

## §The Searching Strategies

e.g. satisfiability problem

$x_1$	$x_2$	$x_3$
F	F	F
F	F	T
F	T	F
F	T	T
T	F	F
T	F	T
T	T	F
T	T	T

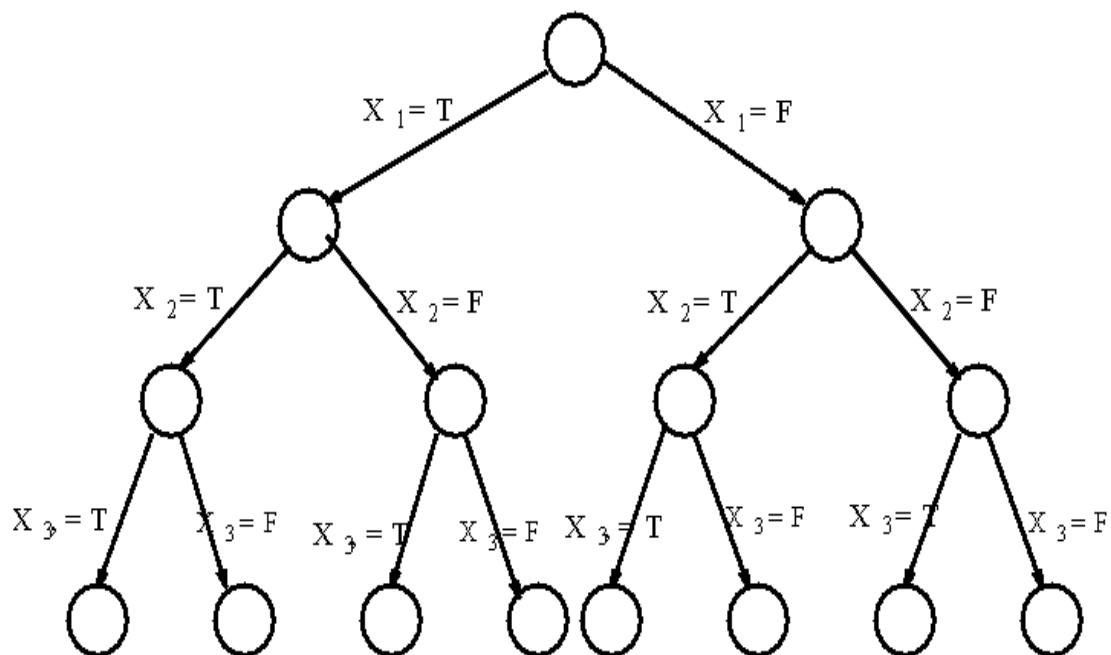


Fig. 6-1 Tree Representation of Eight Assignments.

If there are  $n$  variables  $x_1, x_2, \dots, x_n$ , then there are  $2^n$  possible assignments.

an instance:

- $X_1 \dots \dots \dots (1)$
- $X_1 \dots \dots \dots (2)$
- $X_2 \vee X_5 \dots \dots \dots (3)$
- $X_3 \dots \dots \dots (4)$
- $X_2 \dots \dots \dots (5)$

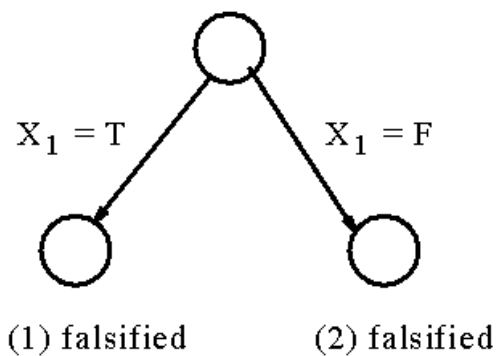


Fig. 6-2 A Partial Tree to Determine the Satisfiability Problem.

We may not need to examine all possible assignments.

e.g. the Hamiltonian circuit problem

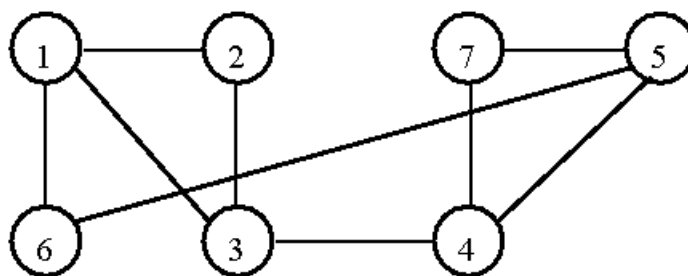


Fig. 6-6 A Graph Containing a Hamiltonian Circuit

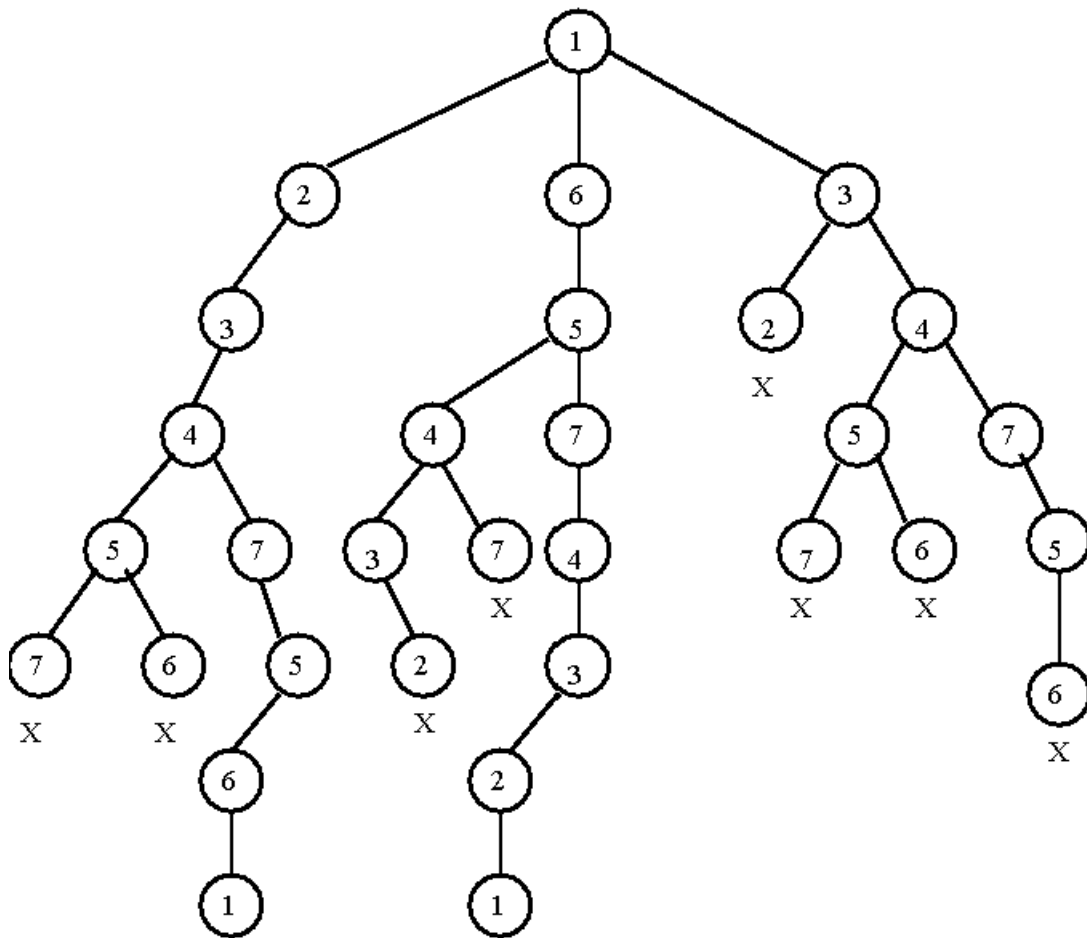


Fig. 6-8 The Tree Representation of Whether There Exists a Hamiltonian Circuit of the Graph in Fig. 6-6

## ● The breadth-first search

e.g. 8-puzzle problem

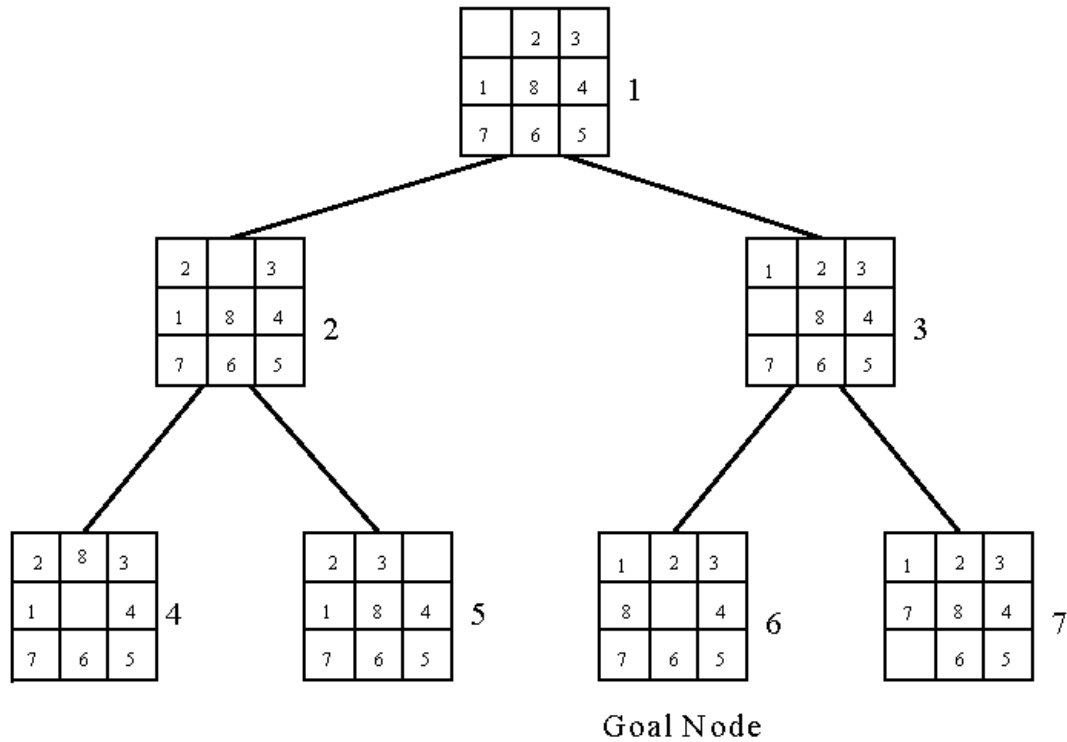


Fig. 6-10 A Search Tree Produced by a Breadth-First Search

The breadth-first search uses a queue to hold all expanded nodes.

## ● The depth-first search

e.g. sum of subset problem

$$S = \{7, 5, 1, 2, 10\}$$

$$\exists S' \subseteq S \ni \text{sum of } S' = 9 ?$$

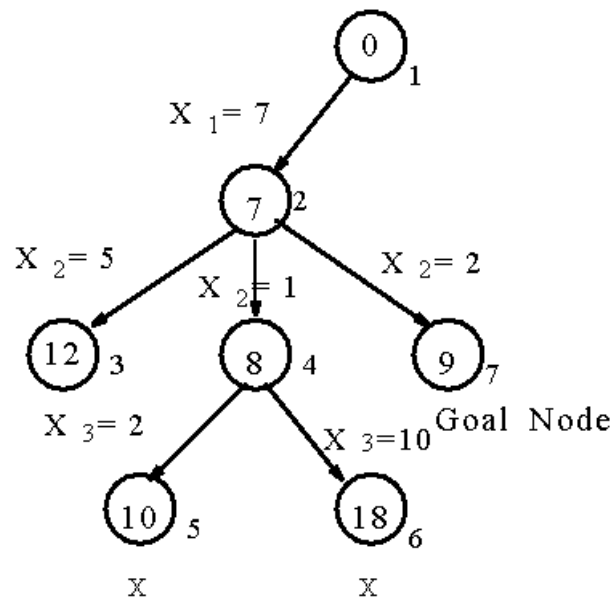


Fig. 6-11 A Sum of Subset Problem Solved by Depth-First Search.

A stack can be used to guide the depth-first search.

## ● Hill climbing

a variant of depth-first search

The method selects the locally optimal node to expand.

e.g. 8-puzzle problem

evaluation function  $f(n) = d(n) + w(n)$

where  $d(n)$  is the depth of node  $n$

$w(n)$  is # of misplaced tiles in node  $n$ .

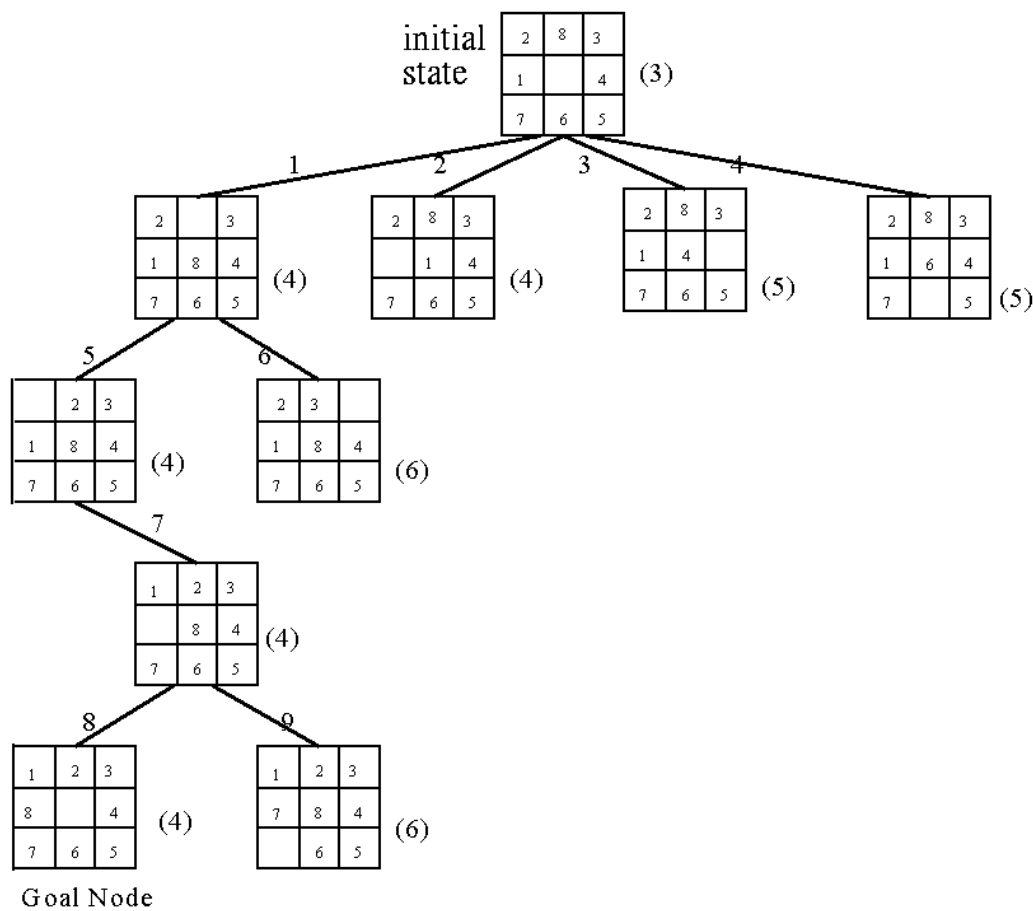


Fig. 6-15 An 8-Puzzle Problem Solved by a Hill Climbing Method

## ● Best-first search strategy

Combing depth-first search and breadth-first search

Selecting the node with the best estimated cost among all nodes.

This method has a global view.

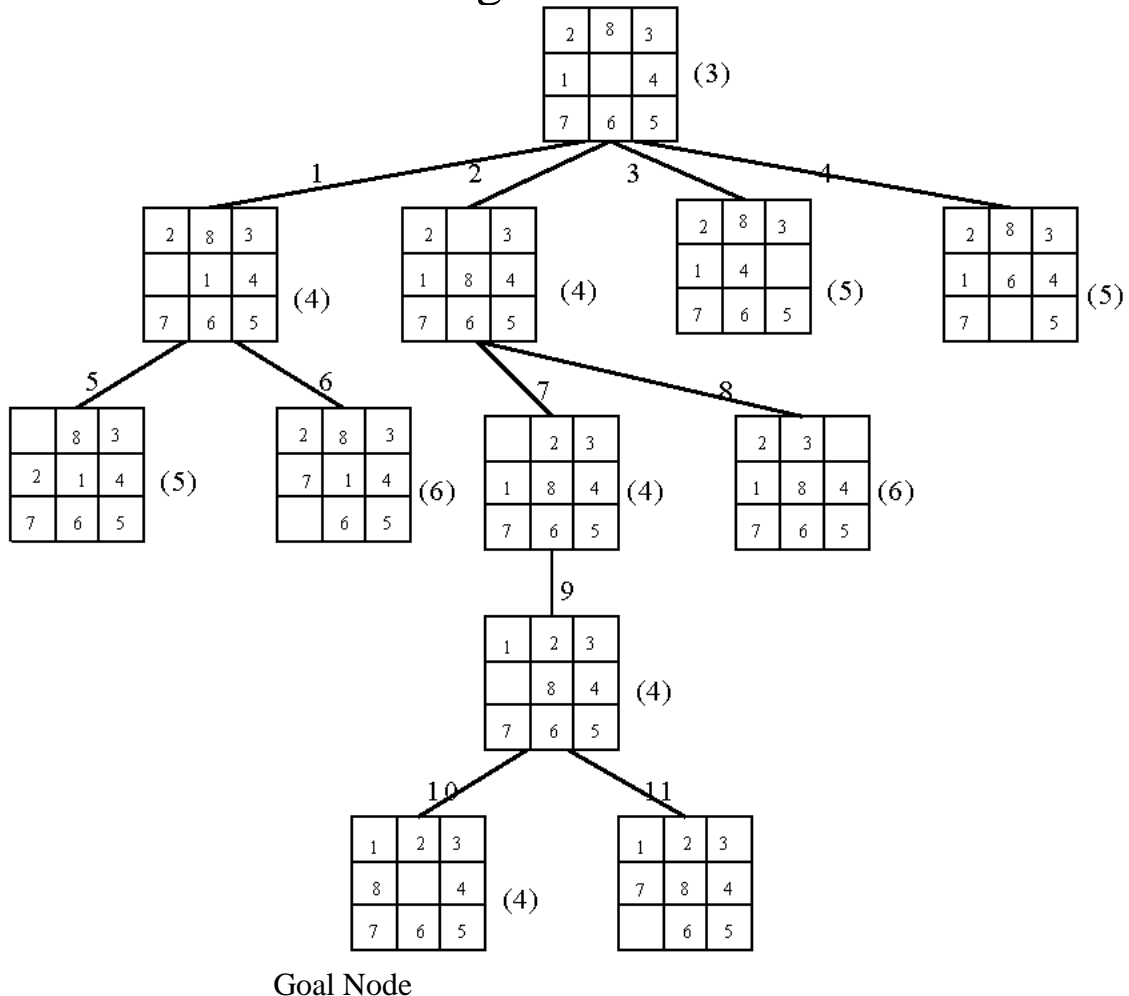


Fig. 6-16 An 8-Puzzle Problem Solved by a Best-First Search Scheme

## Best-First Search Scheme

Step1:Form a one-element list consisting of the root node.

Step2:Remove the first element from the list. Expand the first element. If one of the descendants of the first element is a goal node, then stop; otherwise, add the descendants into the list.

Step3:Sort the entire list by the values of some estimation function.

Step4:If the list is empty, then failure. Otherwise, go to Step 2.

### ● The branch-and-bound strategy

This strategy can be used to solve optimization problems. (DFS, BFS, hill climbing and best-first search can not be used to solve optimization problems.)

e.g.

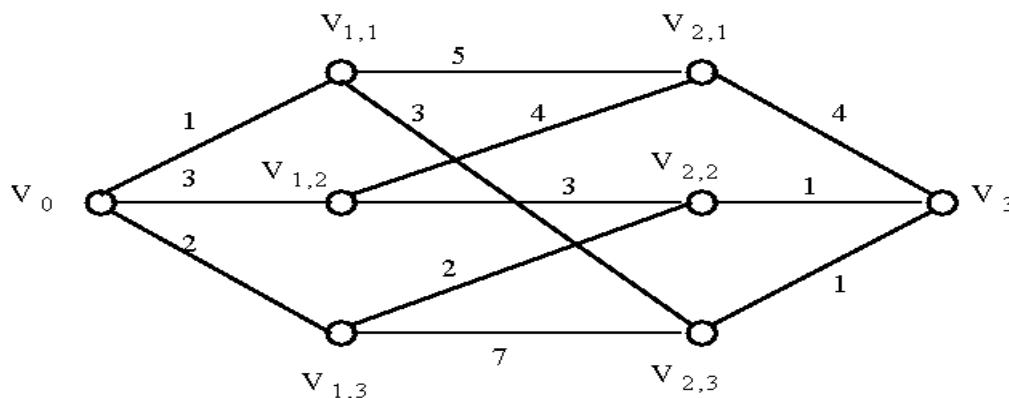
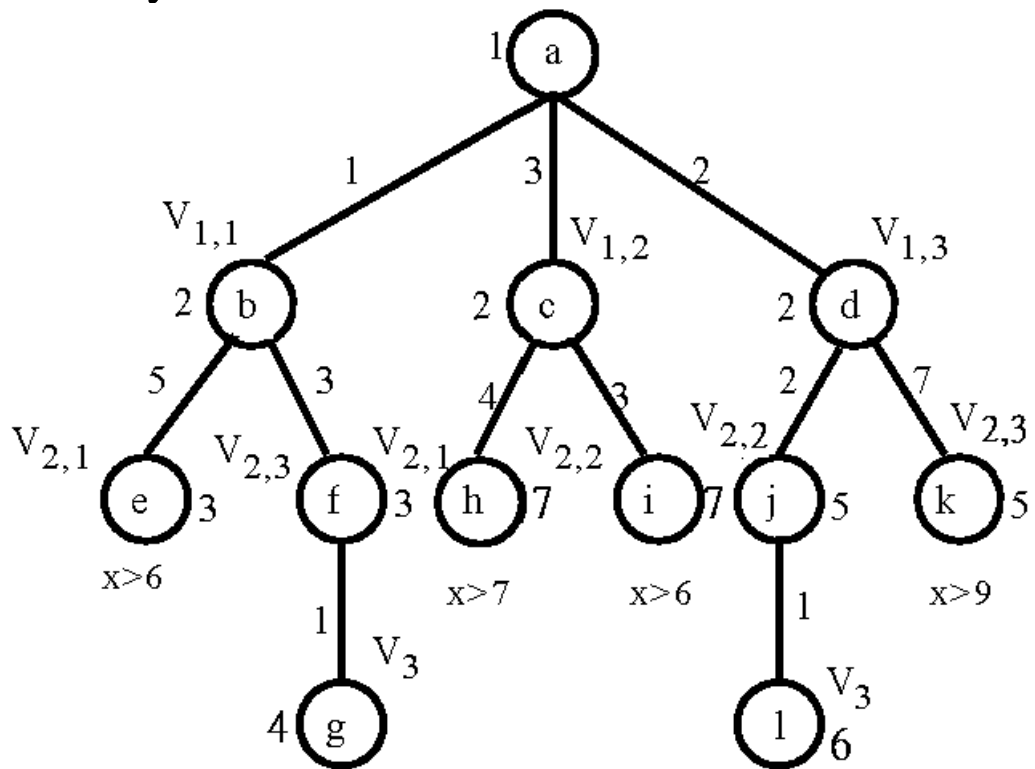


Fig. 6-17 A Multi-Stage Graph Searching Problem.



Solved by branch-and-bound:



## ● The personnel assignment problem

a linearly ordered set of persons  $P = \{P_1, P_2, \dots, P_n\}$

where  $P_1 < P_2 < \dots < P_n$

a partially ordered set of jobs  $J = \{J_1, J_2, \dots, J_n\}$

Suppose that  $P_i$  and  $P_j$  are assigned to jobs  $f(P_i)$  and  $f(P_j)$  respectively. If  $f(P_i) \leq f(P_j)$ , then  $P_i \leq P_j$ . Cost  $C_{ij}$  is the cost of assigning  $P_i$  to  $J_j$ . We want to find a feasible assignment with the min. cost. i.e.

$X_{ij} = 1$  if  $P_i$  is assigned to  $J_j$  and  $X_{ij} = 0$  otherwise.

Minimize  $\sum_{i,j} C_{ij} X_{ij}$

e.g.

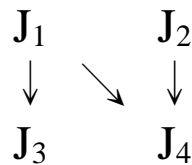


Fig. 6-21 A Partial Ordering of Jobs

After topological sorting, one of the following topologically sorted sequences will be generated:

$J_1,$	$J_2,$	$J_3,$	$J_4$
$J_1,$	$J_2,$	$J_4,$	$J_3$
$J_1,$	$J_3,$	$J_2,$	$J_4$
$J_2,$	$J_1,$	$J_3,$	$J_4$
$J_2,$	$J_1,$	$J_4$	$J_3$

one of feasible assignments:

$P_1 \rightarrow J_1, P_2 \rightarrow J_2, P_3 \rightarrow J_3, P_4 \rightarrow J_4$

cost matrix:

<b>Jobs</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>
<b>Persons</b>				
<b>1</b>	29	19	17	12
<b>2</b>	32	30	26	28
<b>3</b>	3	21	7	9
<b>4</b>	18	13	10	15

Table 6-1 A Cost Matrix for a Personnel Assignment Problem

P.11-A

P.11-B

reduced cost matrix:

subtract a constant from each row and each column respectively such that each row and each column contains at least one zero.

<b>Jobs</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	
<b>Persons</b>					
<b>1</b>	17	4	5	0	(-12)
<b>2</b>	6	1	0	2	(-26)
<b>3</b>	0	15	4	6	(-3)
<b>4</b>	8	0	0	5	(-10)
		(-3)			

Table 6-2 A Reduced Cost Matrix

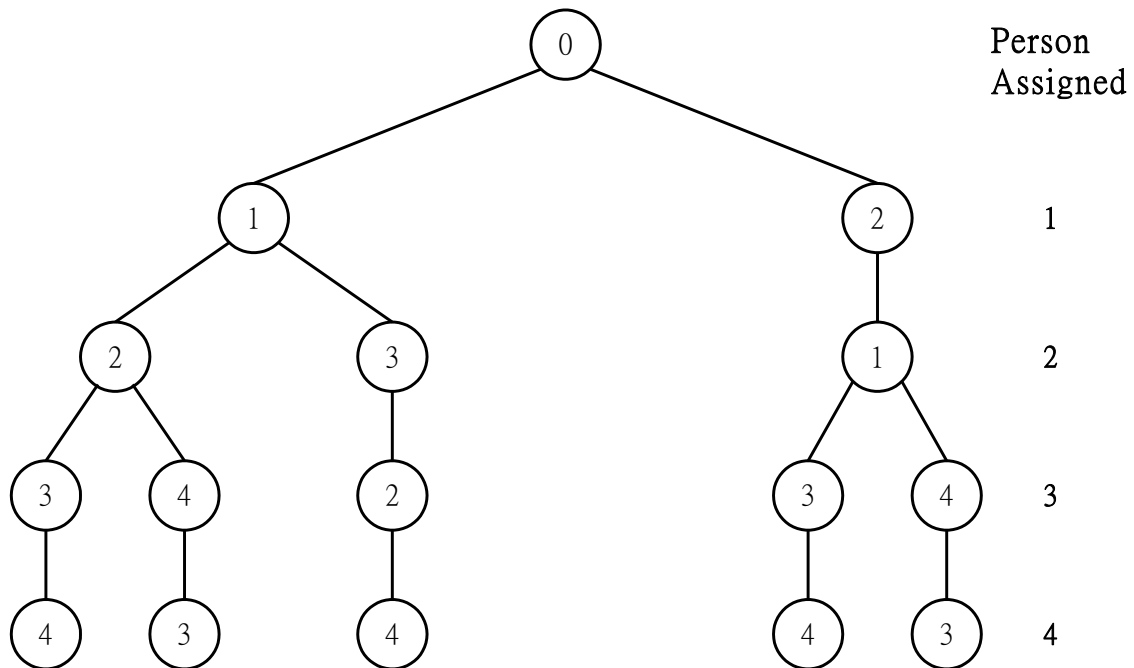
total cost subtracted:  $12+26+3+10+3 = 54$

This is a lower bound of our solution.

P.11-C

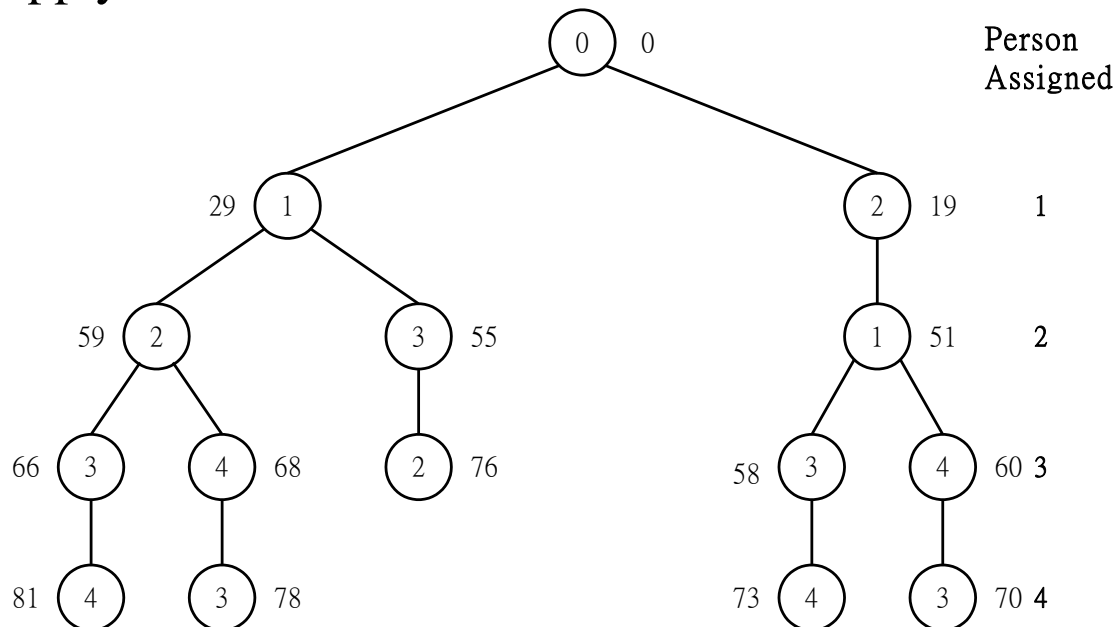
P11-A

Solution tree:



P11-B

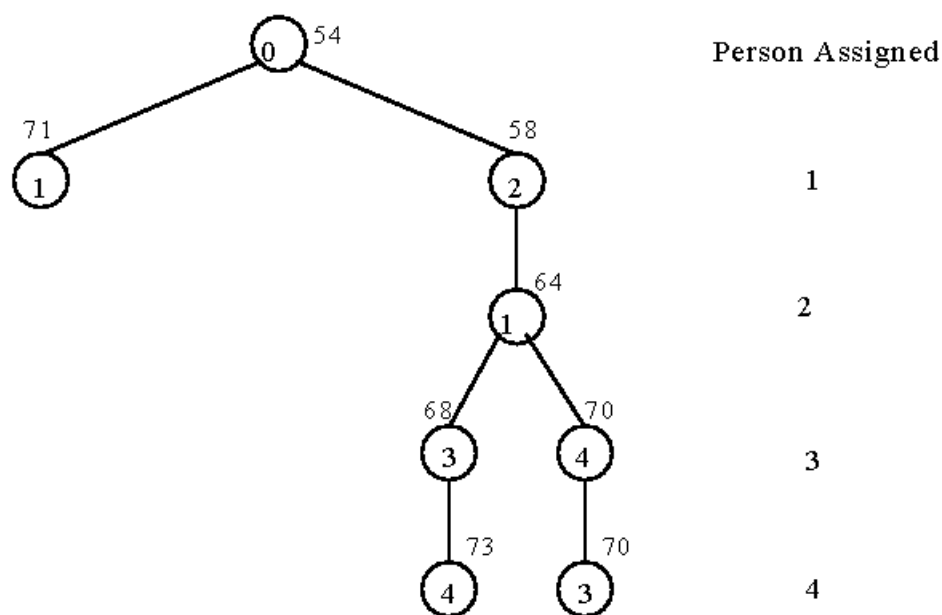
Apply the best-first search scheme:



Only one node is pruned away.

P11-C

bounding of subsolutions:



## ● The traveling salesperson optimization problem

It is NP-complete

e.g. cost matrix:

j	1	2	3	4	5	6	7
i							
1	$\infty$	3	93	13	33	9	57
2	4	$\infty$	77	42	21	16	34
3	45	17	$\infty$	36	16	28	25
4	39	90	80	$\infty$	56	7	91
5	28	46	88	33	$\infty$	25	57
6	3	88	18	46	92	$\infty$	7
7	44	26	33	27	84	39	$\infty$

Table 6-3 A Cost Matrix for a Traveling Salesperson Problem.

Reduced cost matrix:

j	1	2	3	4	5	6	7	
i								
1	$\infty$	0	90	10	30	6	54	(-3)
2	0	$\infty$	73	38	17	12	30	(-4)
3	29	1	$\infty$	20	0	12	9	(-16)
4	32	83	73	$\infty$	49	0	84	(-7)
5	3	21	63	8	$\infty$	0	32	(-25)
6	0	85	15	43	89	$\infty$	4	(-3)
7	18	0	7	1	58	13	$\infty$	(-26)

reduced:84

Table 6-4 A Reduced Cost Matrix.

i	j	1	2	3	4	5	6	7
		1	2	3	4	5	6	7
1		$\infty$	0	83	9	30	6	50
2		0	$\infty$	66	37	17	12	26
3		29	1	$\infty$	19	0	12	5
4		32	83	66	$\infty$	49	0	80
5		3	21	56	7	$\infty$	0	28
6		0	85	8	42	89	$\infty$	0
7		18	0	0	0	58	13	$\infty$
				(-7)	(-1)			(-4)

Table 6-5 Another Reduced Cost Matrix.

total cost reduced:  $84+7+1+4 = 96$  (lower bound)

decision tree:

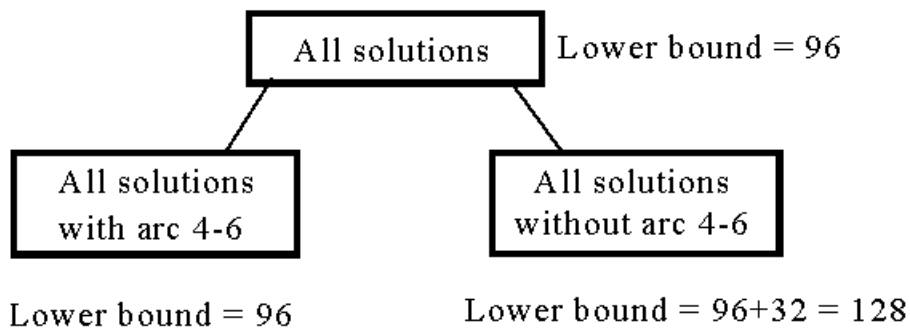


Fig. 6-25 The Highest Level of a Decision Tree.

If we use arc 3-5 to split, the difference on the lower bounds is  $17+1 = 18$ .

	j	1	2	3	4	5	7
i							
1		$\infty$	0	83	9	30	50
2		0	$\infty$	66	37	17	26
3		29	1	$\infty$	19	0	5
5		3	21	56	7	$\infty$	28
6		0	85	8	$\infty$	89	0
7		18	0	0	0	58	$\infty$

Table 6-6 A Reduced Cost Matrix. If Arc 4-6 is Included.

The cost matrix for all solution with arc 4-6:

	j	1	2	3	4	5	7
i							
1		$\infty$	0	83	9	30	50
2		0	$\infty$	66	37	17	26
3		29	1	$\infty$	19	0	5
5		0	18	53	4	$\infty$	25 (-3)
6		0	85	8	$\infty$	89	0
7		18	0	0	0	58	$\infty$

Table 6-7 A Reduced Cost Matrix for that in Table 6-6.

total cost reduced:  $96+3 = 99$  (new lower bound)



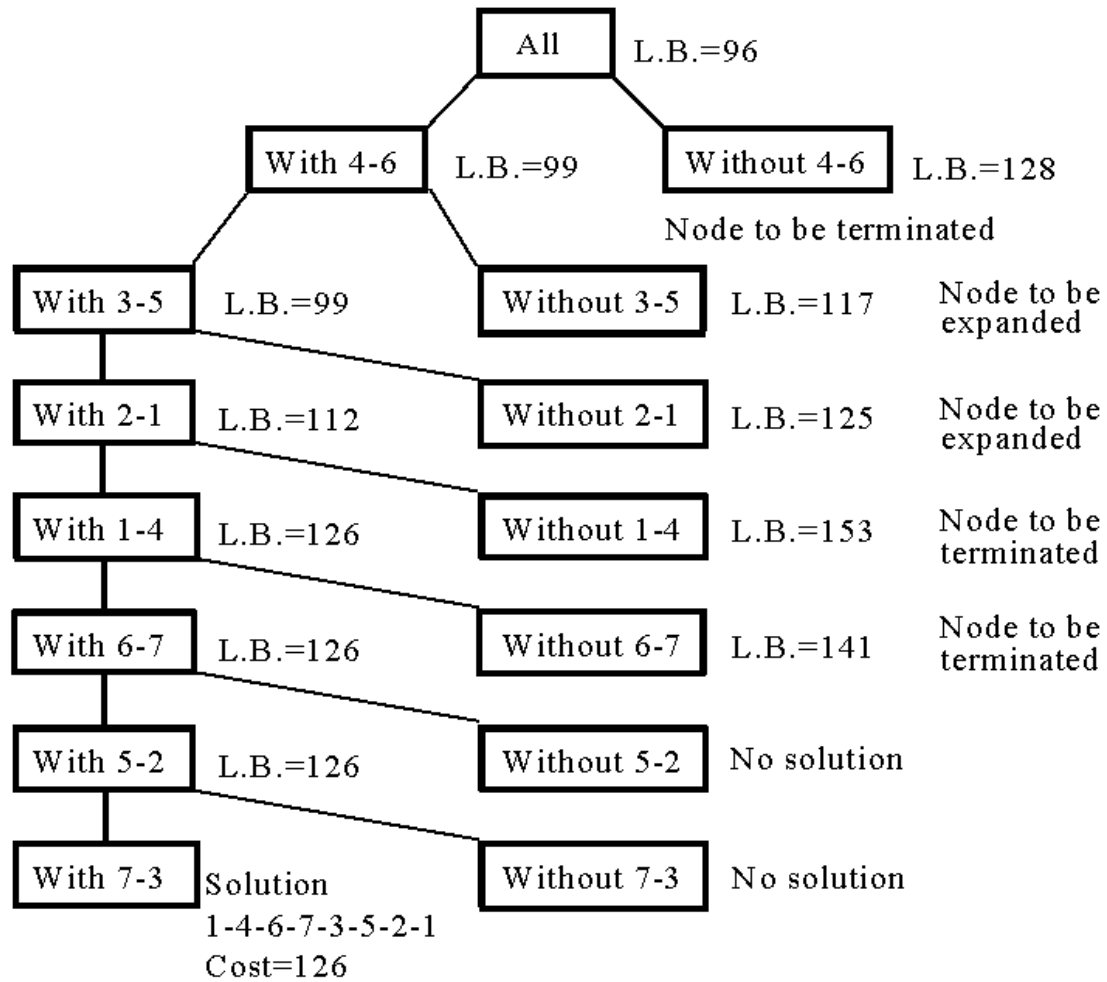


Fig 6-26 A Branch-and-Bound Solution of a Traveling Salesperson Problem.

## ● The 0/1 knapsack problem

positive integer  $P_1, P_2, \dots, P_n$  (profit)

$W_1, W_2, \dots, W_n$  (weight)

$M$  (capacity)

$$\text{maximize } \sum_{i=1}^n P_i X_i$$

$$\text{subject to } \sum_{i=1}^n W_i X_i \leq M \quad X_i = 0 \text{ or } 1, i = 1, \dots, n.$$

The problem is modified:

$$\text{minimize } -\sum_{i=1}^n P_i X_i$$

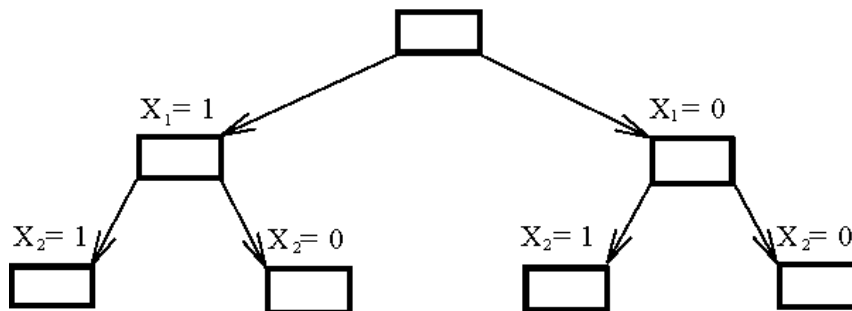


Fig. 6-27 The Branching Mechanism in the Branch-and-Bound Strategy to Solve 0/1 Knapsack Problem.

e.g.  $n = 6, M = 34$

$i$	1	2	3	4	5	6
$P_i$	6	10	4	5	6	4
$W_i$	10	19	8	10	12	8

$$(P_i/W_i \geq P_{i+1}/W_{i+1})$$

a feasible solution:  $X_1 = 1, X_2 = 1, X_3 = 0, X_4 = 0,$   
 $X_5 = 0, X_6 = 0$

$-(P_1 + P_2) = -16$  (upper bound)

Any solution higher than -16 can not be an optimal solution.

Relax our restriction from  $X_i = 0$  or  $1$  to  $0 \leq X_i \leq 1$   
(knapsack problem)

Let  $-\sum_{i=1}^n P_i X_i$  be an optimal solution for 0/1

knapsack problem and  $-\sum_{i=1}^n P_i X'_i$  be an optimal

solution for knapsack problem. Let  $Y = -\sum_{i=1}^n P_i X_i$ ,

$$Y' = -\sum_{i=1}^n P_i X'_i.$$

$$\Rightarrow Y' \leq Y$$

We can use the greedy method to find an optimal solution for knapsack problem:

$$X_1 = 1, X_2 = 1, X_3 = 5/8, X_4 = 0, X_5 = 0, X_6 = 0$$

$$-(P_1 + P_2 + 5/8 P_3) = -18.5 \text{ (lower bound)}$$

-18 is our lower bound. (only consider integers)

$$\Rightarrow -18 \leq \text{optimal solution} \leq -16$$

$$\text{optimal solution: } X_1 = 1, X_2 = 0, X_3 = 0, X_4 = 1, X_5 = 1, X_6 = 0$$

$$-(P_1 + P_4 + P_5) = -17$$

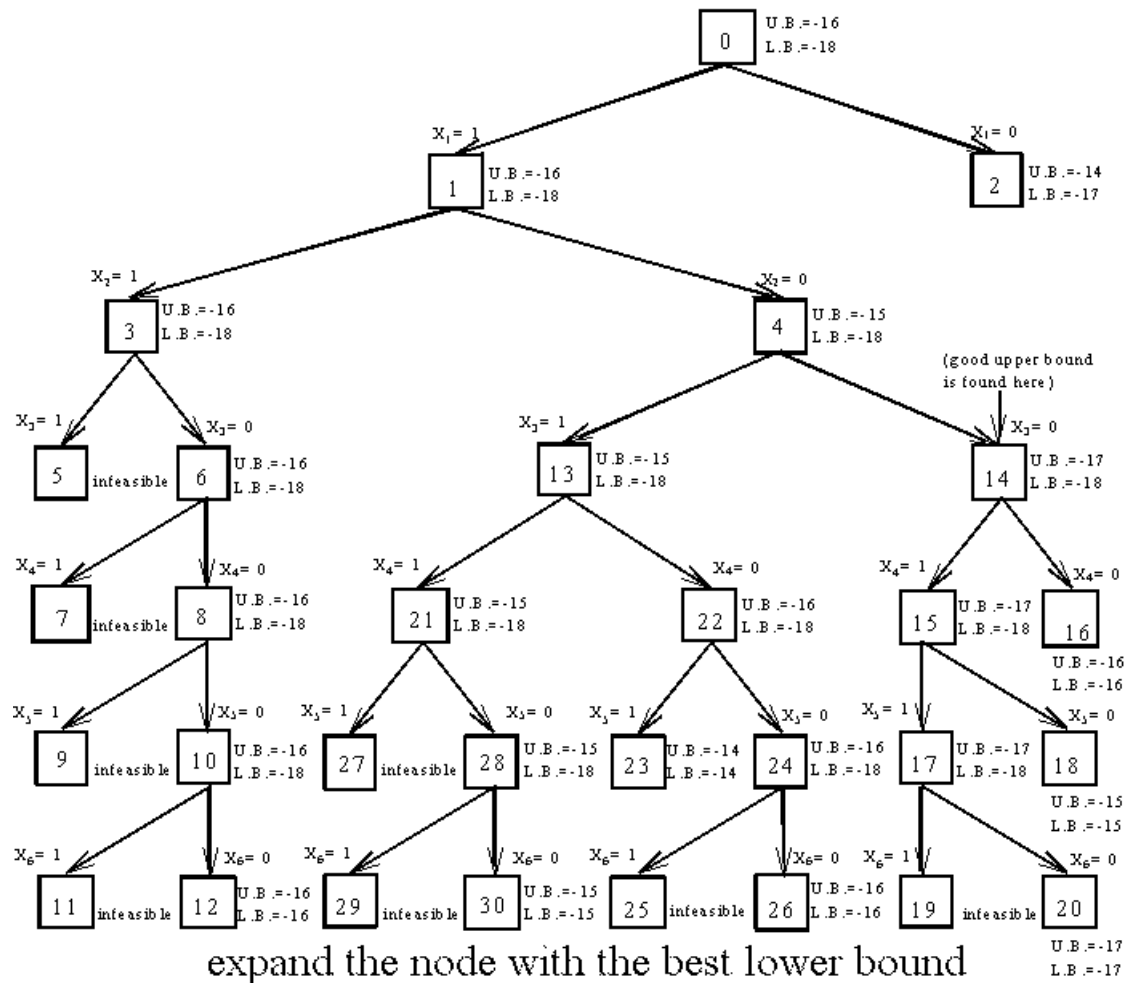


Fig. 6-28 0/1 Knapsack Problem Solved by Branch-and-Bound Strategy

## ● The A\* algorithm

used to solve optimization problems.

using the best-first strategy.

If a feasible solution (goal node) is obtained, then it is optimal and we can stop.

cost function of node n:  $f(n)$

$$f(n) = g(n) + h(n)$$

$g(n)$ : cost from root to node n.

$h(n)$ : estimated cost from node n to a goal node.

$h^*(n)$ : “real” cost from node n to a goal node.

$$h(n) \leq h^*(n)$$

$$\Rightarrow f(n) = g(n) + h(n) \leq g(n) + h^*(n) = f^*(n)$$

e.g.

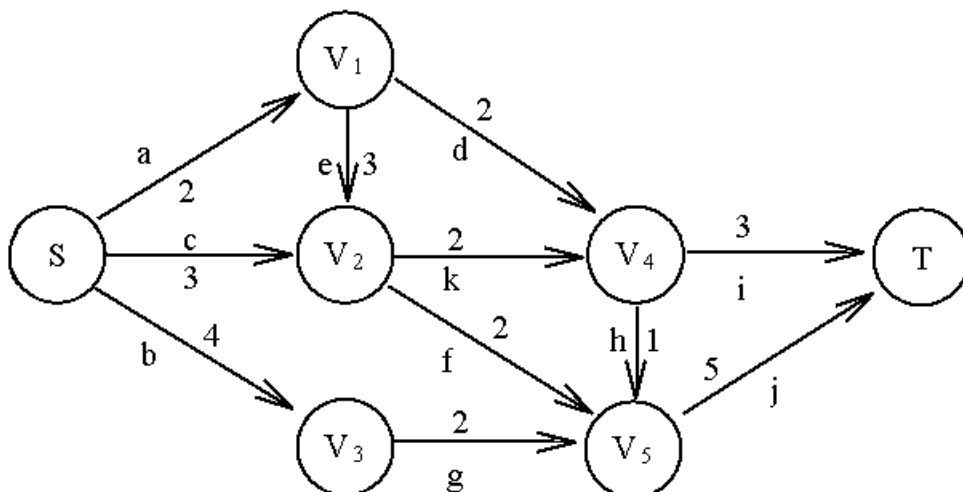
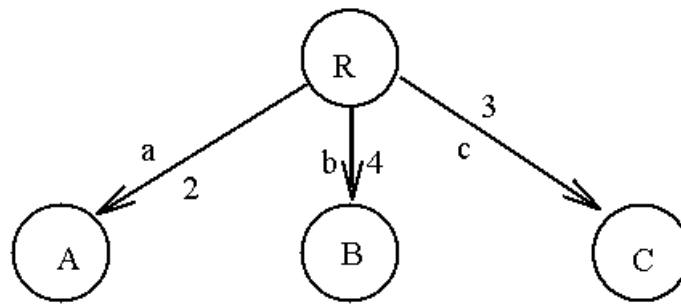


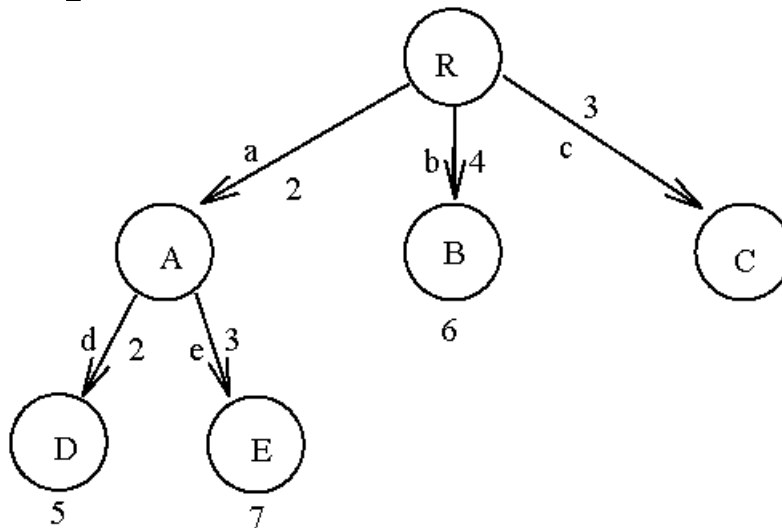
Fig. 6-36 A Graph to Illustrate A\* Algorithm.

## Step 1.



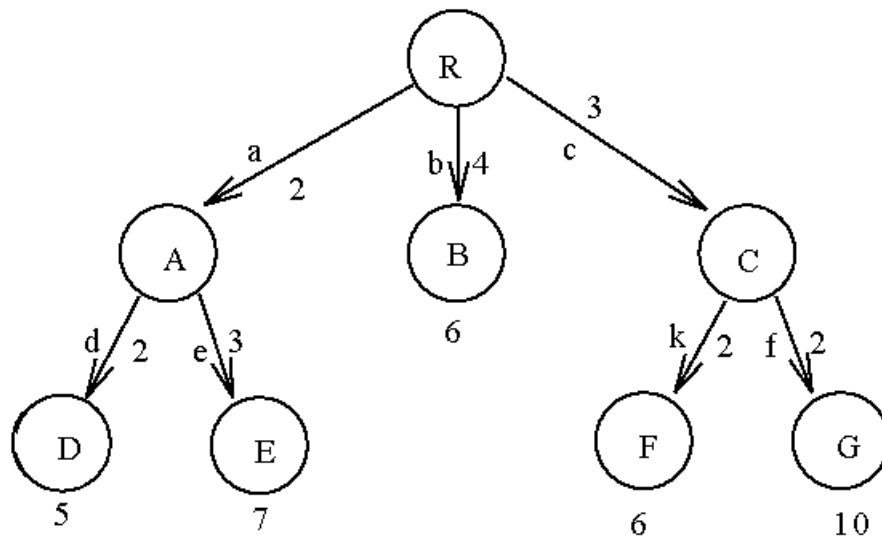
$g(A)=2$	$h(A)=\min\{2,3\}=2$	$f(A)=2+2=4$
$g(B)=4$	$h(B)=\min\{2\}=2$	$f(B)=4+2=6$
$g(C)=3$	$h(C)=\min\{2,2\}=2$	$f(C)=3+2=5$

## Step 2. Expand A



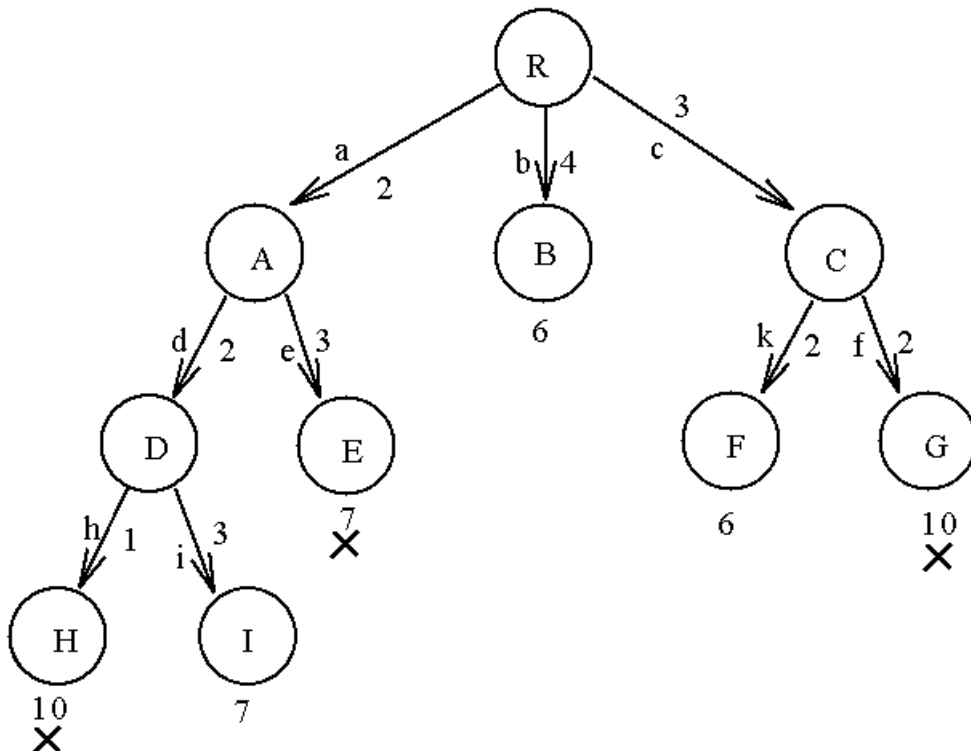
$g(D)=2+2=4$	$h(D)=\min\{3,1\}=1$	$f(D)=4+1=5$
$g(E)=2+3=5$	$h(E)=\min\{2,2\}=2$	$f(E)=5+2=7$

### Step 3. Expand C



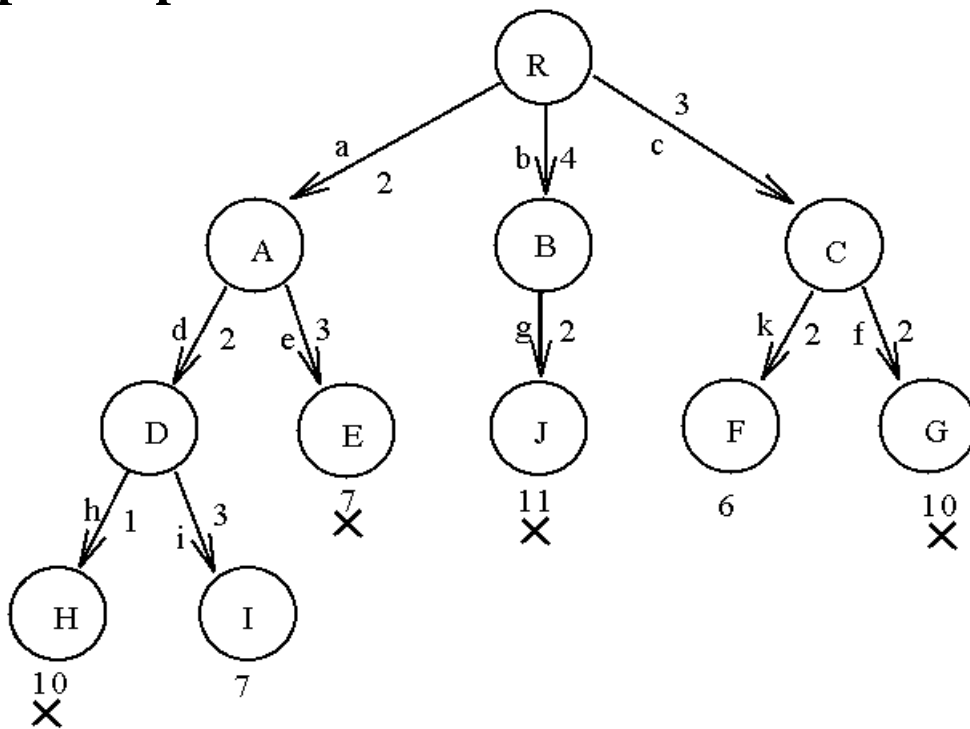
$$\begin{array}{lll}
 g(F)=3+2=5 & h(F)=\min\{3,1\}=1 & f(F)=5+1=6 \\
 g(G)=3+2=5 & h(G)=\min\{5\}=5 & f(G)=5+5=10
 \end{array}$$

### Step 4. Expand D



$$\begin{array}{lll}
 g(H)=2+2+1=5 & h(H)=\min\{5\}=5 & f(H)=5+5=10 \\
 g(I)=2+2+3=7 & h(I)=0 & f(I)=7+0=7
 \end{array}$$

## Step 5. Expand B

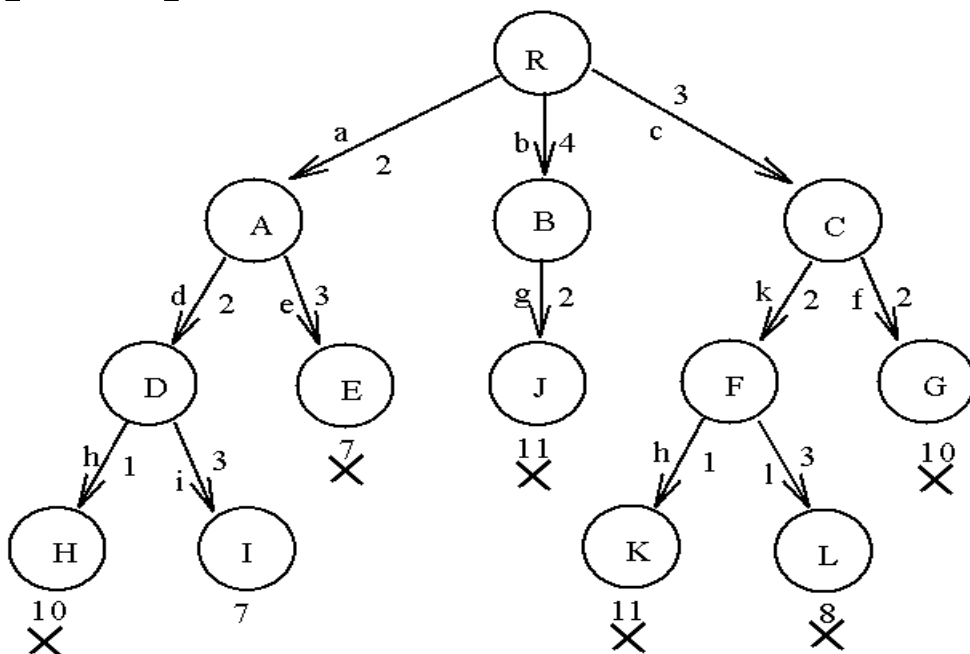


$$g(J)=4+2=6$$

$$h(J)=\min\{5\}=5$$

$$f(J)=6+5=11$$

## Step 6. Expand F



$$g(K)=3+2+1=6$$

$$h(K)=\min\{5\}=5$$

$$f(K)=6+5=11$$

$$g(L)=3+2+3=8$$

$$h(L)=0$$

$$f(L)=8+0=8$$



## ● The channel routing problem

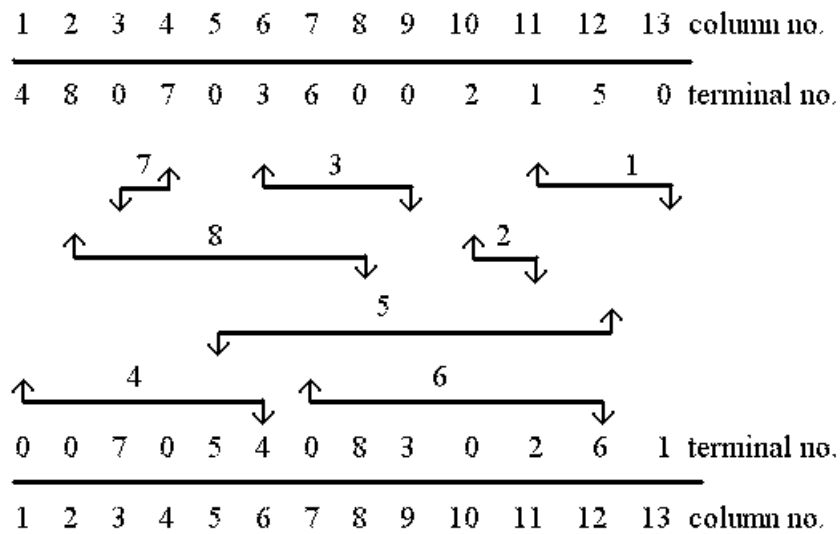
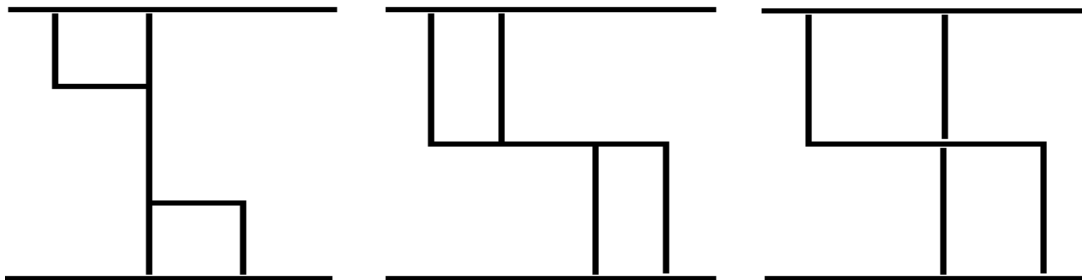


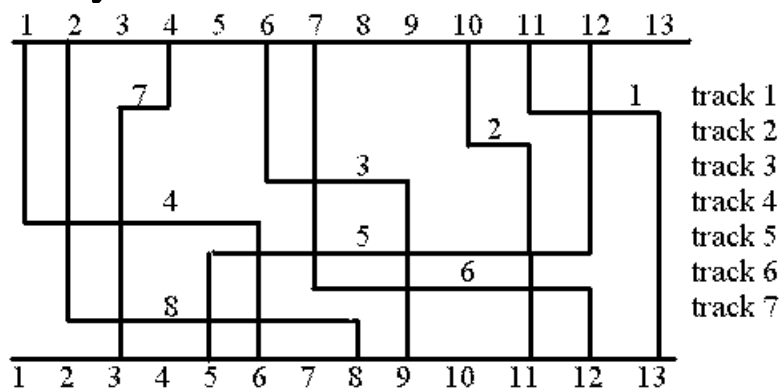
Fig. 6-40 A Channel Specification

illegal wirings:

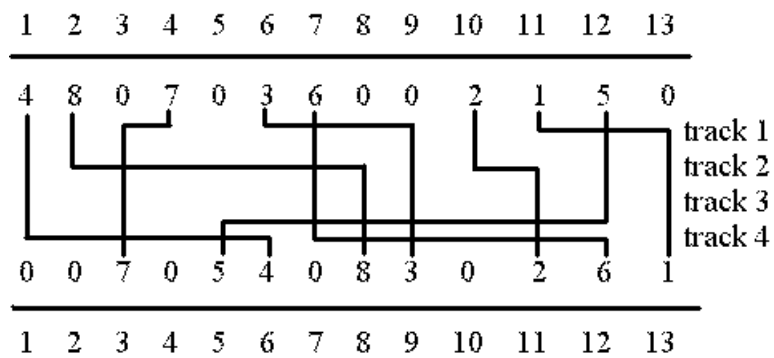


We want to find a layout which minimizes the number of tracks.

a feasible layout:

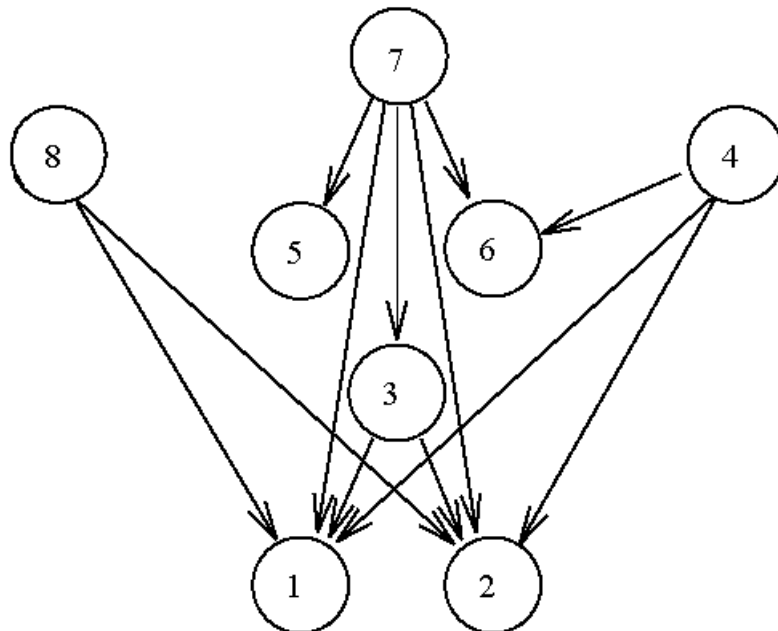


an optimal layout:



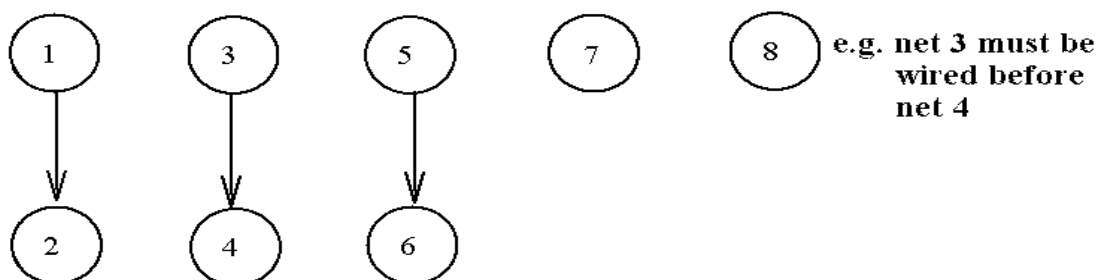
This problem is NP-complete.

horizontal constraint graph(HCG)



e.g. net 8 must be to the left of net 1 and net 2 if they are in the same track.

vertical constraint graph:



max. cliques in HCG: {1,8}, {1,3,7}, {5,7}  
 Each max. clique can be assigned to a track.

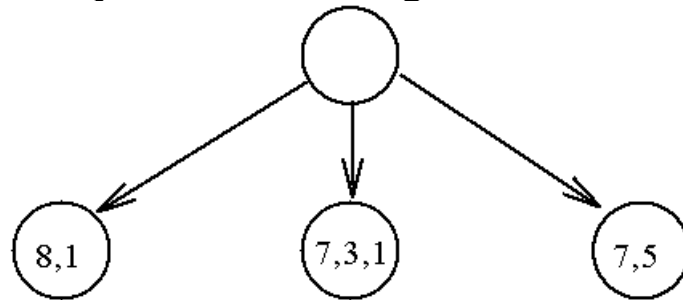


Fig. 6-46 The First Level of a Tree to Solve a Channel Routing Problem

$f(n) = g(n) + h(n)$ ,  
 $g(n)$ : the level of the tree  
 $h(n)$ : maximal local density

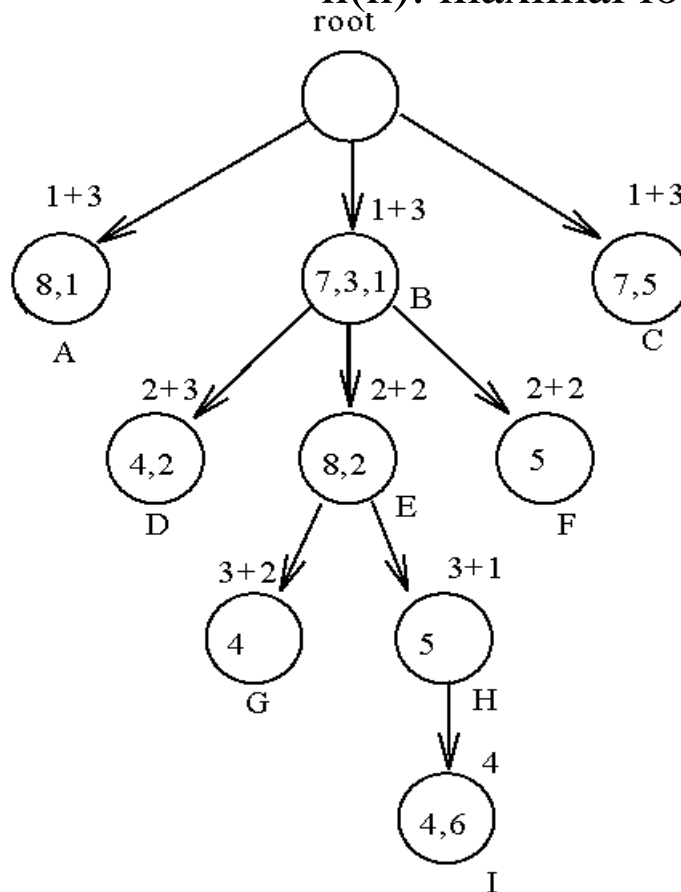


Fig 6-48 A Partial Solution Tree for the Channel Routing Problem by Using A\* Algorithm.