

Inductive definitions

- Factorial

- $f(0) = 1$
- $f(n) = n \times f(n-1)$

- Insertion sort

- $\text{isort}([]) = []$
- $\text{isort}([x_1, x_2, \dots, x_n]) = \text{insert}(x_1, \text{isort}([x_2, \dots, x_n]))$

... Recursive programs

```
def factorial(n):  
    if n <= 0:  
        return(1)  
    else:  
        return(n*factorial(n-1))
```

Sub problems

- $\text{factorial}(n-1)$ is a **subproblem** of $\text{factorial}(n)$
 - So are $\text{factorial}(n-2)$, $\text{factorial}(n-3)$, ..., $\text{factorial}(0)$
- $\text{isort}([x_2, \dots, x_n])$ is a **subproblem** of $\text{isort}([x_1, x_2, \dots, x_n])$
 - So is $\text{isort}([x_i, \dots, x_j])$ for any $1 \leq i \leq j \leq n$
- Solution of $f(y)$ can be derived by combining solutions to subproblems

Evaluating subproblems

Fibonacci numbers

- $\text{fib}(0) = 0$
- $\text{fib}(1) = 1$
- $\text{fib}(n) = \text{fib}(n-1) + \text{fib}(n-2)$

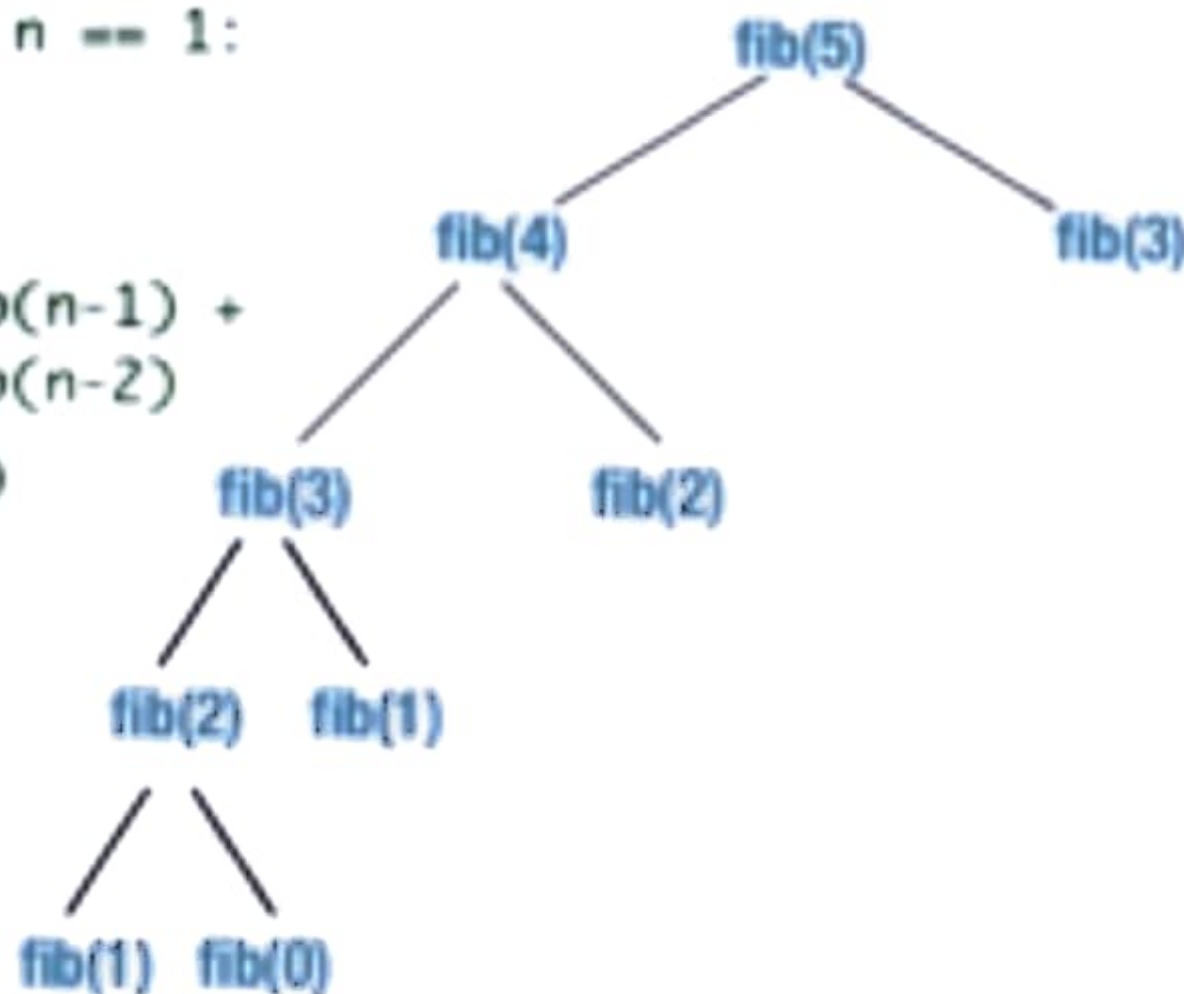
```
def fib(n):  
    if n == 0 or n == 1:  
        value = n  
    else:  
        value = fib(n-1) +  
                fib(n-2)  
    return(value)
```

Computing fib(5)

```
def fib(n):  
    if n == 0 or n == 1:           fib(5)  
        value = n  
    else:  
        value = fib(n-1) +  
                fib(n-2)  
    return(value)
```

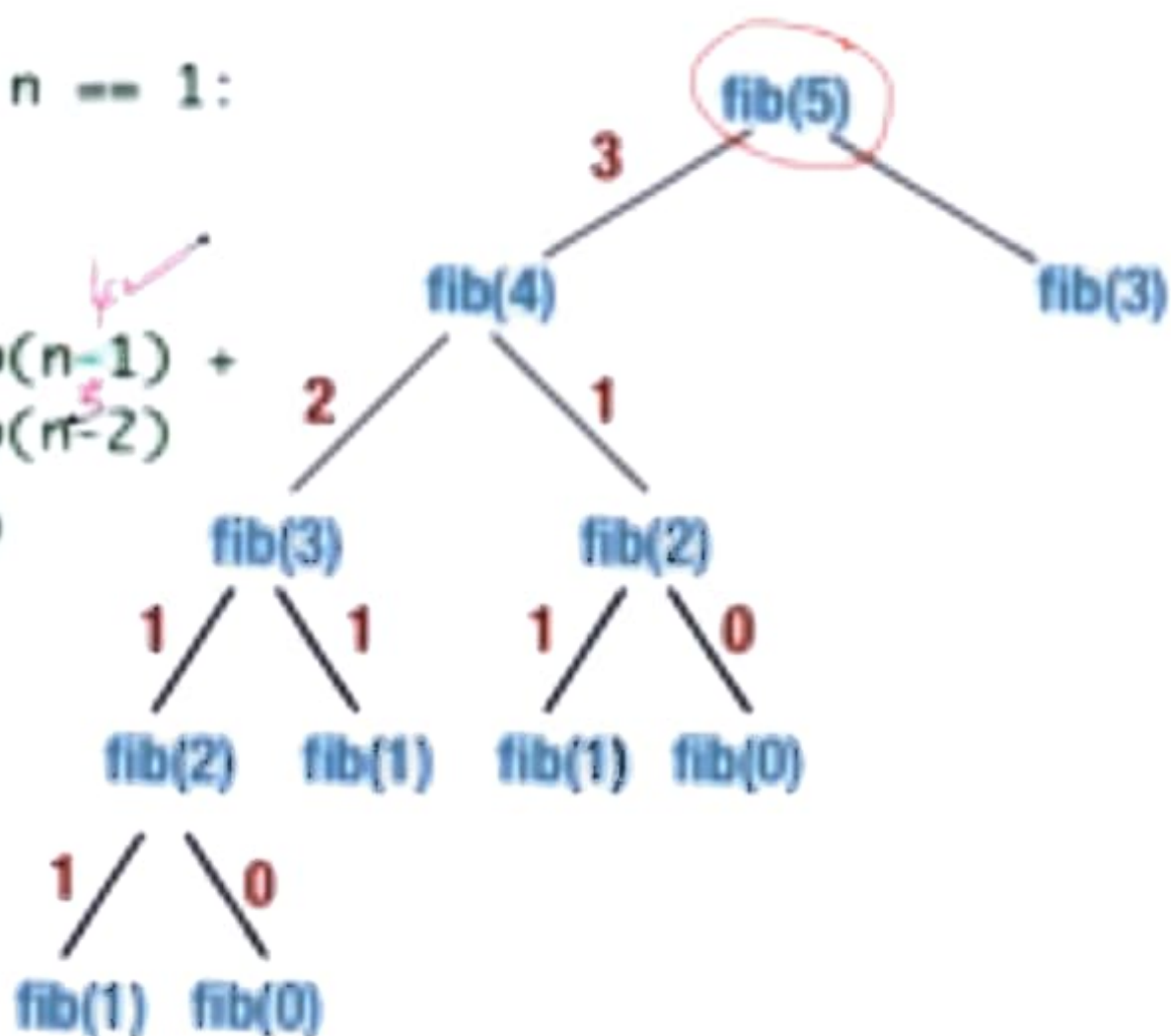
Computing fib(5)

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def fib(n):  
    if n == 0 or n == 1:  
        value = n  
    else:  
        value = fib(n-1) +  
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    return(value)
```



Computing fib(5)

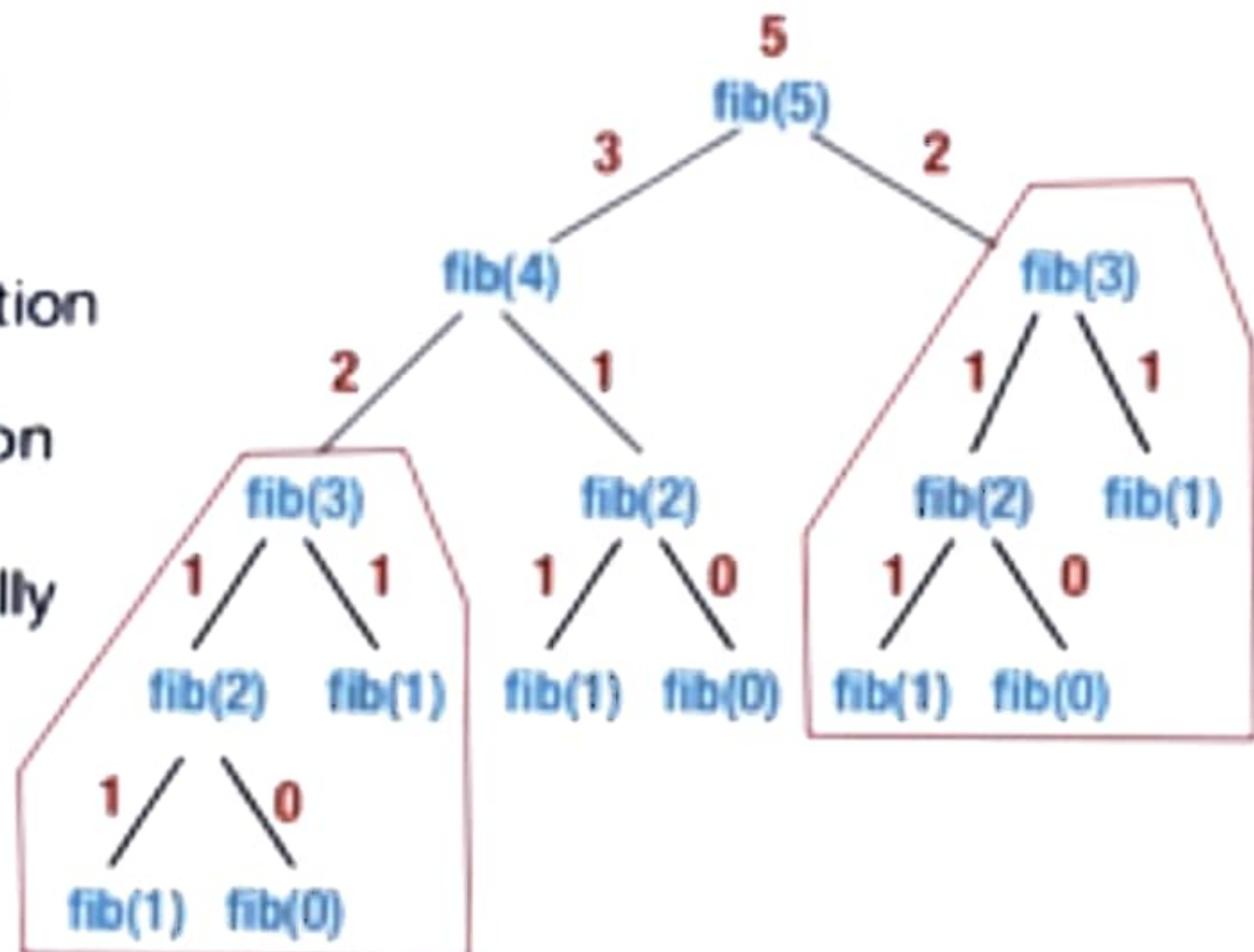
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        value = n  
    else:  
        value = fib(n-1) +  
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    return(value)
```



Computing fib(5)

Overlapping subproblems

- Wasteful recomputation
- Computation tree grows exponentially



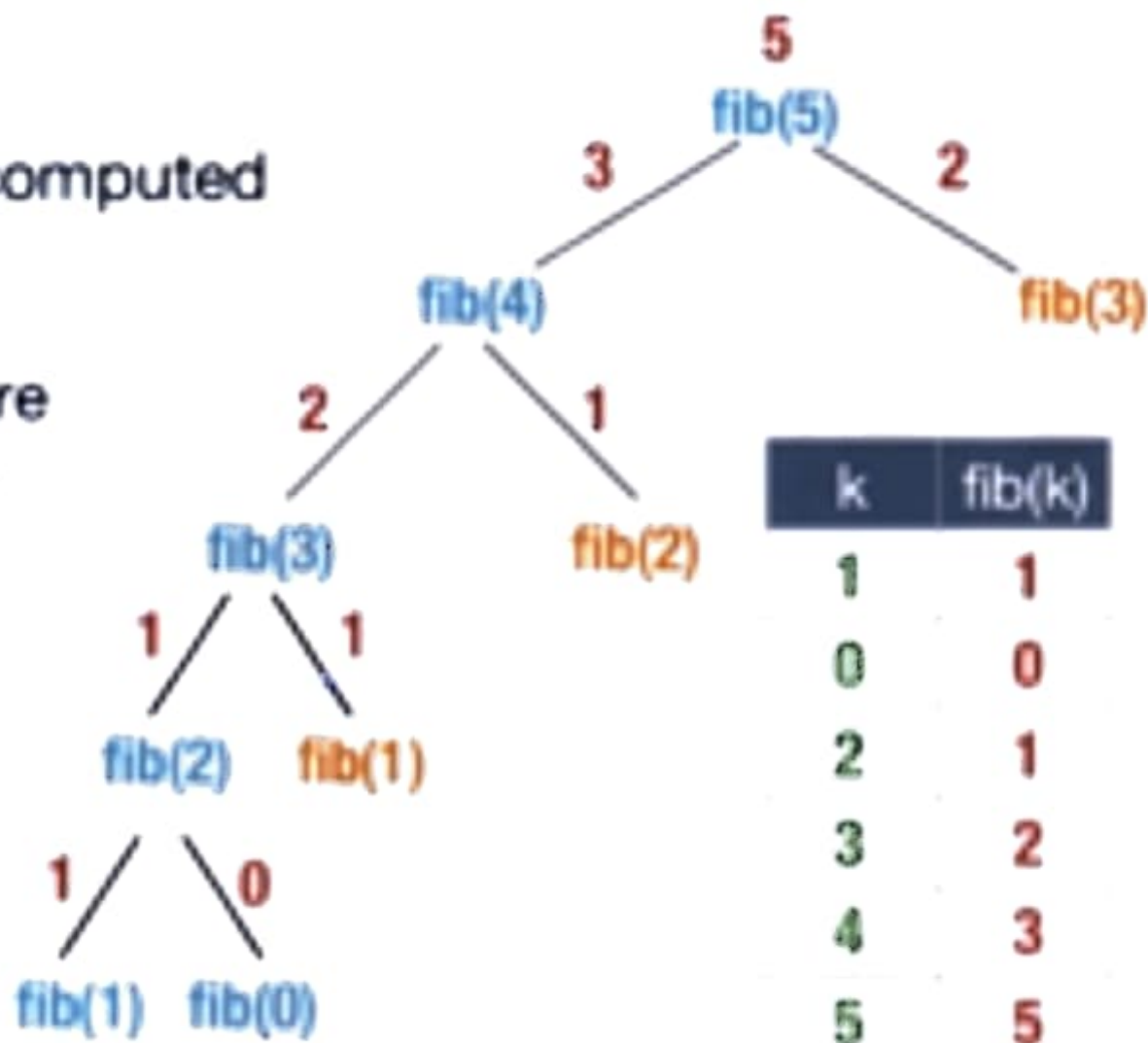
Never re-evaluate a subproblem

- Build a table of values already computed
 - Memory table
- Memoization
 - Remind yourself that this value has already been seen before

Memoized fib(5)

Memoization

- Store each newly computed value in a table
- Look up table before starting a recursive computation
- Computation tree is linear




Memoized fibonacci

```
def fib(n):  
    if fibtable[n]:  
        return(fibtable[n])  
    if n == 0 or n == 1:  
        value = n  
    else:  
        value = fib(n-1) + fib(n-2)  
    fibtable[n] = value  
    return(value)
```

Memoized fibonacci

```
def fib(n):  
    if fibtable[n]:  
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    if n == 0 or n == 1:  
        value = n  
    else:  
        value = fib(n-1) + fib(n-2)  
    fibtable[n] = value ✓  
    return(value)
```



In general

```
function f(x,y,z):
```

```
    if ftable[x][y][z]:
```

```
        return(ftable[x][y][z])
```

```
    value = expression in terms of  
            subproblems
```

```
    ftable[x][y][z] = value
```

```
    return(value)
```

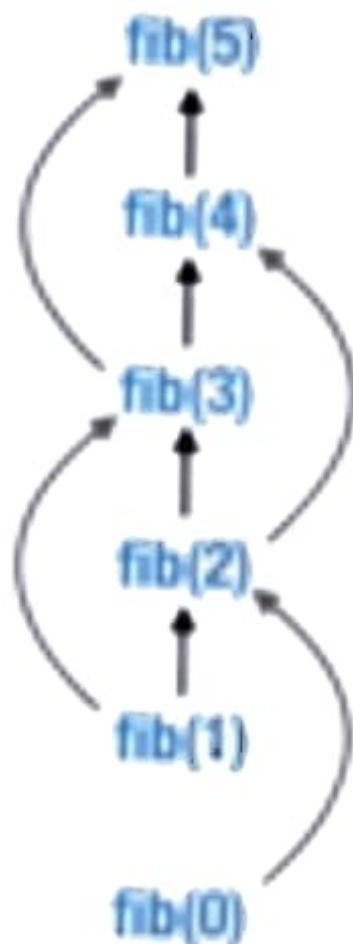
Dynamic programming

- Anticipate what the memory table looks like
 - Subproblems are known from problem structure
- Solve subproblems in order of dependencies
 - Must be acyclic,

Dynamic programming

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 - Subproblems are known from problem structure
- Solve subproblems in order of dependencies
- Must be acyclic,

k	0	1	2	3	4	5
fib(k)	0	1	1	2	3	5



Dynamic programming fibonacci

```
def fib(n):  
    fibtable[0] = 0  
    fibtable[1] = 1  
    for i in range(2,n+1):  
        fibtable[i] = fibtable[i-1] +  
                      fibtable[i-2]  
  
    return(fibtable[n])
```

Summary

Memoization

- Store values of subproblems in a table
- Look up the table before making a recursive call

Dynamic programming:

- Solve subproblems in order of dependency
 - Dependencies must be acyclic
- Iterative evaluation

Grid Paths

(5,10)

- Roads arranged in a rectangular grid
- Can only go up or right
- How many different routes from (0,0) to (m,n)?

(0,0)

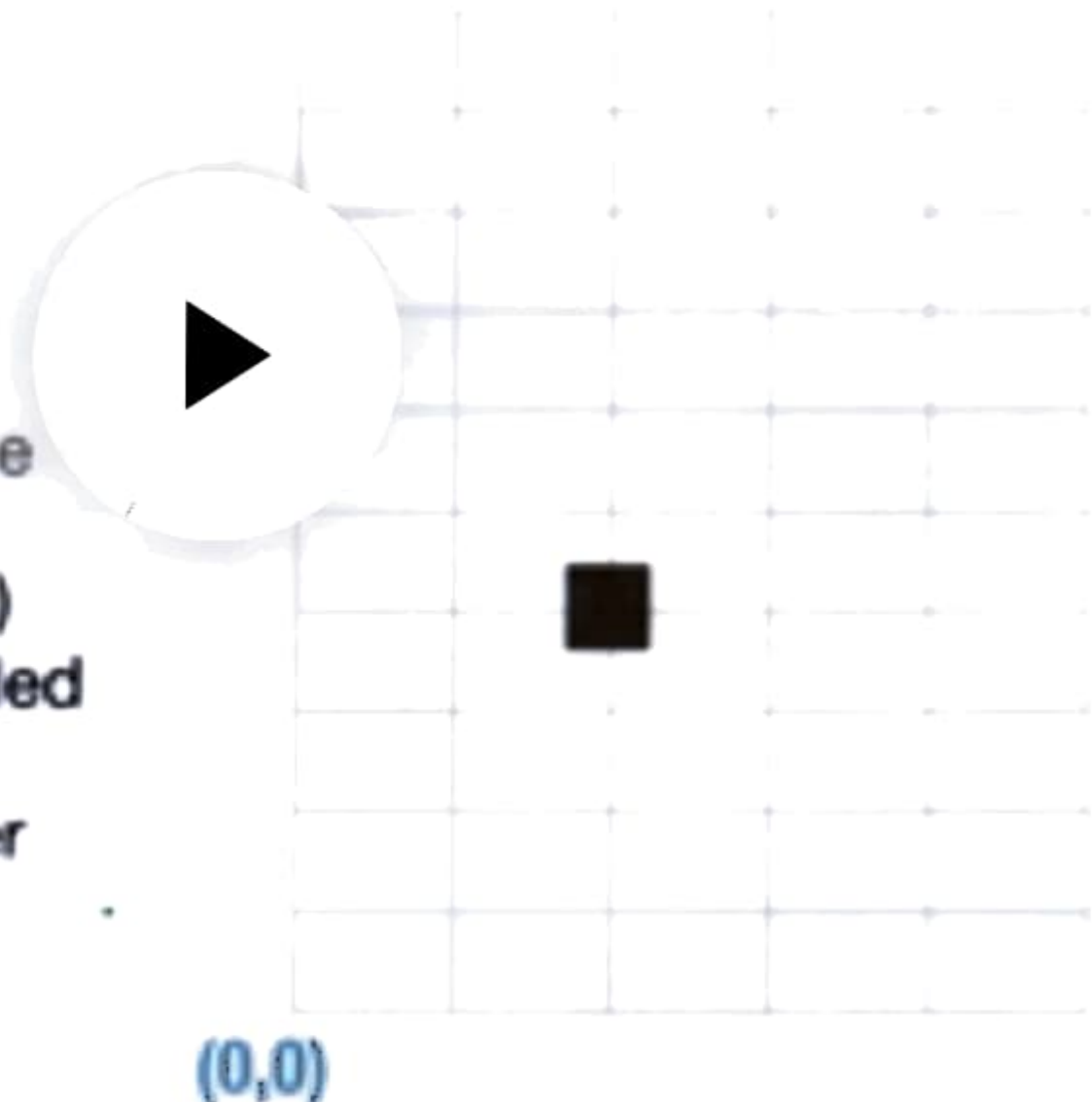
Combinatorial solution

- Every path from $(0,0)$ to $(5,10)$ has 15 segments
 - In general $m+n$ segments from $(0,0)$ to (m,n)
- Of these exactly 5 are right moves, 10 are up moves
- Fix the positions of the 5 right moves among the overall 15 positions
 - $15 \text{ choose } 5 = (15!)/(10!)(5!) = 3003$
 - Same as 15 choose 10: fix the 10 up moves

Holes

(5,10)

- What if an intersection is blocked?
- (2,4), for example
- Paths through (2,4) need to be discarded
- Two of our earlier examples are invalid paths



Combinatorial solution

- Every path through $(2,4)$ goes from $(0,0)$ to $(2,4)$ and then from $(2,4)$ to $(5,10)$
- Count these separately:
 - $(4+2) \text{ choose } 2 = 15$
 - $(6+3) \text{ choose } 3 = 84$
- Multiply to get all paths through $(2,4)$: 1260
- Subtract from $15 \text{ choose } 5 = 3003$ to get valid paths that avoid $(2,4)$: 1743



Combinatorial solution

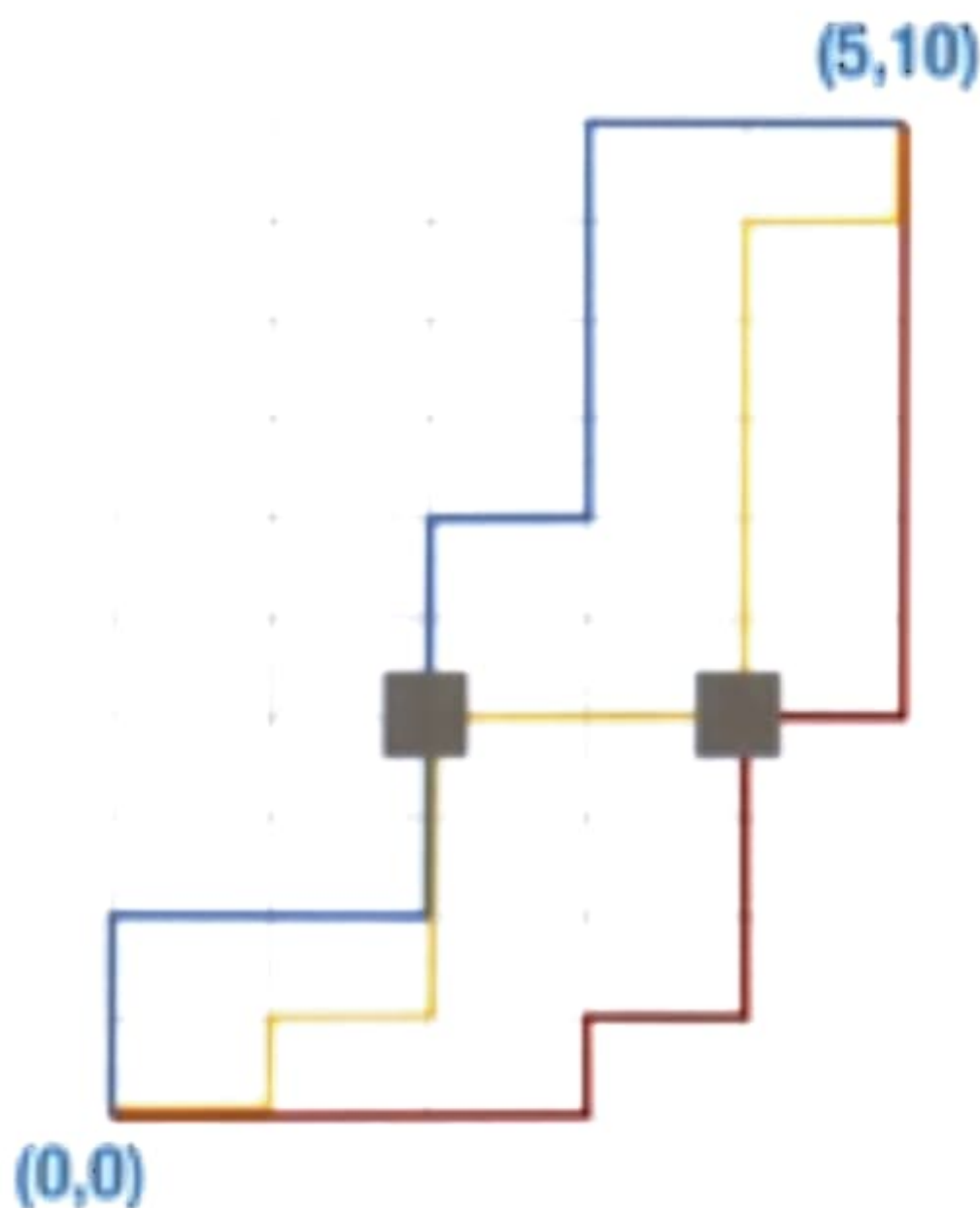
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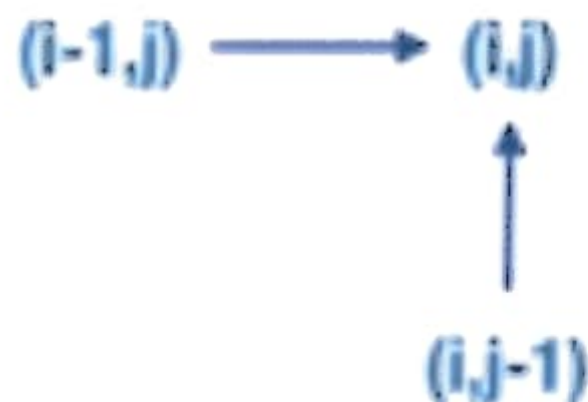
Holes

- What if two intersections are blocked?
- Subtract paths through $(2,4)$, $(4,4)$
 - Some paths are counted twice!
- Add back paths through both holes
- Inclusion-exclusion: messy



Inductive formulation

- How can a path reach (i,j)
 - Move up from $(i,j-1)$
 - Move right from $(i-1,j)$
- Every path to these neighbours extends in a unique way to (i,j)



Inductive formulation

- $\text{Paths}(i,j)$: Number of paths from $(0,0)$ to (i,j)
- $\text{Paths}(i,j) = \text{Paths}(i-1,j) + \text{Paths}(i,j-1)$
- Boundary cases
 - $\text{Paths}(i,0) = \text{Paths}(i-1,0)$ # Bottom row
 - $\text{Paths}(0,j) = \text{Paths}(0,j-1)$ # Left column
 - $\text{Paths}(0,0) = 1$ # Base case

Computing $\text{Paths}(i,j)$

- Naive recursion will recompute multiple times
 - $\text{Paths}(5,10)$ requires $\text{Paths}(4,10)$ and $\text{Paths}(5,9)$
 - Both $\text{Paths}(4,10)$ and $\text{Paths}(5,9)$ require $\text{Paths}(4,9)$
- Use memoization ...
- ... or compute the subproblems directly in a suitable way

Dealing with holes

- $\text{Paths}(i,j) = 0$, if there is a hole at (i,j)
- $\text{Paths}(i,j) = \text{Paths}(i-1,j) + \text{Paths}(i,j-1)$, otherwise
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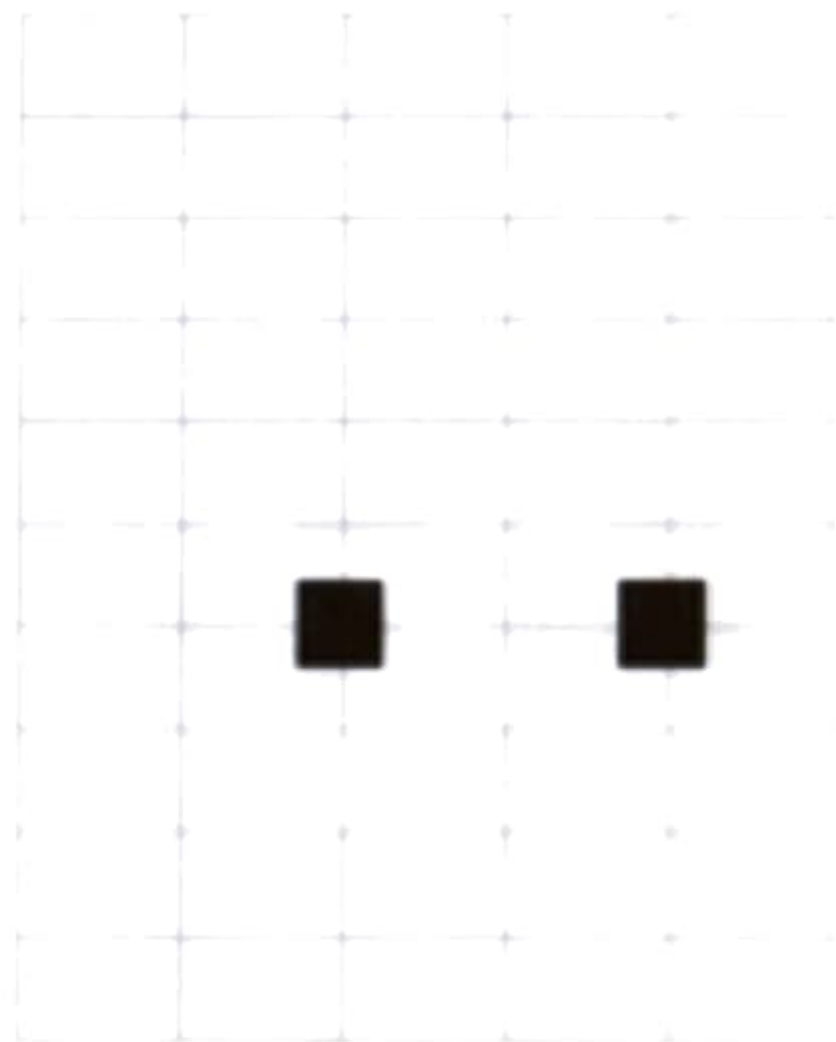
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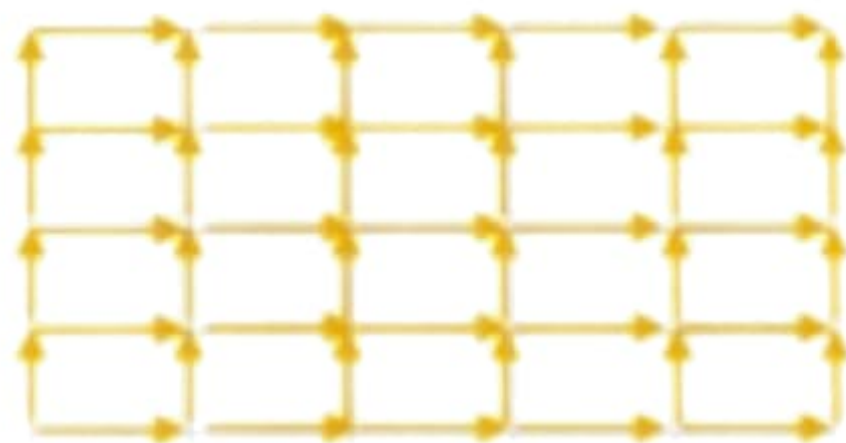
Dynamic programming

(5,10)

- Identify dependency structure
- $\text{Paths}(0,0)$ has no dependencies
- Start at $(0,0)$



Dynamic programming



- Start at (0,0)
- Fill row by row

1	6	6	26	26	82
1	5	0	20	0	56
1	4	10	20	35	56
1	3	6	10	15	21
1	2	3	4	5	6
1	1	1	1	1	1

Dynamic programming

- Start at (0,0)
- Fill row by row

1	11	51	181	526	1363
1	10	40	130	345	837
1	9	30	90	215	492
1	8	21	60	125	272
1	7	13	39	65	147
1	6	6	26	26	82
1	5	0	20	0	56
1	4	10	20	35	56
1	3	6	10	15	21
1	2	3	4	5	6
1	1	1	1	1	1

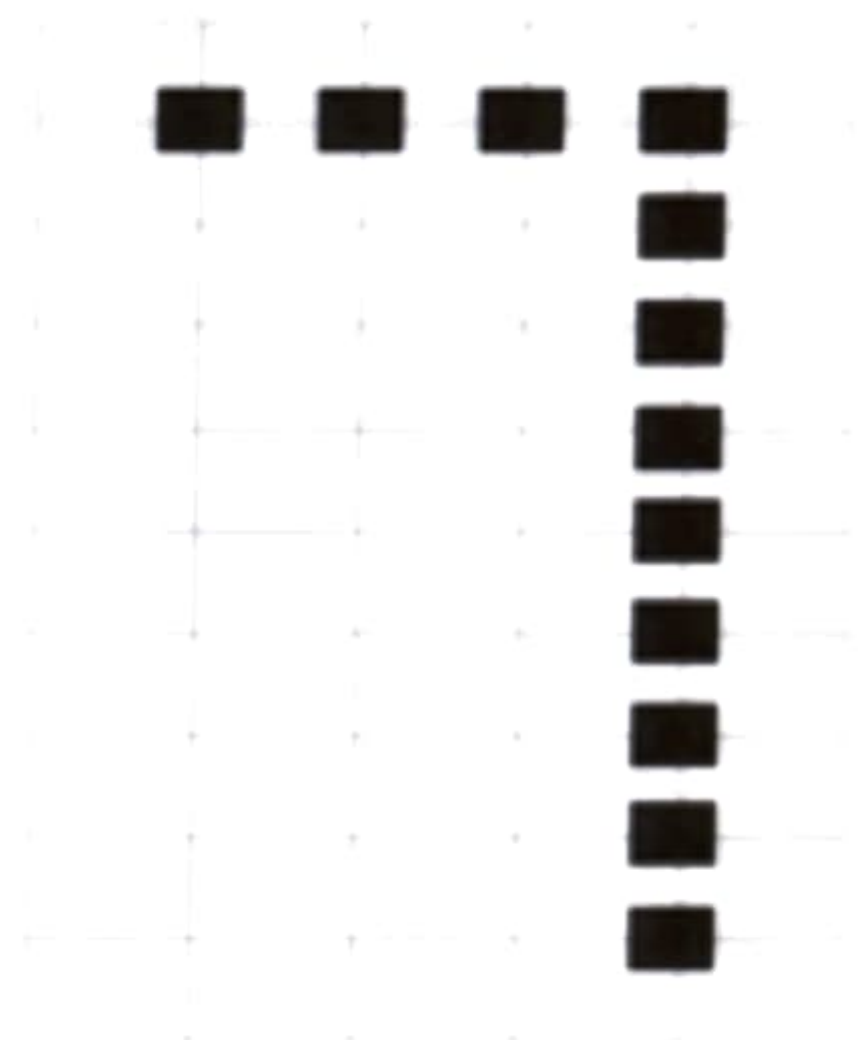
Dynamic programming

- Start at (0,0)
- Fill by column

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1	8	21	60	125	272
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1	6	6	26	26	82
1	5	0	20	0	56
1	4	10	20	35	56
1	3	6	10	15	21
1	2	3	4	5	6
1	1	1	1	1	1

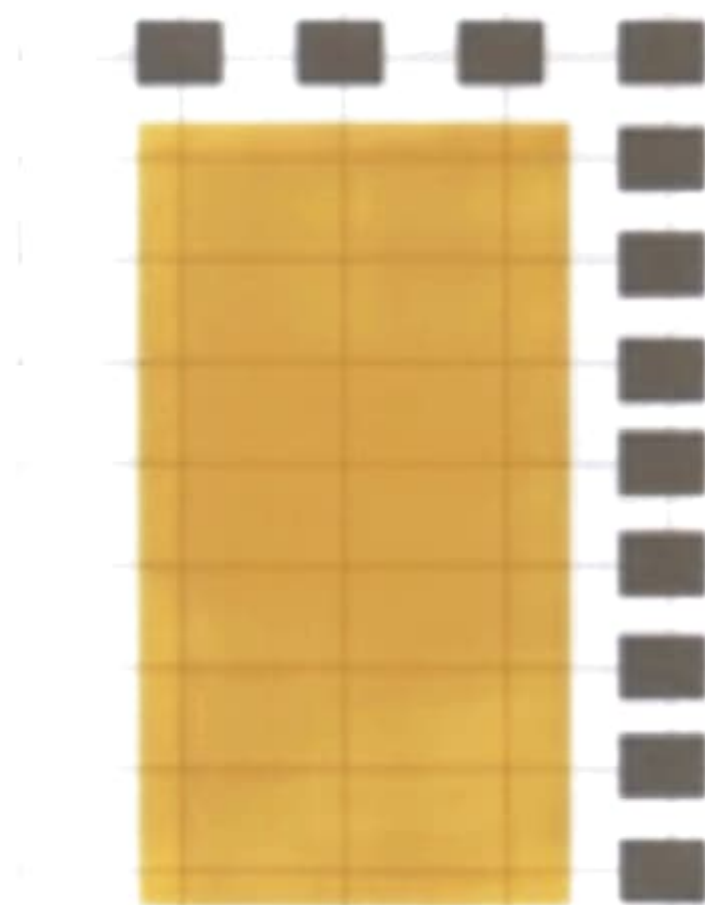
Memoization vs dynamic programming

- Holes just inside the border
- Memoization never explores the shaded region



Memoization vs dynamic programming

- Memo table has $O(m+n)$ entries
- Dynamic programming blindly fills all $O(mn)$ entries
- Iteration vs recursion
— “wasteful”
dynamic programming is still better, in general



Longest common subword

- Given two strings, find the (length of the) longest common subword
 - "secret", "secretary" — "secret", length 6
 - "bisect", "trisection" — "sect", length 4
 - "bisect", "secret" — "sec", length 3
 - "director", "secretary" — "ec", "re", length 2

More formally ...

- Two strings $u = a_0a_1\dots a_{m-1}$, $v = b_0b_1\dots b_{n-1}$
- If $a_ia_{i+1}\dots a_{i+k-1} = b_jb_{j+1}\dots b_{j+k-1}$ for some i and j ,
 u and v have a common subword of length k
- Aim: Find the length of the longest common subword of u and v

Longest common subword

- Given two strings, find the (length of the) longest common subword
 - "secret", "secretary" — "secret", length 6
 - "bisect", "trisect" — "isect", length 5
 - "bisect", "secret" — "sec", length 3
 - "director", "secretary" — "ec", "re", length 2

Brute force

- $u = a_0a_1\dots a_{m-1}$ and $v = b_0b_1\dots b_{n-1}$
- Try every pair of starting positions i in u , j in v
 - Match $(a_i, b_j), (a_{i+1}, b_{j+1}), \dots$ as far as possible
 - Keep track of the length of the longest match
- Assuming $m > n$, this is $O(mn^2)$
 - mn pairs of positions
 - From each starting point, scan can be $O(n)$

Brute force

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Inductive structure

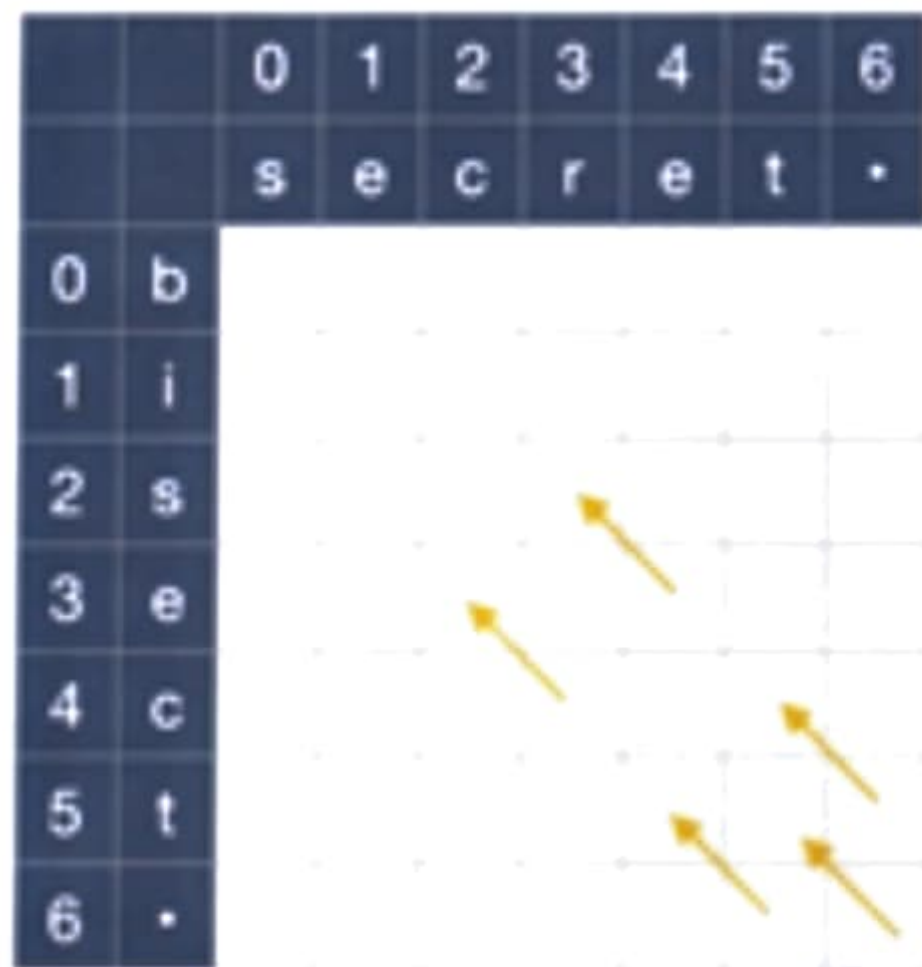
- $a_i a_{i+1} \dots a_{i+k-1} = b_j b_{j+1} \dots b_{j+k-1}$ is a common subword of length k at (i, j) iff
 - $a_i = b_j$ and
 - $a_{i+1} \dots a_{i+k-1} = b_{j+1} \dots b_{j+k-1}$ is a common subword of length $k-1$ at $(i+1, j+1)$
- $LCW(i, j)$: length of the longest common subword starting at a_i and b_j
 - If $a_i \neq b_j$, $LCW(i, j)$ is 0, otherwise $1 + LCW(i+1, j+1)$
 - Boundary condition: when we have reached the end of one of the words

Inductive structure

- Consider positions 0 to m in u , 0 to n in v
 - m, n means we have reached the end of the word
- $LCW(m+1, j) = 0$ for all j
- $LCW(i, n+1) = 0$ for all i
- $LCW(i, j) = 0$, if $a_i \neq b_j$,
 $1 + LCW(i+1, j+1)$, if $a_i = b_j$

Subproblem dependency

- $LCW(i,j)$ depends on $LCW(i+1,j+1)$
- Last row and column have no dependencies
- Start at bottom right corner and fill by row or by column



Reading off the solution

- Find (i,j) with largest entry
- $LCW(2.0) = 3$
- Read off the actual subword diagonally

		0	1	2	3	4	5	6
		s	e	c	r	e	t	.
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	.	0	0	0	0	0	0	0

LCW(u,v), DP

```
def LCW(u,v): # u[0..m-1], v[0..n-1]
    for r in range(len(u)+1):
        LCW[r][len(v)+1] = 0 # r for row
    for c in range(len(v)+1):
        LCW[len(u)+1][c] = 0 # c for col
    maxLCW = 0
    for c in range(len(v)+1,-1,-1):
        for r in range(len(u)+1,-1,-1):
            if u[r] == v[c]:
                LCW[r][c] = 1 + LCW[r+1][c+1]
            else:
                LCW[r][c] = 0
            if LCW[r][c] > maxLCW:
                maxLCW = LCW[r][c]
    return(maxLCW)
```

LCW(u,v), DP

```
def LCW(u,v): # u[0..m-1], v[0..n-1]
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            else:
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```

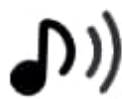
Complexity

- Recall that the brute force approach was $O(mn^2)$
- The inductive solution is $O(mn)$ if we use dynamic programming (or memoization)
 - Need to fill an $O(mn)$ size table
 - Each table entry takes constant time to compute

Longest common subsequence

- Subsequence: can drop some letters in between
- Given two strings, find the (length of the) longest common subsequence
 - "secret", "secretary" — "secret", length 6
 - "bisect", "trisect" — "isect", length 5
 - "bisect", "secret" — "sect", length 4
 - "director", "secretary" — "ectr", "retr", length 4

LCS



- LCS is longest path we can find between non-zero LCW entries, moving right and down

		0	1	2	3	4	5	6
		s	e	c	r	e	t	.
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	.	0	0	0	0	0	0	0

Applications

- Analyzing genes
 - DNA is a long string over A,T,G,C
 - Two species are closer if their DNA has longer common subsequence
- UNIX diff command
 - Compares text files
 - Find longest matching subsequence of lines

Inductive structure

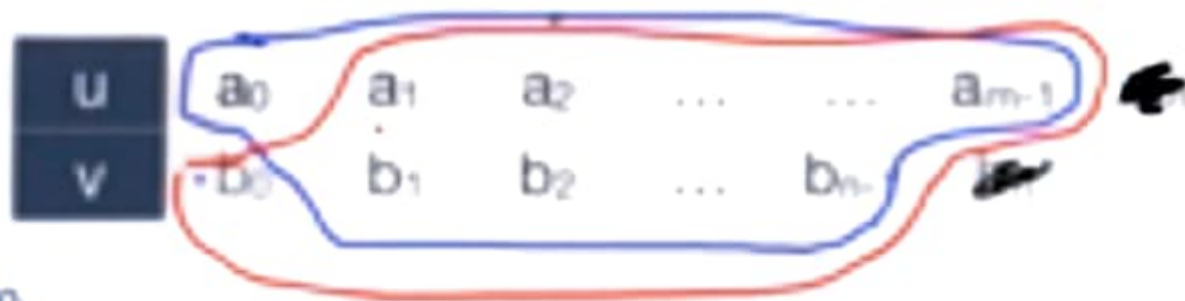
u	a_0	a_1	a_2	a_{m-1}	a_m
v	b_0	b_1	b_2	...	b_{n-1}	b_n	

- If $a_0 = b_0$,

$$\text{LCS}(a_0 \dots a_{m-1}, b_0 \dots b_{n-1}) = 1 + \text{LCS}(a_1 a_2 \dots a_{m-1}, b_1 b_2 \dots b_{n-1})$$

- Can force (a_0, b_0) to be part of LCS
- If not, a_0 and b_0 cannot both be part of LCS
 - Not sure which one to drop
 - Solve both subproblems $\text{LCS}(a_1 a_2 \dots a_{m-1}, b_0 b_1 \dots b_{n-1})$ and $\text{LCS}(a_0 a_1 \dots a_{m-1}, b_1 b_2 \dots b_{n-1})$ and take the maximum

Inductive structure



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Inductive structure

u	a_i	a_{i+1}	a_{i+2}	a_{m-1}	a_m
v	b_j	b_{j+1}	b_{j+2}	...	b_{n-1}	b_n	

- $\text{LCS}(i,j)$ stands for $\text{LCS}(a_i a_{i+1} \dots a_m, b_j b_{j+1} \dots b_n)$
- If $a_i = b_j$, $\text{LCS}(i,j) = 1 + \text{LCS}(i+1, j+1)$
- If $a_i \neq b_j$, $\text{LCS}(i,j) = \max(\text{LCS}(i+1, j), \text{LCS}(i, j+1))$
- As with LCW, extend positions to $m+1, n+1$
 - $\text{LCS}(m+1, j) = 0$ for all j
 - $\text{LCS}(i, n+1) = 0$ for all i

Subproblem dependency

- $LCS(i,j)$ depends on $LCS(i+1,j+1)$ as well as $LCS(i+1,j)$ and $LCS(i,j+1)$
- Dependencies for $LCS(m,n)$ are known
- Start at $LCS(m,n)$ and fill by row, column or diagonal

		0	1	2	3	4	5	6
		s	e	c	r	e	t	.
0	b							
1	i							
2	s							
3	e							
4	c							
5	t							
6	.							

Recovering the sequence

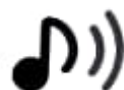
- Trace back the path by which each entry was filled
- Each diagonal step is an element of the LCS
- "sect"

		0	1	2	3	4	5	6
		s	e	c	r	e	t	.
0	b	4	3	2	1	1	0	0
1	i	4	3	2	1	1	0	0
2	s	4	3	2	1	1	0	0
3	e	3	3	2	1	1	0	0
4	c	2	2	2	1	1	0	0
5	t	1	1	1	1	1	1	0
6	.	0	0	0	0	0	0	0

LCS(u,v), DP

[illegible]

Complexity



- Again $O(mn)$ using dynamic programming (or memoization)
- Need to fill an $O(mn)$ size table
- Each table entry takes constant time to compute

Multiplying matrices

- To multiply matrices A and B , need compatible dimensions
 - A of dimension $m \times n$, B of dimension $n \times p$
 - AB has dimension mp
- Each entry in AB take $O(n)$ steps to compute
 - $AB[i,j]$ is $A[i,1]B[1,j] + A[i,2]B[2,j] + \dots + A[i,n]B[n,j]$
- Overall, computing AB is $O(mnp)$

Multiplying matrices

- Matrix multiplication is associative
 - $ABC = (AB)C = A(BC)$
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Multiplying matrices

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- Suppose dimensions are $A[1,100]$, $B[100,1]$, $C[1,100]$
 - Computing $A(BC)$
 - BC is $[100,100]$, $100 \times 1 \times 100 = 10000$ steps
 - $A(BC)$ is $[1,100]$, $1 \times 100 \times 100 = 10000$ steps
 - Computing $(AB)C$
 - AB is $[1,1]$, $1 \times 100 \times 1 = 100$ steps
 - $(AB)C$ is $[1,100]$, $1 \times 1 \times 100 = 100$ steps
- $A(BC)$ takes 20000 steps, $(AB)C$ takes 200 steps!

Multiplying matrices



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Multiplying matrices

- Given matrices M_1, M_2, \dots, M_n of dimensions $[r_1, c_1], [r_2, c_2], \dots, [r_n, c_n]$
 - Dimensions match, so $M_1 \times M_2 \times \dots \times M_n$ can be computed
 - $c_i = r_{i+1}$ for $1 \leq i < n$
- Find an optimal order to compute the product
 - That is, bracket the expression optimally

Inductive structure

- Product to be computed: $M_1 \times M_2 \times \dots \times M_n$
- Final step would have combined two subproducts
 - $(M_1 \times M_2 \times \dots \times M_k) \times (M_{k+1} \times M_{k+2} \times \dots \times M_n)$, for some $1 \leq k < n$
 - First factor has dimension (r_1, c_k) , second (r_{k+1}, c_n)
 - Final multiplication step costs $O(r_1 c_k c_n)$
 - Add cost of computing the two factors

Subproblems

- Final step is
 $(M_1 \times M_2 \times \dots \times M_k) \times (M_{k+1} \times M_{k+2} \times \dots \times M_n)$
- Subproblems are $(M_1 \times M_2 \times \dots \times M_k)$ and
 $(M_{k+1} \times M_{k+2} \times \dots \times M_n)$
- Total cost is $\text{Cost}(M_1 \times M_2 \times \dots \times M_k) +$
 $\text{Cost}(M_{k+1} \times M_{k+2} \times \dots \times M_n) + r_1 c_k c_n$
- Which k should we choose?
- No idea! Try them all and choose the minimum!

Inductive formulation

- $\text{Cost}(M_1 \times M_2 \times \dots \times M_n) =$
minimum value, for $1 \leq k < n$, of
$$\text{Cost}(M_1 \times M_2 \times \dots \times M_k) +$$
$$\text{Cost}(M_{k+1} \times M_{k+2} \times \dots \times M_n) +$$
$$r_1 c_k c_n$$
- When we compute $\text{Cost}(M_1 \times M_2 \times \dots \times M_k)$ we will get subproblems of the form $M_j \times M_{j+1} \times \dots \times M_k$

In general ...

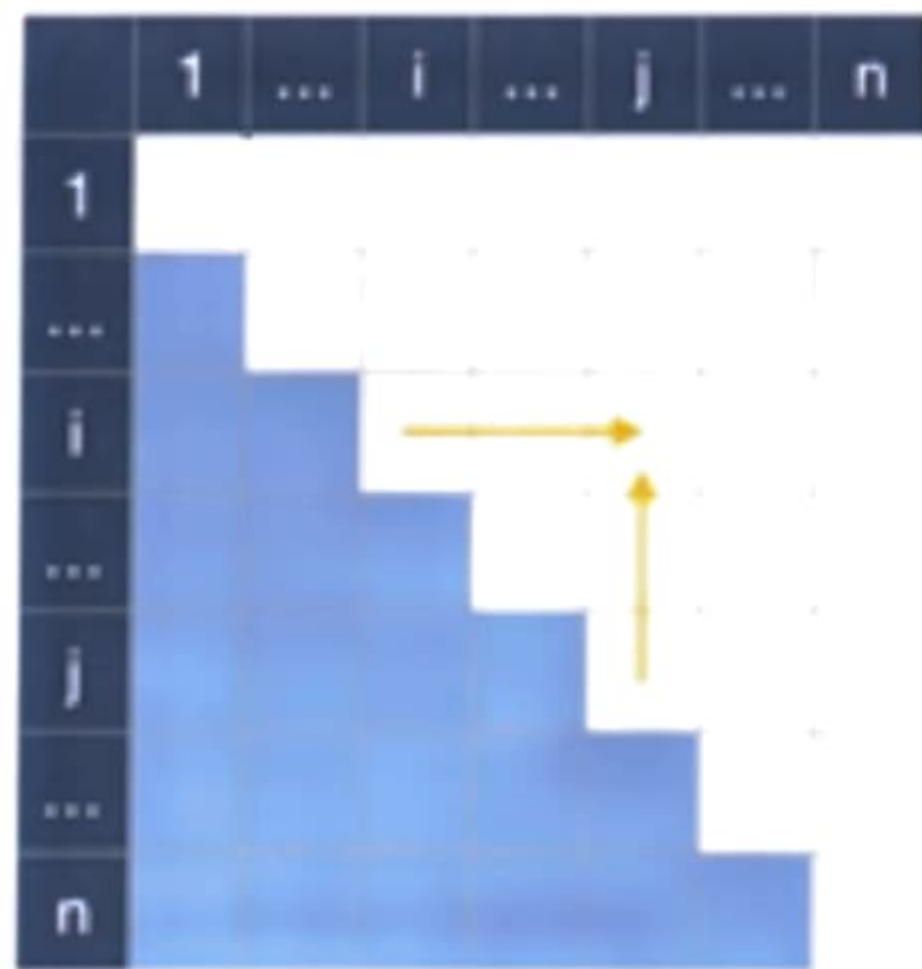
- $\text{Cost}(M_i \times M_{i+1} \times \dots \times M_j) =$
minimum value, for $i \leq k < j$, of
$$\text{Cost}(M_i \times M_{i+1} \times \dots \times M_k) +$$
$$\text{Cost}(M_{k+1} \times M_{k+2} \times \dots \times M_j) +$$
$$r_i c_k c_j$$
- Write $\text{Cost}(i,j)$ to denote $\text{Cost}(M_i \times M_{i+1} \times \dots \times M_j)$

Final equation

- $\text{Cost}(i,i) = 0$ — No multiplication to be done
- $\text{Cost}(i,j) = \min_{i \leq k < j} [\text{Cost}(i,k) + \text{Cost}(k+1,j) + r_i c_k c_j]$
- Note that we only require $\text{Cost}(i,j)$ when $i \leq j$

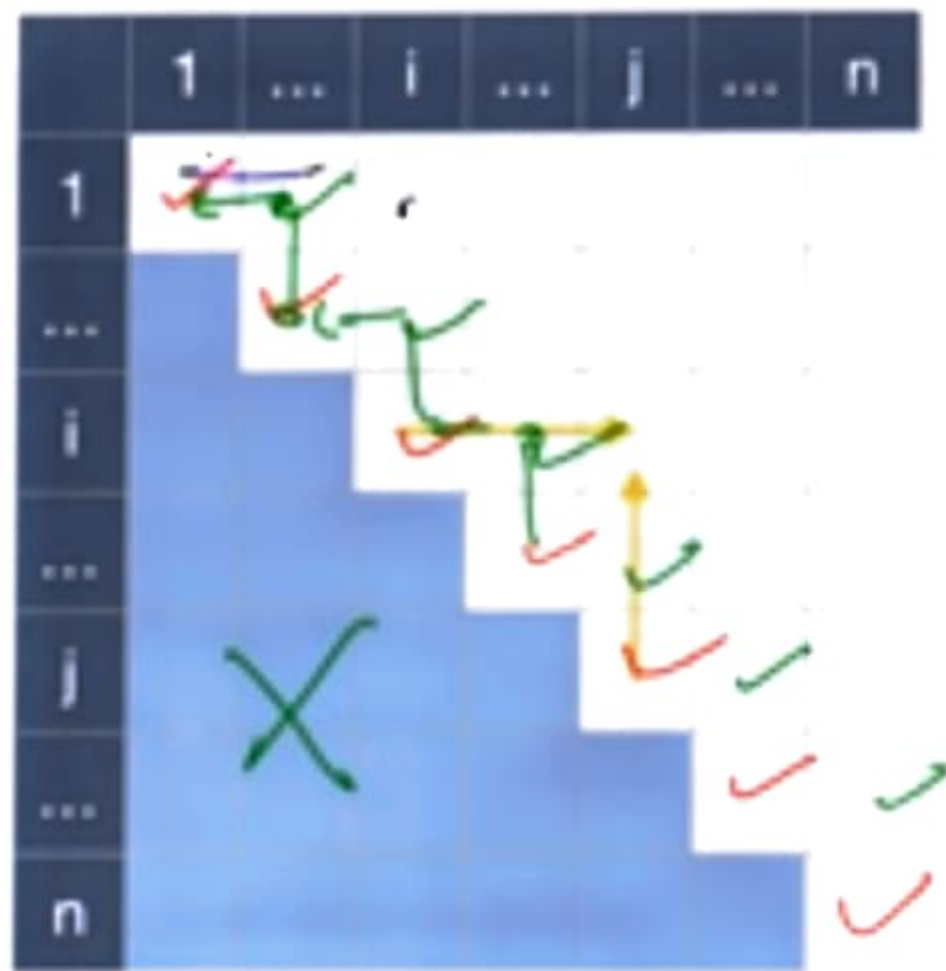
Subproblem dependency

- $\text{Cost}(i,j)$ depends on $\text{Cost}(i,k)$, $\text{Cost}(k+1,j)$ for all $i \leq k < j$
- Can have $O(n)$ dependent values, unlike LCS, LCW
- Start with main diagonal and fill matrix by columns, bottom to top, left to right



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MMCost(M1,...,Mn), DP

```
def MM(R,C):  
    # R[0..n-1],C[0..n-1] have row/column sizes  
  
    for r in range(len(R)):  
        MM[r][r] = 0  
  
    for c in range(1,len(R)):    # c = 1,2,...n-1  
        for r in range(c-1,-1,-1): # r = c,c-1,...,0  
            MM[r][c] = infinity    # Something large  
            for k in range(r,c)    # k = r,r+1,...,c-1  
                subprob = MM[r][k] + MM[k][c] +  
                           R[r]C[k]C[c]  
            if subprob < MM[r][c]:  
                MM[r][c] = subprob
```


Complexity

- As with LCS, ED, we to fill an $O(n^2)$ size table
- However, filling $MMC[i][j]$ could require examining $O(n)$ intermediate values
- Hence, overall complexity is $O(n^3)$

Complexity



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Python vs other languages

- Python is a good programming language to start with because
 - No declaration of names in advance
 - Indentation avoids punctuation — { }, (), ;
 - No explicit memory management
- Are there any down sides to this?

Debugging

- Declaring names helps debug code
 - “Simple” typos are caught by compiler
 - Mistyped name will be “undeclared”
- Static typing — assigning types to names
 - Again catch “simple” typos by type mismatch

Classes and objects

- Can only associate a type with a name by creating an object
- Empty tree, with name and type declarations
 - Declare `t` to be of type `Tree`
 - Empty tree — `t` has value `None`
- Instead, cumbersome convention with empty nodes to denote frontier etc

Classes and objects

- We want public interface, private implementation
- For a `Point p`, `p.x` and `p.y` should not be available directly outside the class
 - Stack implemented as a list has public methods `push()` and `pop()` but `s.append()` not ruled out
- Need to declare parts of implementation **private**
 - Only methods inside the class can access private names

Classes and objects

- Ideally, all internal names are private
- Special functions to access and update values
 - `p.getX()` gets x-coordinate
 - `p.setX(v)` sets x-coordinate
- x-coordinate is an “abstract” attribute
- Works even if internal representation is (r, θ)

Classes and objects

- Handle integrity of compound values
- Date is a tuple (day, month, year)
 - Range for day is 1 – 31, month is 1 – 12
 - Valid combinations depend on all three fields
 - 29 - 02 is valid only in a leap year
- `d.setdate(d,m,y)` vs separate `d.setd(d)`,
`d.setm(m)`, `d.sety(y)`

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 - Each assignment to a name could a new type
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Storage allocation

- Python needs to allocate space dynamically
 - Each assignment to a name could a new type
- Name declarations allow some static allocation
 - Still need dynamic allocation for lists, trees etc that grow at run time
 - Static arrays can optimize access time: base address plus offset

Dynamic storage

- What happens when we execute `del(x)`?
- Or when we delete a list node by bypassing it?
- Do these “dead” values continue to use memory?

Garbage collection

- Python, Java and other languages reclaim space using automatic “garbage collection”
 - Periodically mark all memory reachable from names in use in the program
 - Collect all unmarked memory locations as free space
 - Run time overhead to schedule garbage collector
- In C, need to explicitly ask for and return dynamic memory

Functional programming

- Declarative vs imperative
- “What to compute” vs “how to compute it”
- Directly specify functions inductively

factorial :: Int -> Int # Type



factorial 0 = 1

factorial n = n * factorial (n-1)

Functional programming

- List processing

```
sumlist :: [Int]
```

```
sumlist [] = 0
```

```
sumlist l = (head l) + sumlist (tail l)
```



Functional programming

- List processing

`sumlist :: [Int] -> Int`

`sumlist [] = 0`

`sumlist l = (head l) + sumlist (tail l)`

Summary

- No programming language is “universally” the best
 - Otherwise why are there so many?
- Python’s simplicity makes it attractive to learn
 - But also results in some limitations
- Use the language that suits your task best
- Learn programming, not programming languages!