2, \vec{p}_{12}^2, \vec{L}^2) O_i \vec{\tau}_1 \cdot \vec{\tau}_2$$

In momentum space, the  $V^{(i)}$  depend on  $V(\vec{q}^2, \vec{q}'^2, (\vec{q} - \vec{q}')^2)$ .

Notice: a)  $V_{NN}$  doesn't connect different (iso-) spins  $\langle k' j l S T | V_{NN} | k j l S' T' \rangle$   
 $= 0$  for  $S \neq S', T \neq T'$

b) spin-orbit and tensor forces vanish in  $S=0$ .

(6)

We have already discussed the spin-orbit interaction in the context of the nuclear shell model, but the tensor force is new to us. It operates like an interaction between between dipole-magnets. We will discuss the one-pion exchange potential in the next section. This interaction has a tensor force, which is of the form

$$V_{\pi}^{\text{tensor}} = \frac{g_{\pi}^2}{4m_{\pi}^2} \underbrace{\frac{1}{4\pi} \left( \frac{m_{\pi}^2}{3} + \frac{m_{\pi}}{r} + \frac{1}{r^2} \right) \frac{e^{-m_{\pi}r}}{r}}_{\text{Position}} \underbrace{(3 \vec{\sigma}_1 \cdot \hat{r} \vec{\sigma}_2 \cdot \hat{r} - \vec{\sigma}_1 \cdot \vec{\sigma}_2)}_{\text{Tensor operator}} \vec{\tau}_1 \cdot \vec{\tau}_2$$

tensor operator is attractive or repulsive depending on the spin direction relative to  $\vec{r}_{12}$ .

$\vec{\tau}_1 \cdot \vec{\tau}_2$  attraction or repulsion depending on isospin  $\langle pn | \vec{\tau}_1 \cdot \vec{\tau}_2 | pn \rangle = -1$

Therefore, the tensor force between a proton and neutron operates as follows

