

## More about `range()`

- `range(i, j)` produces the sequence  $i, i+1, \dots, j-1$
- `range(j)` automatically starts from 0;  $0, 1, \dots, j-1$
- `range(i, j, k)` increments by  $k$ ;  $i, i+k, \dots, i+nk$ 
  - Stops with  $n$  such that  $i+nk < j \leq i+(n+1)k$
- Count down? Make  $k$  negative!
  - `range(i, j, -1)`,  $i > j$ , produces  $i, i-1, \dots, j+1$

## More about `range()`

- General rule for `range(i, j, k)`
  - Sequence starts from `i` and gets as close to `j` as possible without crossing `j`

## More about `range()`

- General rule for `range(i, j, k)`
  - Sequence starts from `i` and gets as close to `j` as possible without crossing `j`
- If `k` is positive and `i >= j`, empty sequence
  - Similarly if `k` is negative and `i <= j`
- If `k` is negative, stop “before” `j`
  - `range(12, 1, -3)` produces 12, 9, 6, 3

## More about `range()`

- Why does `range(i, j)` stop at  $j-1$ ?
  - Mainly to make it easier to process lists
  - List of length  $n$  has positions  $0, 1, \dots, n-1$
  - `range(0, len(l))` produces correct range of valid indices
    - Easier than writing `range(0, len(l)-1)`

# range() and lists

- Compare the following
  - `for i in [0,1,2,3,4,5,6,7,8,9]:`
  - `for i in range(0,10):`

# range() and lists

- Compare the following
  - `for i in [0,1,2,3,4,5,6,7,8,9]:`
  - `for i in range(0,10):`
- Is `range(0,10) == [0,1,2,3,4,5,6,7,8,9]`?
  - In Python2, yes
  - In Python3, no!

# range() and lists

- Can convert `range()` to a list using `list()`
  - `list(range(0,5)) == [0,1,2,3,4]`
- Other type conversion functions using type names
  - `str(78) = "78"`
  - `int("321") = 31 321`



# range() and lists


- Can convert `range()` to a list using `list()`
  - `list(range(0,5)) == [0,1,2,3,4]`
- Other type conversion functions using type names
  - `str(78) = "78"`
  - `int("321") = 31`
    - But `int("32x")` yields error



# Summary

- `range(n)` has is implicitly from 0 to  $n-1$
- `range(i, j, k)` produces sequence in steps of  $k$ 
  - Negative  $k$  counts down
- Sequence produced by `range()` is not a list
  - Use `list(range(...))` to get a list

# Lists

- Lists are mutable
  - `list1 = [1,3,5,6]`  
`list2 = list1`  
`list1[2] = 7`
  - `list1` is now `[1,3,7,6]`
  - So is `list2` 

# Lists

- On the other hand
  - `list1 = [1,3,5,6]`  
`list2 = list1`  
`list1 = list1[0:2] + [7] + list1[3:]`
  - `list1` is now `[1,3,7,6]`
  - `list2` remains `[1,3,5,6]`

# Lists

- On the other hand
  - `list1 = [1,3,5,6]`  
`list2 = list1`  
`list1 = list1[0:2] + [7] + list1[3:]`
  - `list1` is now `[1,3,7,6]`
  - `list2` remains `[1,3,5,6]`
- Concatenation produces a new list

```
andhaven@dolphinair:~/python-2016-jul/week3/python$ python3.5
Python 3.5.2 (v3.5.2:4def2a2901e5, Jun 26 2016, 10:47:25)
[GCC 4.2.1 (Apple Inc. build 5666) (dot 3)] on darwin
Type "help", "copyright", "credits" or "license()" for more information.
b>>> list1 = [1,3,5,6]
b>>> list2 = list1
b>>> list1[2] = 7
b>>> list1
[1, 3, 7, 6]
b>>> list2
[1, 3, 7, 6]
b>>> list1 = [1,3,5,6]
b>>> list2 = list1
b>>> list1 = list1[0:2] + [7] + list1[3:]
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
TypeError: 'type' object is not subscriptable
b>>> list1 = list1[0:2] + [7] + list1[3:]
b>>>
```

```
lms@hawaii-dolphin-air:~/...thon-2016-jul/week3/python$ python3.5
Python 3.5.2 (v3.5.2:4def2a2901e5, Jun 26 2016, 10:47:25)
[GCC 4.2.1 (Apple Inc. build 5666) (dot 3)] on darwin
Type "help", "copyright", "credits" or "license()" for more information.
b>>> list1 = [1,3,5,6]
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b>>> list1[2] = 7
b>>> list1
[1, 3, 7, 6]
b>>> list2
[1, 3, 7, 6]
b>>> list1 = [1,3,5,6]
b>>> list2 = list1
b>>> list1 = list1[0:2] + [7] + list1[3:]
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
TypeError: 'type' object is not subscriptable
b>>> list1 = list1[0:2] + [7] + list1[3:]
b>>> list1
[1, 3, 7, 6]
b>>> list2
[1, 3, 5, 6]
b>>>
```

# Extending a list

- Adding an element to a list, in place
  - `list1 = [1,3,5,6]`  
`list2 = list1`  
`list1.append(12)`



# Extending a list

- Adding an element to a list, in place
  - `list1 = [1,3,5,6]`  
`list2 = list1`  
`list1.append(12)`
  - `list1` is now `[1,3,5,6,12]`
  - `list2` is also `[1,3,5,6,12]`

# List functions

- `list1.append(v)` — extend `list1` by a single value `v`
- `list1.extend(list2)` — extend `list1` by a list of values
- In place equivalent of `list1 = list1 + list2`

# List functions

- `list1.append(v)` — extend `list1` by a single value `v`
- `list1.extend(list2)` — extend `list1` by a list of values
  - In place equivalent of `list1 = list1 + list2`
- `list1.remove(x)` — removes first occurrence of `x`
  - Error if no copy of `x` exists in `list1`

```
b>>> list1 = list(range(10))
b>>> list1
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
b>>> list1.append(12)
b>>> list1
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 12]
b>>> list1.extend([13,14])
b>>> list1
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 12, 13, 14]
b>>> list2 = list1 + list1
b>>> list2
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 12, 13, 14, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 12, 13, 14]
b>>> list2.remove(5)
b>>> list2
[0, 1, 2, 3, 4, 6, 7, 8, 9, 12, 13, 14, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 12, 13, 14]
b>>> list2.remove(5)
b>>> list2
[0, 1, 2, 3, 4, 6, 7, 8, 9, 12, 13, 14, 0, 1, 2, 3, 4, 6, 7, 8, 9, 12, 13, 14]
b>>> list2.remove(5)
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ValueError: list.remove(x): x not in list
>>>
```

# A note on syntax

- `list1.append(x)` rather than `append(list1,x)`
  - `list1` is an object
  - `append()` is a function to update the object
  - `x` is an argument to the function
- Will return to this point later

# Further list manipulation

- Can also assign to a slice in place
  - `list1 = [1,3,5,6]`  
`list2 = list1`  
`list1[2:] = [7,8]`
  - `list1` and `list2` are both `[1,3,7,8]`
- Can expand/shrink slices, but be sure you know what you are doing!
  - `list1[2:] = [9,10,11]` produces `[1,3,9,10,11]`

# List membership

- `x in l` returns True if value `x` is found in list `l`

```
# Safely remove x from l  
if x in l:  
    l.remove(x)
```



# Other functions

- `l.reverse()` — reverse `l` in place
- `l.sort()` — sort `l` in ascending order
- `l.index(x)` — find leftmost position of `x` in `l`
  - Avoid error by checking if `x` in `l`
- `l.rindex(x)` — find rightmost position of `x` in `l`
- Many more ... see Python documentation!

# Initialising names

- A name cannot be used before it is assigned a value

```
y = x + 1 # Error if x is unassigned
```

- May forget this for lists where update is implicit

```
l.append(v)
```

- Python needs to know that `l` is a list

## Initialising names ...

```
def factors(n):  
    for i in range(1,n+1):  
        if n%i == 0:  
            flist.append(i)  
    return(flist)
```

# Initialising names

- A name cannot be used before it is assigned a value

```
y = x + 1 # Error if x is unassigned
```

- May forget this for lists where update is implicit

```
l.append(v)
```

- Python needs to know that `l` is a list

## Initialising names ...

```
def factors(n):  
    flist = []  
  
    for i in range(1,n+1):  
        if n%i == 0:  
            flist.append(i)  
  
    return(flist)
```

# Summary

- To extend lists in place, use `l.append()`,  
`l.extend()`
  - Can also assign new value, in place, to a slice
- Many built in functions for lists — see documentation
- Don't forget to assign a value to a name before it is first used

# Loops revisited

- `for i in l:`

    . . .

- Repeat body for each item in list `l`

- `while condition:`

    . . .

- Repeat body till condition becomes `False`



# Search for value in a list

```
def findpos(l,v):  
    # Return first position of v in l  
    # Return -1 if v not in l  
    (found,i) = (False,0)  
    while i < len(l):  
        if l[i] == v:  
            (found,pos) = (True,i)  
    if not found:  
        pos = -1  
    return(pos)
```

# Search for value in a list

*$l.index(v)$*

```
def findpos(l,v):
```

```
    # Return first position of v in l
```

```
    # Return -1 if v not in l
```

*0, 1, ..., n-1  
-1*

```
    (found, i) = (False, 0)
```

```
    while i < len(l):
```

```
        if l[i] == v:
```

```
            (found, pos) = (True, i)
```

```
    if not found:
```

```
        pos = -1
```

```
    return(pos)
```

# Search for value in a list ...

- A more natural strategy
  - Scan list for value
  - Stop scan as soon as we find the value
  - If the scan completes without success, report -1

# Search for value in a list ...

- A more natural strategy

```
def findpos(l,v):  
    for x in l:  
        if x == v:  
            # Exit and report position of x  
  
    # Loop over, report -1 if we did not see x
```

# Search for value in a list ...

- A more natural strategy

```
def findpos(l,v)
    (pos,i) = (-1,0)
    for x in l:
        if x == v: # Exit, report position of x
            pos = i
            break
        i = i+1

    # If pos not reset in loop, pos is -1
    return(pos)
```

# Search for value in a list ...

- A more natural strategy

```
def findpos(l,v)
```

```
    (pos, i) = (-1, 0)
```

```
    for x in l:
```

```
        if x == v: # Exit, report position of x
```

```
            pos = i
```

```
            break
```

```
            i = i+1
```

```
    # If pos not reset in loop, pos is -1
```

```
    return(pos)
```

# Search for value in a list ...

- A more natural strategy

```
def findpos(l,v)
    pos = -1
    for i in range(len(l)):
        if l[i] == v: # Exit, report position
            pos = i
            break

    # If pos not reset in loop, pos is -1
    return(pos)
```



# Search for value in a list ...

- A loop can also have an else: — signals normal termination

```
def findpos(l,v)
    for i in range(len(l)):
        if l[i] == v: # Exit, report position
            pos = i
            break
    else:
        pos = -1 # No break, v not in l
    return(pos)
```

# Summary

- Can exit prematurely from loop using `break`
  - Applies to both `for` and `while`
- Loop also has an `else:` clause
  - Special action for normal termination

# Sequences of values

- Two basic ways of storing a sequence of values
  - Arrays
  - Lists
- What's the difference?

# Arrays

- Single block of memory, elements of uniform type
  - Typically size of sequence is fixed in advance
- Indexing is fast
  - Access `seq[i]` in constant time for any `i`
  - Compute offset from start of memory block
- Inserting between `seq[i]` and `seq[i+1]` is expensive

# Arrays

- Single block of memory, elements of uniform type
  - Typically size of sequence is fixed in advance
- Indexing is fast
  - Access `seq[i]` in constant time for any  $i$
  - Compute offset from start of memory block
- Inserting between `seq[i]` and `seq[i+1]` is expensive
- Contraction is expensive

# Lists

- Values scattered in memory
  - Each element points to the next — “linked” list
  - Flexible size

# Lists

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- Follow  $i$  links to access `seq[i]`
  - Cost proportional to  $i$

# Lists

- Values scattered in memory
  - Each element points to the next — “linked” list
  - Flexible size
- Follow  $i$  links to access `seq[i]`
  - Cost proportional to  $i$
- Inserting or deleting an element is easy
  - “Plumbing”



# Operations



- Exchange `seq[i]` and `seq[j]`
  - Constant time in array, linear time in lists
- Delete `seq[i]` or Insert `v` after `seq[i]`
  - Constant time in lists (if we are already at `seq[i]`)
  - Linear time in array
- Algorithms on one data structure may not transfer to another
  - Example: **Binary search**

# Search problem

- Is a value  $v$  present in a collection  $seq$ ?
- Does the structure of  $seq$  matter?
  - Array vs list
- Does the organization of the information matter?
  - Values sorted/unsorted

## The unsorted case

```
def search(seq,v)
    for x in seq:
        if x == v:
            return(True)
    return(False)
```

# Worst case

- Need to scan the entire sequence `seq`
  - Time proportional to length of sequence
- Does not matter if `seq` is array or list

# Search a sorted sequence

- What if `seq` is sorted?
  - Compare `v` with midpoint of `seq`
  - If midpoint is `v`, the value is found
  - If  $v < \text{midpoint}$ , search left half of `seq`
  - If  $v > \text{midpoint}$ , search right half of `seq`
- Binary search

## Binary search ...

```
def bsearch(seq,v,l,r):  
    // search for v in seq[l:r], seq is sorted  
    if (r - l == 0):  
        return(False)  
  
    mid = (l + r) // 2    // integer division  
  
    if (v == seq[mid]):  
        return (True)  
  
    if (v < seq[mid]):  
        return (bsearch(seq,v,l,mid))  
    else:  
        return (bsearch(seq,v,mid+1,r))
```

# Binary Search ...

- How long does this take?
  - Each step halves the interval to search
  - For an interval of size 0, the answer is immediate
- $T(n)$ : time to search in an array of size  $n$ 
  - $T(0) = 1$
  - $T(n) = 1 + T(n/2)$



# Binary Search ...

- $T(n)$ : time to search in a list of size  $n$
  - $T(0) = 1$
  - $T(n) = 1 + T(n/2)$
- recurrence*



# Binary Search ...

- $T(n)$ : time to search in a list of size  $n$ 
  - $T(0) = 1$
  - $T(n) = 1 + T(n/2)$
- Unwind the recurrence
  - $T(n) = 1 + \overbrace{T(n/2)}^{\rightarrow} = 1 + 1 + T(n/2^2) = \dots$   
 $= 1 + 1 + \dots + 1 + T(n/2^k)$   
 $= 1 + 1 + \dots + 1 + T(n/2^{\log n}) = O(\log n)$

# Binary Search ...

$$2^{10} = 1024$$

- Works only for arrays
  - Need to look up `seq[i]` in constant time
- By seeing only a small fraction of the sequence, we can conclude that an element is not present!

# Python lists

- Are built in lists in Python lists or arrays?
- Documentation suggests they are lists
  - Allow efficient expansion, contraction
- However, positional indexing allows us to treat them as arrays
  - In this course, we will “pretend” they are arrays
  - Will later see explicit implementation of lists

# Efficiency

- Measure time taken by an algorithm as a function  $T(n)$  with respect to input size  $n$
- Usually report **worst case** behaviour
  - Worst case for searching in a sequence is when value is not found
  - Worst case is easier to calculate than “average” case or other more reasonable measures

# $O()$ notation

- Interested in broad relationship between input size and running time
- Is  $T(n)$  proportional to  $\log n$ ,  $n$ ,  $n \log n$ ,  $n^2$ , ...,  $2^n$ ?
- Write  $T(n) = O(n)$ ,  $T(n) = O(n \log n)$ , ... to indicate this
  - Linear scan is  $O(n)$  for arrays and lists
  - Binary search is  $O(\log n)$  for sorted arrays

# Typical functions $T(n)$ ...

Input	$\log n$	$n$	$n \log n$	$n^2$	$n^3$	$2^n$	$n!$
10	3.3	10	33	100	1000	1000	$10^6$
100	6.6	100	66	$10^4$	$10^6$	$10^{30}$	$10^{157}$
1000	10	1000	$10^4$	$10^6$	$10^9$		
$10^4$	13	$10^4$	$10^5$	$10^8$	$10^{12}$		
$10^5$	17	$10^5$	$10^6$	$10^{10}$			
$10^6$	20	$10^6$	$10^7$				
$10^7$	23	$10^7$	$10^8$				
$10^8$	27	$10^8$	$10^9$				
$10^9$	30	$10^9$	$10^{10}$				
$10^{10}$	33	$10^{10}$					



Input	$\log n$	$n$	$n \log n$	$n^2$	$n^3$	$2^n$	$n!$
10	3.3	10	33	100	1000	1000	$10^6$
100	6.6	100	66	$10^4$	$10^6$	$10^{30}$	$10^{157}$
1000	10	1000	$10^4$	$10^6$	$10^9$		
$10^4$	13	$10^4$	$10^5$	$10^8$	$10^{12}$		
$10^5$	17	$10^5$	$10^6$	$10^{10}$			
$10^6$	20	$10^6$	$10^7$				
$10^7$	23	$10^7$	$10^8$				
$10^8$	27	$10^8$	$10^9$				
$10^9$	30	$10^9$	$10^{10}$				
$10^{10}$	33	$10^{10}$					

Python can do about  
 $10^7$  steps in a second

```
madhavan@dolphinair:...on-2016-jul/week3/python$ ls
speed.py          speed4.py          speed5.py          speed6.py          speed7.py
speed8.py          speed9.py
madhavan@dolphinair:...n-2016-jul/week3/python$ more speed4.py
for i in range(10000):
    m = i
print(m)
madhavan@dolphinair:...n-2016-jul/week3/python$ more speed5.py
for i in range(100000):
    m = i
print(m)
madhavan@dolphinair:...n-2016-jul/week3/python$ more speed6.py
for i in range(1000000):
    m = i
print(m)
madhavan@dolphinair:...n-2016-jul/week3/python$ more speed7.py
for i in range(10000000):
    m = i
print(m)
madhavan@dolphinair:...n-2016-jul/week3/python$
```





# Typical functions $T(n)$ ...

$$2^{10} = 1024$$
$$2^{20} = 10^6$$
$$2^{30} = 10^9$$

Input	$\log n$	$n$	$n \log n$	$n^2$	$n^3$	$2^n$	$n!$
10	3.3	10	33	100	1000	1000	$10^6$
100	6.6	100	66	$10^4$	$10^6$	$10^{30}$	$10^{157}$
1000	10	1000	$10^4$	$10^6$	$10^9$		
$10^4$	13	$10^4$	$10^5$	$10^8$	$10^{12}$		
$10^5$	17	$10^5$	$10^6$	$10^{10}$			
$10^6$	20	$10^6$	$10^7$				
$10^7$	23	$10^7$	$10^8$				
$10^8$	27	$10^8$	$10^9$				
$10^9$	30	$10^9$	$10^{10}$				
$10^{10}$	33	$10^{10}$					

Python can do about  
 $10^7$  steps in a second

# Efficiency

- Theoretically  $T(n) = O(n^k)$  is considered efficient
  - Polynomial time
- In practice even  $T(n) = O(n^2)$  has very limited effective range
  - Inputs larger than size 5000 take very long

# Sorting

- Searching for a value
  - Unsorted array — linear scan,  $O(n)$
  - Sorted array — binary search,  $O(\log n)$
- Other advantages of sorting
  - Finding **median** value: midpoint of sorted list
  - Checking for duplicates
  - Building a frequency table of values

# How to sort?

- You are a Teaching Assistant for a course
- The instructor gives you a stack of exam answer papers with marks, ordered randomly
- Your task is to arrange them in descending order

# Strategy 1

- Scan the entire stack and find the paper with minimum marks
- Move this paper to a new stack
- Repeat with remaining papers
  - Each time, add next minimum mark paper on top of new stack
- Eventually, new stack is sorted in descending order

## Strategy 1 ...

~~74~~

~~32~~

~~89~~

~~55~~

~~21~~

~~64~~

21

32

55

64

74

89

# Strategy 1 ...

.

## Selection Sort

- **Select** the next element in sorted order
- Move it into its correct place in the final sorted list



# Selection Sort

- Avoid using a second list
  - Swap minimum element with value in first position
  - Swap second minimum element to second position
  - ...

# Selection Sort

21

32

55

64

74

89

# Selection Sort

```
def SelectionSort(l):  
    # Scan slices l[0:len(l)], l[1:len(l)], ...  
    for start in range(len(l)):  
        # Find minimum value in slice . . .  
        minpos = start  
        for i in range(start, len(l)):  
            if l[i] < l[minpos]:  
                minpos = i  
        # . . . and move it to start of slice  
        (l[start], l[minpos]) = (l[minpos], l[start])
```

# Analysis of Selection Sort

- Finding minimum in unsorted segment of length  $k$  requires one scan,  $k$  steps
- In each iteration, segment to be scanned reduces by 1
- $T(n) = n + (n-1) + (n-2) + \dots + 1 = n(n+1)/2 = O(n^2)$

madhavan@dolphinair:~/eek3/python/selectionsort\$ more selectionsort.py

```
def SelectionSort(l):  
    # Scan slices l[0:len(l)], l[1:len(l)], ...  
    for start in range(len(l)):  
        # Find minimum value in slice . . . minpos = start  
        for i in range(start, len(l)):  
            minpos = start  
            if l[i] < l[minpos]:  
                minpos = i  
            # .. and move it to start of slice  
            (l[start], l[minpos]) = (l[minpos], l[start])
```

madhavan@dolphinair:~/eek3/python/selectionsort\$ python3.5

Python 3.5.2 (v3.5.2:4def2a2901a5, Jun 26 2016, 10:47:25)

[GCC 4.2.1 (Apple Inc. build 5666) (dot 3)] on darwin

Type "help", "copyright", "credits" or "license" for more information.

>>> from selectionsort import \*

>>> l = [3,7,2]

>>> SelectionSort(l)

>>> l

[2, 3, 7]

>>> l = list(range(500,0,-1))

Traceback (most recent call last):

File "<stdin>", line 1, in <module>

TypeError: 'float' object cannot be interpreted as an integer

>>> l = list(range(500,0,-1))



# How to sort?

- You are a Teaching Assistant for a course
- The instructor gives you a stack of exam answer papers with marks, ordered randomly
- Your task is to arrange them in descending order

## Strategy 2

- First paper: put in a new stack
- Second paper:
  - Lower marks than first? Place below first paper
  - Higher marks than first? Place above first paper
- Third paper
  - **Insert** into the correct position with respect to first two papers



## Strategy 2

- First paper: put in a new stack
- Second paper:
  - Lower marks than first? Place below first paper
  - Higher marks than first? Place above first paper
- Third paper
  - **Insert** into the correct position with respect to first two papers
- Do this for each subsequent paper:  
**insert** into correct position in new sorted stack

## Strategy 2 ...

<del>74</del>	<del>32</del>	<del>89</del>	<del>55</del>	<del>21</del>	<del>64</del>
21	32	55	64	74	89

## Strategy 2 ...

### Insertion Sort

- Start building a sorted sequence with one element
- Pick up next unsorted element and insert it into its correct place in the already sorted sequence

# Insertion Sort

```
def InsertionSort(seq):  
    for sliceEnd in range(len(seq)):  
        # Build longer and longer sorted slices  
        # In each iteration seq[0:sliceEnd] already sorted  
  
        # Move first element after sorted slice left  
        # till it is in the correct place  
        pos = sliceEnd  
        while pos > 0 and seq[pos] < seq[pos-1]:  
            (seq[pos], seq[pos-1]) = (seq[pos-1], seq[pos])  
            pos = pos-1
```

# Insertion Sort

```
def InsertionSort(seq):
```

```
    for sliceEnd in range(1, len(seq)):
```

```
        # Build longer and longer sorted slices
```

```
        # In each iteration, we consider one more element
```

||

```
        # seq[sliceEnd] is the element to be inserted
```

```
        # seq[0:sliceEnd] is already sorted
```

```
        # Move first element after sorted slice left
```

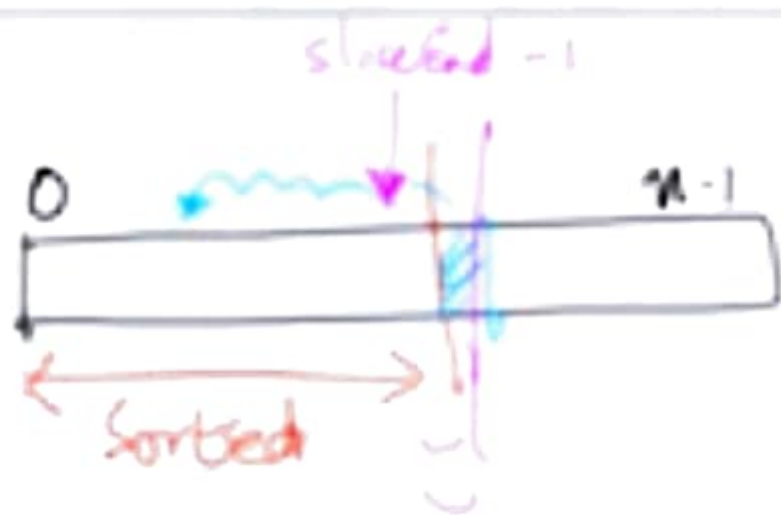
```
        # till it is in the correct place
```

```
        pos = sliceEnd
```

```
        while pos > 0 and seq[pos] < seq[pos-1]:
```


```
            (seq[pos], seq[pos-1]) = (seq[pos-1], seq[pos])
```

```
            pos = pos - 1
```



# Insertion Sort

32 55 74 89 21 64



# Analysis of Insertion Sort

- Inserting a new value in sorted segment of length  $k$  requires upto  $k$  steps in the worst case
- In each iteration, sorted segment in which to insert increased by 1
- $T(n) = 1 + 2 + \dots + n-1 = n(n-1)/2 = O(n^2)$





# Inductive definitions

Many arithmetic functions are naturally defined inductively

- **Factorial**

- $0! = 1$

- $n! = n \times (n-1)!$

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Many arithmetic functions are naturally defined inductively

- **Factorial**
  - $0! = 1$
  - $n! = n \times (n-1)!$
- **Multiplication** — repeated addition
  - $m \times 1 = m$
  - $m \times (n+1) = m + (m \times n)$

# Inductive definitions ...

- Define one or more **base** cases
- Inductive step defines  $f(n)$  in terms of smaller arguments

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- Inductive step defines  $f(n)$  in terms of smaller arguments

Fib: 1, 1, 2, 3, 5, 8

$F_i$ :

# Recursive computation

- Inductive definitions naturally give rise to recursive programs

```
def factorial(n):  
    if n == 0:  
        return(1)  
    else:  
        return(n * factorial(n-1))
```

# Recursive computation

- Inductive definitions naturally give rise to recursive programs

```
def multiply(m,n):  
    if n == 1:  
        return(m)  
    else:  
        return(m + multiply(m,n-1))
```

$$m \cdot 1 = m$$

$$m \cdot n = m + m(n-1)$$



# Inductive definitions for lists

- Lists can be decomposed as
  - First (or last) element
  - Remaining list with one less element

# Inductive definitions for lists

- Lists can be decomposed as
  - First (or last) element
  - Remaining list with one less element
- Define list functions inductively
  - Base case: empty list or list of size 1
  - Inductive step:  $f(l)$  in terms of smaller sublists of  $l$

# Inductive definitions for lists

- Length of a list

```
def length(l):  
    if l == []:  
        return(0)  
    else:  
        return(1 + length(l[1:]))
```

# Inductive definitions for lists

- Sum of a list of numbers

$$[x_1, x_2, \dots, x_n]$$

```
def sumlist(l):  
    if l == []:  
        return(0)  
    else:  
        return(l[0] + sumlist(l[1:]))
```

$$x_1 + \underline{[x_2, \dots, x_n]}$$

# Recursive insertion sort

- **Base case:** if list has length 1 or 0, return the list
- **Inductive step:**
  - Inductively sort slice  $l[0:\text{len}(l)-1]$
  - Insert  $l[\text{len}(l)]$  into this sorted slice

*len(l)-1*

# Recursive insertion sort

```
def InsertionSort(seq):  
    isort(seq, len(seq))
```

```
def isort(seq, k): # Sort slice seq[0:k]  
    if k > 1:  
        isort(seq, k-1)  
        insert(seq, k-1)
```

```
def insert(seq, k): # Insert seq[k] into sorted seq[0:k-1]  
    pos = k  
    while pos > 0 and seq[pos] < seq[pos-1]:  
        (seq[pos], seq[pos-1]) = (seq[pos-1], seq[pos])  
        pos = pos-1
```

```
math@vms001phwin1r:...jnl/work3/python/lsortrec$ more isortrec.py
```

```
def InsertionSort(seq):
    isort(seq, len(seq))

def isort(seq, k):
    if k > 1:
        isort(seq, k-1)
        insert(seq, k-1)

def insert(seq, k):
    pos = k
    while pos > 0 and seq[pos] < seq[pos-1]:
        (seq[pos], seq[pos-1]) = (seq[pos-1], seq[pos])
        pos = pos-1
math@vms001phwin1r:...jnl/work3/python/lsortrec$
```







```
>>> import sys
>>> sys.setrecursionlimit(10000)
>>> l = list(range(1000,0,-1))
>>> InsertionSort(l)
>>> l = list(range(5000,0,-1))
>>> InsertionSort(l)
>>> []
```



# Recursion limit in Python

- Python sets a recursion limit of about 1000

```
>>> l = list(range(1000,0,-1))
>>> InsertionSort(l)
...
RecursionError: maximum recursion depth
exceeded in comparison
```

- Can manually raise the limit

```
>>> import sys
>>> sys.setrecursionlimit(10000)
```