

EE669 Homework #3

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1 Problem 1: Scalar Quantization

1.1 Written Questions

(1)

Maximum possible value is 7, $(2^3 - 1)$, since it's a 3 bit image.

(2)

There are 2 quantization levels.

$$Init : x_0^{(0)} = 0, x_1^{(1)} = 7$$

$$t_0^{(0)} = \frac{x_0^{(0)} + x_1^{(0)}}{2} = 3.5$$

$$x_0^{(1)} = \frac{0 \times 0.125 + 1 \times 0.125 + 2 \times 0.125 + 3 \times 0.125}{0.125 + 0.125 + 0.125 + 0.125} = 1.5$$

$$x_1^{(1)} = \frac{4 \times 0.125 + 5 \times 0.125 + 6 \times 0.125 + 7 \times 0.125}{0.125 + 0.125 + 0.125 + 0.125} = 5.5$$

Since $(t_0^{(1)} = \frac{x_0^{(1)} + x_1^{(1)}}{2} = 3.5) == t_0^{(0)}$, it has already converged. Interval is

$$[0, 3.5], [3.5, 7]$$

Two corresponding reconstruction value is

$$x_0 = 1.5, x_1 = 5.5$$

(3)

| | | | |
|-----|-----|-----|-----|
| 5.5 | 1.5 | 5.5 | 1.5 |
| 1.5 | 1.5 | 1.5 | 5.5 |
| 5.5 | 1.5 | 5.5 | 1.5 |
| 5.5 | 1.5 | 5.5 | 5.5 |

Figure 1: Quantizer result

$$\begin{aligned}
\text{MSE} &= \frac{1}{N} \sum_{i=1}^N (X'(i) - X(i))^2 \\
&= \frac{1}{16} ((5.5 - 5)^2 + (1.5 - 2)^2 + (5.5 - 4)^2 + (1.5 - 0)^2 + (1.5 - 1)^2 + (1.5 - 3)^2 + (1.5 - 2)^2 + (5.5 - 5)^2 + \\
&\quad (5.5 - 4)^2 + (1.5 - 3)^2 + (5.5 - 6)^2 + (1.5 - 2)^2 + (5.5 - 7)^2 + (1.5 - 2)^2 + (5.5 - 7)^2 + (5.5 - 6)^2) \\
&= \frac{18}{16} = \mathbf{1.125}
\end{aligned}$$

$$\begin{aligned}
\text{PSNR} &= 10 \log_{10} \left(\frac{MAX^2}{MSE} \right) \\
&= 10 \log_{10} \left(\frac{7^2}{1.125} \right) = \mathbf{16.39(dB)}
\end{aligned}$$

1.2 Programming

(1)

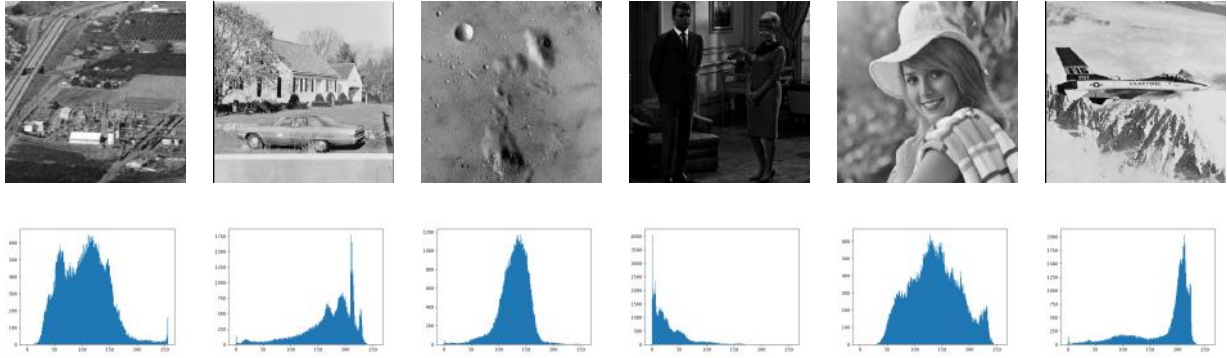


Figure 2: Raw image and its corresponding histogram

(2)

| | | | | | | | | | |
|----------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| iter | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| PSNR(dB) | 29.1907 | 29.4195 | 29.6287 | 29.7851 | 29.9066 | 29.9883 | 30.0552 | 30.0977 | 30.1329 |
| iter | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| PSNR(dB) | 30.1703 | 30.1934 | 30.2256 | 30.2418 | 30.2493 | 30.2592 | 30.2724 | 30.2779 | 30.2827 |

Table 1: 3-bit SQ. PSNR for the training images at each iteration.

| | | | | | | | | | |
|----------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| iter | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| PSNR(dB) | 38.7820 | 39.0812 | 39.2550 | 39.3550 | 39.4358 | 39.5190 | 39.5979 | 39.6406 | 39.6825 |
| iter | 10 | 11 | 12 | | | | | | |
| PSNR(dB) | 39.7184 | 39.7435 | 39.7505 | | | | | | |

Table 2: 5-bit SQ. PSNR for the training images at each iteration.

| bits | PSNR | | | | | |
|------|-----------------|-----------------|------------------|----------------|-------------------|-------------------|
| | <i>moon.256</i> | <i>chem.256</i> | <i>house.256</i> | <i>f16.256</i> | <i>couple.256</i> | <i>elaine.256</i> |
| 3 | 31.4855 | 29.6479 | 30.0251 | 30.0518 | 21.3196 | 30.4929 |
| 5 | 43.3884 | 37.9541 | 39.6154 | 38.4052 | 29.8711 | 41.256 |

Table 3: SQ. PSNR for quantized image.

For initialization, it is better to initialize each center with a region have similar probability according to the PDF of train data, to make each code word follow a uniform distribution. So that I separate the training data to small region which has same probability, then using the exception of this region as initial center.

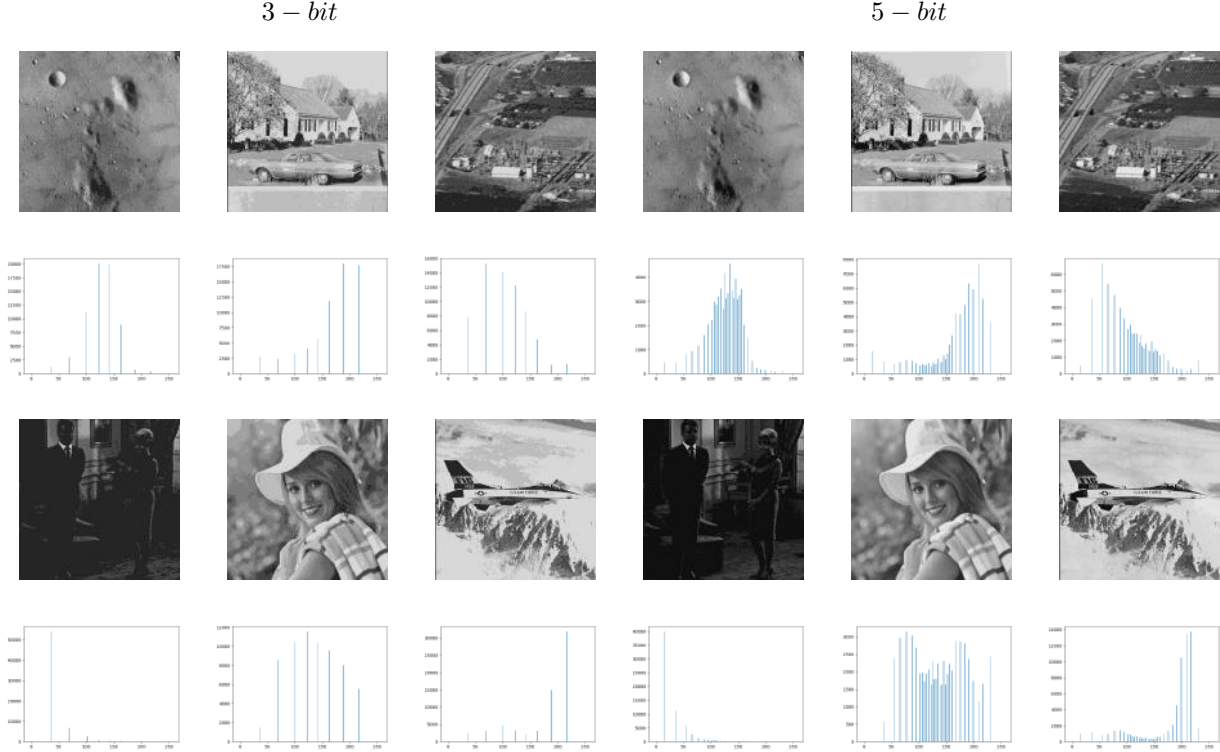


Figure 3: 3-bit and 5-bit results and corresponding histograms

(3)&(4)

Result is shown in *Figure3*. A good quantization is human cannot find much visual difference between the raw image and quantized one. Which means smooth transition between the bright region to dark region, no color blocks. Detail retained is another important factor, after quantization cannot remove too much detail, especially which is obvious to human. Besides, for some extreme cases, super dark or white region can use a low bit quantization, due to the insensitivity of human eye to these parts, which means a higher distortion and low bits may not affect visual effect.

(5)

| Entropy | <i>chem.256</i> | <i>house.256</i> | <i>moon.256</i> | <i>couple.256</i> | <i>f16.256</i> | <i>elaine.256</i> |
|---------|-----------------|------------------|-----------------|-------------------|----------------|-------------------|
| 3 – bit | 2.671 | 2.600 | 2.300 | 0.857 | 2.235 | 2.869 |
| 5 – bit | 4.602 | 4.430 | 4.583 | 2.039 | 3.802 | 4.898 |

Table 4: Entropy for quantized image.

(6)

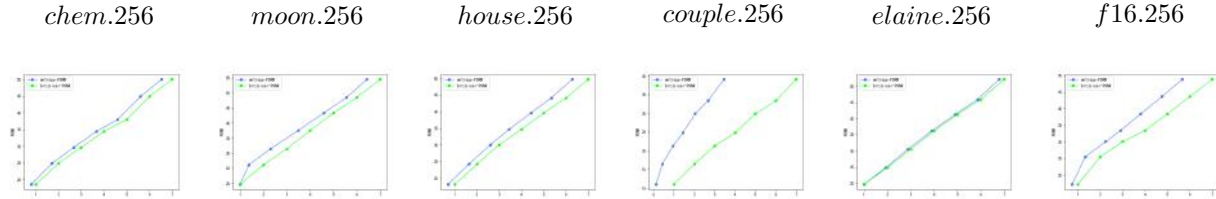


Figure 4: bits/pixel-PSNR curve and entropy-PSNR curve

(7)

Due to the training image, it would give more detail on middle grayscale rather than dark or white region. It would give best visual result for image which have similar histogram as the training data. However, due to the fact that human eyes do not so sensitive to dark or white region. When meeting image whose histogram is left shifted like *couple.256* is seems relative nice when it is 5 bits. But due to the conflict of histogram, using lower bit would shift the dark region left which gives a super bad visual result than *elaine.256* whose histogram is highly matched with training data. Similar result would happen to *f16.256* whose histogram is right shifted. After quantization using lower bits, it would move the white region to left and resulting a worse visual experience.

2 Vector Quantization

2.1 Written Questions



Figure 5

| Result | | | | | |
|--------|---|---|---|---|---|
| 4 | 0 | 1 | 6 | 4 | 0 |
| 4 | 0 | 5 | 2 | 3 | 3 |
| 1 | 6 | 4 | 0 | 4 | 0 |
| 5 | 2 | 1 | 6 | 1 | 6 |
| 1 | 6 | 3 | 3 | 1 | 6 |
| 3 | 3 | 4 | 0 | 5 | 2 |

| ERROR MAP | | | | | |
|-----------|---|----|---|----|----|
| -1 | 1 | 4 | 1 | 0 | 1 |
| 0 | 1 | 1 | 1 | 2 | 3 |
| 3 | 1 | -1 | 1 | -2 | 0 |
| -1 | 0 | 1 | 0 | 2 | 0 |
| -1 | 1 | 3 | 4 | 0 | -1 |
| -1 | 0 | 2 | 0 | 0 | 0 |

Figure 6

$$MSE = \frac{91}{36} = 2.528$$

$$PSNR = 12.87(dB)$$

2.2 Programming

(1)

Blocking and its reverse is realized in *VQ.hpp*.

(2) Standard VQ

Empirical entropy and average distortion values for both train and test images are shown in *Table5*. Visualized results is shown in *Figure7*.

Generally, standard vector quantization would give better performance with a larger code book size under same block size. Since more code words means more bits for the quantized image. While for the same code book size, result of quantization would depend on image context and block size. A larger block tends to have a larger distortion and a worse visual result with mosaic like structure. Lots of detail are lost, especially for image like *chem.256* which has many fine detail. A larger block would destroy them. The block contents should highly related to image context and resolution so that can standard vector quantization provide a better visual results.

Using a larger code book size, empirical entropy would increase as well. While for the same code book size, entropy would decrease with the increasing of block size. Since a larger block would lead to less information retained in final image (more parts tends to be similar when considering a small block), so that entropy would decrease.

(3) Tree Structured VQ

Empirical entropy and average distortion values for both train and test images are shown in *Table6*. Visualized results is shown in *Figure8*.

Tree structured VQ using statistic results rather than split image and find centroids would result a much better code book which resembles to separate from probability distribution into (almost) small equal probability parts. While other observation is the same as standard VQ

Comparison

For entropy, TSVQ's is less than Standard VQ's, one possible reason for that is TSVQ generates new cell based on statistic results rather than split blocks by local, which would result a more uniform distributed code book, so that entropy is much lower. While quantized result looks almost the same from my point of view. Corresponding distortion would slight decrease as well which is a result of better code book.

| | | <i>couple.256</i> | <i>elaine.256</i> | <i>f16.256</i> | <i>chem.256</i> | <i>moon.256</i> | <i>house.256</i> |
|------------------|--------------------|-------------------|-------------------|----------------|-----------------|-----------------|------------------|
| | | N=16 | | | | | |
| <i>block</i> = 2 | Average distortion | 282.55 | 332.67 | 840.539 | 588.72 | 333.31 | 1030.81 |
| | Empirical entropy | 2.722 | 3.353 | 3.111 | 3.163 | 2.562 | 3.343 |
| <i>block</i> = 4 | Average distortion | 2257.46 | 3135.91 | 7558.83 | 5589.15 | 2369.82 | 8255.14 |
| | Empirical entropy | 2.687 | 3.368 | 3.092 | 3.196 | 2.490 | 3.237 |
| <i>block</i> = 8 | Average distortion | 17019.80 | 28978.87 | 56837.185 | 38373.84 | 18214.50 | 53459.81 |
| | Empirical entropy | 2.543 | 2.840 | 2.675 | 2.906 | 1.752 | 2.758 |
| | | N=32 | | | | | |
| <i>block</i> = 2 | Average distortion | 188.62 | 234.87 | 530.43 | 462.84 | 255.76 | 742.58 |
| | Empirical entropy | 3.586 | 3.986 | 3.881 | 3.887 | 3.092 | 4.136 |
| <i>block</i> = 4 | Average distortion | 1864.16 | 2260.66 | 5465.92 | 4623.80 | 1911.43 | 6821.13 |
| | Empirical entropy | 3.568 | 3.950 | 3.915 | 3.924 | 2.789 | 4.001 |
| <i>block</i> = 8 | Average distortion | 15801.68 | 24171.66 | 52462.71 | 33680.08 | 12664.04 | 49879.40 |
| | Empirical entropy | 3.339 | 3.651 | 3.542 | 3.592 | 2.466 | 3.632 |
| | | N=64 | | | | | |
| <i>block</i> = 2 | Average distortion | 129.07 | 167.79 | 352.62 | 352.57 | 197.79 | 529.85 |
| | Empirical entropy | 4.409 | 4.831 | 4.836 | 4.790 | 3.956 | 5.044 |
| <i>block</i> = 4 | Average distortion | 1488.99 | 1785.98 | 4495.34 | 3976.50 | 1592.82 | 5812.33 |
| | Empirical entropy | 4.468 | 4.904 | 4.735 | 4.830 | 3.73 | 4.945 |
| <i>block</i> = 8 | Average distortion | 14857.31 | 22222.85 | 50090.76 | 31430.98 | 12323.85 | 48073.58 |
| | Empirical entropy | 3.991 | 4.413 | 4.427 | 4.242 | 3.104 | 4.430 |

Table 5: Standard VQ

| | | <i>couple.256</i> | <i>elaine.256</i> | <i>f16.256</i> | <i>chem.256</i> | <i>moon.256</i> | <i>house.256</i> |
|------------------|--------------------|-------------------|-------------------|----------------|-----------------|-----------------|------------------|
| | | Bit Rate=4 | | | | | |
| <i>block</i> = 2 | Average distortion | 349.29 | 299.33 | 661.90 | 527.63 | 286.31 | 907.35 |
| | Empirical entropy | 2.222 | 3.549 | 2.882 | 3.503 | 2.794 | 3.436 |
| <i>block</i> = 4 | Average distortion | 2726.84 | 2621.41 | 5048.95 | 5041.77 | 2082.04 | 7072.22 |
| | Empirical entropy | 1.838 | 3.538 | 3.094 | 3.594 | 2.722 | 3.438 |
| <i>block</i> = 8 | Average distortion | 15834.25 | 22364.39 | 34381.02 | 36643.10 | 16017.65 | 48695.61 |
| | Empirical entropy | 1.911 | 3.307 | 3.102 | 2.948 | 1.972 | 3.158 |
| | | Bit Rate=5 | | | | | |
| <i>block</i> = 2 | Average distortion | 218.95 | 221.37 | 391.00 | 426.28 | 261.40 | 616.22 |
| | Empirical entropy | 2.852 | 3.865 | 3.718 | 3.905 | 2.988 | 4.249 |
| <i>block</i> = 4 | Average distortion | 2108.25 | 1637.09 | 2553.01 | 4135.25 | 1929.25 | 5765.32 |
| | Empirical entropy | 2.286 | 4.192 | 4.264 | 4.317 | 2.881 | 4.410 |
| <i>block</i> = 8 | Average distortion | 12495.37 | 14076.00 | 20827.19 | 31887.5 | 11636.03 | 42186.30 |
| | Empirical entropy | 2.162 | 4.607 | 3.879 | 4.170 | 2.868 | 4.082 |
| | | Bit Rate=6 | | | | | |
| <i>block</i> = 2 | Average distortion | 136.64 | 149.69 | 149.69 | 284.57 | 192.95 | 365.69 |
| | Empirical entropy | 3.387 | 4.693 | 4.693 | 5.229 | 3.865 | 5.121 |
| <i>block</i> = 4 | Average distortion | 1342.06 | 1260.84 | 1723.76 | 3645.27 | 1665.62 | 5061.79 |
| | Empirical entropy | 3.362 | 4.795 | 4.725 | 5.160 | 3.334 | 4.989 |
| <i>block</i> = 8 | Average distortion | 10254.59 | 9130.60 | 11477.93 | 29589.38 | 11100.70 | 39676.80 |
| | Empirical entropy | 2.614 | 5.333 | 4.989 | 4.608 | 2.987 | 4.953 |

Table 6: Tree structured VQ

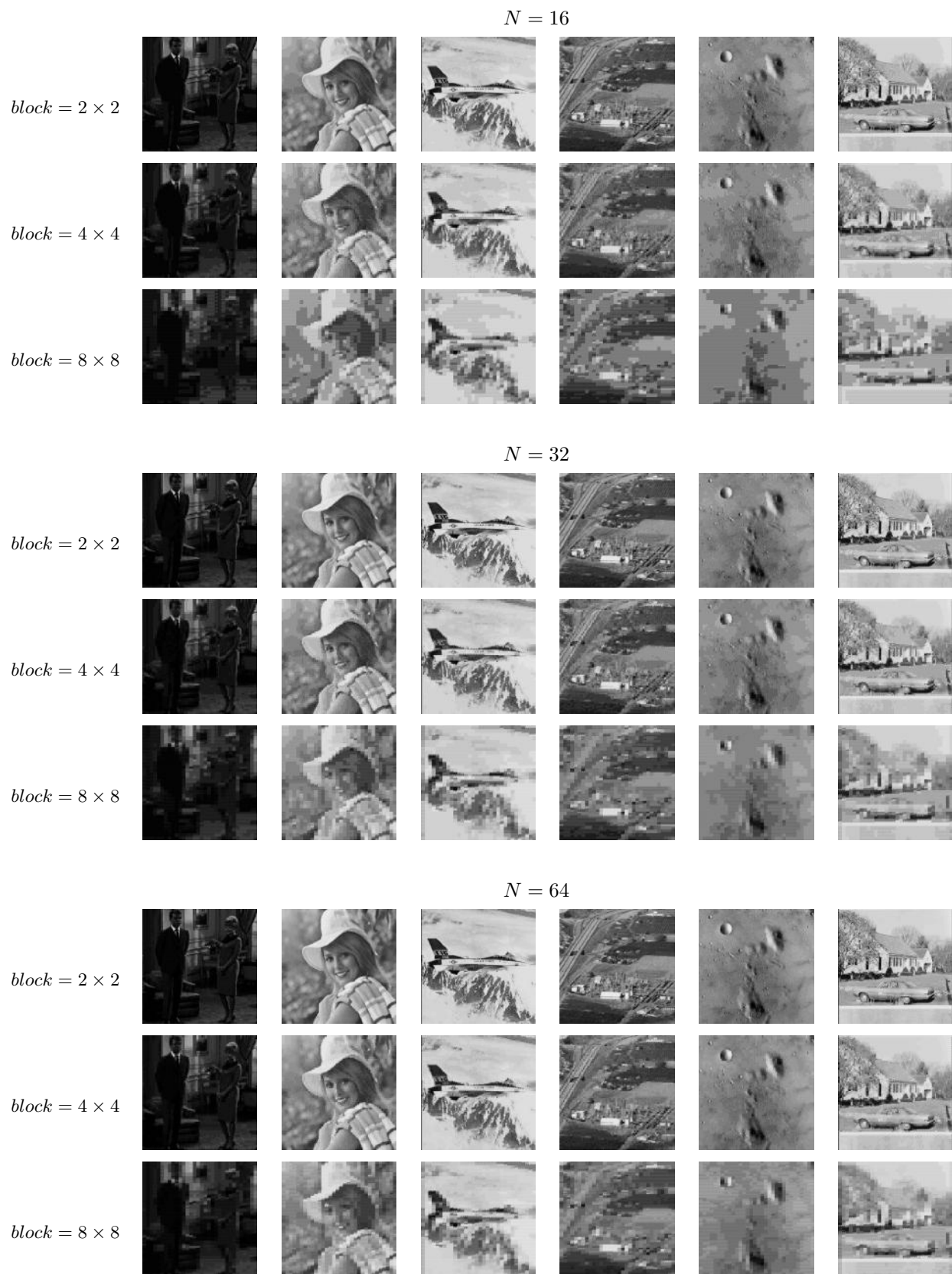


Figure 7: Results quantized by Standard VQ.

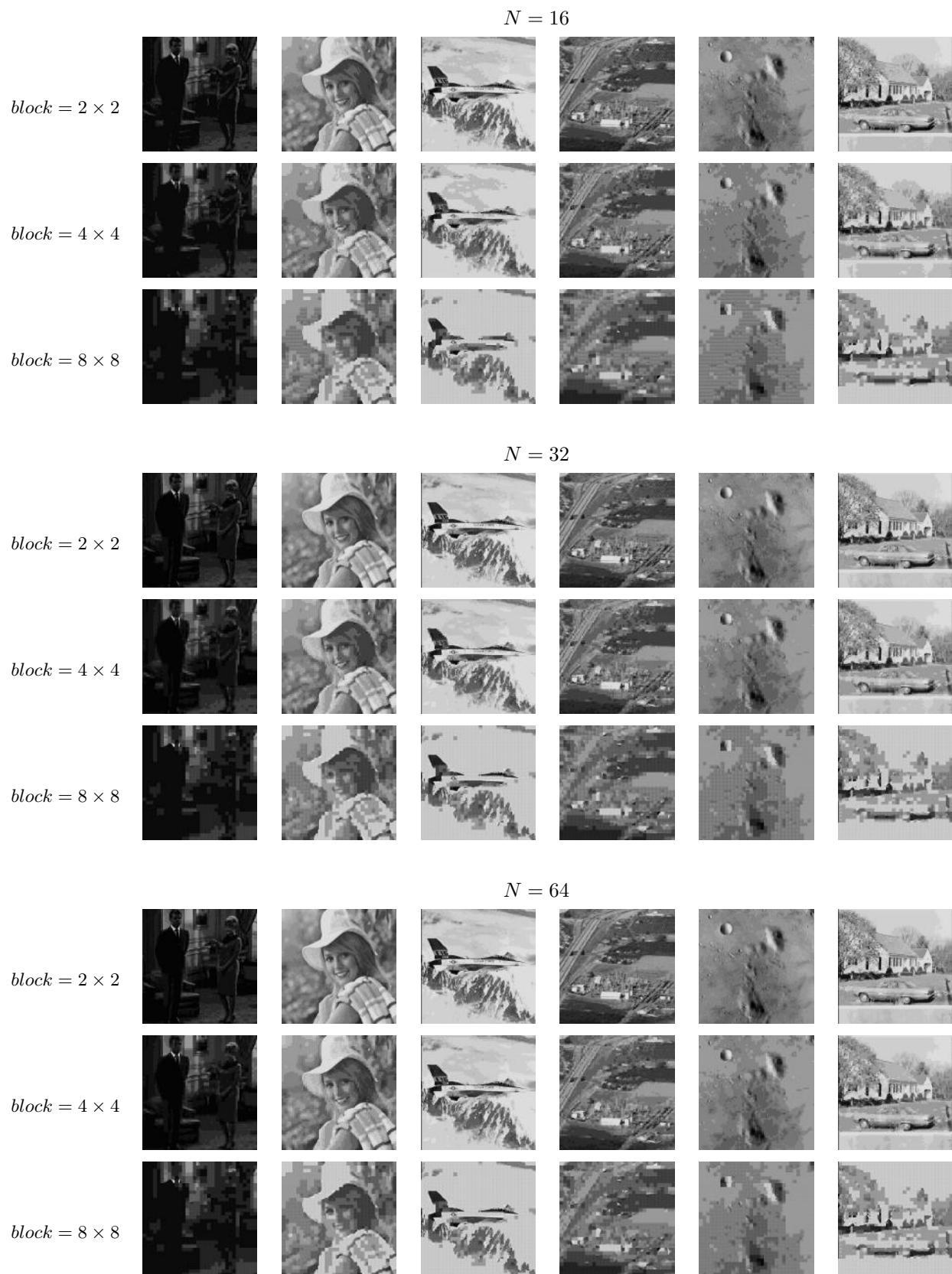


Figure 8: Results quantized by Tree Structured VQ.