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# Assembly and annotation of diploid and polyploid genomes with PacBio

Sarah B Kingan, Bioinformatics Scientist, PacBio Applications  
January 12<sup>th</sup>, 2018, San Diego Botanical Garden

# AGENDA

- Intro to PacBio data for genome assembly and annotation
- Assembly workflow using FALCON-Unzip
- Understanding assembly output for complex genomes

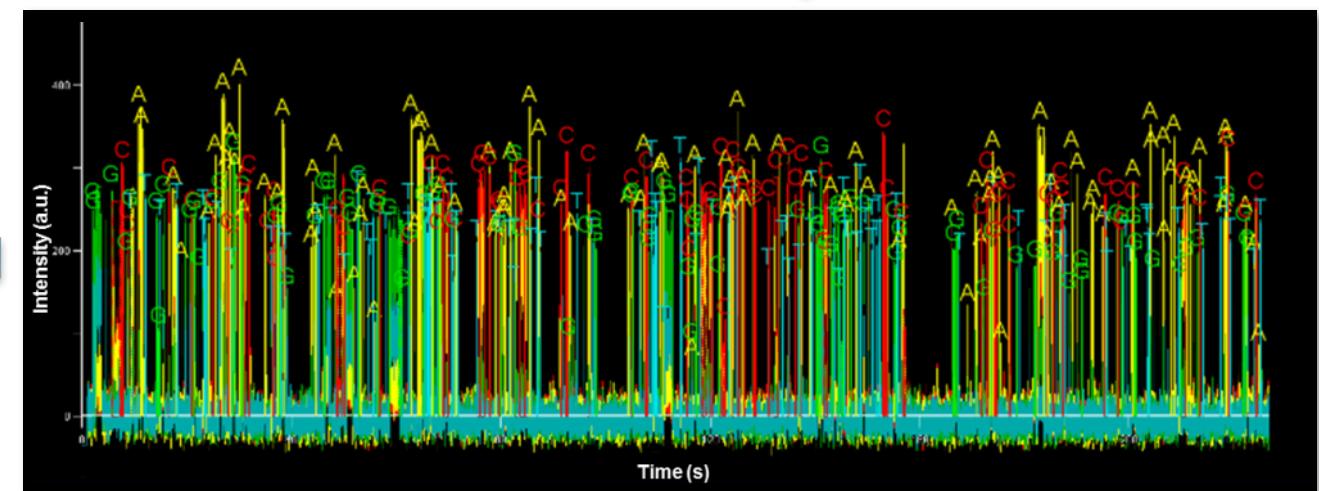
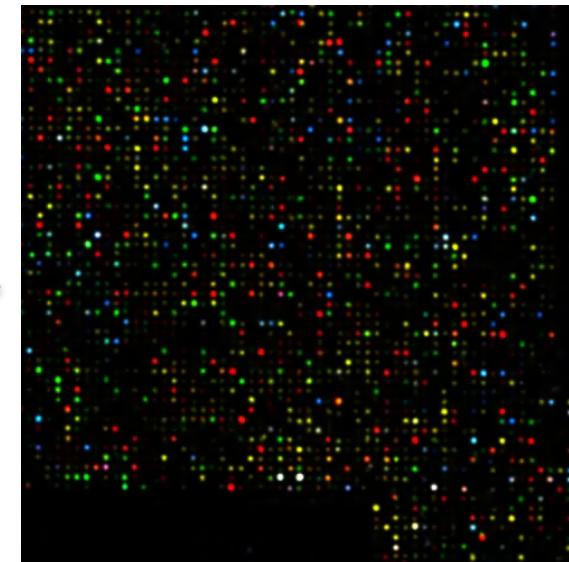
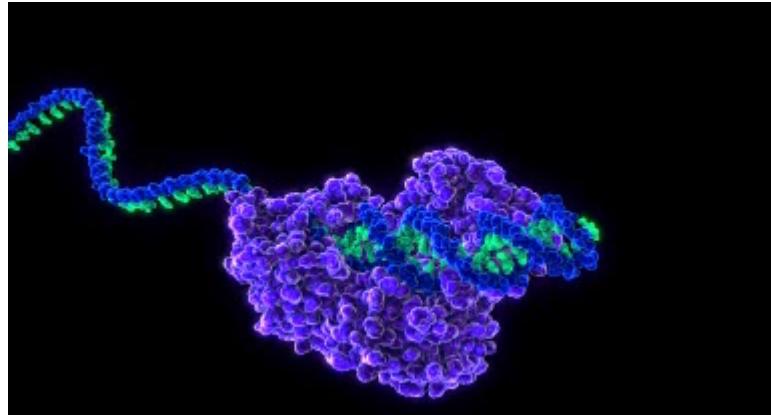


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# Intro to PacBio data

Applications for genome assembly and annotation

# SINGLE MOLECULE, REAL-TIME (SMRT) DNA SEQUENCING



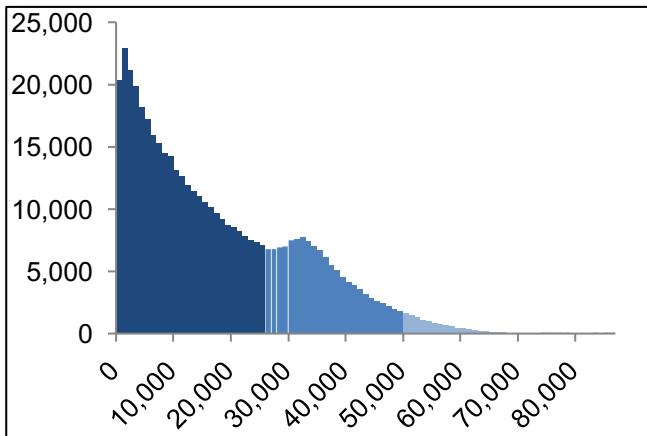
## SEQUEL SYSTEM

- Throughput per Cell: 5 – 10 Gb
- Average read length: 10 – 20 kb
- Read per cell: 400,000
- SMRT Cells per run: 1 – 16
- Improved performance with new chemistry and software release February 2018



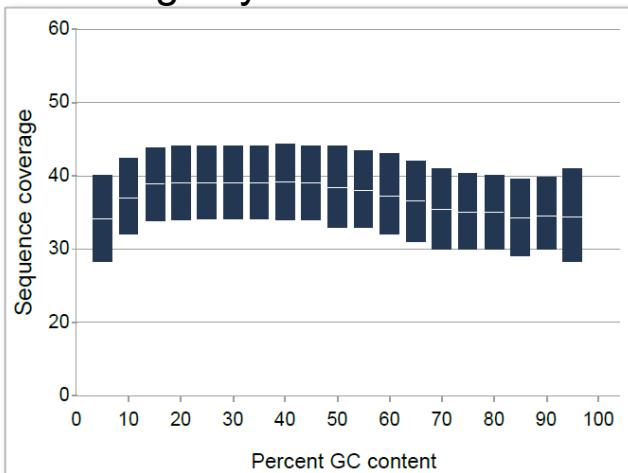
# SMRT SEQUENCING CHARACTERISTICS

## Read Length Histogram



Rice 30 kb size-selected library using the Express kit, Sequel System with 2.1 Chemistry, 5.1 Sequel System Software.

## Coverage by GC%



40 kb human library on a Sequel System using 2.1 chemistry and SMRT® Analysis v 5.1

## Long Reads

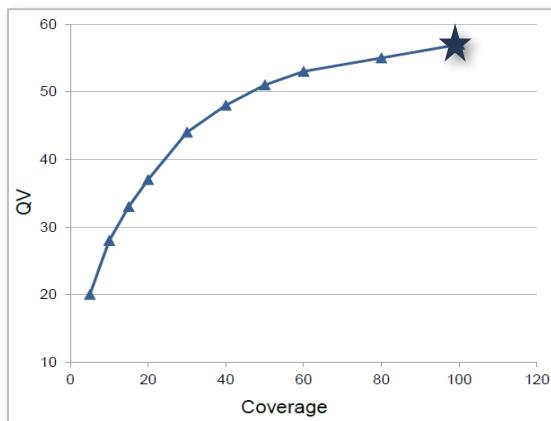
- Resolve repeats
- Contiguous, gapless contig assemblies
- Long-range haplotype phasing

## Uniform, Unbiased Coverage

- Sequence *entire* genome
- Longer, more complete assemblies

# SMRT SEQUENCING CHARACTERISTICS

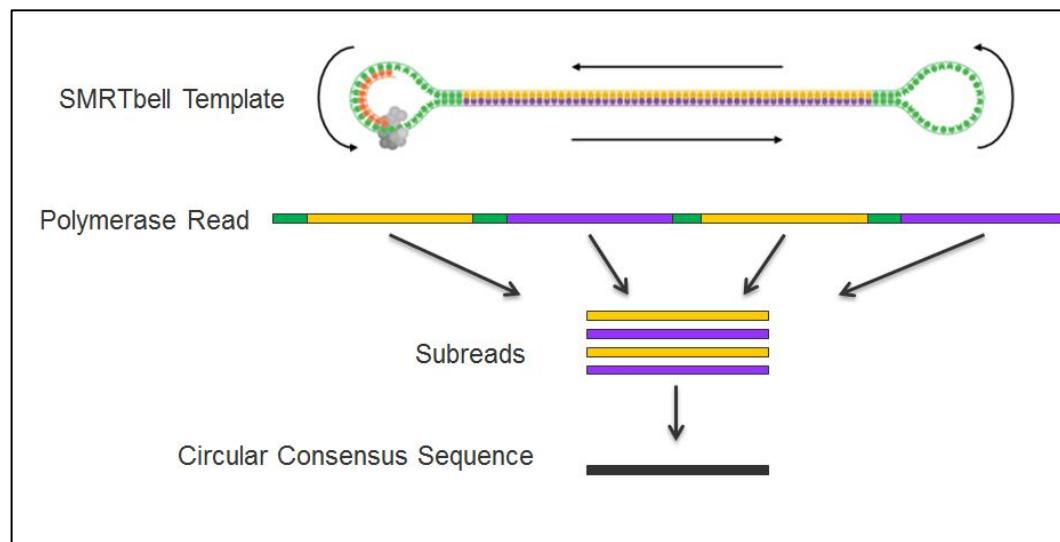
## QV by Coverage



25 kb *E. coli* library on a Sequel System  
using 2.1 chemistry and SMRT® Analysis v 5.1

## High Consensus Accuracy

- Random error profile
- Achieves QV50
- 99.999% accuracy





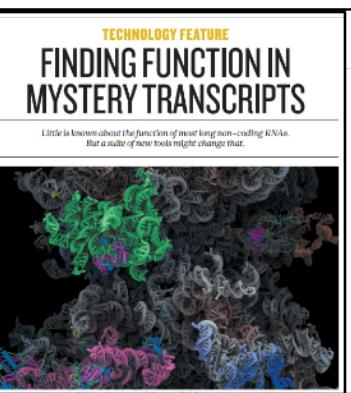
## PACBIO APPLICATIONS

- Whole Genome Sequencing
- Isoform Sequencing (Iso-Seq Analysis)
- Structural Variants
- Targeted Sequencing
- Microbial Epigenetics



**Stanford MEDICINE** News Center

Euan Ashley and his collaborators used long-read genome sequencing to diagnose a rare condition in a Stanford patient. It's the first time the technique has been used in a clinical setting.



**WIRED**

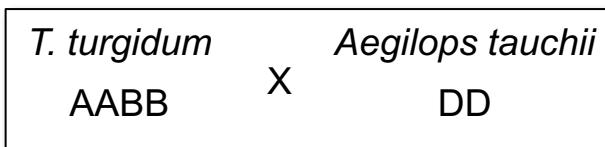
### A COFFEE RENAISSANCE IS BREWING, AND IT'S ALL THANKS TO GENETICS

Get Ready for a Coffee Renaissance. Thanks, Genetics!



# WHY PACBIO FOR *DE NOVO* GENOME ASSEMBLY?

- *Triticum aestivum* (bread wheat)
- Genome size >15 Gb
- allohexaploid (AABBDD)




**nature**

NATURE | NEWS

Small group scoops international effort to sequence huge wheat genome

Just six scientists conquer one of the most complicated genomes ever read.

Ewen Callaway

31 October 2017

Assembly	Data	Length	Contig N50
IWGSC <sup>1</sup>	100-fold ILM	10.2 Gb	8.9 kb
FALCON <sup>2</sup>	36-fold PB	12.9 Gb	215 kb
MaSuRCA <sup>2</sup>	36-fold PB + 64-fold ILM	17.0 Gb	76 kb
Merged <sup>2</sup>	NA	15.3 Gb	233 kb



GigaScience, 6, 2017, 1-7  
doi: 10.1093/gigascience/gix097  
Advance Access Publication Date: 23 October 2017  
Data Note

OXFORD (GIGA) SCIENCE

DATA NOTE  
The first near-complete assembly of the hexaploid bread wheat genome, *Triticum aestivum*  
Aleksey V. Zimin<sup>1,2</sup>, Daniela Puiu<sup>1</sup>, Richard Hall<sup>3</sup>, Sarah Kingan<sup>3</sup>,  
Bernardo J. Clavijo<sup>4</sup> and Steven L. Salzberg<sup>1,5,\*</sup>

<sup>1</sup>Center for Computational Biology, McKusick-Nathans Institute of Genetic Medicine, Johns Hopkins University School of Medicine, Baltimore, MD 21205, USA, <sup>2</sup>Institute for Physical Sciences and Technology, University of Maryland, College Park, MD 20742, USA, <sup>3</sup>Pacific Biosciences, 1305 O'Brien Dr, Menlo Park, CA 94025, USA, <sup>4</sup>Earlham Institute, Norwich Research Park Innovation Centre, Colney Ln, Norwich NR4 7UZ, UK and <sup>5</sup>Departments of Biomedical Engineering, Computer Science, and Biostatistics, Johns Hopkins University, Baltimore, MD 21205, USA

\*Corresponding author: Steven L. Salzberg, Center for Computational Biology, McKusick-Nathans Institute of Genetic Medicine, Johns Hopkins University School of Medicine, Baltimore, MD 21205, USA. Tel: 410-614-6112; E-mail: salzberg@jhu.edu

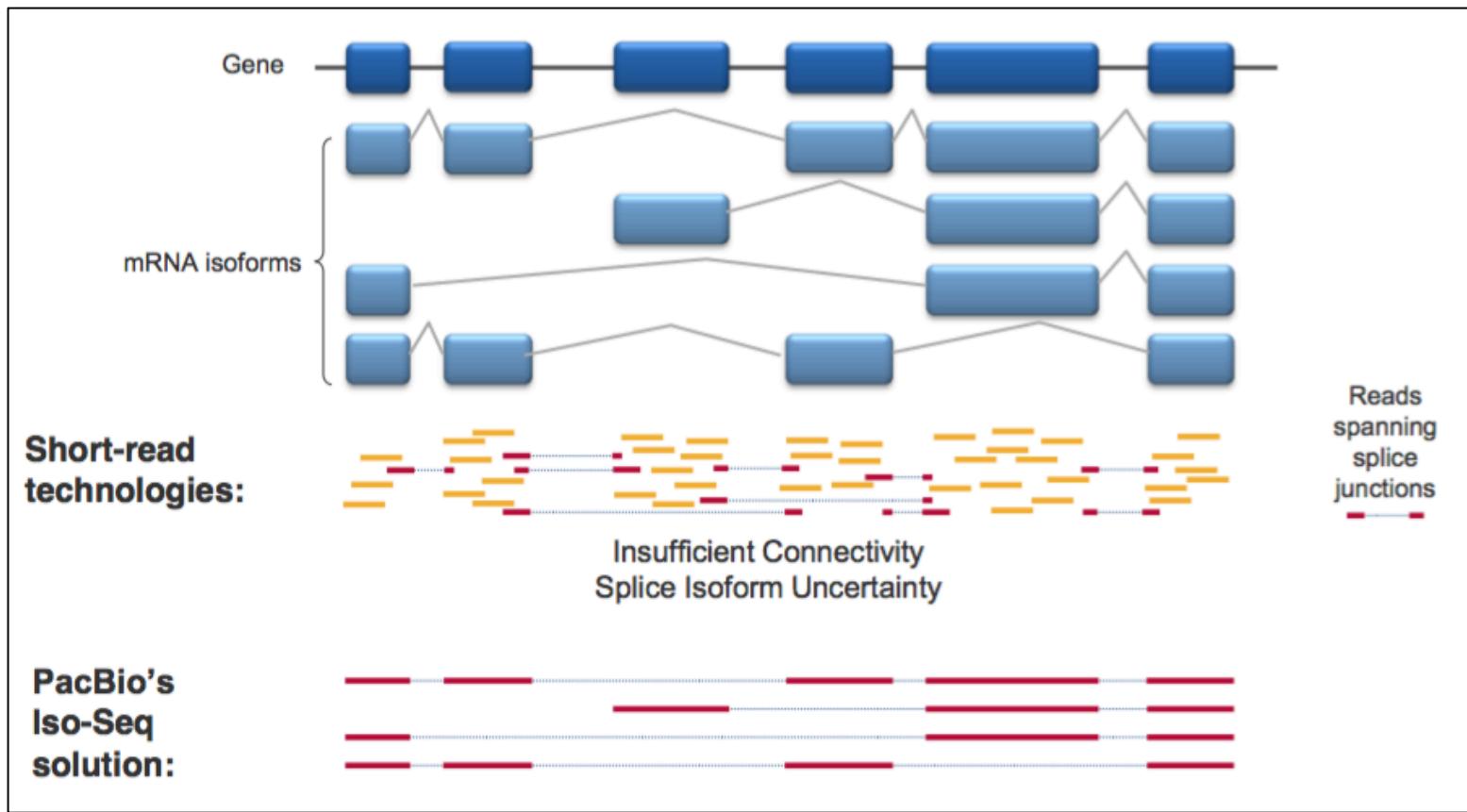
1. IWGSC (2014) Science 345:6194.

2. Zimin et al. (2017) GigaScience 6:1

# WHY PACBIO FOR GENOME ANNOTATION?

- Isoform Sequencing (Iso-Seq Analysis) aka RNA-seq
- Full Length cDNA sequences
- No assembly required

>100 Publications using  
Iso-Seq Analysis



# ISO-SEQ ANALYSIS FOR GENOME ANNOTATION

- Whole RNA extracted from brain
- 2 Sequel cells per sample
- ~400,000 full length isoforms



Zebra Finch

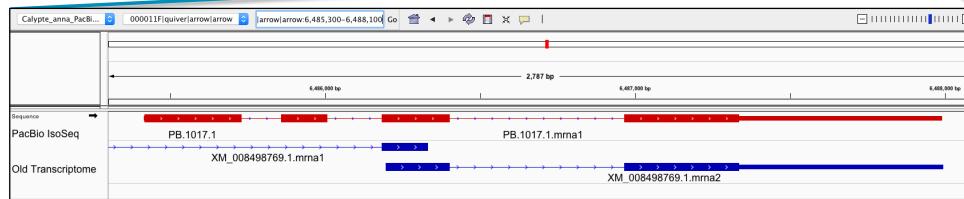
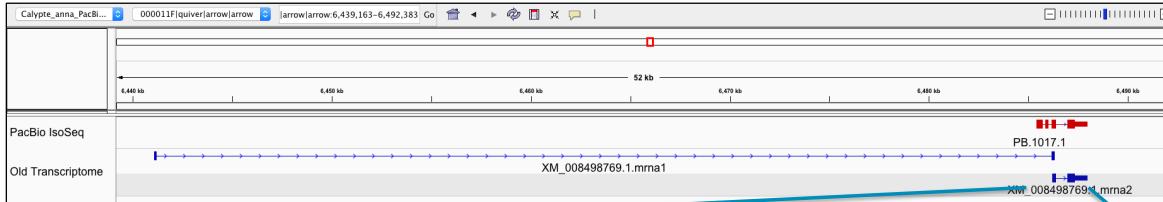


Anna's Hummingbird

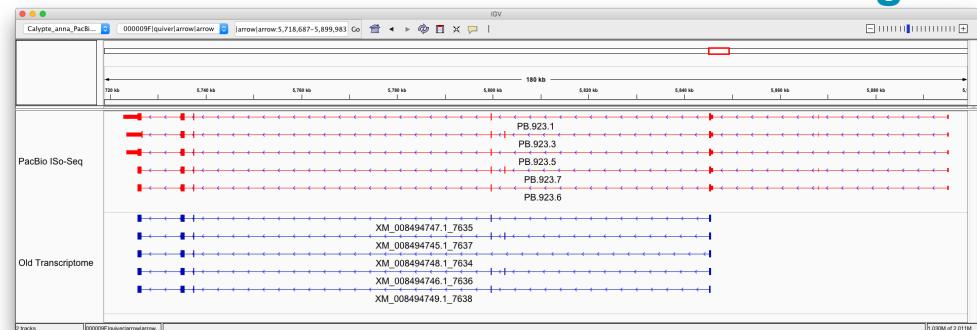
*Taeniopygia guttata*

*Calypte anna*

## Corrected Gene Model: DUSP1



Extended UTRs: neuroligin



# ISO-SEQ ANALYSIS FOR GENOME ANNOTATION

- Whole RNA extracted from brain
- 2 Sequel cells per sample
- ~400,000 full length isoforms



Zebra Finch

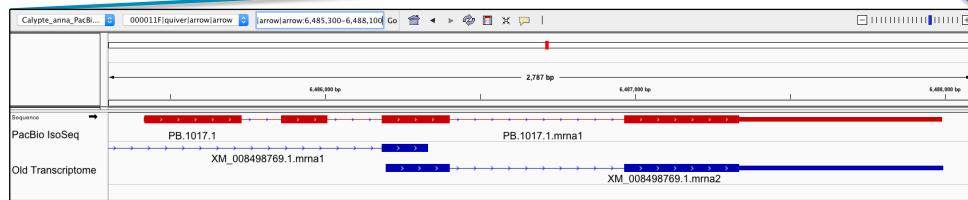
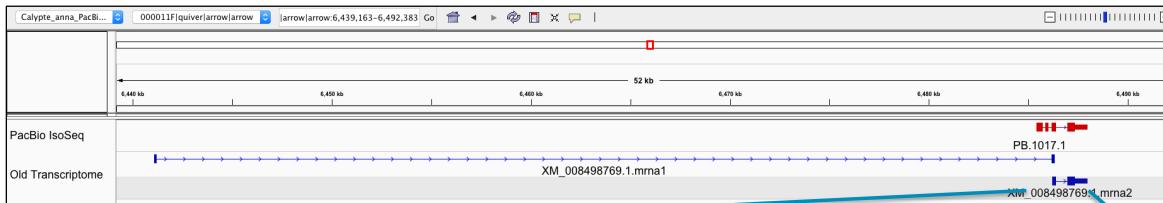


Anna's Hummingbird

*Taeniopygia guttata*

*Calypte anna*

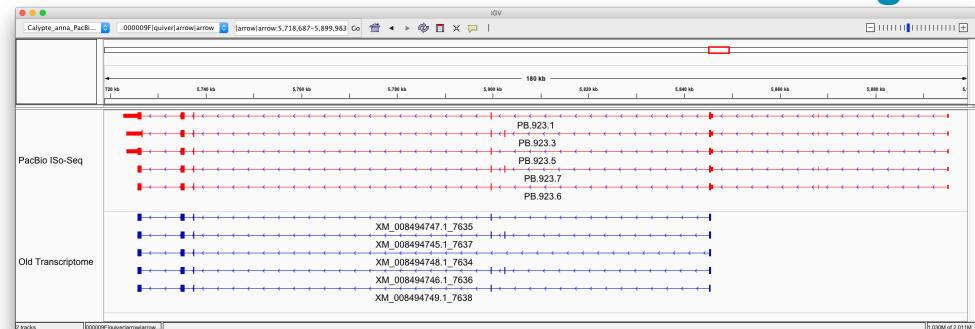
## Corrected Gene Model: DUSP1



1-2 cells per tissue for genome annotation

Analysis in SMRT Link GUI

Extended UTRs: neuroligin



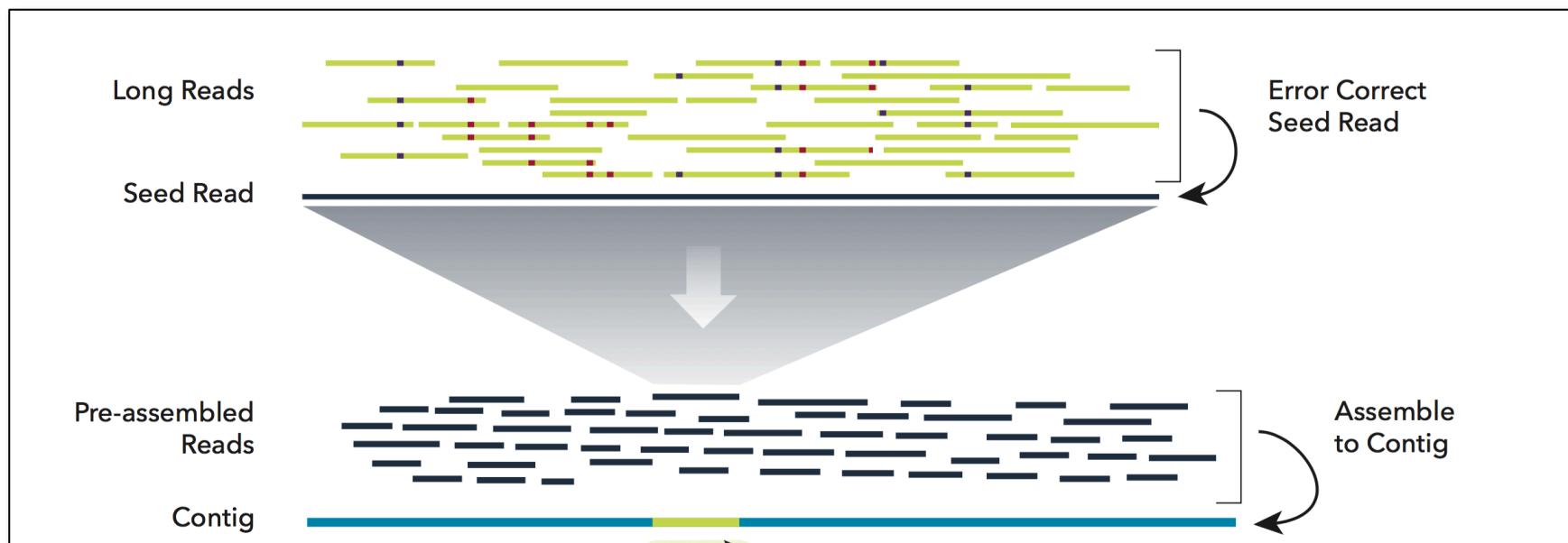
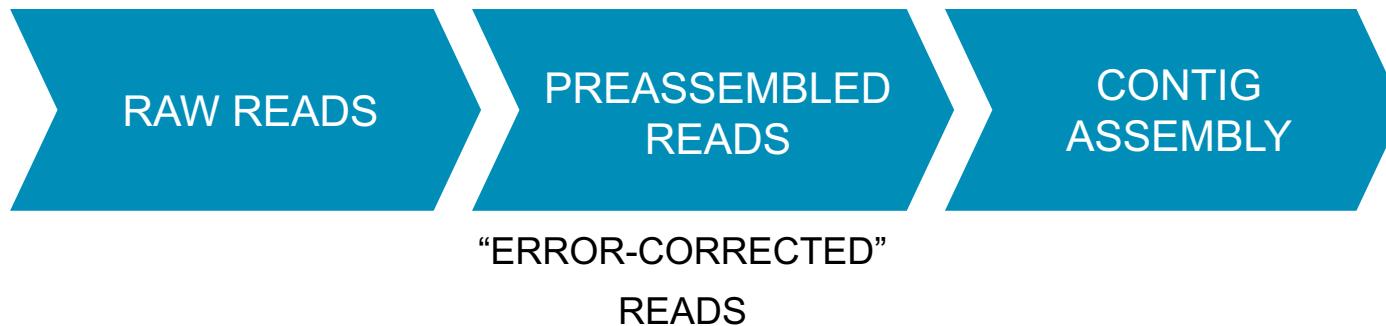


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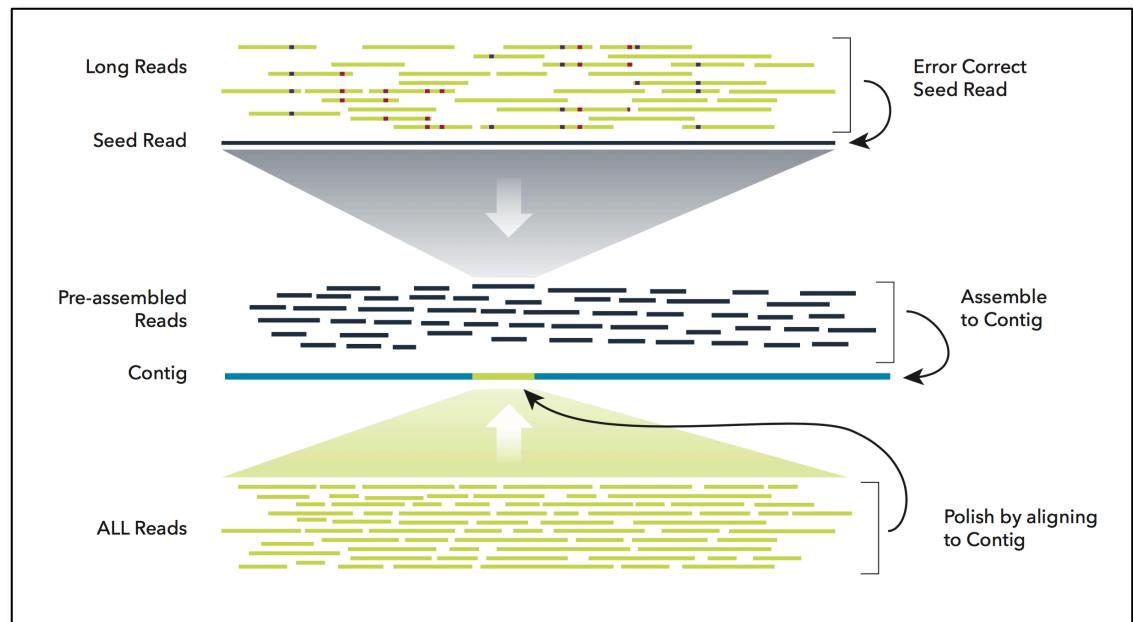
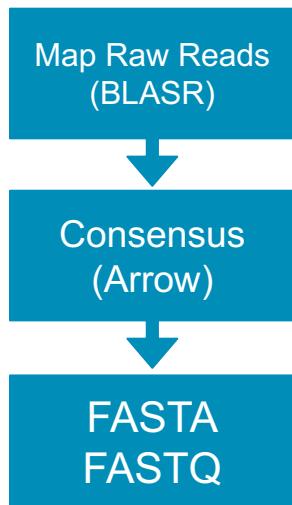
# *de novo* Assembly Workflow

FALCON and FALCON-Unzip for phased contig assembly

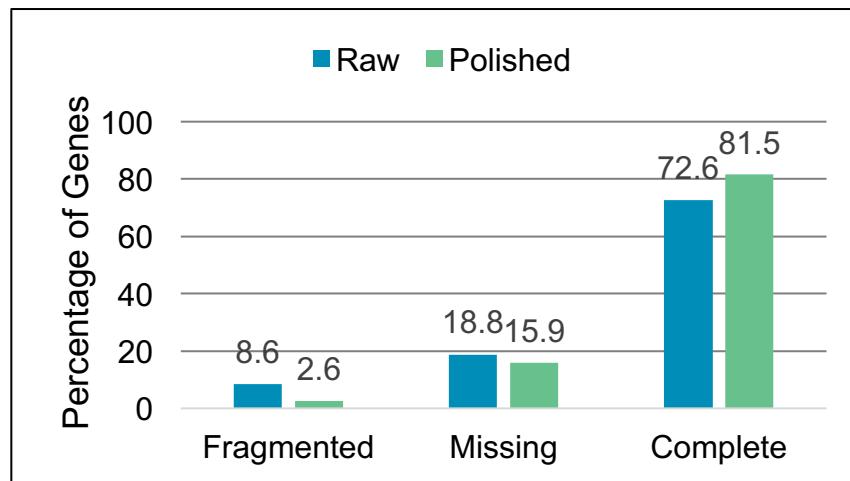
# FALCON / HIERARCHICAL GENOME ASSEMBLY PROCESS (HGAP)



# POLISHING WITH PACBIO DATA IMPROVES BASE QUALITY



## GENOME COMPLETENESS WITH BUSCO



70% reduction in Fragmented Genes  
15% reduction in Missing Genes  
12% increase in Complete Genes

**Acknowledgement:**  
Erich Jarvis, Rockefeller University

# FALCON AND FALCON-UNZIP



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## Phased diploid genome assembly with single-molecule real-time sequencing.

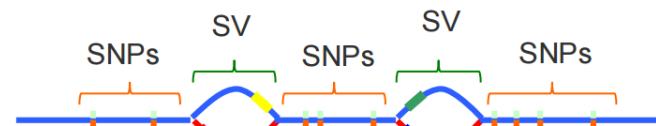
Chin CS, Peluso P, Sedlazeck FJ, Nattestad M, Concepcion GT, Clum A, Dunn C, O'Malley R, Figueroa-Balderas R, Morales-Cruz A, Cramer GR, Delledonne M, Luo C, Ecker JR, Cantu D, Rank DR, Schatz MC

### ABSTRACT

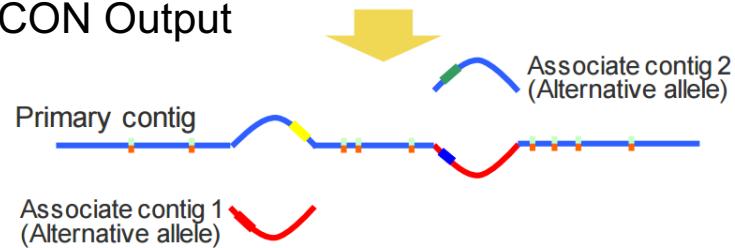
While genome assembly projects have been successful in many haploid and inbred species, the assembly of noninbred or rearranged heterozygous genomes remains a major challenge. To address this challenge, we introduce the open-source FALCON and FALCON-Unzip algorithms (<https://github.com/PacificBiosciences/FALCON/>) to assemble long-read sequencing data into highly accurate, contiguous, and correctly phased diploid genomes. We generate new reference sequences for heterozygous samples including an F1 hybrid of *Arabidopsis thaliana*, the widely cultivated *Vitis vinifera* cv. Cabernet Sauvignon, and the coral fungus *Clavicipitaceae* *pyxidata*, samples that have challenged short-read assembly approaches. The FALCON-based

- FALCON is a **diploid-aware assembler**.
- FALCON-Unzip module performs true **phased assembly** for diploid or polyploid samples.

### Initial Assembly Graph



### FALCON Output



### FALCON-Unzip Output



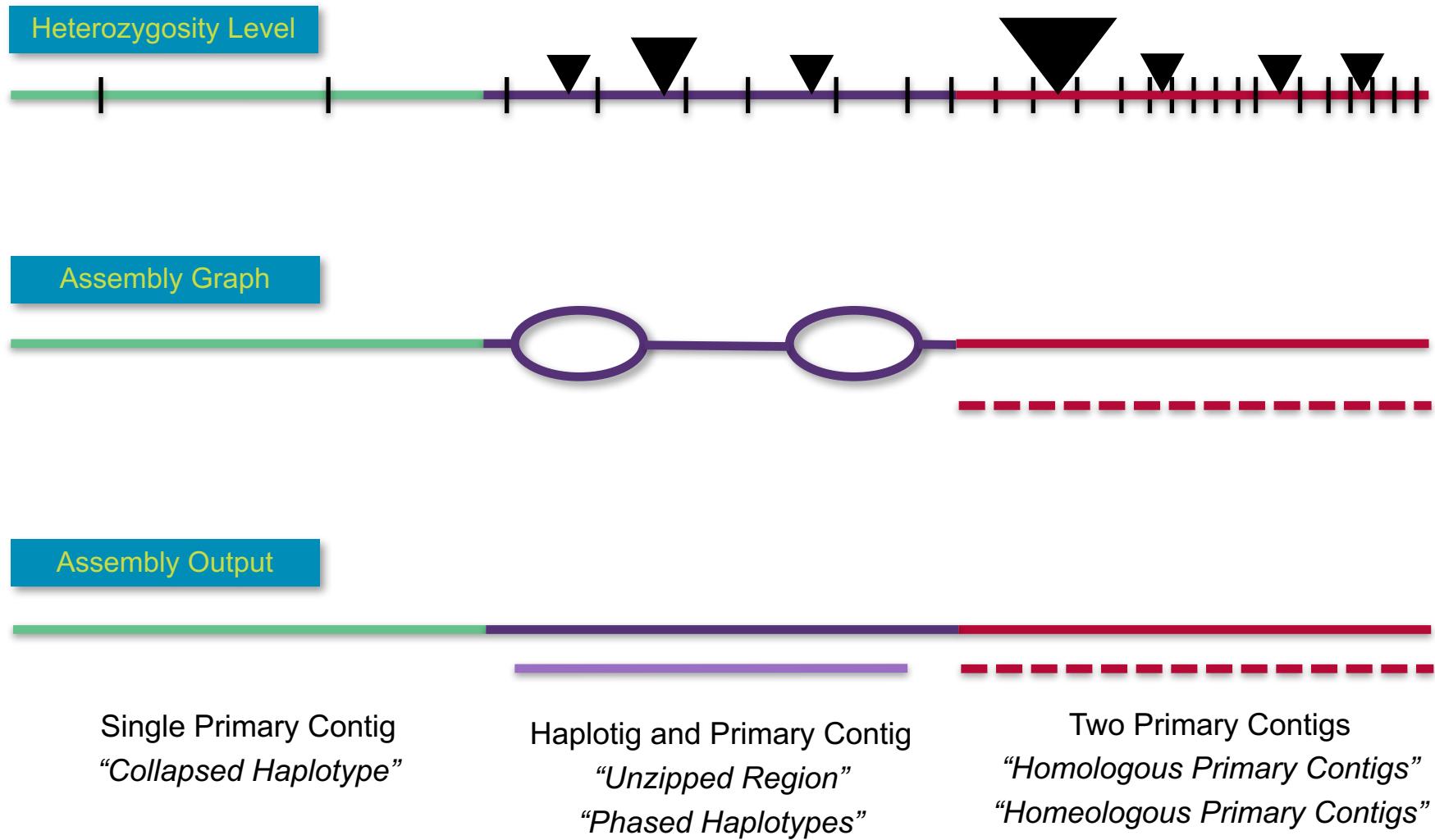


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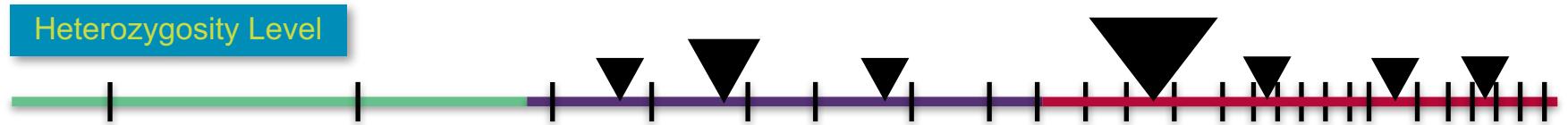
# Understanding Complex Assemblies

Leveraging coverage, gene annotation, and alignments

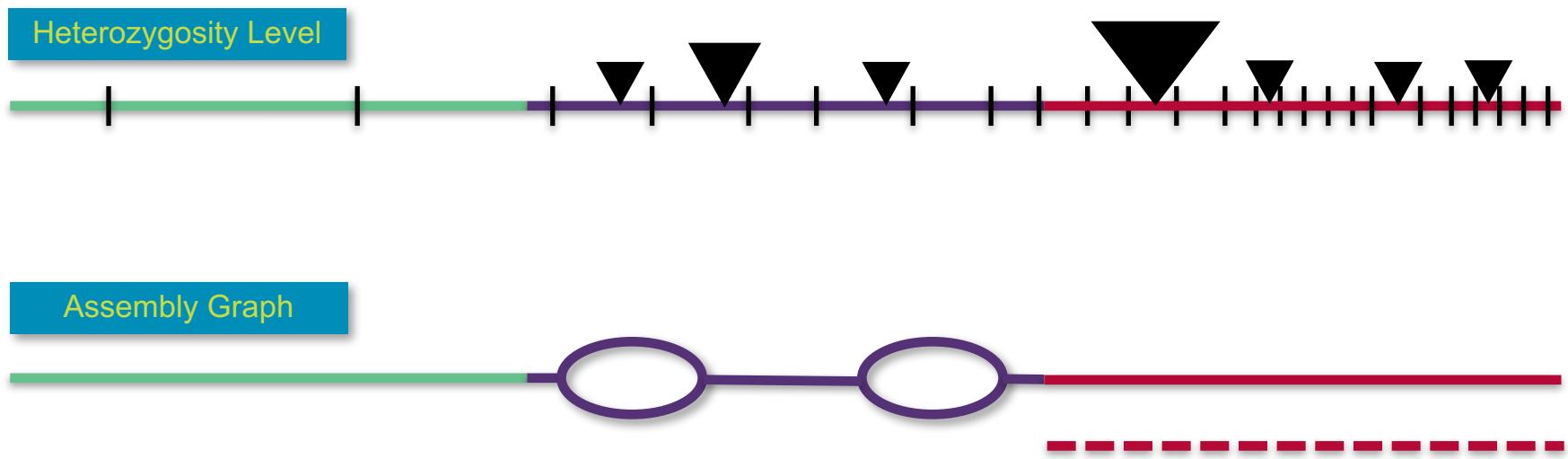
# IMPACT OF HETEROZYGOSITY ON ASSEMBLY PROCESS



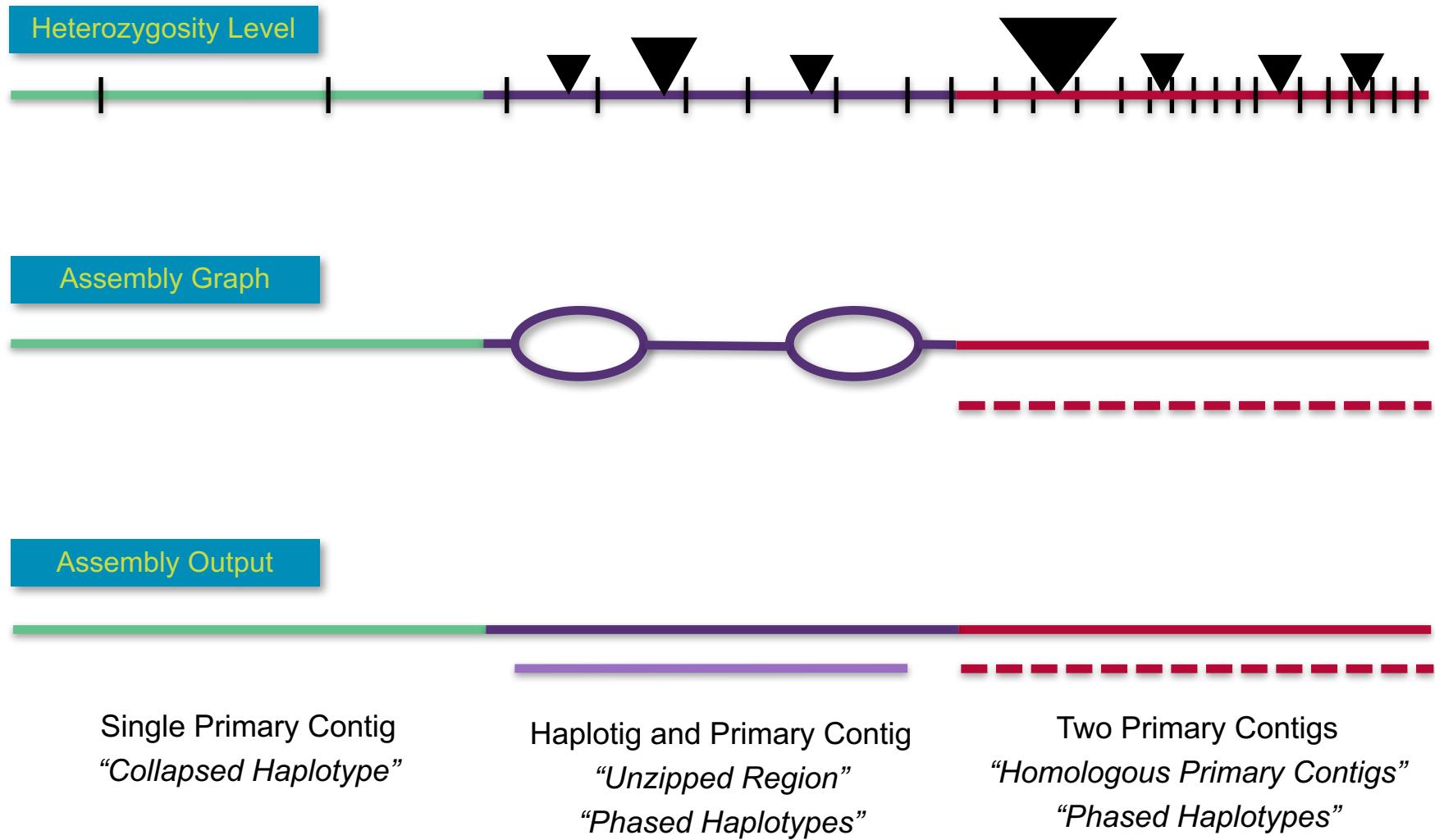
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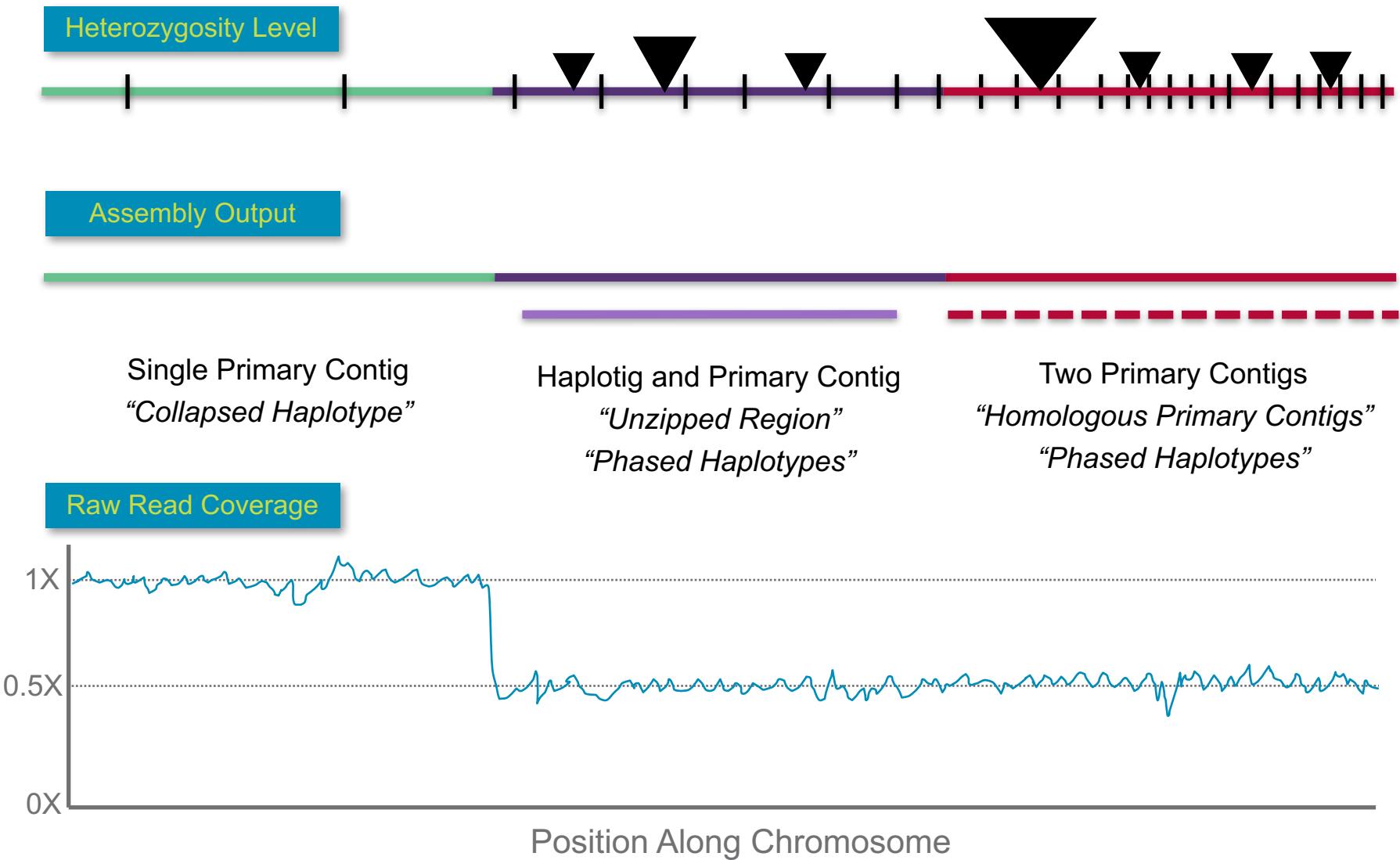
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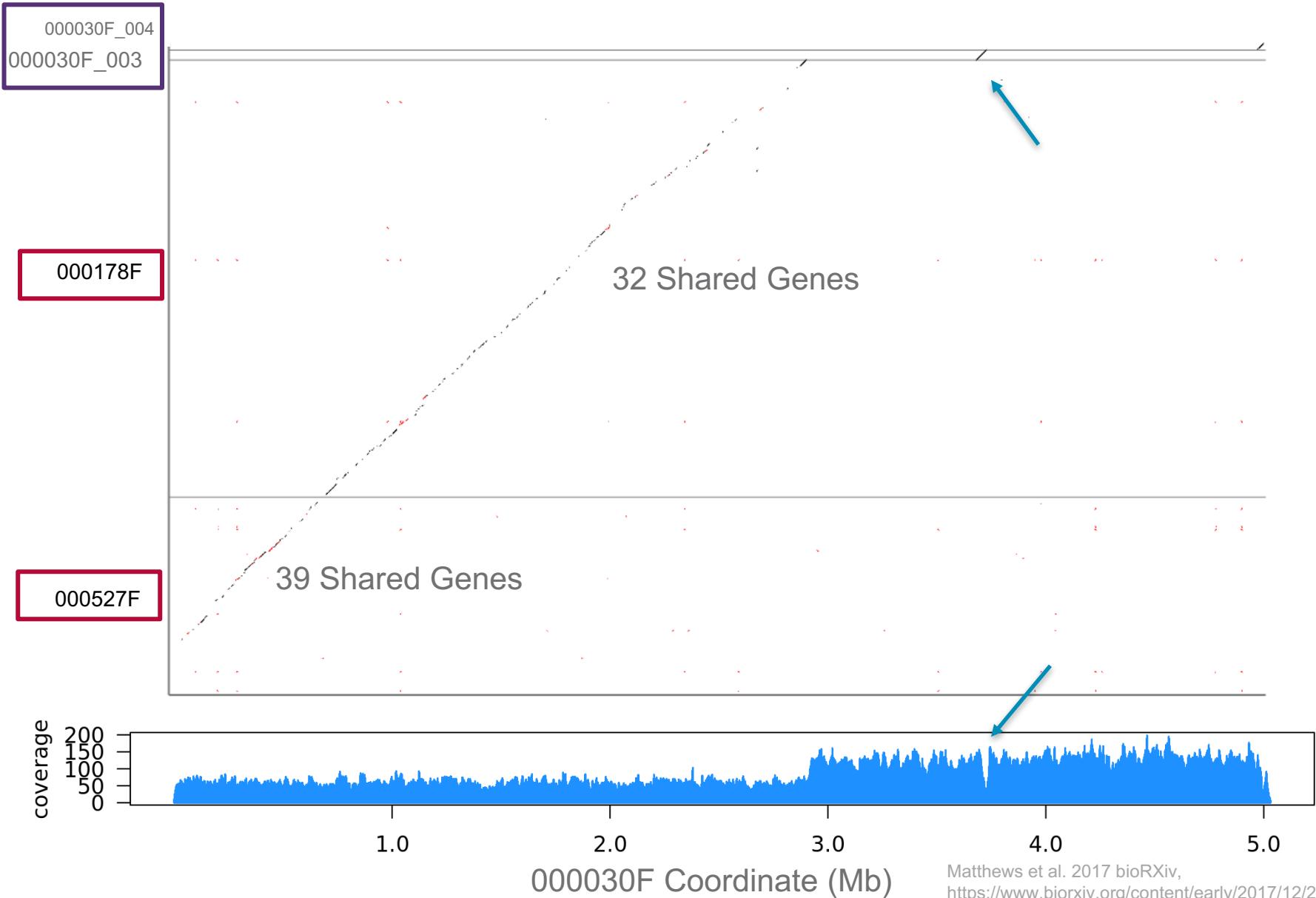
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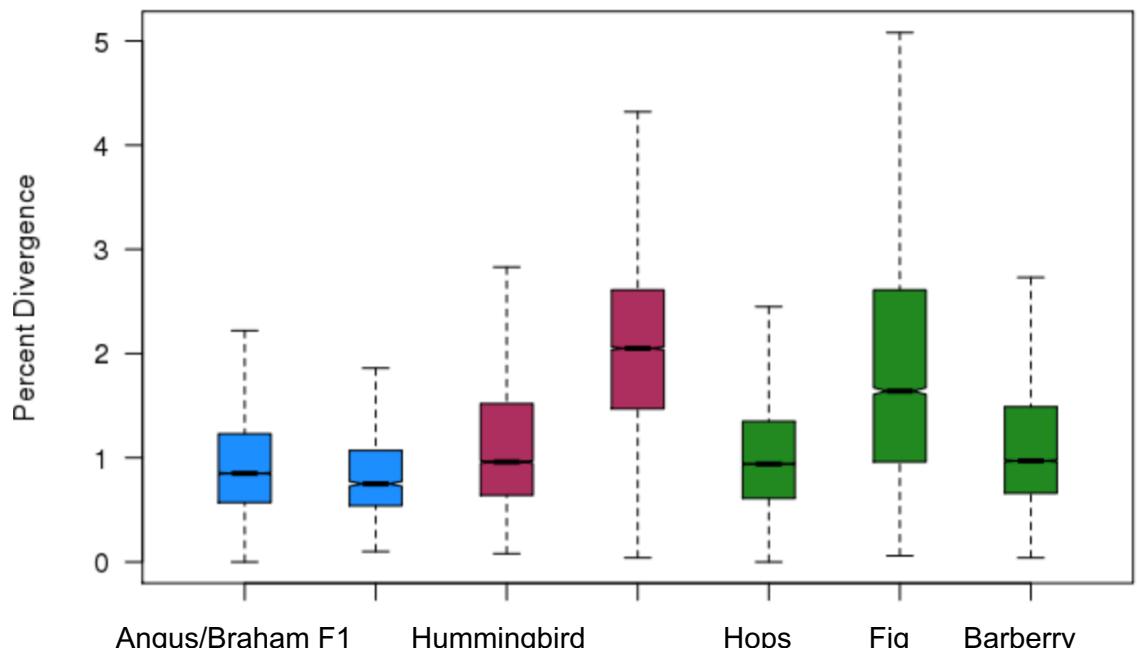
# RAW READ COVERAGE AND ASSEMBLY STRUCTURE



# HOMOLOGOUS PRIMARY CONTIGS IN AEDES MOSQUITO



# HOW MUCH DIVERGENCE IS CAPTURED BY UNZIP?



PRIMARY CONTIG

HAPLOTIGS

- Up to 5% divergence captured in “Unzipped” regions
- More divergent haplotypes assembled on separate primary contigs

#1MbCtgClub  
N > 250





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[www.pacb.com](http://www.pacb.com)

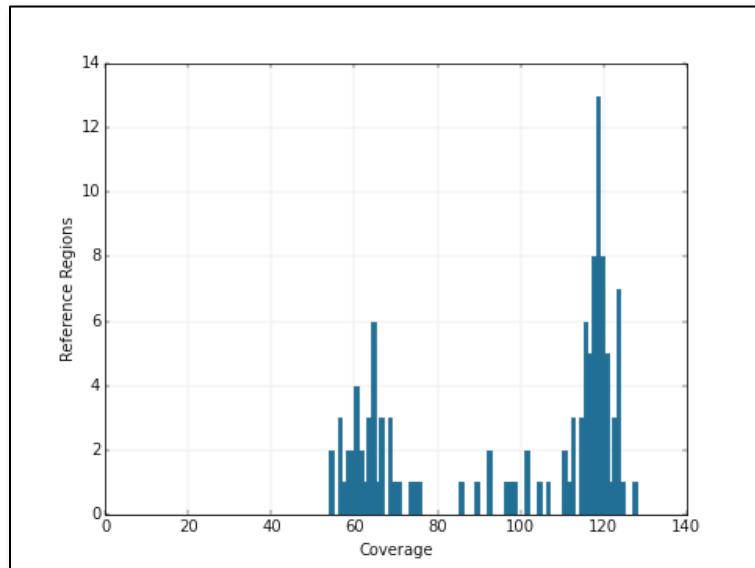
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## EXAMPLE: AEDES MOSQUITO FALCON-UNZIP ASSEMBLY

- Expected Genome Size: ~1.3 Gb
- Primary Contig Length: 1.69 Gb

### BIMODAL COVERAGE HISTOGRAM



### BUSCO ANALYSIS: ARTHROPOD GENESET (N = 2675)

ASSEMBLY	Aedes PACBIO
COMPLETE	98%
MISSING	2%
FRAGMENTED	10%
DUPLICATED	32%

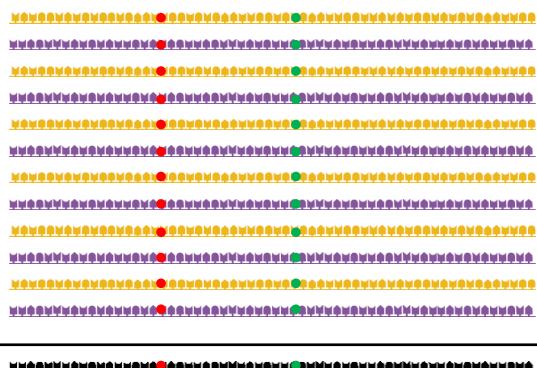
**Acknowledgement:**  
**Aedes Genome Working Group**  
**Leslie Vosshall, Ben Matthews,**  
**Rockefeller University**

# MULTI- vs SINGLE-MOLECULE CONSENSUS

- Circular consensus sequencing (CCS):



*Subread 1*

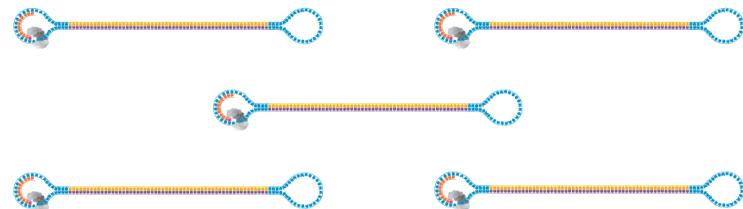


*Subread n*

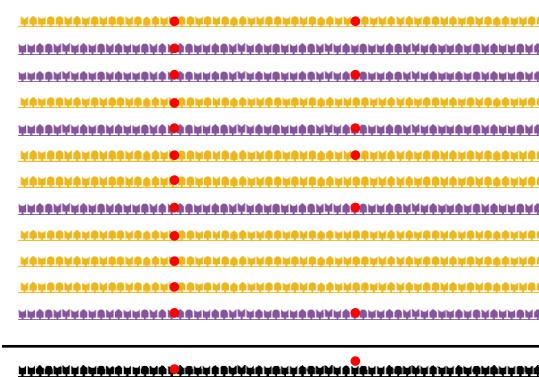
*Consensus sequence*

RNA-seq/Iso-Seq,  
targeted sequencing

- Large insert sequencing:



*Molecule 1*



*Molecule n*

*Consensus sequence*

*de novo* assembly,  
SV detection

# EXAMPLE ASSEMBLY OF WATER BUFFALO

PRIMARY CONTIG

SECONDARY CONTIGS

	FALCON-Unzip	FALCON	Williams et al. 2017 <sup>1</sup>
Primary Length	2.65 Gb	2.66 Gb	2.09 Gb
Primary N50	18.8 Mb	18.7 Mb	0.022 Mb
Secondary Length	1.53 Gb	0.218 Gb	NA
Proportion Phased	58 %	8.2 %	NA



Olimpia

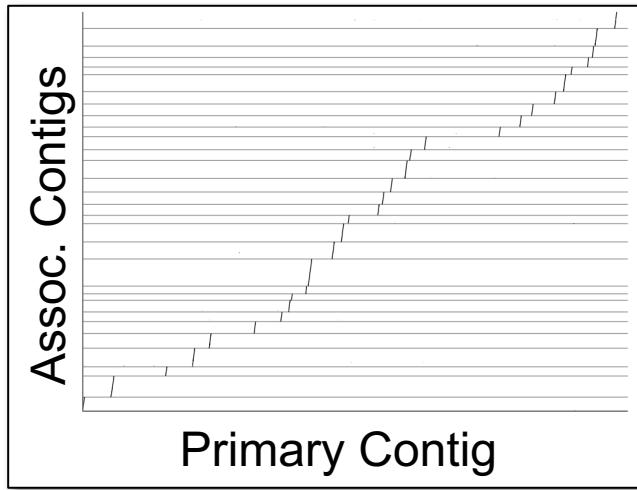
Photo Credit: Caterina Cambuli

7-fold increase in  
haplotype phasing  
with Unzip module

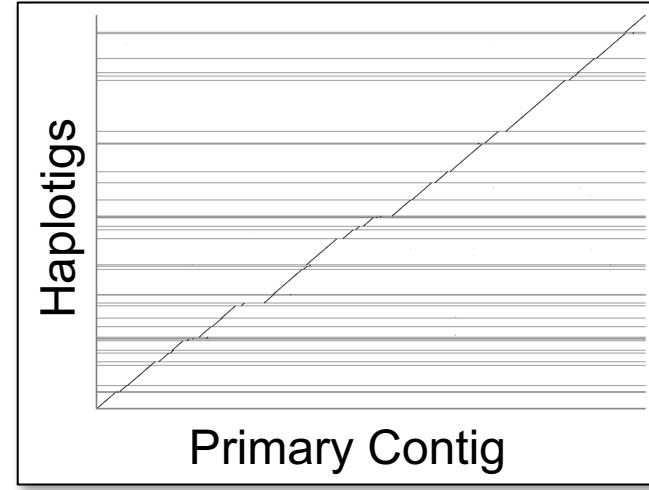
Acknowledgements:  
Tim Smith, USDA-ARS  
John Williams, Lloyd Low, University of Adelaide  
Paolo Ajmone-Marsan, Università Cattolica del S. Cuore  
David Hume, Mick Watson, Roslin Institute  
1. Williams et al (2017) Gigascience. 6(10):

# INCREASED HAPLOTIG CONTINUITY WITH FALCON-UNZIP

FALCON



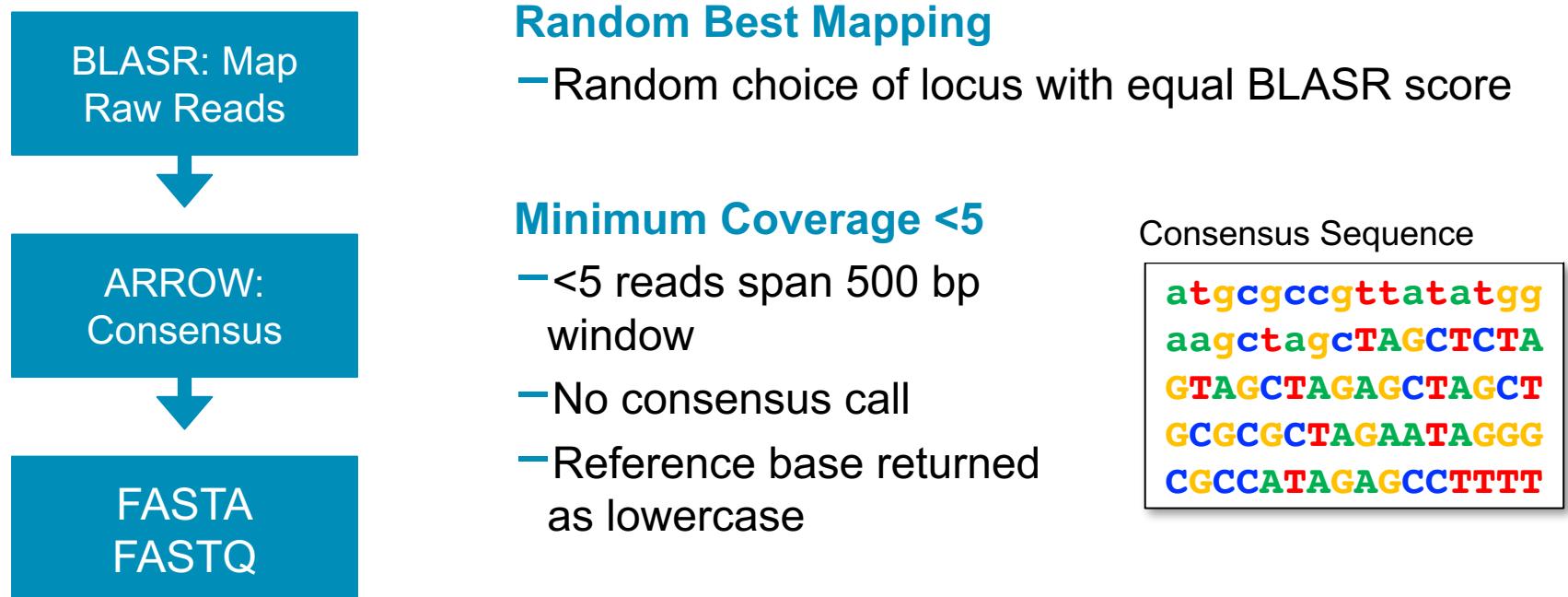
FALCON-UNZIP



CONTIG: 000078F	FALCON	FALCON-Unzip
Primary Contig Length	12.9 Mb	12.9 Mb
Number Secondary Contigs	30	34
Total Secondary Length	1.21 Mb	10.6 Mb
Secondary Contig N50	42.5 kb	470 kb
Proportion Phased	9.3 %	82%

# POLISHING WITH ARROW: WORKFLOW

METHOD	ASSEMBLY	POLISHING
HGAP4 - SMRT Link	✓	✓
FALCON	✓	resequencing pipeline from pbsmrtpipe/SMRT Link
FALCON-Unzip	✓	✓ (phased) plus optional resequencing



## ASSEMBLY METHOD RECOMMENDATIONS

METHOD	GENOME SIZE	HETEROZYGOSITY	COVERAGE
<b>HGAP4 - SMRT Link</b>	<3 GB*	Low	40-50 fold
<b>FALCON</b>	Any Size	Low - Medium	40-80 fold
<b>FALCON-Unzip**</b>	Any Size	Medium – High	80-100 fold
<b>Arrow Polishing</b>	ALWAYS POLISH 1-2 TIMES***		

\* Genome size limit depends on underlying compute resources.

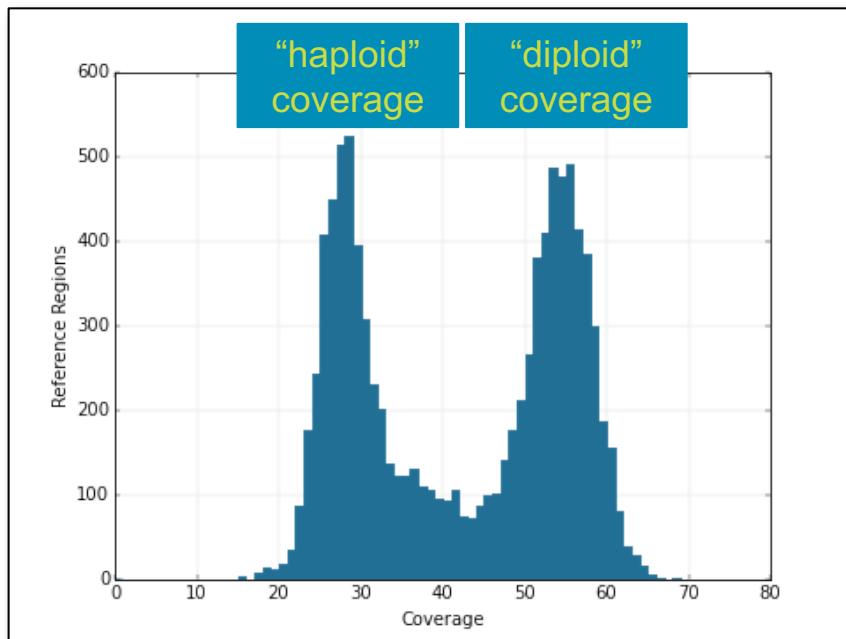
\*\* FALCON-Unzip must be run in a FALCON job directory. You CANNOT run HGAP4 and then FALCON-Unzip.

\*\*\* Reference sequence should be concatenated primary contigs and haplotigs

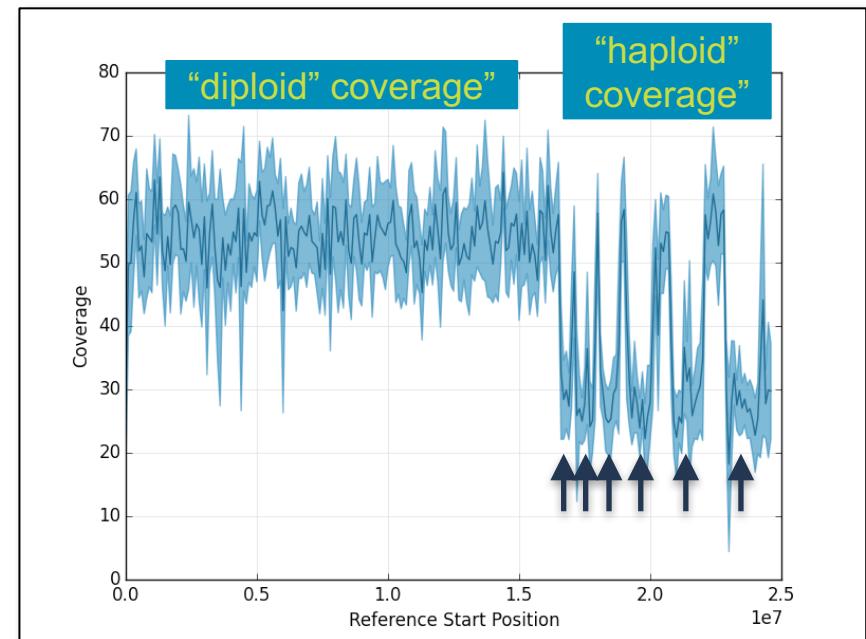
# SMRT LINK COVERAGE REPORTS

Graphical Outputs from Resequencing Pipeline / HGAP4

COVERAGE HISTOGRAM: GENOME

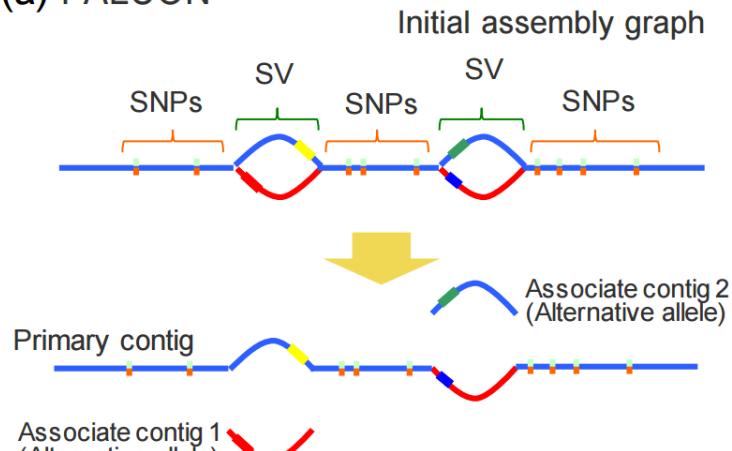


COVERAGE PLOT: CONTIG



# DIPLOID ASSEMBLY WITH FALCON-UNZIP

(a) FALCON



(b)



Phase heterozygous SNPs and identify the haplotype of each read

(c) FALCON-Unzip

