

## Halting Problem is undecidable

$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing machine and } M \text{ accepts } w \}$

One attempt:

$U = \text{On input } \langle M, w \rangle, M \text{ is a TM and } w \text{ is a string.}$

1. Simulate  $M$  on  $w$ .
2. If  $M$  accepts, accept.  
If  $M$  rejects, reject.

Not happening

This is only a recognizer as it will loop, when  $M$  loops on  $w$ . A decider must reject  $\langle M, w \rangle$  when  $M$  loops on  $w$  as well.

$A_{TM}$  is Turing recognizable. However, if the TM  $U$  knows that  $M$  is going to get stuck in a loop with  $w$ ,  $U$  can reject  $w$ . This is the hardest part.

## Real Halting Problem

$\underline{\text{HALT}_{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on } w \}$

$\text{HALT}_{\text{TM}}$  is also undecidable. We will also refer to  $\text{A}_{\text{TM}}$  as the "halting problem".

Suppose ATM is decidable. Then there is a TM H that takes  $\langle M, w \rangle$  as input and accepts if M accepts w and rejects if M does not accept w. H must always halt.

- (1)  $M$  rejects  $w$
- (2)  $M$  loops on  $w$

Theorem 4.11:  $\text{ATM}$  is undecidable.

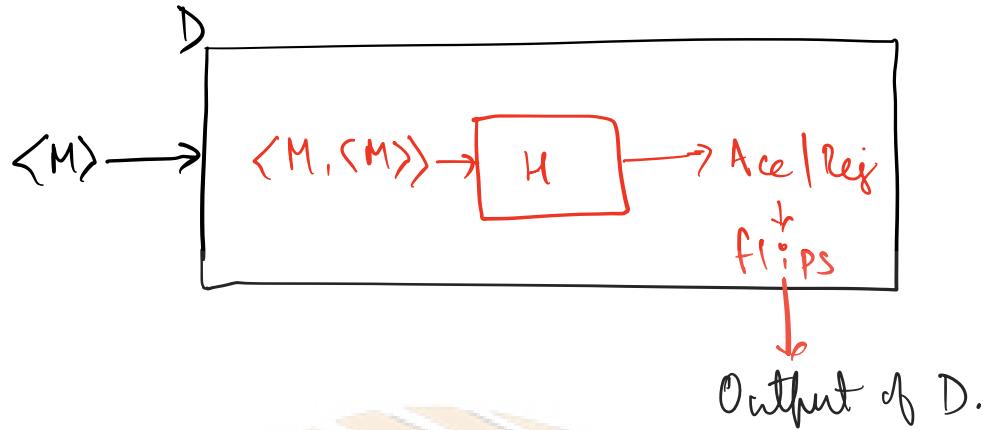
Prob: Consider the TM D: On input  $\langle M \rangle$  where  $\langle M \rangle$  is the description of a TM.

D does this : 1. Run H on  $\langle M, \langle M \rangle \rangle$ .  
 takes  $\langle M \rangle$   
 as input

**N P T E**

View  $\langle M \rangle$  as an input string.

2. If H accepts, reject } Flips the o/p.  
 If H rejects, accept.



let  $D(\langle M \rangle)$  denote what  $D$  does on input  $\langle M \rangle$ .

$$D(\langle M \rangle) = \begin{cases} \text{Accept if } M \text{ does not accept } \langle M \rangle & \xrightarrow{\text{reject}} \\ \text{Reject if } M \text{ accepts } \langle M \rangle. & \xrightarrow{\text{loop.}} \end{cases}$$

What does  $D$  do when given  $\langle D \rangle$  as input?

$$D(\langle D \rangle) = \begin{cases} \text{Accept if } D \text{ does not accept } \langle D \rangle \\ \text{Reject if } D \text{ accepts } \langle D \rangle. \end{cases}$$

So  $D$  does on  $\langle D \rangle$ , the opposite of what  $D$  is supposed to do on  $\langle D \rangle$ . This is a contradiction.

Why is this diagonalization?

Let  $A_{TM}$  be decidable. Let us build the following

table. As noted before, we can enumerate all the Turing machines  $M_1, M_2, M_3 \dots$

Machine \ Input	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle \dots$
$M_1$	Accept	Reject	Accept	Loop
$M_2$	Accept	Accept	Reject	Loop
$M_3$	Reject	Accept	Accept	Accept
$M_4$	Loop	Accept	Loop	Reject
:				

What does  $H$  do  $\langle M_i, \langle M_j \rangle \rangle$  is given as input?

Same table as above, but all the "Loop" will be replaced by "Reject". When  $M_i$  does not accept  $\langle M_j \rangle$ , then  $H$  will reject  $\langle M_i, \langle M_j \rangle \rangle$ .

$A_{TM}$  is decidable  $\Rightarrow H$  exists

let us draw the table for  $H$  on  $\langle M_i, \langle M_j \rangle \rangle$ .

3. 0 1 2  
2. 3 0 2  
5. 2 4 3 ...  
0 1 7 4 ...

$\{M_i, \langle M_j \rangle\} \xrightarrow{\quad} \langle M_1 \rangle \langle M_2 \rangle \langle M_3 \rangle \langle M_4 \rangle \dots \langle D \rangle$

$M_1$	<u>Ace</u>	Rej	Ace	Rej	...
$M_2$	Ace	<u>Ace</u>	Rej	Rej	...
$M_3$	Rej	Ace	<u>Ace</u>	Ace	...
$M_4$	Rej	Ace	Rej	<u>Rej</u>	...
:	:	:	:	:	...
$D$	Rej	Rej	Rej	Ace	?

What's this entry?

$\text{ATM}$  is decidable  $\Rightarrow H$  is a TM  
 $\Rightarrow D$  is a TM

Since TM's can be enumerated,  $D$  is in the list as some  $M_i$ . But  $D$  in  $\langle M \rangle$ , flips the action of  $H$  in  $\langle M \rangle$ .

What does  $D$  do in  $\langle D \rangle$ ? Contradiction!

Def:  $A$  is co-Turing recognizable if  $\bar{A}$  is Turing recognizable.

That is,  $\exists$  a TM  $M$  such that  $L(M) = \bar{A}$ . We can flip the output of  $M$  to get a TM  $M'$ , such that  $M'$  always halts and rejects when  $w \notin A$ . (But  $L(M')$  need not be  $A$ )

	$\bar{A}$	Accepts	Reject	When $x \in \bar{A} \Leftrightarrow x \notin A$ , $M$ accepts $x$
$A$		M rejects	Accepts	$x \notin \bar{A} \Leftrightarrow x \in A$ , $M$ can reject $x$
		M loops	loops	OR $M$ can loop on $x$ .

Theorem 4.22: A language is decidable  $\Leftrightarrow$  it is Turing recognizable and co-Turing recognizable.

Proof:  $A$  is decidable  $\Rightarrow \exists$  a TM  $M$ , decider with  $L(M) = A$   
 $\Rightarrow \bar{A}$  is Turing recognizable.

$M$  always halts. So flip the output of  $M$  to get  $M'$ , a decider for  $\bar{A}$ .

$\Rightarrow \bar{A}$  is Turing recognizable.

$\Rightarrow A$  is co-Turing recognizable.

Suppose  $A$  is both Turing recognizable and co-Turing recognizable.

$\exists \text{TM } M \text{ such that } L(M) = A$

$\exists \text{TM } M' \text{ such that } L(M') = \bar{A}$

Now we will see how to build a decider for  $A$ .

Given input  $w$ , run both  $M$  and  $M'$  in parallel (one step each). Given any  $w$ , it is either in  $A$  or  $\bar{A}$ . If it is in  $A$ ,  $M$  accepts  $w$ . If it is in  $\bar{A}$ ,  $M'$  accepts  $w$ . So for any  $w$ , either  $M$  or  $M'$  must halt.

If  $M$  accepts, accept.

If  $M'$  accepts, reject.

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Cor 4.23:  $\overline{A_{\text{TM}}}$  is not Turing recognizable.

Proof:  $A_{\text{TM}}$  is undecidable.

$A_{\text{TM}}$  is Turing recognizable.

If  $\overline{A_{\text{TM}}}$  was Turing recognizable, then by Theorem 4.22 above,  $A_{\text{TM}}$  will be decidable. Hence  $\overline{A_{\text{TM}}}$

is not Turing recognizable. ( $\text{ATM}$  is not  $\text{\omega-Turing}$  recognizable)

$\rightarrow A, B, \text{ is } L(A) = L(B) ?$

$\text{EQ}_{\text{CFG}}$  is  $\text{\omega-Turing}$  recognizable.

Given CFG's  $A$  and  $B$ , we can run  $\text{ACFG}$  for each  $w \in \Sigma^*$  till you find a  $w$  that is generated by one and not the other.

Fact (without proof):  $\text{EQ}_{\text{CFG}}$  is undecidable.

Assuming this, it follows that  $\text{EQ}_{\text{CFG}}$  is not Turing recognizable as well.

If  $\text{EQ}_{\text{CFG}}$  was Turing recognizable, then Theorem 4.22 implies that  $\text{EQ}_{\text{CFG}}$  is decidable, which we know is not the case.

Hence  $\text{EQ}_{\text{CFG}}$  is not Turing recognizable.