# Leveraging Reinforcement Learning to Identify Novel Advancements in Quantum Cryptography: A Case Study from QCrypt Conferences

Our study focuses on enhancing institutional capacities for exploring emerging quantum cryptography technologies, utilizing advanced techniques like reinforcement learning and topic modeling to identify and prioritize innovative cryptographic solutions. The key objective is to extract actionable insights from available data, enabling experts to anticipate and address organizational needs through the use of technological advancements. The research centers on quantum communication technologies, specifically aiming to identify innovations relevant to cryptographic applications. Our method integrates three main components: (1) topic modeling to extract core themes from relevant literature, (2) expert knowledge to refine the analysis, and (3) reinforcement learning (RL) to prioritize key topics based on entropy changes.

To implement this approach: 1) we first gathered articles published by 2022 from reputable databases like Scopus and Web of Science, refining them into a corpus for creating the initial topic model (CTP1), 2) the focus shifted to quantum cryptography, where a TF-IDF technique used to assign weights to critical cryptographic terms, particularly those related to quantum communication protocols. This process re-evaluated the initial topic model, emphasizing the most promising topics for technological potential, and 3) reinforcement learning was applied to optimize the selection of topics based on the maximum entropy change, with predefined thresholds guiding the identification of papers aligned with selected topics.

Our validation involved testing the relevance of topics selected by RL with articles from the 2023 and 2024 QCrypt conferences, focusing on weighted terms like "protocol" and "security enhancements with new protocols." We observed how the RL process evolved from CTP1 to CTP3, with iterations reflecting shifts in key topics and the discovery of novel advancements in security protocols.

Results show that the integration of keywords from the cryptography protocols and security protocols texts into the cryptography model yielded three models (CTP1  $\rightarrow$  CTP2  $\rightarrow$  CTP3), where the highest entropy changes within the models indicated promising advancements in protocols. The steps and outputs of the process are visually represented in the attached flowchart, illustrating how RL-guided selection has effectively identified trends in quantum cryptography, particularly in protocols. High rewards based on topic scores confirm the robustness of the RL approach in tracking novel developments across conference papers. The cryptography topic model with three top terms is presented below.

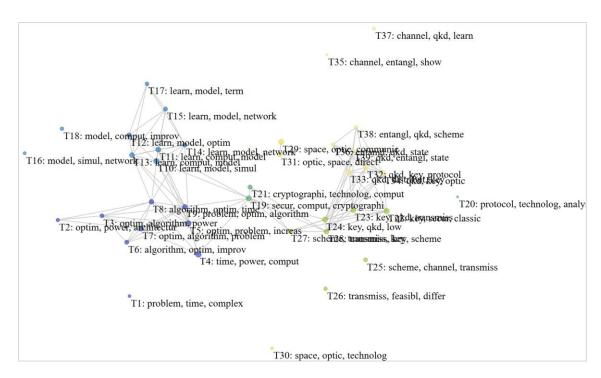


Figure 1: The network of the topics in initial topic model

The below flowchart outlines two iterations of a cryptography-related topic model process using reinforcement learning (RL) to explore novel protocol advancements.

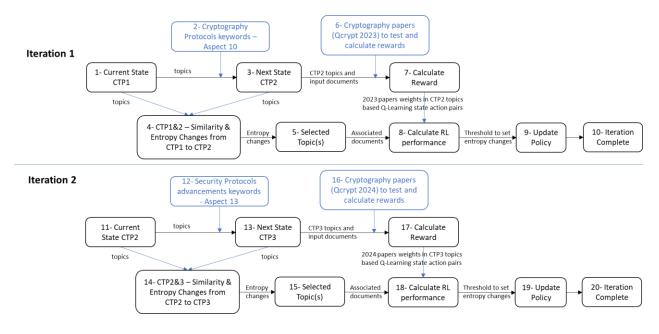


Figure 2: Iterative refinement of cryptography topic model using RL

#### First Iteration (Top Half):

- 1. **Current State (CTP1):** The model starts with the current cryptography topic model (CTP1).
- 2. **Aspect 10:** Cryptography protocols weighted keywords
- 3. **Next State (CTP2):** Based on cryptography protocols keywords (Aspect 10), the model transitions to a new state (CTP2).
- 4. **Similarity & Entropy Changes (CTP1 to CTP2):** A comparison between CTP1 and CTP2 evaluates similarity and entropy changes.
- 5. **Selected Topic(s):** Topics are chosen based on the analysis.
- 6. **QCrypt 2023 Papers:** Cryptography papers are used to calculate RL rewards.
- 7. **Reward:** The reward is calculated based on weights of most associated documents.
- 8. **RL Performance:** Compare the findings based on selected topics and associated documents and advancements in QCrypt 2023 published papers.
- 9. **Update Policy:** Based on the findings, the RL policy (e.g., maximum entropy change) is updated.
- 10. **Iteration Completion:** The first iteration concludes.

#### Second Iteration (Bottom Half):

- 11. **Current State (CTP2):** The second iteration begins with the updated state (CTP2) from the first iteration.
- 12. **Aspect 13:** Cryptography security protocols weighted keywords
- 13. **Next State (CTP3):** Using new security protocol advancement keywords (Aspect 13), the model moves to the next state (CTP3).
- 14. **Similarity & Entropy Changes (CTP2 to CTP3):** A similar comparison evaluates changes between CTP2 and CTP3.
- 15. **Selected Topic(s):** Relevant topics are selected.
- 16. **QCrypt 2024 Papers:** Updated cryptography papers (QCrypt 2024) are used to test and calculate rewards.
- 17. **Reward:** The reward is calculated based on weights of most associated 2024 documents.
- 18. **RL Performance:** Compare the findings based on selected topics and associated documents and advancements in QCrypt 2024 published papers.
- 19. **Update Policy & Iteration Completion:** The RL policy (e.g., maximum entropy change) is updated.
- 20. **Iteration Completion:** The second iteration concludes.

This process involves refining the cryptography topic model by leveraging RL to assess changes, adjust based on protocol advancements, and improve performance across two iterations.

Table 1: State Descriptions for Each Iteration in the Process

| Step/Iteration 1      |   |
|-----------------------|---|
| 1- Current State CTP1 | CTP1  |
| 2- Cryptography       | Aspect 10: verifi-0.029, function-0.022, proof-0.022, protocol-0.02, secur- |
| Protocols keywords    | 0.019, key-0.019, base-0.019, photon-0.018, distribut-0.017, high-0.017     |

|  | Description of the plane of the |
|--|--|
| 3- Next State CTP2   | CTP2   |
| 4- CTP1&2 –<br>Similarity & Entropy<br>Changes from CTP1<br>to CTP2            | <u>CTP1&amp;2</u>  |
| 5- Selected Topic(s)   | According the last column in CTP1&2, protocols topic model, top 5 topics with  |
| based on RL policy   | max entropy changes include: topic number, entropy change, keyword   |
| (max entropy changes)  | (weight in the topic) T37, Entropy score:223.6217, Topic Keywords: photon(0.015), qkd(0.014), key(0.011), state(0.010), protocol(0.010)  |
|  | T34, Entropy score:215.79414, Topic Keywords: key(0.416), protocol(0.397), secur(0.385), distribut(0.371), optic(0.365)  |
|  | T10, Entropy score:124.20075, Topic Keywords: high(0.068), state(0.060), base(0.057), effici(0.055), perform(0.054)  |
|  | T24, Entropy score:91.34865, Topic Keywords: qkd(0.187), secur(0.170), distribut(0.160), key(0.145), rate(0.127)   |
|  | T38, Entropy score:41.2685, Topic Keywords: photon(0.188), qkd(0.185), entangl(0.178), key(0.161), distribut(0.141)  |
| Top 5 selected topics: all unique documents, Average entropy, and top keywords | Docs( <u>OCrypt 2023</u> ): 32, 10, 12, 2, 34, 33, 8, 20, 22, 28, 5, 3<br>Entropy score(Avg):139.24675<br>Keywords: system(2.48), design(1.86), framework(1.35), size(1.16), receiv(1.16), integr(1.12), channel(1.05), high(1.04), sourc(0.93), hash(0.88)  |
| 6- Cryptography  | QCrypt2023_Papers / 2023.qcrypt.net  |
| papers (Qcrypt 2023) to test and   |  |
| calculate rewards  |  |
| 7- Calculate   | Reward:37(0.433), 34(0.417), 10(0.387), 24(0.405), 38(0.358) - Avg(0.4)  |
| Reward:  | [Max in topics(0.586) - Min (0.323)]   |
| each top 5 selected  |  |
| topics,  |  |

| max and min of entropy changes |  |
|--------------------------------|--|
| within 39 topics               |  |
| 8- RL performance              | Compare documents of 2023 and aspect 10 keywords   |
| 9- Update Policy               | We keep the policy on top 5 max entropy changes and min on 0.3   |
| 10- Iteration Complete         |  |
| Iteration 2                    |  |
| 11- Current State CTP2         | CTP2   |
| 12- Security<br>Protocols      | <b>Aspect 13:</b> independ-0.03, classic-0.026, key-0.025, pair-0.024, technolog-0.024, challeng-0.021, secur-0.02, protocol-0.02, share-0.02, set-0.02  |
| advancements<br>keywords       | appliparamet dapplic constitution of the const |
| 13- Next State CTP3            | CTP3   |
| 14- CTP2&3 –                   | <u>CTP2&amp;3</u>  |
| Similarity & Entropy           |  |
| Changes from CTP2 to CTP3      |  |
| 15- Selected                   | According the last column in CTP2&3, protocols topic model, top 5 topics with  |
| Topic(s) based on              | max entropy changes include: topic number, entropy change, keyword   |
| RL policy (max                 | (weight in the topic)  |
| entropy changes)               | T32, Entropy score:51.086, Topic Keywords: secur(0.713), qkd(0.632), protocol(0.612), key(0.551), photon(0.538)  |
|                                | T1, Entropy score:26.68507, Topic Keywords: studi(0.003), comput(0.002), promis(0.001)   |
|                                | T2, Entropy score:19.9535, Topic Keywords: classic(0.010), function(0.010), present(0.009), final(0.009), studi(0.009)   |
|                                | T12, Entropy score:16.15191, Topic Keywords: approach(0.065), classic(0.063), system(0.062), differ(0.061), propos(0.059)  |
|                                | T17, Entropy score:15.8209, Topic Keywords: network(0.025), comput(0.025), classic(0.024), model(0.022), state(0.021)  |
|                                |  |

| Top 5 selected topics: all unique documents, Average entropy, and top keywords | Docs(QCrypt 2024): 16, 11, 12, 22, 21, 35, 33, 9, 10, 18, 26, 24, 4, 14, 29, 28<br>Entropy score(Avg):25.93948<br>Keywords: key(2.28), commit(1.6), state(1.52), model(1.26),<br>pseudorandom(1.16), singl(1.12), bound(1.01), system(0.99), channel(0.91),<br>high(0.9) |
|--|--|
| 16- Cryptography   | QCrypt2024_Papers / 2024.qcrypt.net  |
| papers (Qcrypt   |  |
| 2024) to test and  |  |
| calculate rewards  | D 100(0.00) 1(0.00) 0(0.00T) 10(0.000) 17(0.114) 1 (0.004)   |
| 17- Calculate  | Reward:32(0.38), 1(0.36), 2(0.367), 12(0.398), 17(0.414) - Avg(0.384) [Max in topics(0.591) - Min (0)]   |
| Reward:  | [wax iii topics(0.391) - wiiii (0)]  |
| each top 5 selected  |  |
| topics, max and min of   |  |
|  |  |
| entropy changes within 39 topics   |  |
| 18- RL performance   | Compare documents of 2024 and aspect 10 keywords   |
| 19- Update Policy  | We keep the policy on top 5 max entropy changes and min on 0.3   |
|  |  |
| 20- Iteration  |  |
| Complete   |  |

Table 1 outlines a detailed, step-by-step process of two iterations in cryptography topic modeling, demonstrating how reinforcement learning (RL) was applied to optimize topic selection based on entropy changes. The goal is to refine the cryptography topics over multiple iterations and extract valuable insights, especially in the context of cryptography protocols and security advancements.

#### Table Breakdown:

# **Iteration 1 (CTP1 to CTP2)**:

- We start with an initial topic model (CTP1) that uses Aspect 10 keywords (e.g., "protocol," "key," "photon") related to cryptography protocols.
- A transition is made to the next state (CTP2), where entropy changes (i.e., shifts in topic distribution and coherence) are calculated between CTP1 and CTP2.
- The RL algorithm identifies the top 5 topics with the highest entropy changes. These topics (e.g., T37, T34, T10) represent the most significant shifts in the cryptography landscape based on the selected protocol keywords.
- Rewards are calculated for each topic, with an average reward of 0.4 based on max and min entropy changes. This reward reflects how well each topic has shifted toward relevant and informative distributions.

#### **Iteration 2 (CTP2 to CTP3):**

- The second iteration begins with CTP2 and shifts to CTP3, using updated keywords from Aspect 13, which represent advancements in security protocols.
- Similar to the first iteration, RL selects the top 5 topics with the highest entropy changes (e.g., T32, T1, T12), reflecting advancements in security technologies and cryptography mechanisms.
- The average reward in this iteration is slightly lower (0.384), but the model continues to optimize based on maximum entropy changes to refine the understanding of emerging topics in cryptography.

# **Survey on Cryptography Topic Modeling Iterations**

This survey aims to gather feedback from experts and participants regarding the effectiveness of the cryptography topic modeling process, specifically focusing on the findings presented in **Table 1**. Your insights will help refine the methodology and enhance the relevance of the topics identified through the reinforcement learning approach. The survey is structured into sections for ease of completion, focusing on different aspects such as topic relevance, methodology, and overall satisfaction.

Thank you for participating in this survey. Your feedback is essential to evaluate the effectiveness of the topic modeling process and its relevance to advancements in cryptography.

| Section | ı 1: Respondent Information                |  |  |
|---------|--|--|--|
| 1.      | Name (Optional):                           |  |  |
| 2.      | . Affiliation/Organization:                |  |  |
|         | . Role/Position:                           |  |  |
|         | <ul> <li>Researcher</li> </ul>             |  |  |
|         | <ul> <li>Practitioner</li> </ul>           |  |  |
|         | <ul> <li>Educator</li> </ul>               |  |  |
|         | <ul><li>Other (please specify):</li></ul>  |  |  |
| 4.      | Years of Experience in Cryptography: years |  |  |

#### Section 2: Topic Relevance

- 5. How relevant do you find the selected topics from Iteration 1 (CTP1 to CTP2) based on Aspect 10 keywords? *Use keywords related to the selected topics to refine your search or follow the links to view relevant documents.* 
  - Very Relevant
  - Relevant
  - o Neutral
  - o Not Relevant
  - o Not Relevant at All
- 6. How relevant do you find the selected topics from Iteration 1 (CTP2 to CTP3) based on Aspect 10 keywords? *Use the keyword related to selected topics to adjust or its link to see the relevant documents.* 
  - Very Relevant
  - Relevant
  - Neutral
  - Not Relevant
  - Not Relevant at All
- 7. Which of the following topics from Iteration 1 do you believe reflects significant advancements in cryptography protocols? (Select all that apply)
  - o T37
  - o T34
  - o T10
  - o T24
  - o T38

| 8.     |          | Other (please specify): rate the relevance of the top keywords associated with each selected topic in ying important cryptography concepts.  Very Relevant Relevant Neutral Not Relevant Not Relevant at All |  |  |
|--------|----------|--|--|--|
| Sectio | n 3: Eni | tropy and Information Gain   |  |  |
|        |          | u believe the entropy changes observed between CTP1 and CTP2 accurately reflect  |  |  |
|        |          | significant shifts in the field of cryptography protocols?   |  |  |
|        | 0        | Strongly Agree   |  |  |
|        | 0        | Agree  |  |  |
|        | 0        | Neutral  |  |  |
|        | 0        | Disagree   |  |  |
|        | 0        | Strongly Disagree  |  |  |
| 9.     | Did th   | e observed entropy changes influence your understanding of trends in   |  |  |
|        | crypto   | graphy?  |  |  |
|        | 0        | Yes, significantly   |  |  |
|        | 0        | Yes, moderately  |  |  |
|        | 0        | Neutral  |  |  |
|        | 0        | No, not much   |  |  |
|        | 0        | No, not at all   |  |  |
| Sectio | n 4: Rei | inforcement Learning Methodology   |  |  |
|        |          | effective do you find the application of reinforcement learning in enhancing topic   |  |  |
|        |          | on across iterations?  |  |  |
|        |          | Very Effective   |  |  |
|        | 0        | Effective  |  |  |
|        | 0        | Neutral  |  |  |
|        | 0        | Ineffective  |  |  |
|        | 0        | Very Ineffective   |  |  |
| 12     | . How v  | would you rate the clarity of the RL policy for selecting top topics based on  |  |  |
|        | maxin    | num entropy changes?   |  |  |
|        | 0        | Very Clear   |  |  |
|        | 0        | Clear  |  |  |
|        | 0        | Neutral  |  |  |
|        | 0        | Unclear  |  |  |
|        | 0        | Very Unclear   |  |  |
| 13     |          | atisfied are you with the calculated rewards for selected topics based on entropy  |  |  |
|        | change   |  |  |  |
|        | 0        | Very Satisfied   |  |  |
|        | 0        | Satisfied  |  |  |
|        | 0        | Neutral  |  |  |
|        | 0        | Dissatisfied   |  |  |
|        | 0        | Very Dissatisfied  |  |  |

# Section 5: Applicability and Impact

- 14. How applicable do you find the findings from this iterative process to your own research or work in the field of cryptography?
  - o Highly Applicable
  - o Applicable
  - Neutral
  - Not Applicable
  - Not Applicable at All
- 15. What improvements or additional features would you suggest for future iterations of the topic modeling process?

(Open-ended response)

16. Are there any specific areas in cryptography that you believe require further exploration through topic modeling?

(Open-ended response)

# Section 6: Overall Satisfaction

- 17. Overall, how satisfied are you with the findings presented in the iterations?
  - Very Satisfied
  - Satisfied
  - o Neutral
  - o Dissatisfied
  - Very Dissatisfied
- 18. Do you have any additional comments or feedback regarding the topic modeling iterations?

(Open-ended response)

Thank you for your time and insights! Your responses will significantly contribute to refining the topic modeling approach and enhancing our understanding of cryptography advancements.