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 - Aggregation: Whole Part Associations
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 - The Substitution Principle
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- · Cited Literature:
 - Just Java, Peter van der Linden
 - Thinking in Java, Bruce Eckel

Initial Words

Yes, my slides are heavy.

I do so, because I want people to go through the slides at their own pace w/o having to watch an accompanying video.

On each slide you'll find the crucial information. In the notes to each slide you'll find more details and related information, which would be part of the talk I gave.

Have fun!

Defining the UDT Car with Classes

- · Definition of the UDT Car.
 - It has two fields:
 - theEngine
 - spareTyre
 - Car has an Engine and a SpareTyre.
 - And three methods:
 - startEngine()
 - setSpareTyre()
 - getSpareTyre()

```
// <Car.java>
public class Car {
    private Engine theEngine;
    private Tyre spareTyre;

    public void startEngine() {
        System.out.println("start Car");
        theEngine.start();
    }

    public void setSpareTyre(Tyre spareTyre) {
        this.spareTyre = spareTyre;
    }

    public Tyre getSpareTyre() {
        return this.spareTyre;
    }
}
```

- The definition of this UDT shouldn't contain any surprises.
 - Therefor we're going to use it for the following discussion.

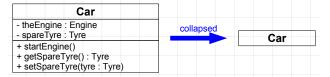
// Creation and usage of a Car instance: Car fordFocus = new Car(); fordFocus.setEngine(new Engine()); fordFocus.setSpareTyre(new Tyre()); fordFocus.startEngine();

Remember the Concepts of Object Orientation

- Abstracted types require two concepts:
 - (1) Abstraction by combining data and methods into a UDT to define a concept.
 - (2) Encapsulation to protect data from unwanted access and modification, it influences cohesion:
 - The day-part of a Date instance should not be modifiable from "outside".
 - => abstracted types are <u>functionally complete</u>, but do only <u>expose a part of this functionality to the public</u>.
- Object orientation (oo) is not only combining behavior and data! Its aim is simulation of reality in a computer program!
 - To simulate reality, oo requires $\underline{\text{two more concepts}}$, which influence $\underline{\text{coupling}}$:
 - (3) The whole part (aggregation or composition) association:
 - We say "A car object has an engine object.".
 - (4) The <u>specialization generalization association</u>:
 - We say "three cars drive in front of me", rather than there "drives a van, a bus and a sedan in front of me". We can generalize, as, e.g., a van is a car.
- "Object-orientation" is only the <u>umbrella term for these four concepts.</u>
 - <u>Oo languages</u> provide <u>idioms</u> that allow <u>expressing these concepts</u>.
- In this lecture we're going to understand the association concepts (3) whole part and (4) specialization generalization.

The UML's Bird's Eye View of Classes

- · We have already discussed UML class diagrams.
 - Up to now, we used classifiers like a microscope to show the details of a class. Now we enter the next abstraction level.
 - <u>"abstract" means, that we put away all details of a class.</u> UML allows to <u>collapse</u> a classifier to <u>only the name compartment</u>:



• For our further discussion of oo topics, we can use the collapsed view of class diagrams to get a high level view of Car.



- Now, we can concentrate on the connections between the class Car, Engine and Tyre, and ignore their details.
- Mind, that we haven't defined Engine and Tyre! We have only assumed their existence as classifiers. This is the power of UML!
- But, what kind of connections do we have between Car, Engine and Tyre?

We have already seen Whole-Part Associations!

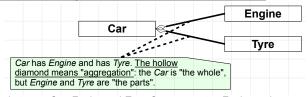
- Basically, we have already discussed whole-part associations! We called the resulting UDTs record types!
- A very neutral, or, well, abstract view of the UDT Date: a type, which has a day, a month and a year.

```
// <Date.java>
public class Date { // (members hidden)
public int day;
public int month;
public int year;
}
```

- (We leave the fields day, month and year with public access for now.)
- Technically, Java allows to implement whole-part associations with fields.
- In formal words: Java's idiom to implement whole-part associations are classes ("wholes"), which have fields ("parts").
- Now, we'll discuss the UDT Car we have introduced just recently.

UML Notation of Car with Whole-Part Associations

- UML class diagrams can also be used to design whole-part associations (i.e. aggregated types).
 - This class diagram underscores Car's dependencies with connectors and classifiers:



- So, this is the connection between Car, Engine and Tyre: Car aggregates Engine and aggregates Tyre.
- A key point of UML is, that the model can be understood by non-programmers (e.g. customers) generally.
 - Another key point derived from the first key point: the <u>UML is independent from a specific (oo) programming language</u>.
 - But this also means, that some of the terms in UML are different from the terms we know from Java or the reality.
- What we see here and in upcoming lectures are more class diagrams with multiple boxes.
 - Lines connecting classifiers ("connectors"), so called <u>associations</u>, show the dependencies between classes.
 - This is time to remember the concept of coupling!
 - · We don't know how Engine and Tyre are designed, but we know that Car and Engine and Tyre are coupled.

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 The key point, that non-programmers should understand what a UML diagram expresses, is far away from reality in practice. When the content of a UML diagram shows a lot of details, nonprogrammers must understand more details of the UML syntax and "grammar", which is not what nonprogramers, esp. customers, do not want to be bothered with. => Sometimes, UML is too academic.

UML: Whole-Part Associations vs Aggregation vs Composition • The notation of an aggregation (connector with hollow diamond) underscores a common whole-part association in UML. **Engine** We will use this notation to document whole-part Car dependencies in upcoming lectures. UML allows expressing a tighter whole-part association, when we notate a composition with a connector with a filled diamond: Room House The association House-Room is different from the one between Car-Engine, because a Room cannot exist without a House • => This legitimates usage of a composition instead of only an aggregation. The aggregation between House and Room does also carry a multiplicity: "one House can have one or more Rooms". If we don't know exactly, what association classifiers have, we can use a "general" association (simply an "association"): **Engine** Engine We can annotate associations, with Car the role the connected classifiers play "Tyre plays the role spareTyre at Car"

Associations are notated as simple lines connecting classifiers. We can add arrow tips to show the <u>direction</u> of an association.

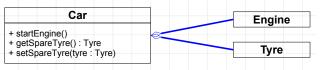
The direction of an association is called navigatibility in the UML. It defines how types are coupled, Car is coupled to Tyre, not vice versa!

- Multiplicity is sometimes also called cardinality (esp. by database aficionados).
- Multiplicity always expressed a "potential" multiplicity, i.e. 1:2 could also mean 1:1 in a real case, but nothing above 1:2 in numbers.

"Direction" means: "Car knows the classifiers Engine and Tyre, but not (necessarily) vice versa".

UML Notation of Car with Whole-Part Associations

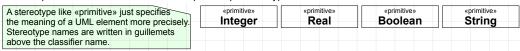
• An interesting aspect: we can combine "connector view" and "compartment view", and we can collapse any compartment:



• The UML is so abstract, that we can even abstract primitive types as classifiers instead of using compartments:



The UML predefines several classifiers to represent primitive types:



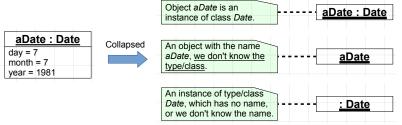
The UML adds the <u>stereotype</u> <u>"«primitive»"</u> to the classifier compartment.

Excursus – Objects in UML Class Diagrams (collapsed View)

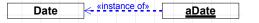
• Let's once again discuss an object of type Date (aDate):

Date birthday = new Date(); birthday.day = 7; birthday.month = 7; birthday.year = 1981;

· We've already discussed, how we represent objects, i.e. instances of classifiers with underscored classifier names.



- As can be seen, we can collapse the field compartment, which hides the fields' values as well.
- · Additionally, the UML allows representing instances as a connector between classifier and object.
 - This connection is just annotated with the so called stereotype: «instance of»:



Looking back... - Part 1

- · Oo as a paradigm sits on the shoulders of other paradigms which were based on core believes of their time.
 - Core believe of imperative and structured programming: only use structured programming features → maths in algorithms
 - (Core believe of functional programming: only use functions and avoid side effects → maths in formulas)
 - Core believe of procedural programming: use procedures and records and DRY → building things like an engineer
 - Core believe of oo programming: program to simulate the reality → think about programs as real things
 - · Oo was a design pattern in procedural programing, when people used records, belonging-to procedures/functions and handles.
 - Over the time, core believes changed: the degree of abstraction from the technical execution of software is constantly growing.
- · Learning oo programming is not a big deal, but learning oo-design is a constant activity.
 - Technically, the idea to implement own UDTs is the manifestation of oo in Java, one just need to understand "enough Java".
 - From a design-perspective, ideally, oo-programmers must understand the domain/problem/environment and define its abstraction.
 - After the "abstraction is clear", "it just needs to be put into UDTs/ Java classes".
 - Oo starts in our minds, oo-languages only support putting these abstractions into effect ... more or less.
- · For oo-beginners the separation of design time and run time is also a challenge, this separation doesn't exist in reality.
 - At design time we have to deal with encapsulation, concepts, declaration and abstracted types (i.e. classes).
 - At run time we have basically only objects.

Looking back... - Part 2

- For all oo-programmers answering questions about the right abstraction is challenging.
 - Maybe oo-beginners, esp. when they used other paradigms, query the oo-paradigm as such, for experts there's no alternative anymore.
- · Where does abstraction start? Which concepts of the reality are concrete enough to justify UDTs?
 - An "idea" is also an object, it isn't as concrete as a car but logically it's concrete: it has attributes (fields) and an interface (methods).
 - On the next lectures, we'll see classes that have no counterpart in reality, but we need them to support our design.
 - When we design the class Car, this abstraction is based on our perception of Car, what is enough for the problem in question.
 - The class Car is not just a module! An object is not just a collection of data and functions!
 - When and how to implement and use UDTs is matter of experience, patience, creativity and luck.
- In order to understand how mighty oo can be, we have to discuss more complex topics: generalization specialization.

Generalization - Specialization

- Generalization specialization is a very different association and more abstract than the whole part association.
 - Let's start by thinking about how we can simulate and abstract the reality with generalization specialization.
- Let's reconsider the Car example. How can a Car be involved in generalization-specialization associations?
 - Now we're going to introduce <u>Bus</u>. Bus is a UDT, which represents the concept of a, yes, of a bus.

```
// <Bus.java>
public class Bus { // UDTs Engine and Tyre elided.
    private static final int COUNT_SEATBENCHES = 42;
    private int nOccupiedSeatBenches;
    private Engine theEngine;
    private Tyre spareTyre;

public void startEngine() {
        System.out.println("start Bus");
        theEngine.start();
    }
    public void setSpareTyre(Tyre spareTyre) {
        this.spareTyre = spareTyre;
    }
    public Tyre getSpareTyre() {
        return this.spareTyre;
    }
    public boolean newPassengerCanEnter() {
        return COUNT_SEATBENCHES <= nOccupiedSeatBenches;
    }
}
```

Examining another Kind of Association between Types - Part 1

• When we look closer at the definition of Bus, we'll notice, that there is an intersection between the UDTs Car and Bus:

```
// <Car.java>
public class Car { // UDTs Engine and Tyre elided.
private Engine theEngine;
private Tyre spareTyre;

public void startEngine() {
    System.out.println("start Car");
    theEngine.start();
}

public void setSpareTyre(Tyre spareTyre) {
    this.spareTyre = spareTyre;
}

public Tyre getSpareTyre() {
    return this.spareTyre;
}

public Tyre getSpareTyre() {
    return this.spareTyre;
}

public int getOccupiedSeatBenches() {
    return this.spareTyre;
}

public void setSpareTyre() {
    return this.spareTyre;
}

public Tyre getSpareTyre() {
    return this.spareTyre;
}

public int getOccupiedSeatBenches() {
    return COUNT_SEATBENCHES = 42;
    private In OccupiedSeatBenches;
    private Engine theEngine;
    private Engine theEngine;
    private Tyre spareTyre;

public void startEngine() {
        System.out.println("start Bus");
        theEngine.start();
}

public Tyre getSpareTyre() {
        return this.spareTyre;
}

public int getOccupiedSeatBenches() {
        return COUNT_SEATBENCHES = nOccupiedSeatBenches;
}
}
```

- It looks like there is a Car "in" Bus? What? But it can't be a whole-part association, it doesn't reflect the reality!
- Or, huh, do they have something different in common?
- Come on, we should avoid having duplicated code, mind the DRY principle! What can we do here?

Examining another Kind of Association between Types - Part 2

```
// <Bus.java>
                                                                                                                   public class Bus { // UDTs Engine and Tyre elided.
private static final int COUNT_SEATBENCHES = 42;
private int nOccupiedSeatBenches;
private Engine theEngine;
// <Car.java>
public class Car { // UDTs Engine and Tyre elided.
    private Engine theEngine;
    private Tyre spareTyre;
                                                                                                                            private Tyre spareTyre;
         public void startEngine() {
    System.out.println("start Car");
                                                                                                                            public void startEngine() {
    System.out.println("start Bus");
    theEngine.start();
                 theEngine.start();
         public void setSpareTyre(Tyre spareTyre) {
    this.spareTyre = spareTyre;
                                                                                                                            public void setSpareTyre(Tyre spareTyre) {
    this.spareTyre = spareTyre;
                                                                                                                           }
public Tyre getSpareTyre() {
         public Tyre getSpareTyre() {
                 return this.spareTyre;
                                                                                                                                   return this.spareTyre;
                                                                                                                            public int getOccupiedSeatBenches() {
                                                                                                                                    return nOccupiedSeatBenches;
                                                                                                                            public boolean newPassengerCanEnter() {
    return COUNT_SEATBENCHES <= nOccupiedSeatBenches;
```

- What we can extract from this similarity between Car and Bus: A Bus is a kind of Car.
- In oo terms this often shortened to a Bus is a Car, or a Bus is a special Car. => This matches the reality ... right!
- Now we have a generalization specialization association between Car and Bus: a Bus is a special Car.
 - So <u>a Bus doesn't contain a Car</u> and <u>a Car is not a part of a Bus</u>, instead <u>a Bus is a Car!</u>

Expressing Generalization - Specialization in Java - initial Example

· We modify the class definition of the derived type by using the keyword extends and naming the super type.

```
// <Car.java>
public class Car { // UDTs Engine and Tyre elided. private Engine theEngine; private Tyre spareTyre;

public void startEngine() {
    System.out.println("start Car"); theEngine.start(); }

public void setSpareTyre(Tyre spareTyre) {
    this.spareTyre = spareTyre; }

public Tyre getSpareTyre() {
    return this.spareTyre; }
}

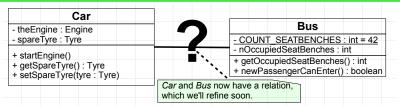
// <Bus.java>
// Bus.inherits from Car:
public class Bus extends Car {
    private int nOccupiedSeatBenches; }
    public int getOccupiedSeatBenches; }

public int getOccupiedSeatBenches; }

public boolean newPassengerCanEnter() {
    return COUNT_SEATBENCHES <= nOccupiedSeatBenches; }
}
```

- In Bus.java the extends keyword expresses, that the UDT <u>Bus</u>, is <u>inherited from Car</u>.
- In other words this inheritance expresses following specialization: a Bus is a Car.
- The word "extends" fits: Bus extends Car with COUNT SEATBENCHES, nOccupiedSeatBenches and newPassengerCanEnter().
- Bus extends Car rather than it copies code from Car! They have a dependency, that cannot expressed as a whole-part relationship!
- In formal words: <u>Java's idiom for generalization-specialization associations is inheritance using the extends keyword.</u>

Expressing Generalization – Specialization in Java – inherited Methods – Part 1



· So let's instantiate and use a Bus:

Bus bus = new Bus();

Of course we can call the methods, which we defined in Bus. E.g. newPassengerCanEnter():
 boolean hasVacantSeats = bus.newPassengerCanEnter(); // Accessing a method of Bus.

- The new aspect is, that we can also call Car methods, like getSpareTyre() on this Bus object!
 - Because a Bus is a Car, in Java terms Bus extends Car, we can call Car methods on a Bus object:
 Tyre spareType = bus.getSpareTyre(); // Accessing a method of Bus' super type Car.
 - A <u>sub class inherits behavior from its super class</u> (and intended behavior from the interfaces it implements, which we'll discuss soon).
- What we see here, is that Bus inherits the public methods from Car!
 - Car's public methods can be called on a Bus instance.
 - The ctors of the super type <u>are not inherited</u> to the subtype!
 - This statement is technically true, but dctors are handled in a <u>special way</u>. We'll discuss this is a future lecture.

Expressing Generalization – Specialization in Java – inherited Methods – Part 2

Car	Bus
- theEngine : Engine	- COUNT SEATBENCHES : int = 42
- spareTyre : Tyre	- nOccupiedSeatBenches : int
+ startEngine()	+ getOccupiedSeatBenches() : int
+ getSpareTyre() : Tyre	+ newPassengerCanEnter() : boolean
+ setSpareTyre(tyre : Tyre)	+ hasSpareTyre() : boolean

- An inherited class like Bus has full access to all public members of the super class.
 - It means, we can write a method Bus.hasSpareTyre() calling Car.getSpareTyre() like so:

```
// <Bus.java>
public class Bus extends Car { // (members missing)
public boolean hasSpareTyre() {
    return getSpareTyre() != null; // accesses Car.getSpareType()
}
```

- Then we can call the new method:

```
Bus bus = new Bus();
boolean hasSpareTypre = bus.hasSpareTyre();
```

• Bottom line: we can call inherited methods within a sub class!

Expressing Generalization - Specialization in Java - inherited Fields - Part 1

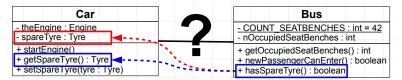
- Bus inherits Car, which also means, that Bus inherits substantial data from Car, esp. its fields.
 - But we can not access private fields of a super class! E.g. this implementation of Bus.hasSpareTyre() won't compile:

Notice:
All fields and methods of the super class are implicitly added, but possibly not directly accessible, because they were defined with private access.

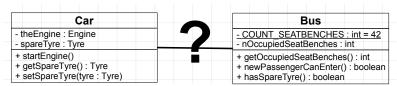
```
// <Bus.java>
public class Bus extends Car { // (members missing) UDT Tyre elided.
public boolean hasSpareTyre() {
return spareTyre != null;
```

- The spareTyre field is private in the super class and unaccessible from any sub classes.
 - Instead we have to call the public method Car.getSpareTyre() in Bus to implement Bus.hasSpareTyre():

```
public class Bus extends Car { // (members missing) UDT Tyre elided. public boolean hasSpareTyre() { return getSpareTyre() != null; // Fine!
```



Expressing Generalization – Specialization in Java – inherited Fields – Part 2



- In sub classes like Bus, private fields of super classes, e.g. Car.spareTyre, cannot be directly accessed!
 - => private fields of super classes are a <u>substantial</u>, but <u>not directly accessible</u> <u>part of sub classes</u>.
 - => Read: privately encapsulated data is also encapsulated from sub class access!
- In sub classes like Bus, we can call public methods inherited from super classes, e.g. Car.getSpareTyre()!
 - This allows to indirectly access private fields via public methods of the super class.
 - This aspect lives the <u>Uniform Access Principle!</u>

Expressing Generalization – Specialization in Java – inherited static Fields – Part 1

• Next, let's assume, we also extend Bus creating a new class LargeBus, which has basically more seat benches:

```
// <Bus.java>
public class Bus extends Car {
    public static final int COUNT_SEATBENCHES = 42;
    private int nOccupiedSeatBenches;

    public int getOccupiedSeatBenches() {
        return nOccupiedSeatBenches;
    }
    public boolean newPassengerCanEnter() {
        return COUNT_SEATBENCHES <= nOccupiedSeatBenches;
    }
}
```

- Technically, there is no problem: an inheriting class also inherits the static fields (incl. final fields) and methods from the super class.
- => We can access Bus.COUNT_SEATBENCHES from LargeBus.printFillState(), because it is an inherited public (static) field.
- We can also reach <code>Bus.COUNT_SEATBENCHES</code> from the class name <code>LargeBus</code>:

System.out.println(LargeBus.countSeatBenches); // OK! // >42

Expressing Generalization – Specialization in Java – inherited static Fields – Part 2

- However, to set COUNT_SEATBENCHES to a different value for LargeBus, we have to
 - make COUNT_SEATBENCHES a non-final static field countSeatBenches in Bus
 - and set countSeatBenches to a different value in LargeBus' dctor:

```
// <Bus.java>
public class Bus extends Car {
    public static int countSeatBenches = 42;
}
```

. But this solution does not work as intended:

```
System.out.println(Bus.countSeatBenches); // >42 LargeBus largeBus = new LargeBus(); System.out.println(LargeBus.countSeatBenches); // >54 Clear, as intended! System.out.println(Bus.countSeatBenches); // >54 Oups!
```

- The problem is that the change of Bus' static field we did in LargeBus actually appears like a change of a global variable.
- It doesn't matter from where countSeatBenches was modified, it has an effect on every location using it.
- => static non-private fields should be avoided!

Expressing Generalization – Specialization in Java – inherited static Fields – Part 3

• An alternative would be just creating an additional final static field in LargeBus that carries the other value:

```
// <Bus.java>
public class Bus extends Car {
    public static final int COUNT_SEATBENCHES = 42;
}
```

```
System.out.println(Bus.COUNT_SEATBENCHES); // >42 // >42 LargeBus largeBus = new LargeBus(); System.out.println(LargeBus.COUNT_SEATBENCHES); // >54 Clear, as intended! System.out.println(Bus.COUNT_SEATBENCHES); // >42 Ok!
```

- As can be seen, the name of the field in LargeBus can be the same as the one in Bus, this leads to variable hiding.
 - In LargeBus we can access the hidden variable by prefixing it with the name of the super class:

```
// <LargeBus.java>
public class LargeBus extends Bus {
    public static final int COUNT_SEATBENCHES = Bus.COUNT_SEATBENCHES + 8;
}
```

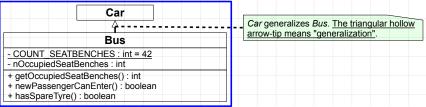
Expressing Generalization – Specialization in Java – in Memory Car Bus - COUNT SEATBENCHES : int = 42 - nOccupiedSeatBenches : int - theEngine : Engine - spareTyre : Tyre + getOccupiedSeatBenches() : int + newPassengerCanEnter() : boolean + startEngine() + getSpareTyre() : Tyre + setSpareTyre(tyre : Tyre) + hasSpareTyre() : boolean Memory Bus bus = new Bus(); bus.setSpareTyre(new Tyre()); bus.setEngine(new Engine()); bus (*) Bus.COUNT_SEATBENCHES (42) bus: Bus A Bus instance also occupies Car.spareTyre (*) (Engine) theEngine = {} spareTyre = {} "object space" and memory of a Car instance, because Bus.COUNT_SEATBENCHES (static) nOccupiedSeatBenches = 0 COUNT_SEATBENCHES = 42 a <u>Bus is a Car</u>. Bus.nOccupiedSeatBenches (0)

Expressing Generalization – Specialization in Java – Theory

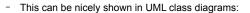
- · We just saw, how inheritance is expressed in Java, but we also have to discuss some theory...
- Existing UDTs can be used as <u>base types for new types</u>.
 - Base types are usually called super types in Java.
 - More special types, like Bus, inherit from more general types, like Car. This is called inheritance.
 - Instead of "inherits from" we'll occasionally use the phrases "derives from", "subclasses" or "extends".
 - A super type's data ((private) fields) is inherited to an derived type. Bus inherits spareTyre and theEngine from Car.
 - A super type's behavior (methods) is inherited to a derived type. Bus inherits startEngine(), getSpareTyre()/setSpareTyre() from Car
 - A new type inherits the public interface from its super types transitively. We'll discuss this later!
 - The inheriting type <u>can access the public interface of its super type</u> but <u>not its private members</u>.
 - The new type can add more members to the inherited ones, so the keyword "extends" was a wise choice!
- In Java, a new type can only inherit directly from one type! -> This is called single inheritance.
 - Java does, however, allow a type to implement multiple interfaces. This concept enables features similar to multiple inheritance.
- Generalization-specialization also defines <u>yet another way of coupling</u>, different from whole-part.

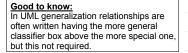
UML Notation of the Car-Bus Generalization Relationship

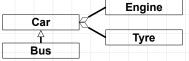
• The UML does also allow designing generalized types in class diagrams using generalization-relationships:



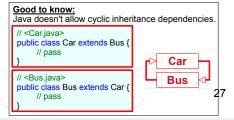
- UML calls this a generalization, but when we read the relationship upside down, we can also tell, that Bus specializes Car.
- In oo/Java programming terms we interpret from this diagram, that Bus inherits/extends Car.
- In oo, aggregation- and generalization-relationships create a type hierarchy and amplify coupling!







- We're going to extend this hierarchy during the following discussion.



Inheritance defines Substitutability – Part 1

- We discussed that, e.g., a *Bus* is a *Car* and we programmed this association with Java's <u>inheritance</u>.
- Another way to understand this association: inheritance defines substitutability!
 - Wherever the UDT Car is awaited, any UDT inherited from Car can be used, e.g. Bus. Because a Bus is a Car!
 - Substitutability makes sense: as Buses just extend Cars, all features (esp. methods) a Car has must be contained in a Bus!
- Let's understand this better with a programmatic example of substitution.

Bus bus = new Bus();

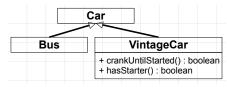
- Wherever a Car is awaited an object of type Bus can be used! A Bus is a Car.

Car anotherCar1 = bus; // Aha! We can assign a Bus to a Car object. A Bus is a substitute for a Car.

- anotherCar1 accepts objects of type Car to be assigned and <u>Bus-objects are acceptable as well</u>, because Buses are Cars!
- A Bus is Car, but "can do more".

Inheritance defines Substitutability - Part 2

• To further improve our understanding of substitutability we'll introduce another subtype of Car. VintageCar!



• A VintageCar object can also be assigned to a Car, because a VintageCar is a Car!

```
VintageCar vintageCar = new VintageCar();
Car anotherCar2 = vintageCar; // We can assign a VintageCar to a Car object. A VintageCar is a // substitute for a Car.
```

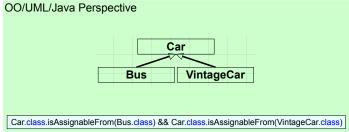
But a VintageCar is no Bus! So this assignment will not work:

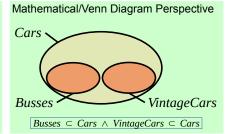
```
Bus anotherBus = vintageCar; // Invalid at compile time! A VintageCar is no Bus. VintageCar and Bus are // siblings in the inheritance hierarchy, they don't "know" each other!
```

- The UML diagram clearly shows, that VintageCar and Bus are siblings and they have no association (line) with each other.
- Siblings in type hierarchies have common ancestors, but do not know each other!
- Because generation-specialization also adds <u>substitutability as a type-feature</u>, it is a <u>firmer coupling than whole-pag</u>.
 - It can be very difficult to change Bus' super class from Car to Van, if other code relies on the fact that Buses can substitute Cars.

A mathematical View: Inheritance also defines Subsets

• From a <u>mathematical perspective</u>, <u>inheritance just defines subsets</u>: all *Bus*ses are *Car*s, thus *Bus*ses is a subset of *Cars*.





• The mathematical subset-relation between Car and Bus also underscores the substitutability between Car and Bus:

Car aCar = new Bus(); // We can assign a Bus to a Car object. A Bus is a substitute for a Car.
Car anotherCar = new VintageCar(); // We can assign a VintageCar to a Car object. A VintageCar is a substitute for a Car.

Static and dynamic Type of an Object – A Tale of multiple Types – Part 1

- The concept of objects is getting a bit <u>more complex</u> after the introduction of inheritance.
- The complexity arises, because an object can now have multiple types! Let's understand why this is the case.
 - Let's start with a reference of type Car, which does not refer to any object.
 Instead it is a null reference:

Car car = null;

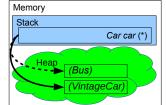
 As we just learned, we can assign a Bus object to car. Because a Bus is a Car, substitutability is given there:

car = new Bus();

- => car does indeed have two types now! (1) Car is the type of the reference and (2) the referenced type is Bus.
- Now we assign a VintageCar object to car. Sure, this works, because a VintageCar is a Car, and substitutability is given as well:

car = new VintageCar();

- Car is still the reference type, but now VintageCar is the referenced type.

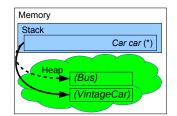


Static and dynamic Type of an Object – A Tale of multiple Types – Part 2

// Static type of car: Car; null reference
Car car = null;

// Static type of car: still Car; dynamic type of car: Bus
car = new Bus();

// Static type of car: still Car; dynamic type of car: VintageCar
car = new VintageCar();



- Compile time: the reference type of car is always the same, i.e. Car. Therefor we call it the static type of car.
 - Notice, that <u>during compile time</u> the static type of an object is relevant.

Good to know:
The term "static type", is a general oo term and has nothing to do with Java's static keyword!

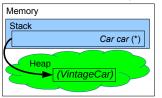
- Run time: the referenced type of car was Bus and then VintageCar. Because it varies, we call those dynamic types of car.
 - Notice, that <u>during run time</u>, <u>objects on the heap are relevant</u>, thus <u>the dynamic type of an object is relevant</u>.
- => The key point: a reference of static type can refer to objects of different dynamic type at run time.
 - Objects of varying dynamic type can be referenced by an object of a static type.

- Dynamic types are sometimes called <u>"run time</u> types".
- There are languages in which variables have no static type at all, e.g. Smalltalk and JavaScript.

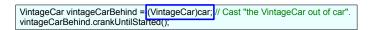
Static and dynamic Type of an Object - Downcasting - Part 1

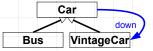
- So, an object can have a static and a dynamic type.
 - The type of the reference variable of the object is the static type of the object. -> The static type is the type of the variable.
 - The type of the referenced object, i.e. the "data behind the variable in the heap" is the dynamic type of the object.

Car car = new VintageCar(); car.setEngine(new Engine());



- Java allows to "squeeze" the object of dynamic type out of the statically typed reference variable.
 - This "squeeze" operation is the simple <u>cast-operation</u> we already know!

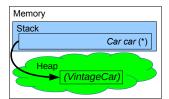




- This kind of casting is called "downcasting", because we cast down the type hierarchy (see the UML diagram).
 - We cast the VintageCar out of car and initialize vintageCarBehind of static type VintageCar with the result.
 - Metaphor: we put contact lenses on car to have a look at the dynamic type.
 - <u>Via the reference vintageCarBehind</u> can <u>access the methods of the dynamic type "behind" car.</u>

Static and dynamic Type of an Object – Downcasting – Part 2

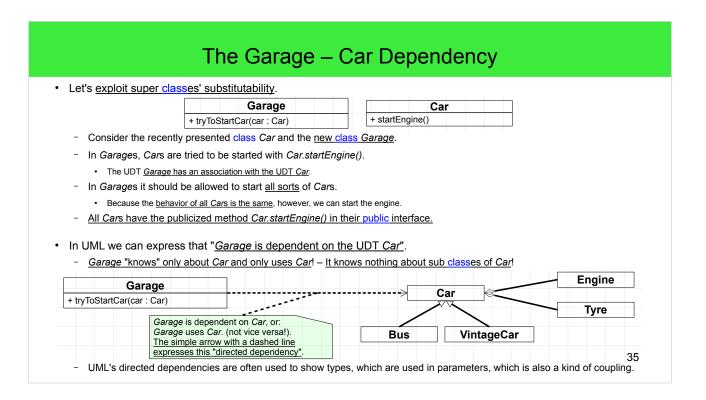
Car car = new VintageCar();



• However, if we try to cast the wrong dynamic type out of the reference, it will result in an error!

Bus busBehind = (Bus)car; // Invalid at run time! Cast "a Bus out of car".

- <u>This code will compile</u>, because *car* (static type *Car*) <u>could potentially refer to an object of type *Bus* (dynamic type).</u>
- <u>Downcasts are type checked at run time.</u> Sometimes they are called <u>"dynamic casts"</u>.
- But this code will fail at run time with a <u>ClassCastException</u>. We try to cast down to <u>Bus</u>, but <u>car</u> still refers to a <u>VintageCar!</u>
- Metaphor: we put on the wrong contact lenses on and hit the wall.



- The term "association": Aggregation and inheritance are nothing but special (and also tighter) associations.
- OO seems to be perfectly good to build GUIs, because the visual representation of GUIs can be directly simulated with oo UDTs and oo paradigms (e.g. "has"- and "is a"-associations of forms and controls). – In opposite to procedural or functional programming.

Using Cars in Garages



- Now let's inspect the class Garage and its method Garage.tryToStartCar():
 - We've just learned that the more special UDT Bus can substitute Car, so this is allowed:

```
Garage joesStation = new Garage();
Car seatLeon = new Car();
Bus mercedesIntegro = new Bus();
joesStation.tryToStartCar(seatLeon); // Calls Car.startEngine().
// >start Car
joesStation.tryToStartCar(mercedesIntegro); // Also calls Car.startEngine(): it was inherited from Car.
// >start Car
```

- We'll use the Garage instance joesStation in upcoming examples.
- The <u>substitutability allows us to pass more special types to Garage.tryToStartCar()</u>.
 - <u>Calling startEngine()</u> on the passed car always calls <u>Car.startEngine()</u>, because <u>Car.startEngine()</u> is inherited by all subtypes!
 - Well, this "rigidness" can be a problem! Let's continue our analysis!

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 This example shows how the "substitution principle" allows that the types of a method's arguments (dynamic types) need not to be exactly the types of the declared parameters (static types).

The Problem of special Behavior

• To make the mentioned rigidness-problem visible we'll look at the implementation of the UDT VintageCar once again:

- Some VintageCars can only be started with a crank, because they have no starter!
- Ok, then we're going to give our fordTinLizzie to joesStation:

```
VintageCar fordTinLizzie = new VintageCar(); fordTinLizzie.setEngine(new Engine()); joesStation.tryToStartCar(fordTinLizzie); // Oops! Calls the inherited Car.startEngine() and this is not enough! // This is no compiler error, but a logical error: the fordTinLizzie can't be started in tryToStartCar()!
```

- Nobody in joesStation knows how to start our fordTinLizzie!
- They can't just turn the key or hold a button and keep going!
- The passed <u>Car is a VintageCar (dynamic type)</u> this is not respected in <u>Garage.tryToStartCar()</u>.
- Maybe VintageCar is so special that we have to enhance Garage.tryToStartCar()?

Type Flags to the Rescue

• We can solve the problem by the introduction of a <u>CarType flag field</u>:

```
// Add a CarType field to the UDT Car:
public class Car { // (members hidden)
private CarType carType; // The type flag.

public carType getCarType() {
    CAR_TYPE,
    BUS_TYPE,
    VINTAGE_CAR_TYPE
}

public carType getCarType() {
    return carType;
}

public void setCarType (CarType carType) {
    this.carType = carType;
}
```

• Let's apply the CarType flag on our Car types:

```
// Create a Car object and flag it as being of "CarType.CAR_TYPE".

Car seatLeon = new Car();
seatLeon.setCarType(CarType.CAR_TYPE);

// Create a VintageCar object and flag it as being of "CarType.VINTAGE_CAR_TYPE".

Car fordTinLizzie = new VintageCar();
fordTinLizzie.setCarType(CarType.VINTAGE_CAR_TYPE);
```

- How will this help us? => With this flag we can identify the dynamic type of a Car!

Type Flags in Action

• Now we have to modify Garage.tryToStartCar() to interpret the CarType flag:

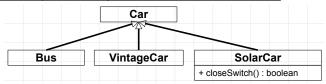
```
if (!vintageCar.hasStarter()) {
    vintageCar.crankUntilStarted();
            } else {
    vintageCar.startEngine();
        }
// Else use the default start procedure for other cars:
        } else {
            car.startEngine(); // Start other cars just by calling Car.startEngine().
    }
```

• Yes, with this implementation of Garage.tryToStartCar() we can start fordTinLizzie:

```
vintageCar fordTinLizzie = new VintageCar();
fordTinLizzie.setCarType(CarType.VINTAGE_CAR_TYPE);
joesStation.tryToStartCar(fordTinLizzie);
// Ok! Garage.tryToStartCar() will pick the correct start-algorithm
// depending on the CarType flag!
```

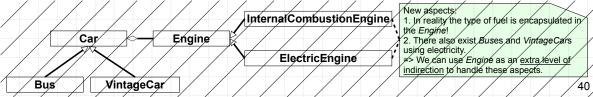
Other special Types need Handling

- After a while the clientele at joesStation grows:
 - More and more clients bring their solar cars to joesStation.
 - But solar cars can't be directly started in Garages! We assume that a special battery switch needs to be closed before starting!
 - As an oo programmer we start by encapsulating the solar car concept into a new UDT:



• Btw.: Virtually our type hierarchy is becoming dubious!

- In fact we needed to redesign the hierarchy, but we're not going to do this in this here!



Type Flags are becoming nasty...

• After modifying the enum CarType we can modify Garage.tryToStartCar() accordingly:

```
// The CarType flag SOLAR_CAR_TYPE
// needs to be added:
public enum CarType {
    CAR_TYPE,
    BUS_TYPE,
    VINTAGE_CAR_TYPE
}

SOLAR_CAR_TYPE

SOLAR_CAR_TYPE);
joesStation.tryToStartCar(solarCar); // Okl Garage.tryToStartCar() will pick the correct start-algorithm!
```

- It works, but should we really add more CarType flags for upcoming Car types?
 - Let's <u>remember</u> that we also have to <u>add more else ifs for upcoming Car types in Garage.tryToStartCar()!</u>
 - It's uncomfortable and unprofessional doing the same all over and knowing about that!
 - This approach is also very <u>error prone!</u>

• Hm... what is the basic problem we've encountered just now?

A "Pasta-object-oriented" Hierarchy

• We changed the dependency "Garage - Car" in a negative way!



- Garage needs to know each subtype of Car now and in future!
 - · We can see this, because Garage.tryToStartCar() inspects the dynamic type of the Car parameter.
 - We needed to interpret flags, because we had to deal with different interfaces of the dynamic type. (Then we also have a dependency to the enum!)
 - · We have to make down casts to the dynamic type respectively!
 - The bad consequence: Garage.tryToStartCar() must be modified when new Car types emerge!
- We can also spot a "bad smell" in the class diagram!
 - There are dependencies to the super type Car and to all of its (currently known) subtypes.
- It lead us to spaghetti programming the oo-way! How can we improve that?

- Often too many arrows pointing from a single type to multiple other types is a <u>bad smell</u>. – It can be nicely spotted in a UML class diagram.
- What we've just seen on using enum type flags is called the "typedef-enum-antipattern".

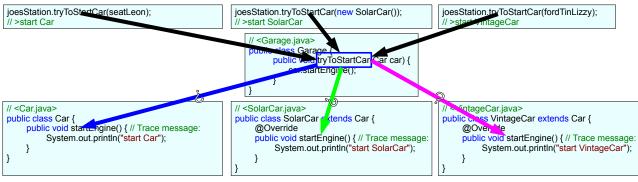
Overriding Methods - Our first Try - Part 1

- The idea is to not let Garage.tryToStartCar() select the start algorithm!
 - Instead, the start algorithm should be put into each individual Car-type!
 - To make this work, we @Override the method Car.startEngine() for each individual Car-type to provide a special implementation:

- Now we have overridden Car.startEngine() with VintageCar.startEngine() and with SolarCar.startEngine().
 - Notice, that the statement startEngine() is commented in each override, because it is not yet quite correct!

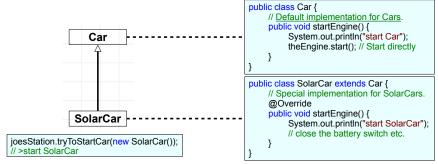
Overriding Methods – Our first Try – Part 2

- The new implementation of Garage.tryToStartCar() does now just call startEngine() on the passed Car.
 - The knowledge about and the responsibility for the start algorithm is fully delegated to the dynamic type "behind" the param car.
 - We have no type-check or downcasting in Garage.tryToStartCar()!
 - Garage only knows the type Car, the dynamic type of car is unknown to Garage!
 - Nevertheless, in Garage.tryToStartCar() the startEngine()-method of the dynamic type is called.
- The ability to call a method of a dynamic type through a reference of static type is called (<u>object-oriented</u>) polymorphism.



Hands on Overriding Methods

- · Let's concentrate on the UDT SolarCar.
 - SolarCar can override Car's (Car is SolarCar's super type) method startEngine().
 - In Java, all non-static methods of the super type(s) are overridable by default.

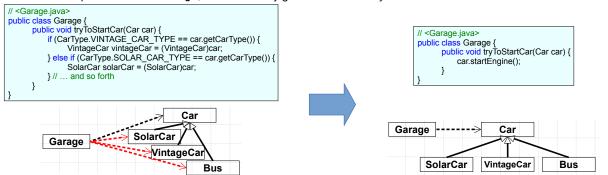


- If a deriving class overrides a method, it can optionally declare this override with the @Override annotation.
 - The idea is, that readers can clearly tell overriding methods from derived-class-specific (e.g. SolarCar-specific) methods.
 - If @Override is specified on a method, which doesn't exist in a super type, a compile time error will be issued.
 - @Override can be left away, without producing compile time errors or warnings!

- The names of parameters in overridden methods do not matter! They need not to match, but often it's good to leave them equal.
- Java 14 introduced the annotation @Serial, which works similar to @Override. It can optionally be specified at a set of 2 specific fields (e.g. serialVersionID) and 5 specific methods dealing with serialization to let the compiler check their correctness.

Where is Polymorphism happening? - Part 1

· With this implementation of Garage, we have a very good class hierarchy:

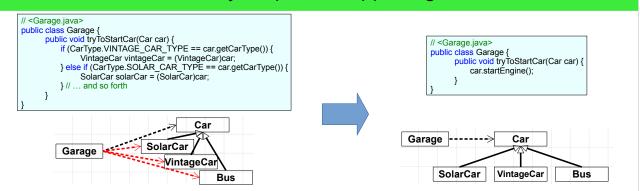


- · Before: Garage needed to know Car and all subtypes of Car, which should be started in Garage.tryToStartCar().
 - When a new Car-subtype MagicCar should be "startable" in Garage.tryToStartCar():
 - Garage.tryToStartCar() must be changed considering all details how to start a MagicCar object and a new dependency must be added.
- After: Garage only has a dependency to Car and no dependencies to any (future) subtype of Car!
 - When a new Car-subtype MagicCar should be "startable" in Garage.tryToStartCar():
 - MagicCar needs to @Override Car.startEngine() in an appropriate way. Details how to start a MagicCar go into MagicCar.startEngine()'s overfide.
 - · Garage needs not be changed!

• Polymorphism:

- In future, the implementation of the method startEngine() of any other sub type of Car could be called!
- When a method is called, the dynamic type of the object determines, which implementation of that method is really called.

Where is Polymorphism happening? - Part 2

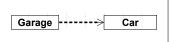


- Before: we hurt the Open Closed Principle (OCP)!
 - This principle tells us, that types should be closed for modification but open for extension.
 - We'd to modify CarType (add constants) and Garage (add branches for new CarType constants and add new Car sub classes).
- After: we just introduce new sub classes of Car and @Override Car.startEngine(). Garage just calls Car.startEngine().
 - Garage is now closed for modification: it doesn't need to be changed, when new Car sub classed are added. CarType is gone!
 - Car is now open for extension: new Car sub classes just @Override Car.startEngine() their specific way.
 - The vector of (model/design) change is now in new Car sub classes, supporting the OCP!

Polymorphism - Handle Types and Body Types

• In our example Car is a handle type for Garage.

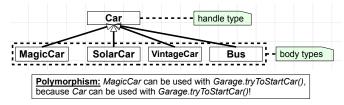
```
// <Garage.java>
public class Garage {
    public void tryToStartCar(Car car) {
        car.startEngine();
    }
}
```



With Car we have an oo-design, that has a clear location, in which it can be extended in future: by adding new Car sub classes. We already used the phrase "vector of change": our vector of change in this design is when new Car types must be handled.

- Garage, only knows Car and only has Car in its interface and only calls Car's methods.
- In terms of polymorphism we call the type Car a handle type for Garage.
- The types of the objects being passed to <code>Garage.tryToStartCar()</code> are <code>behind</code> the handle type.





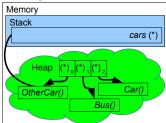
- Subtypes of the handle type Car, are unknown to Garage. The connection between Garage and Car-subtypes is only the handle type Car.
- In terms of polymorphism we call subtypes of Car body types.
- The handle type Car works like an isolator between Garage and special body types.

Polymorphism and Arrays - Part 1

• When we define an array of super class objects, the elements can be of any derived class:



Car[] cars = {new OtherCar(), new Bus(), new Car()}; driveAway(cars);



- The array-element type is the static type Car, but the types of the referenced objects can be different dynamic types (in the same array).
- This means, we can use the elements of cars polymorphically and call methods of the static type Car on each element:

· However, if we want to access the Bus element in cars, in order to call a special Bus methods we have to do a down cast:

Bus bus = [Bus) cars[1]:]// This only works for the 2nd element of cars, because it refers to a Bus: boolean canlEnter = bus.newPassengerCanEnter();

Polymorphism and Arrays – Part 2

Car[] cars = {new OtherCar(), new Bus(), new Car() }; Bus bus = (Bus) cars[1]; boolean canIEnter = bus.newPassengerCanEnter(); // This works, because cars[1] really refers to a Bus.

- The down cast "pulls" the Bus object out of the statically types Car[] cars.
 - If you will, we are applying cast contact lenses on cars[1] to get the Bus object behind this element.
- All right, but when we apply a wrong contact lens, i.e. try to cast down to the wrong type, we're in trouble:

```
Bus bus = (Bus) cars[0]; // java.lang.ClassCastException: OtherCar cannot be cast to Bus boolean canlEnter = bus.newPassengerCanEnter();
```

The example above is a typical problem of type safety. In Java we can make a type check before trying to down cast:

```
boolean canlEnterAnyCar = passengerCanEnterAnyCar(cars);
                                 return false;
```

- Here we see a "reasonable" application of instanceof, which checks the run time type of a reference.
- We just front load a check using instanceof, before we make the down cast.

Polymorphism and Arrays - Part 3

```
public boolean passengerCanEnterAnyCar(Car[] cars) {
    for (Car car : cars) {
        if (car instanceof Bus) { // This method is dependent of the special Car Bus, and not only on the super type Car!
        Bus bus = (Bus) car;
        if (bus.newPassengerCanEnter()) {
            return true;
        }
    }
    return false;
}
```

- It should be said, that down casting as well as instanceof type checking should be avoided.
 - It requires code to know/assume the dynamic type of objects, which leads to oo-spaghetti effects, i.e. too many dependencies.
 - Here, passengerCanEnterAnyCar() must know Bus and not only Car. The down cast "pulls" the Bus object out of the statically types Car[] cars.
 - Down cast and instanceof both do an (expensive) type check! But the down cast may fail with an Exception!
 - This means, that applying instanceof and then applying the cast performs the same type check even twice!
- If down casts are "not good", how should such algorithms be implemented?
 - The answer is: "exploit polymorphism". We can program the algorithms with minimal or no type checks, which also means minimal dependencies to other types, esp. those, which change often (e.g. having to deal with new Car types).
 - This can be done by creating another layer of indirection to make the algorithm more flexible, but more robust against chapges.
 This way to program is more complex and needs some experience, it involves so called <u>oo design patterns</u>.

Polymorphism and Arrays – Array-Covariance and its Problems

• Let's inspect another method, which accepts an array of Cars and sets the element on index index to a new Car instance:

```
public static void addCar(int index, Car[] cars) { // Yes, this methods looks harmless!
     cars[index] = new Car();
}
```

- A special feature of Java is, that we can set/pass an array of sub class elements where an array of super class elements is declared.
- Using special terms, we state, that Java's arrays are covariant, e.g. we could pass a Buss to <a href="mailto:addCar(): addCar(): addCar(

```
Bus[] busses = { new Bus(), new Bus(), new Bus() };
addCar(0, busses); // java.lang.ArrayStoreException: Car
```

- But ... the call fails at run time! Why?
- The idea of covariant arrays is nice but not type safe!
 - addCar() awaits cars to refer to Cars or objects of more derived class.
 - Covariance allows to pass an array with more special element types to addCar(), e.g. Bus[].
 - But in a Bus[] we cannot store a Car object, because a Car is more general than Bus, it throws an ArrayStoreException!
- Virtually, covariance of arrays is dubious, because Car and Bus are related, but Car[] and Bus[] are not related!

Polymorphism – chooses Implementation during Run Time

- The inherited implementation of a method could be inadequate!
 - Car.startEngine() inherited by SolarCar must close the battery switch, before starting the Engine!
- Inheriting UDTs can @Override inherited implementations of methods.
 - In Java, all non-static methods are overridable by default.
- Overriding (late binding) is not overloading (early binding)!
 - Overriding takes effect during <u>run time</u>, overloading during <u>compile time!</u>
 - Overrides in a subtype <u>mustn't change the signature of the overridden method</u>.
- Calling implementations of dynamic types on objects is called oo polymorphism.
- On a first sight, polymorphism looks strange, but some say, it is **the** core principle of oo.
 - It is the basis for so called oo design patterns.

Polymorphism – some Definitions

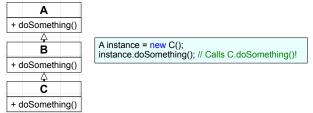
- In Java and most other oo languages only oo polymorphism is officially called "polymorphism":
 - Oo polymorphism: Objects of varying dynamic body type can be referenced by an object of a static handle type.
 - Oo polymorphism happens at <u>run time</u>, therefore it is sometimes called <u>dynamic binding</u> or <u>late binding</u>.
- No oo polymorphism, but "ad-hoc polymorphism":
 - Method overloading and operator overloading.
 - Java does not support operator overloading.
 - This happens at <u>compile time</u> in Java, therefore it is sometimes called <u>static binding</u> or <u>early binding</u>.
- No oo polymorphism, but parametric polymorphism:
 - Generic types and methods.
 - Java supports bounded parametric polymorphism.
 - Java resolves those at compile time.
 - We'll discuss those in a future lecture.

```
// two overloads of sum():
static String sum(String lhs, int rhs) {
    return lhs + rhs;
}
static int sum(int lhs, int rhs) {
    return lhs + rhs;
}
String text = sum("of age: ", 23);  // calls sum(String, int)
int result = sum(34, 67)  // calls sum(int, int)
```

```
// the generic method single():
static <T> List<T> single(T one) {
    return List.of(one);
}
List<Car> singleCarList = single(new Car());
```

Polymorphism – Theory

- OO Polymorphism is a type of polymorphism related to generalization-specialization (via inheritance or Java interfaces).
- · Compile time: A method is polymorphic, if it has different implementations with the same signature in a type hierarchy.
- Run time: having polymorphic methods in the type hierarchy, the actually called method is determined at run time.
 - This is called dynamic binding or late binding.
- In a multi-level polymorphic hierarchy, the most special method-override of the object's dynamic type will be called.
 - I.e. the @Override, that is in the type "closest" to the dynamic type from the hierarchy above. Consider:



- => Sub class before super class:
 - If C derives B and B derives A and C and B both override doSomething().
 - If an object is of dynamic type C and referenced by reference variable of static type A, C's override would be called.

