

Trees

COMS20017 (Algorithms and Data)

John Lapinskas, University of Bristol

Trees

In COMS10007, you used (rooted) trees to model heaps, recursion, and the decisions of comparison-based sorting algorithms.

In this course, we will think of trees as examples of graphs.

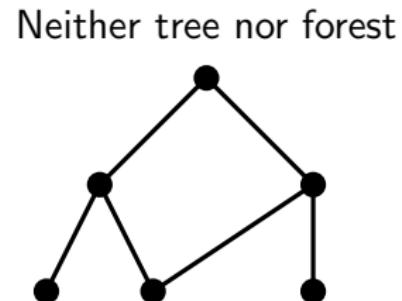
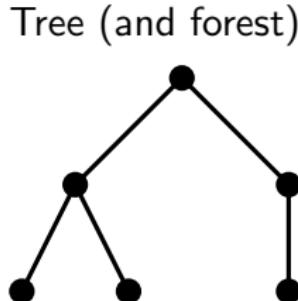
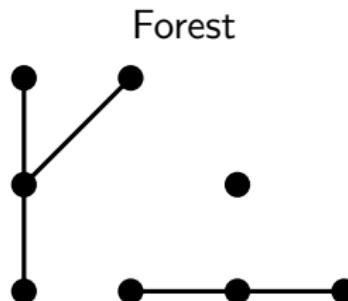
Trees

In COMS10007, you used (rooted) trees to model heaps, recursion, and the decisions of comparison-based sorting algorithms.

In this course, we will think of trees as examples of graphs.

We define a **forest** to be a graph which contains no cycles, and a **tree** to be a **connected** graph with no cycles.

(So the components of a forest are trees, and all trees are forests!)



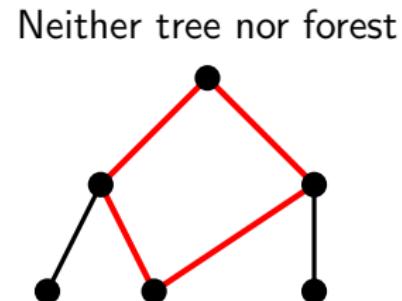
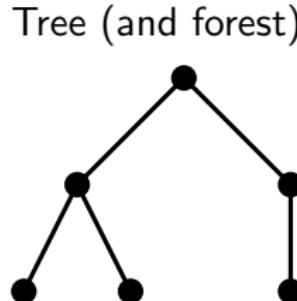
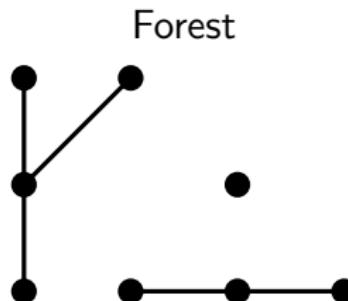
Trees

In COMS10007, you used (rooted) trees to model heaps, recursion, and the decisions of comparison-based sorting algorithms.

In this course, we will think of trees as examples of graphs.

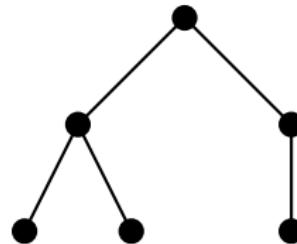
We define a **forest** to be a graph which contains no cycles, and a **tree** to be a **connected** graph with no cycles.

(So the components of a forest are trees, and all trees are forests!)



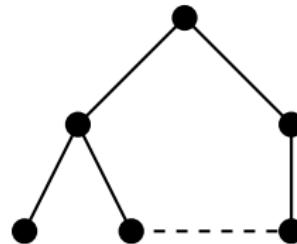
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



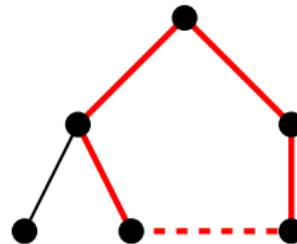
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



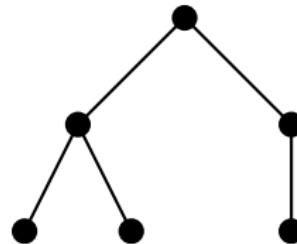
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



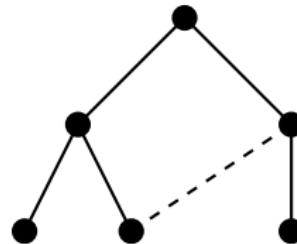
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



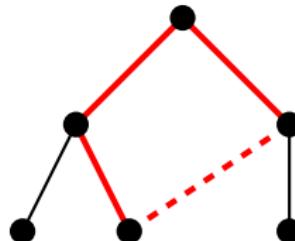
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



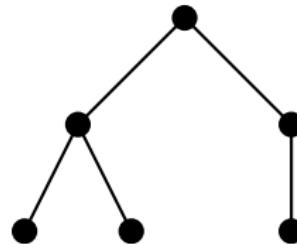
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



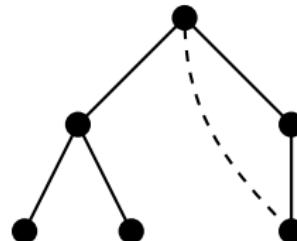
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



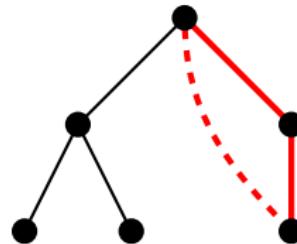
A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.



A **tree** is a connected graph with no cycles.

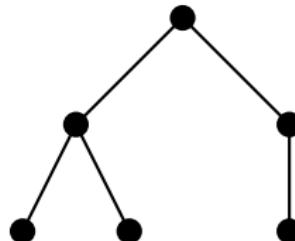
Notice how any edge we add to the tree from the last slide forms a cycle.



A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

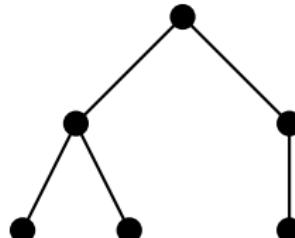
This is not a coincidence!



A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!

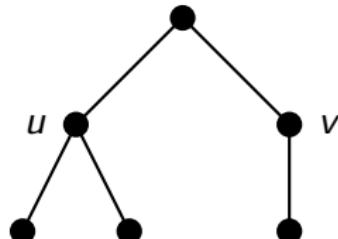


Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



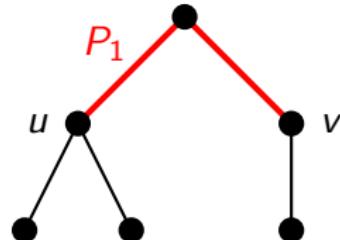
Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v .

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



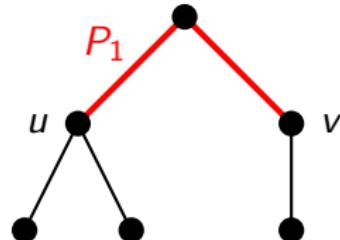
Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v .

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



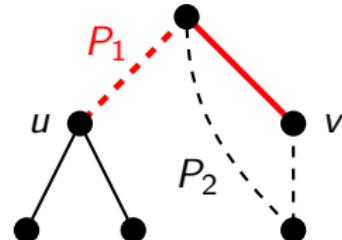
Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v . Suppose there is another path $P_2 = y_0 \dots y_k$ from u to v .

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



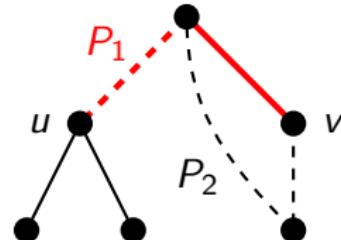
Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v . Suppose there is another path $P_2 = y_0 \dots y_k$ from u to v .

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

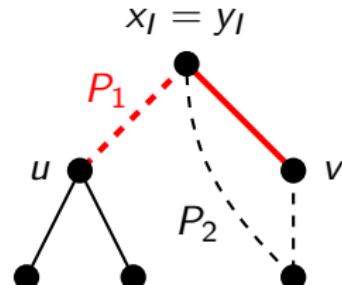
Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v . Suppose there is another path $P_2 = y_0 \dots y_k$ from u to v .

Then P_1 and P_2 must diverge from each other and come back together.

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

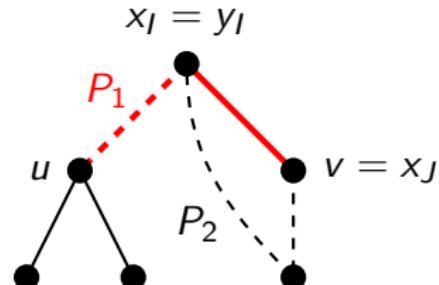
Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v . Suppose there is another path $P_2 = y_0 \dots y_k$ from u to v .

Then P_1 and P_2 must diverge from each other and come back together. Let $I = \min\{i : x_i \neq y_i\} - 1$ be the point of divergence.

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v . Suppose there is another path $P_2 = y_0 \dots y_k$ from u to v .

Then P_1 and P_2 must diverge from each other and come back together.

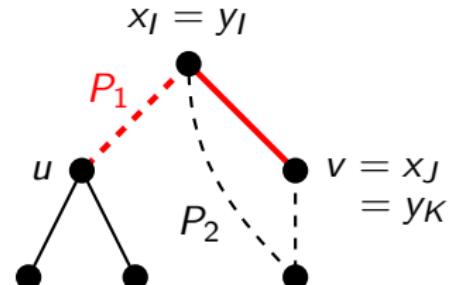
Let $I = \min\{i: x_i \neq y_i\} - 1$ be the point of divergence.

Let $J = \min\{i > I: x_i \in \{y_I, \dots, y_k\}\}$ be the point of remerging.

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v . Suppose there is another path $P_2 = y_0 \dots y_k$ from u to v .

Then P_1 and P_2 must diverge from each other and come back together.

Let $I = \min\{i: x_i \neq y_i\} - 1$ be the point of divergence.

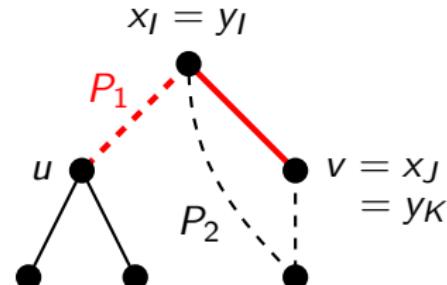
Let $J = \min\{i > I: x_i \in \{y_I, \dots, y_k\}\}$ be the point of remerging.

Let K be the corresponding point on P_2 , so $y_K = x_J$.

A **tree** is a connected graph with no cycles.

Notice how any edge we add to the tree from the last slide forms a cycle.

This is not a coincidence!



Lemma: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a **unique** path uTv in T .

Proof: T is connected, so there is a path $P_1 = x_0 \dots x_k$ from u to v . Suppose there is another path $P_2 = y_0 \dots y_k$ from u to v .

Then P_1 and P_2 must diverge from each other and come back together.

Let $I = \min\{i : x_i \neq y_i\} - 1$ be the point of divergence.

Let $J = \min\{i > I : x_i \in \{y_I, \dots, y_k\}\}$ be the point of remerging.

Let K be the corresponding point on P_2 , so $y_K = x_J$.

Then $x_I x_{I+1} \dots x_J y_{K-1} y_{K-2} \dots y_I$ is a cycle, so T is not a tree. □

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.



A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

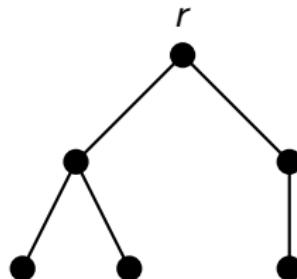
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



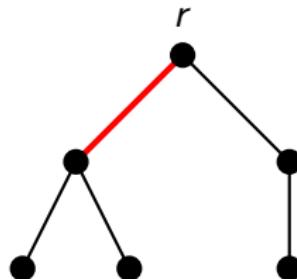
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



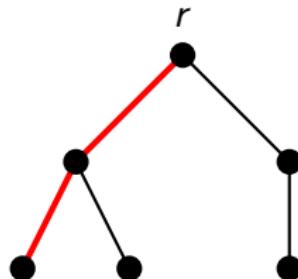
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



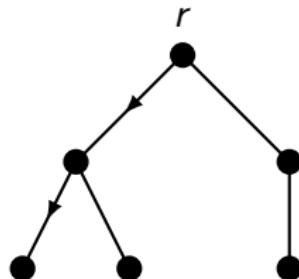
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



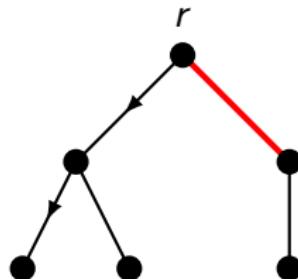
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



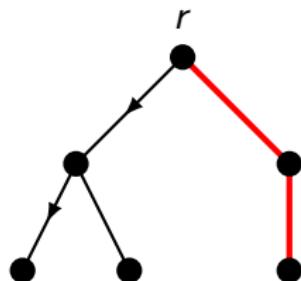
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



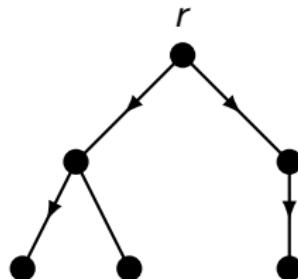
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



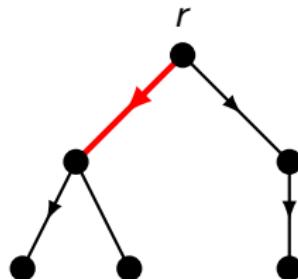
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



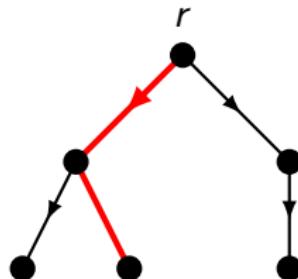
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



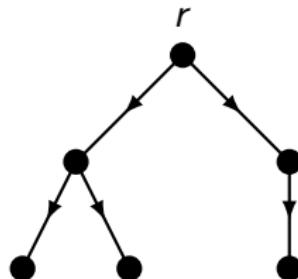
A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .



A **tree** is a connected graph with no cycles.

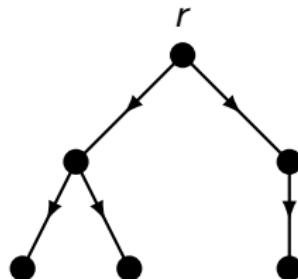
Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .

Why are the directions consistent?



A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

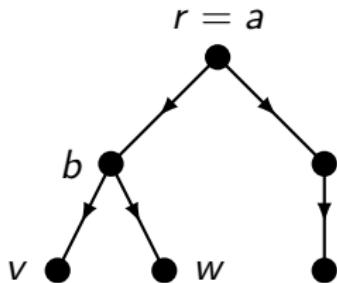
Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .

Why are the directions consistent?

Suppose some path P_v directs $a \rightarrow b$.
And suppose b is also on another path P_w .



A **tree** is a connected graph with no cycles.

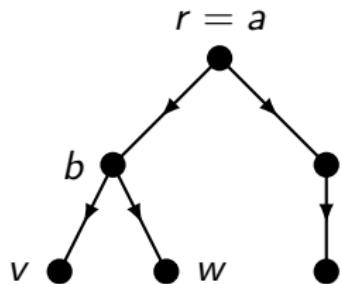
Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges.

Proof: We start by showing how to turn a tree $T = (V, E)$ into a **rooted tree**, like those you worked with last year.

Let $r \in V$ be arbitrary — this will be the **root**. Every vertex $v \neq r$ has a unique path P_v from r to v by the lemma. Direct its edges from r to v .

Why are the directions consistent?



Suppose some path P_v directs $a \rightarrow b$.
And suppose b is also on another path P_w .

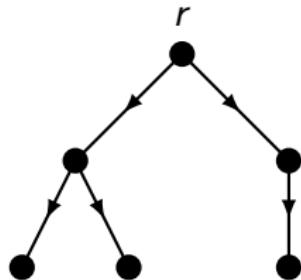
Then both P_v and P_w must start with P_b ,
since P_b is the **unique** path from r to b .
So P_w also directs $a \rightarrow b$. ✓

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree $T = (V, E)$ has $n - 1$ edges.

Proof idea: Take an arbitrary root $r \in V$. For all vertices v , let P_v be the unique path from r to v . Direct T 's edges along these paths. ✓

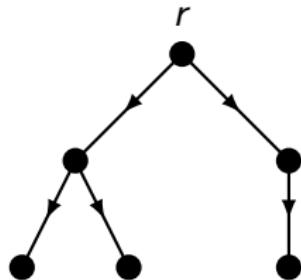


A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree $T = (V, E)$ has $n - 1$ edges.

Proof idea: Take an arbitrary root $r \in V$. For all vertices v , let P_v be the unique path from r to v . Direct T 's edges along these paths. ✓



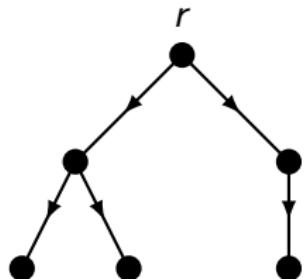
Because these paths are unique, every vertex other than r has in-degree 1, and r has in-degree 0.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree $T = (V, E)$ has $n - 1$ edges.

Proof idea: Take an arbitrary root $r \in V$. For all vertices v , let P_v be the unique path from r to v . Direct T 's edges along these paths. ✓



Because these paths are unique, every vertex other than r has in-degree 1, and r has in-degree 0.

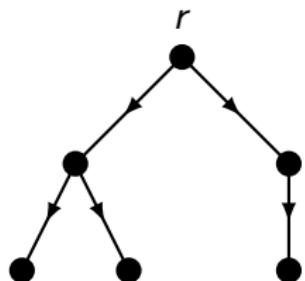
So by the directed handshake lemma:
 $|E| = \sum_{v \in V} d^-(v) = n - 1$. □

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree $T = (V, E)$ has $n - 1$ edges.

Proof idea: Take an arbitrary root $r \in V$. For all vertices v , let P_v be the unique path from r to v . Direct T 's edges along these paths. ✓



Because these paths are unique, every vertex other than r has in-degree 1, and r has in-degree 0.

So by the directed handshake lemma:
 $|E| = \sum_{v \in V} d^-(v) = n - 1$. □

Bonus: We also just defined rooted trees in terms of graphs.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.



Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.



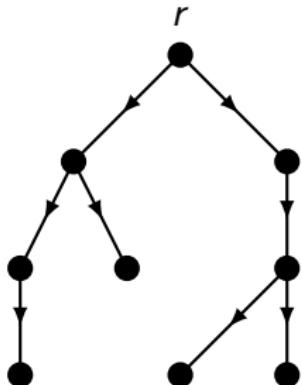
Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :

- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .



A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.



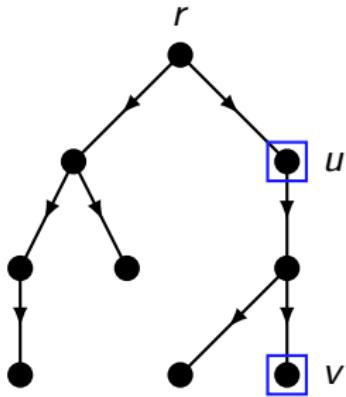
Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :

- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .



A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

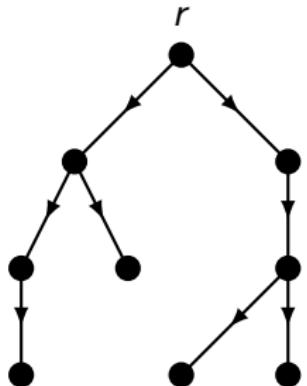


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

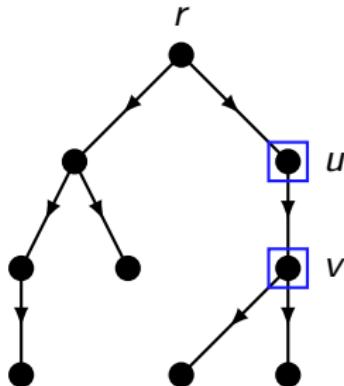


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

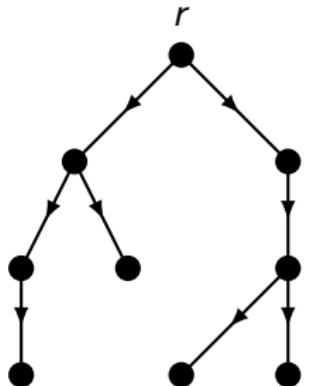


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

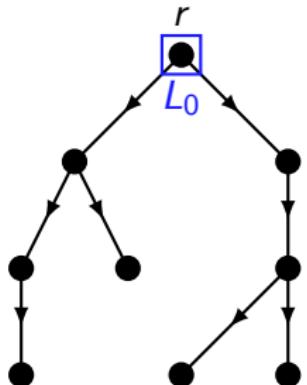


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

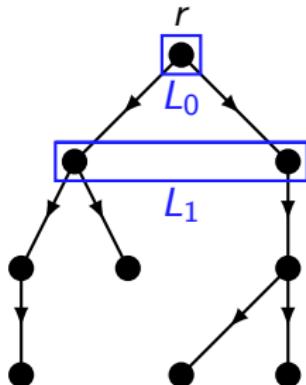


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

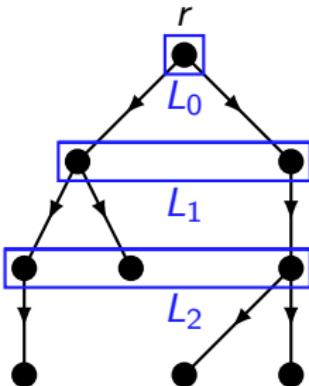


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

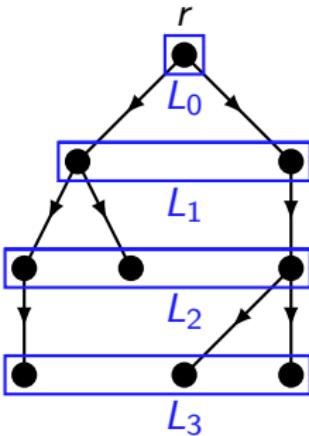


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

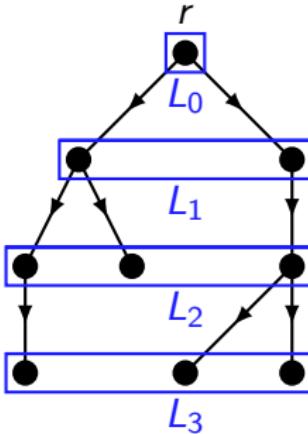


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.
- The **depth** of T is $\max\{i : L_i \neq \emptyset\}$, e.g. this tree has depth 3.

A **tree** is a connected graph with no cycles.

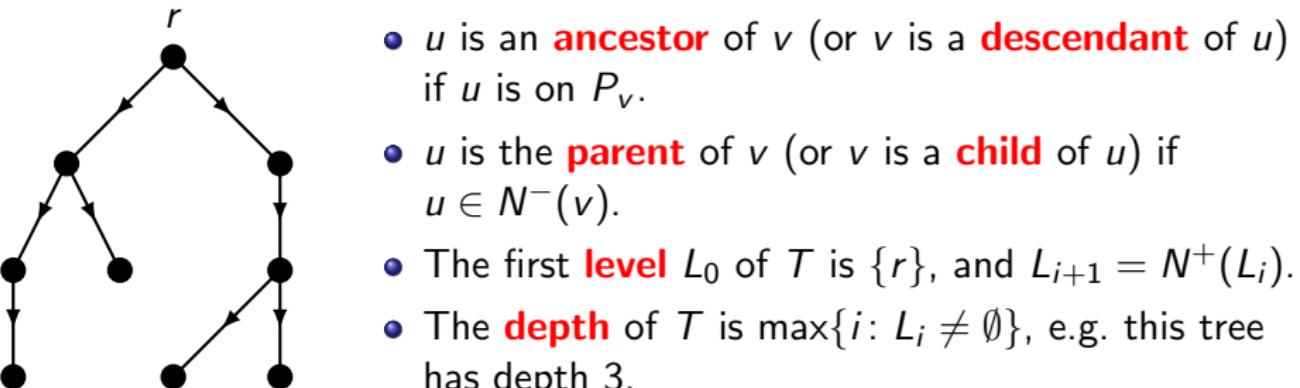
Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.



Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .



In a **rooted tree**: A **leaf** is a vertex with no children.

In a **non-rooted** tree: A **leaf** is a degree-1 vertex.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

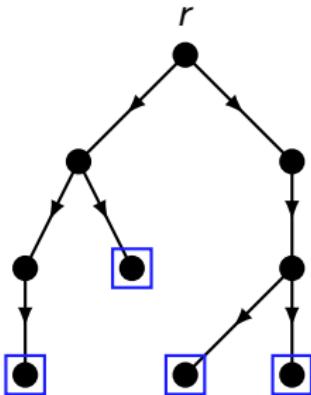


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.
- The **depth** of T is $\max\{i : L_i \neq \emptyset\}$, e.g. this tree has depth 3.

In a **rooted** tree: A **leaf** is a vertex with no children.

In a **non-rooted** tree: A **leaf** is a degree-1 vertex.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path.

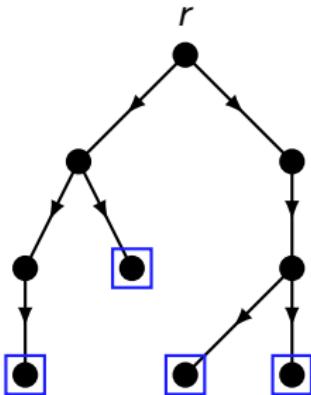


Lemma 2: Any n -vertex tree has $n - 1$ edges.



We **root** a tree $T = (V, E)$ at $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

In a **rooted tree** with root r :



- u is an **ancestor** of v (or v is a **descendant** of u) if u is on P_v .
- u is the **parent** of v (or v is a **child** of u) if $u \in N^-(v)$.
- The first **level** L_0 of T is $\{r\}$, and $L_{i+1} = N^+(L_i)$.
- The **depth** of T is $\max\{i : L_i \neq \emptyset\}$, e.g. this tree has depth 3.

In a **rooted** tree: A **leaf** is a vertex with no children.

In a **non-rooted** tree: A **leaf** is a degree-1 vertex.

These definitions agree except for a rooted tree whose root has one child.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

A **leaf** is a degree-1 vertex.

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

A **leaf** is a degree-1 vertex.

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves.

Proof: Let x be the number of leaves in T .

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

A **leaf** is a degree-1 vertex.

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves.

Proof: Let x be the number of leaves in T .

By the handshaking lemma, $|E| = \frac{1}{2} \sum_{v \in V} d(v)$. Also, $|E| = n - 1$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

A **leaf** is a degree-1 vertex.

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves.

Proof: Let x be the number of leaves in T .

By the handshaking lemma, $|E| = \frac{1}{2} \sum_{v \in V} d(v)$. Also, $|E| = n - 1$.

Since T is connected and $n \geq 2$, every vertex has degree at least 1.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

A **leaf** is a degree-1 vertex.

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves.

Proof: Let x be the number of leaves in T .

By the handshaking lemma, $|E| = \frac{1}{2} \sum_{v \in V} d(v)$. Also, $|E| = n - 1$.

Since T is connected and $n \geq 2$, every vertex has degree at least 1.

So all non-leaves have degree at least 2, and $\sum_{v \in V} d(v) \geq 2(n - x) + x$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

A **leaf** is a degree-1 vertex.

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves.

Proof: Let x be the number of leaves in T .

By the handshaking lemma, $|E| = \frac{1}{2} \sum_{v \in V} d(v)$. Also, $|E| = n - 1$.

Since T is connected and $n \geq 2$, every vertex has degree at least 1.

So all non-leaves have degree at least 2, and $\sum_{v \in V} d(v) \geq 2(n - x) + x$.

Plugging this in gives $|E| = n - 1 = \frac{1}{2} \sum_{v \in V} d(v) \geq n - \frac{x}{2}$.

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

A **leaf** is a degree-1 vertex.

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves.

Proof: Let x be the number of leaves in T .

By the handshaking lemma, $|E| = \frac{1}{2} \sum_{v \in V} d(v)$. Also, $|E| = n - 1$.

Since T is connected and $n \geq 2$, every vertex has degree at least 1.

So all non-leaves have degree at least 2, and $\sum_{v \in V} d(v) \geq 2(n - x) + x$.

Plugging this in gives $|E| = n - 1 = \frac{1}{2} \sum_{v \in V} d(v) \geq n - \frac{x}{2}$.

Solving for x gives $x \geq 2$, so we're done! □

The Fundamental Lemma of Trees

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

The Fundamental Lemma of Trees

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

When you're actually working with trees, it's good to have one single result that tells you that all the "obvious" things are true. This is that result.

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

The Fundamental Lemma of Trees

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

When you're actually working with trees, it's good to have one single result that tells you that all the "obvious" things are true. This is that result.

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

We've already proved $(A) \Rightarrow (D)$ (Lemma 1)...

The Fundamental Lemma of Trees

A **tree** is a connected graph with no cycles.

Lemma 1: Any pair of vertices in a tree is joined by a **unique** path. □

Lemma 2: Any n -vertex tree has $n - 1$ edges. □

When you're actually working with trees, it's good to have one single result that tells you that all the "obvious" things are true. This is that result.

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

We've already proved $(A) \Rightarrow (D)$ (Lemma 1)...

as well as $(A) \Rightarrow (B)$ and $(A) \Rightarrow (C)$ (Lemma 2).

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D):



(D) \Rightarrow (A):

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D):



(D) \Rightarrow (A): T has a path between any pair of vertices, so it's connected.

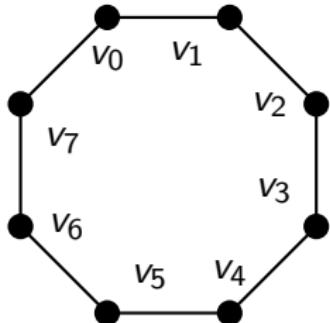
Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓

(D) \Rightarrow (A): T has a path between any pair of vertices, so it's connected.

And on any cycle $v_0 \dots v_k$, there are two different paths from v_0 to v_k :



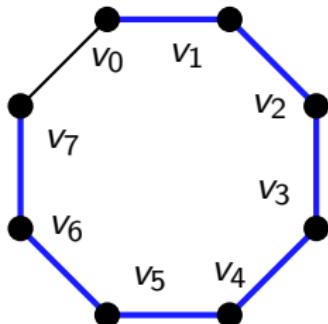
Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓

(D) \Rightarrow (A): T has a path between any pair of vertices, so it's connected.

And on any cycle $v_0 \dots v_k$, there are two different paths from v_0 to v_k :



• the path $v_0 \dots v_k$; and

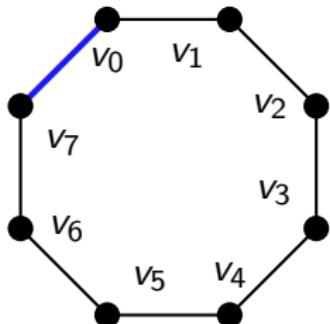
Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓

(D) \Rightarrow (A): T has a path between any pair of vertices, so it's connected.

And on any cycle $v_0 \dots v_k$, there are two different paths from v_0 to v_k :



- the path $v_0 \dots v_k$; and
- the edge $v_0 v_k$.

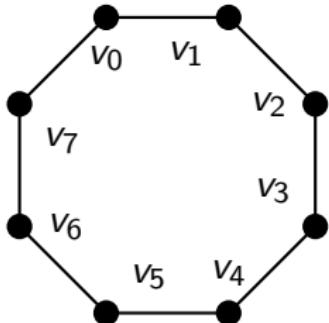
Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓

(D) \Rightarrow (A): T has a path between any pair of vertices, so it's connected.

And on any cycle $v_0 \dots v_k$, there are two different paths from v_0 to v_k :



- the path $v_0 \dots v_k$; and
- the edge $v_0 v_k$.

So T has no cycles. ✓

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓

(D) \Rightarrow (A):

✓

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓ **(D) \Rightarrow (A):** ✓

(C) \Rightarrow (A): Suppose T has no cycles and components C_1, \dots, C_r .

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

$(A) \Rightarrow (B), (C) \text{ and } (D)$: ✓ $(D) \Rightarrow (A)$:

✓

$(C) \Rightarrow (A)$: Suppose T has no cycles and components C_1, \dots, C_r .

Each of these components has no cycles, and is connected, so it's a tree.
So by $(A) \Rightarrow (B)$ (or Lemma 2), each C_i has $|V(C_i)| - 1$ edges.

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

$(A) \Rightarrow (B), (C) \text{ and } (D)$: ✓

$(D) \Rightarrow (A)$:

✓

$(C) \Rightarrow (A)$: Suppose T has no cycles and components C_1, \dots, C_r .

Each of these components has no cycles, and is connected, so it's a tree.

So by $(A) \Rightarrow (B)$ (or Lemma 2), each C_i has $|V(C_i)| - 1$ edges.

Every edge of T is in some C_i , so $|E| = \sum_i (|V(C_i)| - 1) = n - r$.

But we know $|E| = n - 1$, so we must have $r = 1$.

✓

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓

(C) and (D) \Rightarrow (A):

✓

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓ (C) and (D) \Rightarrow (A): ✓

(B) \Rightarrow (A): We will need to use:

Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓ **(C) and (D) \Rightarrow (A):**

(B) \Rightarrow (A): We will need to use:

Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

Proof from Claim: Suppose T is not a tree, so it has a cycle.

We form a new graph T' by repeatedly removing edges from cycles in T (in arbitrary order) until no more cycles remain.

Then T' has no cycles, and the Claim implies it's connected, so it's a tree. So by **(A) \Rightarrow (B)** (or Lemma 2), T' has $n - 1$ edges.

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

(A) \Rightarrow (B), (C) and (D): ✓ **(C) and (D) \Rightarrow (A):** ✓

(B) \Rightarrow (A): We will need to use:

Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

Proof from Claim: Suppose T is not a tree, so it has a cycle.

We form a new graph T' by repeatedly removing edges from cycles in T (in arbitrary order) until no more cycles remain.

Then T' has no cycles, and the Claim implies it's connected, so it's a tree. So by **(A) \Rightarrow (B)** (or Lemma 2), T' has $n - 1$ edges.

So T must have had **more than** $n - 1$ edges — a contradiction. □

Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

If e is not in P : Then P is the path we want. ✓

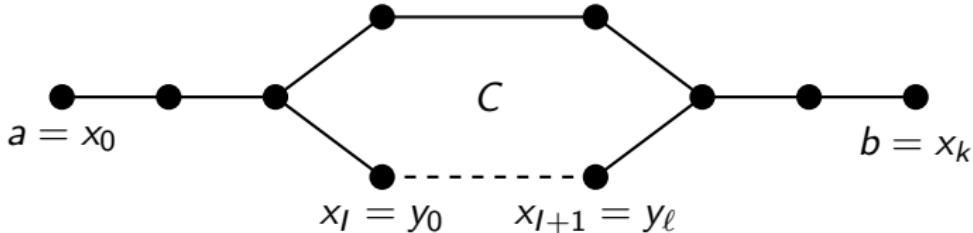
Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

If e is not in P : Then P is the path we want. ✓

If e is in P : Write $e = \{x_l, x_{l+1}\}$. Let $C = y_0 \dots y_\ell$ be a cycle in T containing e — without loss of generality we can take $y_0 = x_l$ and $y_\ell = x_{l+1}$.



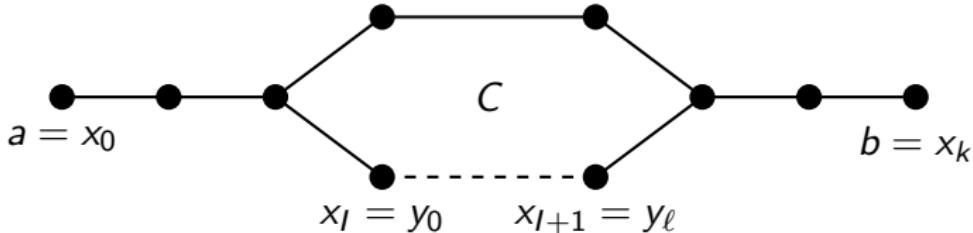
Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

If e is not in P : Then P is the path we want. ✓

If e is in P : Write $e = \{x_l, x_{l+1}\}$. Let $C = y_0 \dots y_\ell$ be a cycle in T containing e — without loss of generality we can take $y_0 = x_l$ and $y_\ell = x_{l+1}$.



Then $x_0 \dots x_l y_1 \dots y_\ell x_{l+1} \dots x_k$ is a walk from a to b in $T - e$. Any walk from a to b contains a path from a to b (see quiz 2), so we're done. ✓

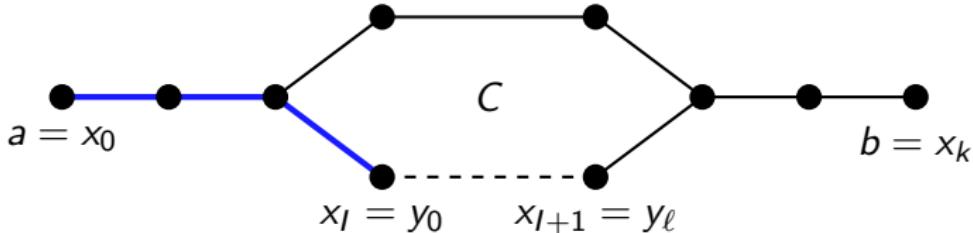
Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

If e is not in P : Then P is the path we want. ✓

If e is in P : Write $e = \{x_l, x_{l+1}\}$. Let $C = y_0 \dots y_\ell$ be a cycle in T containing e — without loss of generality we can take $y_0 = x_l$ and $y_\ell = x_{l+1}$.



Then $x_0 \dots x_l y_1 \dots y_\ell x_{l+2} \dots x_k$ is a walk from a to b in $T - e$. Any walk from a to b contains a path from a to b (see quiz 2), so we're done. ✓

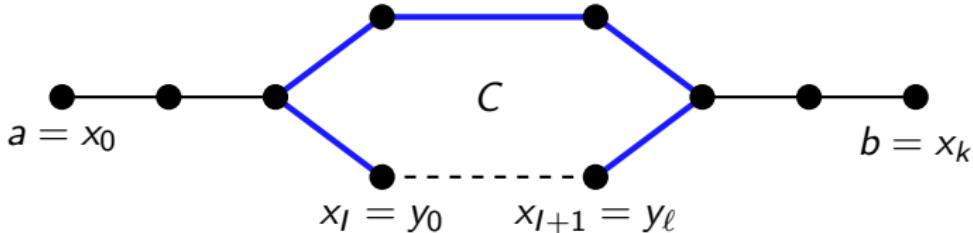
Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

If e is not in P : Then P is the path we want. ✓

If e is in P : Write $e = \{x_l, x_{l+1}\}$. Let $C = y_0 \dots y_\ell$ be a cycle in T containing e — without loss of generality we can take $y_0 = x_l$ and $y_\ell = x_{l+1}$.



Then $x_0 \dots x_l y_1 \dots y_\ell x_{l+2} \dots x_k$ is a walk from a to b in $T - e$. Any walk from a to b contains a path from a to b (see quiz 2), so we're done. ✓

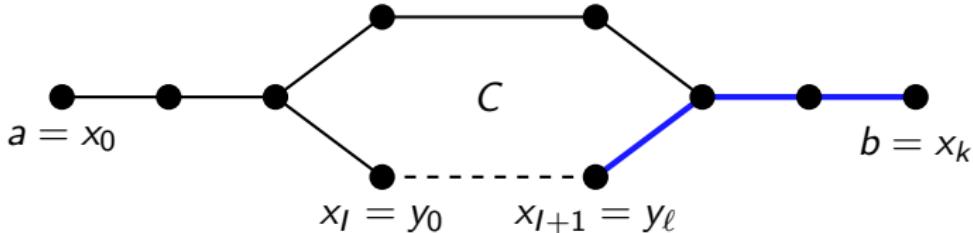
Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

If e is not in P : Then P is the path we want. ✓

If e is in P : Write $e = \{x_l, x_{l+1}\}$. Let $C = y_0 \dots y_\ell$ be a cycle in T containing e — without loss of generality we can take $y_0 = x_l$ and $y_\ell = x_{l+1}$.



Then $x_0 \dots x_l y_1 \dots y_\ell x_{l+2} \dots x_k$ is a walk from a to b in $T - e$. Any walk from a to b contains a path from a to b (see quiz 2), so we're done. ✓

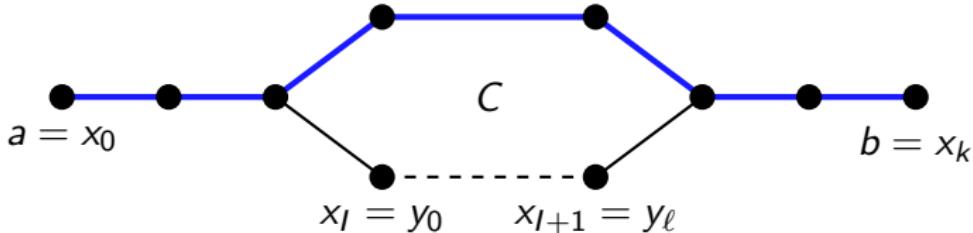
Claim: If $T = (V, E)$ is connected, and $e \in E$ is on a cycle, then $T - e$ is connected.

For all $a, b \in V$, we must find a path from a to b in $T - e$.

Let $P = x_0 \dots x_k$ be a path from a to b in T .

If e is not in P : Then P is the path we want. ✓

If e is in P : Write $e = \{x_l, x_{l+1}\}$. Let $C = y_0 \dots y_\ell$ be a cycle in T containing e — without loss of generality we can take $y_0 = x_l$ and $y_\ell = x_{l+1}$.



Then $x_0 \dots x_l y_1 \dots y_\ell x_{l+1} \dots x_k$ is a walk from a to b in $T - e$. Any walk from a to b contains a **path** from a to b (see quiz 2), so we're done. ✓

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.



Our reward for proving this lemma is:

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.



Our reward for proving this lemma is: we never have to think about basic tree properties in this level of detail ever again. (Except on the exam!)

Lemma: The following are equivalent for an n -vertex graph $T = (V, E)$:

- (A) T is connected and has no cycles, i.e. is a tree;
- (B) T has $n - 1$ edges and is connected;
- (C) T has $n - 1$ edges and has no cycles;
- (D) T has a unique path between any pair of vertices.

□

Our reward for proving this lemma is: we never have to think about basic tree properties in this level of detail ever again. (Except on the exam!)



And there was much rejoicing.