

15-453 Final Report

Neural Turing Machine

Eddy Yeo	Yue Niu
eddy.yeo@gmail.com	TODO

May 5, 2016

Abstract

We implement the Neural Turing Machine [2], which is a neural network attached to an explicit memory store. Given input and output sequences, it is able to learn small programs that are remarkably similar to those that a human programmer would write for a regular Turing Machine. Our implementation uses TensorFlow, a software library for building neural networks recently open sourced by Google.

1 Introduction

The Turing Machine is arguably the most well-known model of computation. It is both sound and complete in an intuitive sense, meaning that it is not able to do “impossible things”, and is able to do anything that a reasonable model of computation could be expected to do. It is known that there are other models of computation with equivalent power – Church’s λ -calculus, μ -recursive functions and Herbrand-Gödel computability being the few we learned in class. What is perhaps the brilliance in the idea is the analogy to human computers. A Turing Machine is a human with paper and a pen, where the finite state control is the mind of the human, the tape is the paper, and the tape head is the pen. It will become clear in the subsequent sections why we mention the tape head. This beautiful analogy has arisen once again, but this time in the field now known as Deep Learning.

The recent increase in the availability of massive datasets and compute power through the rise of large internet companies and Moore’s Law have

revived a decades-old sub-field of computer science that has gone through multiple rebrandings, the latest one being Deep Learning. These two factors combined with various high profile performances of neural nets (AlphaGo comes to mind) have resulted in much renewed interest. The Neural Turing Machine is a neural network that features a relatively recent architectural design, making use of both explicit memory and attentional processes.

Outline The purpose of this paper is to set the context for our implementation. Note that we assume some background knowledge of what a basic feedforward neural network is, just to avoid having to describe everything from scratch. We also go over the details of the Neural Turing Machine at a high level to avoid repeating the content from the original paper. The remainder of the paper is thus organized as follows. Section 2 gives a brief overview of recurrent neural networks and sequence learning. In section 3 we explain the architecture of the Neural Turing Machine. Section 4 talks a bit about the library support that we have. In section 5 we go into the technical details specific to our implementation. Section 6 showcases the capabilities of our implementation. We end off with our conclusion in section 7.

2 Recurrent Neural Networks and Sequence Learning

Recurrent neural networks are neural networks that maintain state. They do this by feeding one of their outputs back in as an input in the next time step. They are known to be Turing-complete [4] if the weights are rational, and are even capable of hypercomputation if the weights are over the reals [5].

They are very good at learning sequences especially if the lengths of the sequences are not fixed. In a standard feedforward network, the sequences would have to be encoded as a fixed-sized input, which is rather limiting. However, if we model sequences as a series of inputs over time, we can surpass this limitation. This is where recurrent neural networks come in.

It turns out that learning sequences is hard when there are long-term dependencies in the sequence. The basic construction of a recurrent neural net, where the outputs of a unit are fed back into itself at the next time step, does not work well because we have to encode this dependency (which might skip

over many time-steps) into a recurrent weight that will be applied at every time-step. A successful solution to this problem are Long Short Term Memory networks (LSTMs), that work by implementing gates for the recurrent links in the network, allowing the network to learn when to let information pass through those parts. The Neural Turing Machine is a relatively new but competitive design, especially for various kinds of tasks, as we shall see.

3 Architecture

The Neural Turing Machine is a neural network that is connected to a memory store where it can store and read information from. It is equipped with an addressing mechanism that allows it to focus its attention on a particular spot in memory. This addressing mechanism is analogous to the head of a turing machine. Its design is perhaps the chief contribution of this paper. In a way, we might say that the Neural Turing Machine is more about equipping a neural net with a pen, rather than a writing surface, which is more trivial in comparison.

It is composed of three main components: the controller, the memory bank and the heads. The controller is just a regular neural network that will both learn how to use the memory infrastructure via the heads that it is provided with, and also predict the outputs from the inputs and previous state. It can be a feedforward network or even an LSTM network. A feedforward network allows us to interpret what the neural network is doing more easily, as any state it stores has to be via one of the heads, while an LSTM network has its own memory, which Graves likens to having registers in a computer to augment its use of RAM.

The memory bank is just a two dimensional matrix that keeps state from any output to it from one time step to the next, where it is used as input (via a read head). Each row is a cell where a vector can be stored, and the number of rows is the number of cells.

The head is a more complicated addressing mechanism that chooses where in the memory matrix to direct its output (i.e. store state) or read its input. A key feature is that writes and reads are what Graves calls “blurry”, meaning that the head interacts with the entire memory matrix at once, but is able to control the degree of “focus” through a weighted vector. This ensures that it remains differentiable, allowing it to be trained with the standard gradient descent algorithms. A read at time step t , which is used as one of the inputs

along with the actual t^{th} input, is just a weighted sum of the memory cells over all the rows:

$$\mathbf{r}_t \leftarrow \sum_i w_t(i) \mathbf{M}_t(i)$$

where $\sum_i w_t(i) = 1$ and $0 \leq w_t(i) \leq 1, \forall i$. The writing mechanism follows a similar procedure, but with additional details because it is allowed an additional erase step. The most critical part of this whole architecture is for the network to be able to decide on the weight vectors \mathbf{w}_t intelligently. This brings us to the addressing mechanism.

3.1 Addressing Mechanisms

The addressing mechanism can be broadly divided into three main phases. The first one is content-based focusing, the second is interpolating across time steps, and the third is rotation. Each phase generates a weight vector, with the latter two modifying the previous weight vectors generated by the previous phase. The full details can be found in Grave’s paper, but we will go through them at a high level here.

The content-based mechanism allows the neural network to search for specific content over the different cells. It does this by generating a key vector \mathbf{k}_t , and then computing similarity scores over all the cells. It then applies the softmax function to get the content weight vector. The softmax function is also known as the normalized exponential, and is a good function to generate a probability distribution over different categories, which is essentially what our weight vector is.

The interpolation phase allows the network to decide whether we want to use the weight vector from the previous time step, or to use the new one freshly generated by our content-based mechanism. This is essential to be able to iterate through tape cells, as the location of our head depends on only its previous location and not its content. The equation is as follows. Note that the interpolation factor g_t lies between 0 and 1 and is generated by our controller. \mathbf{w}_t^c is the vector computed by our content-based mechanism.

$$\mathbf{w}_t^g \leftarrow g_t \mathbf{w}_t^c + (1 - g_t) \mathbf{w}_{t-1}.$$

The last phase implements the rotation mechanism, which allows our network to decide to shift the head left and right. It does this through

circular convolution:

$$\tilde{w}_t(i) \leftarrow \sum_{j=0}^{N-1} w_t^g(j) s_t(i-j)$$

The index of the shift vector s_t is computed modulo the number of cells (rows) in our memory matrix, N . The length of the shift vector is implicitly N , but can be implemented with only the length of our shift width (the rest of the values are implicitly zeroes). For example, if we only allow shifts of $-1, 0, 1$, which has a shift width of 3, then the shift vector has length 3, and if $i - (j - 1) \bmod N$ is out of this range we just use $s_t(i - (j - 1)) = 0$. Note we have to use $j - 1$ here instead of j because our shift starts from -1 . The whole point of this operation is simply to rotate \mathbf{w}_t^g . The probability distribution of the values in s_t controls how much blurring occurs during the rotation.

Note that throughout all these phases, care is taken to allow the neural net to control the amount of blurring (or “sharpening”). This is done by exponentiating and then normalizing the weight vector between some of the phases, by a factor that is also decided on by the controller. Once again, full details can be found in the original paper.

4 TensorFlow

Having described the basic network architecture, we now have to translate these high-level descriptions into something that actually runs. There are various considerations here. Firstly, we would like the code to run correctly. Neural networks involve a lot of floating point operations over many time steps, where considerations of numerical stability are paramount. Secondly, we want the code to run efficiently. This means using matrix or at least vectorized operations in order to leverage highly optimized algorithms that have been developed over the years. We also want the code to be somewhat hardware aware, to allow it to exploit properties of the underlying computing system. The third consideration is development time. Until now we have not really talked about the training algorithms used, for example backpropagation. These algorithms involve knowing the gradients of the operations we perform on the data, and ideally we do not want to compute them by hand, especially if we are doing something new. Also, we probably

want our implementation to be able to run on GPU clusters, for instance, without much modification. This is where the libraries come in.

The three big libraries in this area are Torch, Theano and Tensorflow. Torch is a framework based on the Lua programming language and is actively maintained by Facebook AI. Theano is a library based on Python and was originally developed by Yoshua Bengio’s lab at the Université de Montréal. Tensorflow is also based on Python and is still being actively developed by Google. We decided to use TensorFlow as we are comfortable with Python and it seems to be well supported online by Google engineers who are very responsive. The details can be found on the webpage and the white paper [1]. We will go over the basic details at a high level.

When we use Tensorflow, we are running python code that generates a computation graph of operations. This is similar to the way futures work, in the sense that the python code does not actually run any operations but rather manipulates symbolic representations of these operations. After generating our computation graph, we instruct the framework to actually run the computations. This graph will be shipped off to a runtime written in a more efficient lower level language and executed. The framework takes care of all the systems-level details. Common operations and variants of the backpropagation algorithms are already implemented, along with automatic gradient calculations. This allows us to worry only about the high level details like neural network architecture, and greatly reduces our cognitive load and development time. We should note that the operations in the computation graph operate on tensors, which are n -dimensional arrays that represent our data as it passes through our transformations.

5 Implementation

We now go into the gory details of our implementation. The original paper left out a surprising amount of detail, which might understandably have been assumed to be background knowledge to those steeped in the field. Here are some of them. We also talk about our design choices.

5.1 Inputs, Outputs and Sequence-to-Sequence Training

In terms of our experiment, we structured the training as follows. We construct inputs-over-time/outputs-over-time pairs, where each inputs-over-time is a sequence of inputs, the GO symbol, and then padding characters of the same length as the expected output sequence. We need the padding characters as the neural network needs an input in order to produce an output. (i.e. we need to cajole it to produce outputs after we are done feeding our input sequence in). For some problems researchers feed in the output of the previous timestep as the input of the current time step during the output phase, instead of the padding character. We chose the former as we wanted all state to be stored in the memory matrix, for easier interpretability of what our network is doing. So for each inputs-over-time, which comprise the input sequence, the GO symbol, and the padding symbols, we will have an outputs-over-time of the same length. Since we only care about the output sequence after the GO symbol, our loss function is only computed on that portion.

5.2 Read vs Write Heads

It was not clear to us whether a head is both a read and write head, or if we should have separate heads for both. We decided on separating the two types because that makes our implementation more general. Our current implementation assumes an equal number of read and write heads, although it should be relatively simple to change this if necessary.

5.3 Controller

One of our primary goals was to see the neural net learn programs that are similar to those a human would write for a turing machine. As such, we chose to use a feedforward controller because of its internal transparency. We want to be able to track of all its state changes.

We used a single layer of 100 hidden units, with the rectified linear unit (ReLU) as their activation functions. This activation function has been shown to work well despite its simplicity, and also allows efficient training. In his Deep Learning textbook [3], Yoshua Bengio mentions it as a good default for a hidden unit. Note that the sigmoid unit is now commonly

avoided as a hidden unit due to its gradient rapidly vanishing as its output approaches either 0 or 1, making it less trainable by gradient descent. It is still used though in output layers if the range needs to be between 0 and 1.

The inputs for the current time step and read vectors (containing state read off memory from the previous time step) are densely connected to the hidden layer. The hidden layer is densely connected to the output layer, where the predictions for the current time step are made, and the addressing parameter layer, for internal use by the addressing mechanism.

For our addressing parameter layer, we used various activation functions depending on what the parameter’s value should fall between. Details can be found in the original paper and the code.

We initialized most of our weights distributed uniformly between $(-0.1, 0.1)$. It should be noted that a lot of these choices were experimentally determined – we tried many of them and saw what worked.

6 Results

6.1 Copy Task

Our first task was to train the Neural Turing Machine to reproduce a sequence completely from memory. As described in the previous section, we first feed in an input sequence, a special GO symbol and then special padding symbols, and we expect it to reproduce the input sequence after the GO symbol. A simple feedforward network without any memory store will fail miserably here as each padding symbol would just produce the same output. We train it on sequences of random length between 1 to 5, where each character in the sequence is a bit vector of width 3. Note that the GO symbol is just the bit vector corresponding to 1 and the padding symbol is just the bit vector corresponding to 0. We used the average cross-entropy of the output sequence after the GO symbol as our loss. We gave the neural network a memory matrix of size 50×5 , meaning 50 cells, each cell being able to store 5 bits. After 41000 iterations, at which the training loss was consistently close to 0, we ran the resulting neural network on several test sequences of varying length. We found that the neural network generalized extremely well to sequences that were almost ten times the maximum length it had seen during training. This is a result of having learned the correct “program”. Figure 1 shows the input and output vectors for a test sequence

of length 40. The columns represent the bitvectors and the rows span the sequence length. Each square is colored with a shade closer to red if the bit is closer to 1, and closer to blue if the bit is closer to 0. We see perfect recall up to the length of 40, which is 8 times the maximum length of any sequence the neural net had seen during training. When we get to 50, and we should note that this is at the limit of the memory store that the neural network is equipped with, we see from figure 2 that there are some minor corruptions in the output.

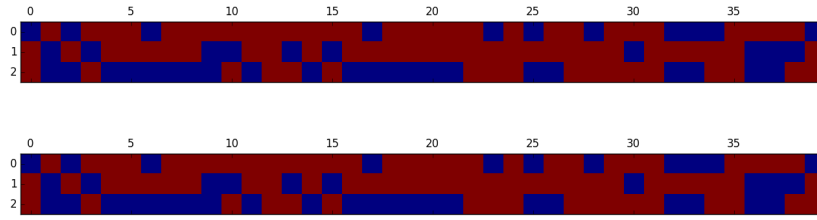


Figure 1: Sequence length of 40 (input on top)

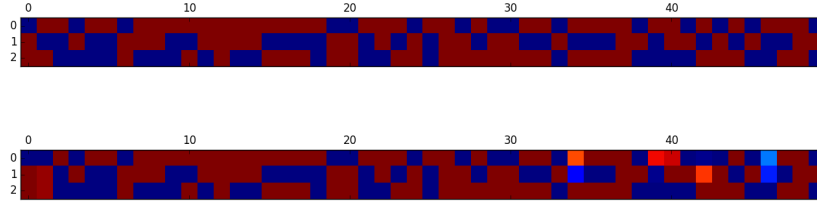


Figure 2: Sequence length of 50 (input on top)

Looking at the weight vectors at each time step, we are able to track the head movements of the Neural Turing Machine. We see that the neural net has implemented the “obvious” program that solves this problem. For each input, write it into the memory store and advance one cell. When the GO symbol is seen, start iterating over the saved input and output it. Figure 3 below shows exactly this pattern. Each row represents the tape at a specific time step, with the rows spanning over all time steps. The write head is seen to be iterating until it has written 30 characters. Meanwhile the read head stays put, and when 30 characters are seen it starts iterating. We chose this example to show clearly that while the heads can approximate a turing machine head pretty well when the neural net brings it into sharp focus, as

in the read head’s case, the “blurry” nature of the heads is still evident from the write head. We see it dissipate into nothingness when it has done its job. Also note that the head’s rotation mechanism can wrap-around to the other end of the tape, as shown in the read head’s movement.

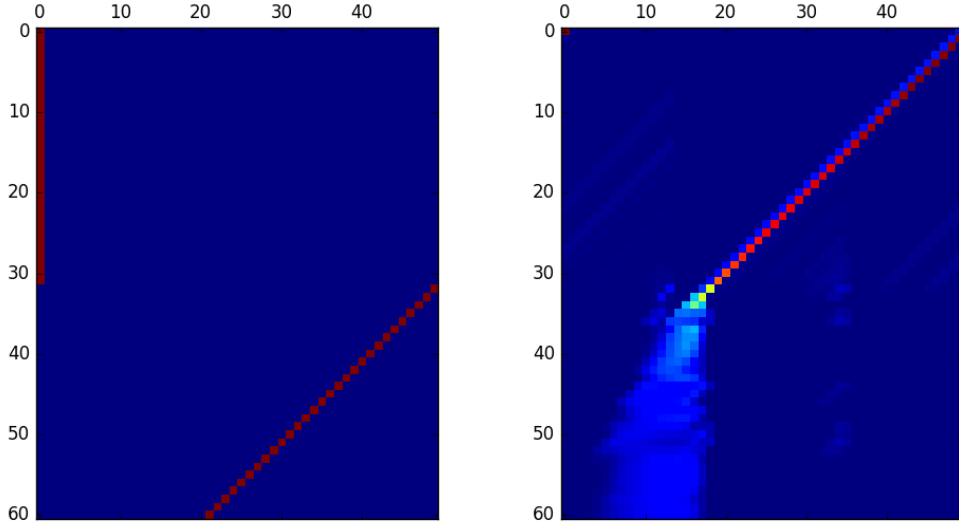


Figure 3: Head movements for sequence length of 30 (read head on left)

6.2 Repeat Copy Task

TODO

7 Conclusions

We have reproduced the published results on a smaller problem size for the copy task. We see that the neural net has successfully learned how to use its addressing mechanism and memory store very well, arguably to the same level as a human programmer with a turing machine, at least for this particular task. Learning to program also allowed it to generalize well on sequences of far greater length.

TODO Kolmogorov-Complexity.
Accessibility. Support infrastructure and software. Multi-disciplinary.
Empirical field. A lot of intuition. Trial and error. Theory needed.

References

- [1] M. Abadi, A. Agarwal, P. Barham, E. Brevdo, Z. Chen, C. Citro, G. S. Corrado, A. Davis, J. Dean, M. Devin, S. Ghemawat, I. Goodfellow, A. Harp, G. Irving, M. Isard, Y. Jia, R. Jozefowicz, L. Kaiser, M. Kudlur, J. Levenberg, D. Mané, R. Monga, S. Moore, D. Murray, C. Olah, M. Schuster, J. Shlens, B. Steiner, I. Sutskever, K. Talwar, P. Tucker, V. Vanhoucke, V. Vasudevan, F. Viégas, O. Vinyals, P. Warden, M. Wattenberg, M. Wicke, Y. Yu, and X. Zheng. TensorFlow: Large-scale machine learning on heterogeneous systems, 2015. Software available from tensorflow.org.
- [2] A. Graves, G. Wayne, and I. Danihelka. Neural turing machines. *CoRR*, abs/1410.5401, 2014.
- [3] Y. B. Ian Goodfellow and A. Courville. Deep learning. Book in preparation for MIT Press, 2016.
- [4] H. T. Siegelmann and E. D. Sontag. On the computational power of neural nets. In *Proceedings of the Fifth Annual Workshop on Computational Learning Theory*, COLT '92, pages 440–449, New York, NY, USA, 1992. ACM.
- [5] H. T. Siegelmann and E. D. Sontag. Analog computation via neural networks. *Theoretical Computer Science*, 131(2):331 – 360, 1994.