

October 21

Intro to trees (see slides)

(Still updating these notes)

Post-order

Pre-order

In-order

October 23

Housekeeping

- HW3 due Friday
- “Like radix sort” in the sense that we do multiple passes on each element of input. You can use the sorting method of your choice in each pass (don’t have to use counting sort).
- Setting maximum late penalty to 5/10 points
 - Passing all tests, more than five days late -> 50% credit
 - Would have gotten 8/10, more than five days late -> 30% credit

Binary Search Trees

- Function: store elements in sorted order
- Form: use binary tree (since `compareTo` is a binary operator)
 - either empty; or
 - a root node `R` such that
 - * every element of `R`’s left subtree contains data “less than” `R`’s data
 - * every element of `R`’s right subtree contains data “greater than” `R`’s data
 - * left and right subtrees are also binary search trees

Adding elements to BST

- Adding values to Binary Search Tree
 - Example on board: balanced tree

55, 29, 87, -3, 42, 87, 60, 91

Add 49

Modifying objects in-place

```
String s = "halloween";  
s.toUpperCase();
```

```
System.out.println(s); // halloween
s = s.toUpperCase();
System.out.println(s); // HALLOWEEN
```

- General approach: `x = change(x);`
- To modify a tree, we should use functions that take as input, the old state of a node, and return the new state of the node.
- Then we can re-assign our objects, just as we did with linked lists and `next`:

```
root = change(root, parameters);
root.left = change(root.left, parameters);
root.right = change(root.right, parameters);
```

What does this have to do with adding elements to trees? In this case, a recursive algorithm will eventually find a `root` that is `null`: can't just update the fields in the class, have to return a new `Node`.

Removing elements from BST

Cases for the node to be removed:

- leaf node: replace with null
- node with left child only: replace with left child
- node with right child only: replace with right child
- node with both children: replace with min value from right (or max value from left)
 - recursively remove that min/max value from subtree

Return to example tree and remove 55

Tree Balance

Balanced tree: one whose subtrees differ in height by at most 1, and these subtrees are also balanced. What will be the height as function of N ?

- Assume we have a complete tree.
- At depth k , we have 2^k nodes (can prove by induction)
- Total number of nodes is $N = 2^k + \dots + 2^1 + 2^0$
- This is a finite geometric series:

$$a + ar + ar^2 + \dots + ar^n = \sum_{i=0}^n ar^i \quad (1)$$

with sum known to be $a(1 - r^{n+1})/(1 - r)$. So, with $a = 1$ and $r = 2$ we find that $N = (1 - 2^{k+1})/(1 - 2) = 2^{k+1} - 1$. Solving for k , we find:

$$k + 1 = \log_2(N + 1)$$

$$\rightarrow k = \log_2(N + 1) - 1$$

This is the exact relationship: for estimating algorithm runtime, it suffices to remember that $k \approx \mathcal{O}(\log_2(N))$.

October 25

Housekeeping

- Midterm info:
 - In-class review next Friday November 1
 - Midterm held during lab time
 - Can use your own or the lab computer
 - Exam accessed through Canvas
 - Small coding assignment + multiple choice and short answer (typed)
 - DAC tests can be scheduled any time that week
 - * I still have yet to confirm that DAC has Linux computers with Java
 - You may bring ***one single-sided sheet** of notes (typed or hand-written)
 - * Can also look things up in Java API javadocs, and I will link to the java.base docs at the top of the exam
 - * Any other resources accessed during exam (StackOverflow, ChatGPT, etc) will be an immediate zero
 - Exam will cover all content seen in class up to and including today (not including guest lecture next week).
- HW3 due today, HW4 released today and due next Friday
- No homework during week of exam (or labs), but we will have lecture

Recap BSTs, traversals

- Binary Search Trees
- **in-order:**
 1. recursively traverse Left subtree
 2. process current node
 3. recursively traverse Right subtree
 - For BSTs, in-order retrieves data in ascending sorted order
 - * How to get keys in *descending* order?
- **pre-order:**
 1. process current node
 2. recursively traverse Left subtree
 3. recursively traverse Right subtree
 - Pre-order returns nodes in *topologically sorted* order: for every directed edge from u to v , we will return u before v
 - Another way of saying, pre-order traversals always visit *roots* before *leaves*
 - Also will return nodes in order needed to make a copy of tree!
- **post-order:**

1. recursively traverse Left subtree
 2. recursively traverse Right subtree
 3. process current node
- Useful for binary *expression* trees: can handle binary and unary operators
 - Example: $A + B * C$
 - “Reverse Polish Notation” and history of calculators
 - Explore *leaves* before *nodes*
 - * Example: tree is computing partial cumulative sums of leaves, or average scores of different populations

Worksheet time!!

- See Worksheet 3