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## COMMITTEE ON RESEARCH

### MEETING MINUTES

**MEETING DATE:** 2 December 2015  
**LOCATION:** Sakamaki C-204B  
**ATTENDANCE:** [P = Present; A = Absent; E = Excused]

MEMBERS		MEMBERS		MEMBERS		GUESTS	TIME
BUENCONSEJO-LU M, Lee	P	MAYNARD, Ashley	P	WERTHEIMER, Andrew (Sec)	P		
CROSS, Patrick	P	RICHARDSON, James	P				
DEENIK, Jonathan	A	SANDERS, David (Chair)	P				
HONG, Seunghye	E	SHIRAMIZU, Bruce	E				
McKEE, Amy	P	THOMAS, Florence	P				

SUBJECT	DISCUSSION / INFORMATION	ACTION / STRATEGY / RESPONSIBLE PERSON
<b>CALL TO ORDER</b>	Sanders called the meeting to order at 3:08.	
<b>MINUTES</b>	Minutes of 25 November 2015 were approved 6-0.	Minutes approved. Chair Sanders will forward to MFS office for posting to CoR webpage.
<b>New Item: Meeting with New VCR</b>	CoR will invite the incoming VCR, who is expected to begin in January, to a meeting.	Chair Sanders will call Karen in the VCR's office.
<b>New Item: How RTRF is allocated at the Dean/Director Level</b>	CoR will explore this in depth after meeting with the incoming VCR, who is expected to begin in January. This is, in effect, a spinoff issue from the original Issue #1.16. Issue #1.16 should be closed.	For the next meeting, each CoR member should come up with a template on how each unit handles RTRF funds. Maynard will request a new issue number for this.
(#1.16) CAB resolution on 5% system-wide RTRF withholding	This issue is complete. We will examine a spinoff of this issue as described above.	Sanders will close the issue.
(#27.13) <b>University Affiliated Research Center (UARC)</b>	Cross forwarded a message from UARC Interim Executive Director Margo Edwards. She has offered to meet with CoR in the future, if needed. She wrote that she has the following ways of oversight:	CoR will proposed to SEC that this issue be retired. Maynard will take this to



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	<p>1) Monthly meetings with the VPRI,  2) All tasks run through ORS and VPRI's staff,  3) As needed meetings with UH President about projects,  4) Quarterly breakfasts with "advisers" that include Dean of SOEST, Dean of Engineering plus reps from DOD, DHS and industry.  5) Briefing BOR as requested (= one time thus far since Margo became Executive Director in April 2015).</p>	<p>SEC and see if it needs to be taken to the larger Faculty Senate. Sanders recommended that Cross suggest to Edwards that she find a way to summarize the current methods of "oversight" on the UARC website.</p>
<p><b>NEW Item:</b> Office of Technology Transfer and Economic Development (OTTED) Reorganization</p>	<p>Since the December MFS Senate Meeting was canceled, we will delay Richardson's invite until the January meeting.</p>	<p>Robert Cooney will invite Richardson to the January Faculty Senate meeting.</p>
<p><b>NEW Item:</b> Proposed Revisions to Research-Related Regent's Policies. Discussion of new item sent from the VPRI involving six RPs.</p>	<p>The VPRI's Office sent MFS SEC the following items, which were sent to CoR for comment on 23 NOV 2015:</p> <ul style="list-style-type: none"> <li>• RP 12.206</li> </ul> <p>Thomas reported that this issue had implications for ORUs, and needed further exploration and discussion by CoR. Thomas is concerned that the memo may be creating new policy on the ability to create and close ORUs.</p> <ul style="list-style-type: none"> <li>• RP 12.202</li> </ul> <p>CoR also received comments from Cancer Center Faculty Senate Chair Lynne Wilkens of their concerns. They are also concerned with 12.206. The e-mail is below:</p> <p><i>Our faculty reviewed the proposed changes and had comments on two of the items.</i></p> <p><i>The suggestions include the following:</i></p> <p><i>For RP 12.202, proposed language for 12.202.III.C is:</i>  <i>"Changes in principal investigator shall be done in accordance with awarding agency policies and procedures such as the National Institutes of Health Grants Policy Statement or National Science Foundation Award and Administration Guide."</i></p> <p><i>Accordance with sponsors is paramount. However, our impression is that NIH and NSP guidelines do not give very detailed instructions on change in PIs.</i></p> <p><i>We would like the following statement <u>added</u> to 12.202.III.C to make it clear that involuntary change in PI should be a rare event.</i>  <i>"Involuntary changes in principal investigators of grants by the institution will occur only in cases of documented ethical or financial misconduct."</i></p>	<ul style="list-style-type: none"> <li>• Maynard will ask Bob Cooney to consult with Kevin Hanaoka in the VP's office concerning wording of revisions to RP12.206 and 12.202</li> <li>• Maynard will request a new issue number for this item.</li> </ul>



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	<p><i>For RP 12.206, proposed language for 12.206.III.A includes:</i>  <i>"Organized research units may exist at the level of a School or college (Level V) or Department (Level IV).".</i></p> <p><i>We would like to add "Change of level for any ORU constitutes a re-organization, subject to BOR policy XXXX."</i></p>	
<b>(#6.16) Research Compliance Task Force (RTRF) Report</b>	It was realized that this issue was confused with the "New Issue" described above during the 25 Nov, 2015 CoR meeting. Issue #6.16 should be closed.	Chair Sanders will close this issue.
<b>NEXT MEETINGS</b>	All Spring 2016 CoR meetings will be in Hawaii Hall 208; JAN 27, FEB 24, MAR 23, AP 27, May 25 from 3:00-4:00.	
<b>ADJOURNMENT</b>	Meeting was adjourned at 3:53.	

Respectfully submitted by Andrew Wertheimer.

Approved on Date with 5 votes in favor of approval and 0 against.