CE100 Algorithms and Programming II

Week-3 (Matrix Multiplication/ Quick Sort)

Spring Semester, 2021-2022

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Matrix Multiplication / Quick Sort

Outline

- Matrix Multiplication
  - Traditional
  - Recursive
  - Strassen

Outline

- Quicksort
  - Hoare Partitioning
  - Lomuto Partitioning
  - Recursive Sorting

Outline

- Quicksort Analysis
    - Randomized Quicksort
    - Randomized Selection
        - Recursive
        - Medians

Matrix Multiplication

- **Input:** $A = [a_{ij}], B = [b_{ij}]$
- **Output:** $C = [c_{ij}] = A \cdot B \Longrightarrow i, j = 1, 2, 3, \dots, n$

$$\begin{bmatrix} c_{11} & c_{12} & \dots & c_{1n} \\ c_{21} & c_{22} & \dots & c_{2n} \\ \vdots & \vdots & \vdots & \ddots \\ c_{n1} & c_{n2} & \dots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \vdots & \ddots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2n} \\ \vdots & \vdots & \vdots & \ddots \\ b_{n1} & a_{n2} & \dots & b_{nn} \end{bmatrix}$$

Matrix Multiplication

$$\begin{pmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ \boxed{c_{21}} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{pmatrix} \cdot \begin{pmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{pmatrix}$$

- $c_{ij} = \sum_{1 \leq k \leq n} a_{ik} . b_{kj}$

Matrix Multiplication: Standard Algorithm

Running Time: $\Theta(n^3)$

```
for i=1 to n do
    for j=1 to n do
        C[i,j] = 0
        for k=1 to n do
            C[i,j] = C[i,j] + A[i,k] + B[k,j]
        endfor
    endfor
endfor
```

Matrix Multiplication: Divide & Conquer

IDEA: Divide the nxn matrix into $2x2$ matrix of $(n/2)x(n/2)$ submatrices.

$$\begin{pmatrix} \boxed{c_{11}} & c_{12} \\ c_{21} & c_{22} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \cdot \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$$

$$c_{11} = a_{11}b_{11} + a_{12}b_{21}$$

$$\begin{pmatrix} c_{11} & c_{12} \\ \boxed{c_{21}} & c_{22} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \cdot \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$$

$$c_{21} = a_{21}b_{11} + a_{22}b_{21}$$

$$\begin{pmatrix} c_{11} & \boxed{c_{12}} \\ c_{21} & c_{22} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \cdot \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$$

$$c_{12} = a_{11}b_{12} + a_{12}b_{22}$$

$$\begin{pmatrix} c_{11} & c_{12} \\ c_{21} & \boxed{c_{22}} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \cdot \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$$

$$c_{22} = a_{21}b_{12} + a_{22}b_{22}$$

Matrix Multiplication: Divide & Conquer

$$\begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$$

$$\text{8 mults and 4 adds of (n/2)*(n/2) submatrices} = \begin{cases} c_{11} = a_{11}b_{11} + a_{12}b_{21} \\ c_{21} = a_{21}b_{11} + a_{22}b_{21} \\ c_{12} = a_{11}b_{12} + a_{12}b_{22} \\ c_{22} = a_{21}b_{12} + a_{22}b_{22} \end{cases}$$

Matrix Multiplication: Divide & Conquer

```
MATRIX-MULTIPLY(A, B)
    // Assuming that both A and B are nxn matrices
    if n == 1 then
        return A * B
    else
        //partition A, B, and C as shown before
        C[1,1] = MATRIX-MULTIPLY (A[1,1], B[1,1]) +
                 MATRIX-MULTIPLY (A[1,2], B[2,1]);

        C[1,2] = MATRIX-MULTIPLY (A[1,1], B[1,2]) +
                MATRIX-MULTIPLY (A[1,2], B[2,2]);

        C[2,1] = MATRIX-MULTIPLY (A[2,1], B[1,1]) +
        MATRIX-MULTIPLY (A[2,2], B[2,1]);

        C[2,2] = MATRIX-MULTIPLY (A[2,1], B[1,2]) +
        MATRIX-MULTIPLY (A[2,2], B[2,2]);
    endif

    return C
```

Matrix Multiplication: Divide & Conquer Analysis

$$T(n) = 8T(n/2) + \Theta(n^2)$$

- 8 recursive calls $\implies 8T(\cdots)$
- each problem has size $n/2 \implies \cdots T(n/2)$
- Submatrix addition $\implies \Theta(n^2)$

Matrix Multiplication: Solving the Recurrence

- $T(n) = 8T(n/2) + \Theta(n^2)$
  - $a = 8, b = 2$
  - $f(n) = \Theta(n^2)$
  - $n^{log_b^a} = n^3$
- Case 1: $\frac{n^{log_b^a}}{f(n)} = \Omega(n^{\varepsilon}) \implies T(n) = \Theta(n^{log_b^a})$

Similar with ordinary (iterative) algorithm.

Matrix Multiplication: Strassen's Idea

Compute $c_{11}, c_{12}, c_{21}, c_{22}$ using 7 recursive multiplications.

In normal case we need 8 as below.

$$\begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$$

$$\text{8 mults and 4 adds of (n/2)*(n/2) submatrices} = \begin{cases} c_{11} = a_{11}b_{11} + a_{12}b_{21} \\ c_{21} = a_{21}b_{11} + a_{22}b_{21} \\ c_{12} = a_{11}b_{12} + a_{12}b_{22} \\ c_{22} = a_{21}b_{12} + a_{22}b_{22} \end{cases}$$

Matrix Multiplication: Strassen's Idea

- **Reminder:**
  - Each submatrix is of size $(n/2) * (n/2)$
  - Each add/sub operation takes $\Theta(n^2)$ time
- Compute $P1 \dots P7$ using 7 recursive calls to matrix-multiply

$$P_1 = a_{11} * (b_{12} - b_{22})$$
$$P_2 = (a_{11} + a_{12}) * b_{22}$$
$$P_3 = (a_{21} + a_{22}) * b_{11}$$
$$P_4 = a_{22} * (b_{21} - b_{11})$$
$$P_5 = (a_{11} + a_{22}) * (b_{11} + b_{22})$$
$$P_6 = (a_{12} - a_{22}) * (b_{21} + b_{22})$$
$$P_7 = (a_{11} - a_{21}) * (b_{11} + b_{12})$$

Matrix Multiplication: Strassen's Idea

$$P_1 = a_{11} * (b_{12} - b_{22})$$
$$P_2 = (a_{11} + a_{12}) * b_{22}$$
$$P_3 = (a_{21} + a_{22}) * b_{11}$$
$$P_4 = a_{22} * (b_{21} - b_{11})$$
$$P_5 = (a_{11} + a_{22}) * (b_{11} + b_{22})$$
$$P_6 = (a_{12} - a_{22}) * (b_{21} + b_{22})$$
$$P_7 = (a_{11} - a_{21}) * (b_{11} + b_{12})$$

- How to compute c_{ij} using $P1 \dots P7$?

$$c_{11} = P_5 + P_4 - P_2 + P_6$$
$$c_{12} = P_1 + P_2$$
$$c_{21} = P_3 + P_4$$
$$c_{22} = P_5 + P_1 - P_3 - P_7$$

Matrix Multiplication: Strassen's Idea

- 7 recursive multiply calls
- 18 add/sub operations

Matrix Multiplication: Strassen's Idea

e.g. Show that $c_{12} = P_1 + P_2$

$$
\begin{aligned}
&c_{12} = P_1 + P_2 \\
&= a_{11}(b_{12} - b_{22}) + (a_{11} + a_{12})b_{22} \\
&= a_{11}b_{12} - a_{11}b_{22} + a_{11}b_{22} + a_{12}b_{22} \\
&= a_{11}b_{12} + a_{12}b_{22}
\end{aligned}
$$

Strassen's Algorithm

- **Divide:** Partition A and B into $(n/2) * (n/2)$ submatrices. Form terms to be multiplied using $+$ and $-$.
- **Conquer:** Perform 7 multiplications of $(n/2) * (n/2)$ submatrices recursively.
- **Combine:** Form C using $+$ and $-$ on $(n/2) * (n/2)$submatrices.

Recurrence: $T(n) = 7T(n/2) + \Theta(n^2)$

Strassen's Algorithm: Solving the Recurrence

- $T(n) = 7T(n/2) + \Theta(n^2)$
  - $a = 7, b = 2$
  - $f(n) = \Theta(n^2)$
  - $n^{log_b^a} = n^{lg7}$
- Case 1: $\frac{n^{log_b^a}}{f(n)} = \Omega(n^{\varepsilon}) \Longrightarrow T(n) = \Theta(n^{log_b^a})$

$T(n) = \Theta(n^{log_2^7})$

$2^3 = 8, 2^2 = 4$ so $\Longrightarrow log_2^7 \approx 2.81$

or use https://www.omnicalculator.com/math/log

Strassen's Algorithm

- The number 2.81 may not seem much smaller than 3
- But, it is significant because the difference is in the exponent.
- Strassen's algorithm beats the ordinary algorithm on today's machines for $n \geq 30$ or so.
- Best to date: $\Theta(n^{2.376 \dots})$ (of theoretical interest only)

Maximum Subarray Problem

Input: An array of values

Output: The contiguous subarray that has the largest sum of elements

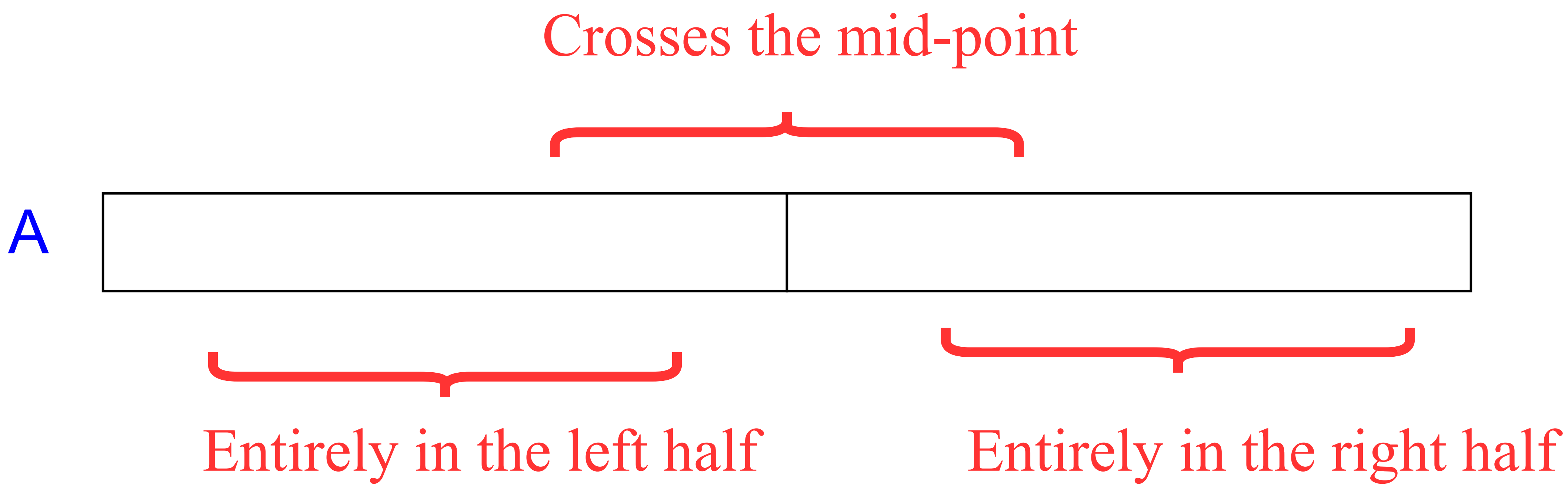
- Input array:

$$[13][-3][-25][20][-3][-16][-23]\ \overbrace{[18][20][-7][12]}^{\text{max. contiguous subarray}}\ [-22][-4][7]$$

Maximum Subarray Problem: Divide & Conquer

- **Basic idea:**
- **Divide** the input array into 2 from the middle
- Pick the **best** solution among the following:
  - The max subarray of the **left half**
  - The max subarray of the **right half**
  - The max subarray **crossing the mid-point**

Maximum Subarray Problem: Divide & Conquer



Maximum Subarray Problem: Divide & Conquer

- **Divide:** Trivial (divide the array from the middle)
- **Conquer:** Recursively compute the max subarrays of the left and right halves
- **Combine:** Compute the max-subarray crossing the $mid - point$
  - (can be done in $\Theta(n)$ time).
  - Return the max among the following:
    - the max subarray of the left-subarray
    - the max subarray of the rightsubarray
    - the max subarray crossing the mid-point

TODO : detailed solution in textbook...

Conclusion : Divide & Conquer

- Divide and conquer is just one of several powerful techniques for algorithm design.
- Divide-and-conquer algorithms can be analyzed using recurrences and the master method (so practice this math).
- Can lead to more efficient algorithms

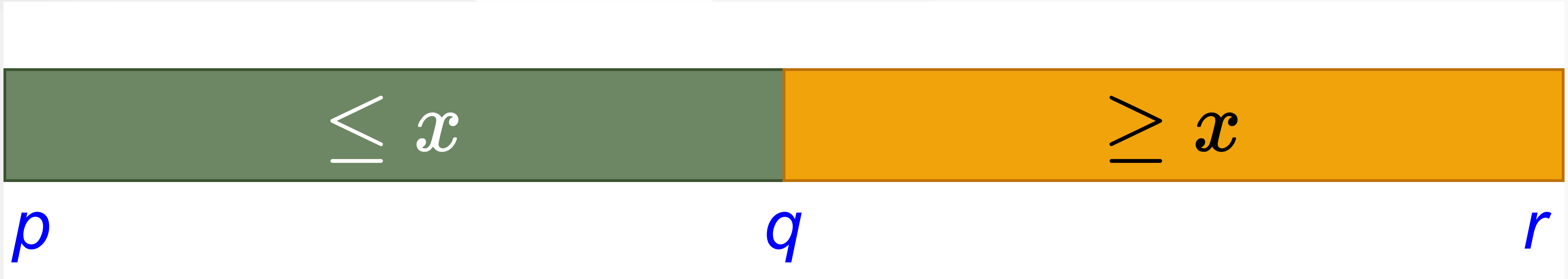
Quicksort

- One of the most-used algorithms in practice
- Proposed by **C.A.R.** *Hoare* in 1962.
- Divide-and-conquer algorithm
- In-place algorithm
  - The additional space needed is O(1)
  - The sorted array is returned in the input array
  - *Reminder: Insertion-sort is also an in-place algorithm, but Merge-Sort is not in-place.*
- Very practical

Quicksort

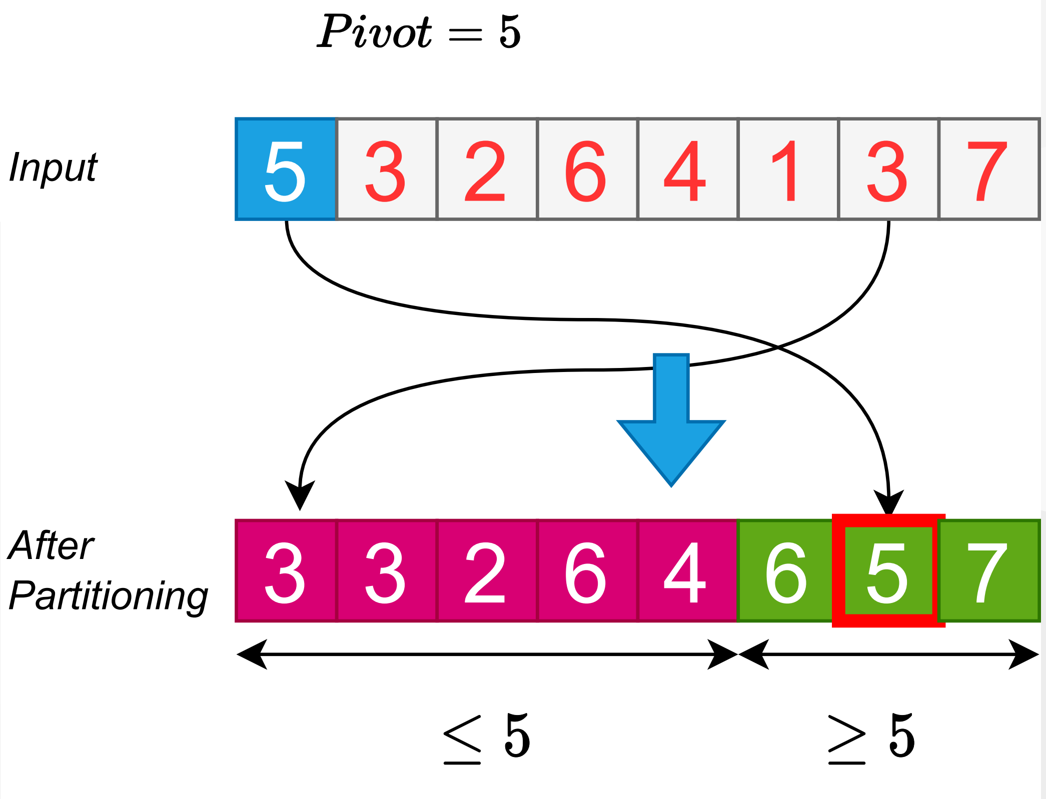
- **Divide:** Partition the array into 2 subarrays such that elements in the lower part $\leq$ elements in the higher part
- **Conquer:** Recursively sort 2 subarrays
- **Combine:** Trivial (because in-place)

Key: Linear-time $(\Theta(n))$ partitioning algorithm



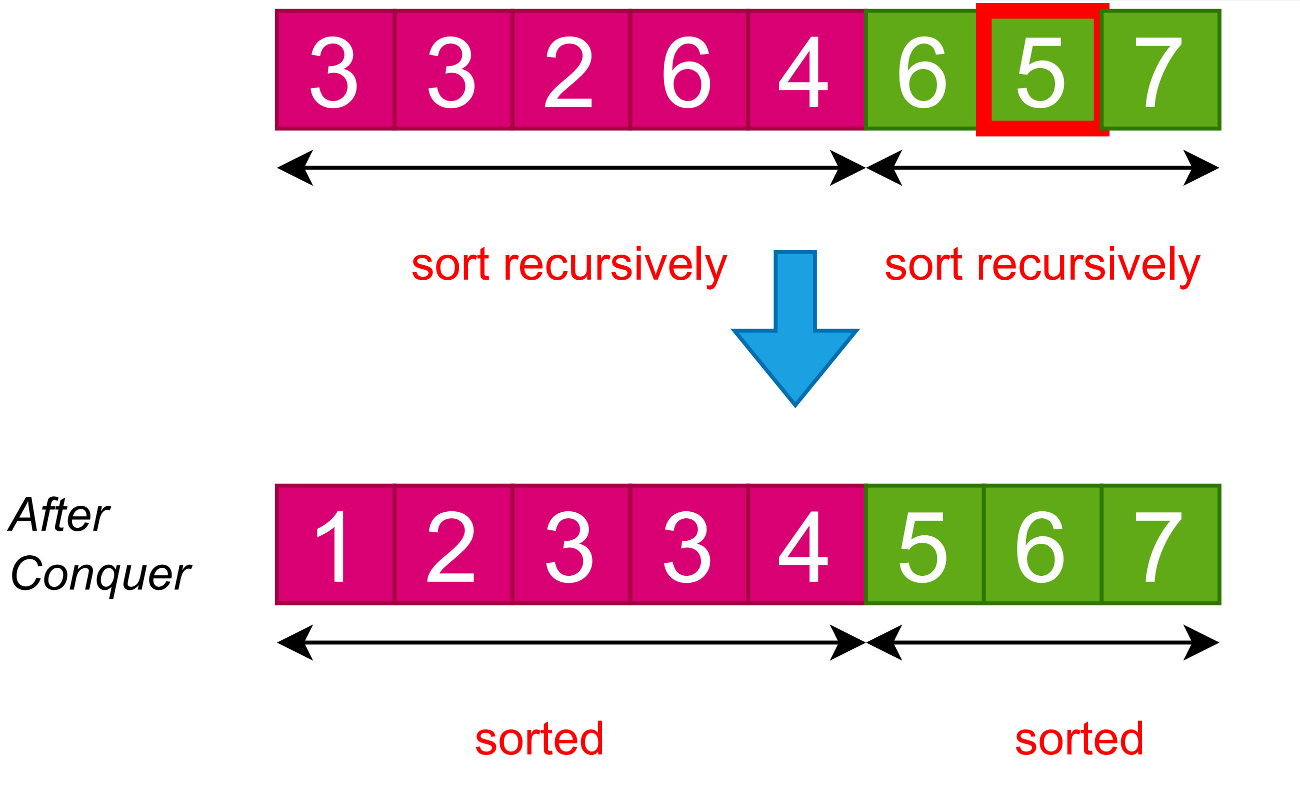
Divide: Partition the array around a pivot element

- Choose a pivot element x
- Rearrange the array such that:
  - Left subarray: All elements $\leq x$
  - Right subarray: All elements $\geq x$



Conquer: Recursively Sort the Subarrays

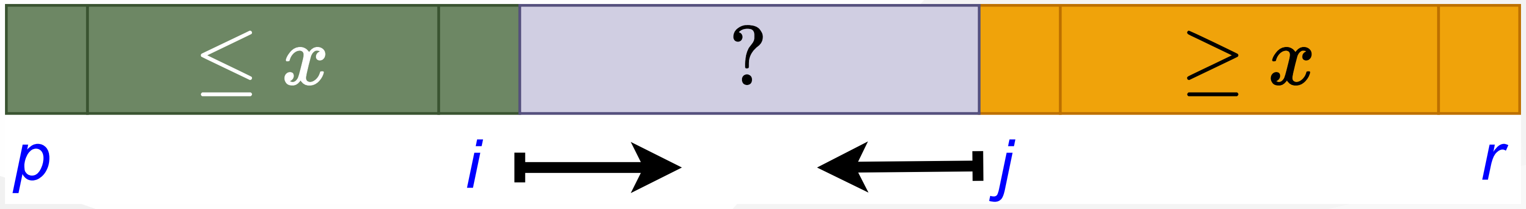
Note: Everything in the left subarray ≤ everything in the right subarray



Note: Combine is trivial after conquer. Array already sorted.

Two partitioning algorithms

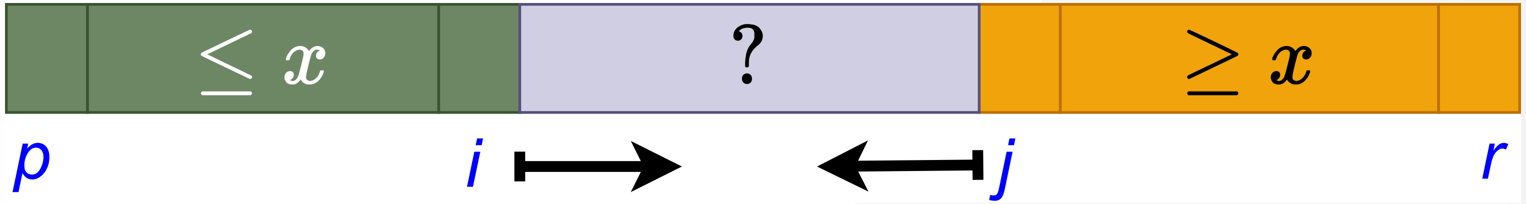
- **Hoare's algorithm:**
  Partitions around the first element of subarray
  - $(pivot = x = A[p])$



- **Lomuto's algorithm:**
  Partitions around the last element of subarray
  - $(pivot = x = A[r])$

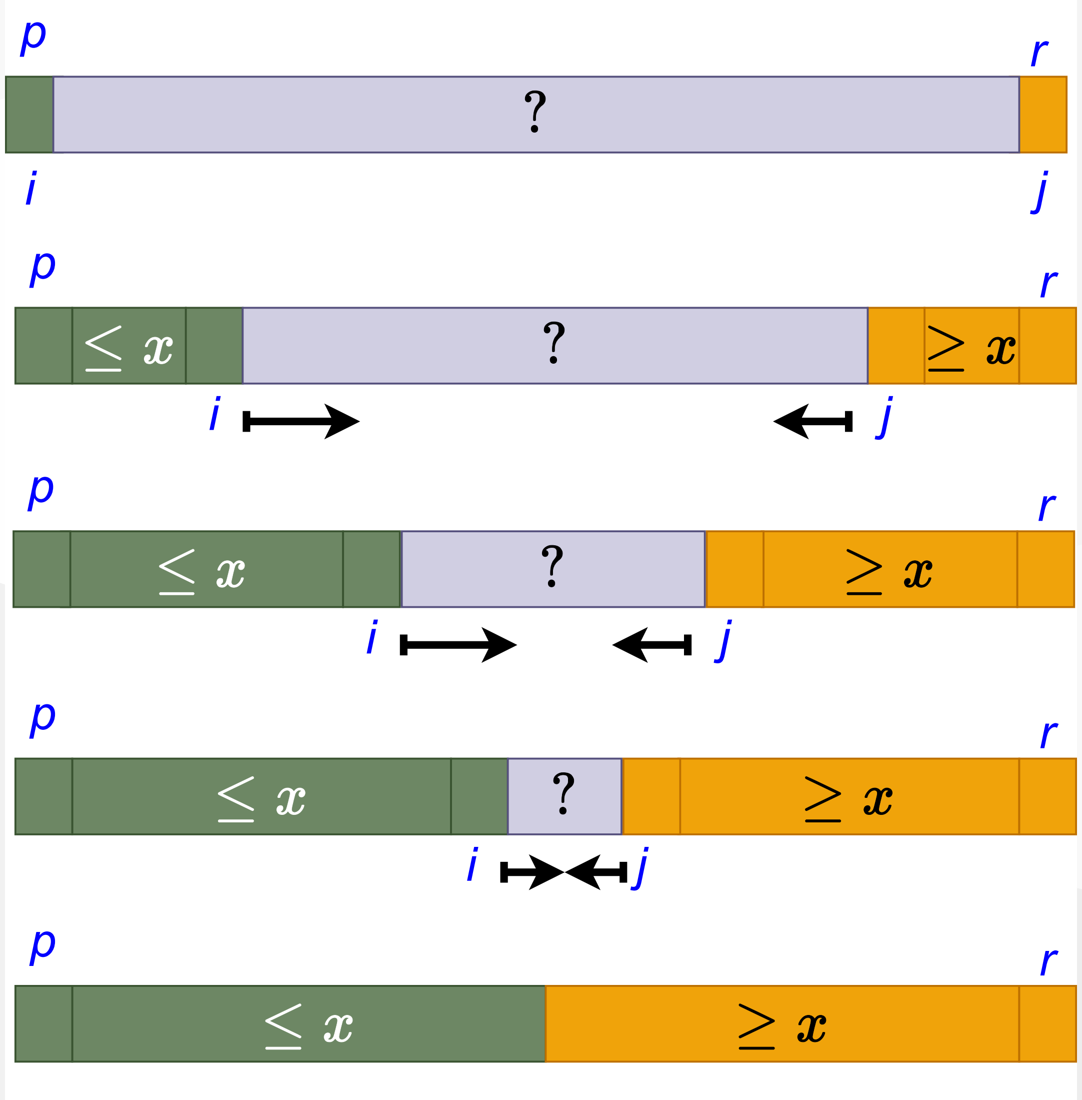
Hoare's Partitioning Algorithm

- Choose a pivot element: $pivot = x = A[p]$



- Grow two regions:
  - from left to right: $A[p \dots i]$
  - from right to left: $A[j \dots r]$
    - such that:
  - every element in $A[p \dots i] \leq$ pivot
  - every element in $A[p \dots i] \geq$ pivot

Hoare's Partitioning Algorithm



Hoare's Partitioning Algorithm

- Elements are exchanged when
  - $A[i]$ is **too large** to belong to the **left** region
  - $A[j]$ is **too small** to belong to the **right** region
    - assuming that the inequality is strict
- The two regions $A[p \dots i]$ and $A[j \dots r]$ grow until $A[i] \geq pivot \geq A[j]$

```
H-PARTITION(A, p, r)
      pivot = A[p]
        i = p - 1
        j = r - 1
        while true do
          repeat j = j - 1 until A[j] <= pivot
          repeat i = i - 1 until A[i] <= pivot
        if i < j then
          exchange A[i] with A[j]
        else
          return j
```

Hoare's Partitioning Algorithm Example (Step-1)

H-PARTITION (A, p, r)

$pivot \leftarrow A[p]$
$i \leftarrow p - 1$
$j \leftarrow r + 1$
while true do
  repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$
  repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$
  if $i < j$ then
    exchange $A[i] \leftrightarrow A[j]$
  else
    return j

$$Pivot = 5$$

Input

5	3	2	6	4	1	3	7

$$STEP - 1$$

Hoare's Partitioning Algorithm Example (Step-2)

H-PARTITION (A, p, r)

$pivot \leftarrow A[p]$

$i \leftarrow p - 1$

$j \leftarrow r + 1$

while true **do**

repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$

repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$

if $i < j$ then

exchange $A[i] \leftrightarrow A[j]$

else

return j

$Pivot = 5$

Input	5	3	2	6	4	1	3	7

i j

$STEP - 2$

Hoare's Partitioning Algorithm Example (Step-3)

H-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[p]$

$i \leftarrow p - 1$

$j \leftarrow r + 1$

while true do

repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$

repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$

if $i < j$ then

exchange $A[i] \leftrightarrow A[j]$

else

return j

$Pivot = 5$

Input	5	3	2	6	4	1	3	7
i								j

$STEP - 3$

Hoare's Partitioning Algorithm Example (Step-4)

H-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[p]$

$i \leftarrow p - 1$

$j \leftarrow r + 1$

while true **do**

repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$

repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$

if $i < j$ then

exchange $A[i] \leftrightarrow A[j]$

else

return j

$$Pivot = 5$$

Input

5	3	2	6	4	1	3	7
i						j	

$$STEP-4$$

Hoare's Partitioning Algorithm Example (Step-5)

H-PARTITION (A, p, r)

$pivot \leftarrow A[p]$
$i \leftarrow p - 1$
$j \leftarrow r + 1$
while **true** **do**
  repeat $j \leftarrow j - 1$ **until** $A[j] \le pivot$
  repeat $i \leftarrow i + 1$ **until** $A[i] \ge pivot$
  if $i < j$ then
    exchange $A[i] \leftrightarrow A[j]$
  else
    return j

$Pivot = 5$

Input	5	3	2	6	4	1	3	7
	i						j	

$STEP - 5$

Hoare's Partitioning Algorithm Example (Step-6)

H-PARTITION (A, p, r)

$pivot \leftarrow A[p]$

$i \leftarrow p - 1$

$j \leftarrow r + 1$

while true do

repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$

repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$

if $i < j$ then

exchange $A[i] \leftrightarrow A[j]$

else

return j

$Pivot = 5$

Input

3	3	2	6	4	1	5	7
i						j	

$STEP - 6$

Hoare's Partitioning Algorithm Example (Step-7)

H-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[p]$

$i \leftarrow p - 1$

$j \leftarrow r + 1$

while true do

repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$

repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$

if $i < j$ then

exchange $A[i] \leftrightarrow A[j]$

else

return j

$Pivot = 5$

Input

3	3	2	6	4	1	5	7
i					j		

$STEP - 7$

Hoare's Partitioning Algorithm Example (Step-8)

H-PARTITION (A, p, r)

$pivot \leftarrow A[p]$
$i \leftarrow p - 1$
$j \leftarrow r + 1$
while true **do**
  repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$
  repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$
  if $i < j$ then
    exchange $A[i] \leftrightarrow A[j]$
  else
    return j

$Pivot = 5$

Input	3	3	2	6	4	1	5	7
	i					j		

$STEP - 8$

Hoare's Partitioning Algorithm Example (Step-9)

H-PARTITION (A, p, r)

$pivot \leftarrow A[p]$
$i \leftarrow p - 1$
$j \leftarrow r + 1$
while true **do**
  repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$
  repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$
  if $i < j$ **then**
    exchange $A[i] \leftrightarrow A[j]$
  else
    return j

$$Pivot = 5$$

Input

3	3	2	6	4	1	5	7
			i		j		

$$STEP - 9$$

Hoare's Partitioning Algorithm Example (Step-10)

H-PARTITION (A, p, r)

$pivot \leftarrow A[p]$

$i \leftarrow p - 1$

$j \leftarrow r + 1$

while true **do**

repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$

repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$

if $i < j$ then

exchange $A[i] \leftrightarrow A[j]$

else

return j

$Pivot = 5$

Input

3	3	2	1	4	6	5	7
			i		j		

$STEP - 10$

Hoare's Partitioning Algorithm Example (Step-11)

```
H-PARTITION (A, p, r)
    pivot ← A[p]
    i ← p − 1
    j ← r + 1
    while true do
      repeat j ← j − 1 until A[j] ≤ pivot
      repeat i ← i + 1 until A[i] ≥ pivot
      if i < j then
            exchange A[i] ↔ A[j]
      else
            return j
```

$$Pivot = 5$$

Input

3	3	2	1	4	6	5	7
			i	j			

$$STEP - 11$$

Hoare's Partitioning Algorithm Example (Step-12)

H-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[p]$

$i \leftarrow p - 1$

$j \leftarrow r + 1$

while true **do**

repeat $j \leftarrow j - 1$ **until** $A[j] \leq pivot$

repeat $i \leftarrow i + 1$ **until** $A[i] \geq pivot$

if $i < j$ then

exchange $A[i] \leftrightarrow A[j]$

else

return j

$Pivot = 5$

Input

3	3	2	1	4	6	5	7
				j	i		

$STEP - 12$

Hoare's Partitioning Algorithm - Notes

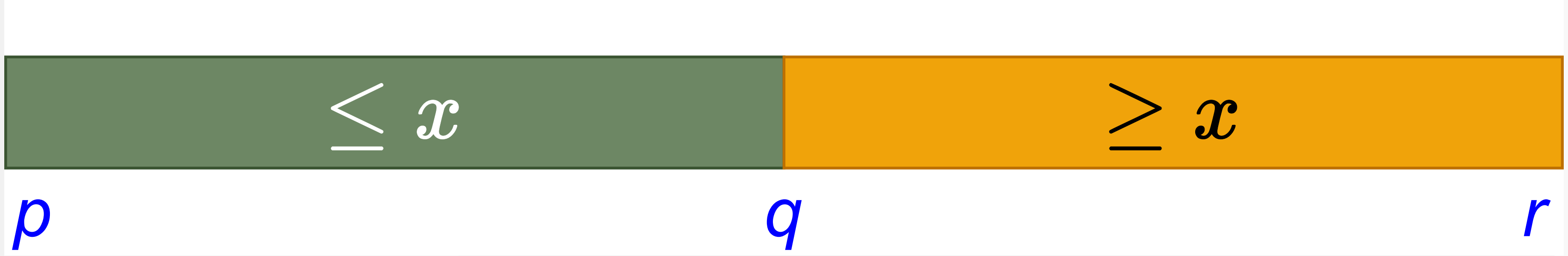
- Elements are exchanged when
  - $A[i]$ is **too large** to belong to the **left** region
  - $A[j]$ is **too small** to belong to the **right** region
    - assuming that the inequality is strict
- The two regions $A[p \dots i]$ and $A[j \dots r]$ grow until $A[i] \geq pivot \geq A[j]$
- The asymptotic runtime of Hoare's partitioning algorithm $\Theta(n)$

```
H-PARTITION(A, p, r)
      pivot = A[p]
        i = p - 1
        j = r - 1
        while true do
          repeat j = j - 1 until A[j] <= pivot
          repeat i = i - 1 until A[i] <= pivot
        if i < j then exchange A[i] with A[j]
        else return j
```

Quicksort with Hoare’s Partitioning Algorithm

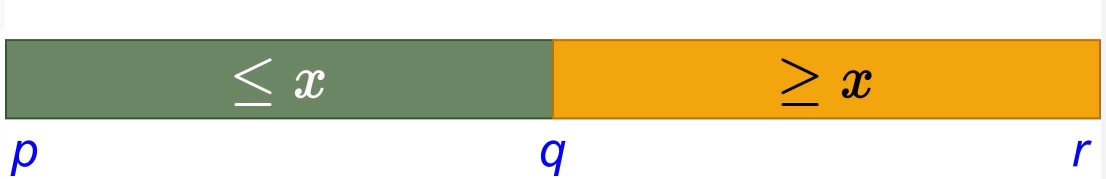
```
QUICKSORT (A, p, r)
  if p < r then
    q = H-PARTITION(A, p, r)
    QUICKSORT(A, p, q)
    QUICKSORT(A, q + 1, r)
  endif
```

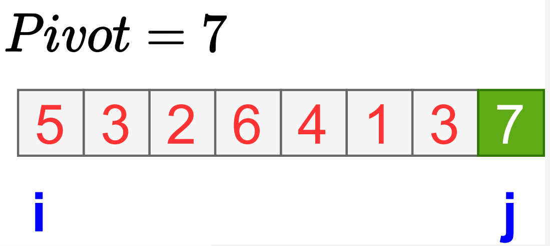
Initial invocation: `QUICKSORT(A,1,n)`



Hoare's Partitioning Algorithm: Pivot Selection

- if we select pivot to be $A[r]$ instead of $A[p]$ in **H-PARTITION**



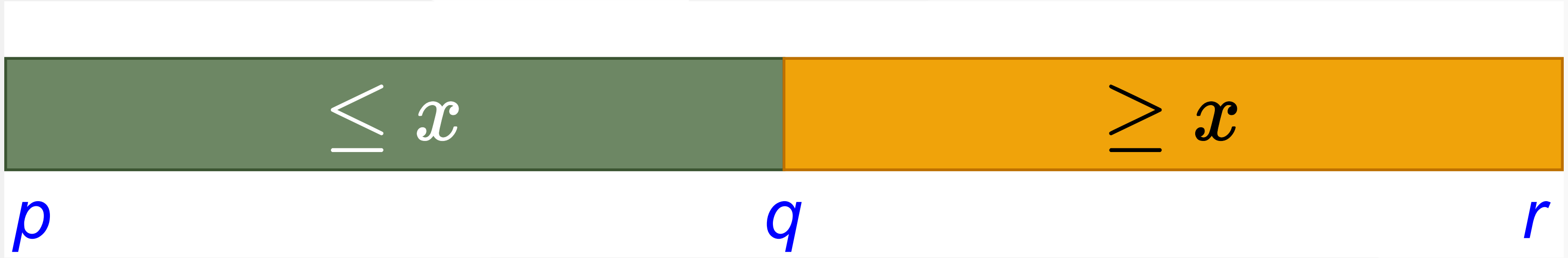


- Consider the example where $A[r]$ is the largest element in the array:
  - End of H-PARTITION: $i = j = r$
  - In QUICKSORT: $q = r$
    - So, recursive call to:
      - `QUICKSORT(A, p, q=r)`
        - **infinite loop**

Correctness of Hoare's Algorithm (1)

We need to prove 3 claims to show correctness:

- Indices i and j never reference A outside the interval $A[p \dots r]$
- Split is always non-trivial; i.e., $j \neq r$ at termination
- Every element in $A[p \dots j] \leq$ every element in $A[j+1 \dots r]$ at termination



Correctness of Hoare's Algorithm (2)

- Notations:
  - k: $\#$ of times the while-loop iterates until termination
  - i_m: the value of index i at the end of iteration m
  - j_m: the value of index j at the end of iteration m
  - x: the value of the pivot element
- **Note**: We always have $i_1 = p$ and $p \leq j_1 \leq r$ because $x = A[p]$

Correctness of Hoare's Algorithm (3)

Lemma 1: Either $i_k = j_k$ or $i_k = j_k + 1$ at termination

Proof of Lemma 1:

- The algorithm terminates when $i \geq j$ (the else condition).
- So, it is sufficient to prove that $i_k - j_k \leq 1$
- There are 2 cases to consider:
  - Case 1: $k = 1$, i.e. the algorithm terminates in a single iteration
  - Case 2: $k > 1$, i.e. the alg. does not terminate in a single iter.

By contradiction, assume there is a run with $i_k - j_k > 1$

Correctness of Hoare's Algorithm (4)

Original correctness claims:

- Indices i and j never reference A outside the interval $A[p \dots r]$
- Split is always non-trivial; i.e., $j \neq r$ at termination

Proof:

- **For $k = 1$:**
  - Trivial because $i_1 = j_1 = p$ (*see Case 1 in proof of Lemma 2*)
- **For $k > 1$:**
  - $i_k > p$ and $j_k < r$ (*due to the repeat-until loops moving indices*)
  - $i_k \leq r$ and $j_k \geq p$ (*due to Lemma 1 and the statement above*)

The proof of claims (a) and (b) complete

Correctness of Hoare's Algorithm (5)

Lemma 2: At the end of iteration m, where $m < k$ (*i.e. m is not the last iteration*), we must have:

$A[p \dots i_m] \leq x$ and $A[j_m \dots r] \geq x$

Proof of Lemma 2:

- **Base case:** $m = 1$ and $k > 1$ (*i.e. the alg. does not terminate in the first iter.*)

Ind. Hyp.: At the end of iteration $m - 1$, where $m < k$ (*i.e. m is not the last iteration*), we must have:

$A[p \dots i_m - 1] \leq x$ and $A[j_m - 1 \dots r] \geq x$

General case: The lemma holds for m, where $m < k$

Proof of base case complete!

Correctness of Hoare's Algorithm (6)

Original correctness claim:

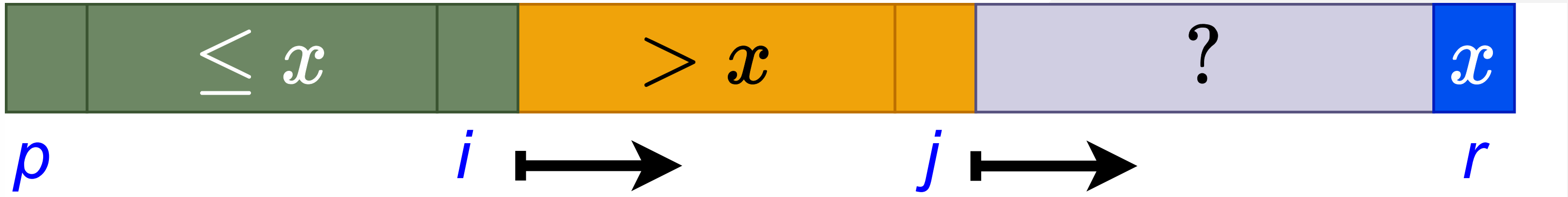
- (c) Every element in $A[\dots j] \leq$ every element in $A[j+\dots r]$ at termination

Proof of claim (c)

- There are 3 cases to consider:
  - **Case 1:** $k = 1$, i.e. the algorithm terminates in a single iteration
  - **Case 2:** $k > 1$ and $i_k = j_k$
  - **Case 3:** $k > 1$ and $i_k = j_k + 1$

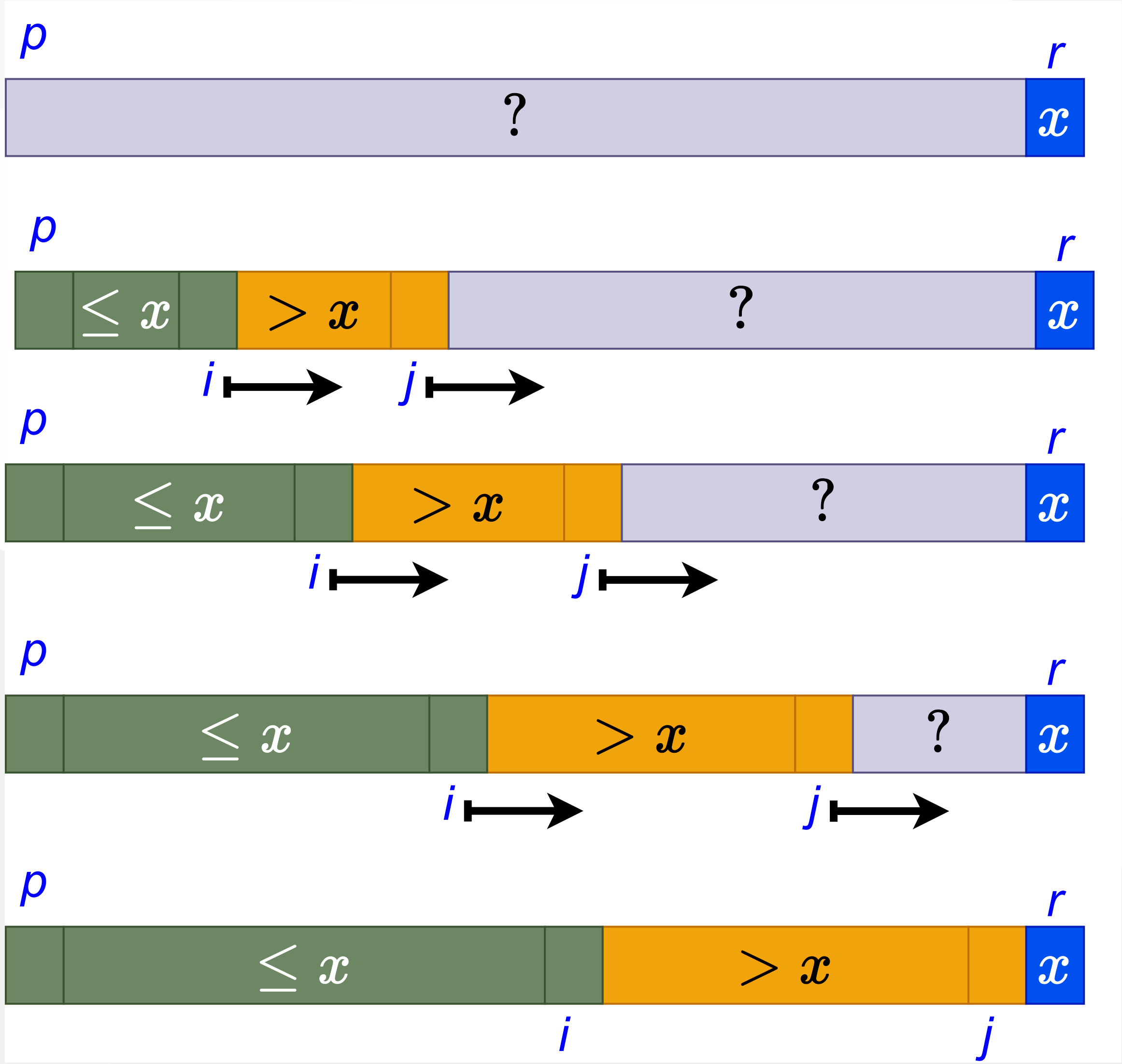
Lomuto's Partitioning Algorithm

- Choose a pivot element: $pivot = x = A[r]$



- Grow two regions:
  - from left to right: $A[p \ldots i]$
  - from left to right: $A[i+1 \ldots j]$
    - such that:
      - every element in $A[p \ldots i] \leq pivot$
      - every element in $A[i+1 \ldots j] > pivot$

Lomuto's Partitioning Algorithm



Lomuto's Partitioning Algorithm Ex. (Step-1)

L-PARTITION (A, p, r)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $\quad Pivot = 4 \quad$ r

Input	7	8	2	6	5	1	3	4

$$STEP - 1$$

Lomuto's Partitioning Algorithm Ex. (Step-2)

L-PARTITION (A, p, r)

$pivot \leftarrow A[r]$
$i \leftarrow p - 1$
for $j \leftarrow p$ **to** $r - 1$ **do**
  if $A[j] \leq pivot$ **then**
    $i \leftarrow i + 1$
    exchange $A[i] \leftrightarrow A[j]$
exchange $A[i + 1] \leftrightarrow A[r]$
return $i + 1$

p $\quad Pivot = 4 \quad$ r

Input	7	8	2	6	5	1	3	4

i **j**

$STEP - 2$

Lomuto's Partitioning Algorithm Ex. (Step-3)

L-PARTITION (A, p, r)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $Pivot = 4$ r

Input	7	8	2	6	5	1	3	4

i j

$STEP - 3$

Lomuto's Partitioning Algorithm Ex. (Step-4)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $\quad Pivot = 4 \quad$ r

Input	7	8	2	6	5	1	3	4
i		j						

$STEP - 4$

Lomuto's Partitioning Algorithm Ex. (Step-5)

L-PARTITION (A, p, r)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $\quad Pivot = 4 \quad$ r

Input	7	8	2	6	5	1	3	4
	i		j					

$STEP - 5$

Lomuto's Partitioning Algorithm Ex. (Step-6)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i+1] \leftrightarrow A[r]$

return $i + 1$

p $Pivot = 4$ r

Input

2	8	7	6	5	1	3	4
i		j					

$STEP - 6$

Lomuto’s Partitioning Algorithm Ex. (Step-7)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

if $A[j] \leq pivot$ **then**

$i \leftarrow i + 1$

exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $\quad Pivot = 4 \quad$ r

Input	2	8	7	6	5	1	3	4
	i					j		

$STEP - 7$

Lomuto's Partitioning Algorithm Ex. (Step-8)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$
$i \leftarrow p - 1$
for $j \leftarrow p$ **to** $r - 1$ **do**
  if $A[j] \leq pivot$ **then**
    $i \leftarrow i + 1$
    exchange $A[i] \leftrightarrow A[j]$
exchange $A[i + 1] \leftrightarrow A[r]$
return $i + 1$

p $Pivot = 4$ r

Input	2	8	7	6	5	1	3	4
	i					j		

$STEP - 8$

Lomuto's Partitioning Algorithm Ex. (Step-9)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i+1] \leftrightarrow A[r]$

return $i + 1$

p $Pivot = 4$ r

Input	2	1	7	6	5	8	3	4
		i				j		

$STEP - 9$

Lomuto's Partitioning Algorithm Ex. (Step-10)

```
L-PARTITION (A, p, r)
    pivot ← A[r]
    i ← p – 1
    for j ← p to r – 1 do
        if A[j] ≤ pivot then
            i ← i + 1
            exchange A[i] ↔ A[j]
    exchange A[i + 1] ↔ A[r]
    return i + 1
```

$Pivot = 4$

	p							r
Input	2	1	7	6	5	8	3	4
		i					j	

$STEP - 10$

Lomuto's Partitioning Algorithm Ex. (Step-11)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $\quad Pivot = 4 \quad$ r

Input	2	1	7	6	5	8	3	4
			i				j	

$$STEP - 11$$

Lomuto's Partitioning Algorithm Ex. (Step-12)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

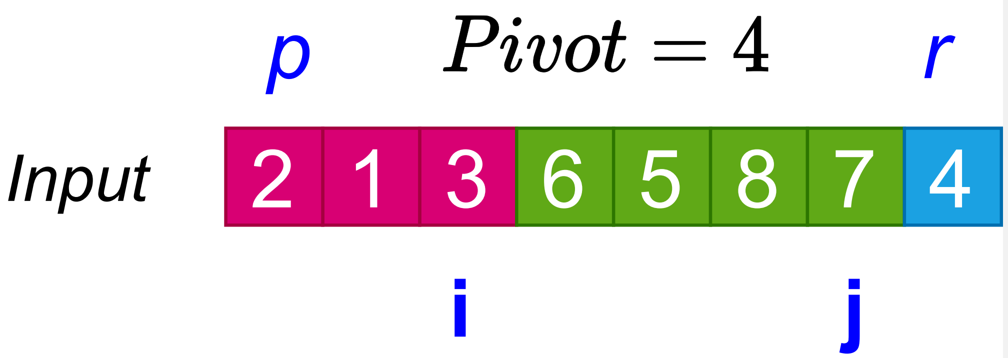
  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i+1] \leftrightarrow A[r]$

return $i + 1$



$STEP - 12$

Lomuto's Partitioning Algorithm Ex. (Step-13)

L-PARTITION (A, p, r)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $Pivot = 4$ r

Input

2	1	3	6	5	8	7	4
		i				j	

$STEP - 13$

Lomuto's Partitioning Algorithm Ex. (Step-14)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $\quad Pivot = 4 \quad$ r

Input	2	1	3	4	5	8	7	6
			i				j	

$STEP - 14$

Lomuto's Partitioning Algorithm Ex. (Step-15)

L-PARTITION (A, *p*, *r*)

$pivot \leftarrow A[r]$

$i \leftarrow p - 1$

for $j \leftarrow p$ **to** $r - 1$ **do**

  if $A[j] \leq pivot$ **then**

    $i \leftarrow i + 1$

    exchange $A[i] \leftrightarrow A[j]$

exchange $A[i + 1] \leftrightarrow A[r]$

return $i + 1$

p $Pivot = 4$ r

Input	2	1	3	4	5	8	7	6
				q				

$STEP - 15$

Quicksort with Lomuto's Partitioning Algorithm

```
QUICKSORT (A, p, r)
  if p < r then
    q = L-PARTITION(A, p, r)
    QUICKSORT(A, p, q - 1)
    QUICKSORT(A, q + 1, r)
  endif
```

Initial invocation: `QUICKSORT(A,1,n)`

$\leq x$	x	$\geq x$
p	q	r

Comparison of Hoare's & Lomuto's Algorithms

- Notation: $n = r - p + 1$
  - $pivot = A[p]$ (*Hoare*)
  - $pivot = A[r]$ (*Lomuto*)
- $\#$ **of element exchanges:** $e(n)$
  - Hoare: $0 \geq e(n) \geq \lfloor \frac{n}{2} \rfloor$
    - **Best**: $k = 1$ with $i_1 = j_1 = p$ (i.e., $A[p+1 \ldots r] > pivot$)
    - **Worst**: $A[p+1 \ldots p + \lfloor \frac{n}{2} \rfloor - 1] \geq pivot \geq A[p + \lceil \frac{n}{2} \rceil \ldots r]$
  - Lomuto : $1 \leq e(n) \leq n$
    - **Best**: $A[p \ldots r-1] > pivot$
    - **Worst**: $A[p \ldots r-1] \leq pivot$

Comparison of Hoare's & Lomuto's Algorithms

- $\#$ of element comparisons: $c_e(n)$
  - Hoare: $n+1 \leq c_e(n) \leq n+2$
    - Best: $i_k = j_k$
    - Worst: $i_k = j_k + 1$
  - Lomuto: $c_e(n) = n - 1$
- $\#$ of index comparisons: $c_i(n)$
  - Hoare: $1 \leq c_i(n) \leq \lfloor \frac{n}{2} \rfloor + 1 | (c_i(n) = e(n) + 1)$
  - Lomuto: $c_i(n) = n - 1$

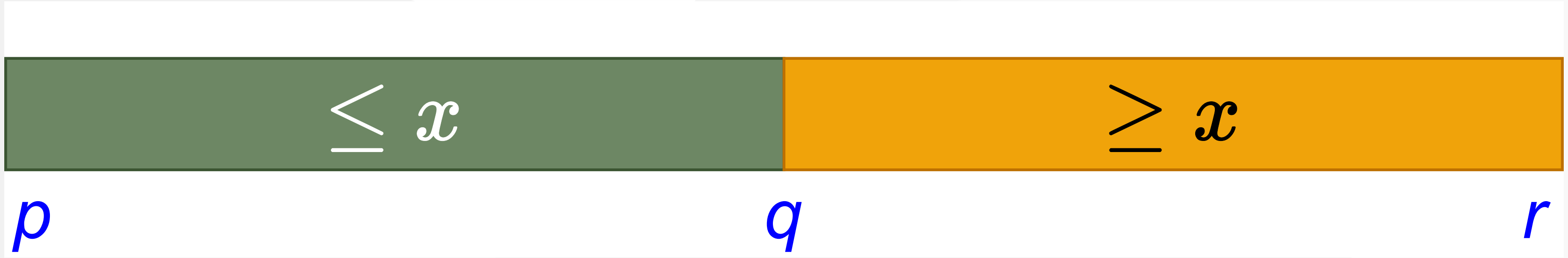
Comparison of Hoare's & Lomuto's Algorithms

- $\#$ **of index increment/decrement operations:** $a(n)$
  - **Hoare**: $n+1 \leq a(n) \leq n+2 | (a(n) = c_e(n))$
  - **Lomuto**: $n \leq a(n) \leq 2n-1 | (a(n) = e(n) + (n-1))$
- Hoare's algorithm is in general faster
- Hoare behaves better when pivot is repeated in $A[p \dots r]$
  - **Hoare**: Evenly distributes them between left & right regions
  - **Lomuto**: Puts all of them to the left region

Analysis of Quicksort

```
QUICKSORT (A, p, r)
  if p < r then
    q = H-PARTITION(A, p, r)
    QUICKSORT(A, p, q)
    QUICKSORT(A, q + 1, r)
  endif
```

Initial invocation: `QUICKSORT(A,1,n)`



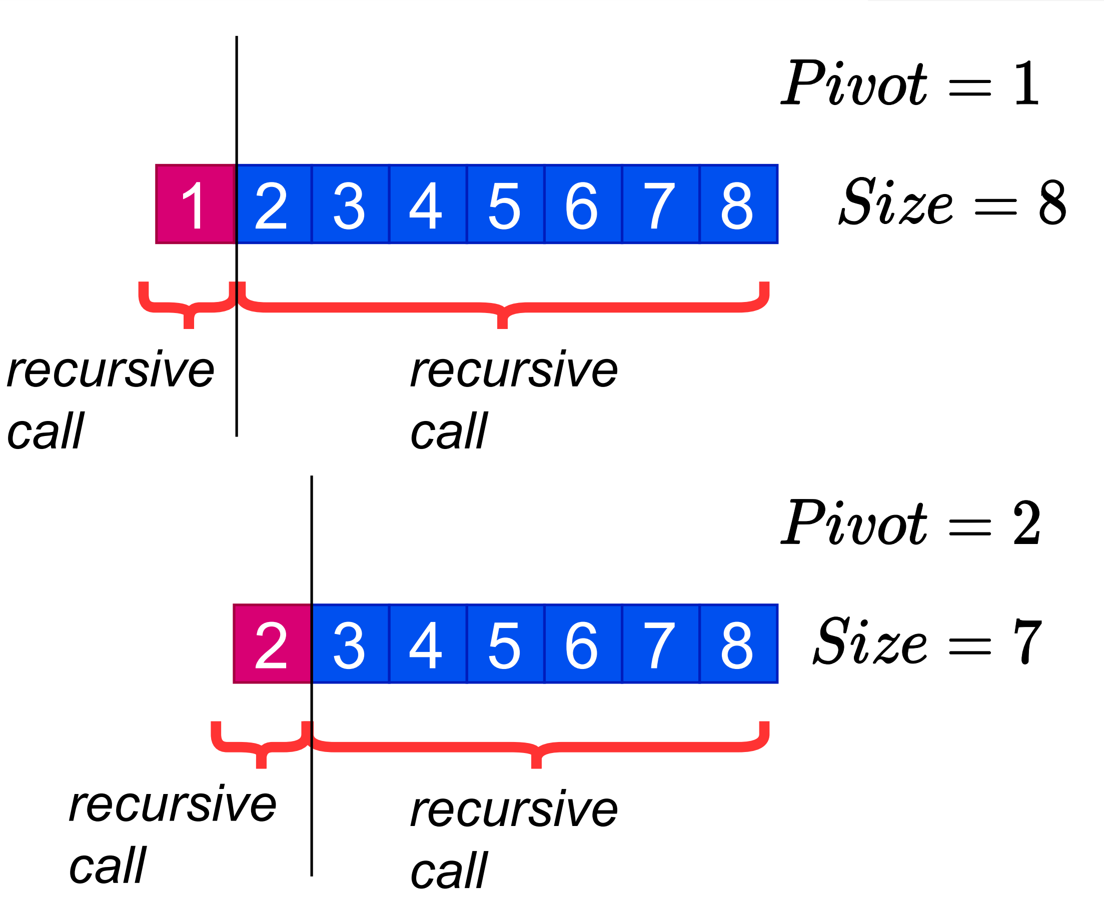
Assume **all elements are distinct** in the following analysis

Analysis of Quicksort

- **H-PARTITION** always chooses $A[p]$ (the first element) as the pivot.
- The runtime of **QUICKSORT** on an already-sorted array is $\Theta(n^2)$

Example: An Already Sorted Array

Partitioning always leads to 2 parts of size 1 and $n-1$



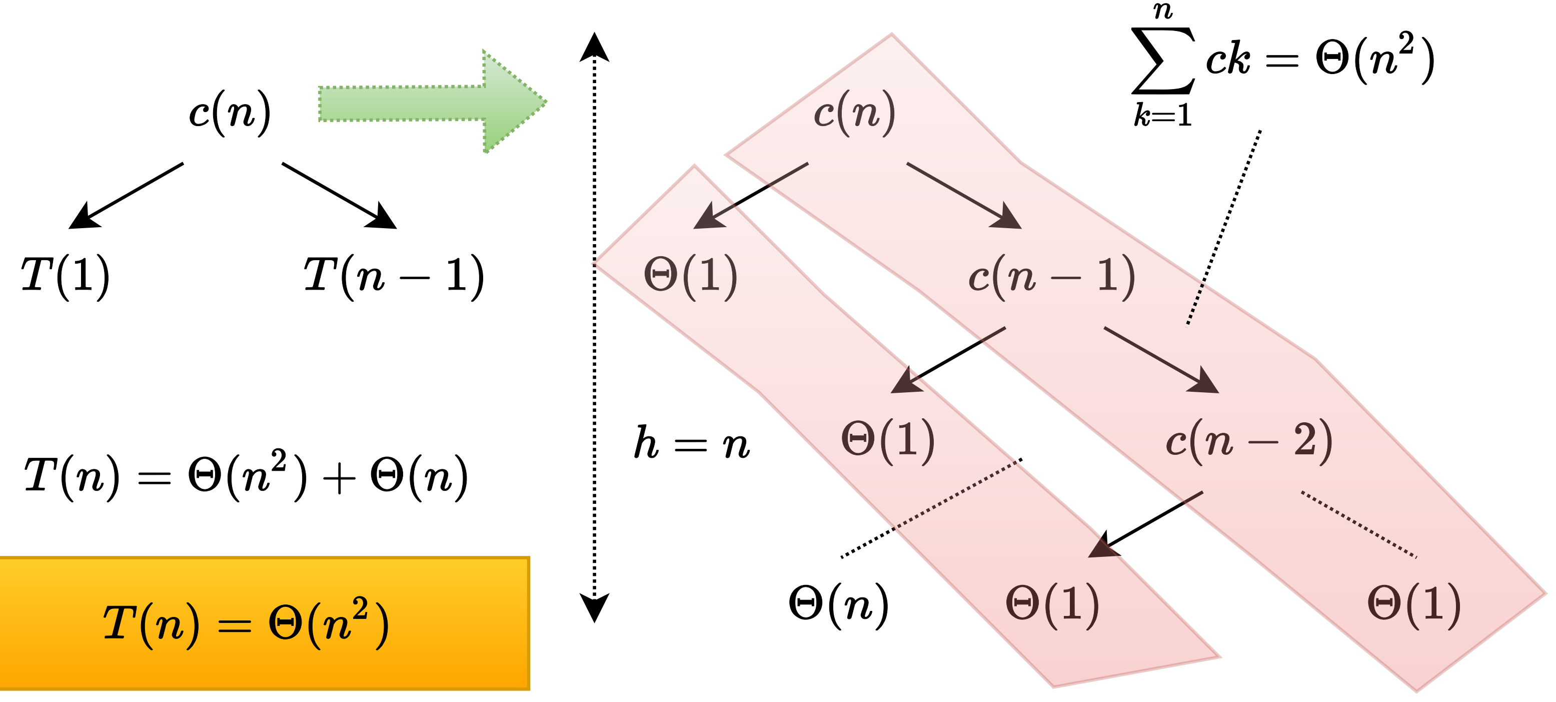
Worst Case Analysis of Quicksort

- **Worst case** is when the **PARTITION** algorithm always returns **imbalanced partitions** (of size 1 and $n-1$) in every recursive call.
  - This happens when the pivot is selected to be either the min or **max** element.
  - This happens for **H-PARTITION** when the input array is already sorted or reverse sorted

$$
\begin{aligned}
T(n) &= T(1) + T(n-1) + \Theta(n) \\
&= T(n-1) + \Theta(n) \\
&= \Theta(n2)
\end{aligned}
$$

Worst Case Recursion Tree

$$T(n) = T(1) + T(n-1) + cn$$



$$T(n) = \Theta(n^2) + \Theta(n)$$

$$\boxed{T(n) = \Theta(n^2)}$$

Best Case Analysis (for intuition only)

- If we're extremely lucky, **H-PARTITION** splits the array evenly at every recursive call

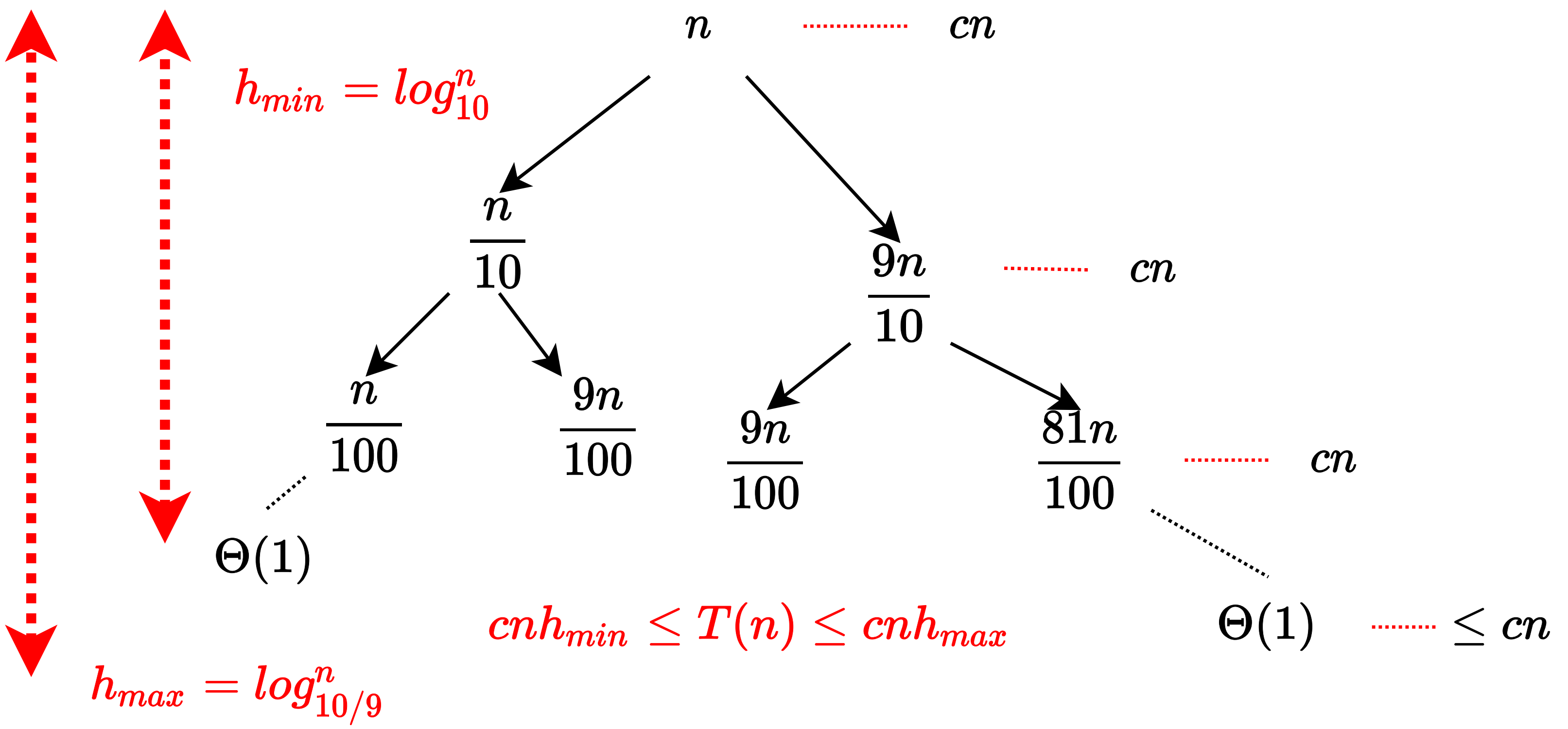
$$\begin{aligned} T(n) &= 2T(n/2) + \Theta(n) \\ &= \Theta(nlgn) \end{aligned}$$

(same as merge sort)

- Instead of splitting $0.5 : 0.5$, if we split $0.1 : 0.9$ then we need solve following equation.

$$\begin{aligned} T(n) &= T(n/10) + T(9n/10) + \Theta(n) \\ &= \Theta(nlgn) \end{aligned}$$

"Almost-Best" Case Analysis



$$cnh_{min} \leq T(n) \leq cnh_{max}$$

$$cnlog_{10}^{n} \leq T(n) \leq cnlog_{10/9}^{n}$$

$$\boxed{T(n) = \Theta(nlgn)}$$

Balanced Partitioning

- We have seen that if **H-PARTITION** always splits the array with $0.1-to-0.9$ ratio, the runtime will be $\Theta(nlgn)$.
- Same is true with a split ratio of $0.01-to-0.99$, etc.
- Possible to show that if the split has always constant $(\Theta(1))$ proportionality, then the runtime will be $\Theta(nlgn)$.
- In other words, for a **constant** $\alpha|(0<\alpha\leq 0.5)$:
    - α–to–$(1-\alpha)$ proportional split yields $\Theta(nlgn)$ total runtime

Balanced Partitioning

- In the rest of the analysis, assume that all input permutations are equally likely.
  - This is only to gain some intuition
  - We cannot make this assumption for average case analysis
  - We will revisit this assumption later
- Also, assume that all input elements are distinct.

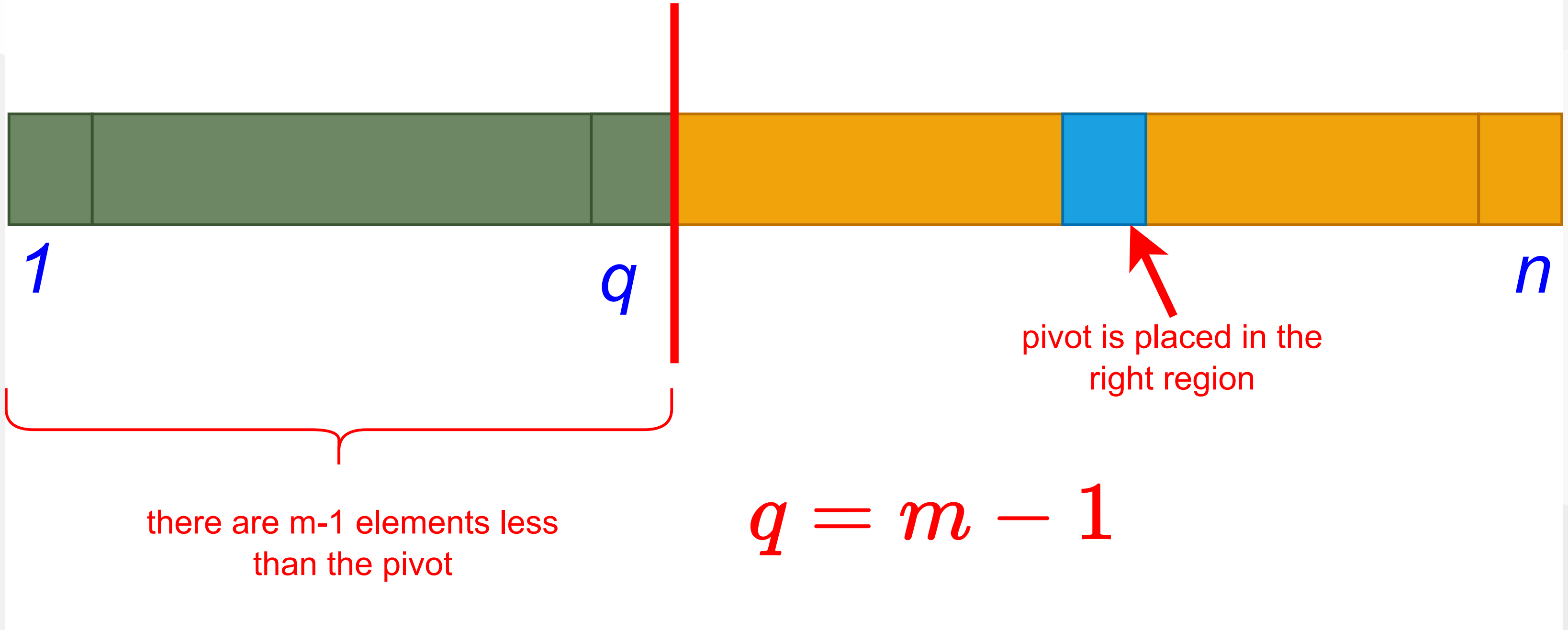
Balanced Partitioning

- **Question:** What is the probability that H-PARTITION returns a split that is more balanced than $0.1-to-0.9$?

Balanced Partitioning

Reminder: *H-PARTITION* will place the pivot in the right partition unless the pivot is the smallest element in the arrays.

Question: If the pivot selected is the mth smallest value $(1 < m \leq n)$ in the input array, what is the size of the left region after partitioning?

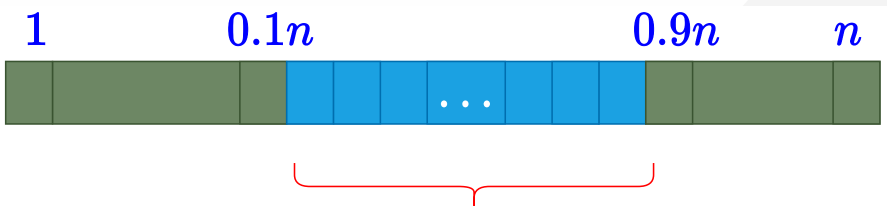


Balanced Partitioning

- **Question:** What is the probability that the **pivot** selected is the m^{th} smallest value in the array of size n?
  - $1/n$ (*since all input permutations are equally likely*)
- **Question:** What is the probability that the left partition returned by **H-PARTITION** has size m, where $1 < m < n$?
  - $1/n$ (*due to the answers to the previous 2 questions*)

Balanced Partitioning

- **Question:** What is the probability that H-PARTITION returns a split that is more balanced than $0.1 - to - 0.9$?



The partition boundary will be in
this region for a more balanced split than

$0.1 - to - 0.9$

$$Probability = \sum_{q=0.1n+1}^{0.9n-1} \frac{1}{n} = \frac{1}{n}(0.9n - 1 - 0.1n - 1 + 1)$$

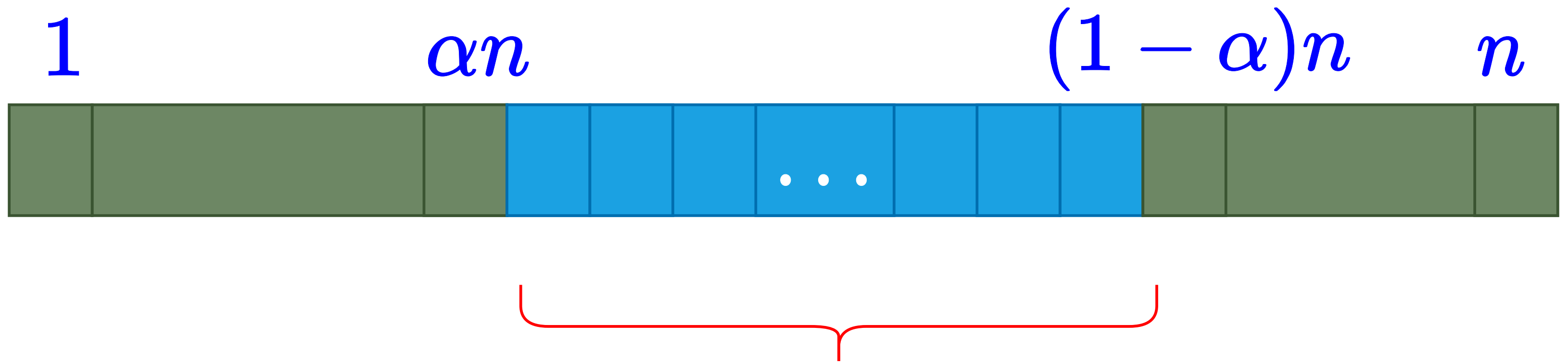
$$= 0.8 - \frac{1}{n}$$

$$\approx 0.8 \text{ for large n}$$

Balanced Partitioning

- The probability that **H-PARTITION** yields a split that is more balanced than $0.1 - to - 0.9$ is 80% on a random array.
- Let $P_{\alpha>}$ be the probability that **H-PARTITION** yields a split more balanced than $\alpha - to - (1 - \alpha)$, where $0 < \alpha \leq 0.5$
- Repeat the analysis to generalize the previous result

balanced than $\alpha - to - (1-\alpha)$?



The partition boundary will be in this region for a more balanced split than

$$\alpha n - to - (1-\alpha)n$$

$$Probability = \sum_{q=\alpha n+1}^{(1-\alpha)n-1} \frac{1}{n}$$

$$= \frac{1}{n}((1-\alpha)n - 1 - \alpha n - 1 + 1)$$

Balanced Partitioning

- We found $P_{\alpha>} = 1 - 2\alpha$
  - Ex: $P_{0.1>} = 0.8$ and $P_{0.01>} = 0.98$
- Hence, **H-PARTITION** produces a split
  - **more balanced than a**
    - $0.1 - to - 0.9$ split 80% of the time
    - $0.01 - to - 0.99$ split 98% of the time
  - **less balanced than a**
    - $0.1 - to - 0.9$ split 20% of the time
    - $0.01 - to - 0.99$ split 2% of the time

Intuition for the Average Case

- **Assumption:** All permutations are equally likely
  - Only for intuition; we'll revisit this assumption later
- **Unlikely:** Splits always the same way at every level
- **Expectation:**
  - Some splits will be reasonably balanced
  - Some splits will be fairly unbalanced
- **Average case:** A mix of good and bad splits
  - **Good** and **bad** splits distributed randomly thru the tree

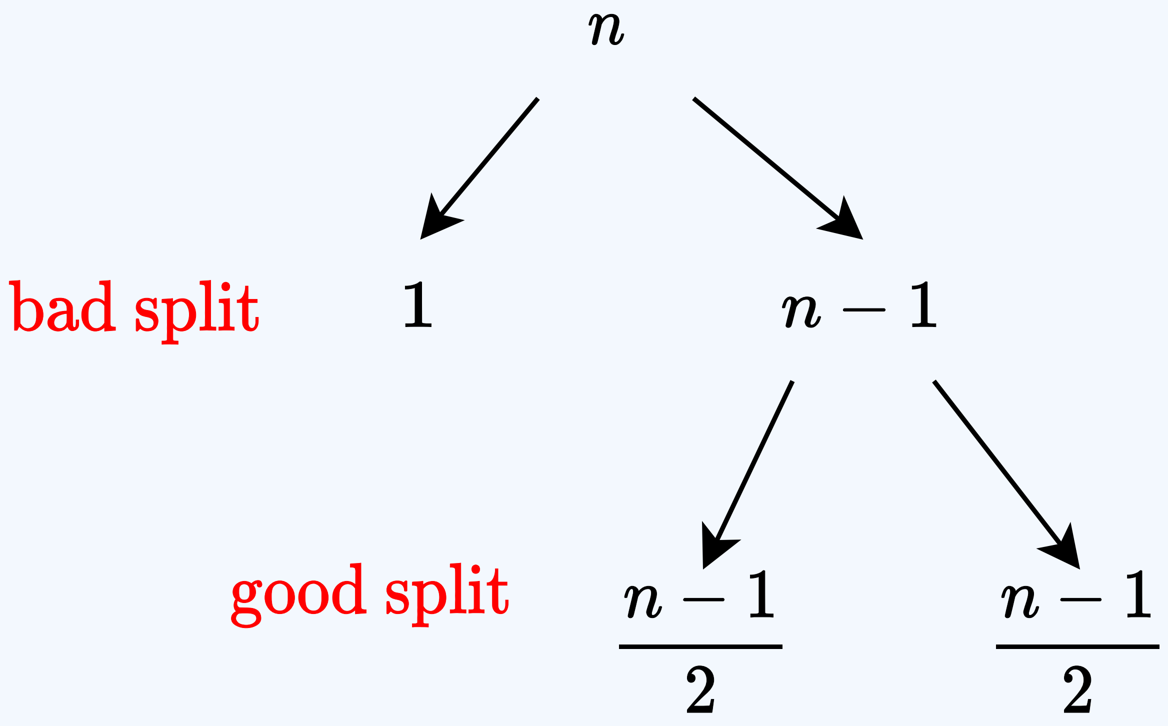
Intuition for the Average Case

- **Assume for intuition:** Good and bad splits occur in the alternate levels of the tree
  - **Good split:** Best case split
  - **Bad split:** Worst case split

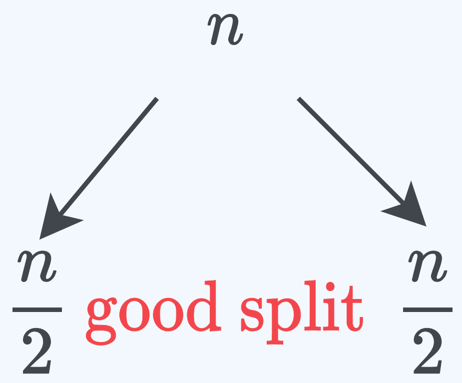
Intuition for the Average Case

Compare 2-successive levels of avg case vs. 1 level of best case

AVERAGE CASE



BEST CASE



Intuition for the Average Case

- In terms of the remaining subproblems, **two levels of avg case** is slightly better than the **single level of the best case**
- The avg case has **extra divide cost of** $\Theta(n)$ at alternate levels
- The extra divide cost $\Theta(n)$ of bad splits absorbed into the $\Theta(n)$ of good splits.
- Running time is still $\Theta(nlgn)$
    - But, slightly larger hidden constants, because the height of the recursion tree is about twice of that of best case.

Intuition for the Average Case

- Another way of looking at it:
  - Suppose we alternate lucky, unlucky, lucky, unlucky, ...
  - We can write the recurrence as:
    - $L(n) = 2U(n/2) + \Theta(n)$ lucky split (best)
    - $U(n) = L(n-1) + \Theta(n)$ unlucky split (worst)
  - Solving:

$$
\begin{aligned}
L(n) &= 2(L(n/2-1) + \Theta(n/2)) + \Theta(n) \\
&= 2L(n/2-1) + \Theta(n) \\
&= \Theta(nlgn)
\end{aligned}
$$

- How can we make sure we are usually lucky for all inputs?

Summary: Quicksort Runtime Analysis

- **Worst case:** Unbalanced split at every recursive call

$$T(n) = T(1) + T(n-1) + \Theta(n)$$
$$T(n) = \Theta(n2)$$

- **Best case:** Balanced split at every recursive call (*extremely lucky*)

$$T(n) = 2T(n/2) + \Theta(n)$$
$$T(n) = \Theta(nlgn)$$

Summary: Quicksort Runtime Analysis

- **Almost-best case:** Almost-balanced split at every recursive call

$$
\begin{aligned}
&T(n) = T(n/10) + T(9n/10) + \Theta(n) \\
\text{or } &T(n) = T(n/100) + T(99n/100) + \Theta(n) \\
\text{or } &T(n) = T(\alpha n) + T((1 - \alpha n) + \Theta(n)
\end{aligned}
$$

for any constant $\alpha, 0 < \alpha \leq 0.5$

Summary: Quicksort Runtime Analysis

- For a random input array, the probability of having a split
  - more balanced than $0.1\text{–}to\text{–}0.9 : 80\%$
  - more balanced than $0.01\text{–}to\text{–}0.99 : 98\%$
  - more balanced than $\alpha\text{–}to\text{–}(1-\alpha) : 1\text{–}2\alpha$
    for any constant $\alpha, 0 < \alpha \leq 0.5$

Summary: Quicksort Runtime Analysis

- **Avg case intuition:** Different splits expected at different levels
  - some balanced (good), some unbalanced (bad)
- **Avg case intuition:** Assume the good and bad splits alternate
  - i.e. good split -> bad split -> good split -> …
  - $T(n) = \Theta(nlgn)$
    - (informal analysis for intuition)

Randomized Quicksort

- In the avg-case analysis, we assumed that **all permutations** of the input array are **equally likely.**
  - But, this assumption **does not always hold**
  - e.g. What if **all** the input arrays are **reverse sorted**?
    - **Always worst-case behavior**
- Ideally, the avg-case runtime should be **independent of the input permutation**.
- **Randomness should be within the algorithm**, not based on the distribution of the inputs.
  - i.e. The avg case should hold for all possible inputs

Randomized Algorithms

- Alternative to assuming a uniform distribution:
  - **Impose a uniform distribution**
  - e.g. Choose a random pivot rather than the first element
- Typically useful when:
  - there are many ways that an algorithm can proceed
  - but, it's **difficult** to determine a way that is **always guaranteed to be good**.
  - If there are **many good alternatives**; simply **choose one randomly**.

Randomized Algorithms

- Ideally:
  - Runtime should be **independent of the specific inputs**
  - No specific input should cause worst-case behavior
  - Worst-case should be determined only by output of a random number generator.

Randomized Quicksort

- Using Hoare's partitioning algorithm:

```
R-QUICKSORT(A, p, r)
  if p < r then
    q = R-PARTITION(A, p, r)
    R-QUICKSORT(A, p, q)
    R-QUICKSORT(A, q+1, r)
```

```
R-PARTITION(A, p, r)
  s = RANDOM(p, r)
  exchange A[p] with A[s]
  return H-PARTITION(A, p, r)
```

- Alternatively, permuting the whole array would also work
  - but, would be more difficult to analyze

Randomized Quicksort

- Using Lomuto's partitioning algorithm:

```
R-QUICKSORT(A, p, r)
  if p < r then
    q = R-PARTITION(A, p, r)
    R-QUICKSORT(A, p, q-1)
    R-QUICKSORT(A, q+1, r)
```

```
R-PARTITION(A, p, r)
  s = RANDOM(p, r)
  exchange A[r] with A[s]
  return L-PARTITION(A, p, r)
```

- Alternatively, permuting the whole array would also work
  - but, would be more difficult to analyze

Notations for Formal Analysis

- Assume all elements in $A[p \dots r]$ are distinct
  - Let $n = r – p + 1$
- Let $rank(x) = |A[i] : p \leq i \leq r \text{ and } A[i] \leq x|$
- i.e. $rank(x)$ is the number of array elements with value less than or equal to x
  - $A = \{5, 9, 7, 6, 8, 1, 4\}$
  - $p = 5, r = 4$
  - $rank(5) = 3$
    - i.e. it is the 3^{rd} smallest element in the array

Formal Analysis for Average Case

- The following analysis will be for **Quicksort** using **Hoare's** partitioning algorithm.
- **Reminder:** The **pivot** is selected **randomly** and exchanged with $A[p]$ before calling **H-PARTITION**
- Let x be the **random pivot** chosen.
- What is the probability that $rank(x) = i$ for $i = 1, 2, \dots n$?
  - $P(rank(x) = i) = 1/n$

Various Outcomes of H-PARTITION

- Assume that $rank(x) = 1$
  - i.e. the **random pivot** chosen is the **smallest** element
  - What will be the **size of the left partition** $(|L|)$?
  - **Reminder:** Only the elements less than or equal to x will be in the left partition.

$$A = \{\overbrace{2}^{p=x=pivot}\underbrace{,}_{\implies |L|=1} 9, 7, 6, 8, 5, \overbrace{4}^{r}\}$$

$$p = 2, r = 4$$
$$pivot = x = 2$$

TODO: convert to image...S6_P9

Various Outcomes of H-PARTITION

- Assume that $rank(x) > 1$
  - i.e. the random pivot chosen is not the smallest element
  - What will be the size of the left partition $(|L|)$?
  - **Reminder:** Only the elements less than or equal to x will be in the left partition.
  - **Reminder:** The pivot will stay in the right region after **H-PARTITION** if $rank(x) > 1$

$$A = \{\overbrace{2}^{p}, 4 \underbrace{,}_{\implies |L| = rank(x) - 1} 7, 6, 8, \overbrace{5,}^{pivot} \overbrace{9}^{r}\}$$

$p = 2, r = 4$

$pivot = x = 5$

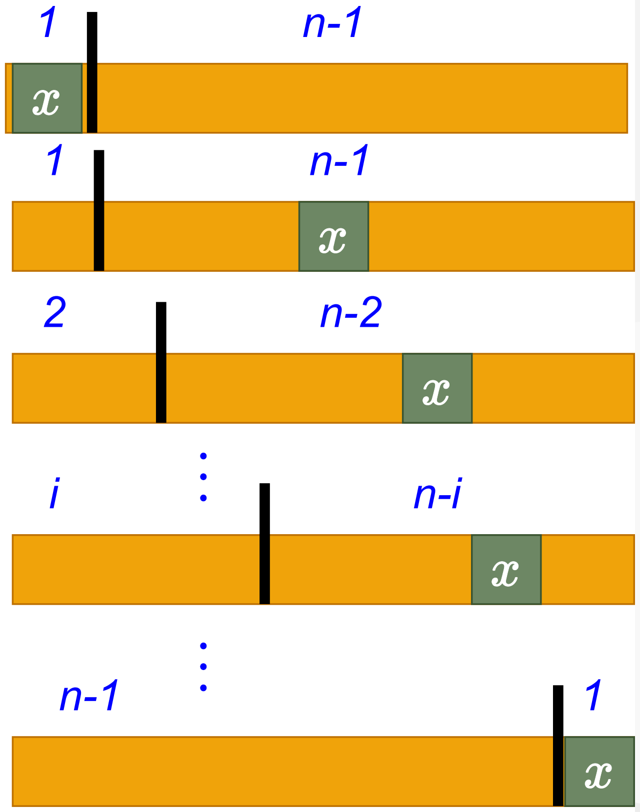
TODO: convert to image...S6_P10

Various Outcomes of H-PARTITION - Summary

- $x : pivot$
- $|L|$: size of left region
- $P(rank(x) = i) = 1/n$ for $1 \leq i \leq n$
  - if $rank(x) = 1$ then $|L| = 1$
  - if $rank(x) > 1$ then $|L| = rank(x) - 1$
- $P(|L| = 1) = P(rank(x) = 1) + P(rank(x) = 2)$
  - $P(|L| = 1) = 2/n$
- $P(|L| = i) = P(rank(x) = i + 1)$ for $1 < i < n$
  - $P(|L| = i) = 1/n$ for $1 < i < n$

Various Outcomes of H-PARTITION - Summary

$rank(x)$	$probability$	$T(n)$
1	$\frac{1}{n}$	$T(1)+T(n-1)+\Theta(n)$
2	$\frac{1}{n}$	$T(1)+T(n-1)+\Theta(n)$
3	$\frac{1}{n}$	$T(2)+T(n-2)+\Theta(n)$
$\vdots$	$\vdots$	$\vdots$
$i+1$	$\frac{1}{n}$	$T(i)+T(n-i)+\Theta(n)$
$\vdots$	$\vdots$	$\vdots$
n	$\frac{1}{n}$	$T(n-1)+T(1)+\Theta(n)$



Average - Case Analysis: Recurrence

$$x = pivot$$

$$
\begin{aligned}
T(n) = &\ \frac{1}{n}(T(1) + t(n-1)) && rank : 1 \\
&+ \frac{1}{n}(T(1) + t(n-1)) && rank : 2 \\
&+ \frac{1}{n}(T(2) + t(n-2)) && rank : 3 \\
&\vdots && \vdots \\
&+ \frac{1}{n}(T(i) + t(n-i)) && rank : i+1 \\
&\vdots && \vdots \\
&+ \frac{1}{n}(T(n-1) + t(1)) && rank : n \\
&+ \Theta(n)
\end{aligned}
$$

Recurrence

$$T(n) = \frac{1}{n}\sum_{q=1}^{n-1}(T(q) + T(n-q)) + \frac{1}{n}(T(1) + T(n-1)) + \Theta(n)$$

$$\text{Note: } \frac{1}{n}(T(1) + T(n-1)) = \frac{1}{n}(\Theta(1) + O(n^2)) = O(n)$$

$$T(n) = \frac{1}{n}\sum_{q=1}^{n-1}(T(q) + T(n-q)) + \Theta(n)$$

for $k = 1, 2, \dots, n-1$ each term $T(k)$ appears twice once for $q = k$ and once for $q = n - k$

$$T(n) = \frac{2}{n}\sum_{k=1}^{n-1} T(k) + \Theta(n)$$

Solving Recurrence: Substitution

- Guess: $T(n) = O(nlgn)$
- $T(k) \leq aklgk$ for $k < n$, for some constant $a > 0$

$$
\begin{aligned}
T(n) &= \frac{2}{n}\sum_{k=1}^{n-1} T(k) + \Theta(n) \\
&\leq \frac{2}{n}\sum_{k=1}^{n-1} aklgk + \Theta(n) \\
&\leq \frac{2a}{n}\sum_{k=1}^{n-1} klgk + \Theta(n)
\end{aligned}
$$

- Need a tight bound for $\sum klgk$

Tight bound for $\sum klgk$

- Bounding the terms
  - $\sum_{k=1}^{n-1} klgk \leq \sum_{k=1}^{n-1} nlgn = n(n-1)lgn \leq n^2lgn$
  - This bound **is not strong** enough because
  - $T(n) \leq \frac{2a}{n}n^2lgn + \Theta(n)$
  - $= 2anlgn + \Theta(n) \implies$ couldn't prove $T(n) \leq anlgn$

Tight bound for $\sum klgk$

- **Splitting summations:** ignore ceilings for simplicity
- $\sum_{k=1}^{n-1} klgk \leq \sum_{k=1}^{n/2-1} klgk + \sum_{k=n/2}^{n-1} klgk$
  - **First summation**: $lgk < lg(n/2) = lgn - 1$
  - **Second summation**: $lgk < lgn$

Splitting: $\sum_{k=1}^{n-1} klgk \leq \sum_{k=1}^{n/2-1} klgk + \sum_{k=n/2}^{n-1} klgk$

- $\sum_{k=1}^{n-1} klgk \leq (lg(n-1)) \sum_{k=1}^{n/2-1} k + lgn \sum_{k=n/2}^{n-1} k$
  - $= lgn \sum_{k=1}^{n-1} k - \sum_{k=1}^{n/2-1} k$
  - $= \frac{1}{2}n(n-1)lgn - \frac{1}{2}\frac{n}{2}(\frac{n}{2}-1)$
  - $= \frac{1}{2}n^2lgn - \frac{1}{8}n^2 - \frac{1}{2}n(lgn - 1/2)$
- $\sum_{k=1}^{n-1} klgk \leq \frac{1}{2}n^2lgn - \frac{1}{8}n^2$ for $lgn \geq 1/2 \Longrightarrow n \geq \sqrt{2}$

Substituting: - $\sum_{k=1}^{n-1} klgk \leq \frac{1}{2}n^2lgn - \frac{1}{8}n^2$

$$
\begin{aligned}
T(n) &\leq \frac{2a}{n}\sum_{k=1}^{n-1} klgk + \Theta(n) \\
&\leq \frac{2a}{n}(\frac{1}{2}n^2lgn - \frac{1}{8}n^2) + \Theta(n) \\
&= anlgn - (\frac{a}{4}n - \Theta(n))
\end{aligned}
$$

- We can choose a large enough so that $\frac{a}{4}n \geq \Theta(n)$

$$
T(n) \leq anlgn
$$
$$
T(n) = O(nlgn)
$$

Q.E.D.

References

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http://nabil.abubaker.bilkent.edu.tr/473/

TODO