

# Introduction to programming for astronomers (fall 2015)

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# Chapter 1

## Introduction

In astronomy, and outside of it, programming is becoming an increasingly important skill. The general availability of lots of compute power creates new opportunities for astronomy. There are three general areas in astronomy where computers play an increasingly important role. First, the latest generations of observatories produce high data rates that at times need to be searched in real time for interesting signals. Second, there is a move to more open data sharing and public archiving of observational data, which creates opportunities for data mining. Third the availability of massive amounts of computational power allows increasingly detailed astrophysical simulations to be performed.

### 1.1 Basic Linux and Coding for Astronomy & Astrophysics

The aim of the course *Basic Linux and Coding for AA (5214BLCF3Y)* is to get you up and running in Linux with some basic knowledge of its command line interface and teach you the basics of programming. This course will use the Python programming language (more specifically Python 2.7 which, despite Python 3 being available, is still the most prevalent in research). Python was chosen for its relatively straightforward syntax, its free availability, the wide range of libraries in its “eco system”. For the fields physics and astronomy in particular, Python provides a large number of libraries for scientific computing and is displacing packages like IDL<sup>1</sup> and Matlab<sup>2</sup>.

The Linux part of our course will teach you some of the backgrounds and commands that you will need to be productive. More specifically:

- You should attain basic knowledge of the UNIX/Linux file system layout and the use of permissions on UNIX/Linux to control file access.
- You should be able to access the command line (we will be using the BASH shell throughout this course).
- You should be able to manage running processes on a Linux system, to start or stop programs and to find out which processes are running.
- You should be able to navigate the UNIX/Linux file system and find files and programs.
- You should be able to manipulate text files through the command line and search for specific content.

The programming part of this course aims to teach you the basics of programming in general and programming in Python in particular. Because of the limited extent of this course, we will focus our attention on a practical subset of the Python language — and test whether you attain working knowledge of that subset. Specifically:

- You should be able to design and implement a properly structured Python program written in the standard Python style. You will also learn to properly document you program, use proper variable and function naming, how to modularize you program using functions, and how to make your program reusable.

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<sup>1</sup><http://www.exelisvis.com/ProductsServices/IDL.aspx>

<sup>2</sup><http://www.mathworks.com/products/matlab/>

- You should be able to read and write simple text data files.
- You should be able create basic plots using Matplotlib.
- You should be familiar with Numpy and Scipy and be able to use these libraries to implement simple scientific calculations efficiently.

A further more general goal is that you develop problem solving skills using programming tools.

- Learn how to find help when programming. That includes how to read the documentation available with Python and its libraries, and which resources are available on-line.
- Develop the skills to, when presented with new data files, inspect that data, create plots and write small tools to work with them.

## 1.2 Conventions

This reader uses a number of typographical conventions differentiate normal text, programming code snippets, commands you enter and program output. In running text you may come across monospaced examples or names. When these examples are underlined, like this, they are (partial) commands or snippets you may enter. When programs require key presses that are not really commands (not followed by an enter), they are type set as for instance `q` or `control + z` if two keys must be pressed at the same time. Program output and names of programs are typeset like `this`. Examples of shell usage, interactive Python sessions and Python source code are below. First a shell session:

```
Gretchen:~ thijscoenen$ cd coolstuff/
Gretchen:coolstuff thijscoenen$ ls
Whatever      alice.txt      blah.txt      hello          noperm
Gretchen:coolstuff thijscoenen$ head alice.txt
ALICE'S ADVENTURES IN WONDERLAND

Lewis Carroll
```

### CHAPTER I. Down the Rabbit-Hole

Alice was beginning to get very tired of sitting by her sister on the bank, and of having nothing to do: once or twice she had peeped into the book her sister was reading, but it had no pictures or conversations in

```
Gretchen:coolstuff thijscoenen$
```

The second example is an interactive Python session:

```
>>> l = range(10)
>>> print l[:5]
[0, 1, 2, 3, 4]
>>> 1 / 0
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ZeroDivisionError: integer division or modulo by zero
>>>
```

Here the prompt `>>>` is followed on the same line by some Python code and followed by some output from that command on the following line(s). The last example is Python source code:

```
#!/usr/env/bin python
'''
Print a custom greeting.
'''

def say_hello(person):
    print 'Hello {}'.format(person)

if __name__ == '__main__':
    say_hello('Jan Janssen')
```

### 1.3 Contents of this reader

This reader consists of 3 parts. The first part explains the basics of the Linux command line, the basics of Python and some libraries useful for scientific computing. The second part contains a number of assignments that will be used during the tutorials. Finally, the third part (the appendix) contains some extra background information.

Part I

Reading

## Chapter 2

# Linux and its Command Line

In this chapter I will quickly introduce you to the very basics of using Linux through its command line interface. After a little history of Linux and operating systems like it, I will explain how you get access to the command line and go over some of the commands you will need. This chapter can be skipped safely if you are already familiar with the Linux command line. The examples in this chapter use the so called Bourne Again Shell (BASH). This shell comes standard with many flavors of Linux or UNIX.

### 2.1 UNIX and Linux

UNIX is an operating system developed in the early 1970s at AT&T Bell Labs. Unlike some of its contemporaries UNIX was designed as a multi user system from the start, it used a hierarchical file system (see 2.1.2) and consisted of many small programs that could be combined to perform complex operations. UNIX became popular in academia, where UNIX like systems are still used. In the early 1990s Linus Torvalds developed a new UNIX like operating system that he could run on his own PC. This operating system became known as Linux and, while initially developed by volunteers and hobbyists, it was soon picked up by businesses. Nowadays a large fraction of Linux' development is done by very large computer companies like Google, IBM and Samsung. Apple's Mac OS X is another popular UNIX version.

Today Linux is used on computers ranging from mobile phones (e.g. Google's Android) to the largest super computer clusters. It furthermore runs a large fraction of the Internet's infrastructure (e.g. routers and web servers). Because UNIX was developed before graphical displays became generally available, its earliest interfaces were all text driven. Although graphical user interfaces are available for UNIX and Linux nowadays the textual interface persists. This textual user interface is also called a *command line* interface and on UNIX is provided through a so-called *shell*. Several different shells are available, but this chapter will only explain the basics of the Bourne Again Shell (BASH).

#### 2.1.1 Users and Permissions

Because UNIX was designed as a multi user operating system, it has a notion of users. Associated with every user are a level of access to the operating system. The so-called root user has full access to a UNIX system while the other users have more restricted access. On your own computer you will likely be able to log in as root while on shared computers you will only have access to your own files. Do note that even if you can log in as root, it is absolutely a bad idea to do so for day-to-day work. Since the root is all-powerful, a mistake while logged in as root can be much worse than a mistake while logged in as an ordinary user. Furthermore the software that you use may contain flaws or security problems that become a problem when that software (running as root) has full access to the computer. Furthermore each user is also assigned to a group of users that have the same system privileges.

UNIX controls access to files and directories based on ownership and so-called *permissions*. Each file and directory on a UNIX system has an *owner* (one of the users on that system) and permissions. UNIX has three permissions: *read*, *write* and *execute*. Read permission is needed to be able to view the contents of that file. Write permission is needed on directories to create files in that directory. For files write permission is needed to change or erase them. Execute permission is needed on directories to be able to view their contents and on files to execute them.



Permission may also be set at the *group* level or for all *other* users with access to a computer system (see Section 2.2.12).

### 2.1.2 The File System

UNIX uses a hierarchical file system meaning that directories in it can be arbitrarily nested. Each directory can contain files or sub directories — the former directory is also referred to as *parent* while the latter are referred to as *children*. The directory hierarchy is a tree with the directory at the base of that tree referred to as *root*, denoted as */*. When specifying locations, or *paths*, in a file system two different notations may be used. *Absolute paths*, on the one hand, describe the location of a file or directory in absolute terms, i.e. without reference to some other location on the file system. *Relative paths*, on the other hand, specify a location in the file system relative to some other location in the file system (usually the current location of the user). Absolute paths start at the root, i.e. they start with */*, while relative paths do not.

Because a UNIX system may be shared between many users, each user has his or her own directory to store files in. This special directory is called a *home directory*. Home directories can be found in */home* on Linux systems and in */Users* for Mac OS X systems. E.g. my user (thijscoenen) has the home directory */Users/thijscoenen* on a Mac OS X system and */home/thijscoenen* on a Linux system.

The UNIX(-like) operating systems have very specific file system layouts, with some directories assigned to programs or even parts of the operating system itself. Most directories that reside directly in the root directory are in fact system directories and as a normal user you will rarely need access to them. Below, as an example, the contents of my Mac OS X machine's root directory none of which is part of my own files or directories<sup>1</sup>

```
Gretchen:coolstuff thijscoenen$ ls /
Applications    System          cores           mach_kernel     tmp
Developer       Users           dev             net              usr
Library         Volumes        etc             private         var
Network         bin            home           /sbin
```

Gretchen:coolstuff thijscoenen\$

UNIX also allows so-called *hidden files* that are normally invisible. Any filename or directory name that starts with a dot will be hidden. Hidden files are generally used for settings or for those files that a user does not need access to directly (only through some program). E.g. the settings for the BASH shell are kept in a file called *.bash\_profile* or *.bashrc* in your home directory.

## 2.2 The Command Line

### 2.2.1 Accessing the Shell

The command line interface, provided by a shell program, is generally accessible through a terminal emulator program. The name terminal derives from the simple computers (terminals) that were used in the past to access large shared computer systems. When you start a terminal emulator you are generally dropped into your home directory. On Mac OS X you can use the “Terminal” program, while on a Linux systems with KDE you use “Konsole”, on Linux systems with Gnome “GNOME Terminal” and on Linux systems with Unity the program is also called “Terminal”. This chapter will only explain the basics of the BASH shell as it is standard on many Linux systems and on all recent versions of Mac OS X.

### 2.2.2 Navigating the File System

Assuming you have access to the command line, you will be presented with a so called prompt. What the prompt looks like depends on the version of UNIX or Linux you are using and how it was configured. In my case the command prompt looks like<sup>2</sup>:

<sup>1</sup>The example shows some directories that are Mac OS X specific: Applications, Developer, Library, Network, System and Volumes.

<sup>2</sup>What your prompt looks like exactly depends on the settings of your shell and is a matter of taste. My computer shows *computername:directory username\$*, with Gretchen being the name of my computer.

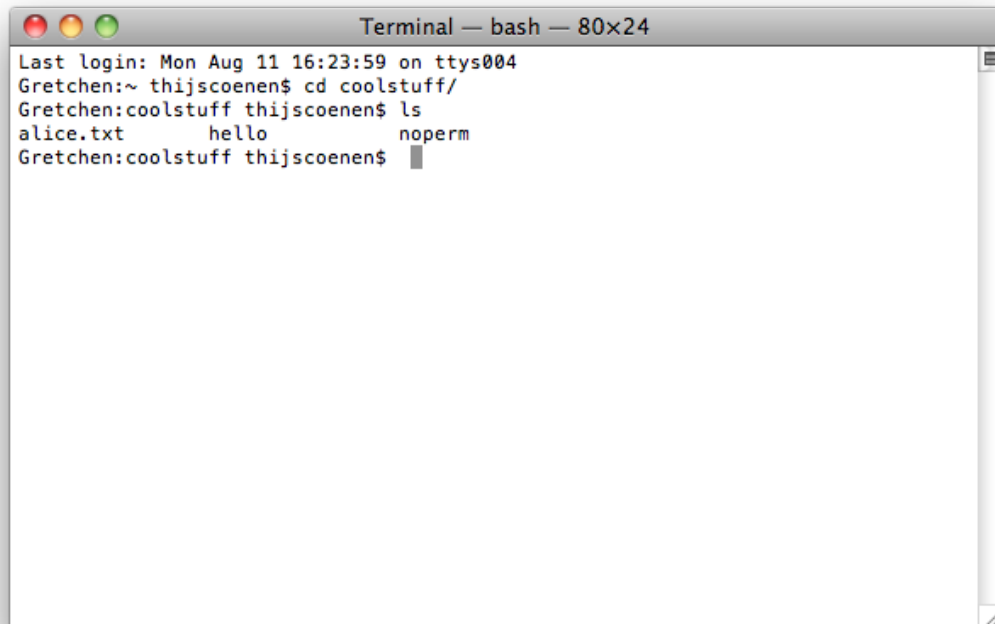


Figure 2.1: A screenshot showing Mac OS X's built-in Terminal program. This program allows you to access the underlying BASH shell on Mac OS X.

```
Gretchen:~ thijscoenen$
```

You can issue your commands by typing them followed by a press of the return key. One of the first things you may ask yourself, is where am I? Usually when you start a new command line session you will be dropped off in your user's home directory. To find out where in the file system you are you use the `pwd` (print working directory) command. The following example shows you what the output looks like for me:

```
Gretchen:~ thijscoenen$ pwd
/Users/thijscoenen
Gretchen:~ thijscoenen$
```

The home directory in these examples has a subdirectory called `coolstuff` that we will access. To change directories to the `coolstuff` directory use the `cd` (change directory) command. In the following example a `pwd` command issued as well to show we have actually changed directory:

```
Gretchen:~ thijscoenen$ cd coolstuff
Gretchen:coolstuff thijscoenen$ pwd
/Users/thijscoenen/coolstuff
Gretchen:coolstuff thijscoenen$
```

The eagle eyed among you may have noticed the `~` (tilde) appearing in the shell session examples. This is shorthand for your home directory, and your shell will expand it to your full home directory. You can use the tilde in commands to shorten them. To return to your home directory use the `cd ~` command.

What does the `coolstuff` directory actually contain? To get a listing of the contents of a directory you can use the `ls` command. This command takes many options but the easiest way of using it is as follows:

```
Gretchen:coolstuff thijscoenen$ ls
alice.txt      hello          noperm
Gretchen:coolstuff thijscoenen$
```

Hidden files on UNIX systems have names that start with a dot. There are two special directories that are always present in every directory, the single dot and double dot directories. The former `.` is shorthand for the current directory and the latter `..` is shorthand for the parent directory. By passing the `-a` option to `ls` you make hidden files visible.

```
Gretchen:coolstuff thijscoenen$ ls -a
.          ..          alice.txt      hello          noperm
Gretchen:coolstuff thijscoenen$ cd ..
Gretchen:~ thijscoenen$ pwd
/Users/thijscoenen
Gretchen:~ thijscoenen$
```

The `ls` command has many options, but several are worthy of mention. First, the `-l` option will list the directory contents with one file or directory per line and also show the size (in bytes) and permissions for each entry. Second, the `-h` option will show the file sizes in human readable format (so not in bytes for large files, see below for an example).

```
Gretchen:coolstuff thijscoenen$ ls -l
total 312
-rw-r--r--  1 thijscoenen  staff  147731 22 jul 23:27 alice.txt
-rwxr--r--  1 thijscoenen  staff     43   7 aug 18:02 hello
-rw-r--r--  1 thijscoenen  staff     50  11 aug 14:35 noperm
Gretchen:coolstuff thijscoenen$ ls -lh
total 312
-rw-r--r--  1 thijscoenen  staff   144K 22 jul 23:27 alice.txt
-rwxr--r--  1 thijscoenen  staff   43B   7 aug 18:02 hello
-rw-r--r--  1 thijscoenen  staff   50B  11 aug 14:35 noperm
Gretchen:coolstuff thijscoenen$
```

Another nice command is `ls -lrt` which will list all files and sorted by the time of last access (oldest to newest). This is handy when you want to find the most recently accessed file in a directory as it will be at the end of the `ls` output.

The `ls` command can furthermore be used to list the content of a specific directory. Using the previous example I can list the contents of the `coolstuff` directory from my home directory using the command `ls coolstuff`. When you want to recursively list the contents of some directory you can use the `ls -R` command. It shows the content of the current directory, the content of the subdirectories and the content of the subdirectories of each sub directory etc. Because this command produces a lot of output I suggest you try it for yourself.

### 2.2.3 Running Programs

The shell can only start programs it can find, and the directories where the shell will look for programs are listed in an environment variable (see Section 2.2.13 for an explanation) called `$PATH`. For programs that are on the `$PATH`, i.e. in one of the directories listed by the `$PATH` variable, you can just type their name and press enter (even if the program is in a different directory than you are). The programs `ls` and `cd` are on the `$PATH` (on my system they actually reside in the `/bin` directory). When you try to run a non-existent program or a program that your shell cannot locate, you will see an error like the following:

```
Gretchen:coolstuff thijscoenen$ ls
alice.txt      hello          noperm
Gretchen:coolstuff thijscoenen$ nosuchthing
-bash: nosuchthing: command not found
Gretchen:coolstuff thijscoenen$
```

This will even happen for programs present in the same directory as you — unless that directory happens to be on the `$PATH`. To remedy this problem, prepend the program name with `./` (this tells your shell to look in the current directory).

```
Gretchen:coolstuff thijscoenen$ hello
-bash: hello: command not found
Gretchen:coolstuff thijscoenen$ ./hello
Hello world!
Gretchen:coolstuff thijscoenen$
```

You may not have sufficient permissions to run just any program on UNIX. To check whether you have permissions to run the program you can just attempt to run it, your shell will report an error if you have insufficient permissions.

```
Gretchen:coolstuff thijscoenen$ ./noperm
-bash: ./noperm: Permission denied
Gretchen:coolstuff thijscoenen$
```

All the previous examples were for programs that run for a short time, if you have long running programs you may want to continue issuing new commands without waiting for some program to finish. You could just start a second (or third etc.) terminal and continue issuing commands in that new terminal. The BASH shell however allows you to start programs in the background so that it is possible to keep issuing commands in that same shell. To start a program in the background just add a space and ampersand `&` to the command. You can move a program from the background to the foreground with the `fg` command:

```
Gretchen:background-demonstration thijscoenen$ ls
runs-1-minute
Gretchen:background-demonstration thijscoenen$ ./runs-1-minute &
[1] 55868
Gretchen:background-demonstration thijscoenen$ pwd
/Users/thijscoenen/background-demonstration
Gretchen:background-demonstration thijscoenen$ fg
./runs-1-minute
One minute passed
Gretchen:background-demonstration thijscoenen$
```

As you can see in the example above a number is shown in the shell after the program was started using the `&`. This number is the process number of the program just started, in Section 2.2.7 these process numbers will be explained. If you started a program in the foreground, but want to move it to the background you first suspend the program and then move it to the background. Suspend the program by pressing `[Control] + [Z]` and then move it to the background by issuing the `bg` command.

```
Gretchen:background-demonstration thijscoenen$ ./runs-1-minute
^Z
[1]+  Stopped                  ./runs-1-minute
Gretchen:background-demonstration thijscoenen$ bg
[1]+ ./runs-1-minute &
Gretchen:background-demonstration thijscoenen$ pwd
/Users/thijscoenen/background-demonstration
Gretchen:background-demonstration thijscoenen$ fg
./runs-1-minute
One minute passed
Gretchen:background-demonstration thijscoenen$
```

In this example you can see that the `[Control] + [Z]` key presses are shown in the shell as `^Z`. It is also possible to terminate a program running in the shell by pressing `[Control] + [C]`. Some programs may not react to an attempt to terminate it this way and you may have to resort to the `kill` command described below in Section 2.2.7.

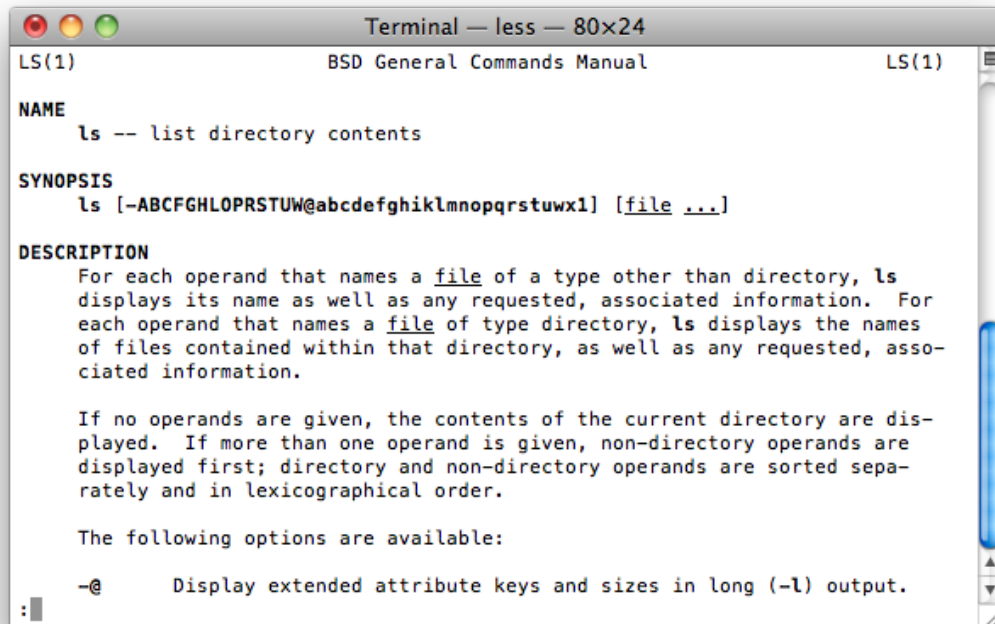


Figure 2.2: The man documentation viewer showing part of the documentation for `ls`.

### 2.2.4 Getting Help

While this reader will get you started using Linux it cannot possibly explain all features of BASH or all programs available by default on a UNIX machine. Fortunately a large amount of documentation is available, some of it accessible directly from the command line and some of it on line. Most command line programs have simple built in help that is usually displayed when no options are specified on the command line or through options as `-?`, `-h` or `--help`.

While many programs provide some simple documentation about themselves UNIX provides a standard command line documentation viewer called `man`. To get help about a specific program just type the command `man program-name`, where you should replace `program-name` with the program that you want help for. Figure 2.2 shows the help for `ls` (accessed by typing `man ls`). The documentation can be navigated using the `up` and `down` arrows, to move up and down by a line, and `space` to jump a full page. The documentation can also be searched by typing a forward slash `/` followed by the word you are looking for and then `return`. When there are several matches for a search you can jump to the next match by pressing `n` (or in reverse with `N`). The viewer can be quit by typing a `q`. More information about navigating in `man` can be accessed by pressing `h` while it is running. The documentation available through `man` are also called “man pages”. Some software will be documented using `info`, try running that like you would `man` in case no `man` pages are available (because the documentation may be written in `info` format).

On line there are several good resources for help with UNIX problems, that can be very helpful because the man pages are quite technical and at times hard to read. The very basic UNIX commands tend to have Wikipedia pages with examples. Stack overflow, a community site about programming problems (see <http://stackoverflow.com>), can be helpful as can be the related Stack Exchange site about UNIX and Linux (see <http://unix.stackexchange.com>). A nice site that can explain some shell commands is Explain Shell (see <http://explainshell.com>), it allows you to cut and paste a command and it then shows you an explanation of it. The Linux Documentation Project (see <http://www.tldp.org>) is also useful. A note about using Google to find documentation on the Internet: not everything you find will be correct so refrain from just copying whatever you find!

### 2.2.5 Copying, Moving and Removing Files

To copy files, or directories use the `cp` command. The general shape of the command is `cp source destination`. If the source is a file and the destination is also a filename the contents of source will be copied over the destination file. If destination does not exist yet source will be copied to a file with the specified destination as name. If destination is a directory the source file will be copied to it. If the source is a directory itself the copying will fail (these examples use `cat` to show file contents):

```
Gretchen:cp-demonstration thijscoenen$ ls -l
total 16
drwxr-xr-x  2 thijscoenen  staff  68 21 aug 22:40 directory_a
-rw-r--r--  1 thijscoenen  staff  16 21 aug 22:40 file_a.txt
-rw-r--r--  1 thijscoenen  staff  16 21 aug 22:40 file_b.txt
Gretchen:cp-demonstration thijscoenen$ cat file_a.txt
This is file A.
Gretchen:cp-demonstration thijscoenen$ cat file_b.txt
This is file B.
Gretchen:cp-demonstration thijscoenen$ cp file_a.txt file_c.txt
Gretchen:cp-demonstration thijscoenen$ ls -l
total 24
drwxr-xr-x  2 thijscoenen  staff  68 21 aug 22:40 directory_a
-rw-r--r--  1 thijscoenen  staff  16 21 aug 22:40 file_a.txt
-rw-r--r--  1 thijscoenen  staff  16 21 aug 22:40 file_b.txt
-rw-r--r--  1 thijscoenen  staff  16 21 aug 22:41 file_c.txt
Gretchen:cp-demonstration thijscoenen$ cat file_c.txt
This is file A.
Gretchen:cp-demonstration thijscoenen$ cp file_a.txt file_b.txt
Gretchen:cp-demonstration thijscoenen$ cat file_b.txt
This is file A.
Gretchen:cp-demonstration thijscoenen$ cp file_a.txt directory_a/
Gretchen:cp-demonstration thijscoenen$ ls directory_a/
file_a.txt
Gretchen:cp-demonstration thijscoenen$ cp directory_a whatever
cp: directory_a is a directory (not copied).
Gretchen:cp-demonstration thijscoenen$
```

To copy a directory and all it contains use the `-r` option of `cp`:

```
Gretchen:cp-demonstration thijscoenen$ cp -r directory_a/ whatever
Gretchen:cp-demonstration thijscoenen$ ls whatever/
file_a.txt
Gretchen:cp-demonstration thijscoenen$
```

To just move a file to a different filename or location use the `mv` command. Simple use of `mv` takes the shape `mv source destination`. If source is a file and destination does not exist yet source will be moved there (after this operation source will cease to exist). If destination does exist, and is a file, it will be overwritten. If destination is a directory the file source will be moved to that directory. If source itself is a directory it will be moved to destination, with similar rules:

```
Gretchen:cp-demonstration thijscoenen$ ls -l
total 24
drwxr-xr-x  3 thijscoenen  staff  102 21 aug 22:42 directory_a
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:40 file_a.txt
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:42 file_b.txt
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:41 file_c.txt
```

```

drwxr-xr-x  3 thijscoenen  staff  102 21 aug 22:45 whatever
Gretchen:cp-demonstration thijscoenen$ mv file_a.txt file_b.txt
Gretchen:cp-demonstration thijscoenen$ ls -l
total 16
drwxr-xr-x  3 thijscoenen  staff  102 21 aug 22:42 directory_a
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:40 file_b.txt
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:41 file_c.txt
drwxr-xr-x  3 thijscoenen  staff  102 21 aug 22:45 whatever
Gretchen:cp-demonstration thijscoenen$ mv whatever directory_a/
Gretchen:cp-demonstration thijscoenen$ ls -l
total 16
drwxr-xr-x  4 thijscoenen  staff  136 21 aug 22:57 directory_a
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:40 file_b.txt
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:41 file_c.txt
Gretchen:cp-demonstration thijscoenen$ mv directory_a/ file_b.txt
mv: rename directory_a/ to file_b.txt: Not a directory
Gretchen:cp-demonstration thijscoenen$

```

As you can see trying to move a directory to an existing file will fail.

Removing files is done with the `rm` command. Generally you just use the `rm somefile` command. If `somefile` is a directory this will fail because only *empty* directories are removed with `rmdir`.

```

Gretchen:cp-demonstration thijscoenen$ ls -l
total 16
drwxr-xr-x  4 thijscoenen  staff  136 21 aug 22:57 directory_a
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:40 file_b.txt
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:41 file_c.txt
Gretchen:cp-demonstration thijscoenen$ rm file_b.txt
Gretchen:cp-demonstration thijscoenen$ ls -l
total 8
drwxr-xr-x  4 thijscoenen  staff  136 21 aug 22:57 directory_a
-rw-r--r--  1 thijscoenen  staff   16 21 aug 22:41 file_c.txt
Gretchen:cp-demonstration thijscoenen$ rm directory_a/
rm: directory_a/: is a directory
Gretchen:cp-demonstration thijscoenen$ rmdir directory_a/
rmdir: directory_a/: Directory not empty
Gretchen:cp-demonstration thijscoenen$

```

If you want to remove a directory and all its content (whether files or sub directories) you can use the `rm -rf *`. Note though that this is a very dangerous command, if not the “most dangerous command ever”<sup>3</sup>, as it will recursively (the `-r` option) remove everything (matched by the `*` “glob pattern”) and skip any questions (the `-f` option). For more information on glob patterns see Section 2.2.9. Note that `rm -rf *` cannot be undone!

### 2.2.6 Creating Directories

Because in the previous section it was shown how to remove directories you may ask yourself how you create them in the first place. UNIX has the `mkdir` command for that. You can create a directory with the command `mkdir somedirectory` where `somedirectory` is the name of the directory to be created. It is also possible to create several directories in one go by listing their names after `mkdir` separated with spaces. If you have to create a directory inside of several that is possible using the command `mkdir -p` followed the full path you want to create.

```

Gretchen:mkdir-examples thijscoenen$ ls
Gretchen:mkdir-examples thijscoenen$ mkdir dir1 dir2 dir3

```

---

<sup>3</sup>According to one of my proofreading colleagues.

```

Processes: 62 total, 2 running, 60 sleeping, 267 threads          17:29:29
Load Avg: 0.13, 0.20, 0.17  CPU usage: 4.24% user, 9.43% sys, 86.32% idle
SharedLibs: 5196K resident, 5648K data, 0B linkedit.
MemRegions: 13931 total, 632M resident, 13M private, 228M shared.
PhysMem: 685M wired, 947M active, 311M inactive, 1942M used, 105M free.
VM: 156G vsize, 1039M framework vsize, 17259915(0) pageins, 6093270(0) pageouts.
Networks: packets: 64936199/83G in, 37656757/3714M out.
Disks: 28389042/396G read, 17131423/460G written.

  PID  COMMAND      %CPU  TIME    #TH   #WQ   #PORT  #MREG  RPRVT  RSHRD  RSIZE
58153  screencaptur  0.4   00:00.03  3     2    42    81    232K-  9000K+ 2812K
58151  top           5.8   00:00.33  1/1    0    25    33    984K+  264K   1564K+
58148  bash          0.0   00:00.00  1     0    17    24    392K   744K   1064K
58147  login         0.0   00:00.01  1     0    22    53    496K   312K   1596K
57871  vim           0.0   00:25.76  1     0    17    36    1692K  244K   3028K
57863  bash          0.0   00:00.01  1     0    17    24    408K   744K   1108K
57862  login         0.0   00:00.01  1     0    22    53    488K   312K   1596K
57789  bash          0.0   00:00.03  1     0    17    24    416K   744K   1116K
57788  login         0.0   00:00.01  1     0    22    53    488K   312K   1596K
57747  bash          0.0   00:00.04  1     0    17    24    424K   744K   1116K
57746  login         0.0   00:00.01  1     0    22    53    488K   312K   1596K
57729  bash          0.0   00:00.01  1     0    17    24    396K   744K   1064K
57728  login         0.0   00:00.01  1     0    22    53    488K   312K   1596K
57706  Preview       0.0   00:13.14  2     1   119   277    16M+   27M-   35M

```

Figure 2.3: This screenshot shows top running on a Mac OS X system.

```

Gretchen:mkdir-examples thijscoenen$ ls
dir1      dir2      dir3
Gretchen:mkdir-examples thijscoenen$ ls -l
total 0
drwxr-xr-x  2 thijscoenen  staff  68 21 aug 23:28 dir1
drwxr-xr-x  2 thijscoenen  staff  68 21 aug 23:28 dir2
drwxr-xr-x  2 thijscoenen  staff  68 21 aug 23:28 dir3
Gretchen:mkdir-examples thijscoenen$ mkdir -p container/subdir/subdir/whatever
Gretchen:mkdir-examples thijscoenen$ cd container/subdir/subdir/whatever
Gretchen:whatever thijscoenen$ pwd
/Users/thijscoenen/mkdir-examples/container/subdir/subdir/whatever
Gretchen:whatever thijscoenen$

```

### 2.2.7 Listing and Killing Processes

On UNIX each running program consists of one or sometimes more processes that are identified by *process numbers* often abbreviated to PID. To get an idea of the running programs use the `top` command. This will show you a continually updating list of running processes, sortable by for instance memory use or processor use. When your computer is stuck it is quite often possible to find the offending program by looking through the most active processes in `top`. As with `man` you can exit `top` by pressing `q`. Figure 2.3 shows a screenshot of `top`. You can get a list of the currently running processes using the `ps` command, which unlike `top` will not continually update - it is like an `ls` for processes. Run without options `ps` only shows the processes running in the current shell while you can get a list of all processes running in all shells for a certain user using `ps -u username` (with `username` replaced with relevant username). For example, while logged in on a LOFAR<sup>4</sup> compute node as `coenen`:

<sup>4</sup>The Low Frequency Array, a large radio telescope array operating at low radio frequencies that has its core in the Netherlands.



```
coenen@locus048:~$ ps
  PID TTY          TIME CMD
 14099 pts/2    00:00:00 bash
 15518 pts/2    00:00:00 ps
coenen@locus048:~$ ps -u coenen
  PID TTY          TIME CMD
 14098 ?          00:00:00 sshd
 14099 pts/2    00:00:00 bash
 15229 ?          00:00:00 sshd
 15230 pts/3    00:00:00 bash
 15266 pts/3    00:00:00 top
 15522 pts/2    00:00:00 ps
coenen@locus048:~$
```

Another useful option to `ps` is `-A` which will select all processes, also not necessarily running in a terminal. The process numbers can be used to terminate unresponsive programs or those that are otherwise stuck. The `kill` command allows you to terminate processes by process number:

```
Gretchen:background-demonstration thijscoenen$ ./runs-1-minute &
[1] 59380
Gretchen:background-demonstration thijscoenen$ kill 59380
Gretchen:background-demonstration thijscoenen$ fg
-bash: fg: job has terminated
[1]+  Terminated                  ./runs-1-minute
Gretchen:background-demonstration thijscoenen$
```

Some processes may still not terminate, you can then send them a stronger message using the `-9` (KILL) signal. E.g. to kill process 101 using the KILL signal: `kill -9 101`.

### 2.2.8 Dealing with Text Files

You will inevitably have to work with text files, because, among other reasons, programming source code are text files, many of UNIX's settings are in small text files in your home directory and quite a few scientific data sets are encoded in text files. Luckily UNIX has many tools to work with text files from the command line. The first command is `cat` which will show the contents of a text file in your terminal. Since `cat` does not paginate, this will likely cause your terminal to scroll. In the following example I look at the contents of the `hello` file (which is a Python script and therefore text):

```
Gretchen:coolstuff thijscoenen$ ls
Whatever      alice.txt      hello          noperm
Gretchen:coolstuff thijscoenen$ cat hello
#!/usr/bin/env python
print "Hello world!"
Gretchen:coolstuff thijscoenen$
```

If the file you are working with is large, then using `cat` to look at its content is inconvenient. By using the `more` or `less` commands you will be presented with a so-called pager that allows you to view and move around in the text file without scrolling. The `less` command allows you to move in both directions through the file using the up and down arrow keys. You can search for words by pressing a forward slash `/` followed by the word you are looking for and then `[enter]`. If there are several matches you can move between them using `[n]` (or `[N]` for the reverse direction). Help is accessible by pressing `[h]` and you can quit `less` by pressing `[q]`. This is very similar to the `man` works because it actually uses `less` to show the actual `man` page (visible in the title bar of the window in Figure 2.2).

If you are only interested in a quick peek at the contents of some text file, you can use the `head` or `tail` commands to, respectively, look at the first few lines or last few lines of a text file. Similarly if you want to find out the number of words or lines in a file the `wc` (word count) command is useful, without options it shows the number of lines, the number of words and the number of bytes in the file.

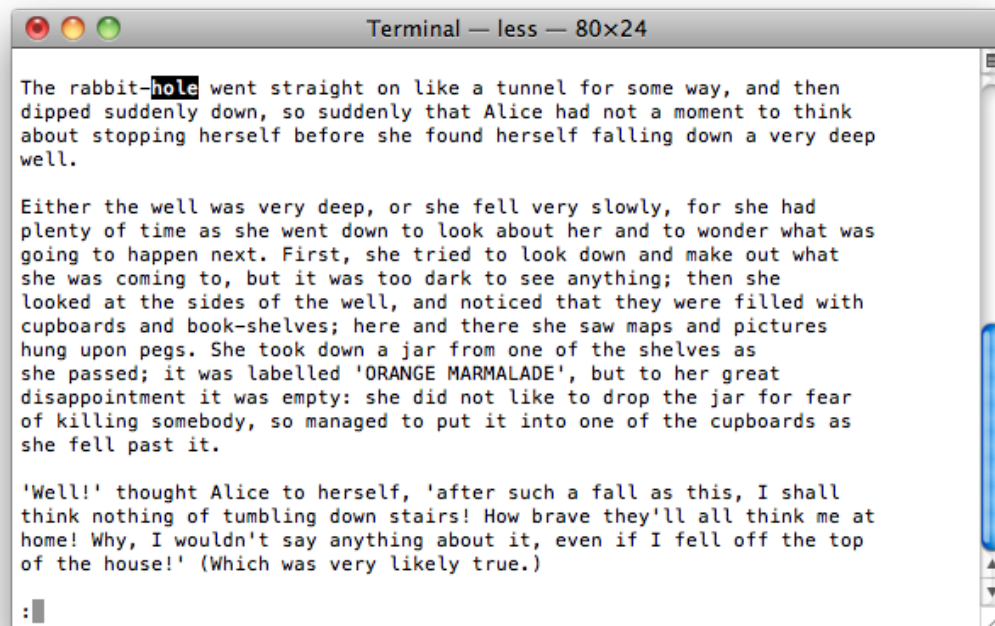


Figure 2.4: The less program showing a text file, you can move through the file using the arrow keys.

```
Gretchen:coolstuff thijscoenen$ head alice.txt
ALICE'S ADVENTURES IN WONDERLAND

Lewis Carroll
```

#### CHAPTER I. Down the Rabbit-Hole

```
Alice was beginning to get very tired of sitting by her sister on the
bank, and of having nothing to do: once or twice she had peeped into the
book her sister was reading, but it had no pictures or conversations in
Gretchen:coolstuff thijscoenen$
```

```
Gretchen:coolstuff thijscoenen$ wc alice.txt
 3335   26444  147731 alice.txt
Gretchen:coolstuff thijscoenen$ wc -l alice.txt
 3335 alice.txt
Gretchen:coolstuff thijscoenen$
```

The last example (the `wc -l alice.txt` command) shows you how to count only the number of lines in a file. A oft used file format to encode tabular data is a so called Comma Separated Values (CSV) file (white space or tab separation are also used frequently). The lines in these files generally correspond to one data point, you can thus get a quick feel for the size of the data set by using `wc -l` on that file.

So far we have only treated looking through files, not editing or creating them. Many different text editors are available on UNIX, spanning the gamut from editors that run in your terminal and use arcane commands to more modern text editors with a graphical user interface. Note though that in this context modern does not always mean more efficient! The choice of editor is personal and there is not enough space in this reader to explain how to use

them all. Instead, I have added a chapter to the appendix that lists some good text editors (see Appendix ??). For now I will just mention that you will want a text editor that is focused on programmers as they allow you to work more efficiently.

To search a file for a certain piece of text you can use the `grep` command. To find out on which lines the Cheshire cat appears in the text we use `grep` with the `-n` option to print line numbers and `-i` option to perform case insensitive matches:

```
Gretchen:coolstuff thijscoenen$ grep -ni "Cheshire" alice.txt
1313:'It's a Cheshire cat,' said the Duchess, 'and that's why. Pig!'
1319:'I didn't know that Cheshire cats always grinned; in fact, I didn't know
1433:was a little startled by seeing the Cheshire Cat sitting on a bough of a
1440:'Cheshire Puss,' she began, rather timidly, as she did not at all know
2068:'It's the Cheshire Cat: now I shall have somebody to talk to.'
2100:'It's a friend of mine--a Cheshire Cat,' said Alice: 'allow me to
2144:When she got back to the Cheshire Cat, she was surprised to find quite a
```

If you want more context to each match use the `-B` option to specify how many lines *before* a match should also be printed and `-A` for the number of lines *after* a match (output truncated after the first two matches to save space):

```
Gretchen:coolstuff thijscoenen$ grep -B 1 -A 2 -i "Cheshire" alice.txt
```

```
'It's a Cheshire cat,' said the Duchess, 'and that's why. Pig!'
```

```
She said the last word with such sudden violence that Alice quite
--
```

```
'I didn't know that Cheshire cats always grinned; in fact, I didn't know
that cats COULD grin.'
--
```

Each match is separated by a line with two dashes `--`. If you are only interested in knowing whether a file contains a match you can use the `-l` option to print the filename in stead of the matching text:

```
Gretchen:coolstuff thijscoenen$ grep -li "Cheshire" *.txt
alice.txt
Gretchen:coolstuff thijscoenen$
```

`grep` is a very powerful tool that can also match patterns, it is useful to read through the man pages to see what more it can do for you.

### 2.2.9 Glob Patterns

The BASH shell supports filename expansion, that is it will expand special wildcard symbols and match them to filenames. This is also called *globbing*, and the pattern that is matched *glob pattern*. I will first explain the parts that make up a glob pattern, then show you how you use them with BASH.

\* Matches any text in filename.

? Matches zero or one unspecified character.

[ABC] Allow one character from a specified set of characters to be matched, in this case the characters A, B and C make up that set. Will match a single character only, not ABC.

[0-9] Will match a number in the range 0 through 9.

[a-z] Match any lower case character in the range a through z.

[A-Z] Match any upper case character in the range A through Z.

[A-Za-z] Match any upper case character in the range A through Z or any lower case character in the range a through z.

\ Escape character, use this if you should match one of the characters that have a special meaning in a glob pattern. E.g. if you want to match the \*, your glob pattern should include \\* so that the \* itself matched and not expanded.

! This will negate a pattern. E.g. if you want to match anything not a lower case character use ![a-z].

Glob pattern can then be used in combination with other programs, what follows is a simple example that shows how `ls` can be combined with some glob patterns to look for some specific files.

```
Gretchen:glob-demonstration thijscoenen$ ls
101.txt          604.txt          ABC.txt
123.txt          709.dat          ABC123.txt
Gretchen:glob-demonstration thijscoenen$ ls [0-9]*
101.txt          123.txt          604.txt          709.dat
Gretchen:glob-demonstration thijscoenen$ ls [!0-9]*
ABC.txt          ABC123.txt
Gretchen:glob-demonstration thijscoenen$ ls [5-9]*
604.txt          709.dat
Gretchen:glob-demonstration thijscoenen$ ls *dat
709.dat
Gretchen:glob-demonstration thijscoenen$ ls 1[2468]*
123.txt
Gretchen:glob-demonstration thijscoenen$
```

### 2.2.10 Finding Files

A common problem is, how do I find some specific file? The naive approach, use `ls` and move around the file system until you find what you were looking for, is time consuming and . Beyond the glob patterns that your shell provides (see Section 2.2.9) the UNIX `find` command is useful. With `find` you can look through a directory hierarchy for filenames matching a certain pattern or certain properties. You can then run some commands for each file that was found. At its simplest you can use `find` to look for a certain file called `needle.txt` in this example:

```
Gretchen:find thijscoenen$ find . -name "needle.txt"
./haystack/hay/hay/hay/needle.txt
./haystack/straw/needle.txt
Gretchen:find thijscoenen$
```

As you can see two files were found, but what if we know that the file we need contains the word `gold`? It turns out you can run other programs on each found file using the `-exec` option of `find`. In the following example `grep` is used to check whether a match contains `gold`:

```
Gretchen:find thijscoenen$ find . -name "needle.txt" -exec grep -li "gold" {} \;
./haystack/straw/needle.txt
Gretchen:find thijscoenen$
```

It is now clear that the file we needed was `./haystack/straw/needle.txt`. In the commands that follow the `-exec` each occurrence of `{}` is replaced with the matching file name and each command must be followed by `\;`. The `grep` command was explained in Section 2.2.8.

### 2.2.11 gzip and tar

To conserve disk space it is sometimes a good idea to pack files more densely, there are several tools to do so. If you have used Windows so called zip files may be familiar. Although utilities to handle zip files exist (zip and unzip) on UNIX, you are more likely to come across .gz or .bz2 files. Below I give examples of how to deflate and reinflate a file using gzip, note that I use a so-called pipe | and grep to only show filenames containing `alice`. Pipes are a way of sending output from one program to another, which are explained in Section 2.2.14. The following example shows that the Alice in Wonderland story can be packed to only about 36 % of its original size:

```
Gretchen:coolstuff thijscoenen$ ls -l | grep alice
-rw-r--r-- 1 thijscoenen staff 147731 22 jul 23:27 alice.txt
Gretchen:coolstuff thijscoenen$ gzip alice.txt
Gretchen:coolstuff thijscoenen$ ls -l | grep alice
-rw-r--r-- 1 thijscoenen staff 53596 22 jul 23:27 alice.txt.gz
Gretchen:coolstuff thijscoenen$ gunzip alice.txt.gz
Gretchen:coolstuff thijscoenen$ ls -l | grep alice
-rw-r--r-- 1 thijscoenen staff 147731 22 jul 23:27 alice.txt
Gretchen:coolstuff thijscoenen$
```

The `bzip2` and `bunzip2` programs work similarly and may achieve a slightly higher compression than `gzip`, but the latter is used more often.

When you download software or data you will come across so-called tarballs, which are files that can encapsulate many separate files or even directory full hierarchies. The name tarball derives from the `tar` utility that can create or unpack them. The name `tar` itself derives from tape archive (archiving to tape was and still is cheaper than archiving to disk). The example that follows shows how you create (`-c` option) a tarball that is compressed using `gzip` (`-z` option) how you can list its contents (`-t` option) and how you can extract it again (`-x` option):

```
Gretchen:coolstuff thijscoenen$ ls
Whatever      alice.txt      hello          noperm
Gretchen:coolstuff thijscoenen$ tar -czf cool.tar.gz *
Gretchen:coolstuff thijscoenen$ tar -tzf cool.tar.gz
Whatever
alice.txt
hello
noperm
Gretchen:coolstuff thijscoenen$ rm Whatever alice.txt noperm hello
Gretchen:coolstuff thijscoenen$ ls
cool.tar.gz
Gretchen:coolstuff thijscoenen$ tar -xzf cool.tar.gz
Gretchen:coolstuff thijscoenen$ ls
Whatever      cool.tar.gz      noperm
alice.txt      hello
Gretchen:coolstuff thijscoenen$ rm cool.tar.gz
Gretchen:coolstuff thijscoenen$
```

Note that some tarballs are compressed using `bzip2` in which case you can use the `-j` option in stead of `-z`.

### 2.2.12 Permissions Continued

In Section 2.1.1 users and their permissions were introduced. UNIX has several commands that let you manage file permissions and ownership. UNIX also allows a user to temporarily acquire more privileges. We will start by demonstrating how file permissions can be changed. The owner of a file and a user with root privileges can change its permissions. The first step is to check the permissions, run for example `ls -l` to get a file listing that shows the permissions in the first column of the output.

```
Gretchen:chmod-demo thijscoenen$ ls -l
total 16
-rw-r--r-- 1 thijscoenen staff 68 27 aug 22:02 demo.py
----r--r-- 1 thijscoenen staff 15 27 aug 22:00 nopriv.txt
drwxr-xr-x 2 thijscoenen staff 68 27 aug 22:12 subdir
```

The first column can be further split, taking `demo.py` as an example we get the file type `-`, owner permissions `rw-`, group permissions `r--` and finally the permissions for everyone else `r--`. The file type can be: `-` for a file, `d` for a directory and `l` for a “symbolic link”. In our example we are dealing with a normal file. The user permissions are listed in the order read, write and execute using the abbreviations `rw-`. If a permission is not granted the permission will be replaced with a dash. In our example the owner of the file has read and write permissions but no execute permissions: `rw-`. The group permissions in the example are only read permissions: `r--`. All other users are also only able to read the file: `r--`. The second column in the output of `ls -l` is not interesting for our current purposes, but the third is: it shows the owner of the file. In this case that user is `thijscoenen`. The following column shows the group that the file is assigned to, in this case the group `staff`. The next column shows the file size in bytes, followed by a column that shows the last time the file was changed. The last column shows the actual file or directory name. To change the permissions of the user, group and all other users the file owner (or a sufficiently privileged user like root) can use `chmod`. This command takes as options a string like `ugo+rw-` that specifies which user should gain or lose which permissions and finally as arguments the files for which the permissions should be changed. The options should be read as: the owner, the group and all others (`ugo`), gain (+) the permissions to read, write and execute (`rw-`). If we want to make the `demo.py` file executable for its owner, the following command will do the trick:

```
Gretchen:chmod-demo thijscoenen$ chmod u+x demo.py
Gretchen:chmod-demo thijscoenen$ ls -l
total 16
-rwxr--r-- 1 thijscoenen staff 82 27 aug 22:29 demo.py
----r--r-- 1 thijscoenen staff 15 27 aug 22:00 nopriv.txt
drwxr-xr-x 2 thijscoenen staff 68 27 aug 22:12 subdir
Gretchen:chmod-demo thijscoenen$
```

If we want to disallow other users to see what is in the `subdir` directory we can remove (-) the execute permissions on that directory for its group and all other users:

```
Gretchen:chmod-demo thijscoenen$ chmod go-x subdir/
Gretchen:chmod-demo thijscoenen$ ls -l
total 16
-rwxr--r-- 1 thijscoenen staff 82 27 aug 22:29 demo.py
----r--r-- 1 thijscoenen staff 15 27 aug 22:00 nopriv.txt
drwxr--r-- 2 thijscoenen staff 68 27 aug 22:12 subdir
Gretchen:chmod-demo thijscoenen$
```

You can also specify several files by just typing their names or by using the appropriate glob patterns. To recursively change the permissions of some directory hierarchy use the `-R` option, for example to change the permissions on `subdir` and everything it contains to be completely open to everyone: `chmod -R ugo=rwx subdir`. In this last command we use `=` to just set the permissions. Old examples explaining `chmod` may show numerical permissions, but they are not necessary to manage the permissions and will not be treated here.

To change the owner of a file use the `chown` command. Using requires that you are either the owner or are otherwise sufficiently privileged. To change the owner of `demo.py` from the examples to root use the following command:

```
Gretchen:chmod-demo thijscoenen$ chown root:staff demo.py
chown: demo.py: Operation not permitted
Gretchen:chmod-demo thijscoenen$ sudo chown root:staff demo.py
Password:
Gretchen:chmod-demo thijscoenen$ ls -l
total 16
```

```
-rwxr--r--  1 root          staff  82 27 aug 22:29 demo.py
----r--r--  1 thijscoenen  staff  15 27 aug 22:00 nopriv.txt
drwxrwxrwx  2 thijscoenen  staff  68 27 aug 22:12 subdir
```

As you can see there is a small hitch, the user thijscoenen cannot change the ownership to root and group staff, because that user is not privileged enough. The second time `chmod` is preceded with `sudo`, which means execute the command that follows as a super user (root on most systems). To prove that you in fact are allowed to acquire root privileges you are asked to enter the password that belongs to that user. After acquiring the privileges chown to root becomes possible. While this example is a bit silly `sudo` is very important when installing software. A lot of software is installed system wide and that means somewhere in the system directories of UNIX. Those directories are not writable for normal user, a problem that is solved with `sudo`. Note: if you are using a university computer you will most likely not have the ability to use `sudo`, because most system administrators will not give out root privileges to just anyone — on your own Mac or Linux machine that should be no problem.

### 2.2.13 Environment Variables

Some of the settings of your BASH shell are put in so-called *environment variables*. A *variable* is a name (or an identifier) associated with some stored value that can be changed. We already came across an environment variable called `$PATH`, its value is a list of directories that are searched for executables. The BASH `echo $PATH` command will echo (show) the value of the variable `$PATH`, the `$` is needed to signify that the following name is a variable (the example below shows what goes wrong without the `$`):

```
Gretchen:~ thijscoenen$ echo $PATH
/Library/Frameworks/Python.framework/Versions/2.7/bin:/usr/bin:/bin:/usr/sbin:/sbin:/usr/local/bin:
/usr/texbin:/usr/X11/bin
Gretchen:~ thijscoenen$ echo PATH
PATH
Gretchen:~ thijscoenen$
```

As you can see `$PATH` contains multiple directories separated with a colon `:`. When you change `$PATH` you should know that the directories are searched for an executable program in the same order as they are specified in `$PATH`. To set a variable to a certain value use the export command, e.g. to set `$GREETING` to Good morning use the following command:

```
Gretchen:~ thijscoenen$ export GREETING="Good morning"
Gretchen:~ thijscoenen$ echo $GREETING
Good morning
Gretchen:~ thijscoenen$
```

This variable will be defined as long as your BASH session exists. If you are running several BASH sessions, the variable will only be defined for that one session where it was defined. To define a variable for all future BASH session you should edit the settings file for your BASH sessions. This file is probably called `.bashrc` (Linux) or `.bash_profile` (Mac OS X) in your home directory. Be careful editing these files, getting it wrong may make your shell inoperable.

If you want to know which environment variables are defined in your shell and what their values are you can use env. Running this command will result in a list of environment variables and their values. Because this is a long list I suggest you run this command on your own computer.

### 2.2.14 UNIX Pipes

So far we have treated a number of simple commands and utilities available on the command line. The power of the UNIX command line partially derives from the ability to connect different commands. The output of one program can be sent to another program using a so-called pipe. A pipe is signified with the `|` character. E.g. to use `grep` to look for a file called `.bash_profile` in my home directory:

```
Gretchen:~ thijscoenen$ ls -la | grep ".bash_profile"
-rw-r--r--  1 thijscoenen  staff      573 22 jul 23:38 .bash_profile
Gretchen:~ thijscoenen$
```

This may be a very simple example but the principle generalizes easily. The next example shows how you can search through a directory with some type of pulsar data files, looking for the dispersion measure, sorting by dispersion measure and then looking at only the first 10 lines:

```
Gretchen:00000143 thijscoenen$ ls *.inf | wc -l      313
Gretchen:00000143 thijscoenen$ cat *.inf | grep "Dispe" | sort -n -k 6 | head -n 10
Dispersion measure (cm-3 pc)      = 2.6
Dispersion measure (cm-3 pc)      = 2.65
Dispersion measure (cm-3 pc)      = 2.7
Dispersion measure (cm-3 pc)      = 2.75
Dispersion measure (cm-3 pc)      = 2.8
Dispersion measure (cm-3 pc)      = 2.85
Dispersion measure (cm-3 pc)      = 2.9
Dispersion measure (cm-3 pc)      = 2.95
Dispersion measure (cm-3 pc)      = 3
Dispersion measure (cm-3 pc)      = 3.05
Gretchen:00000143 thijscoenen$
```

Understanding how you can combine different UNIX command line utilities can help you automate boring tasks. This document is not about BASH programming so I will stop here now.

## 2.2.15 Output Redirects

The output of programs that shows up in your shell was written to “standard out” or “standard error” (a program should use the former for normal output while the latter can be used for errors or warnings). If you want these messages in a text file, you could copy the text from your shell into a text editor and save a file, but that is a lot of work. Instead you can just redirect standard out to a text file with a `>` sign:

```
Gretchen:coolstuff thijscoenen$ ls -l > blah.txt
Gretchen:coolstuff thijscoenen$ cat blah.txt
total 312
-rw-r--r--  1 thijscoenen  staff      0 15 aug 16:35 Whatever
-rw-r--r--  1 thijscoenen  staff 147731 22 jul 23:27 alice.txt
-rw-r--r--  1 thijscoenen  staff      0 28 aug 01:31 blah.txt
-rwxr--r--  1 thijscoenen  staff    43  7 aug 18:02 hello
-rw-r--r--  1 thijscoenen  staff    50 11 aug 14:35 noperm
Gretchen:coolstuff thijscoenen$
```

If you want to capture both standard out and standard error in a file you can add `2>&1` to the end of the redirect command:

```
Gretchen:~ thijscoenen$ python divide_by_zero.py
Hi I'll divide by zero!
The result is
Traceback (most recent call last):
  File "divide_by_zero.py", line 2, in <module>
    print "The result is", 1/0
ZeroDivisionError: integer division or modulo by zero
Gretchen:~ thijscoenen$ python divide_by_zero.py > output1.txt
Traceback (most recent call last):
  File "divide_by_zero.py", line 2, in <module>
```



```
    print "The result is", 1/0
ZeroDivisionError: integer division or modulo by zero
Gretchen:~ thijscoenen$ cat output1.txt
Hi I'll divide by zero!
The result is
Gretchen:~ thijscoenen$ python divide_by_zero.py > output2.txt 2>&1
Gretchen:~ thijscoenen$ cat output2.txt
Hi I'll divide by zero!
The result is
Traceback (most recent call last):
  File "divide_by_zero.py", line 2, in <module>
    print "The result is", 1/0
ZeroDivisionError: integer division or modulo by zero
Gretchen:~ thijscoenen$
```

In this example you can see that Python sends the text associated with an `ZeroDivisionError` to standard error and the rest of the messages to standard out. The file `output1.txt` only contains the text that went to standard out, while the file `output2.txt` contains both the text for standard out and standard error. In case you do not want to overwrite an existing file, but append to the new output to it replace the `>` with `>>`.

## Chapter 3

# Python

The programming course of choice for this course is Python. It was originally developed by Guido van Rossum at the “Centrum voor Wiskunde en Informatica” (CWI) in Amsterdam and was made public in 1991. Nowadays Python is no longer developed at the CWI, but van Rossum is still leading its development. The Python syntax allows for concise code and the language is relatively easy to learn whilst still being powerful. Part of Python’s popularity stems from its large standard library (Python is “batteries included”) and the availability of a large number of external packages. For scientific programming in libraries like Numpy, Matplotlib and Scipy make Python a powerful tool.

There are two flavors of Python available at the moment, Python 2 and Python 3. Because most scientific software is still written in Python 2 and not all useful libraries are translated (*ported* in programming jargon) to Python 3. Eventually Python 3 will take over from Python 2, but that latter version will still be supported by the Python developers through at least 2020 (and probably longer by Linux distributors).

### 3.1 Programming Languages, Source Code, and Python

Computer programs can be created in a variety of different programming languages. The most hardware specific language is called *machine language*, it is the language that is directly executed by the computer hardware. To write programs in machine language a programmer would have to be intimately familiar with the details of the hardware, making the creation of even simple programs laborious. Fortunately programs can also be created in more abstract programming languages, that are then translated to machine language automatically using special computer programs. These special programs, compilers or interpreters (see below), know the details of the underlying hardware and how to interact with it.

The instructions in some programming language that specify a program’s behavior, are called its *source code*. As mentioned above, this source code is later translated to machine code. Python is a *high level* programming language because it shields programmers from the underlying hardware almost completely, while other *lower level* programming languages may require knowledge of the hardware to a larger degree. The C programming language, for instance, requires one to do memory management (unlike Python).

The translation from source code to machine code can be performed in several ways. When the source code is translated to machine code in one go before the program is executed, the translation is called *compilation* and the program that does the translation a *compiler*. The aforementioned C language is generally compiled. When source code is translated instruction by instruction each time the program is run, it is said to be *interpreted* and the program that performs the translation an *interpreter*. The standard way of running Python programs uses an interpreter. The most well known Python interpreter, the one that is probably already installed on your computer if it runs Linux or Mac OS X, is referred to as CPython because that interpreter itself is written in C.

The short description of interpretation and compilation given above necessarily skips over many nuances and complications. Python code is actually compiled to *byte code* that is executed in a *virtual machine* — so-called because that program acts like virtual computer hardware. Several of the newer Python implementations mix interpretation with compilation in different ways that are (far!) outside the scope of this reader.

## 3.2 Running Python Programs

Python programs or scripts can be executed from the command line by starting the interpreter and passing it the name of the relevant Python file. We start with the classic “Hello world!” example without which no programming tutorial is complete. Start your text editor of choice, enter the following line of Python code and save it as `hello.py`.

```
print 'Hello world!'
```

This is about the simplest Python program you can produce that still does something. To run it enter the `python hello.py` command at your command prompt. Your shell session should look somewhat like mine:

```
Gretchen:python thijscoenen$ python helloworld.py
Hello world!
Gretchen:python thijscoenen$
```

As you can see all the program does is output the text `Hello world!` to your shell. The `print` statement sends the text that follows to the so-called “standard out”, in this case your shell. Like all command line programs, Python programs can also accept command line options.

The Hello world example demonstrates one Python statement, `print`, that sends some text to the screen. In this case what it sends to screen is the *string* `'Hello world!'`. A string is a basic data type of Python (representing a string of characters). The basic Python data types are treated in Section ??.

### 3.2.1 UNIX and the Shebang

It is possible on UNIX to avoid having to type `python` in front of the programs you want to run. To do so make the script executable (using the `chmod` command and add a so-called *shebang* to it. The shebang line informs UNIX which interpreter to use to run your program. The shebang must be the first line of a script and it looks as follows:

```
#!/usr/bin/env python
```

## 3.3 Interactive Python Sessions

Python can also be started without giving it a program to execute by entering the command `python` in your shell. Python will start a new session in interactive mode, you can recognize this mode by the prompt Python shows you: `>>>`. At this prompt you can enter some Python code to be executed. Recreating the previous example looks like:

```
Gretchen:python thijscoenen$ python
Python 2.7.3 (v2.7.3:70274d53c1dd, Apr 9 2012, 20:52:43)
[GCC 4.2.1 (Apple Inc. build 5666) (dot 3)] on darwin
Type "help", "copyright", "credits" or "license" for more information.
>>> print 'Hello world!'
Hello world!
>>> exit()
Gretchen:python thijscoenen$
```

As you can see the output of the program is the same as before and it directly follows the line of code you wrote. In the following line the interactive interpreter is stopped using `exit()`. There is another way of stopping the interactive interpreter by pressing `[Control] + [d]`. The interactive interpreter gives immediate feedback, which makes it well suited for quick experimentation. As a side note: the interactive mode of Python is also referred to as the *Python REPL* for “read eval print loop” because it takes user input (the *read* step), evaluates it (the *eval* step) then prints the result (the *print* step) before “looping” back to the first step.

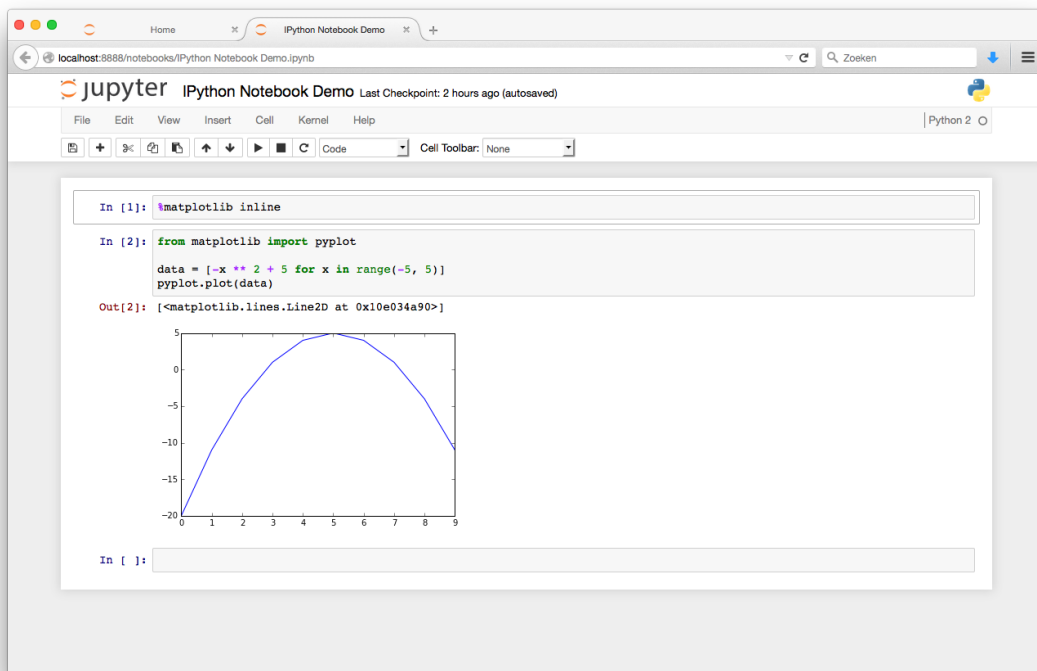


Figure 3.1: An example IPython Notebook session demonstrating the ability to display graphs in notebooks.

### 3.4 Using IPython Notebook

While Python comes with a built-in REPL, there are better ways of interacting with Python. IPython in particular is very convenient, it allows you to interact with Python and with the shell it is running under. IPython can be run in a terminal window, or you can run it as an IPython Notebook. In that latter mode IPython starts a local web server that you interact with through a web browser. IPython Notebooks are also able to display graphs using Matplotlib (see Chapter ??), mathematical equations (using  $\LaTeX$  notation) and Markdown<sup>1</sup> formatted text.

When IPython Notebook is properly installed you can start it from the command line using `ipython notebook`. IPython Notebook will open a browser window and present you with a list of notebooks already open in the current directory (where you started IPython Notebook). Figure 3.1 shows a IPython Notebook session that displays a plot created using Matplotlib. The notebook viewer part of IPython Notebook is evolving into a more general tool named *Jupyter*. Jupyter can currently also be used with the R statistical programming language and the Julia programming language.

In an IPython notebook you can enter Python code and then executed it by pressing `[shift] + [enter]`. An IPython Notebook session behaves much like a “normal” interactive Python session but IPython adds a few magic functions. To invoke these IPython specific functions prepend the input line with a `%`. The previously mentioned example notebook shows the command that allows Matplotlib graphs to be shown (`%matplotlib inline`). Help about the available magic functions can be available by issuing the `?` command. The shell can be used directly from IPython Notebook by prepending a BASH command with an `!`. For example to use `ls` type `!ls`.

<sup>1</sup><http://daringfireball.net/projects/markdown/>

## Chapter 4

# Variables and basic data types

The last chapter described several ways in which you can run snippets of Python, while this chapter describes what variables and data types are. It furthermore explains the basic data types of Python and give some examples of how they are used.

### 4.1 Variables and how to define them

Let's say you want to calculate the travel time of light from the Sun to Earth in minutes. As was shown in the last chapter you can start Python in its interactive mode and enter the calculation. Using 150 million kilometers for the distance of the Sun to Earth and 300 thousand kilometers per second as the speed of light. The division operator in Python is written as a single forward slash /. Remember to press `Enter` after entering a line of Python code.

```
>>> (150000000 / 300000) / 60.  
8.333333333333334
```

Writing small snippets like this is fine for little experiments. You may, however, need the speed of light more often than just once, and repeatedly entering its value is tedious and error prone. It is better to enter the value once and associate a name with it, that you can then refer to when you need that value again. Variables in Python allow you to do just that, you choose a name and assign a value to it. Python's *assignment operator*, represented by a single equals sign =, is used to assign a value written to the right of the operator to a name written to the left of that operator. Python has no concept of constants and it is therefore always possible to change the value associated with a name by assigning it a new value (Python has no constants, unlike some other programming languages). Using variables the previous snippet can be rewritten using variables as follows:

```
>>> c = 300000  
>>> d = 150000000  
>>> seconds_per_minute = 60.  
>>> (d / c) / seconds_per_minute  
8.333333333333334
```

This example may be contrived but it shows you how variables are defined and then used in a calculation. Arithmetic will be described in some detail below.

### 4.2 Data types

One important property of values was skipped over in the previous section, namely their type. In Python every value has a type which describes what the value represents. Are we dealing with a number, a piece of text, a list of things, or something completely different? This is important because in memory these are all represented as a series of ones and zeros!

In Python, when you assign a new value to a variable the type of the value that the variable points to can change. Python is said to have dynamic types. Not all programming languages share this property. The C language, for

instance, does not allow you to change the type associated with a variable and is therefore said to have static types. In C a variable is of a certain type, in Python it is the values that have a type. In Python a variable is properly understood as a label pointing to some value, and the type of a variable is really is the type of the value pointed to. In Python it is always possible to find out what type is associated with a variable by using the `type` function. Functions will be explained in more details later, but for now you can see them as named pieces of a program that operate on a set of inputs to provide some return some outputs. The `type` function can be used, “invoked” or “called” in programming jargon, by writing its name and adding parentheses around some input value(s). The `type` function can be called as `type(someval)` to find the type associated with the `someval` variable. Some examples below (reusing the previous definitions for the `c` and `seconds_per_minute` variables):

```
>>> type(10)
<type 'int'>
>>> type(c)
<type 'int'>
>>> type(seconds_per_minute)
<type 'float'>
```

This example shows two types of variables, both representing numbers, the so-called *integer* numbers (called `int` for short) and floating point numbers (`float` for short). These are two of the basic data types available in Python that will be described in more detail below.

While Python is dynamically typed, it is strict in the way you operate on values of different types. Trying to, for instance, add a piece of text to a number using the addition operator `+` will result in a so-called `TypeError`. The following snippet shows what happens when you add a string (the data type that represents text) to an integer:

```
>>> '1' + 1
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
    TypeError: cannot concatenate 'str' and 'int' objects
```

Some programming languages, such as JavaScript or BASH, will perform an implicit type conversion to arrive at a result for this kind of operations — Python does not. While the Python behavior may seem strict, it is consistently applied and that makes programs easier to debug.

### 4.3 Mutable and immutable values in Python

When a value can be changed after it is created it is called *mutable*. When a value cannot be changed after it is created it is called *immutable*. Even “immutable variables” (in quotes because the values are immutable) can get a new value by assigning them a new value. What will not happen, however, is that the underlying value is changed. A simple example of immutable values is the Python string data type used to represent text. If you use the `upper` function associated with a string you will get an upper case version of that string, but the original string will not change, as is shown in the following snippet:

```
>>> s = 'Hello world!'
>>> s.upper()
'HELLO WORLD!'
>>> s
'Hello world!'
```

A similar simple example of a mutable data type is the Python `list` data type, which acts as a container for an arbitrary number of values. The `sort` function associated with lists will change the original list and, unlike the `upper` function shown above, it will not return a new copy of the list.

```
>>> l = [10, 5, 9, 0]
>>> l
[10, 5, 9, 0]
>>> l.sort()
```

```
>>> l
[0, 5, 9, 10]
```

Mutability versus immutability is frequent source of misunderstandings and errors in Python, so be careful.

## 4.4 Literals

This section introduces one last piece of jargon (for now) before continuing on with more practical examples. In the previous sections you saw how variables are defined and you were given a high level description of why types matter. What you also saw was how values are represented in snippets of Python source. The representation of a value in source code is called a *literal*. You saw integer literals, floating point literals, string literals and a list literal in the previous sections.

## 4.5 Numbers and basic arithmetic in Python

In Section 4.2 two of Python’s data types, namely integers and floating point numbers, made a brief appearance. Integers represent the whole numbers including zero and negative numbers, while floating point numbers approximate the real numbers. I say “approximate” because in Python floating point numbers generally use 64 bits, allowing for only  $2^{64}$  different numbers to be represented. Python’s integers are special in that they can change internal representation in such a way that allow arbitrarily large numbers to be represented (or in the words of the Python documentation its floating point numbers represent values “which are limited only by available memory”<sup>1</sup>). Integers have a number of literal notations that you may come across. All previous examples showed integers literals written in their decimal form, there are also octal and hexadecimal forms. Octal and hexadecimal representations use respectively base 8 and base 16 to write the numbers. Octal digits run 0 through 7 while hexadecimal digits run 0 through 9 and then A through F. To differentiate these notations from decimal notation octal numbers are preceded by 0 and hexadecimal numbers are preceded by 0x.

```
>>> # octal (note the leading 0):
... 011
9
>>> # hexadecimal (note the leading 0x):
... 0xff
255
```

Hexadecimal notation is quite often used in more low level (i.e. closer to the hardware or less abstract) code to represent numerical constants. You will certainly come across memory addresses written in hexadecimal notation in Python error messages. The # signs, also known as a hash or octothorpe, is used to signify a *comment*. Comments start after the # and extend to the end of the line, they are added to help programmers better understand the code and are ignored when the Python program is executed. The ... in this example are the way Python interactive sessions show that several lines were entered before they were executed and the result shown.

Like integers floating point numbers have several notations, they can be in their decimal form using a decimal dot to differentiate them from integers and they can be in scientific form. The following example shows these notations:

```
>>> # decimal notation:
... 3.1415
3.1415
>>> # scientific notation:
... 3e+5
300000.0
>>> 6.7e-11
6.7e-11
>>> 1e-2
0.01
```

---

<sup>1</sup>See <https://www.python.org/dev/peps/pep-0237/>.

```
>>> 1E-2
0.01
```

Python supports a small set of standard operators for numbers, while a larger set of mathematical functions are available through a special module called `math`. This section only treats the former, deferring the latter to Section ??.

Addition in Python uses the `+` operator, subtraction uses the `-` operator, multiplication uses the `*` operator while raising to the power uses the `**` operator. Standard precedence order is used in Python meaning that raising to the power is performed before a multiplication, which in turn is performed before a subtraction or addition. The full list of operator precedences is available from the Python website<sup>2</sup>. If in doubt, use parentheses to explicitly control the order in which the operators are applied yourself.

The division operator was deliberately not mentioned yet, because there are a few important details you should know about. The normal division operator in Python written as `/` has behavior that depends on the types that it operates on. Divide two floating point numbers and it will return a floating point number as answer, when it operates on a floating point number and an integer number it will return a floating point number, but when it operates on two integer numbers it will return an integer and throw away the remainder (the answer is *truncated*). This behavior is inherited from the C programming language and is a frequent source of bugs in Python programs. In Python 3 (*i.e. the version of Python that this reader is emphatically not about*) the division operator was split into two operators. The first division operator written `/` returns a floating point number regardless of whether it operates on floating point numbers or integers. The second division operator written `//` always truncates regardless of whether it operates on floating point numbers or integers. This behavior can be turned on also for Python 2 by adding the line

```
from __future__ import division
```

to the top of your programs (before all other import statements). Other than the division operator(s) of Python there is also the modulo operator written `%`. This operator returns the remainder of a division. Some examples of the default behavior of the Python division operator are shown below:

```
>>> 10 / 2
5
>>> 10 / 3
3
>>> 10 % 3
1
>>> from __future__ import division
>>> 10 / 2
5.0
>>> 10 // 2
5
>>> 10 / 3
3.3333333333333335
>>> 10 // 3
3
```

To solve truncation issues in programs that do not use the special `import` line you must manually convert the type of the operands. Python has built in functions to convert to and from strings, integers and floats. The `float` function converts its input to a floating point number and the `int` function converts to an integer. By converting one of the numbers in a division to a `float` the answer will not be truncated:

```
>>> float(3)
3.0
>>> 10 / float(3)
3.3333333333333335
>>> int(3.1415)
3
```

---

<sup>2</sup>See <https://docs.python.org/2/reference/expressions.html#operator-precedence>.



## 4.6 Strings in Python

Text of any length is represented as a string (type `str`). String literals can be delimited with single quotes (`'abc'`), but double quotes are also allowed (`"abc"`). A number of characters cannot be represented directly in a string literal and must be written as a so-called *escape sequence*. These sequences start with a `\` and the character that follows is interpreted in a special way. An example is the tab character which may look like several spaces but is in fact only one character `\t`, the new line character is another example `\n`. A table of string escape sequences can be found in the section about string literals in the Python documentation<sup>3</sup>

Beyond the single and double quotes you can also come across triple quoted strings in Python source code (useful because they can contain new lines and quotes without escaping them) or strings broken up across several lines, see the following string definitions:

```
# Several different string literals
s1 = 'abcdefg'
s2 = "abcdefg"
s3 = '''This string
contains 'quotes' and
new lines!
'''
s4 = """This is also allowed"""
```

The normal Python 2 strings use 8 bits to store each character and thus only 256 characters can be encoded. That is clearly not enough to handle all the world's characters or languages directly. Unicode was designed to solve this problem once and for all. It allows all the world's known characters to be represented and it can thus be used throughout the world. Python has a special Unicode string data type (`unicode`). Unicode literals are delimited with `u'abc'` and special characters can be entered using Unicode escapes that start with `\u`. The escape sequence for the Euro-sign, for example, is `\u20ac`.

```
>>> u = u'abcd\u20ac'
>>> type(u)
<type 'unicode'>
>>>
```

### 4.6.1 Indexing and slicing

Each individual character in a string has an index that can be used to access it. These indices start at 0 and run to `n-1` for a string of `n` characters length. For example, you can access the first character of a string `s` as `s[0]` and its second character as `s[1]`. If you use an index that is too high, Python will raise an `IndexError`. As a convenience Python allows negative indices that are used as indexes starting at the end of the string. The last character of a string `s` can be accessed as `s[-1]` while the second-to-last can be accessed as `s[-2]`, etc.

To extract more than just one character from a string the slice operator is used. For a string `s` it is written as `somestring[startindex:endindex]`, where `startindex` and `endindex` are respectively the index of the letter where the slice starts and the index of the character before which the slice ends. To extract a sub string starting at the first character the `startindex` can be left out, similarly if the `endindex` is left out the sub string runs to the last character.

```
>>> s = 'abcdefg'
>>> type(s)
<type 'str'>
>>> s[0] # normal indexing
'a'
>>> s[10] # accessing a non-existent character
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
IndexError: string index out of range
```

<sup>3</sup>See [https://docs.python.org/2/reference/lexical\\_analysis.html#strings](https://docs.python.org/2/reference/lexical_analysis.html#strings).

```
>>> s[-1] # accessing the last character
'g'
>>> s[0:5] # accessing the first 5 characters
'abcde'
>>> s[:5] # idem
'abcde'
>>> s[5:] # access starting at the 6th character
'fg'
```

Python implements many standard string manipulations as special functions connected to the string objects<sup>4</sup>. Below some examples of useful string manipulations; more are available in Python<sup>5</sup>. As was mentioned before, Python strings are immutable and can thus not be changed after they are created. These functions therefore create new strings in stead of changing the original strings they were called on.

```
>>> s.startswith('abc')
True
>>> s.endswith('xyz')
False
>>> s.upper()
'ABCD EFG'
>>> s.isdigit()
False
>>>
```

Because a sequence of characters are contained in strings and unicode strings, they are so-called *sequence types*. Indexing and slicing operations are also supported by other sequence data types in Python like tuples and lists (see below).

## 4.7 Tuples

A tuple is a container for several other values, whose types can be mixed in a tuple. The separate values contained in a tuple can be accessed by their indices. Because a Python tuple is immutable, it is not possible to assign new values to some position in a tuple. If you do try to assign new values to a tuple a `TypeError` will be raised. In source code tuple literals are usually enclosed in parentheses `()` and the values in the tuple separated by commas. Note that you can leave the parentheses out but not the comma(s). Like strings, tuples are a sequence type. The following example shows some operations on tuples.

```
>>> t1 = (1, 2, 3, 'abc')
>>> type(t1)
<type 'tuple'>
>>> t2 = 1,
>>> t2
(1,)
>>> type(t2)
<type 'tuple'>
>>> t3 = (1)
>>> t3
1
>>> type(t3)
<type 'int'>
>>> t1[0]
```

---

<sup>4</sup>I am avoiding the term *method* here, because that is associated with object oriented programming. But since Python is object oriented and its strings objects these functions are properly called methods.

<sup>5</sup>See the documentation at <https://docs.python.org/2/library/stdtypes.html#string-methods>

```

1
>>> t1[0:]
(1, 2, 3, 'abc')
>>> t1[0] = 0
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
TypeError: 'tuple' object does not support item assignment
>>>

```

## 4.8 Lists

Like tuples and strings, lists are a sequence type that can contain any number of values of any type. Unlike tuples, lists can be changed after they are created (both their contents and their lengths can be changed), they are therefore *mutable*. Lists literals are enclosed in square brackets `[]` and the values in a list are separated by commas. Lists like strings support indexing and slicing to access values or extract parts of a list. Like strings, lists have many useful methods and because lists are mutable they are changed by these methods. The following example demonstrates some list methods:

```

>>> l = [1, 2, 3, 4]
>>> type(l)
<type 'list'>
>>> l.pop(0)
1
>>> l
[2, 3, 4]
>>> l.append(1)
>>> l
[2, 3, 4, 1]
>>> l.sort()
>>> l
[1, 2, 3, 4]

```

## 4.9 Dictionaries

Dictionaries are used to store key-value pairs, that is they map a certain key to the value that was stored with that key. Unlike lists that use indices to access stored values dictionaries use keys. Values can be any Python object but the keys have to be “hashable” (what that means exactly we will skip for now, but in practice integers, floats and strings can be used as keys). Unlike tuples and lists, dictionaries are not ordered and you cannot speak of its first or last element in a dictionary. Trying to use a key that is not present in the dictionary will result in a `KeyError` being raised. Dictionaries correspond to *hash tables* in other programming languages.

```

>>> d = {3: True, 1: 'a', 5: 123} # a dictionary literal
>>> type(d)
<type 'dict'>
>>> d[3] # accessing an existing key
True
>>> d['no such key'] # accessing a non-existent key
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
KeyError: 'no such key'
>>> del d[1] # removing a key-value pair (using the key)
>>> d
{3: True, 5: 123}
>>>

```

## 4.10 Booleans

Python has a special data type to represent truth values so called booleans (type `bool` for short). Booleans can only take one of two values, a boolean is either `True` or `False`. The results of comparisons are booleans (for more see Section 5.1):

```
>>> b = True
>>> type(b)
<type 'bool'>
>>> 1 > 2
False
>>> 2 > 1
True
>>>
```

## 4.11 NoneType

To signify a missing value Python uses the special `None` object of type `NoneType`. This is very useful to differentiate a missing value `None` versus for instance an empty string `""` or an empty list `[]`.

## 4.12 Basic type conversions

As was mentioned above Python is quite strict about its types and usually does not convert between different types automatically. Strings cannot be added to integers, mathematical functions will not work on strings, etc. When you use the wrong types typically a `TypeError` is raised. Fortunately you can convert between different types by using the right functions. To convert a string or a float to an integer use the `int()` function, to convert something to a float use `float()`, to convert to string use `str` and to convert to a Unicode string use `unicode()`. If such a conversion is not possible, a `ValueError` will be raised (for example `'xyz'` cannot be converted to a floating point number while `'1.5'` can).

```
>>> int(1.5)
1
>>> int('1.5')
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ValueError: invalid literal for int() with base 10: '1.5'
>>> float('1')
1.0
>>> float(2)
2.0
>>> str(4.576)
'4.576'
>>>
```

For tuples, lists and dictionaries similar functions are available. The conversion functions for tuples and lists, respectively `tuple()` and `list()` expect a so-called *iterable* (we forego a exact definition at the moment but mention that an iterable contains several values that can be accessed one-by-one in a process called iteration). Dictionaries are a bit more complicated, as they need key-value pairs, so the `dict()` function expects an iterable of key-value pairs (so each object in the iteration needs to have two values). This “iterable of key-value pairs” is in practice often a list of tuples or a list of lists, where the inner tuples or lists have the key as the first element and the corresponding value as the second element.

```
>>> list('1234')
['1', '2', '3', '4']
```

```
>>> tuple('abcd')
('a', 'b', 'c', 'd')
>>> tuple([1, 2, 3, 4])
(1, 2, 3, 4)
>>> list((1, 2, 3, 4))
[1, 2, 3, 4]
>>> dict('1234')
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ValueError: dictionary update sequence element #0 has length 1; 2 is required
>>> dict([(1, 'a'), (5, 'b')])
{1: 'a', 5: 'b'}
>>> list({1: 'a', 3: 'c'})
[1, 3]
>>>
```

The last example, converting a dictionary to a list, discards the values in the dictionary being converted because iteration over a dictionary returns only its keys. We will revisit iteration in Section ??.

## Chapter 5

# Conditional execution

When some piece of Python code is only executed when a certain condition is met that piece of code is *conditionally executed*, conditional execution is a fundamental concept in any programming language. This chapter explains how conditions are expressed in Python and used to control program flow.

### 5.1 Boolean expressions

An expression that evaluates to either `True` or `False` is called *boolean expression*. Comparisons are common boolean examples of a boolean expressions

```
>>> a = 10
>>> b = 5
>>> a == b # Check whether a equals b
False
>>> a != b # Check whether a does not equal b
True
>>> a > b # Check whether a is greater than b
True
>>> a >= b # Check whether a is greater than or equal to b
True
>>> a < b
False
>>> a <= b
False
```

### 5.2 Boolean operators **and**, **or** and **not**

Boolean expressions can be combined using the `and` and `or` keywords and negated using the `not` keyword. When you have two booleans (or boolean expressions) the `and` operator will result in `True` if both booleans are `True` else the result will be `False`. The `or` operator results in `True` if either or both the booleans are `True` else the result will be `False`. The `not` operator negates a boolean turning `True` into `False` and vice versa.

```
>>> t = True
>>> f = False
>>> t and t
True
>>> t and f
False
>>> t or t
```

```

True
>>> t or f
True
>>> not t
False
>>> not f
True

```

When several boolean expressions are combined using the `and`, `or` or `not` the resulting expression is still a boolean expression because it evaluates to `True` or `False`.

## 5.3 Truthy and falsy

While Python has proper booleans `True` and `False` there are several other values that are treated as true or false in the context of a conditional. These are sometimes called *truthy* for values that are treated as `True` and *falsy* for values treated as `False`. Empty lists, dictionaries, sets and strings are falsy, whilst they are truthy when they have elements. In the following example the `bool` built-in function is used to test the truthiness of an empty list and a list with elements:

```

>>> l1 = []
>>> l2 = [1, 2, 3, 4]
>>> bool(l1)
False
>>> bool(l2)
True

```

## 5.4 if, elif and else

The `if` statement allows the conditional execution of a block of code. In Python blocks of code are defined by indenting them. The following trivial example shows that `if` followed by a `True` or `False` will either always be executed or never:

```

if True:
    print 'This will be printed'
if False:
    print 'This will never be printed'

```

Using a boolean expression in stead of `True` or `False` directly allows you to test a condition and only execute the following block if the boolean expression evaluates to `True`.

```

x = 10
y = 20

if x > y:
    print 'x is greater than y'
else:
    print 'x smaller than or equal to y'

```

This example also demonstrated the `else` clause, which will be executed only when the preceding condition (defined by the `if` statement) is `False`. When several conditions must be tested you could do that by repeatedly using `if` statements, but Python also has the `elif` statement. The `elif` statement can not occur by itself, it is always preceded by another `elif` statement or an `if` statement. Similarly `else` can only be used following an `elif` or `if` statement.

```
x = 10

if x < 0:
    print 'x is negative'
elif x == 0:
    print 'x is zero'
else:
    print 'x is positive'
```

There is a difference between using several `if` statements and using only one `if` and one or more `elif` statements. In the former case all conditions are checked while in the latter case the checking stops as soon as a condition is `True`.



## Chapter 6

# Loops and iteration

### 6.1 Introduction

When you need to repeat some instructions several times you can create a so-called loop to do this. There are two types of loops in Python, `while`-loops and `for`-loops. The former executes a block of code as long as a certain condition holds true, and the latter performs an action for each of the vales of an object like a list or dictionary. Each time the code block is executed is also called an *iteration*. The process of accessing the elements in a list is also called iteration, so the term can be a confusing. This chapter explains how the basic sequence types of Python can be iterated over.

#### 6.1.1 The `while` loop

The `while` loop is executed as long as the condition at the beginning of the `while` loop is `True`. This condition is given as a boolean expression much like those used with the `if` and `elif` statements. The code that is to be repeatedly run is put in an indented block, again like the code blocks for `if` and `elif` statements. To break out of a loop you can use the `break` statement, when `break` is encountered the current loop is stopped immediately. If a loop is nested inside another loop breaking out of the inner loop will not break out the outer loop. The `continue` statement stops the current iteration immediately and jumps to the next iteration. A feature of loops in Python, one that is not shared with many other languages, is the possibility to add an `else` statement to a loop. The block of code associated with the `else` statement will be executed only if the `while` loop exits normally (that is without the use of `break`).

```
i = 0

while i < 10:
    print i
    i = i + 1
else:
    print 'i is equal or larger than 10'

while True:
    pass # Infinite loop, nevers stops (unles you kill the program) !!!
```

### 6.2 The `for` loop and iteration

The Python `for` loop always iterates over some object, i.e. it accesses all the items in that object one-by-one<sup>1</sup> and runs a block of code. When all elements have been accessed, or a `break` statement was encountered the iterations

---

<sup>1</sup>It is possible in Python to write functions or classes that can be iterated over without them having elements, much like the built-in `xrange` function. In this section we will not consider these types of iterables.

stops. The `continue` statement can be used with `for` loops as well, and functions in the same way as it does for `while` loops. Like `while` loops it is possible to use the `else` statement in combination with a `for` loop. The block of code associated with the `else` statement is executed when all items were accessed without encountering a `break` statement.

```
s = 'abcdefg'

for character in s:
    if character == 'a':
        continue # 'a' will never be printed because of the continue
    elif character == 'z':
        break # if a 'z' is encountered the loop is exited
    else:
        print character
else:
    print 'There was no z in this string.'
```

Objects, like lists, that can be iterated over are called *iterable*. While in some languages `for` loops always use indices they should be avoided in Python (unless you really need the indices themselves). It is possible to iterate over the indices of `for` instance a list by using the `range()` function.

```
s = 'abcdefg'

for i in range(len(s)):
    print s[i]
```

## 6.3 Iteration over basic Python types

### 6.3.1 Iterating over lists

```
l = ['abc', 'def', 'efg']

# print elements of l one-by-one
for element in l: # preferred!
    print element

for i in range(len(l)):
    print l[i]

# print index and corresponding element, element-by-element
for i, element in enumerate(l): # preferred!
    print i, element

for i in range(len(l)):
    print i, l[i]
```

### 6.3.2 Iterating over lists of tuples

```
# Iteration over a list of tuples:
l1 = [('a', 'b'), ('x', 'y'), ('p', 'q')]

# print pairs of elements:
for c1, c2 in l1: # preferred!
    print c1, c2
```

```
for tup in l1:
    print tup[0], tup[1]

# This style of iteration also works with more than two element tuples
l2 = [(1, 2, 4), (2, 3, 5), (6, 2, 9)]

# print the elements of each 3-tuple:
for a, b, c in l2:
    print a, b, c
```

### 6.3.3 Iterating over strings

```
s = 'abcdef'

# printing characters in s one-by-one
for character in s: # preferred!
    print character

for i in range(len(s)):
    print s[i]

# printing index and corresponding character, character-by-character
for i, character in enumerate(s): # preferred!
    print character, i

for i in range(len(s)):
    print i, s[i]
```

### 6.3.4 Iterating over dictionaries

```
# dictionary iteration:
d = {1: 'a', 0: 'b', 6: 'c'}

# iteration over dictionary keys:
for key in d:
    print key

# iteration over dictionary values:
for value in d.values():
    print value

# iteration over key-value pairs:
for key, value in d.items(): # preferred (more readable)!
    print key, value

for key in d:
    print key, d[key]
```