# MA5204 Homework 3

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# Problem 1 (chapter 8 problem 2)

Let  $\boldsymbol{A}$  be a Noetherian ring. Prove that the following are equivalent

- $1. \ A$  is Artinian
- 2. Spec A is discrete and finite
- 3. Spec A is discrete

#### Proof.

 $(1 \implies 2)$  A is Artinian then every prime ideal is maximal and it has finitely many maximal ideals, so  $\operatorname{Spec} A$  is finite. Moreover, every maximal ideal in  $\operatorname{Spec} A$  is closed, so any subset of  $\operatorname{Spec} A$  is finite hence closed.  $\operatorname{Spec} A$  admits the discrete topology

 $(2 \implies 3)$  by definition

(3  $\Longrightarrow$  1) Suppose  $\mathfrak{p} \subsetneq \mathfrak{m}$  be a prime ideal that is properly contained in a maximal ideal  $\mathfrak{m}$  in A. As  $\operatorname{Spec} A$  is discrete,  $\{\mathfrak{p}\}$  is closed, hence  $\{\mathfrak{p}\}=V(\mathfrak{a})$  for some ideal in A, hence  $\mathfrak{a} \subseteq \mathfrak{p} \subseteq \mathfrak{m}$ , so  $\mathfrak{m} \in V(\mathfrak{a})$ , contradiction. So every prime ideal in A is maximal,  $\dim(A)=0$ . Since A is Noetherian, A is also Artinian

# Problem 2 (chapter 8 problem 3)

Let k be a field and A be a finite-type k-algebra. Prove that the following are equivalent

- 1. A is Artinian
- 2. A is a finite k-algebra (finitely generated as k-module)

#### Proof.

 $(2 \implies 1)$  If A is a finite k-algebra then A is a k-vector space of finite dimension. Any ideal in A is a vector subspaces. Since A is of finite dimension, any decending chain stablizes. A is Artinian

(1  $\Longrightarrow$  2) A is Artinian, then  $A \cong \prod_{i=1}^m A_i$  for some Artinian local ring  $A_i$ . A is finite-type k-algebra, then there exists a surjection  $k[x_1,...,x_n] \twoheadrightarrow A$ . Hence, each  $A_i$  is also finite-type k-algebra by the composition  $k[x_1,...,x_n] \twoheadrightarrow A \twoheadrightarrow A_i$ . Note that each  $A_i$  is Artinian, moreover if each  $A_i$  is a finite k-algebra then A is also a finite k-algebra by taking all generators in  $\{A_i\}$ .

Without loss of generality, assume  $(A, \mathfrak{m})$  is Artinian local. Let  $K = A/\mathfrak{m}$  be the residue field, again  $k[x_1, ..., x_n] \twoheadrightarrow A \twoheadrightarrow K$ , so K is finite-type k-algebra. Since A is Artinian,  $l_A(A) = n$  finite, there exists a finite chain of submodules

$$0 = M_0 \subsetneq M_1 \subsetneq \dots \subsetneq M_n = A$$

so that each  $M_i/M_{i-1}=A/\mathfrak{m}$  for some maximal ideal  $\mathfrak{m}$  of A. Since A is local, each  $M_i/M_{i-1}=K$ . Moreover,

$$A \cong \bigoplus_{i=1}^{n} M_i / M_{i-1}$$

Hence, A is finite K-algebra. Together with Nullstellensatz, K a finite algebraic extension of k. So A is a finite k-algebra.

### Problem 3 (chapter 9 problem 2)

Let A be a Dedekind domain. If  $f = a_0 + a_1x + ... + a_nx^n$  is a polynomial with coefficients in A, the content of f is the ideal  $c(f) = (a_0, ..., a_n)$  in A. Prove Gauss's lemma that c(fg) = c(f)c(g)

# Lemma 4 (being equal submodules is local)

Let M and N be submodule of an A-module, if  $M_{\mathfrak{m}}=N_{\mathfrak{m}}$  for every maximal ideal  $\mathfrak{m}$  of A then M=N.

Proof of Lemma 4. Note that,  $M \subseteq N$  if and only if (M+N)/N=0. Localize at every maximal ideal  $\mathfrak{m}$ ,  $M_{\mathfrak{m}} \subseteq N_{\mathfrak{m}}$  implies  $((M+N)/N)_{\mathfrak{m}} = (M_{\mathfrak{m}} + N_{\mathfrak{m}})/N_{\mathfrak{m}} = 0$  (localization commutes with sum and quotient of submodules). Since being zero is local, so  $M \subseteq N$ . The other direction is the same.

*Proof.* Let  $g = b_0 + b_1 x + ... + b_m x^m$ , then

$$fg = \sum_{l=0}^{m+n} \left( \sum_{i=0}^{l} a_i b_{l-i} \right) x^l$$

Localize at every maximal ideal  $\mathfrak{m}$  of A, if we can show that

$$c(fg)A_{\mathfrak{m}} = c(f)A_{\mathfrak{m}} \cdot c(g)A_{\mathfrak{m}} = c(f)c(g)A_{\mathfrak{m}}$$

Then Lemma 4 implies c(fg) = c(f)c(g). Note that, each  $A_{\mathfrak{m}}$  is a DVR.

Without loss of generality, assume (A,v) is a DVR with uniformizer  $y \in A$ , v(y) = 1. Let  $c(f) = (y^s)$  and  $c(g) = (y^t)$  for some  $s,t \geq 1$ , then  $c(f)c(g) = (y^{s+t})$ . Since  $(y^s) = (a_0,...,a_n)$ , then  $y^s$  is a A-linear combination of  $\{a_0,a_1,...,a_n\}$ , then  $s = v(y^s) \geq v(a_0)$ , but  $a_0 \in (y^s)$ , so  $v(a_0) \geq s$ . Hence,  $v(a_0) = s$ . Similarly,  $v(b_0) = t$ . Hence, one of the coefficient of c(fg) is  $a_0b_0$  has valuation  $v(a_0b_0) = s + t$ , so  $c(f)c(g) = (y^{s+t}) \subseteq c(fg)$ . The other direction is shown above. Hence  $c(f)c(g) = (y^{s+t}) = c(fg)$ 

# Problem 5 (chapter 9 problem 3)

A valuation ring (other than a field) is Noetherian if and only if it is a discrete valuation ring.

#### Definition 6

A domain A is a valuation ring if every nonzero  $x \in K = \operatorname{Frac}(A)$ , it is either  $x \in A$  or  $x^{-1} \in A$ 

### Lemma 7 (some facts about valuation ring)

If A is a valuation ring

- 1. there is a total ordering in A by divisibility
- 2. there is a total ordering of ideals in  $\boldsymbol{A}$  by inclustion
- 3. A is local
- 4. every finitely generated ideal is principal
- 5. every ideal  $\mathfrak{a}$  in A, if  $\mathfrak{a} \subsetneq \mathfrak{m}^k$  then  $\mathfrak{a} \subseteq \mathfrak{m}^{k+1}$  for any  $k \geq 1$
- 6. (is this true?) every (prime) ideal in A is of the form  $\mathfrak{m}^n$

#### Proof of Lemma 7.

(1) For any nonzero  $x, y \in A$ , either  $x/y \in A$  or  $y/x \in A$ . If  $x/y \in A$ , let z/1 = x/y for  $z \in A$ . So t(zy - x) = 0 for some nonzero  $t \in A$ . Since A is a domain, x = zy. So either x divides y or y divides x

- (2) Let  $\mathfrak{a}, \mathfrak{b}$  be ideals in A. Suppose there exist  $x \in \mathfrak{a} \mathfrak{b}$  and  $y \in \mathfrak{b} \mathfrak{a}$  ( $\mathfrak{a} \mathfrak{b}$  is set elements in  $\mathfrak{a}$  and not in  $\mathfrak{b}$ ). (1) induces a contradiction.
- (3) If A is not local, then two distinct maximal ideals  $\mathfrak{m}, \mathfrak{n}$  must have  $\mathfrak{m} \subsetneq \mathfrak{n}$  or  $\mathfrak{n} \subsetneq \mathfrak{m}$ . contradiction.
- (4) Let  $\mathfrak{a} = (a_1, ..., a_n)$  be ideal in A, then there exists a generator  $a_i$  that divides every other generator, hence  $\mathfrak{a} = (a_i)$
- (5) Let  $\mathfrak{a} \subsetneq \mathfrak{m}^k$  be any ideal in A for some  $k \geq 1$ , let  $x \in \mathfrak{a}$  and  $y \in \mathfrak{m}^k \{a\}$ . Since A is a valuation ring and  $y \notin \mathfrak{a}$ , x = ay for some  $a \in A$ . Moreover, if  $a \notin \mathfrak{m}$ , that is a is a unit, then  $a^{-1}x = y$  contradicts with  $y \notin A$ . Hence, x = ay for some  $x \in \mathfrak{m}$ . So,  $x \in \mathfrak{m}^{k+1}$ ,  $\mathfrak{a} \subseteq \mathfrak{m}^{k+1}$

$$\Box$$

#### Main Proof.

- ( ← ) DVR is PID, PID is Noetherian since every ideal is generated by finitely many elements.
- ( $\Longrightarrow$ ) The valuation ring A is Noetherian, then it is local with the unique maximal ideal  $\mathfrak{m}$ . Since every ideal in A is finitely generated, it is also principal, that is A is PID. A is a Noetherian, local domain with the unique maximal ideal being principal, it suffices to prove that dimension of A is A, that is, every prime ideal is maximal.

Let  $\mathfrak{m}=(y)$  and (x) be a nonzero prime ideal in A, suppose that  $(x)\subsetneq (y)$ , that means  $y\notin (x)$ . We must have x=ay for some  $a\in\mathfrak{m}$  (using the argument in Lemma 7). Since (x) is prime, and  $y\notin (x)$ ,  $a\in (x)$ , write a=bx for some nonzero  $b\in A$ . So

$$x = byx$$

Since A is a domain, using left cancellation, by = 1 that makes y a unit, contradiction.

# Problem 8 (chapter 9 problem 5)

Let M be a finitely generated module over a Dedekind domain. Prove that M is flat  $\iff M$  is torsion-free

### Definition 9

Let M be a module over a domain A, M is torsion-free if for every nonzero  $x \in M$  and nonzero  $a \in A$ ,  $ax \neq 0$ 

# Lemma 10 (chapter 3 exercise 13)

Let M be a module over a domain A, then M being torsion-free is a local property.

# Lemma 11 (chapter 7 exercise 16)

Let M be a finitely generated module over a Noetherian ring A, then M is flat if and only if  $M_{\mathfrak{m}}$  is a free  $A_{\mathfrak{m}}$ -module for every maximal ideal  $\mathfrak{m}$ 

#### Lemma 12

free module of finite rank over a domain is torsion free

Proof of Lemma 12. Let  $M=A^n$ , then every nonzero  $m\in M$  can be written as  $m=(a_1,...,a_n)\in A^n$  for some  $a_1,...,a_n\in A$  and some  $a_i\neq 0$ . If nonzero  $r\in A$  such that  $0=rm=(ra_1,...ra_n)$ , then  $ra_i=0$ , contradicts the premise A being a domain.  $\Box$ 

**Lemma 13** (Fundamental Theorem, Existence: Invariant Factor Form - Dummit Foote - chapter 12, section 12.1, theorem 5)

Let A be a PID, and M be a finitely generated A-module, then M is torsion-free implies M is free.

Proof. TODO

Main Proof.

Localize at a maximal ideal  $\mathfrak{m} \subseteq A$ 

( $\Longrightarrow$ ) A is Dedekind domain, so A is Noetherian. Since M is finitely generated and flat,  $M_{\mathfrak{m}}$  is a free  $A_{\mathfrak{m}}$ -module of finite rank. By Lemma 12,  $M_{\mathfrak{m}}$  is torsion-free. Lemma 10 implies M is torsion-free.

( $\iff$ ) A is a domain, so  $M_{\mathfrak{m}}$  is also torsion-free as  $A_{\mathfrak{m}}$ -module. Moreover, A is Dedekind domain, then  $A_{\mathfrak{m}}$  is a DVR which is PID. By Lemma 13,  $M_{\mathfrak{m}}$  is free. By Lemma 11

Problem 14 (chapter 9 problem 7)

Let A be a Dedekind domain and nonzero ideal  $\mathfrak a$  in A. Show that every ideal in  $A/\mathfrak a$  is principal. Deduce that every ideal in A can be generated by at most two elements

Proof. Every ideal a in Dedekind domain admits a unique decomposition

$$\mathfrak{a}=\mathfrak{p}_{1}^{e_{1}}...\mathfrak{p}_{n}^{e_{n}}$$

for some prime ideals  $\mathfrak{p}_i$ . In dimension 1 domain A, every prime ideal is maximal, by chinese remainder theorem

$$A/\mathfrak{a}=A/\mathfrak{p}_1^{e_1}\times \ldots \times A/\mathfrak{p}_n^{e_n}$$

Every ideal in  $A/\mathfrak{a}$  is a Cartesian product of ideals in  $A/\mathfrak{p}_i^{e_i}$ , so it suffices to show that ideals in  $A/\mathfrak{p}_i^{e_i}$  are principal. Let  $\mathfrak{p}^e$  be one of  $\mathfrak{p}_1^{e_1},...,\mathfrak{p}_n^{e_n}$ . Localize each  $A/\mathfrak{p}^e$  as quotient of A-modules at  $\mathfrak{p}\subseteq A$ , we have

$$(A/\mathfrak{p}^e)_{\mathfrak{p}} = A_{\mathfrak{p}}/\mathfrak{p}^e A_{\mathfrak{p}}$$

as  $A_{\mathfrak{p}}$  modules. Since A is a Dedekind domain,  $A_{\mathfrak{p}}$  is a DVR. In  $A_{\mathfrak{p}}$ ,  $\mathfrak{p}A_{\mathfrak{p}}$  is the unique maximal ideal that is principal. So the the unique maximal ideal  $\mathfrak{p}A_{\mathfrak{p}}\cap A_{\mathfrak{p}}/\mathfrak{p}^eA_{\mathfrak{p}}\subseteq A_{\mathfrak{p}}/\mathfrak{p}^eA_{\mathfrak{p}}$  is principal. It remains to show that  $A_{\mathfrak{p}}/\mathfrak{p}^eA_{\mathfrak{p}}$  is Artinian. It is straightforward since every ideal in DVR  $A_{\mathfrak{p}}$  is a power of its maximal ideal  $\mathfrak{p}A_{\mathfrak{p}}$ , any chain of ideals in  $A_{\mathfrak{p}}$  of the form

$$\mathfrak{p}A_{\mathfrak{p}}\supseteq\ldots\supseteq\mathfrak{p}^eA_{\mathfrak{p}}$$

is of length at most e. So any chain of ideals in  $A_{\mathfrak{p}}/\mathfrak{p}^e A_{\mathfrak{p}}$  is of length at most e

Let  $\mathfrak{b}\subseteq A$  be an ideal generated by more than one element. Let  $a\in\mathfrak{b}$ , then  $(a)\subsetneq\mathfrak{b}$ . So  $\mathfrak{b}/(a)$  is a nonzero ideal in A/(a), hence must be principal. Let  $\mathfrak{b}/(a)$  generated by  $\bar{b}$  for some  $b\in\mathfrak{b}$ . Then for any  $x\in\mathfrak{b}$ ,  $\mathfrak{b}/(a)$  is principal ideal generated by  $\bar{b}$ , so  $\bar{x}=\bar{y}\bar{b}$  for some  $y\in A$ , so x=yb+za for some  $z\in A$ . Hence,  $\mathfrak{b}=(a,b)$ 

### Problem 15 (chapter 9 problem 8)

Let  $\mathfrak{a}, \mathfrak{b}, \mathfrak{c}$  be three ideals in a Dedekind domain. Prove that

$$\mathfrak{a} \cap (\mathfrak{b} + \mathfrak{c}) = (\mathfrak{a} \cap \mathfrak{b}) + (\mathfrak{a} \cap \mathfrak{c})$$

$$\mathfrak{a} + (\mathfrak{b} \cap \mathfrak{c}) = (\mathfrak{a} + \mathfrak{b}) \cap (\mathfrak{a} + \mathfrak{c})$$

*Proof.* Localization commutes with finite intersection and sum of submodules, it suffices to prove for the case of DVR. Let  $\mathfrak{a}, \mathfrak{b}, \mathfrak{c}$  be ideals of a DVR (A, v) with uniformizer (y). Let  $\mathfrak{a} = (y^a), \mathfrak{b} = (y^b), \mathfrak{c} = (y^c)$ , then either case b = c or  $b \neq c$ ,

$$\mathfrak{b} + \mathfrak{c} = (y^b) + (y^c) = (y^{\min(b,c)})$$
$$\mathfrak{b} \cap \mathfrak{c} = (y^b) \cap (y^c) = (y^{\max(b,c)})$$

It is equivalent to show

$$\max(a, \min(b, c)) = \min(\max(a, b), \max(a, c))$$
$$\min(a, \max(b, c)) = \max(\min(a, b), \min(a, c))$$

Assuming  $b \le c$ , then  $\max(a, b) \le \max(a, c)$  and  $\min(a, b) \le \min(a, c)$ . We're done.

# Problem 16 (Krull-Akizuki)

Let A be a Dedekind domain with fractional field K. Let L/K be a finite degree field extension and let B be the integral closure of A in L. Prove that B is a Dedekind domain.

### Lemma 17 (equivalent formulation for Dedekind domain)

A ring A is a Dedekind domain if and only if it is a dimension 1 Noeatherian integrally closed domain

*Proof of Lemma 17.* this follows from Proposition 5.13: for a domain A being integrally closed is local.

Main Proof. L is a finite degree field extension of K which is a vector of finite dimension over K.  $B \subseteq L$  is the integral closure of the ring extension  $A \hookrightarrow L$ 

$$A \hookrightarrow B \hookrightarrow L$$

1. (Any ideal I of B intersects A nontrivially) Let nonzero  $I \subseteq B$  be an ideal of B, let nonzero  $x \in I$ , then x satisfies a monic polynomial of minimal degree

$$p(x) = x^{n} + a_{1}x^{n-1} + \dots + a_{n-1}x - a_{n} = 0$$

for some  $a_1,...,a_n \in A$ . Since p is of minimal degree,  $a=a_n$  is a nonzero element of the ideal  $I \cap A$ .

2. (I/aB is of finite length as an A-module) Now,  $I/aB \subseteq B/aB$  as R-modules. It suffices to show that length B/aB is of finite length. If aB = B = I, there is nothing to show. Suppose a is not a unit in B

If we can show that  $a^nB\subseteq a^{n+1}B+A$  for some n, then

$$\frac{B}{aB} \cong \frac{a^n B}{a^{n+1} B} \subseteq \frac{a^{n+1} B + A}{a^{n+1} B} \cong \frac{A}{a^{n+1} B \cap A}$$

The left isomorphism is from first isomorphism theorem of the map  $a^n(-): B \to a^n B/a^{n+1}B$  with  $\ker a^n(-) = aB$  and the right isomorphism is the second isomorphism theorem for submodules over A.  $A/(a^{n+1}B\cap A)$  is Artinian since  $a^{n+1}B\cap A$  is nonzero, so B/aB is of finite length as an A-module, hence I/aB is of finite length

3.  $(a^n B \subseteq a^{n+1} B + A \text{ for some } n)$  Using the argument in Lemma 4, inclusion of submodules is a local, We can assume that A is a DVR with uniformizer  $\mathfrak{m}$ .

For any nonzero  $y \in B$ , consider the "fractional ideal"  $y^{-1}A = \{z \in L : zy \in A\} \subseteq L$  intersecting A nontrivally using the same argument as above for integral element  $y^{-1}$  over A. Since A is a DVR, the ideal  $Ay^{-1} \cap A$  of A contains large power of  $\mathfrak{m}$ . Since  $a \in \mathfrak{m}$ , we choose smallest  $N_1 \in \mathbb{N}$  so that  $a^ny \in A$  for every  $n \geq N_1$ 

Consider the chain of ideals  $I_n=a^nB\cap A+aA$  in A/aA. A/aA is Artinian since aA is nonzero, so it must stablize. Let  $N_2\in\mathbb{N}$  so that  $I_n=I_{N_2}$  for every  $n\geq N_2$ .

Note that,  $N_1$  is dependent on y and  $N_2$  is indepdent of y. We claim that  $N_1 \leq N_2 + 1$  for every  $y \in B$ . Suppose the contrary that if  $N_2 + 1 < N_1$ , let  $n = N_1 - 1$ , then  $I_{n+1} = I_n = I_{n-1}$ , then  $a^n y \notin A$  and  $a^{n+1} y \in A$ . Since  $a^{n+1} y \in I_{n+1} = I_n = I_{n-1}$ , then there exists  $z \in B$  and  $t \in A$  so that  $a^{n-1} z \in A$  and

$$a^{n+1}y = a^nz + at \in a^{n-1}B \cap A + aA$$

Hence, left cancellation implies  $a^ny=a^{n-1}z+t\in A$ , contradiction. Choose  $n=N_2+1$ , then  $I_n=I_{n+1}$  and  $a^nB\subseteq A$ . Hence

$$a^n B \subseteq I_n = I_{n+1} \subseteq a^{n+1} B + A$$

4. (B is Noetherian) I/aB is of finite length as an A-module, if I is not finitely generated as an ideal in B, then let  $I=(a,i_1,i_2,...)$  for  $i_1,i_2,...\in B$ , so the decending chain of ideals containing aB in B which is also a decending chain of submodule of I/aB as an A-module

$$(a, i_1, i_2, i_3, ...) \supseteq (a, i_2, i_3, ...) \supseteq ... \supseteq (a) \supseteq (0)$$

has infinitely many strict inclusions, contradiction.

- 5. (B is of dimension 1)  $A \hookrightarrow B$  is an integral ring extension with A being a domain, by going-down theorem, any chain of prime ideals of strict inclusions in B has a corresponding chain of prime ideals of strict inclusions in A by contraction. Since A is of dimension 1, by going-up theorem, all chain of prime ideals of strict inclusions in B cannot be longer than 2. Hence, since B is subring of field A, B is domain, A is of dimension 1
- 6. (B is integrally closed)  $\operatorname{Frac}(B) \subseteq L$ . Any  $x \in \operatorname{Frac}(B)$  is integral over B is also integral over A, so  $x \in B$ . Hence, B is integrally closed.

### Problem 18 (optional)

In the notation of the previous problem, if in addition L/K is a separable extension, we can always write L=K(x) for some  $x \in L$ . Find an example where L/K is separable but we CANNOT write B=A[x] for some  $x \in B$ 

### Problem 19 (optional)

Is the ring  $A = \mathbb{C}[x,y]/(y^2 - x^3 - x - 1)$  a PID?