

# **CSE 202: Design and Analysis of Algorithms**

## **Lecture 7**

**Instructor: Kamalika Chaudhuri**

# Announcements

- HW2 is up! Due **Mon Apr 25** in class
- Remember: Midterm on **Wed May 4**
- Midterm is **closed book**
- **Syllabus:** Greedy, Divide and Conquer, Dynamic Programming, Flows (upto Ford-Fulkerson)

# Last class: Three steps of Dynamic Programming

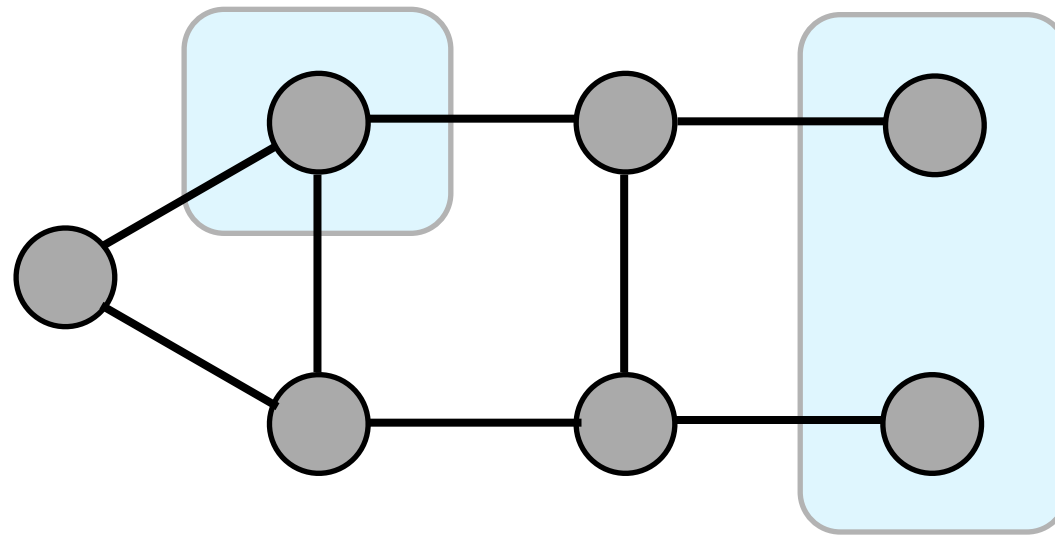
## Main Steps:

1. Divide the problem into **subtasks**
2. Define the subtasks **recursively** (express larger subtasks in terms of smaller ones)
3. Find the **right order** for solving the subtasks (but do not solve them recursively!)

# **Last Class: Dynamic Programming**

- String Reconstruction
- Longest Common Subsequence
- Edit Distance
- Subset Sum
- Independent Set in a Tree

# Independent Set



**Independent Set:** Given a graph  $G = (V, E)$ , a subset of vertices  $S$  is an independent set if there are no edges between them

**Max Independent Set Problem:** Given a graph  $G = (V, E)$ , find the largest independent set in  $G$

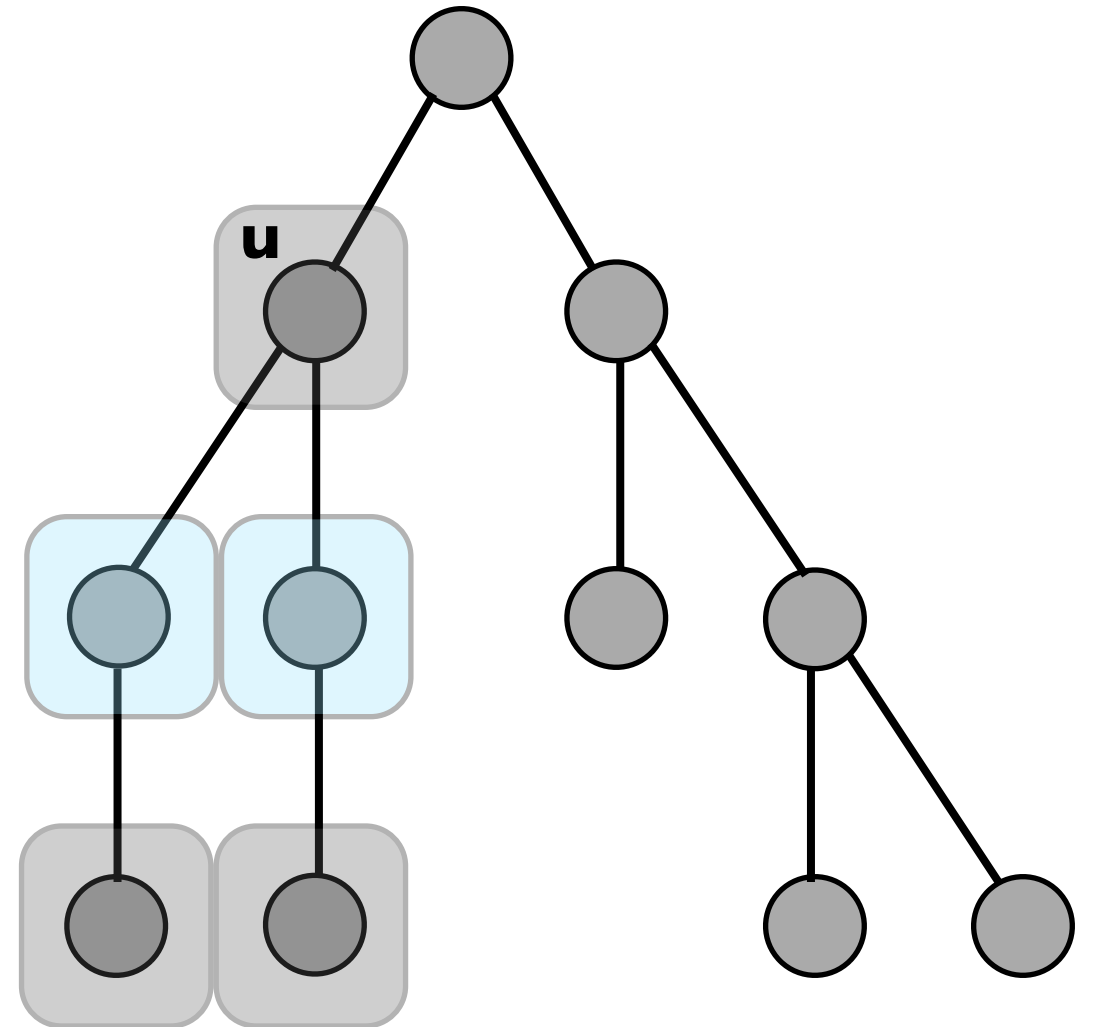
**Max Independent Set** is a notoriously hard problem!  
We will look at a restricted case, when  $G$  is a **tree**

# Max. Independent Set in a Tree

A set of nodes is an **independent set** if there are no edges between the nodes

## Two Cases at node $u$ :

1. Don't include  $u$
2. Include  $u$ , and don't include its children



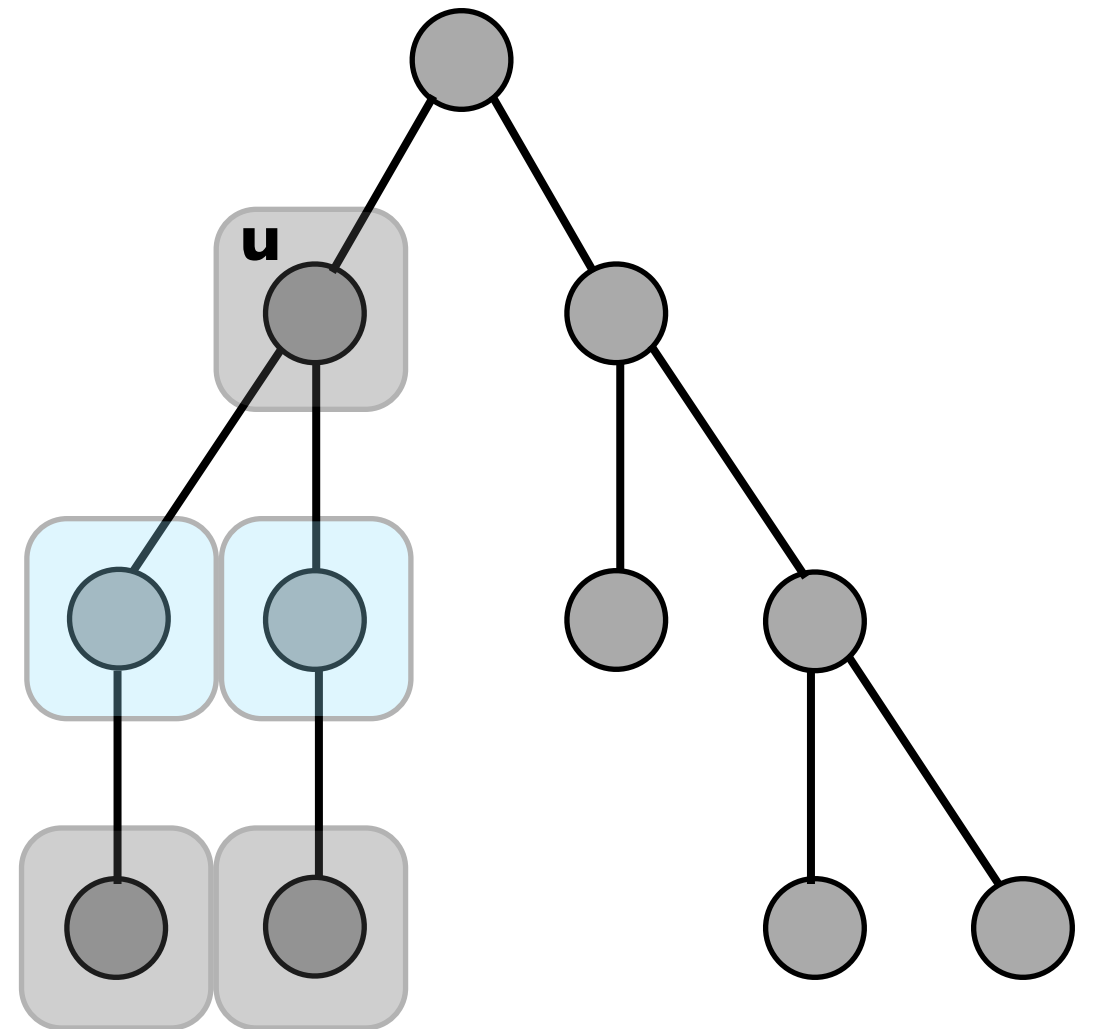
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## STEP 1: Define subtask

$I(u)$  = size of largest independent set in subtree rooted at  $u$

We want  $I(r)$ , where  $r$  = root



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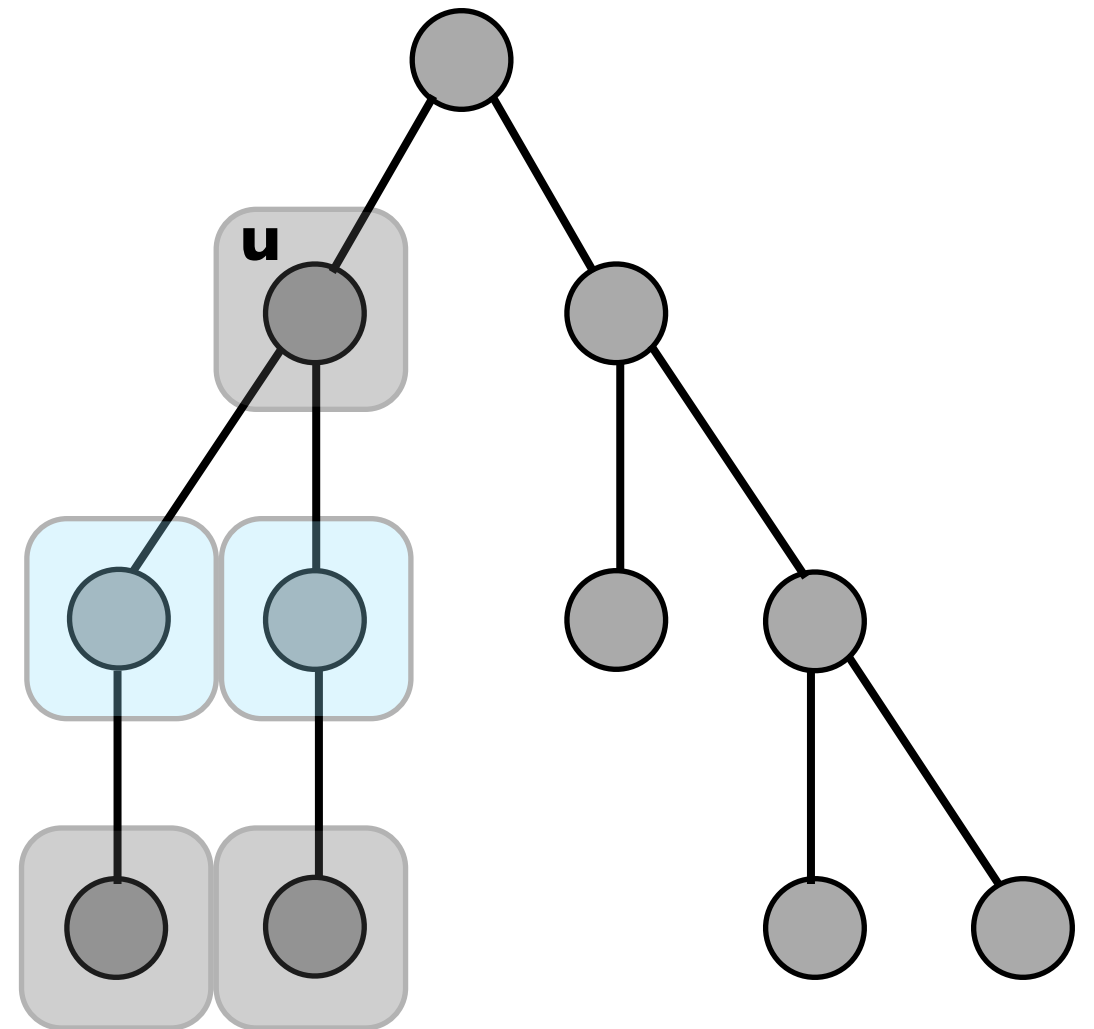
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## STEP 2: Express recursively

$$I(u) = \max \left\{ \begin{array}{l} \sum_{\substack{\text{children} \\ w \text{ of } u}} I(w) \\ 1 + \sum_{\substack{\text{grandchildren} \\ w \text{ of } u}} I(w) \end{array} \right.$$

Base case: for leaf nodes,  $I(u) = 1$



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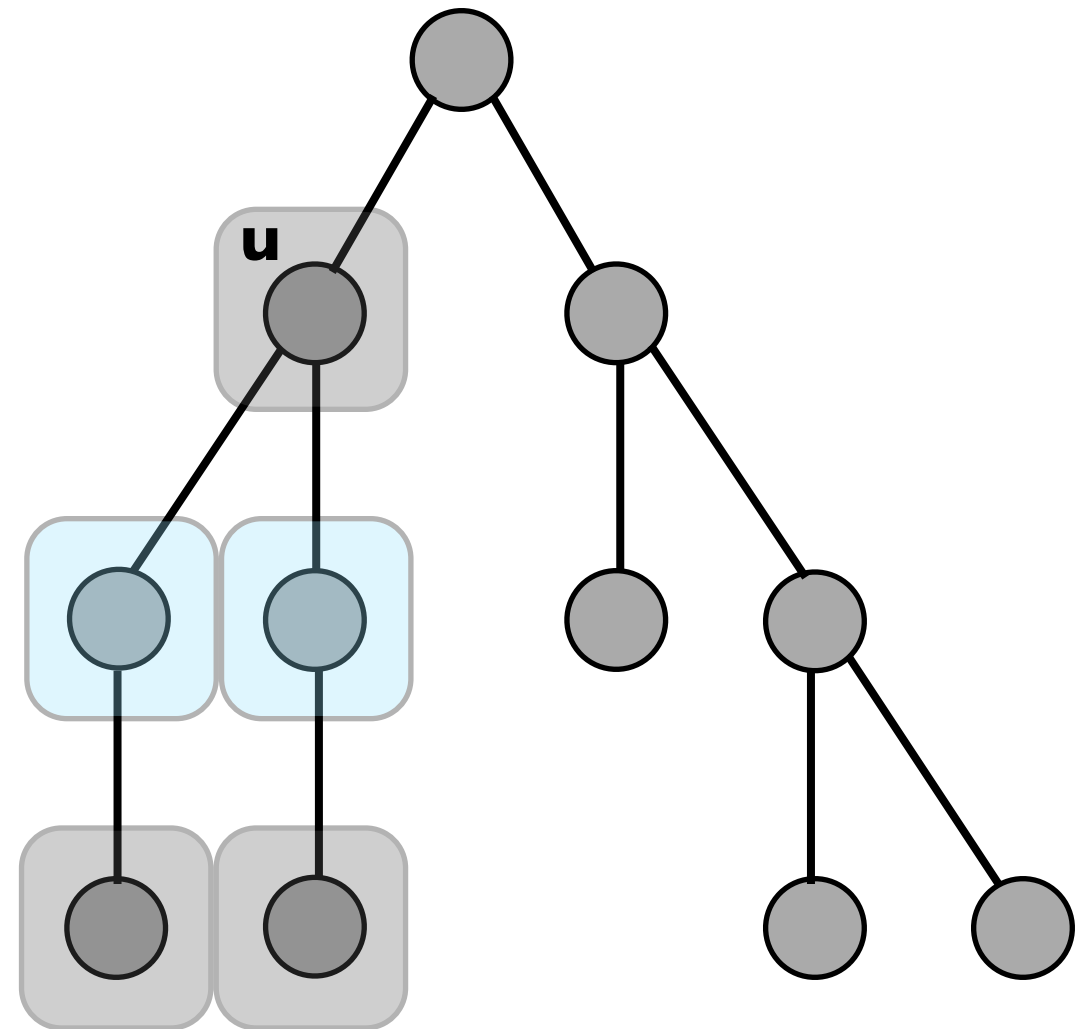
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## STEP 3: Order of subtasks

Reverse order of distance from root;  
use BFS!



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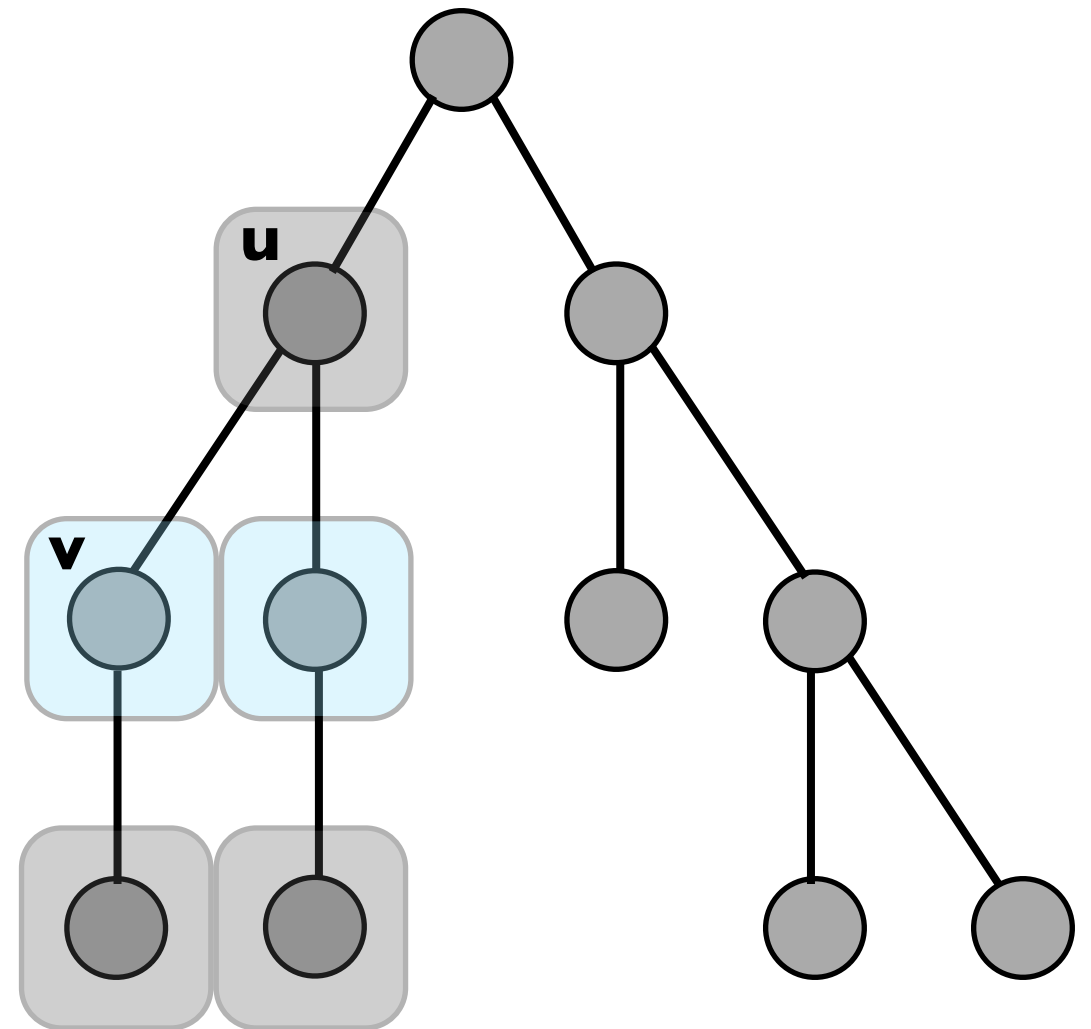
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## Running Time: $O(n)$

Edge  $(u, v)$  is examined in Step 2 at most twice:

- (1)  $v$  is a child of  $u$
- (2)  $v$  is a grandchild of  $u$ 's parent

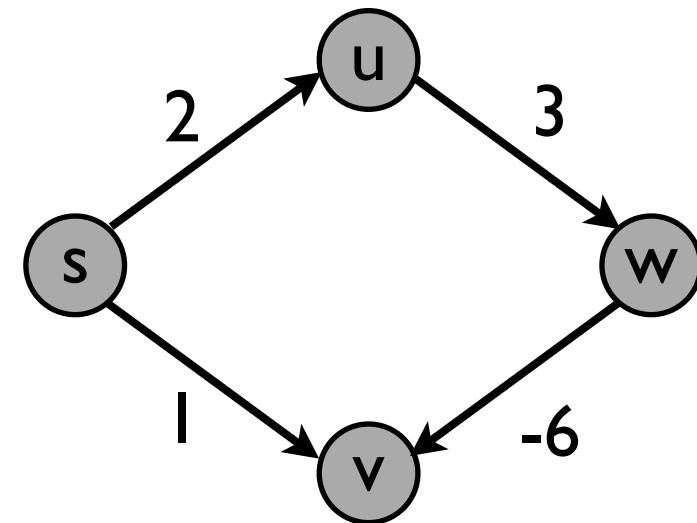
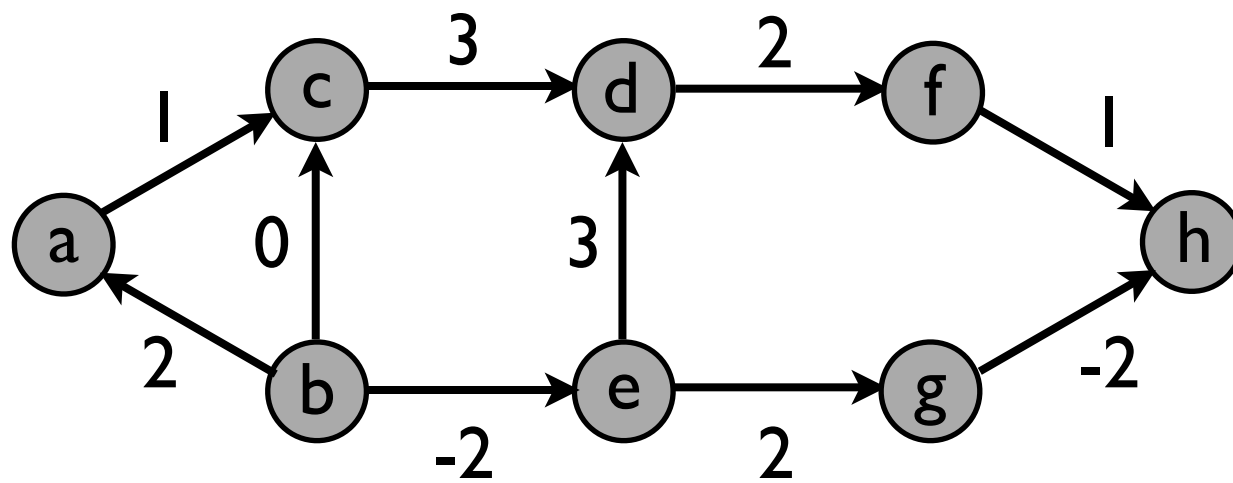
There are  $n-1$  edges in a tree on  $n$  nodes

# Dynamic Programming

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- All Pairs Shortest Paths

# All Pairs Shortest Paths

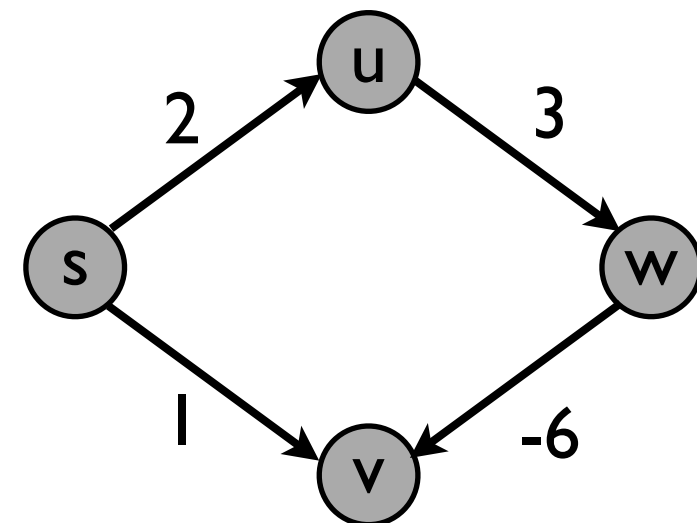
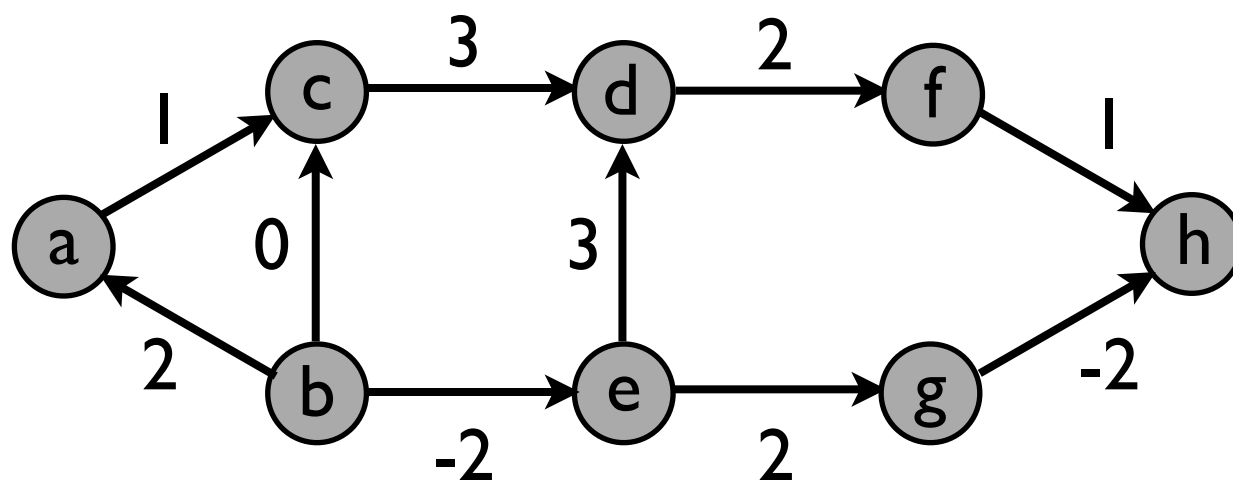
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Does Dijkstra's algorithm work?

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Does Dijkstra's algorithm work?

Ans: No! Example: s-v Shortest Paths

# All Pairs Shortest Paths (APSP)

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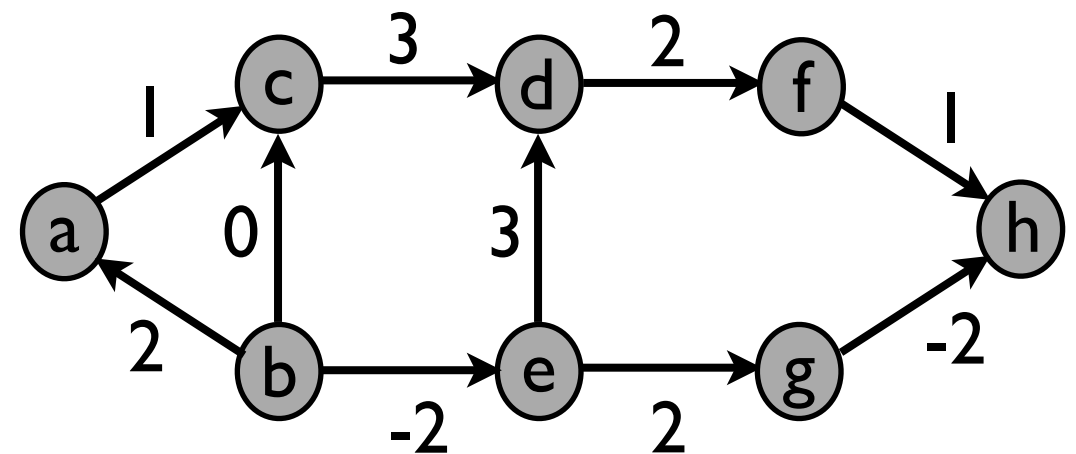
## Structure:

For all  $x, y$ :

either  $SP(x, y) = d_{xy}$

Or there exists some  $z$  s.t

$$SP(x, y) = SP(x, z) + SP(y, z)$$



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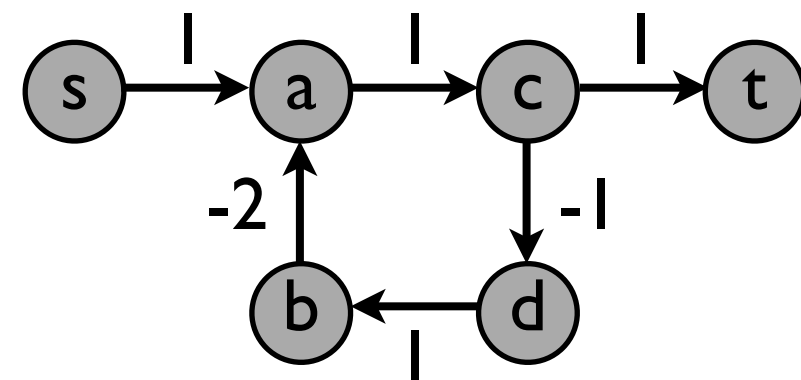
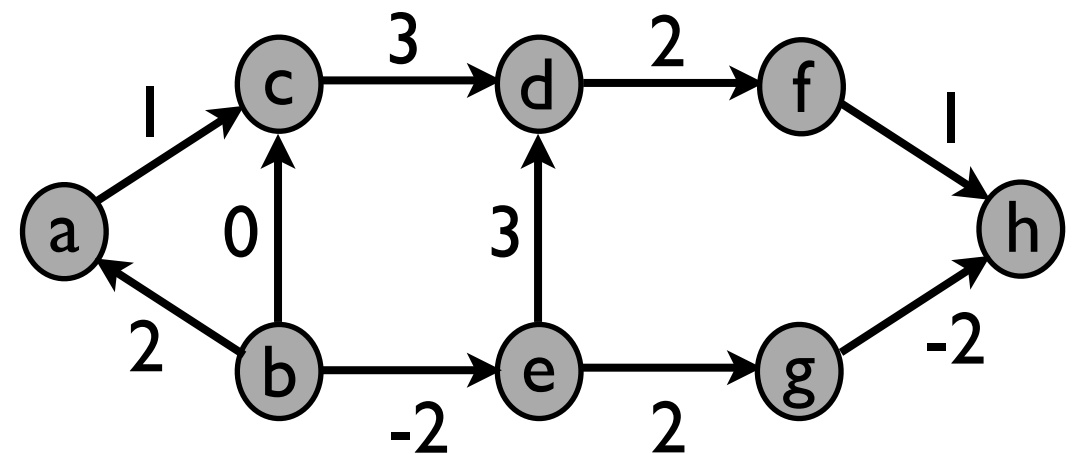
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**Property:** If there is no negative weight cycle, then for all  $x, y$ ,  $SP(x, y)$  is simple (that is, includes no cycles)

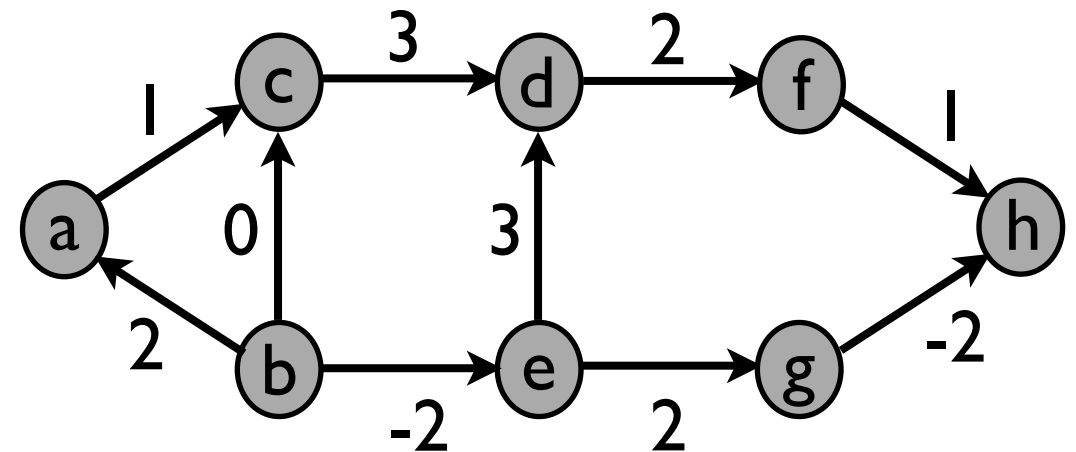


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## STEP I: Define Subtasks

$D(i,j,k)$  = length of shortest path from  $i$  to  $j$  with intermediate nodes in  $\{1,2,\dots,k\}$





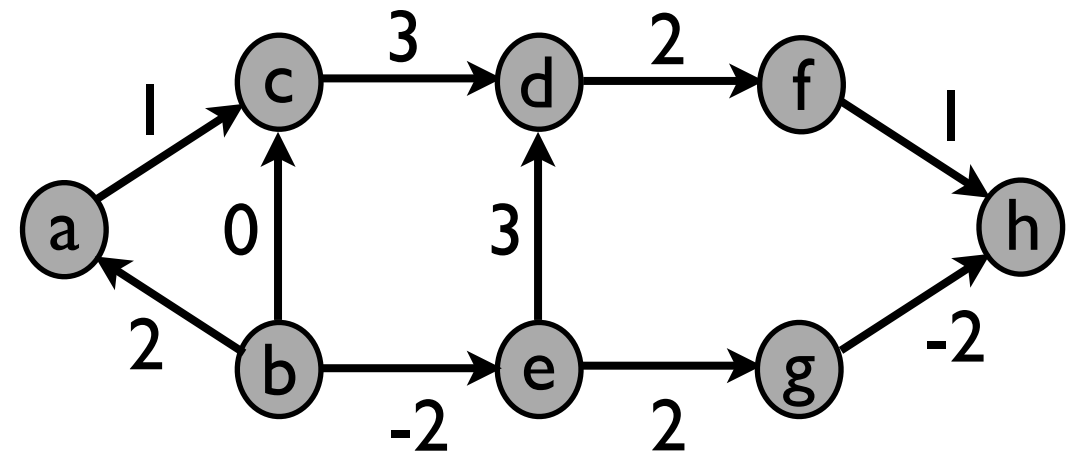
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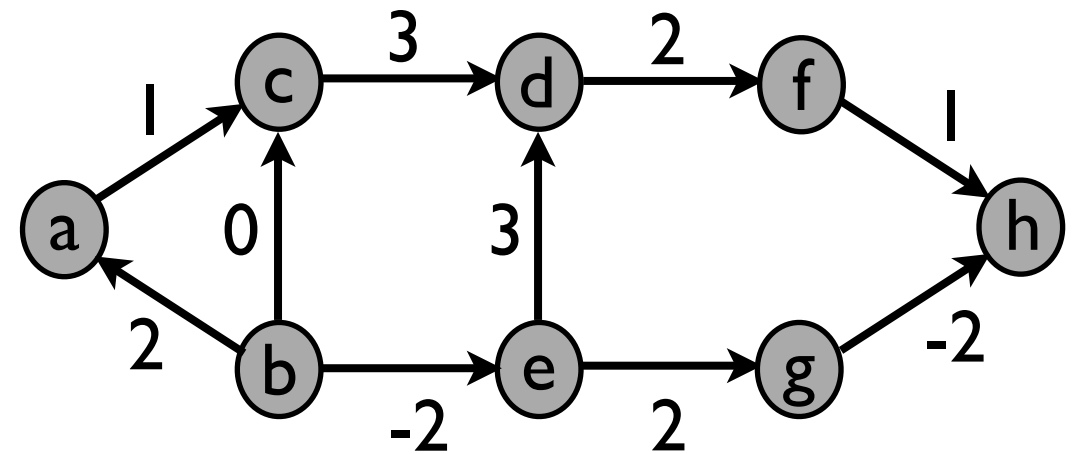
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## STEP 2: Express Recursively

$D(i,j,k) = \min\{D(i,j,k-1), D(i,k,k-1) + D(k,j,k-1)\}$

Base case:  $D(i,j,0) = d_{ij}$



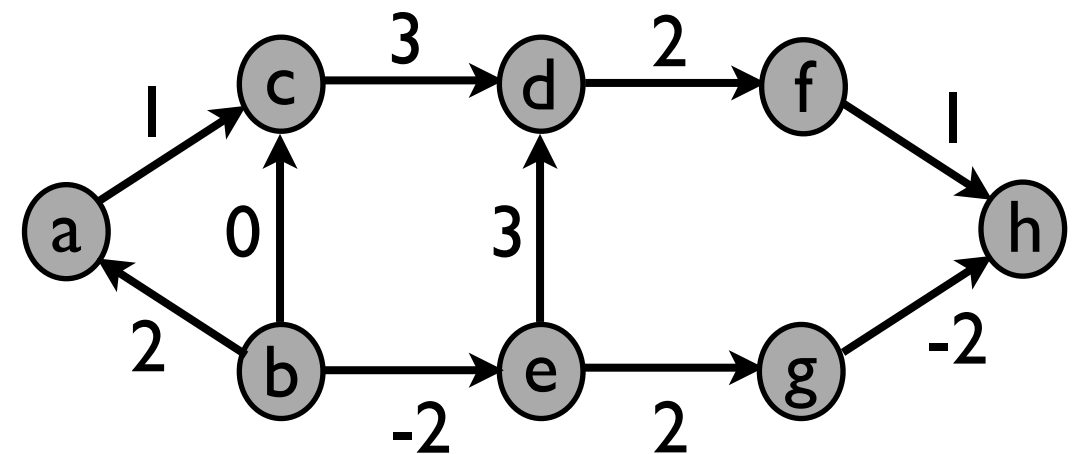
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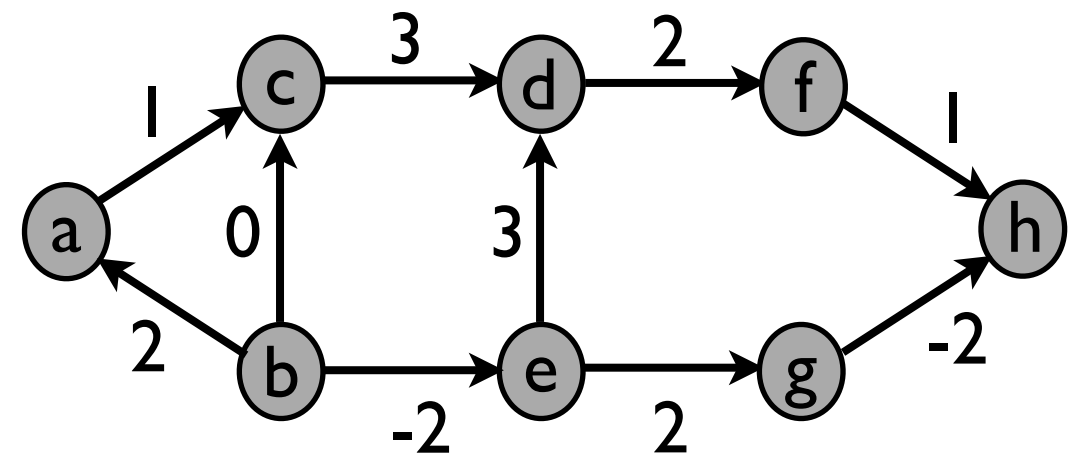
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**Running Time** =  $O(n^3)$

**Exercise:**

Reconstruct the shortest paths

# Summary: Dynamic Programming

## Main Steps:

1. Divide the problem into **subtasks**
2. Define the subtasks **recursively** (express larger subtasks in terms of smaller ones)
3. Find the **right order** for solving the subtasks (but do not solve them recursively!)

# Summary: Dynamic Programming vs Divide and Conquer

## Divide-and-conquer

A problem of size  $n$  is decomposed into a few subproblems which are significantly smaller (e.g.  $n/2$ ,  $3n/4$ ,...)

Therefore, size of subproblems decreases geometrically.

eg.  $n$ ,  $n/2$ ,  $n/4$ ,  $n/8$ , etc

Use a recursive algorithm.

## Dynamic programming

A problem of size  $n$  is expressed in terms of subproblems that are not much smaller (e.g.  $n-1$ ,  $n-2$ ,...)

A recursive algorithm would take exp. time.

Saving grace: in total, there are only polynomially many subproblems.

Avoid recursion and instead solve the subproblems one-by-one, saving the answers in a table, in a clever explicit order.

# Summary: Common Subtasks in DP

**Case 1:** Input:  $x_1, x_2, \dots, x_n$  Subproblem:  $x_1, \dots, x_i$ .

$x_1$   $x_2$   $x_3$   $x_4$   $x_5$   $x_6$   $x_7$   $x_8$   $x_9$   $x_{10}$

**Case 2:** Input:  $x_1, x_2, \dots, x_n$  and  $y_1, y_2, \dots, y_m$  Subproblem:  $x_1, \dots, x_i$  and  $y_1, y_2, \dots, y_j$

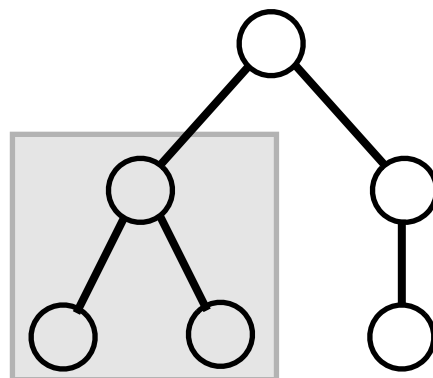
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**Case 3:** Input:  $x_1, x_2, \dots, x_n$ . Subproblem:  $x_i, \dots, x_j$

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**Case 4:** Input: a rooted tree. Subproblem: a subtree



**Next: Network Flow**



# Oil Through Pipelines

**Problem:** Given directed graph  $G=(V,E)$ , source  $s$ , sink  $t$ , edge capacities  $c(e)$ , how much oil can we ship from  $s$  to  $t$ ?

# Oil Through Pipelines

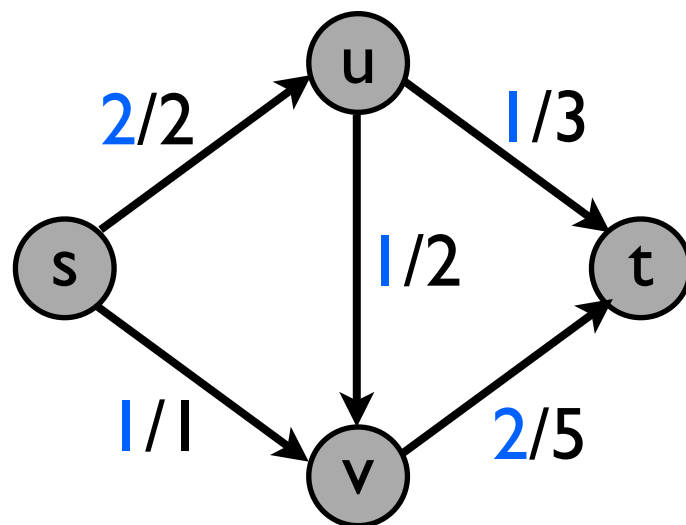
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An  $s$ - $t$  flow is a function:  $E \rightarrow \mathbb{R}$  such that:

- $0 \leq f(e) \leq c(e)$ , for all edges  $e$
- flow into node  $v$  = flow out of node  $v$ , for all nodes  $v$  except  $s$  and  $t$ ,

$$\sum_{e \text{ into } v} f(e) = \sum_{e \text{ out of } v} f(e)$$

Size of flow  $f$  = Total flow out of  $s$  = total flow into  $t$



Size of  $f$  = 3

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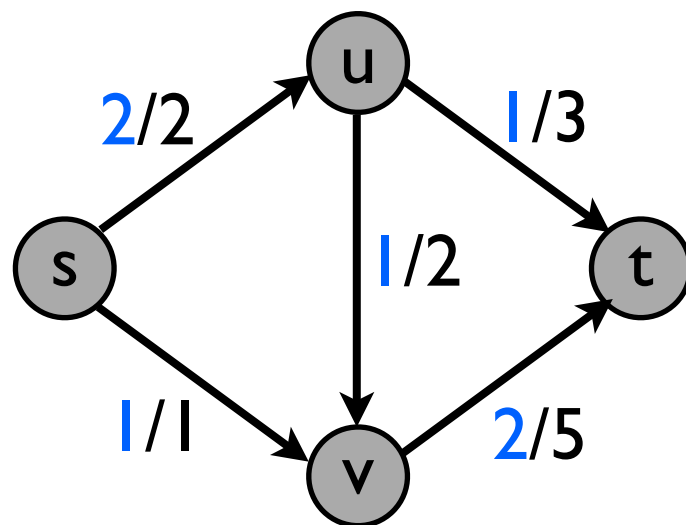
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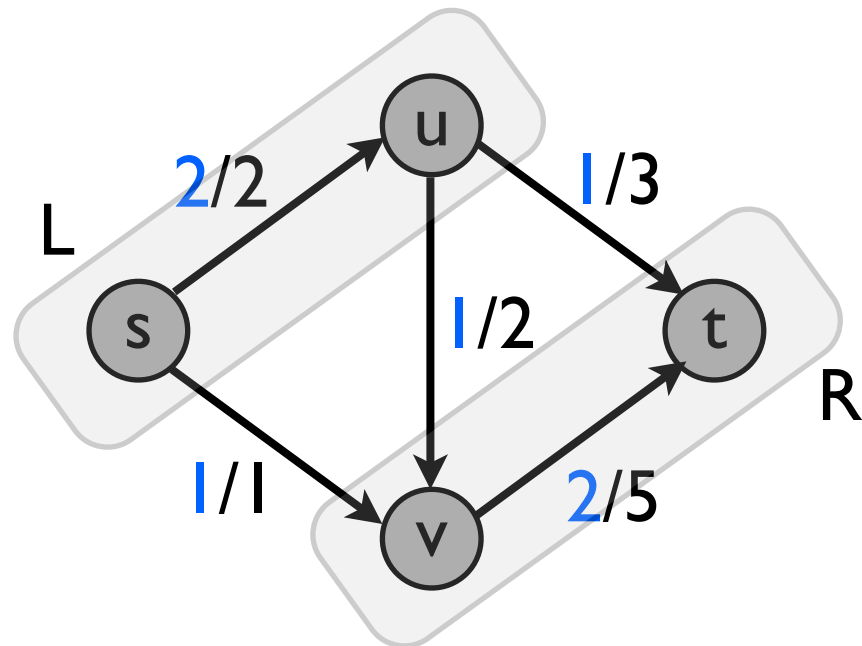


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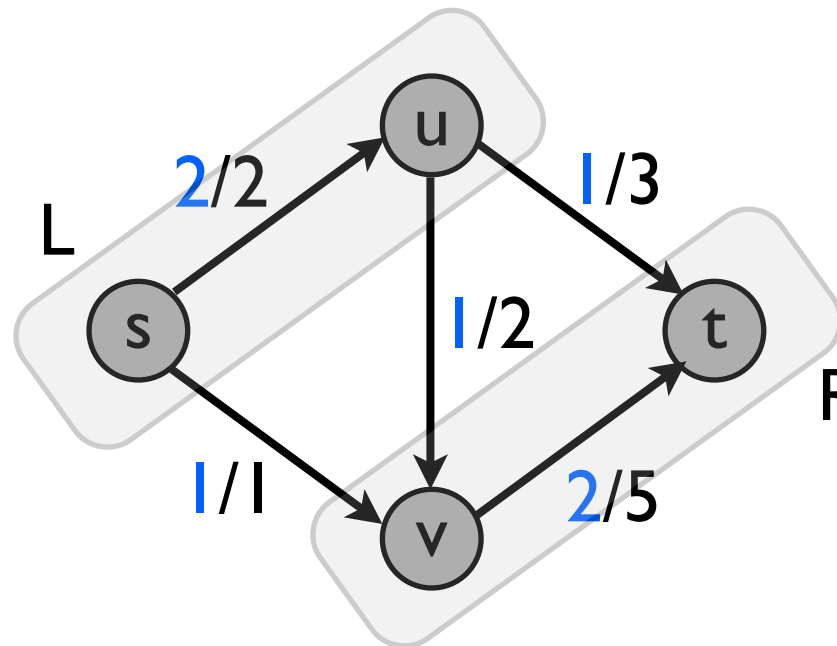


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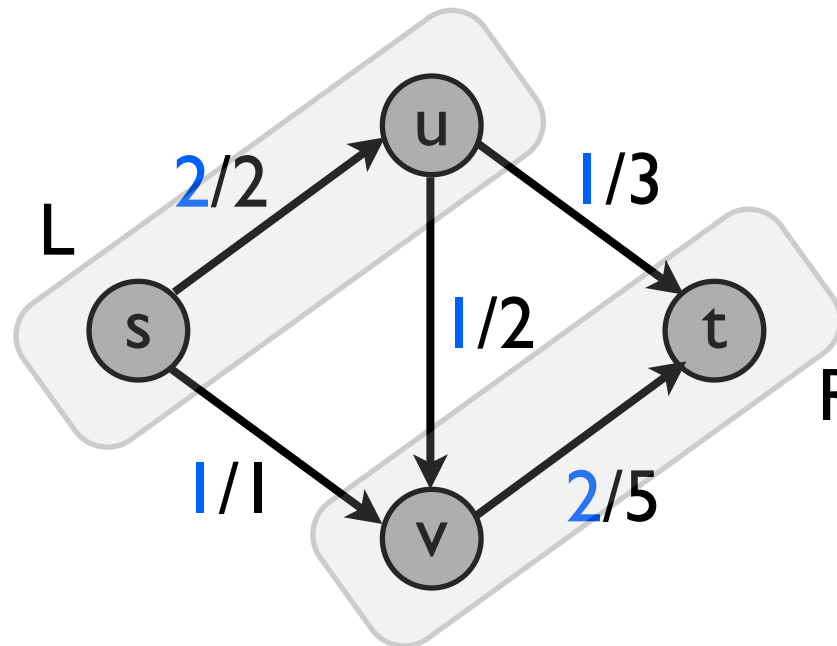
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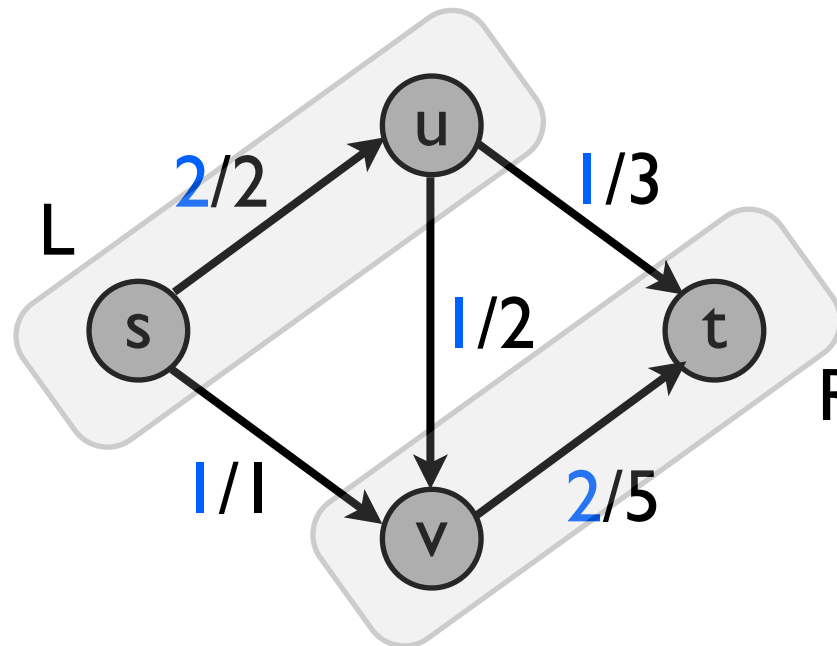
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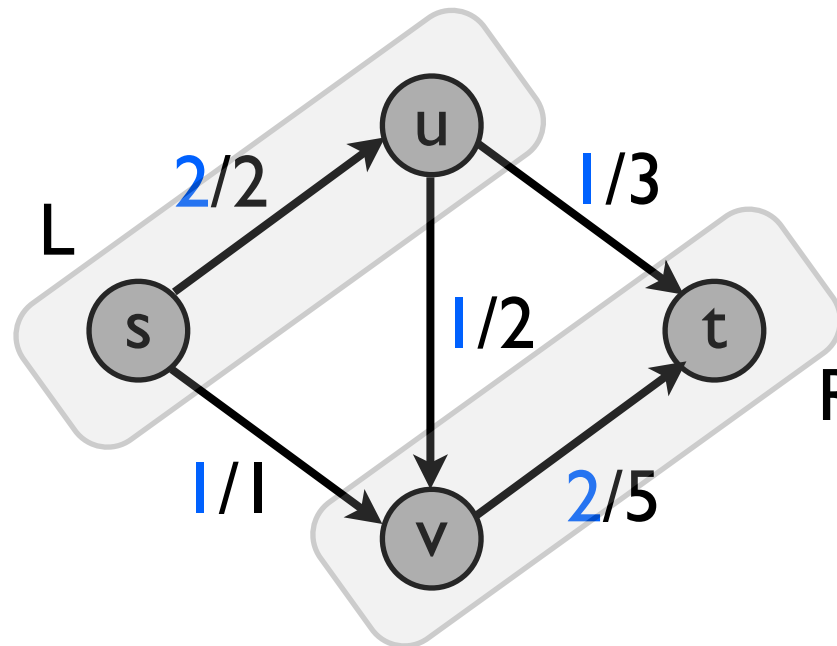
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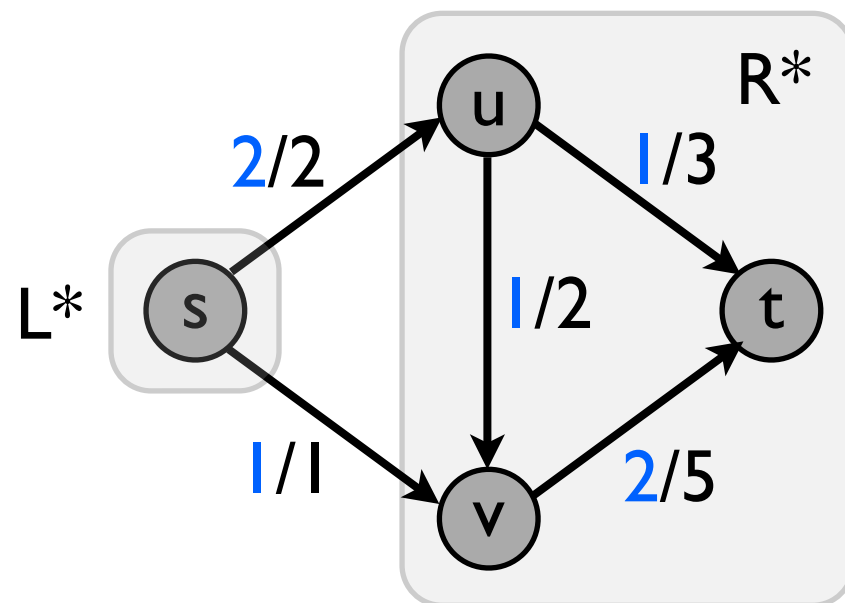
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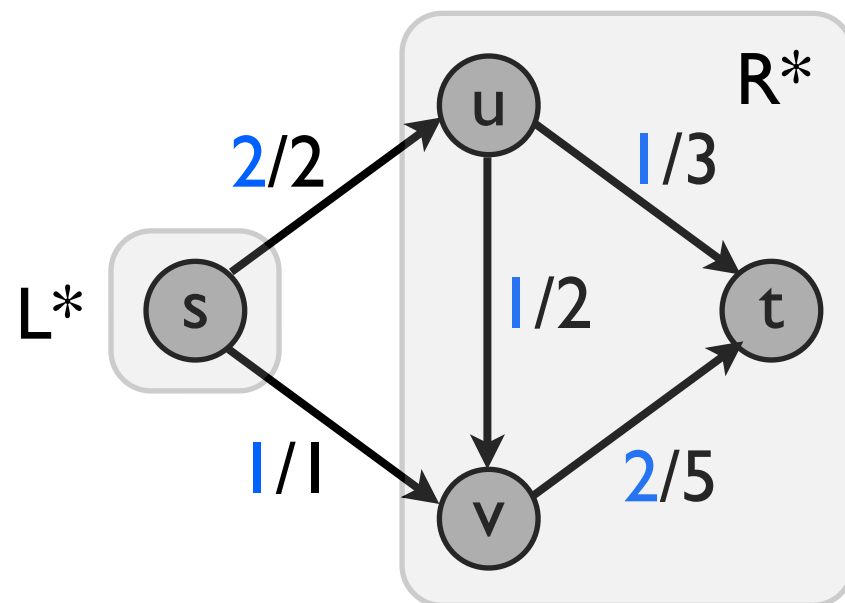
**Max-Flow  $\leq$  Min-Cut**

In our example: Size of  $f = 3$ , Capacity of Cut  $(s, V - s) = 3$

**Cuts**  
**Flows**

# Flows and Cuts

**The Max Flow Problem:** Given directed graph  $G=(V,E)$ , source  $s$ , sink  $t$ , edge capacities  $c(e)$ , find an  $s$ - $t$  flow of maximum size



Size of  $f = 3$

An **s-t Cut** partitions nodes into groups  $= (L, R)$  s.t.  $s$  in  $L, t$  in  $R$

Capacity of a cut  $(L, R) = \sum_{(u,v) \in E, u \in L, v \in R} c(u, v)$

Flow across  $(L, R) = \sum_{(u,v) \in E, u \in L, v \in R} f(u, v) - \sum_{(v,u) \in E, u \in L, v \in R} f(v, u)$

**Property:** For any flow  $f$ , any  $s$ - $t$  cut  $(L, R)$ ,  $\text{size}(f) \leq \text{capacity}(L, R)$

**Proof:** For any cut  $(L, R)$ , Flow Across  $(L, R)$  cannot exceed  $\text{capacity}(L, R)$

From flow conservation constraints,  $\text{size}(f) = \text{flow across}(L, R) \leq \text{capacity}(L, R)$

**Max-Flow  $\leq$  Min-Cut**

In our example: Size of  $f = 3$ , Capacity of Cut  $(s, V - s) = 3$ .

Thus, a Min Cut is a **certificate of optimality for a flow**

**Cuts**  
+  
**Flows**

# Ford-Fulkerson algorithm

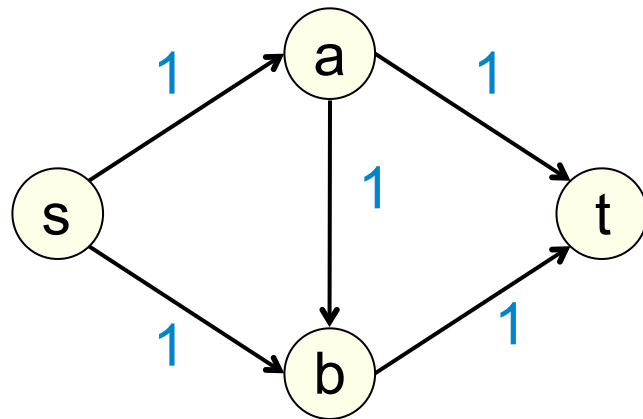
**FF Algorithm:** Start with zero flow

Repeat:

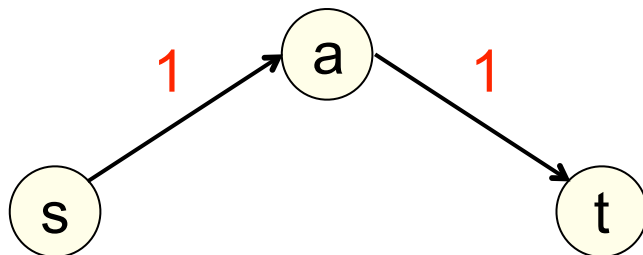
Find a path from s to t along which flow can be increased

Increase the flow along that path

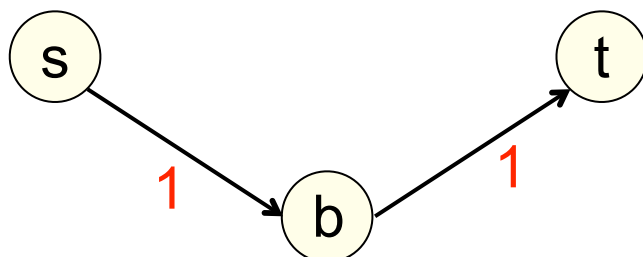
**Example**



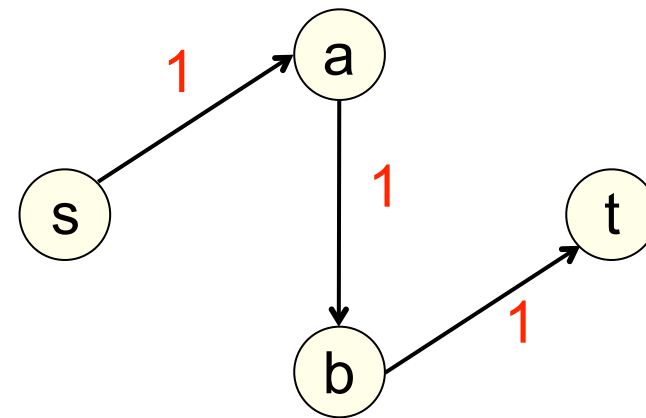
**First choose:**



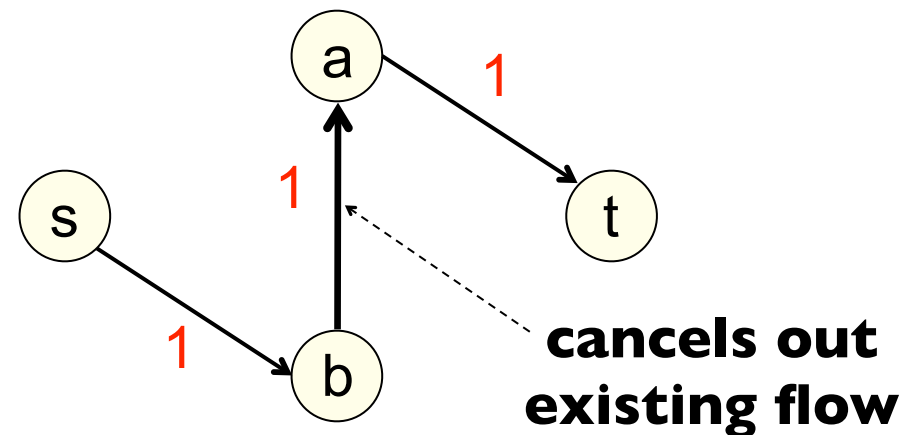
**Next choose:**



**But what if we first chose:**



**Then we'd have to allow:**



# Ford-Fulkerson, continued

**FF Algorithm:** Start with zero flow

Repeat:

Find a path from  $s$  to  $t$  along which flow can be increased

Increase the flow along that path

In any iteration, we have some flow  $f$  and we are trying to improve it. How to do this?

1: Construct a residual graph  $G_f$  (“what’s left to take?”)

$$G_f = (V, E_f) \text{ where } E_f \subseteq E \cup E^R$$

For any  $(u,v)$  in  $E$  or  $E^R$ ,

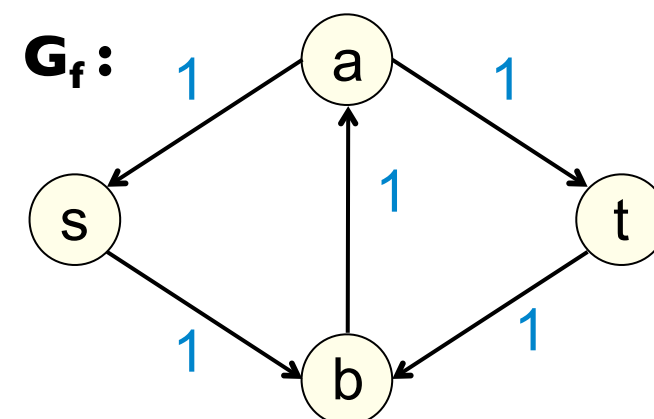
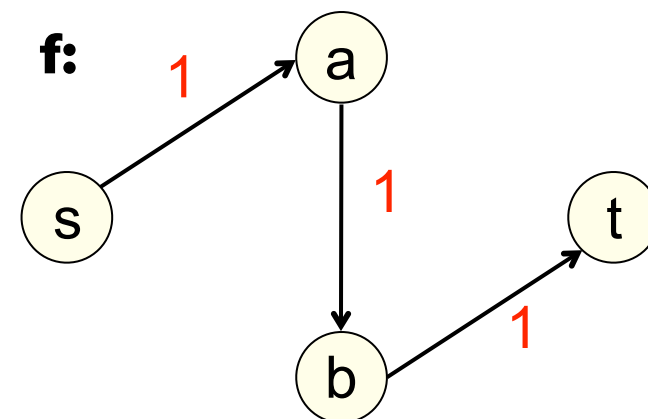
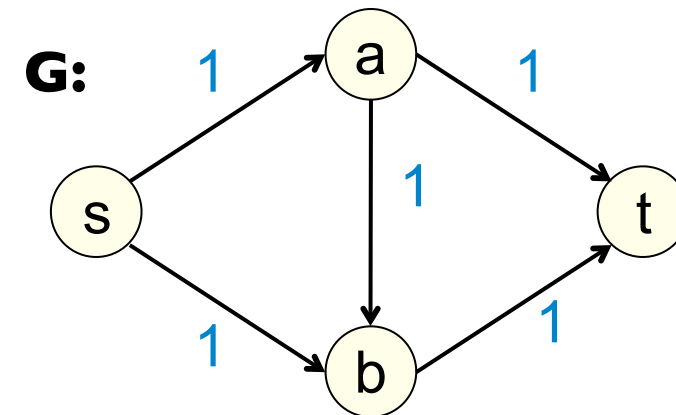
$$c_f(u,v) = c(u,v) - f(u,v) + f(v,u)$$

[ignore edges with zero  $c_f$ : don’t put them in  $E_f$ ]

2: Find a path from  $s$  to  $t$  in  $G_f$

3: Increase flow along this path, as much as possible

**Example**



# Example: Round I

Construct residual graph  $G_f = (V, E_f)$

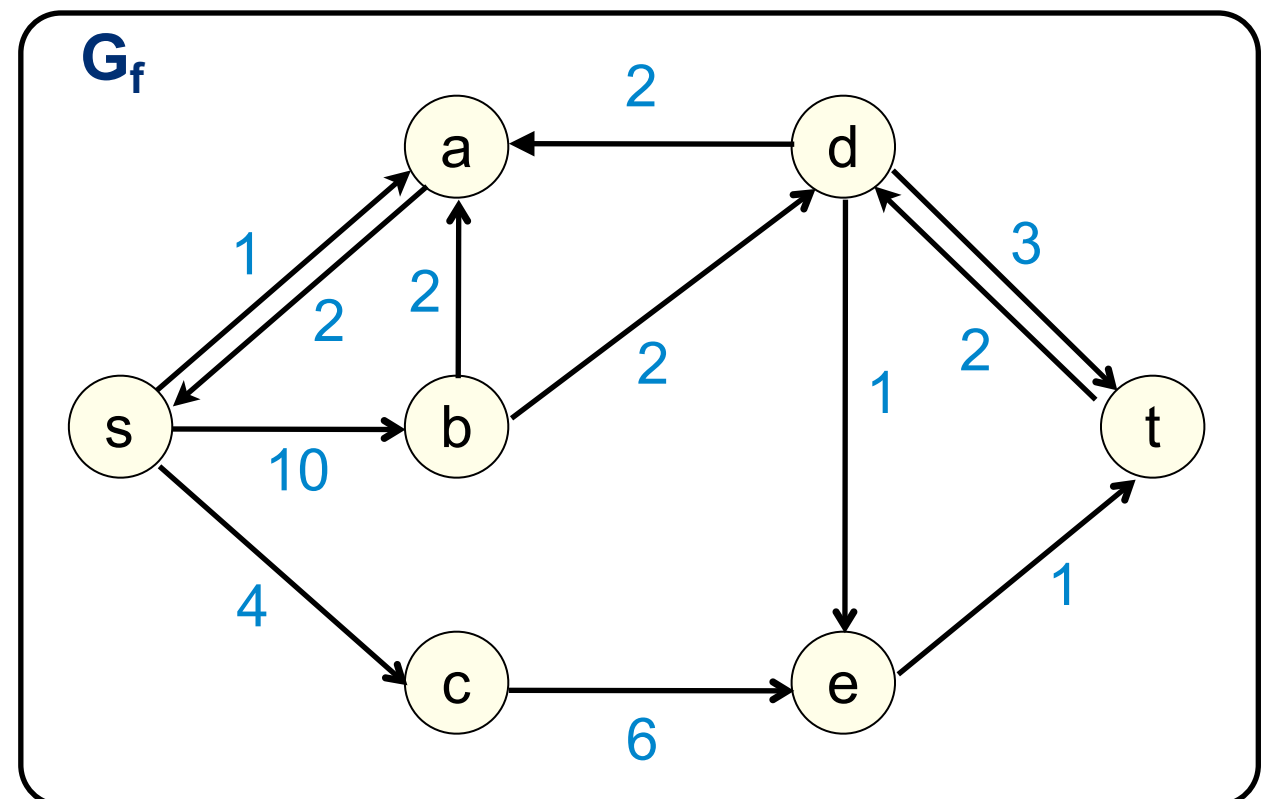
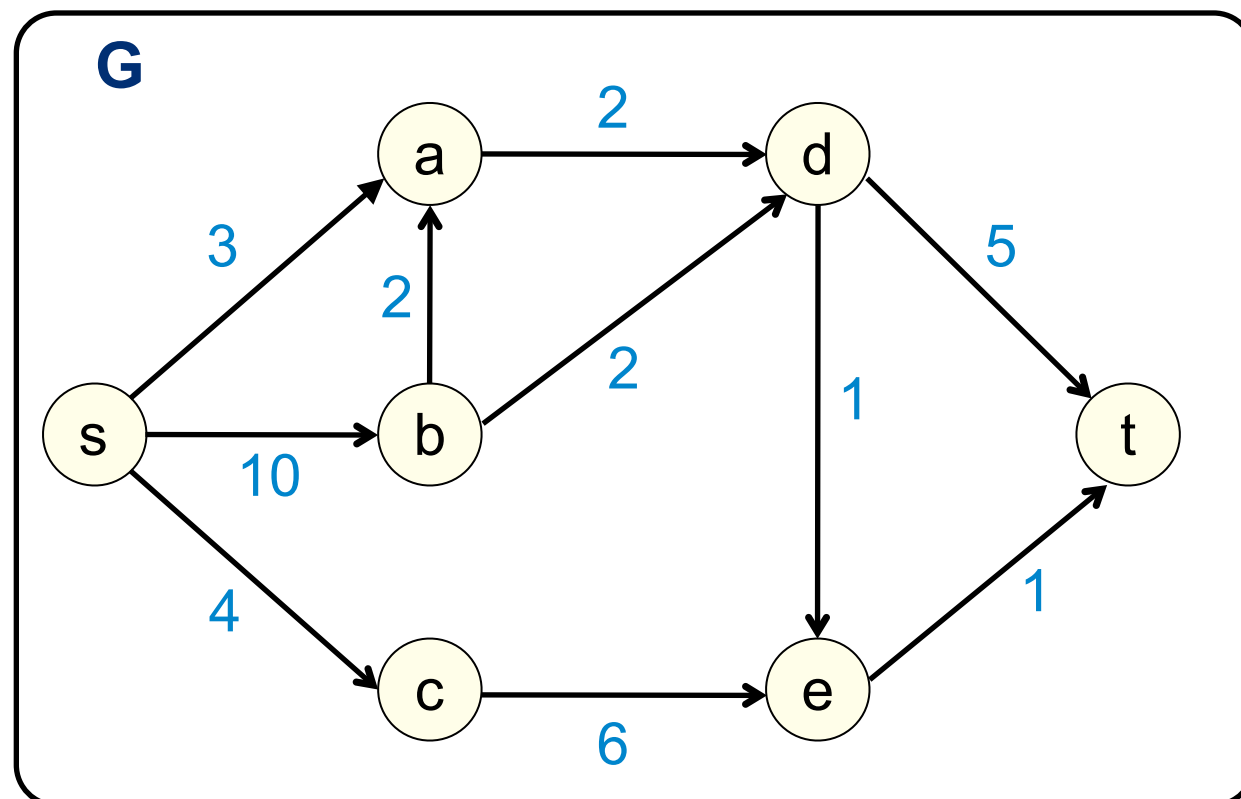
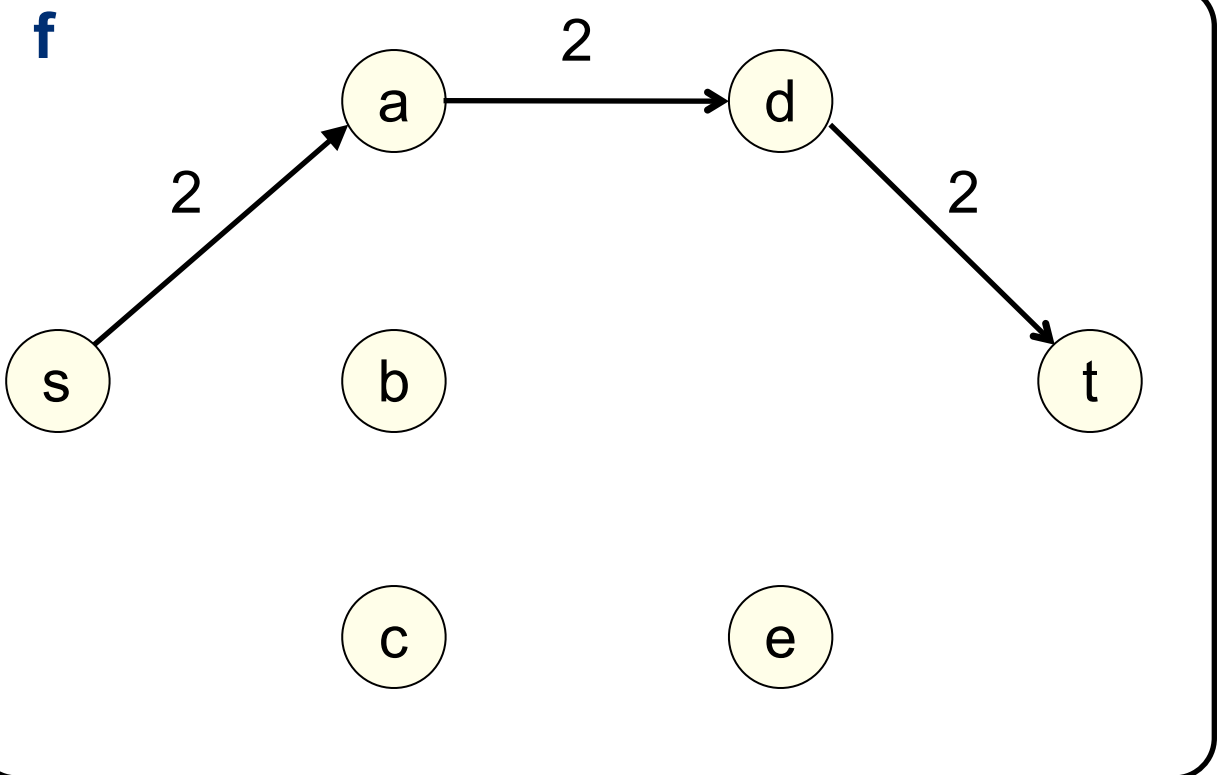
$$E_f \subseteq E \cup E^R$$

For any  $(u,v)$  in  $E$  or  $E^R$ ,

$$c_f(u,v) = c(u,v) - f(u,v) + f(v,u)$$

Find a path from  $s$  to  $t$  in  $G_f$

Augment  $f$  along this path



# Example: Round 2

Construct residual graph  $G_f = (V, E_f)$

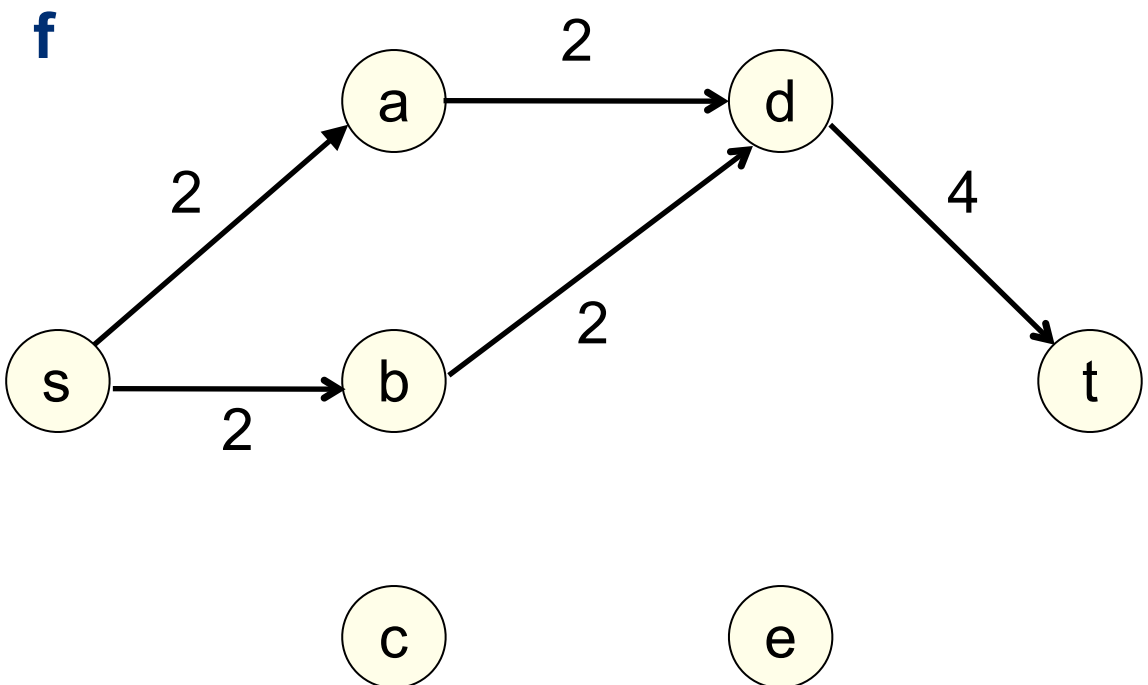
$$E_f \subseteq E \cup E^R$$

For any  $(u,v)$  in  $E$  or  $E^R$ ,

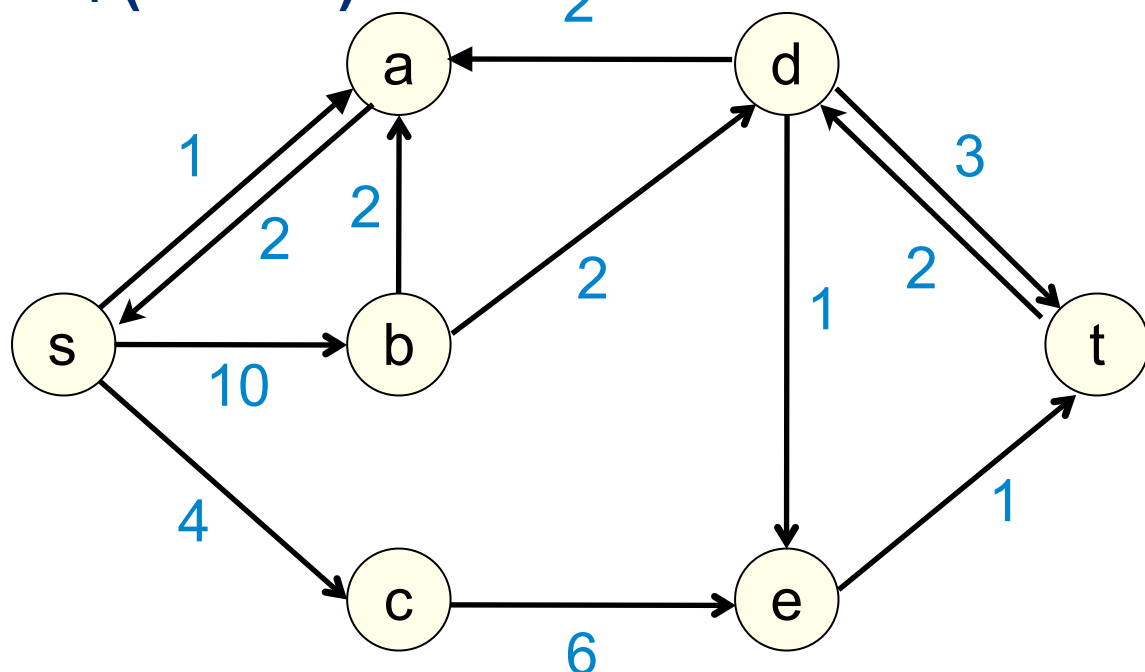
$$c_f(u,v) = c(u,v) - f(u,v) + f(v,u)$$

Find a path from  $s$  to  $t$  in  $G_f$

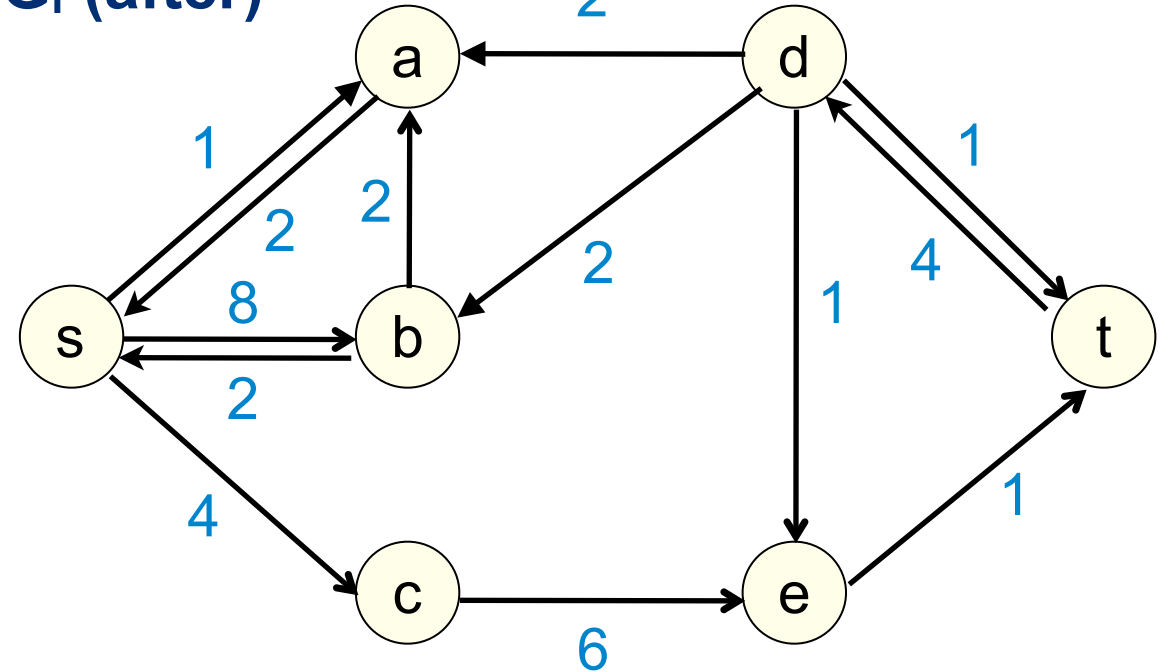
Augment  $f$  along this path



**$G_f$  (before)**



**$G_f$  (after)**



# Example: Round 3

Construct residual graph  $G_f = (V, E_f)$

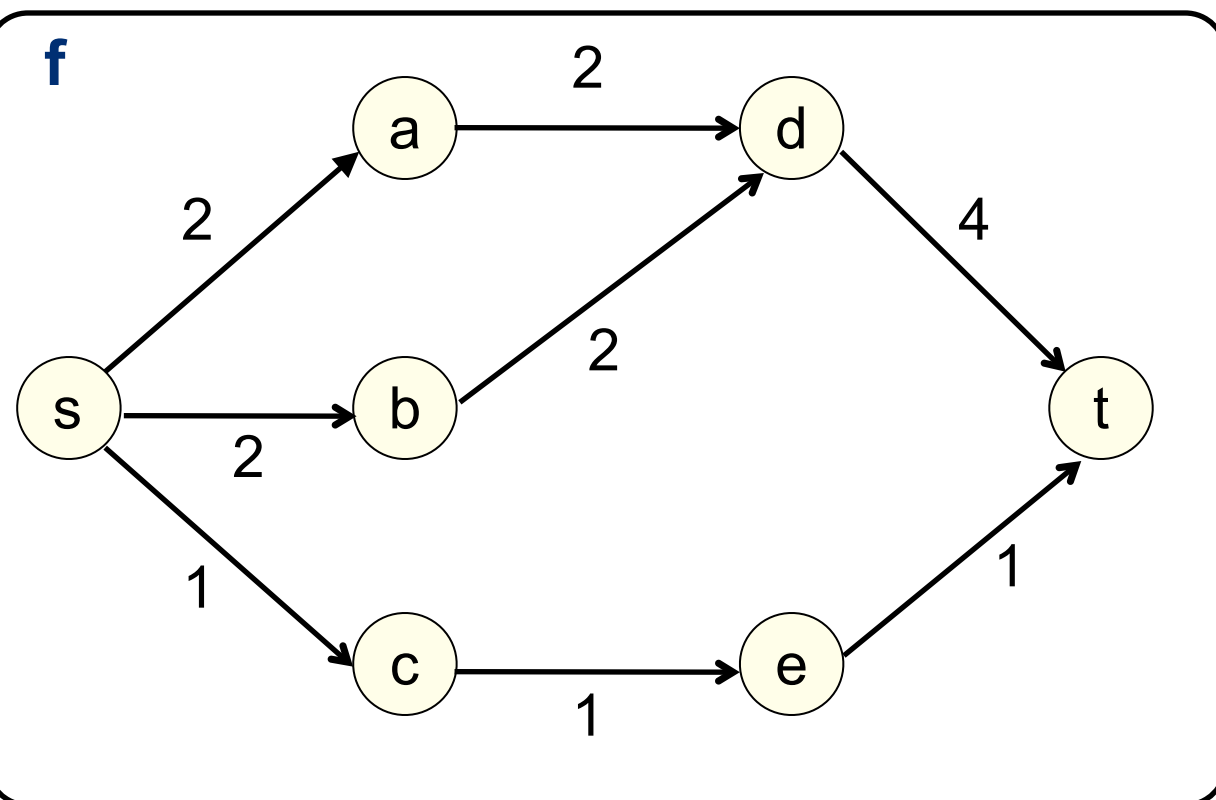
$$E_f \subseteq E \cup E^R$$

For any  $(u,v)$  in  $E$  or  $E^R$ ,

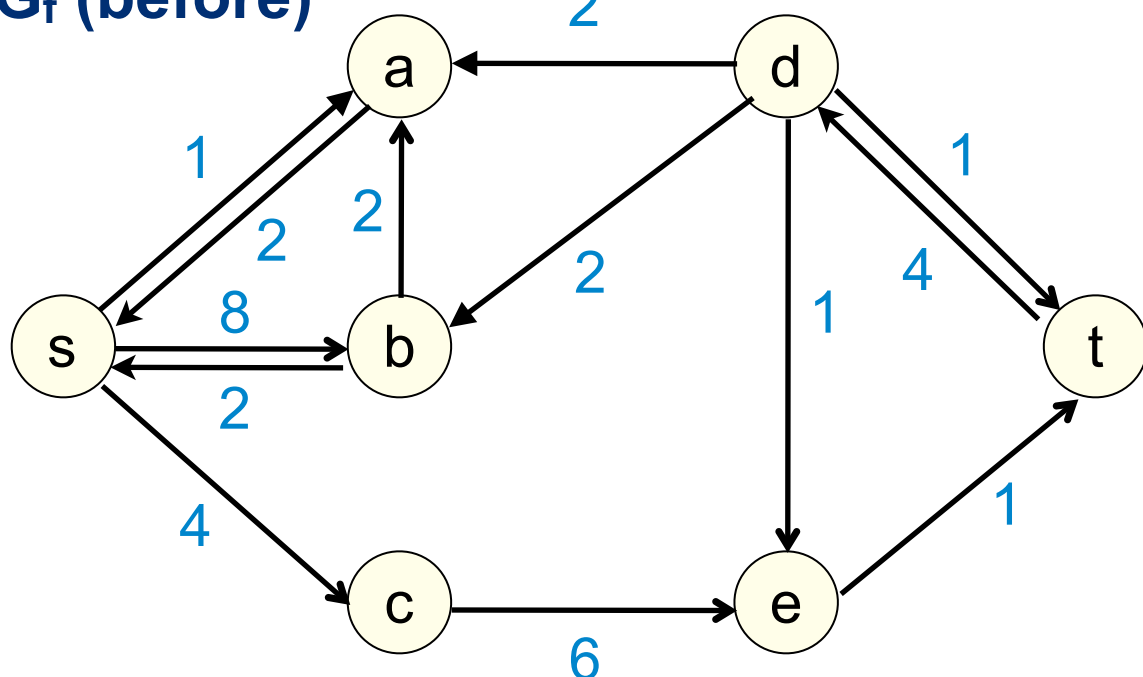
$$c_f(u,v) = c(u,v) - f(u,v) + f(v,u)$$

Find a path from  $s$  to  $t$  in  $G_f$

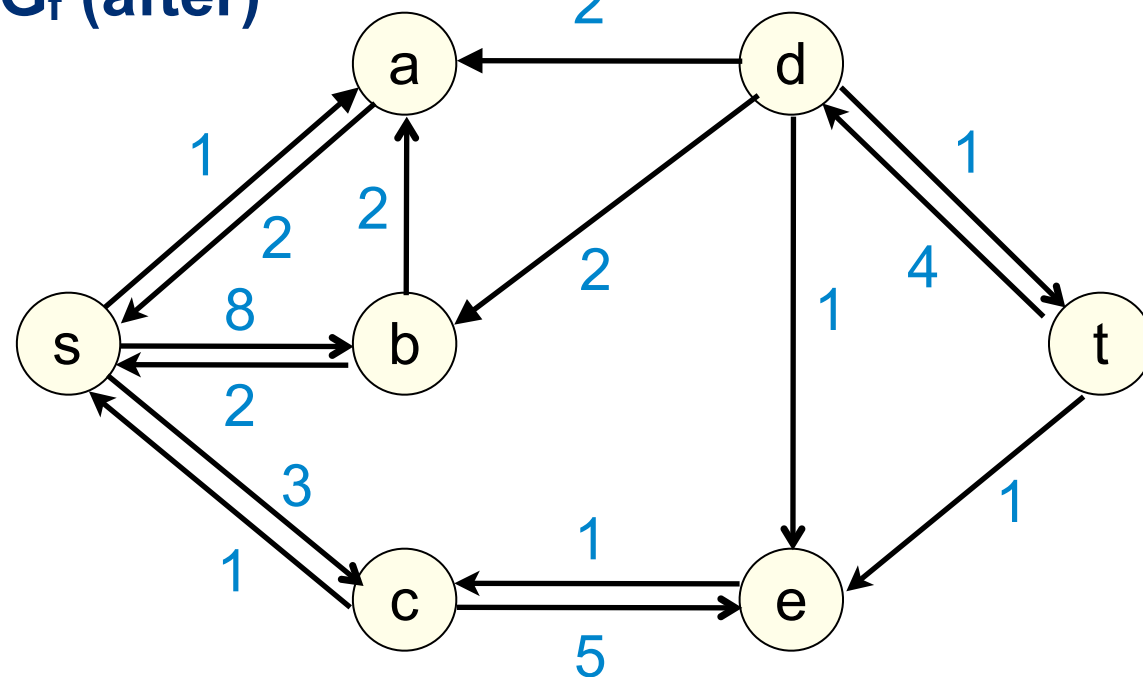
Augment  $f$  along this path



**$G_f$  (before)**



**$G_f$  (after)**



# Example: Round 3

Construct residual graph  $G_f = (V, E_f)$

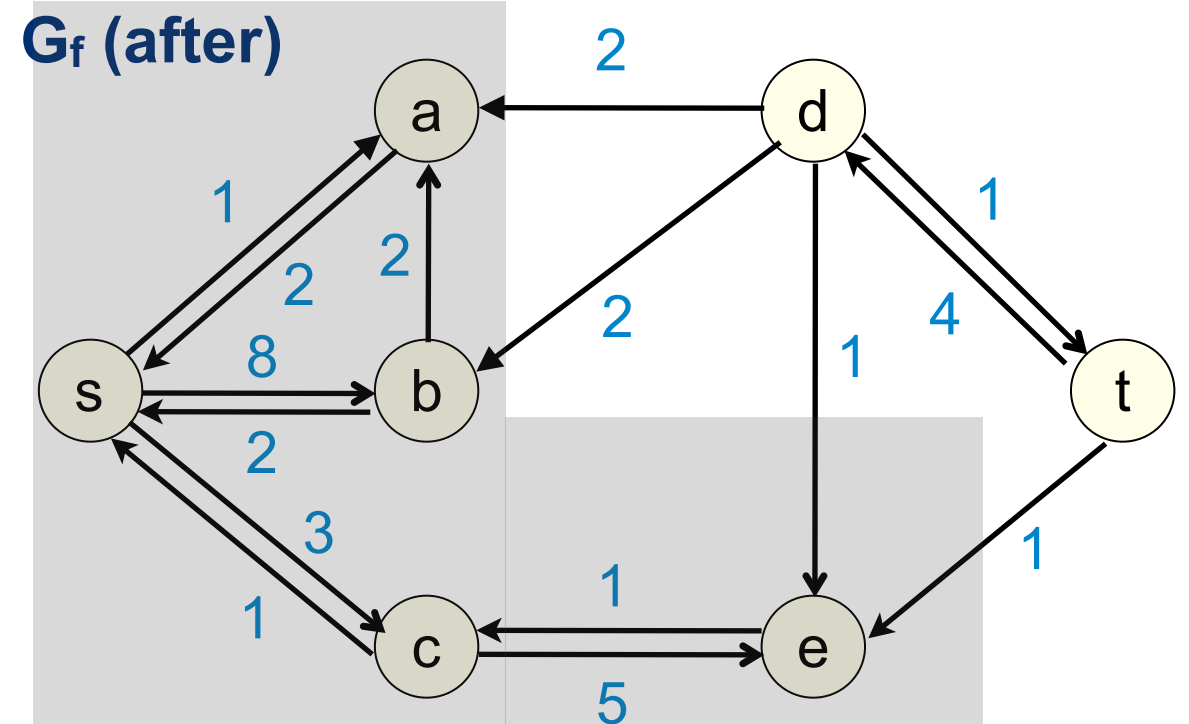
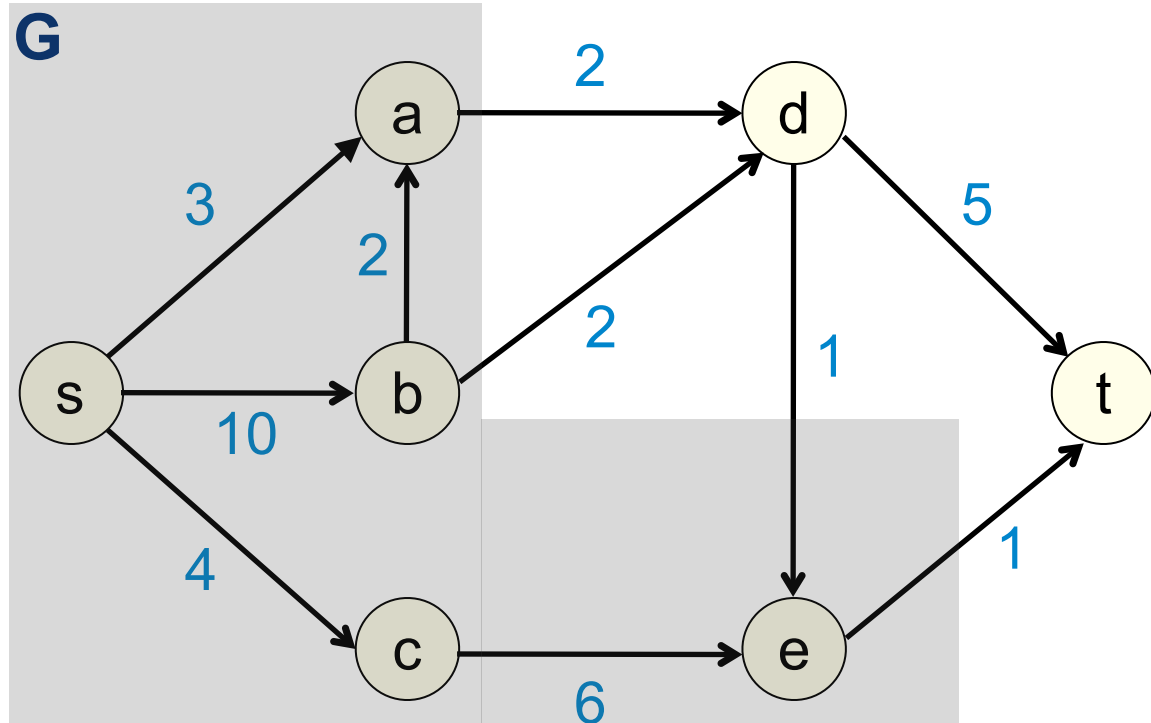
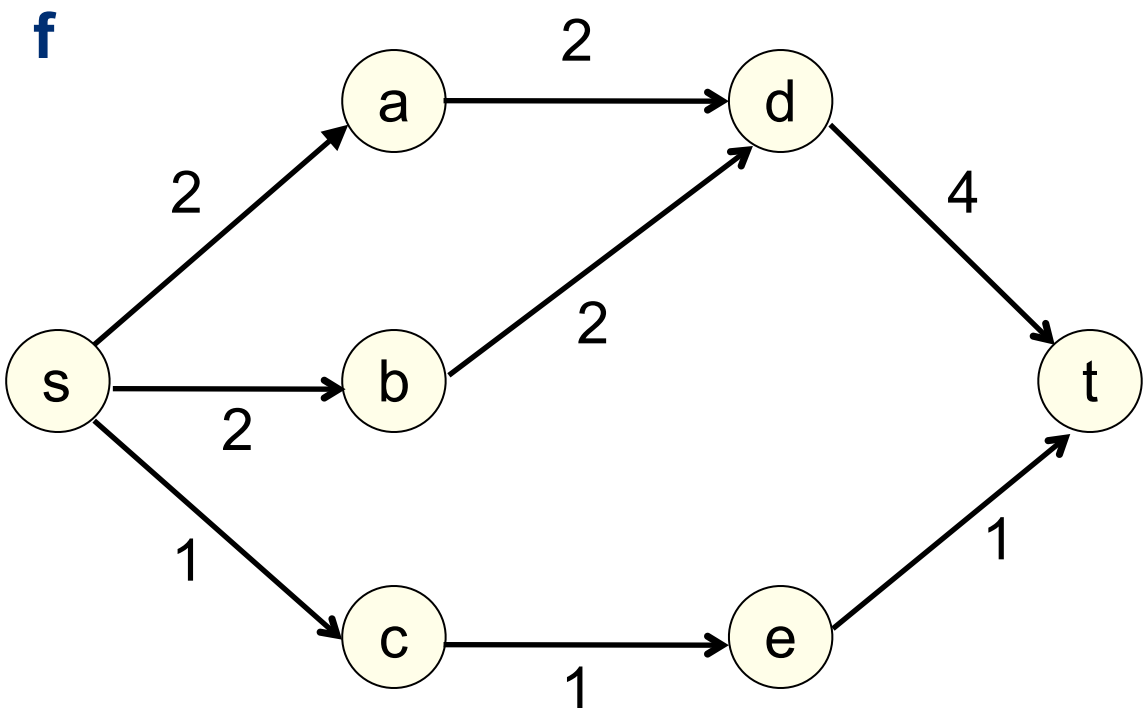
$$E_f \subseteq E \cup E^R$$

For any  $(u,v)$  in  $E$  or  $E^R$ ,

$$c_f(u,v) = c(u,v) - f(u,v) + f(v,u)$$

Find a path from  $s$  to  $t$  in  $G_f$

Augment  $f$  along this path





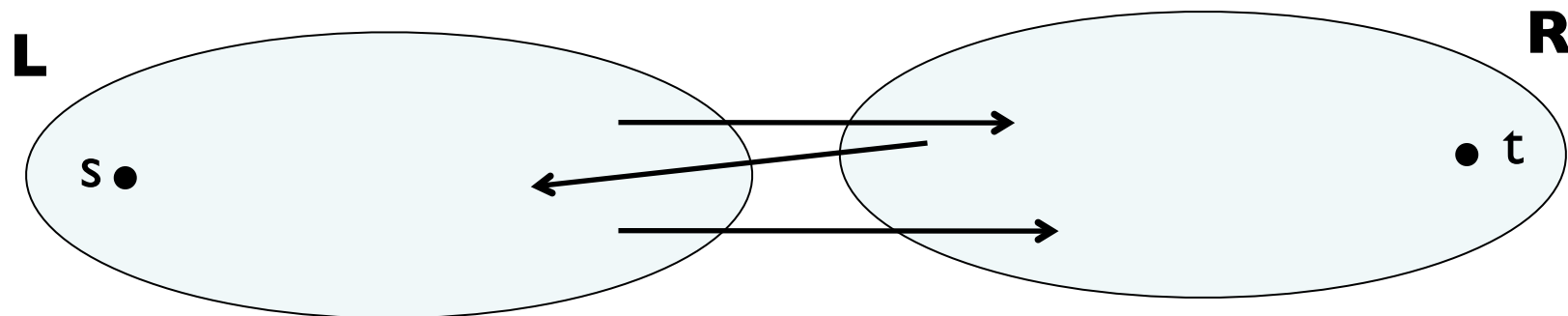
# Analysis: Correctness

FF algorithm gives us a valid flow. But is it the **maximum** possible flow?

Consider final residual graph  $G_f = (V, E_f)$

Let  $L$  = nodes reachable from  $s$  in  $G_f$  and let  $R$  = rest of nodes =  $V - L$

So  $s \in L$  and  $t \in R$



Edges from  $L$  to  $R$  must be at full capacity

Edges from  $R$  to  $L$  must be empty

Therefore, flow across cut  $(L, R)$  is

$$\sum_{(u,v) \in E, u \in L, v \in R} c(u, v)$$

Thus,  $\text{size}(f) = \text{capacity}(L, R)$

Recall: for any flow and any cut,  
 $\text{size}(\text{flow}) \leq \text{capacity}(\text{cut})$

Therefore  $f$  is the **max flow** and  $(L, R)$  is the **min cut**!

Thus, **Max Flow = Min Cut**

**Cuts**  
+  
**Flows**