

## Physical interpretation of the Green's function

in the interacting system. the quasiparticle concept

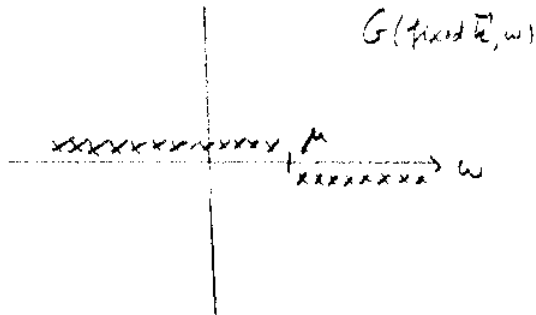
The basic idea underlying the concept of a quasiparticle is analyticity, with that we mean that states with the same symmetry (definite momentum, number of particles, spin, etc) can be adiabatically connected. If we think in terms of the analytic structure of the Green's function, we will find that the poles corresponding to low-energy excitations are still simple poles, but with different parameters compared to the noninteracting system  $G^{(0)}(\vec{k}, \omega) = \frac{1}{\omega - \epsilon_{\vec{k}}^0}$ . This will enable us to even describe strongly interacting systems, of course provided there are no singularities e.g., due to phase transitions

Recall the Lehmann representation of the interacting Green's function:

$$G(\vec{k}, \omega) = \sum_n \left( \frac{|\langle \Psi_n^{N+1} | a_{\vec{k}}^\dagger | \Psi_0^N \rangle|^2}{\omega - \mu - \epsilon_n^{N+1} + i\eta} + \frac{|\langle \Psi_n^{N-1} | a_{\vec{k}} | \Psi_0^N \rangle|^2}{\omega - \mu + \epsilon_n^{N-1} - i\eta} \right)$$

where  $\epsilon_n^{N+1} = E_n^{N+1} - E_0^N$  and  $|\Psi_n^{N+1}\rangle$  are eigenstates of the interacting system  $H |\Psi_n^{N+1}\rangle = E_n^{N+1} |\Psi_n^{N+1}\rangle$  with  $N+1$  particles.

The pole structure of  $G(\vec{k}, \omega)$



and spectral densities  $g^+(\vec{k}, \omega) = \sum_n |\langle \Psi_n^{N+1} | a_{\vec{k}}^+ | \Psi_0^N \rangle|^2 2\pi \delta(\omega - \epsilon_n^{N+1})$

$$g^-(\vec{k}, \omega) = \sum_n |\langle \Psi_n^{N-1} | a_{\vec{k}} | \Psi_0^N \rangle|^2 2\pi \delta(\omega - \epsilon_n^{N-1})$$

and  $g(\vec{k}, \omega) = \theta(\omega) g^+(\vec{k}, \omega) + \theta(-\omega) g^-(\vec{k}, -\omega)$

$$\Rightarrow G(\vec{k}, \omega) = \int_0^\infty \frac{d\omega'}{2\pi} \left[ \frac{g^+(\vec{k}, \omega')}{\omega - \mu - \omega' + i\eta} + \frac{g^-(\vec{k}, \omega')}{\omega - \mu + \omega' - i\eta} \right]$$

For this lecture, we consider  $\omega > \mu$ . Thus we study the propagation of particles. The arguments are the same for  $\omega < \mu$ , and will lead to no new effects.

For  $\omega > \mu$ , the only poles in  $G(\vec{k}, \omega)$  are from the  $g^+$  piece

$$G(\vec{k}, \omega > \mu) = \int_0^\infty \frac{d\omega'}{2\pi} \frac{g^+(\vec{k}, \omega')}{\omega - \mu - \omega' + i\eta} = \int_{-\infty}^\infty \frac{d\omega'}{2\pi} \frac{g^+(\vec{k}, \omega')}{\omega - \mu - \omega' + i\eta}$$

$\nearrow g^+ = 0$  for  $\omega < \epsilon_0^{N+1}$  and we can extend the integral for free

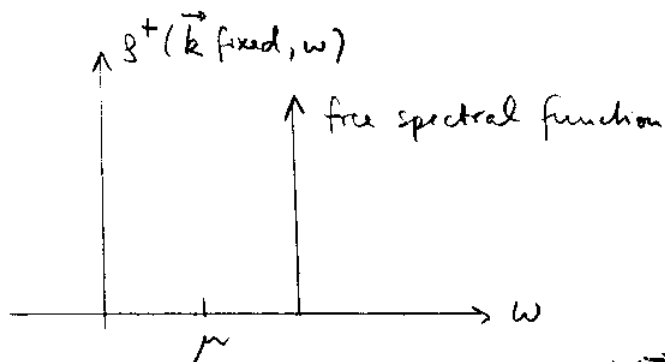
Using  $\frac{1}{\omega \pm i\eta} = \mathcal{P} \frac{1}{\omega} \mp i\pi \delta(\omega)$  leads to a (dispersion) relation

$$\text{Im } G(\vec{k}, \omega > \mu) = -\frac{1}{2} g^+(\vec{k}, \omega > \mu)$$

For a free particle ( $k > k_F$ )

$$\text{Im } G^{(0)}(\vec{k}, \omega > \mu) = \text{Im } \frac{1}{\omega - \frac{k^2}{2m} + i\eta} = -\pi \delta\left(\omega - \frac{k^2}{2m}\right)$$

$$\Rightarrow g_{(0)}^+ = 2\pi \delta\left(\omega - \frac{k^2}{2m}\right)$$



Therefore, the spectral function  $g^+(\vec{k}, \text{as fn of } \omega)$  describes the distribution of energies in the system when a particle with momentum  $\vec{k}$  is added. Analogously,  $g^-(\vec{k}, \omega < \mu)$  ... when a hole with momentum  $\vec{k}$  is added (= a particle with  $\vec{k}$  removed). For free particles, the momentum state  $\vec{k}$  is also an eigenstate of the Hamiltonian  $\Rightarrow g_{(0)}^+ \sim \delta(\omega - \frac{k^2}{2m})$ .

How does the spectral function change in the interacting system? We have the general expression:

$$g^+(\vec{k}, \omega) = \sum_n |\langle \Psi_n^{N+1} | a_{\vec{k}}^+ | \Psi_0^N \rangle|^2 2\pi \delta(\omega - \epsilon_n^{N+1})$$

$n \nearrow$

What are the allowed intermediate states?

- need  $N+1$  particles in total
- momentum conservation demands  $\hat{p} |\Psi_n^{N+1}\rangle = \vec{k} |\Psi_n^{N+1}\rangle$   
so in fact  $|\Psi_n^{N+1}\rangle = |\Psi_{\vec{k}}^{N+1}\rangle$  and momentum labels intermediate states

(• additionally: spin, charge, ... conservation)

Expand  $|\Psi_{\vec{k}}^{N+1}\rangle$  in a complete set of states with the above symmetries:

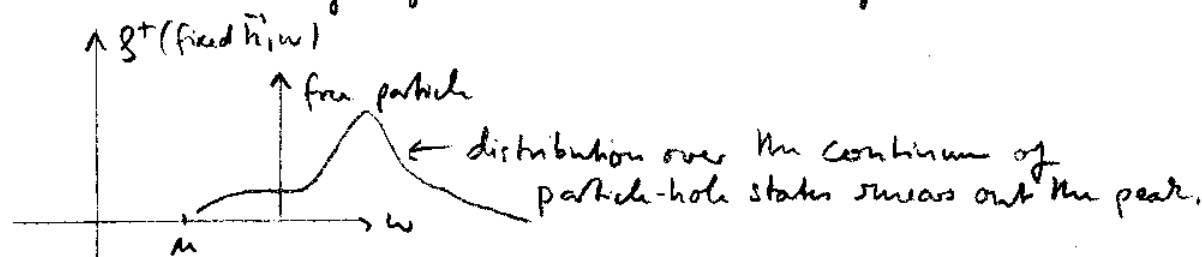
$$|\Psi_{\vec{k}}^{N+1}\rangle = \underbrace{z_{\vec{k}}^{1/2} a_{\vec{k}}^+ |\Psi_0^N\rangle}_{N+1 \text{ part.}} + \sum_{\vec{p}, \vec{p}'} \alpha_{\vec{k}, \vec{p}, \vec{p}'} \underbrace{a_{\vec{p}}^+ a_{\vec{p}'}^+ a_{\vec{k}+\vec{p}-\vec{p}'}^+}_{N+1 \text{ part momentum } \vec{k} \text{ o.k.}} |\Psi_0^N\rangle$$

+ 3 particle-2 hole + 4 particle-3 hole + ...

Where  $z_{\vec{k}}, \alpha_{\vec{k}, \vec{p}, \vec{p}'}$  are the expansion coefficients.

The interaction kicks particle from below the Fermi surface to above. There is a continuum of momenta  $\left[ \begin{smallmatrix} \vec{p} \\ \vec{p}' \end{smallmatrix} \right]$  available for these intermediate states. The added particle therefore has a wide distribution of energies.

And we might guess, that the spectral function in the interacting system looks something like this



We will now show that this is indeed the form of the spectral function. We will study this in a schematic model. We also go back to our diagrammatical approach, in contrast to the above expansion of the wave function.

The many-body physics is contained in the proper self-energy  $\Sigma^*(\vec{k}, \omega)$  and from Dyson's equation we have

$$G(\vec{k}, \omega) = \frac{1}{\omega - \epsilon_{\vec{k}} - \Sigma^*(\vec{k}, \omega) + i\eta}$$

can drop  $i\eta$ , since  $\text{Im} \Sigma^* < 0$  at second order

The essential features of  $\Sigma^*$  arise already in the first two orders of perturbation theory.

$$\Sigma^*(\vec{k}, \omega) = \Sigma^{*(1)}(\vec{k}, \omega) + \Sigma^{*(2)}(\vec{k}, \omega) + \dots$$

$$= \text{[Diagram 1]} + \text{[Diagram 2]} + \dots$$

"dressing with the many-body medium"

"particle-hole polarization/screening"

The first diagram shows a fermion line with momentum  $\vec{k}$  and energy  $\omega$  entering a circle. The second diagram shows a fermion line with momentum  $\vec{k}$  and energy  $\omega$  entering a circle, which contains a bubble representing a particle-hole excitation.

$$\Sigma^{*(1)} = \frac{k_F^2}{2m} (g-1) \frac{4}{3\pi} (k_F a_s) \sim k_F^3 \quad (\text{recall})$$

$$-i \text{Tr} G(\vec{x}, t; \vec{x}, t^+) = g(\vec{x}) = \frac{k_F^3}{2\pi^2}$$

Thus  $\Sigma^{*(1)}$  is  $\vec{k}$  and  $\omega$  independent. (for homogeneous systems)

We absorb it into  $\epsilon_{\vec{k}}$  and define  $E_0(\vec{k}) = \epsilon_{\vec{k}} + \Sigma^{*(1)}$ .

$$\Rightarrow G = \frac{1}{\omega - E_0(\vec{k}) - \Sigma^{*(2)}(\vec{k}, \omega)}$$

For the dilute Fermi system with  $C_0$

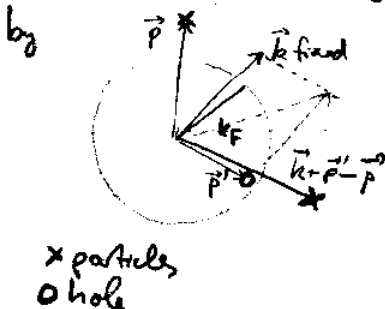
$$\Sigma^{*(2)} = \text{Diagram: } \text{Feynman diagram with two fermion lines and two boson lines forming a loop. Labels: } \vec{k}, \omega, \vec{k} + \vec{p}' - \vec{p}, \vec{p}', \vec{p}, \vec{k}, \omega$$

$$\sim \frac{1}{2} \int \frac{d^3 \vec{p}}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3} \Theta(k_F - p') \Theta(p - k_F)$$

↑  
sym factor

$$\times \Theta(|\vec{k} + \vec{p}' - \vec{p}| - k_F) \frac{C_0^2}{\omega + \epsilon_{p'} - \epsilon_p - \epsilon_{\vec{k} + \vec{p}' - \vec{p}} + i\eta}$$

A momentum configuration, which contributes, is given by



+ the 2 hole - 1 particle contribution from the different time ordering  
 $\Sigma^{*(2)}(2h|1p)$  has only poles for  $\omega < \mu$   
 $\Rightarrow$  contributes to  $g^-$ . see Nagels & Orland, p. 250  
 We still are interested in  $\omega > \mu$

The double-integral is still cumbersome, but the essential features are:

- 1) continuum of poles in the intermediate state, just like  $\frac{\mu}{\omega}$  for  $\omega > \mu$
- 2) scattering of particles near the Fermi surface is inhibited by Pauli blocking of the occupied states

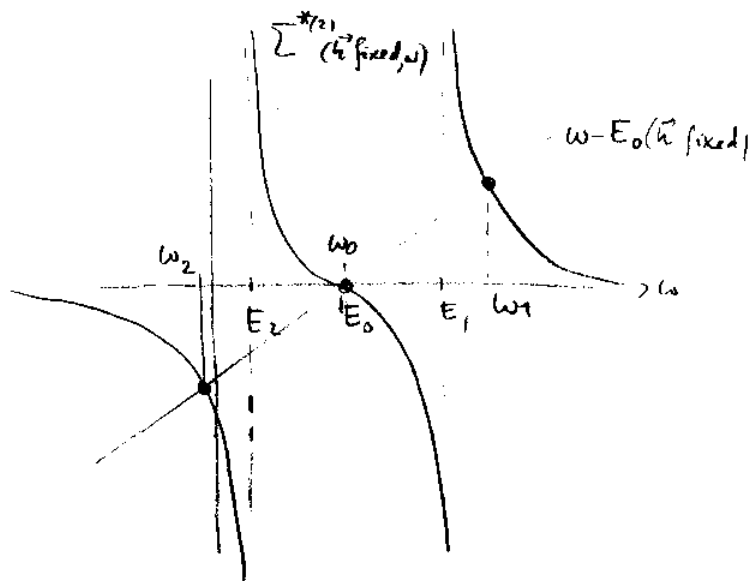
We model the behavior of  $\Sigma^{*(2)}(\vec{k}, \omega)$  as a sum of equally spaced (try randomly spaced in the notebook, eg.) poles

$$\Sigma^{*(2)}(\vec{k}, \omega) \approx \sum_{n=0}^N \frac{\lambda^2}{\omega - (E_1 - \Delta E \cdot n)}$$

↑ some spacing

Start with only two poles  $\Sigma^{*(2)}(\vec{k}, \omega) = \frac{\lambda^2}{\omega - E_1} + \frac{\lambda^2}{\omega - E_2}$

with  $E_1 > E_0 > E_2$  and  $E_1 - E_2 = \Delta E$  and we dropped the  $i\gamma$



The zero's of the interacting Green function are given by  $\omega - E_0(\vec{k}) = \Sigma^{*(2)}(\vec{k}, \omega)$

The graphical solution for fixed  $\vec{k}$  is given by the intersection of  $\omega - E_0$  and  $\Sigma^{*(2)}(\omega)$

They are denoted by  $\omega_2, \omega_0, \omega_1$ , see Figures

In terms of the  $\omega_i$ , the Green's function can be written as

$$G(\vec{k}, \omega) = \sum_{i=0}^2 \frac{z_i(\vec{k})}{\omega - \omega_i(\vec{k}) + i\gamma}$$

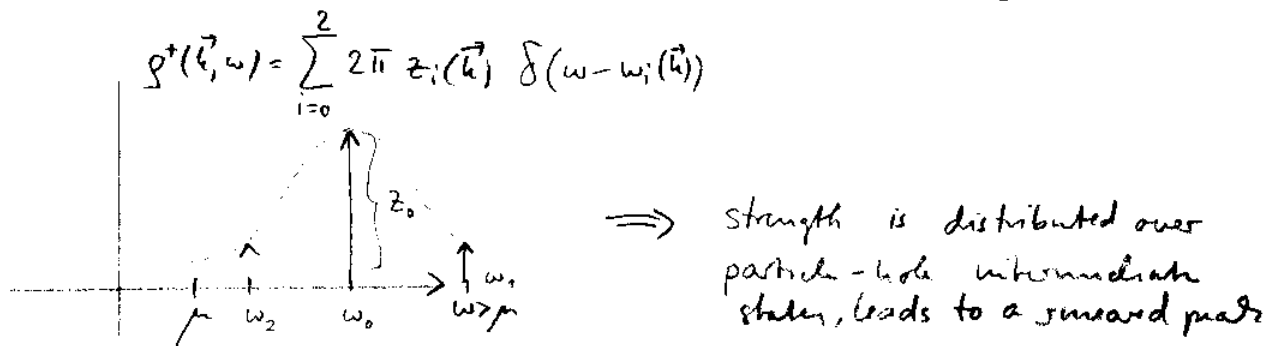
Where the residues  $z_i(\vec{k})$  are given by

$$z_i(\vec{k}) = \lim_{\omega \rightarrow \omega_i(\vec{k})} (\omega - \omega_i(\vec{k})) G(\vec{k}, \omega) = \lim_{\omega \rightarrow \omega_i(\vec{k})} (\omega - \omega_i(\vec{k})) \frac{1}{\omega - E_0(\vec{k}) - \Sigma^{*(2)}(\vec{k}, \omega)}$$

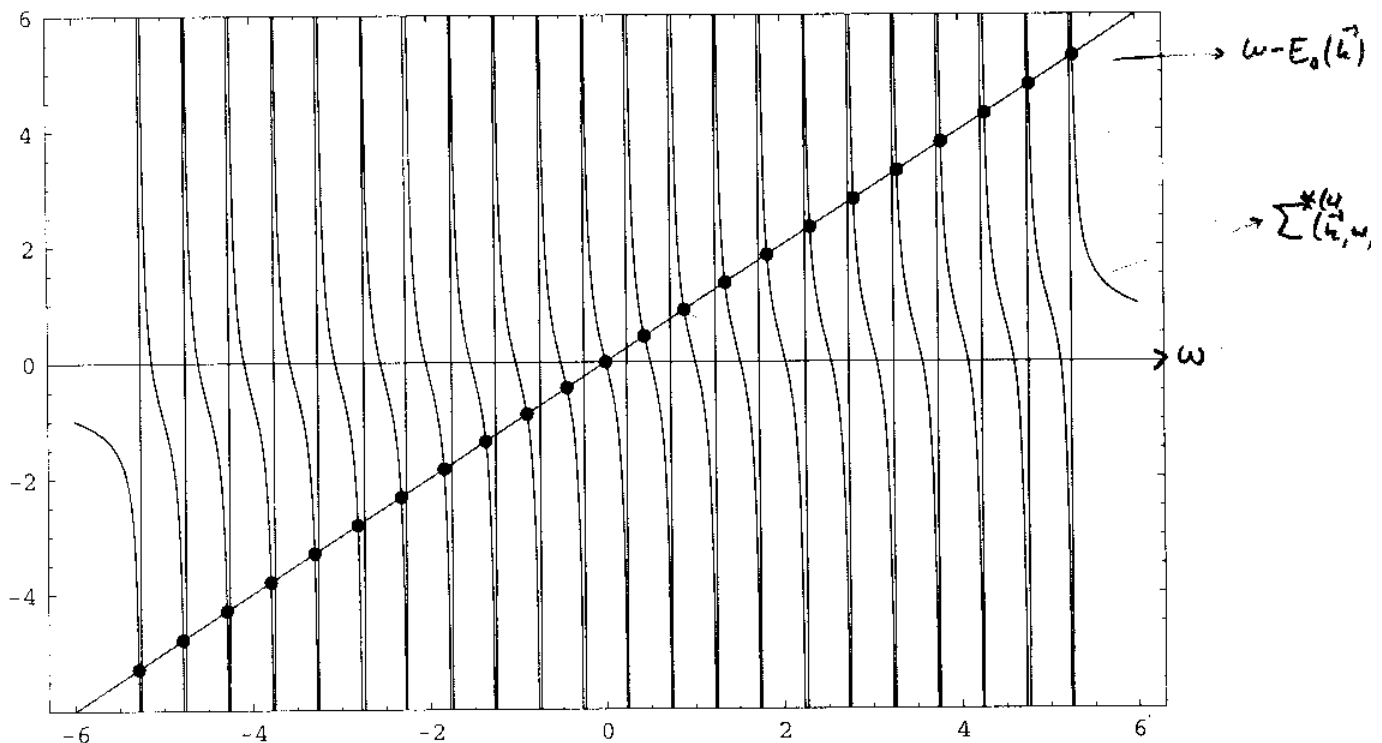
Both numerator and denominator vanish, then the  $z_i(\vec{k})$  may be obtained through l'Hospital's rule

$$z_i(\vec{k}) = \frac{1}{1 - \frac{\partial \Sigma^*(\vec{k}, \omega)}{\partial \omega} \Big|_{\omega = \omega_i(\vec{k})}} = \frac{1}{1 + \left( \frac{\lambda^2}{(\omega_i(\vec{k}) - E_1)^2} + \frac{\lambda^2}{(\omega_i(\vec{k}) - E_2)^2} \right)} < 1.$$

$z_i(\vec{k})$  is maximal, when  $(\omega_i(\vec{k}) - E_1)^2$  and  $(\omega_i(\vec{k}) - E_2)^2$  are maximal, this is the case for  $\omega_0$ , whereas  $\omega_1$  and  $\omega_2$  have larger residues. A plot of the spectral function is given by

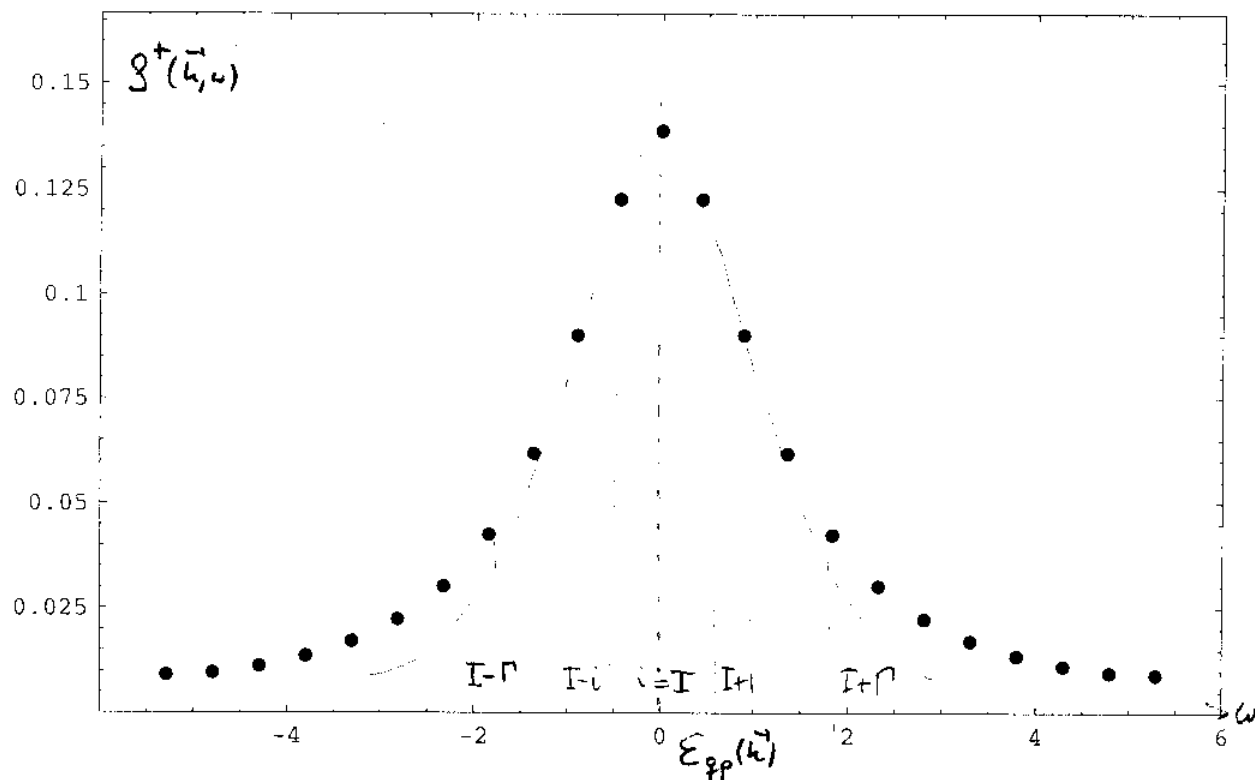


Use Mathematica, to include more poles in  $\Sigma^{*(2)}$ :





And the spectral function<sup>1)</sup>



- The position of the peak defines the energy of the quasiparticle  $\epsilon_{qp}(\vec{k}) \neq \epsilon_{\vec{k}}$  (free particle energy)
- The quasiparticle excitation is given by the linear combination of eigenstates  $\omega_i(\vec{k})$  around the peak,  $|i-I| \leq \Gamma$
- The quasiparticle strength is defined by  $z_{qp} = \sum_{|i-I| \leq \Gamma} z_i(\vec{k})$
- The background of the remaining modes are far apart in energy  $\omega$  and thus decay faster. (We will discuss this further in one of the following lectures.)

- We have well defined quasiparticles in the infinite system, if  $z_{qp}$  is finite as  $N \rightarrow \infty, V \rightarrow \infty, \frac{N}{V} = \rho$  fixed.

<sup>1)</sup> The spectral weights obey a sum rule, see Nagels + Odland p.244, in our schematic model  $\sum_{all i} z_i(\vec{k}) = 1$ .

We now parametrize the quasiparticle part of the interacting Green's function by

$$G_{qp}(\vec{k}, \omega) = \frac{Z_{\vec{k}}}{\omega - \epsilon_{qp}(\vec{k}) + \frac{i}{\tau_{\vec{k}}}}, \quad \omega > \mu$$

$\omega \approx \mu$   
 $|\vec{k}| \approx k_F$

Why is this reasonable?

$$\begin{aligned} \Rightarrow \quad g^+(\vec{k}, \omega - \mu > 0) &= -2 \operatorname{Im} G_{qp}(\vec{k}, \omega > \mu) \\ &= -2 \operatorname{Im} \frac{Z_{\vec{k}} (\omega - \epsilon_{qp}(\vec{k}) - \frac{i}{\tau_{\vec{k}}})}{(\omega - \epsilon_{qp}(\vec{k}))^2 + \frac{1}{\tau_{\vec{k}}^2}} \\ &= \frac{2Z_{\vec{k}}/\tau_{\vec{k}}}{(\omega - \epsilon_{qp}(\vec{k}))^2 + \frac{1}{\tau_{\vec{k}}^2}} = \text{Lorentzian peak in the spectral function, atypical peak shape.} \end{aligned}$$

width of the quasiparticle

We will derive that  $\frac{1}{\tau_{\vec{k}}} \sim (\epsilon_{qp}(\vec{k}) - \mu)^2$ , i.e., the quasiparticles are long-lived in the vicinity of the Fermi surface  $\epsilon_{qp}(\vec{k}) \approx \mu$ .

Coming back to the introductory statements, we have demonstrated<sup>in a general model</sup> that the analytic structure of the interacting Green's function at low-energies has the same qualitative behavior as a free particle—a simple pole—with different "parameters" however. In the remainder, we will discuss experimental evidence for this quasiparticle picture in nuclear physics as well as the physical content of the "parameters" in the qp Green's function.

By comparison of  $G_{pp}$  and the Lehman representation, we observe

$$Z_{\vec{k}} = |\langle \Psi_{\vec{k}}^{N+1} | a_{\vec{k}}^\dagger | \Psi_0^N \rangle|^2 = \frac{1}{1 - \frac{\partial \Sigma}{\partial \omega} \Big|_{\omega = \epsilon_{pp}(\vec{k})}} \Rightarrow Z_{k_F} = \frac{1}{1 - \frac{\partial \Sigma}{\partial \omega} \Big|_{\omega = \mu, \vec{k} = k_F}}$$

thus the  $Z_{\vec{k}}$  on p. (183)

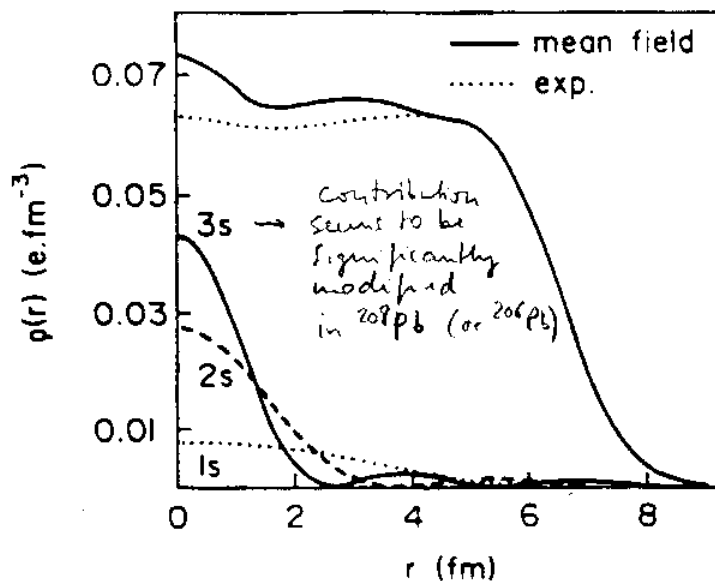
"Z-factor"  
on the Fermi surface

Physical definition  $Z_{\vec{k}}$ : overlap of the ground state wave function of a system of interacting  $N+1$  (or  $N-1$ ) fermions with momentum  $\vec{k}$  with the wave function of  $N$  interacting particles and a bare particle of momentum  $\vec{k}$ .

or:  
Single quasiparticle with momentum  $\vec{k}$  to be in a single particle state with momentum  $\vec{k}$ .

## Evidence of quasiparticle excitations in heavy nuclei

Study of  $3s_{1/2}$  (proton) charge distributions in  $^{206}\text{Pb}$  versus  $^{208}\text{Tl}$  (= single proton quasihole in  $^{206}\text{Pb}$ )



The  $p_{3s_{1/2}}$  is the last filled level before shell closure (= Fermi surface) to 82 protons.

The Figure shows the contributions of  $1s_{1/2}$ ,  $2s_{1/2}$ ,  $3s_{1/2}$  protons to the total charge density, assuming all proton levels up to  $3s_{1/2}$  are filled, all higher ones are empty.

Figure 7: Charge density of  $^{208}\text{Pb}$ . The contributions of the  $1s$ ,  $2s$  and  $3s$  proton orbits to the total charge density are mean field predictions [Fr77, De80].

The total charge density in nuclei can be probed by means of elastic electron scattering. The elastic cross section depends on the Fourier transform of the charge distribution. For details, see B. Frois and I. Sick (Eds): "Modern Topics in  $e^-$  Scattering", World Scientific 1991, 352-392, where I took the figures from as well.

$$\left( \frac{d\sigma}{d\Omega} \right)_{eA \rightarrow eA} = \left[ \frac{Z\alpha \cos \frac{\theta}{2}}{2E \sin^2 \frac{\theta}{2}} \right]^2 \frac{1}{1 + \frac{2E}{M} \sin^2 \frac{\theta}{2}} |F(\vec{q})|^2$$

$$\text{with } F(\vec{q}) = \frac{1}{Ze} \int \rho(\vec{r}) e^{i\vec{q} \cdot \vec{r}} d^3r$$

$\int_0(qr)$  for spherically sym. charge distribution

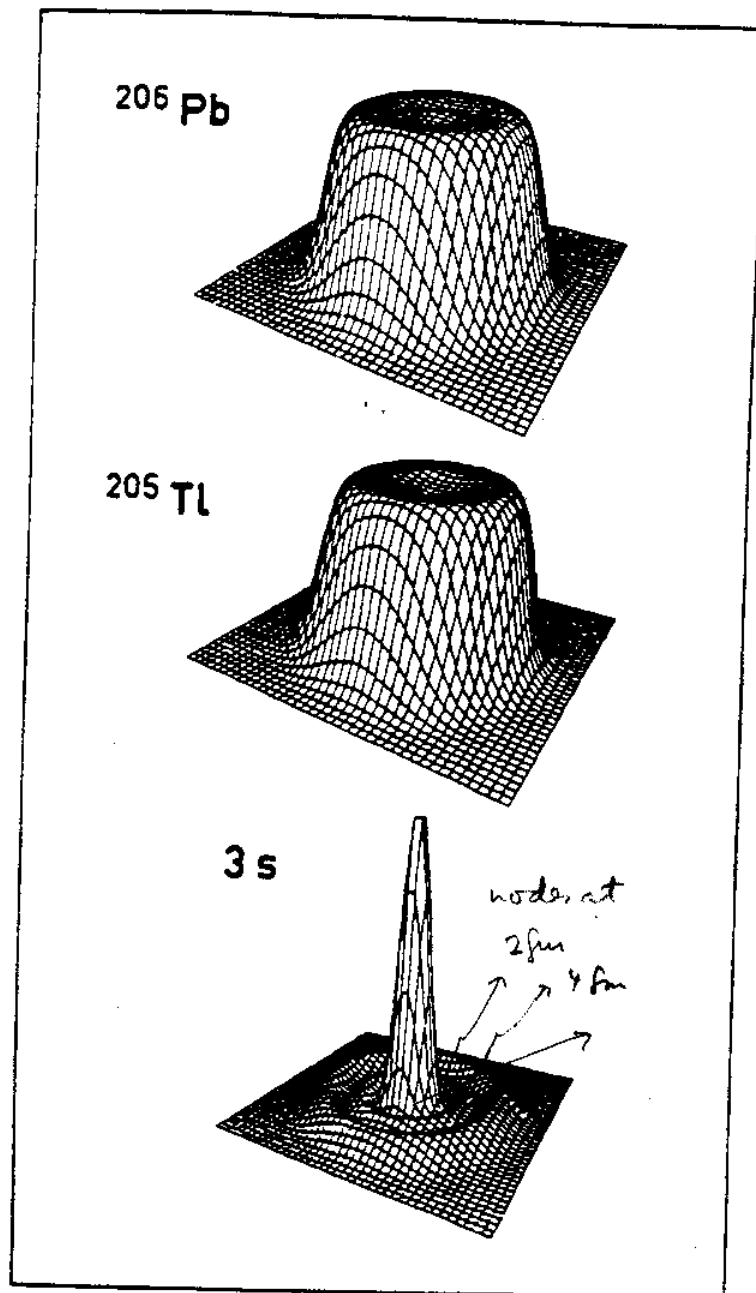
The charge density difference between  $^{206}\text{Pb}$  and  $^{205}\text{Tl}$  directly probes the  $3s_{1/2}$  protons, since the  $3s_{1/2}$  has a unique shape, see Fig. 9, while the p.d. - orbits peak at the surface of the nucleus.

Therefore, the effect of the  $3s_{1/2}$  protons shows up in the ratio of cross sections of  $^{205}\text{Tl}$  to  $^{206}\text{Pb}$  as a peak, see Fig. 10.

Elastic electron-nucleus scattering confirms our picture of the quasiparticle!

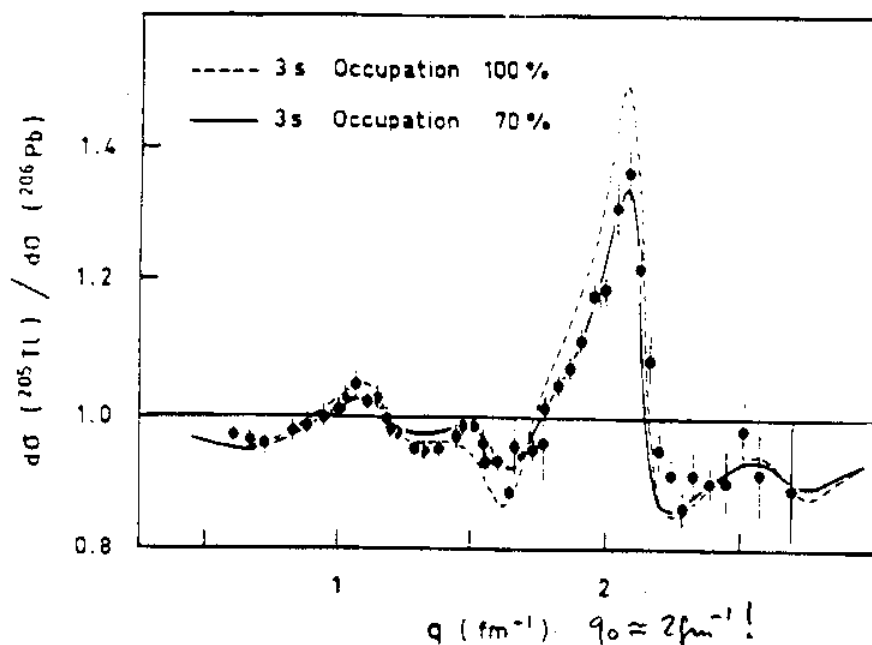
In the next lecture, we will construct an effective theory among quasiparticles, Landau's Fermi liquid theory, where we will consider the excitation of quasiparticles created by  $a_{\vec{k}}^{\dagger} = z_{\vec{k}}^{1/2} a_{\vec{k}}^{\dagger}$ , and calculate the resulting physical properties.

↑  
due to  $\omega$ -dependence of  $Z$   
the rest is multi-particle-hole.



$\Rightarrow$  has largest overlap with the Bessel function  $j_0(qr)$  at  $q_0 \approx 2\text{ fm}^{-1}$

Figure 9: Two-dimension contours of the charge density distributions of  $^{206}\text{Pb}$ ,  $^{205}\text{Tl}$  and of the  $3s$  orbit. The amplitude of the  $3s$  distribution is multiplied by 2).



193  
typical for a  
proton quasihole  
⇒ ~ 70% single proton  
~ 30% higher multi-  
particle-hole  
excitations

⇒ shape of  $3s_{1/2}$   
proton orb. is observed!  
Thus, single  
particle picture  
valid.

Figure 10: The ratio of elastic cross sections from  $^{205}\text{Tl}$  and  $^{206}\text{Pb}$  [Ca82, Eu78]. The curves are mean field predictions with a finite range density dependent interaction [De80, CS72].

But, fragmentation  
of strength, see  
above, as in  
our quasiparticle  
picture.

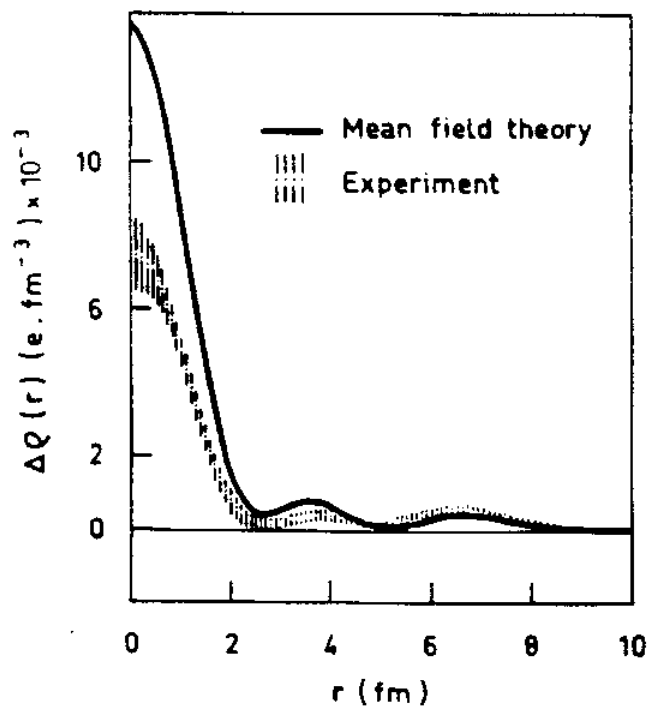


Figure 11: The charge density difference of  $^{206}\text{Pb}$  and  $^{205}\text{Tl}$  [Ca82, De80].