

## Week 6: Data Link Layer (Cont'd)

EE3017/IM2003 Computer Communications

School of Electrical and Electronic Engineering

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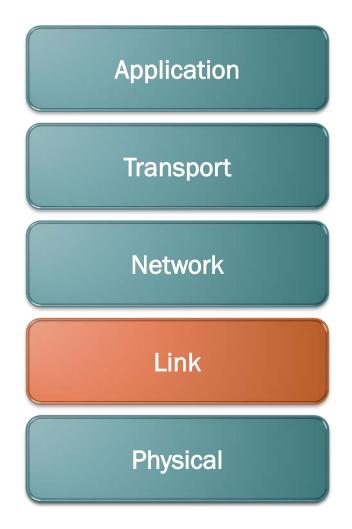
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### **Topic Outline**

Introduction to Computer Communications 
Data Communications Fundamentals 
Data Link Layer 
Overview, Framing and Stuffing, Flow Control, Error Control



### **Learning Objectives**

By the end of this topic, you should be able to:

- Explain the importance of sequence number in Automatic Repeat reQuest (ARQ).
- Construct the comprehensive delay model for stop-and-wait ARQ and perform mathematical analysis to the model constructed.
- Explain the principles of Go-back-N ARQ and Selective-Repeat ARQ.



# Automatic Repeat Request (ARQ)

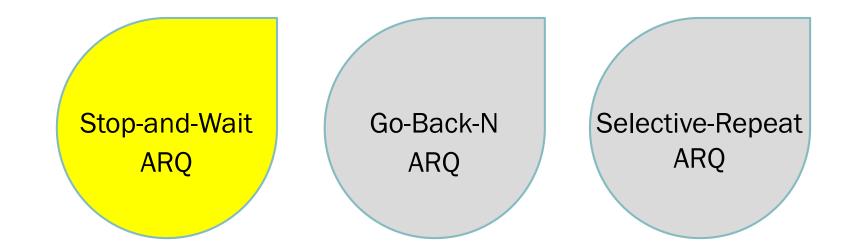
### **Automatic Repeat Request (ARQ)**

### **Purpose**

To ensure a sequence of information packets is delivered in order and without errors or duplications despite transmission errors and losses.

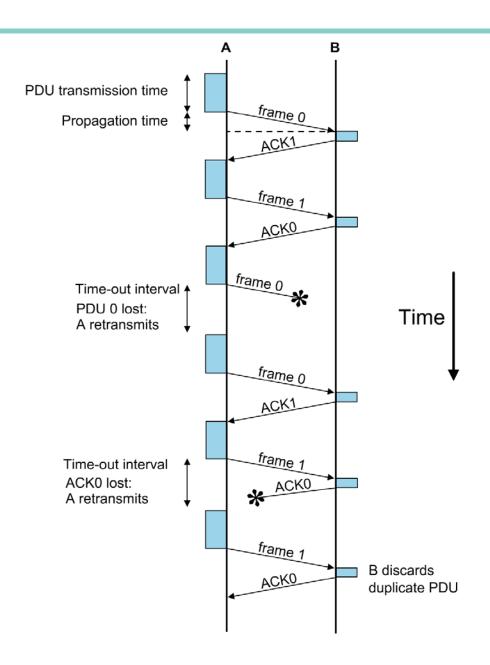
Effect of ARQ is to turn an unreliable data link into a reliable one.

### We will look at:

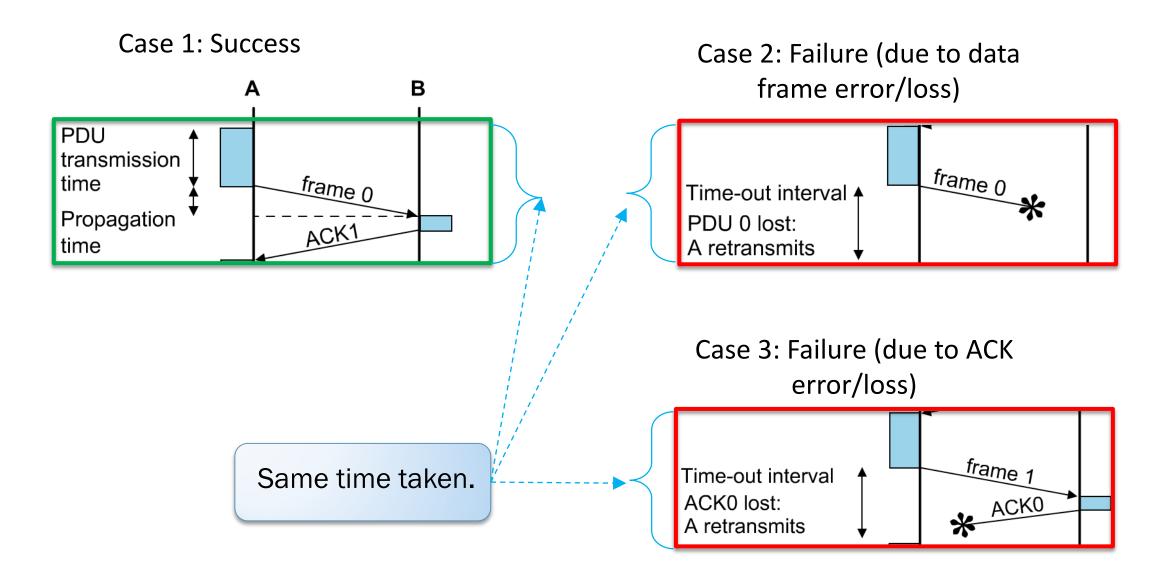


### Stop-and-Wait ARQ

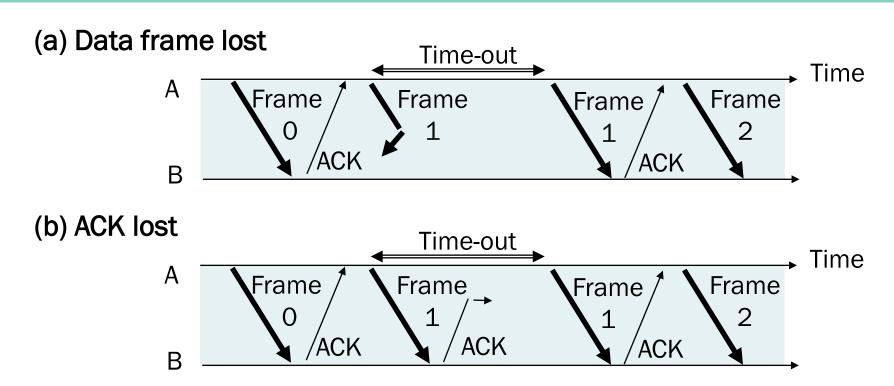
- Based on the stop-and-wait flow control protocol.
- After transmitting a single frame, the source sets a timer (stop-and-wait).
  - No other data can be sent until receiver's reply arrives.
  - If no ACK is received within the timeout period, source retransmits the frame, resets the timer.
- If (data) frame is damaged, receiver discards it.
  - o Source timeout.
  - No ACK reaches source within timeout → retransmits, and resets timer.
- If ACK is damaged, transmitter will not recognise.
  - Source timeout → retransmits and resets timer.
- Pro: simplicity
- Con: inefficient



### Stop-and-Wait ARQ



### S&W – Need for Sequence Numbers



- In cases (a) and (b), source A acts the same way.
- But in case (b), destination B accepts duplicates of frame 1.

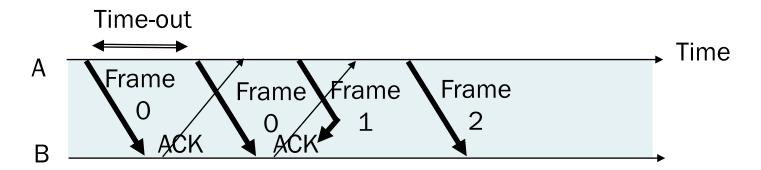
?

How does the B detect the duplicate frame?

Add frame sequence number in data frame header.

### S&W – Need for Sequence Numbers

### (c) Premature Time-out



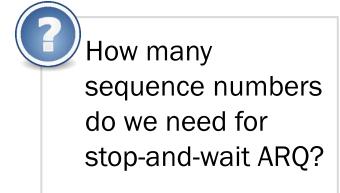
- The transmitting station A misinterprets duplicate ACKs.
- Incorrectly assumes second ACK, acknowledges Frame 1.



### How does the A know second ACK is for frame 0?



- Add frame sequence number in ACK header (sequence number of next frame expected by the receiver).
- Implicitly acknowledges receipt of all prior frames.



Hint: Page 6

We define utilisation as:

$$U = \frac{t_{frame}}{t_{total}}$$

 $t_{frame}$ : time for the transmitter to transmit a single frame.

 $t_{total}$ : total time engaged in the transmission of a single frame.

• For error-free operation using stop-and-wait ARQ (simplified model):

$$U = \frac{t_{frame}}{t_{frame} + 2t_{prop}} = \frac{1}{1 + 2a}$$

where  $t_{prop}$  is the propagation delay

$$a = t_{prop}/t_{frame}$$

Assumption: Overhead in the data frame is ignored. ACK time, nodal processing time, queuing time is negligible.

• If errors occur then *U* is changed to

$$U = \frac{t_{frame}}{N_r t_{total}}$$

- where  $N_r$  is the expected number of attempts for one successful frame transmission.
- For the stop-and-wait ARQ (simplied model):

$$U = \frac{t_{frame}}{N_r(t_{frame} + 2t_{prop})} = \frac{1}{N_r(1 + 2a)}$$

**Assumption**: ACK and NAK are error-free

•  $N_r$  is obtained by considering probability p that a single data frame is erroneous.

Let p = probability that a frame arrives with errors

then, 1 - p = probability a frame arrives without errors

The probability that it will take exactly *i* attempts to transmit a (data) frame successfully is:

$$Pr[i \text{ transmissions}] = p^{i-1}(1-p)$$

$$N_r = \mathbb{E}[i \text{ transmissions}] = \sum_{i=1}^{\infty} iPr[i \text{ transmissions}]$$

Thus  $N_r$  is:

$$N_r = \sum_{i=1}^{\infty} i p^{i-1} (1-p) = \frac{1}{1-p}$$

Thus *U* for S&W ARQ (simplified model) is:

$$U = \frac{1}{N_r(1+2a)} = \frac{1-p}{1+2a} = (1-p) \cdot \frac{1}{1+2a}$$

$$\sum_{k=1}^{\infty} k(1-b)^{k-1} = \frac{1}{b^2}$$

$$\sum_{k=1}^{\infty} k(1-b)^{k-1}b = \frac{1}{b}$$

Therefore, for a S&W ARQ (simplified model )  $\Rightarrow U = \frac{1-p}{1+2a}$ 

For a channel with bit error probability BER, the probability that a L-bit frame is error-free will be  $(1 - BER)^L$ .

Hence, 
$$p = 1 - (1 - BER)^{L}$$

$$\Rightarrow U = \frac{(1 - BER)^L}{1 + 2a}$$

- Noisy channel  $\Rightarrow p \uparrow \Rightarrow U \downarrow$  Long frame  $\Rightarrow L \uparrow \text{but } a \downarrow$

### Example

• For the same system as in Week 4, Example 3, if the bit error rates of a transmitted frame are  $p = 10^{-4}$  and  $10^{-5}$ , compare the link efficiency for a frame size of 1000 bytes and 5000 bytes.

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Recall Example 3: t_{prop} = 5 \text{ ms}, R = 4 \text{ Mbps}, L = 1000 \text{ bytes} (t_{frame} = 2 \text{ ms}) or L = 5000 \text{bytes} (t_{frame} = 10 \text{ ms})
```

 $P_f$ :frame error probability

When L=1000 bytes = 8000 bits, we get a=2.5 For  $p=10^{-4}$ ,  $(1-P_{\rm f})=(1-10^{-4})^{8000}=0.45=>U=0.075$  For  $p=10^{-5}$ ,  $(1-P_{\rm f})=(1-10^{-5})^{8000}=0.92=>U=0.153$ 

Error Free U = 0.17

Error Free

U = 0.5

When L = 5000 bytes = 40000 bits, we get a = 0.5

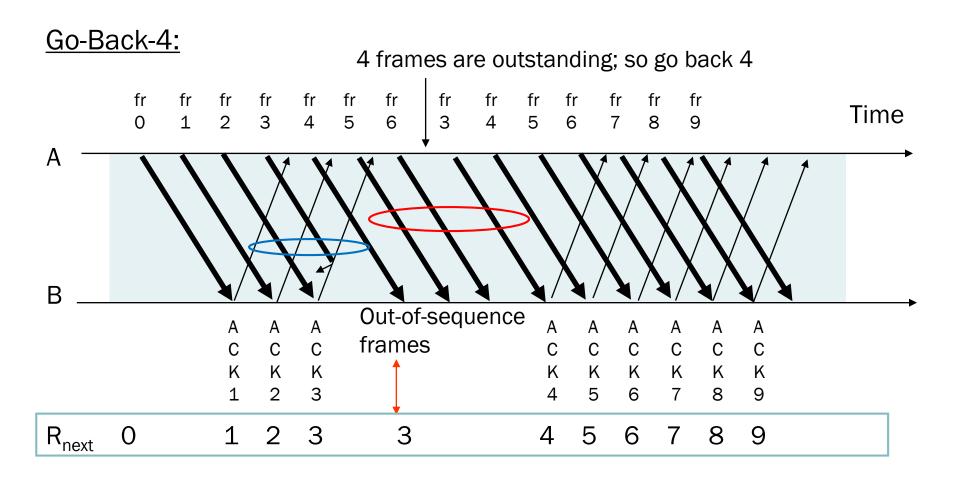
For 
$$p = 10^{-4}$$
,  $(1 - P_f) = (1 - 10^{-4})^{40000} = 0.018 = U = 0.009$ 

For 
$$p = 10^{-5}$$
,  $(1 - P_f) = (1 - 10^{-5})^{40000} = 0.67 = U = 0.335$ 

### Go-Back-N ARQ

- Keep channel busy by continuously sending frames based on sliding window (Transmission Window > 1).
- If no error, ACK as usual with next frame expected.
- Use window to control number of outstanding frames.
- After transmission window is exhausted, retransmit from last acknowledged frame (i.e. the frames after that).
- When an out-of-sequence frame is received, reject the frame:
  - o Discard that frame and all subsequent (out-of-sequence) frames, may send negative acknowledgment indicating the expected frame.
  - Transmitter must go back and retransmit the expected frame and all subsequent frames in the window.

### Go-Back-N ARQ



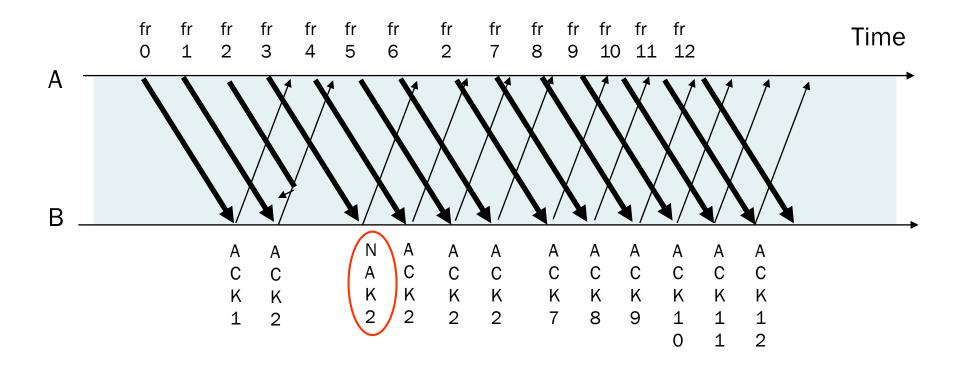
Example: Frame 3 is lost.

After A exhausts its transmit window, retransmit frames 3, 4, 5, 6.

### Selective Repeat (Reject) ARQ

- Go-Back-N ARQ is inefficient because multiple frames are resent when errors or losses occur.
- Selective Repeat retransmits only an individual frame.
  - o Timeout causes individual corresponding frame to be resent.
  - NAK causes retransmission of oldest un-acked frame.
- Minimises retransmission.
- More complex logic in transmitter and receiver.
  - The <u>receiver</u> must contain storage to save post-NAK frames until the frame in error is retransmitted and must contain logic for reinserting that frame in the proper sequence.
  - The <u>transmitter</u> also requires buffers to store copies of unacknowledged packets and requires complex logic to be able to send frames out of sequence.

### **Selective Repeat ARQ**



- Retransmit only the frame in error.
- Store other frames in the buffer of the receiver.
- Wait for the error frame to be received correctly.

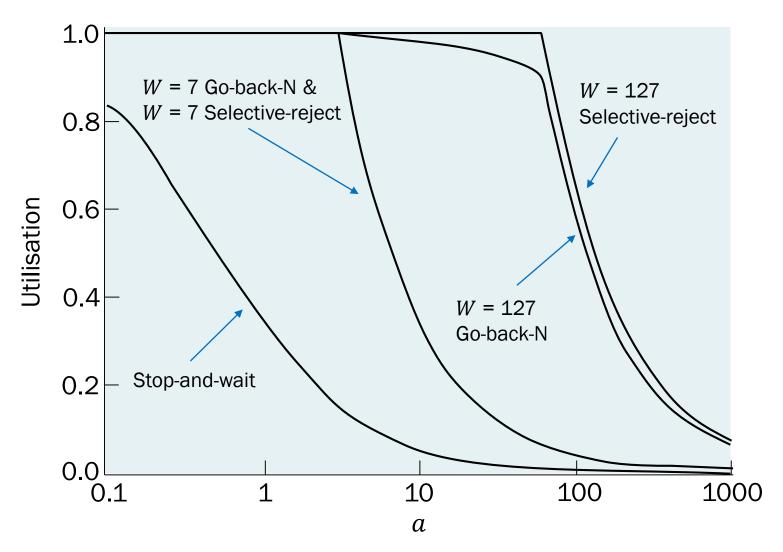
Maximum sending window size for Go-Back-N ARQ with k-bit sequence number field:

$$W_{\text{Go-back-N}}(\text{max}) = 2^k - 1$$

Maximum sending window size for Selective–Repeat ARQ with k-bit sequence number field:

$$W_{\text{Selective-Repeat}}(\text{max}) = 2^{k-1}$$

### Performance of ARQ Schemes



ARQ Utilisation as a Function of  $a = (p = 10^{-3})$ 

### **Applications**

### Stop-and-Wait ARQ

E.g. Trivial File
Transfer Protocol (RFC 1350):
Simple protocol for file transfer over UDP.

### Go-back-N ARQ

E.g. HDLC (High-Level Data Link Control)

### Selective-Repeat ARQ

E.g. TCP: Transport layer protocol uses variation of selective repeat to provide reliable stream service.

# Summary



### **Summary**

### Key points discussed in this topic:

- The purpose of the ARQ is to ensure a sequence of information packets is delivered in order and without errors or duplications despite transmission errors and losses.
- The types of ARQ schemes include S&W, Go-back-N and Selective-Repeat.
- In the S&W ARQ,
  - After transmitting a single frame, the source sets a timer (stop-and-wait).
  - If (data) frame is damaged, receiver discards it A retransmission at the transmitter is resulted.
  - If the data frame is intact but ACK is damaged, transmitter will not recognise A
    retransmission at the transmitter is resulted.