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# Adding zero-knowledge to STARKs (a tail of traps and pitfalls)

U. Haböck and Al Kindi

StarkWare, Polygon Labs

March 24, 2025

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**STARKs** 

...are a poly IOP

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**STARKs** 

...are a poly IOP

permutation arguments, and lookups,

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#### **STARKs**

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- permutation arguments, and lookups,
- decompose overall quotient polynomial

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• FRI low-degree test

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• FRI low-degree test

(and its queries!)

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(and its queries!)

...and use small fields

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## Gaps:

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• halo2 book

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...FRI survey [Hab22] 🤐

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Witness polys  $w_1$ , ...,  $w_m$  satisfying constraint

$$C(w_1(X),\ldots,w_m(X))=q(X)\cdot v_H(X),$$

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Witness polys  $w_1$ , ...,  $w_m$  satisfying constraint

$$C(w_1(X),\ldots,w_m(X))=q(X)\cdot v_H(X),$$

tested at  $z \stackrel{\$}{\leftarrow} F \setminus H$ .

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Witness polys  $w_1, ..., w_m$  satisfying constraint

$$C(w_1(X),\ldots,w_m(X))=q(X)\cdot v_H(X),$$

tested at  $z \stackrel{\$}{\leftarrow} F \setminus H$ .

...reveals

$$w_1(z), \ldots, w_m(z), q(z), v_H(z)$$

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Randomize outside H:

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Randomize outside *H*:

$$\hat{w}_i(X) = w_i(X) + c_i \cdot v_H(X), \quad c_i \stackrel{\$}{\leftarrow} F.$$

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Randomize outside *H*:

$$\hat{w}_i(X) = w_i(X) + c_i \cdot v_H(X), \quad c_i \stackrel{\$}{\leftarrow} F.$$

Still

$$C(\hat{w}_1(X),\ldots,\hat{w}_m(X))=q(X)\cdot v_H(X),$$

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Still

$$C(\hat{w}_1(X),\ldots,\hat{w}_m(X))=q(X)\cdot v_H(X),$$

At 
$$z \stackrel{\$}{\leftarrow} F \setminus H$$

$$\hat{\mathbf{w}}_1(\mathbf{z}), \ldots, \hat{\mathbf{w}}_{\mathbf{m}}(\mathbf{z}), \quad \mathbf{q}(\mathbf{z}), \mathbf{v}_{\mathbf{H}}(\mathbf{z})$$

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Randomize outside H:

$$\hat{w}_i(X) = w_i(X) + c_i \cdot v_H(X), \quad c_i \stackrel{\$}{\leftarrow} F.$$

Still

$$C(\hat{w}_1(X),\ldots,\hat{w}_m(X))=q(X)\cdot v_H(X),$$

At 
$$z \stackrel{\$}{\leftarrow} F \setminus H$$

$$\underbrace{\hat{w}_1(z), \dots, \hat{w}_m(z)}_{\text{uniform over} E^m}, \quad q(z), v_H(z)$$

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$$\hat{w}_i(X) = w_i(X) + c_i \cdot v_H(X), \quad c_i \stackrel{\$}{\leftarrow} F.$$

Still

$$C(\hat{w}_1(X),\ldots,\hat{w}_m(X))=q(X)\cdot v_H(X),$$

At 
$$z \stackrel{\$}{\leftarrow} F \setminus H$$

$$\underbrace{\hat{\mathbf{w}}_{1}(\mathbf{z}), \dots, \hat{\mathbf{w}}_{m}(\mathbf{z})}_{\text{uniform over} \mathbf{F}^{m}}, \quad \mathbf{q}(\mathbf{z}), \mathbf{v}_{H}(\mathbf{z})$$

$$\frac{C(\hspace{1cm})}{v_H(z)} \rightarrow q(z)$$

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At 
$$z \stackrel{\$}{\leftarrow} F \setminus H$$

$$\underbrace{\hat{w}_1(z), \dots, \hat{w}_m(z)}_{\text{uniform over}F^m}, \quad q(z), v_H(z)$$

$$\frac{C(}{v_H(z)} \rightarrow q(z)$$

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# Basics

easy!

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easy!

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easy!

...what can go wrong?

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# Quot. decomp.

$$C(\hat{w}_1(X), \dots, \hat{w}_m(X)) = \underbrace{q(X) \cdot v_H(X)},$$
 split into small polys

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# Quot. decomp.

$$C(\hat{w}_1(X), \dots, \hat{w}_m(X)) = \underbrace{q(X) \cdot v_H(X)},$$
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Quot. decomp.

$$C(\hat{w}_1(X), \dots, \hat{w}_m(X)) = \underbrace{q(X)} \cdot v_H(X),$$
 split into small polys

E.g.  $\deg C = 3$ ,

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Quot. decomp.

$$C(\hat{w}_1(X), \dots, \hat{w}_m(X)) = \underbrace{q(X)} \cdot v_H(X),$$
 split into small polys

E.g. 
$$\deg C = 3$$
,

$$q(X) = q_0(X^2) + X \cdot q_1(X^2)$$

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$$z \stackrel{\$}{\leftarrow} F \setminus H$$

$$C(\hat{\mathbf{w}}_1(\mathbf{z}), \dots, \hat{\mathbf{w}}_m(\mathbf{z})) = \underbrace{q(\mathbf{z})} \cdot v_H(\mathbf{z}),$$
split into small polys

E.g. 
$$\deg C = 3$$
,

$$q(z) = q_0(z^2) + z \cdot q_1(z^2)$$

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$$C(\hat{\mathbf{w}}_1(\mathbf{z}), \dots, \hat{\mathbf{w}}_m(\mathbf{z})) = \underbrace{q(\mathbf{z})} \cdot v_H(\mathbf{z}),$$
split into small polys

E.g. 
$$\deg C = 3$$
,

$$q(z) = q_0(z^2) + z \cdot q_1(z^2)$$

additional info revealed

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FFT decomp. = local map

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## Quot. decomp.

FFT decomp. = local map

$$egin{align} q(z),q(-z) &\longrightarrow q_1(z^2), q_0(z^2) \ & q_0(z^2) = rac{q(z)+q(-z)}{2} \ & q_1(z^2) = rac{q(z)-q(-z)}{2 \cdot z} \ \end{pmatrix}$$

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## Quot. decomp.

FFT decomp. = local map

$$egin{align} q(z),q(-z) &\longrightarrow q_1(z^2), q_0(z^2) \ & q_0(z^2) = rac{q(z)+q(-z)}{2} \ & q_1(z^2) = rac{q(z)-q(-z)}{2 \cdot z} \ \end{pmatrix}$$

 $\rightarrow$  secure  $\hat{w}_i$  against opening at z and -z

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## Quot. decomp.

FFT decomp. = local map

$$egin{align} q(z),q(-z) &\longrightarrow q_1(z^2), q_0(z^2) \ & q_0(z^2) = rac{q(z)+q(-z)}{2} \ & q_1(z^2) = rac{q(z)-q(-z)}{2 \cdot z} \ \end{aligned}$$

 $\rightarrow$  secure  $\hat{w}_i$  against opening at z and -z

$$\hat{w}_i(X) = w_i(X) + (a_i + b_i \cdot X) \cdot v_H(X), \quad a_i, c_i \stackrel{\$}{\leftarrow} F$$

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## Quot. decomp

still easy!

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With FRI:

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With FRI: we also ask  $q_0(x)$  and  $q_1(x)$  at points  $x \in D$ .

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## Quot. decomp

With FRI: we also ask  $q_0(x)$  and  $q_1(x)$  at points  $x \in D$ .

• x might not be of the form  $x = z^2$ 

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## Quot. decomp

With FRI: we also ask  $q_0(x)$  and  $q_1(x)$  at points  $x \in D$ .

- x might not be of the form  $x = z^2$
- need to move to an extension field with  $z = \sqrt{x}$ .

$$q(z), q(-z) \longrightarrow q_0(x), q_1(x)$$

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## Quot. decomp

With FRI: we also ask  $q_0(x)$  and  $q_1(x)$  at points  $x \in D$ .

- x might not be of the form  $x = z^2$
- need to move to an extension field with  $z = \sqrt{x}$ .

$$q(z), q(-z) \longrightarrow q_0(x), q_1(x)$$

need to secure against eval in extension field!

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# Quot. decomp

ok...

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## Quot. decomp

ok...

...maybe we should be cautious

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### Extension fields

• Randomize over base field *F* 

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- Randomize over base field F
- $\hat{w}(X) = w(X) + r(X) \cdot v_H(X)$  with  $r(X) \stackrel{\$}{\leftarrow} F[X]^{< d}$ ,

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- Randomize over base field F
- $\hat{w}(X) = w(X) + r(X) \cdot v_H(X)$  with  $r(X) \stackrel{\$}{\leftarrow} F[X]^{< d}$ ,
- query at z from extension K > F.

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- Randomize over base field F
- $\hat{w}(X) = w(X) + r(X) \cdot v_H(X)$  with  $r(X) \stackrel{\$}{\leftarrow} F[X]^{< d}$ ,
- query at z from extension K > F.
- $\hat{w}(z) \in K$  is a vector of [K : F] values in F.

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- Randomize over base field F
- $\hat{w}(X) = w(X) + r(X) \cdot v_H(X)$  with  $r(X) \stackrel{\$}{\leftarrow} F[X]^{< d}$ ,
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- Randomize over base field F
- $\hat{w}(X) = w(X) + r(X) \cdot v_H(X)$  with  $r(X) \stackrel{\$}{\leftarrow} F[X]^{< d}$ ,
- query at z from extension K > F.
- $\hat{w}(z) \in K$  is a vector of [K : F] values in F.
- $\rightarrow$  for each query [K : F] free coeffs in r(X).

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### Extension fields

prove it! :)

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Chain of domains under  $\pi(x) = x^2$ ,

$$D_0 \xrightarrow{\pi} D_1 \xrightarrow{\pi} \dots \xrightarrow{\pi} D_k$$

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Chain of domains under  $\pi(x) = x^2$ ,

$$D_0 \xrightarrow{\pi} D_1 \xrightarrow{\pi} \dots \xrightarrow{\pi} D_k$$

foldings

$$h_0 \xrightarrow{\pi} h_1 \xrightarrow{\pi} \dots \xrightarrow{\pi} h_k$$

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Chain of domains under  $\pi(x) = x^2$ ,

$$D_0 \xrightarrow{\pi} D_1 \xrightarrow{\pi} \dots \xrightarrow{\pi} D_k$$

foldings

$$h_0 \xrightarrow{\pi} h_1 \xrightarrow{\pi} \dots \xrightarrow{\pi} h_k$$

each folding opened at two points

$$h_{i+1}(x^2) = \frac{h_i(x) + h_i(-x)}{2} + \lambda_i \cdot \frac{h_i(x) - h(-x)}{2 \cdot x}$$

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Chain of domains under  $\pi(x) = x^2$ ,

$$D_0 \xrightarrow{\pi} D_1 \xrightarrow{\pi} \dots \xrightarrow{\pi} D_k$$

foldings

$$h_0 \xrightarrow{\pi} h_1 \xrightarrow{\pi} \dots \xrightarrow{\pi} h_k$$

each folding opened at two points

$$h_{i+1}(x^2) = \frac{h_i(x) + h_i(-x)}{2} + \lambda_i \cdot \frac{h_i(x) - h(-x)}{2 \cdot x}$$

• last folding  $h_k$  at every point.

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Cannot be randomized via

$$\hat{h}(X) = h(X) + r(X) \cdot v_H(X)$$

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Cannot be randomized via

$$\hat{h}(X) = h(X) + r(X) \cdot v_H(X)$$

because entropy is halved!

$$F_{\lambda}(\hat{h})(X) = F_{\lambda}(h)(X) + v_{H^2}(X) \cdot F_{\lambda}(r)(X)$$

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 $\rightarrow$  [Ben+19] overlay mask polynomial in batching step

$$h_0(x) = r(x) + \sum_{i=1}^{m} \lambda^i \cdot w_i(x)$$

with  $r(X) \stackrel{\$}{\leftarrow} F[X]^{< d}$  with  $d > \deg w_i$ .

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Ok...

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Ok... lets recap...

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Recap

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Ok... lets recap...

• Each DEEP query amounts to [K : F] basefield values,

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Ok... lets recap...

- Each DEEP query amounts to [K : F] basefield values,
- Quotient FFT decomposition into d components: each query needs to be secured by d

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## Recap

Ok... lets recap...

- Each DEEP query amounts to [K : F] basefield values,
- Quotient FFT decomposition into d components: each query needs to be secured by d
- use FRI mask poly

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Ok... lets recap...

- Each DEEP query amounts to [K: F] basefield values,
- Quotient FFT decomposition into d components: each query needs to be secured by d
- use FRI mask poly

Degree of freedom

$$n_{DEEP} \cdot [K : F] \cdot d + n_{FRI} \cdot d$$

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Ok... lets recap...

- Each DEEP query amounts to [K: F] basefield values,
- Quotient FFT decomposition into d components: each query needs to be secured by d
- use FRI mask poly

Degree of freedom

$$n_{DEEP} \cdot [K : F] \cdot d + n_{FRI} \cdot d + n_{FRI}$$

queries behind  $q_i(x)$  do not overlap with  $w_i(x)$ !

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...and we did not even talk about permutation arguments



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...and we did not even talk about permutation arguments

For details  $\rightarrow$  https://eprint.iacr.org/2024/1037

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...and we did not even talk about permutation arguments

For details  $\rightarrow$  https://eprint.iacr.org/2024/1037

Thank you!

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