A Python Package for Agro-Ecological Zoning

User Guide for PyAEZ (v 2.1.0)

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Please add this section

The Python Agro-Ecological Zoning (PyAEZ) was born out of the necessity to address country-specific AEZ modeling, as initiated by the FAO-RAP under the activity on 'Capacity building for Agro-ecological Zone mapping and modelling to project climate suitability of crops and land uses' and the 'Strengthening Agro-climatic Monitoring and Information System (SAMIS)' project in Lao PDR.

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ABBREVIATIONS AND ACRONYMS

AEZ Agro-Ecological Zones

AWC Available soil Water Capacity

AIT-GIC Asian Institute of Technology – Geoinformatics Center

BADC British Atmospheric Data Centre

CMIP5 Coupled Model Inter-comparison Project Phase 5

CRU Climate Research Unit

DEM Digital Elevation Model

ESM Earth System Model

ETa Actual evapotranspiration

ETm Maximum evapotranspiration
ETo Reference evapotranspiration

FAO Food and Agriculture Organization of the United Nations

FAO-RAP FAO – Regional Office for Asia and the Pacific

Fm Modified Fournier Index

GAEZ Global Agro-ecological Zones

HI Harvest index

HWSD Harmonized World Soil Database

IIASA International Institute for Applied System Analysis

LAI Leaf Area Index

LGP Length of Growing PeriodLGP_{t5} Temperature Growing Period

LGP_{t10} Frost Free Period

LUTs Land Utilization Types

P/ETo Moisture Availability Index

SQ Soil Quality

INTRODUCTION

The world population is expected to reach 8.6 billion in 2030 and 9.8 billion in 2050 (UNDESA, 2017). With this eruptive growth in population, an unprecedented increase in demand for food, feed, and fuel is expected, while the agricultural land needed for production continues to shrink in many parts of the world.

The accelerating pace of climate change, combined with global population growth, threatens food security globally. Higher temperatures eventually reduce yields of desirable crops while encouraging weed and pest proliferation and changes in precipitation patterns increase the likelihood of short-run crop failures and long-run production declines (Nelson *et al.*, 2009).

Yield increases on existing croplands will, therefore, be an essential component to increase food production (Ray *et al.*, 2013). To this end, Agro-Ecological Zoning (AEZ) framework was developed as a tool to analyse the effect of climate on land use and agriculture, as well as helping to optimise the crop cycle to produce the best yield possible. PyAEZ is an open-source Python package which offers AEZ calculations for user to implement for their regional AEZ analyses. This technical document contains detailed descriptions of all the AEZ modules and functions in PyAEZ.

Background

Over the last thirty years, FAO and the International Institute for Applied Systems Analysis (IIASA) have been developing Agro-Ecological Zoning (AEZ). AEZ is a modelling system for land evaluation to support sustainable land use planning, stimulate agricultural investments, monitor the status of agricultural resources, and assess the impacts of climate change on agriculture.

The Agro-Ecological Zoning (AEZ) approach, developed by FAO jointly with IIASA, is based on the principles of land evaluation and defines matching procedures to identify crop-specific limitations of prevailing climate, soil, and terrain resources with simple and robust crop models, under assumed levels of inputs and management conditions. Main outputs are maximum potential and agronomically attainable crop yields and suitability levels for basic land resources units under different agricultural production systems defined by water supply systems and levels of inputs and management circumstances.

While most countries have adopted land evaluation, land suitability assessment, agro-ecological zoning in the past to prepare agricultural investment plans, most of those are outdated. In parallel, various generations of "AEZ Projects" have served as vehicles to consolidate efforts, structure project goals, and promote funding and resources for the further development of these concepts. And while new datasets and technologies are increasingly becoming available, national capacities to develop, update and use agro-ecological zoning remain limited.

The GAEZ assessment, currently at its fourth update (GAEZ v4), uses seven different modules that are run sequentially to generate agro-climatic and crop-specific information. Each module is made up of a series of FORTRAN routines, documented in GAEZ version 4 model documentation (Fischer

et al., 2021), that are run through custom batch scripts. Additional scripts in Delphi are used for specific modelling (to be clearly specified by IIASA). Data preparation, although fully documented on the theoretical concepts, is mostly undocumented when addressing the required process to input new data in the modelling system. Limited knowledge on data preparation and lack of a systematic system to run FORTRAN routines make the capacity to generate outputs, limited to a restricted number of experts at IIASA.

The main strategic shift of GAEZ v5 is to focus on how the strong scientific basis for GAEZ can be made available to national entities to support decision-making. GAEZ v5 will focus on taking the last three decades of knowledge and building a standardized, repeatable, accessible yet extensible approach for countries to implement their own, fit for purpose, nationally adjusted Agro-Ecological Zoning project(s). Countries need guidance on how to collect relevant local data, what tools they can use to create data if it does not exist, how to engage with farmers, local representatives, and other stakeholders, and how to process, manage, host, and disseminate results of an analysis.

With a growing need to address country-specific agro-ecological zoning modelling, the "Strengthening Agro-climatic Monitoring and Information System (SAMIS)"¹ project in Lao PDR, in collaboration with the Geomatics Unit of the Asian Institute of Technology (AIT) developed a first prototype in Python language, named PyAEZ, that generate national AEZ information. The code, with supporting documentation, and training material is publicly available in the GitHub repository at the https://github.com/gicait/PyAEZ.

What is PyAEZ?

PyAEZ is the first step of GAEZ expansion that utilizes Python scripts to develop users' AEZ projects. The PyAEZ package utilizes climate, soil, and terrain conditions relevant to agricultural production and suitability using crop-specific land resource inventory parameters.

The package is developed with several Python routines and is operated with Jupyter Notebooks, which means it has the capability to be uploaded onto Google Colab, an online Jupyter Notebook system. This compatibility with an online platform such as Google Colab allowed the development team to host two virtual hands-on trainings where attendants were guided through the scientific concepts of AEZ as well as executing the scripts with country-specific input data, through Google Colab.

PyAEZ has been developed to be used within the tropical region, hence some of the complexity of GAEZ in non-tropical regions (e.g., vernalization requirements, permafrost evaluation) is not accounted for. Moreover, the system has not been tested on larger areas where performances of results may be an issue.

PyAEZ package consists of 6 main AEZ modules and 1 additional utility module (Figure 1):

• **Module 1: Climate Regime** – calculation of agro-climatic indicators for evaluation of climatic suitability of crops;

¹ Further information on the SAMIS project can be found on the FAO page: http://www.fao.org/in-action/samis/en/

- Module 2: Crop Simulation simulate an optimal crop cycle for the highest attainable yield;
- **Module 3: Climate Constraints** application of agro-climatic constraints to the calculated yield of a particular crop;
- **Module 4: Soil Constraints** application of edaphic constraints to the calculated yield of a particular crop;
- **Module 5: Terrain Constraints** application of terrain constraints to the calculated yield of a particular crop;
- **Module 6: Economic Suitability Analysis** evaluation of economic profitability of a crop based on crop price and the calculated yield, and
- **Utilities Calculations,** miscellaneous calculation routines used throughout the 6 main AEZ modules.

The package is also equipped with additional calculation routines for:

- i. Water Balance Calculation and applying of yield reduction factors based on water limitation (FAO CropWat algorithm) (Smith, 1992);
- ii. Biomass Calculation produced by Photosynthesis activities of plants under given radiation conditions, and
- iii. Reference Evapotranspiration Calculation using Penman-Monteith algorithm (Allen *et al.*, 1998; Monteith, 1965, 1981).

This documentation provides a step-by-step guideline for anyone looking to develop an AEZ project using PyAEZ package, starting from the installation to the description of the functions in each module, as well as the theoretical concepts behind each function/module.

The code, with supporting documentation, and training material (Jupyter Notebooks and example data) is publicly available and can be downloaded and installed through:

- PyAEZ GitHub repository (https://github.com/gicait/PyAEZ)
- Python package management systems 'pip' and 'conda'

Module 1 Agro-climatic Agro-climatic parameters and data analysis suitability zones. Length of Growing Period Module 2 Crop Simulation (Biomass & yield) Maximum attainable yield Input data Module 3 Optimum crop cycle Climate 1. Agro-climatic and plantation date 2. Soil map constraints Terrain (Elevation, Slope) Administration boundary 4. Module 4 Soil properties (CSV) 5. Soil 6. Crop paramters constraints Reduced yields Module 5 Terrain constraints Crop net revenue Module 6 **Economic Suitability Economic** Suitability Utilities

Calculations

Figure 1 Overview of PyAEZ workflow

DATA REQUIREMENT AND PREPARATION

This section will cover all the system and data requirements to run PyAEZ. These subsections also act as an essential checklist for the necessary elements to every PyAEZ project initiation.

Python dependencies

PyAEZ package requires the following additional open-source Python packages to be installed and imported for the AEZ calculations to work:

- NumPy²: NumPy array is the format used throughout PyAEZ for pixel-based calculation;
- GDAL³: allow the package to utilize and generate geo-referenced output from non-geocoded NumPy arrays;
- SciPy⁴: offers statistical analyses and is interoperable with NumPy array;
- Pandas⁵: allows PyAEZ to read MS Excel sheets with user-defined parameters;
- Numba⁶ and NumPy: aware optimizing compiler used to speed up some computationally heavy routines within PyAEZ.

Data preparation

Input-data preparation is essential as the current version of PyAEZ requires users to input data of specific format and shape. Depending on the nature of each aspect, one might prepare to transform into 2D or 3D NumPy arrays, in other cases, preparing additional excel sheet information will be required.

Climate data

PyAEZ requires 6 climatic parameters (Table 1) to be prepared as 3D NumPy data cube for a single year (row, column, day-of-year). We encourage users to use daily climatic data for more accurate results. If the monthly climate data is used, it will need to be interpolated to daily data. The input climate data can be Historical-type data or Future-projected data. Example of the possible climate data sources are Corpernicus' Climate Data Store⁷, European Centre for Medium-Range Weather

² NumPy: https://numpy.org/install

³ GDAL: e.g. https://anaconda.org/conda-forge/gdal

⁴ SciPy: https://scipy.org/install

⁵ Pandas: https://pandas.pydata.org/docs/getting started/install.html

⁶ Numba: https://numba.readthedocs.io/en/stable/user/installing.html

⁷ Corpernicus' Climate Data Store: https://cds.climate.copernicus.eu/

Forecasts (ECMWF)⁸, Google Earth Engine (GEE)⁹, and etc. Users can also utilize own country data from their national sensor network/database.

Table 1 Input climatic parameters

Climatic parameter	Data frequency	Unit	Data format		
Minimum air temperature	Daily or monthly	Degree Celsius	3D NumPy		
(2m above surface)	Daily of monthly	Degree deisius	(row, column, time)		
Maximum air temperature	Daily or monthly	Degree Celsius	3D NumPy		
(2m above surface)	Daily of monthly	Degree Ceisius	(row, column, time)		
Total precipitation	Daily or monthly	mm/day	3D NumPy		
		, 3	(row, column, time)		
Solar radiation	Daily or monthly	W/m²	3D NumPy		
	,,	,	(row, column, time)		
Relative humidity	Daily or monthly	Percentage	3D NumPy		
Relative namulary	Duny or monency	rereemage	(row, column, time)		
Windspeed	Daily or monthly	m/s	3D NumPy		
(2m above surface)	Daily or monthly	m/s	(row, column, time)		

During the preparation of climatic data, all NaN values (different climate data tend to have some specified no-value values, e.g., -9999) need to be set to zero to prevent any incomputable errors further down the line.

Soil

PyAEZ requires two soil-related data preparations (Table 2).

Table 2 Soil Data Preparation

Data	Data source	Data format
Soil map	 Harmonized World Soil Database (HWSD)¹⁰ Own local/regional soil map 	 2D NumPy array Each pixel refers to a unique soil mapping unit

⁸ ECMWF: <u>https://www.ecmwf.int/en/forecasts/datasets</u>

⁹ Google Earth Engine: https://developers.google.com/earth-engine/datasets

¹⁰ HWSD https://gaez.fao.org/pages/hwsd

Soil characteristics (Table 3)	 Corresponding to the soil map 	 .csv file with each soil characteristic parameters as the column headers (Figure 2) PyAEZ needs 2 .csv files, one for topsoil and another for sub-soil*
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^{*:} Pay special attention to the abbreviations when used in the .csv file as PyAEZ reads the data using these

Figure 2 Example of the Topsoil Characteristic input format (.csv)

1	Α	В	С	D	Е	F	G	Н	1	J	K	L	М	N	0	Р	Q	R	S
1	CODE	TXT	ОС	рН	TEB	BS	CEC_soil	CEC_clay	RSD	SPR	SPH	OSD	DRG	ESP	EC	ССВ	GYP	GRC	VSP
2	4260	Coarse	0.3	5.7	2.8	46	5	16	100	0	Lithic	0	MW	2	0	0	0	10	0
3	4261	Coarse	0.3	5.7	2.8	46	5	16	100	0	Lithic	0	MW	2	0	0	0	10	0
4	4264	Medium	0.3	4.7	2	20	12	22	100	0	Lithic	0	Р	1	0	0	0	7	0
5	4265	Coarse	0.38	4.8	2.3	31	6	17	100	0	Lithic	0	P	4	0	0	0	8	0
6	4267	Medium	0.42	4.8	2.3	32	6	14	100	0	Lithic	0	MW	2	0	0	0	10	0
7	4284	Medium	0.42	4.8	2.3	32	6	14	100	0	Lithic	0	1	2	0	0	0	10	0
8	4325	Fine	0.45	5.9	17.1	84	23	39	100	0	Lithic	0	VP	2	0	0	0	1	0
9	4383	Medium	0.45	5.9	17.1	84	23	39	10	0	Lithic	0	1	2	0	0	0	1	0
10	4408	Fine	0.6	6.8	31.3	100	20	39	100	0	Lithic	0	P	2	0	0	0	8	0
11	4452	Coarse	0.3	5.7	2.8	46	5	16	100	0	Lithic	0	MW	2	0	0	0	10	0
12	4499	Fine	0.77	4.6	17.1	46	21	36	100	0	Lithic	0	VP	1	0	0	0	1	0
13	4544	Fine	0.52	5.5	4.7	45	10	13	100	0	Lithic	0	MW	2	0	0	0	2	0
14	4587	Fine	0.63	7.4	44.5	100	43	71	100	0	Lithic	0	P	2	0	3.4	0	4	0
15	6651	Fine	0.46	5.7	8	65	13	15	100	0	Lithic	0	MW	1	0	0	0	8	0
16	11788	Fine	0.52	4.9	2.1	21	7	11	100	0	Lithic	0	MW	1	0	0	0	28	0

Table 3 Input soil parameters of topsoil and sub-soil properties

Abbreviation *	Parameter name	Data type
CODE	Soil Mapping Unit ID ¹¹	Numerical
TXT	Soil texture	String
OC	Soil organic carbon	Numerical
рН	Soil pH (0-14)	Numerical
TEB	Total exchangeable bases	Numerical
BS	Base saturation	Numerical
CEC_soil	Cation exchange capacity of soil	Numerical
CEC_clay	Cation exchange capacity of clay	Numerical
RSD	Effective soil depth	Numerical
GRC	Soil coarse material (Gravel) percentage	Numerical
DRG	Drainage classes (VP: very poor, P: poor, I: imperfectly, MW: moderately well, W: well, SE: somewhat excessive, E: excessive	String
ESP	Exchangeable sodium percentage	Numerical

 $^{^{11}}$ Soil Mapping Unit ID as obtained from the soil map.

EC	Electricity conductivity [dS/m]	Numerical
SPH	Soil phase rating (0 or 1)	Numerical
SPR	Soil property rating (0 or 1)	Numerical
OSD	Other soil depth/volume related characteristics rating	Numerical
ССВ	Calcium carbonate content percentage (0-100)	Numerical
GYP	Gypsum content percentage (0-100)	Numerical
VSP	Vertical properties (0 = No or 1 = Yes)	Numerical

For the users using Harmonized World Soil Database, the newly published database and soil map (v2.0) are available from the mentioned link¹⁰. The soil parameters are available from Microsoft Database Access, with metadata of each column's definition, respective physical quantity units, together with the detailed description in the HWSD documentation. During the soil parameter preparation for the look-up table python scripts and external excel sheet, it is suggested for the users to explore the documentation, the available database metadata and arrangements and the metadata of Soil Mapping Units existing within the area of interest.

For the reduction factor setting, GAEZ has provided the database open for all individual users to apply for individual crop and specialized level of management settings to refer to in GAEZ Data Portal. (Source: https://gaez.fao.org/pages/glossary). The GAEZ Model Appendices provides the external tables for the crop settings used in AEZ methodology for users to apply.

Figure 3 HWSD v2.0 Microsoft access database

Geographical location and terrain data

PyAEZ requires the elevation and slope maps to be prepared (Table 4)Administrative boundary mask is optional, however, is highly encouraged because it can help minimizing the computational time by considering only area/region of interest.

Table 4 Geographical and terrain data preparation

Data	Data source	Data format
Elevation map	Global elevation map, orOwn national/regional data	 2D NumPy array Unit: metre
Terrain slope map	Global slope map, orOwn national/regional data	 2D NumPy array Unit: percentage
Administrative boundary mask (optional)	Global mask, orOwn national/regional mask	 2D NumPy array Binary: 1 for wanted area, and 0 for unwanted area

MODULE 1: CLIMATE REGIME

Introduction

This module performs climate data analysis and compiling general agro-climatic indicators. These general agro-climatic indicators summarize climatic profiles in the study area for each grid. Figure 4 shows the overall workflow of Module 1. The key input data for this module is the climatic data, and the geographical and terrain data. This section offers descriptions of the all the functions within Module 1, with example code snippets.

It is advisable to always run this module first, as several agro-climatic indicators output from Module 1 will get feed into Module 2 (Crop Simulation).

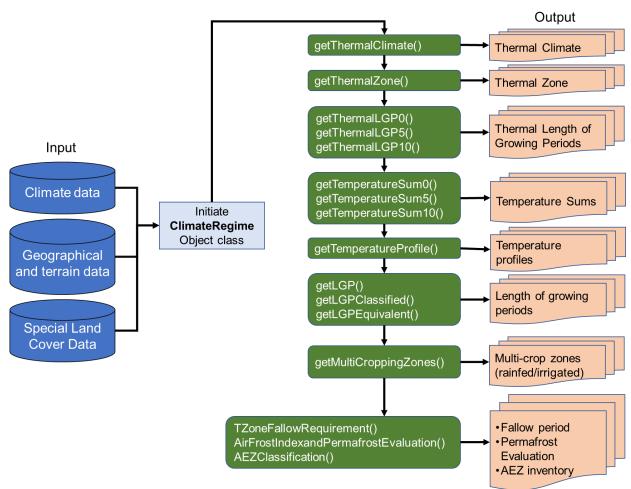


Figure 4 Overview of Module 1 (Climate Regime) workflow

Object Class Creation (mandatory)

PyAEZ codes utilizes 'Object-Oriented Programming' style, meaning that each module has its own *Classes* containing separate attributes and functions. Therefore, it is essential that the necessary object-classes are initiated at the beginning of each module.

For Module 1, the Class that we need is called 'ClimateRegime', and is imported and initiated as:

```
1 # Import PyAEZ Module1:ClimateRegime object class
2 import ClimateRegime
3 clim_reg = ClimateRegime.ClimateRegime()
```

Setting up Geographical and Terrain Data (mandatory)

The next mandatory step after object class creation is to input user's elevation and geographic latitude information into the object class by using this function.

```
1 # Load geographical location and elevation data into the object class
2 clim_reg.setLocationTerrainData(lat_min, lat_max, elevation)
```

Function A	Function Arguments		
lat_min	a single value corresponding to the minimum latitude (decimal degrees) of the study area		
lat_max	a single value corresponding to the maximum latitude (decimal degrees) of the study area		
elevation	2D NumPy array, elevation of the study area in metres		
Function Returns			
None			

Read the climate data and load into the Class (mandatory)

The third and final mandatory step of preparation is to incorporate all the required climatic datasets into the object class. Depending on the temporal dimension of climatic datasets, user can use either one of the following functions: one for daily datasets and the other for monthly.

```
1  # Load climate data from NPY files
2  min_temp = np.load('PATH_TO_FILE') # Continue loading the rest of climate data
3  # Deal with NaN or inappropriate negative values, for example:
4  rel_humidity[rel_humidity<0] = 0
5  short_rad[short_rad<0]=0
6  wind_speed[wind_speed<0]=0</pre>
```

```
7  # Use the line below if MONTHLY data are used
8  clim_reg.setMonthlyClimateData(min_temp, max_temp, precipitation, short_rad,
9  wind_speed, rel_humidity)
10  # Use the line below if DAILY climate data are used
11  clim_reg.setDailyClimateData(min_temp, max_temp, precipitation, short_rad,
12  wind_speed, rel_humidity)
```

Function Ar	guments
min_temp	3D NumPy array, daily or monthly minimum temperature (°C)
max_temp	3D NumPy array, daily or monthly maximum temperature (°C)
precipitatio n	3D NumPy array, daily or monthly total precipitation (mm/day)
short_rad	3D NumPy array, daily or monthly solar radiation (W/m²)
wind_spee d	3D NumPy array, daily or monthly windspeed at 2m elevation (m/s)
rel_humidit y	3D NumPy array, daily or monthly relative humidity (percentage decimal, 0–1)

Function Returns

None

Setting Study Area Inputs (optional)

This function is set up as an optional step which set up the mask layer as input which reduces the computation time outside the pixels of considerations.

```
1 # Set up mask for the study area (country, regional, or local)
2 clim_reg.setStudyAreaMask(admin_mask, no_data_value=0)
```

Function Arguments

admin_mask 2D NumPy array, extracted only region of interest (Binary 0/1)

no_data_value A single value, pixels equal to this value will be omitted during calculation.

Function Returns

None

Thermal Climate

The Thermal Climate function calculates and classifies latitudinal thermal climate, which will be used later in Module 2 for the assessment of potential crops and Land Utilization Types (LUT) presence in each grid cell. It is advisable to use an average of multiple years of temperature data (e.g. 30 years) rather than a single-year data, to obtain better representation of the climate for the study region.

Table 5 describes the classification of thermal climates based on (i) the monthly mean temperature (sea-level adjusted 12), (ii) the ratios between summer/winter rainfall and the reference evapotranspiration (P/ETo), and (iii) the temperature amplitude as a measure of continentality (i.e. the difference between temperatures of warmest and coldest month) (Fischer *et al.*, 2021).

```
1 # Classification of rainfall and temperature seasonality into thermal climate
2 classes
3 tclimate =clim_reg.getThermalClimate ()
```

Function Arguments

None

Function Returns

tclimate

2D NumPy array (map) of Thermal Climate classification

 $^{^{12}}$ Sea-level adjusted monthly mean temperature with a fixed lapse rate of 0.55°C/100 metres of elevation

Table 5 Classification of Thermal Climate classes according to rainfall and temperature seasonality

Climate	Pixel value	Rainfall a	nd Temperature Seasonality
Tropics All months with monthly mean sea-level	1	Tropical lowland	Tropics with actual mean temperatures (Ta) above 20°C
adjusted temperatures > 18°C, and monthly temperature amplitude* < 15°C		Tropical highland	Tropics with actual mean temperatures below 20°C
	3	Low rainfall	Annual rainfall less than 250 mm
Subtropics	4	Summer rainfall	Northern hemisphere: P/ETo in April-September ≥ P/ETo in October-March.
One or more months with monthly mean temperatures, corrected to sea level, below 18°C, but all above 5°C, and 8–12			Southern hemisphere: P/ETo in October-March ≥ P/ETo in April-September
months above 10°C	5	Winter rainfall	Northern hemisphere: P/ETo in April-September ≤ P/ETo in October-March.
			Southern hemisphere: P/ETo in October-March ≤ P/ETo in April-September
Temperate	6	Oceanic	Seasonality less than 20°C**
At least one month with monthly mean temperatures, corrected to sea level,	7	Sub- continental	Seasonality 20–35°C **
below 5°C and four or more months above 10°C	8	Continental	Seasonality more than 35°C**
Boreal	9	Oceanic	Seasonality less than 20°C**
At least one month with monthly mean temperatures, corrected to sea level,	10	Sub- continental	Seasonality 20–35°C **
below 5°C and 1–3 months above 10°C	11	Continental	Seasonality more than 35°C**
Arctic	12	Arctic	All months with monthly mean temperatures,

	corrected to sea level, belo 10°C	W
--	--------------------------------------	---

^{*} Monthly temperature amplitude = monthly maximum temperature – monthly minimum temperature
** Seasonality = the difference in mean temperature of the warmest and coldest month

Thermal zones

The thermal zone is classified based on actual temperature which reflects on the temperature regimes of major thermal climates (Table 6).

```
1 # Classification of thermal zone classes
2 tzone = clim_reg.getThermalZone()
```

Function Arguments

None

Function Returns

tzone

2D NumPy array (map) of Thermal Zones classification

Table 6 Classification of Thermal Zone classes according to rainfall and temperature seasonality

Climate	Pixel value	Thermal zones	
Tropics All months with monthly mean sea-level	1	Warm	Tropics with annual mean temperature above 20°C
adjusted temperatures > 18°C, and monthly temperature amplitude* < 15°C	2	Cool/Cold/Very cold	Tropics with annual mean temperatures below 20°C
	3	Warm/Moderatel y cool	Annual mean temperature above 20°C
Subtropics One or more months with monthly mean temperatures, corrected to sea level,	4	Cool	At least one month with monthly mean temperatures below 5° C and 4 or more months above 10° C
below 18°C, but all above 5°C, and 8–12 months above 10°C	5	Cold	At least one month with monthly mean temperatures below 5° C and 1-3 months above 10° C
	6	Very cold	All months with monthly mean

			temperatures below 10°C.
Tomporato	7	Cool	At least one month with monthly mean temperatures below 5°C and 4 or more months above 10°C
Temperate At least one month with monthly mean temperatures, corrected to sea level, below 5°C and four or more months above 10°C	8	Cold	At least one month with monthly mean temperatures below 5°C and 1-3 months above 10°C
	9	Very cold	All months with monthly mean temperatures below 10^{0} C
Boreal At least one month with monthly mean	10	Cold	At least one month with monthly mean temperatures below 5°C and 1-3 months above 10°C
temperatures, corrected to sea level, below 5°C and 1-3 months above 10°C	11	Very cold	All months with monthly mean temperatures below 10°C
Arctic	12	Arctic	All months with monthly mean temperatures, corrected to sea level, below 10°C

^{*}Monthly temperature amplitude = monthly maximum temperature - monthly minimum temperature

Thermal Length of Growing Period (LGPt)

The thermal length of growing period (LGP_t) is defined as the number of days in a year during which the daily mean temperature (Ta) is conductive to crop growth and development. PyAEZ utilizes the AEZ three standard temperature thresholds for LGPt:

- i. Periods with Ta > 0°C (LGP_{t0}).
- ii. Periods with Ta > 5° C (LGP_{t5}) the period conductive to plant growth and development.

iii. Periods, and Ta > 10° C (LGP_{t10}) – a proxy for the period of low risks for late and early frost occurrences and termed frost-free period.

```
1 # Calculate Thermal Length of Growing Period (LGPt)
2 # 3 temperature thresholds
3 # LGPt>0 degC
4 lgpt0 = clim_reg.getThermalLGP0()
5 # LGPt>5 degC
6 lgpt5 = clim_reg.getThermalLGP5()
7 # LGPt>10 degC
8 lgpt10 = clim_reg.getThermalLGP10()
```

Function Arguments

None

Function Returns	
lgpt0	2D NumPy arrays [days]
lgpt5	2D NumPy arrays [days]
lgpt10	2D NumPy arrays [days]

Temperature summations (TS)

Temperature summation corresponds to the accumulated temperature which represent the crop-/LUT-specific heat requirements (Fischer *et al.*, 2021).

Reference temperature sums (TS) are calculated for each grid-cell by accumulative daily average temperature (Ta) for days when Ta is above the thresholds as follows: (i) 0° C, (ii) 5° C, and (iii) and 10° C.

```
1 # Calculate temperature summation at 3 temperature thresholds
2 # Tsum>0 degC
3 tsum0 = clim_reg.getTemperatureSum0()
4 # Tsum>5 degC
5 tsum5 = clim_reg.getTemperatureSum5()
6 # Tsum>10 degC
7 tsum10 = clim_reg.TemperatureSum10()
```

Function Arguments

None

Function Returns	
tsum0	2D NumPy arrays [°C]
tsum5	2D NumPy arrays [°C]
tsum10	2D NumPy arrays [°C]

Temperature profiles

Temperature profiles (Table 7) can be classified into 9 classes of different daily 'temperature ranges' between Ta<-5°C to Ta>30°C. This classification uses 5°C intervals as well as distinguishes the increasing and decreasing temperature trends within a year (Fischer *et al.*, 2021). The output from this classification will be used in Module 2 (Crop Simulation), where these profiles are matched with crop-specific temperature profile requirements to assess the crop-growth suitability for any specific locations.

```
1 # Classification of temperature ranges for temperature profile
2 tprofile = clim_reg.getTemperatureProfile()
```

Function Arguments

None

Function Returns

tprofile 18 2D NumPy a

18 2D NumPy arrays [A1-A9, B1-B9] correspond to each Temperature Profile class [days]

Table 7 Temperature profile classes

Mean daily temperature (°C)	Temperature trend			
Mean daily temperature (C)	Increasing	Decreasing		
30	A1	B1		
25-30	A2	B2		
20-25	A3	В3		
15-20	A4	B4		
10-15	A5	B5		
5–10	A6	В6		
0-5	A7	В7		
-5-0	A8	В8		

< -5	А9	В9

Length of Growing Period (LGP)

The length of growing period (LGP) is defined as the number of days during the year when the temperature regime and moisture supply are conductive to crop growth and development. LGP, therefore, acts as an agro-climatic indicator of the potential productivity of an area of land.

Reference evapotranspiration (ETo)

The reference evapotranspiration (ETo) represents evapotranspiration from a defined reference surface, which closely resembles an extensive surface of green, well-watered grass of uniform height (12 cm), actively growing and completely shading the ground. GAEZ calculates ETo from the attributes in the climate database for each grid-cell according to the Penman-Monteith equation (Allen *et al.*, 1998; Monteith, 1965, 1981; Doorenbos & Pruitt, 1977). A description of the implementation of the Penmann-Monteith equations is provided in Appendix 3-1 of Fischer *et al.*, (2021).

Maximum evapotranspiration (ETm)

In Module 1, the calculation of maximum evapotranspiration (ETm) for a 'reference crop' assumes that sufficient water is available for uptake in the rooting zone. The value of ETm is related to ETo through applying crop coefficients for water requirement (Kc), reflecting phenological development and leaf area. The Kc values are crop- and climate-specific. They vary generally between 0.3–0.5 at initial crop stages (emergence) to 1.0–1.2 at reproductive stages. PyAEZ utilizes the 'reference crop' whose Kc values depend on the thermal characteristics of a grid cell, as described in Table 8.

$$ETm = Kc \times ETo$$

Actual evapotranspiration (ETa)

The actual uptake of water by the 'reference' crop is characterized by the actual evapotranspiration (ETa, mm/day) resulting in the daily calculations of the reference crop water balance. The calculation of ETa differentiates two possible cases depending on the availability of water for plant extraction:

- i. Adequate soil water availability (ETa=ETm), and
- ii. Limiting soil water availability (ETa<ETm).

Water balance calculation

The calculation of Eta involves daily soil water balance (Wb), which is defined as the volume of water available for plant uptake. The water balance, Wb, accounts for the accumulation of daily water inflow from precipitation (P), snowmelt (Sm), and outflow from the actual evapotranspiration (Eta), and excess water lost due to runoff and deep percolation (amount of water that exceeds the upper limit of water available to plants, Wx). For the 'j' day of the year, the daily water balance is calculated as:

$$Wb_{i} = min (Wb_{i-1} + Sm_{i} + P_{i} - ETa_{i}, Wx)$$

The upper limit Wx of water available to plants is the product of the available soil water (Sa) and rooting depth (D),

$$Wx = Sa \times D$$

The threshold of readily available soil moisture Wr is, in turn, calculated from Wx and the soil moisture depletion fraction (p),

$$Wr = Wx \times (1 - p)$$

Snow balance calculation

In seasonally cold climates the calculation of a snow balance (Sb, mm) affects the water balance procedure outlined above. The snow balance increases when precipitation falls as snow and decreases with snowmelt and snow sublimation. Precipitation (P) is assumed to fall as snow (P^{snow}) when maximum temperature (Tx) is below a certain temperature threshold (Ts).

The snowmelt (Sm) is calculated as a function of daily maximum temperature, the snow melt parameter (δ) and depends on the previously accumulated snow balance. The snow melt factor δ is set to 5.5 mm/°C

$$Sm = min (\delta \times (Tx - Ts), Sb)$$

Further details of the two possible cases of ETa calculation are as follows:

ETa for adequate soil water availability

A condition of 'adequate soil moisture availability' is defined when:

- i. Daily precipitation (P) is greater or equal to ETm, and/or
- ii. Combination of P and the difference between Wb and the readily-available-water threshold Wr is greater than Etm

$$ETa = ETm$$
, $for \{P \ge ETm \ P + (Wb - Wr) > Etm$

ETa for limited water availability

When the soil water is limiting, then ETa falls short of ETm. In this case, ETa is calculated as a fraction ρ of ETm, where,

$$\rho = Wb/Wr$$

The Eta is then calculated as

$$ETa = P + \rho \times ETm$$

This procedure assumes rainfall is immediately available to plants on the day of precipitation, prior to replenishing soil moisture.

LGP calculation

LGP refers to the number of days when average daily temperature is above 5° C (LGP_{t5}) and ETa of this reference crop exceeds a specified fraction of ETm. In the current GAEZ parameterization, LGP

days are considered when ETa≥0.4×ETm, which aims to capture periods when sufficient soil moisture is available that would allow the establishment of the reference crop.

$$LGP = total \ number \ of \ days \ when \ ETa/ETm \ge 0.4$$

LGP Equivalent

Reference LGPs account for both temperature and soil moisture conditions and do not necessarily account for significant differences in wetness conditions especially within long LGPs (>225 days), for a better reflection of wetness conditions, so-called equivalent LGPs are used. Equivalent LGP is defined based on regression analysis of the reference LGP and the humidity index P/ETo as follows.

A quadratic polynomial is used to express the relationship between the number of growing period days and the annual humidity index. Parameters were estimated using data of all grid cells with essentially year-round temperature growing periods, i.e., with $LGP_{t5} = 365$.

$$LGP_{eq} = \begin{cases} \{14.0 + 293.66 \times (P/ETo) - 61.25 \times \left(\frac{P}{ETo}\right)^2, when \left(\frac{P}{ETo}\right) \leq 2.4 \\ 366, when \left(\frac{P}{ETo}\right) > 2.4 \end{cases}$$

The equivalent LGP is used in the assessment of agro-climatic constraints, which relate environmental wetness with the occurrences of pest and diseases and workability constraints for harvesting conditions and for high moisture content of crop produce at harvest time.

In PyAEZ, the LGP, LGP classification, and LGP Equivalent are obtained through the following function,

```
1 # Length of Growing Period (LGP)
2 lgp = clim_reg.getLGP(Sa=100, D=1)
3 # Classification of LGP
4 lgp_class = clim_reg.getLGPClassified(lgp)
5 # LGP Equivalent
6 lgp_equv = clim_reg.getLGPEquivalent()
```

Function Arguments

A single value or A 2D NumPy array, corresponding to available soil moisture holding capacity (mm/m). Usually, this value varies with soil texture. Hence, Sa can be provided as single value for entire area or 2D NumPy array that represent variation of soil moisture holding capacity depending on soil texture. Default value is 100 mm/m. This is an optional argument

D A single value, corresponding to corresponding rooting depth in meters. Default value is 1. This is an optional argument.

Function Returns

lgp 2D NumPy arrays of LGP [days]

Sa

Table 8 Kc values used in Module 1 for the calculation of the maximum evapotranspiration (Etm)

Daily temperature condition	Remarks	Кс		
Areas with year-round temperature growing period – LGPt5=365 days				
Daily Ta ≥5°C; LGP _{t5} =365 days	In areas with year-round LGP $_{t5}$, the Kc value stays at 1	1.0		
Areas with dormanc	y period or cold break – LGPt5 less than 365 days			
Daily Ta ≤ 0°C; Tmax < 0°C	Precipitation falls as snow and is added to snow bucket	0.0		
Daily Ta ≤ 0°C; Tmax≥0°C	Snowmelt takes place (water balance = precipitation + snow melt); minor evapotranspiration	0.1		
0°C < Daily Ta < 5°C; Ta trend upward	Some biological activities before the start of the growing period	0.2		
Daily Ta ≥ 5°C; LGPt5<365 days; Case 1	Kc used for the days prior the start of the growing period	0.5		
Daily Ta ≥5°C; LGPt5<365 days; Case 2	Kc increases from 0.5 to 1.0 during the first month of LGP	0.5- 1.0		
Daily Ta ≥5°C; LGPt5<365 days; Case 1	Kc=1 until the daily Ta falls below 5°C	1.0		
0°C < Daily Ta < 5°C; Ta trend downward	Reduced biological activities before dormancy	0.2		

Multiple cropping zones classification

Multiple cropping zones classification (Table 9) is an additional agro-climatic indicator, which relates to the possibility of cultivating multiple sequential crops under rain-fed and irrigated conditions.

The PyAEZ's core modules perform calculation for single cropping systems. Additionally, several potential multiple cropping zones have been defined through matching the growth cycle with the temperature requirements based on Thermal Climate, Length of Growing period, Thermal Growing Period (LGP $_{t0}$ and LGP $_{t10}$), and the accumulated temperature summations (Tsum $_{t0}$, Tsum $_{t10}$). For more details on the multiple cropping zones classification please refer to the *GAEZ v4 Model Documentation* (Fischer *et al.*, 2021).

```
1 # Multiple Cropping Zones classification
2 multi_crop_zone = clim_reg.getMultiCroppingZones(tclimate, lgp, lgpt5, lgpt10,
3 tsum0,tsum10)
```

Function Argu	ments
tclimate	2D NumPy array, Thermal Climate classes
lgp	2D NumPy array, Length of Growing Period
lgpt5	2D NumPy array, Thermal LGP of Ta>5°C
lgpt10	2D NumPy array, Thermal LGP of Ta>10°C
tsum0	2D NumPy array, Temperature summation for Ta≥0°C
tsum10	2D NumPy array, Temperature summation for Ta≥10°C
Function Retu	rns
multi_crop_zo ne	Python List of 2D NumPy arrays, as [multi_crop_rainfed, multi_crop_irrigated].

Table 9 Delineation of multiple cropping zones

Pixel values	Zon e	Description
1	A	Zone of no cropping (too cold or too dry for rain-fed crops)
2	В	Zone of single cropping
3	С	Zone of limited double cropping (relay cropping; single wetland rice may be possible)
4	D	Zone of double cropping (note, in Zone D sequential double cropping including wetland rice is not possible)
5	Е	Zone of double cropping with rice (sequential double cropping with one wetland rice crop is possible in Zone E)
6	F	Zone of double rice cropping or limited triple cropping (may partly involve relay cropping. A third crop is not possible in case of two wetland rice crops)
7	G	Zone of triple cropping (sequential cropping of three short-cycle crops; two wetland rice crops are possible in Zone G)
8	Н	Zone of triple rice cropping (sequential cropping of three wetland rice crops is possible)

Fallow period requirements

Fallow is an agricultural technique that consists of not sowing the arable land during one or more growing seasons. In AEZ framework, the fallow factors have been established by main crop groups and environmental conditions. The crop groups include cereals, legumes, roots and tubers, and a miscellaneous group consisting of long-term annuals/perennials. The fallow factors are expressed as percentage of time during the fallow-cropping cycle the land must be under fallow. PyAEZ determines the fallow requirements using Thermal Zones. For further information on the fallow period requirement, please refer to Appendix 6–10 of the *GAEZ v4 Model Documentation* (Fischer *et al.*, 2021).

```
1 # Fallow period requirements
2 fallow = clim_reg.TZoneFallowRequirement(tzone)
```

Function Arguments

tzone

2D NumPy array, corresponding to Thermal Zone

Function Returns

fallow

2D NumPy array, corresponding to Thermal Zone for Fallow Requirements

Permafrost evaluation

Occurrence of continuous or discontinuous permafrost conditions are used in the suitability assessment. Permafrost areas are characterized by sub-soil at or below the freezing point for two or more years. In this section, PyAEZ utilizes the air frost index (FI) which is used to characterize climate-derived permafrost condition into 4 classes: (i) Continuous permafrost; (ii) Discontinuous permafrost; (iii) Sporadic permafrost; and (iv) No permafrost. For detailed calculations for air frost index please refer to Chapter 3 of the *GAEZ v4 Model Documentation* (Fischer *et al.*, 2021).

```
1 # Permafrost Evaluation
2 permafrost = clim_reg.AirFrostIndexandPermafrostEvaluation()
```

Function Arguments

None

Function Returns

permafrost

Python List of 2D NumPy arrays, as [frost index, permafrost class]

Agro-ecological Zones classification

The agro-ecological zones (AEZ) methodology provides a framework for establishing a spatial inventory of land resources compiled from global/national environmental data sets and assembled to quantify multiple spatial characteristics required for the assessments of land productivity under location-specific agro-ecological conditions.

The inventory combines spatial layers of thermal and moisture regimes with broad categories of soil/terrain qualities. It also indicates locations of areas with irrigated soils and shows land with severely limiting bio-physical constraints including very cold and very dry (desert) areas as well as areas with very steep terrain or very poor soil/terrain conditions. For further information on the classification criteria, please refer to Chapter 10 of the *GAEZ v4 Model Documentation* (Fischer *et al.*, 2021).

```
1 # AEZ classification
2 aez_class = clim_reg.AEZClassification(tclimate, lgp, lgp_equv, lgpt_5,
3 soil_terrain_lulc, permafrost)
```

Function Arguments			
tclimate	2D NumPy array, Thermal Climate classes		
lgp	2D NumPy array, Length of Growing Period		
lgp_equv	2D NumPy array, LGP Equivalent		
lgpt5	2D NumPy array, Thermal LGP of Ta>5°C		
soil_terrain_lul	2D NumPy array, soil/terrain/special land cover classes (8 classes)		
С	• 1: Dominantly very steep terrain		
	• 2: Dominantly hydromorphic soil		
	• 3: No or few soil/terrain limitations		
	• 4: Moderate soil/terrain limitations		
	• 5: Severe soil/terrain limitations		
	• 6: Irrigated soils		
	• 7: Water		
	• 8: Built-up/Artificial		

permafrost	2D NumPy array, Permafrost classes		
Function Returns			
aez_class	2D NumPy array, 57 classes of AEZ		

MODULE 2: CROP SIMULATION

Introduction

This key module simulates all the possible crop cycles to find the best crop cycle that produces maximum yield for a particular grid (Module 2 overview is shown in Figure 5). During the simulation process for each grid, 365 crop cycle simulations are performed. Each simulation corresponds to cycles that start from each day of the year (starting from Julian date 1 to Julian date 365). Similarly, this process is performed by the program for each grid in the study area.

Schematic representation of this process is shown in Figure 6. The attainable yields under irrigated and rain-fed conditions, during each crop cycle, are calculated with the help of several deterministic and empirical models as follows.

- **Total biomass** (de Wit, 1965): This model calculates total biomass produced by photosynthesis activities of plants under radiation condition of each grid. For more detailed calculations, refer to Chapter 4 of the *GAEZ v4 Model Documentation* (Fischer *et al.*, 2021).
- Crop yield from total biomass: Crop yield is obtained as a portion of useful harvest from
 the total biomass. This portion is defined by an index call Harvest Index (HI). Harvest index
 is defined as the amount of useful harvest divided by the total above ground biomass. For
 more detailed calculations, refer to Chapter 4 of the GAEZ v4 Model Documentation (Fischer
 et al., 2021).
- **Effects of water limitation on the crop yield**: This component is carried out for the rainfed yield calculation only. In the case of irrigated conditions, this component is abandoned, as we are assuming that the water is not a limiting factor for crop growth. To address the water limitation on the crop yield, two major models are considered:
 - Reference Evapotranspiration the Penman-Monteith equation (Doorenbos & Pruitt, 1977). A description of the implementation of the Penmann-Monteith equations is provided in Appendix 3-1 of Fischer *et al.* (2021)
 - Water balance calculation, together with applying the yield reduction factors based on water limitation (Smith, 1992)
- **Effects of temperature during crop cycle** and screening of crop cycles based on temperature requirements (termed *Thermal Screening*).

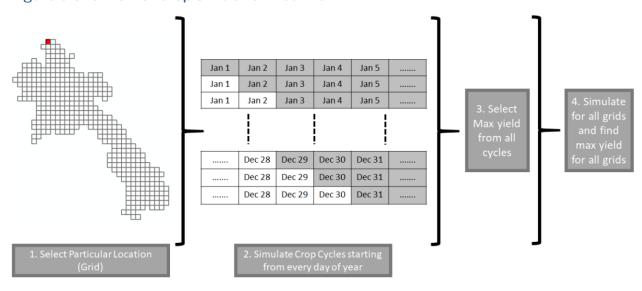
Similar to Module 1, we have to import and initiate the Class for the Crop Simulation module,

```
1 # import Module 2 Class
2 import CropSimulation
3 # create an instance - initiate the Class
4 aez = CropSimulation.CropSimulation()
```

setStudyAreaMask() setDailyClimateData() setMonthlyClimateData() Input setLocationTerrainData() readCropandCropCycleParameters() setSoilWaterParameters() Climate data Output setThermalClimateScreening() Initiate Maximum attainable yield setPermafrostScreening() Geographical **Crop Simulation** (irrigated and rain-fed) setLGPTScreening() and terrain data Object class Optimum start date for setupTypeBConstraint() crop cycle (irrigated and ImportLGPandLGPTforPerennial() rainfed) Reduction factor map (fc1, fc2) Crop-Specific simulateCropCycle() Parameters getEstimatedYieldRainfed() getEstimatedYieldIrrigated() getOptimumCycleStartDateRainfed() getOptimumCycleStartDateIrrigated() getThermalReductionFactor() getMoistureReductionFactor()

Figure 5 Overview of Module 2 (Crop Simulation) workflow





Setting up inputs for Module 2

Geographical and terrain input (Mandatory)

```
1 # Load geographical location and elevation data into the object class
2 aez.setLocationTerrainData(lat_min, lat_max, elevation)
```

Function Arguments		
lat_min	a single value corresponding to the minimum latitude (decimal degrees) of the study area	
lat_max	a single value corresponding to the maximum latitude (decimal degrees) of the study area	
elevation	2D NumPy array, elevation of the study area in metres	
Function Returns		
None		

Climate data input (Mandatory)

None

First, we have to read and load the climate data into Module 2 Class before proceeding with any calculations.

```
1 # Use the line below if MONTHLY data are used
2 aez.setMonthlyClimateData (min_temp, max_temp, precipitation, short_rad,
3 wind_speed, rel_humidity)
4 # Use the line below if DAILY data are used
5 aez.setDailyClimateData (min_temp, max_temp, precipitation, short_rad,
6 wind_speed, rel_humidity)
```

Function Arguments		
min_temp	3D NumPy array, daily or monthly minimum temperature (°C)	
max_temp	3D NumPy array, daily or monthly maximum temperature (°C)	
precipitation	3D NumPy array, daily or monthly total precipitation (mm/day)	
short_rad	3D NumPy array, daily or monthly solar radiation (W/m2)	
wind_speed	3D NumPy array, daily or monthly windspeed at 2m elevation (m/s)	
rel_humidity	3D NumPy array, daily or monthly relative humidity (percentage decimal, 0-1)	
Function Returns		

Setting Study Area Inputs (optional)

This function is set up as an optional step which set up the mask layer as input which reduces the computation time outside the pixels of considerations.

```
1 # Set up mask for the study area (country, regional, or local)
2 clim_reg.setStudyAreaMask(admin_mask, no_data_value=0)
```

Function Arguments	
admin_mask	2D NumPy array, extracted only region of interest (Binary 0/1)
no_data_value	A single value, pixels equal to this value will be omitted during calculation
Function Returns	
None	

Crop/crop cycle and TSUM parameters input (Mandatory)

This function allows to set up crop parameter, crop cycle parameters and TSUM screening parameters from the external excel sheet in xlsx format, essential for PyAEZ crop simulation setting.

```
1 # Call the function of reading the corresponding crop parameterization
2 aez.readCropandCropCycleParameters(file_path , crop_name)
```

Function Arguments		
file_path	The file path where all crop/crop cycle parameters and TSUM screening thresholds are provided. (String)	
crop_name	The unique ID of crop from excel sheet for crop simulation. (String)	
Function Returns		
None		

The excel sheet for this function must set up in such detailed description for each column names as below. If users desire to simulate perennial crops, it is mandatory to set up parameters concerning with perennials in excel sheet. For TSUM screening, for any crop types, it is necessary to provide all six thresholds. If either one of the thresholds is not provided, TSUM screening will NOT be activated.

Table 10 Detailed excel setting for crop/crop cycle and TSUM screening parameters

Abbreviation	Description	Data Type
--------------	-------------	-----------

Crop_name	Unique name of the crop/LUT		String	
input_level	Input management level defined by AEZ. Must be either 'low', 'intermediate' or 'high' level.		String	
НІ	Harvest Index		Float	
LAI	Leaf Area Index		Float	
legume	Is this crop legume? No = 0,	Yes = 1	binary	
adaptability	Crop adaptability class group either one of 1, 2, 3 or 4, refe	p of the crop. Value must be erring to the adaptability class	Integer	
cycle_len	Length of the crop cycle (Uni	it = Days)	Integer	
D1	Rooting depth at the beginning of the crop cycle (Unit = meters)	Note. D1 and D2 can be the same value. If affirmative, interpolation will not be	Float/integer	
D2	Rooting depth after maturity	applied for each day within the length of crop cycle.		
stage_per_1	- Percentages of each four	Initial stage (d1)		
stage_per_2	stages of a crop cycle. All	Vegetative stage (d2)	Float/integer	
stage_per_3	percentages summation	Reproductive stage (d3)		
stage_per_4	- must be 100.	Maturation stage (d4)		
kc_0	Crop water requirements	Initial stage (d1)		
kc_1	for three stages of a crop	Vegetative stage (d2)	Float/integer	
kc_2	- cycle	Maturation stage (d4)		
kc_all	A single value of crop water requirement for the entire growth cycle		Float/integer	
yloss_f0		Initial stage (d1)		
yloss_f2	Yield loss factors of each three stages of a crop cycle	Vegetative stage (d2)	Float/integer	
yloss_f3	um oo oougoo or a erop eyere	Maturation stage (d4)		
yloss_f_all	A single value of yield loss factor for the entire growth cycle		Float/integer	
min_temp	Minimum temperature requirement for a crop/LUT to grow.		Float/integer	
annual/perennial flag	A single value. Annual = 0, Note. If users are simulating perennial crops, providing		Binary (0,1)	
aLAI	αLAI	the corresponding parameterizations is	Float /integer	
bLAI	βLAI	mandatory. These values will	Float/integer	

аНІ	αΗΙ	be utilized for the newly	
ЬНІ	βНΙ	developed perennial adjustment in crop simulation. For annual crops, setting as annual flag, and the rest of the parameters as "nan" can be done, so adjustment is not required.	
LnS	TSUM threshold for lower boundary of Not Suitable	<i>Note.</i> These threshold points	
Ls0	TSUM threshold for lower boundary of Sub-Optimal range	six thresholds are provided. If	
LO	TSUM threshold for lower boundary of Optimal range		Float/integer
НО	TSUM threshold for upper boundary of Optimal range		i loat/iliteger
Hs0	TSUM threshold for upper boundary of Sub-Optimal range		
HnS	TSUM threshold for upper boundary of Not Suitable	variables.	

Soil water parameter input

This function allow user to set up the parameters related to the soil water storage.

```
1 # Set soil water parameter
2 aez.setSoilWaterParameters(Sa, pc)
```

Function Arguments		
Sa	A single value or a 2D NumPy array, corresponding to available soil moisture holding capacity [mm/m]. Usually, this value varies with soil texture; thus, Sa can be provided as single value for entire area or 2D NumPy array that represents variation of soil moisture holding capacity depending on soil texture.	
рс	A single value between 0 and 1, corresponding to soil water depletion fraction below which ETa < ETo.	

Function Returns

Thermal screening input

The functions in this section will screen the suitability of grid-cells for the possible presence of individual LUTs. The crops' temperature requirements will be matched with the prevailing thermal conditions (Thermal Regime characteristics calculated in Module 1).

Thermal climate

PyAEZ 's screening of crop/LUTs about thermal climate results in a 'yes/no' filter for further calculations, with user-defined list of thermal climate classes considered for the specific crop as "not suitable".

Thermal growing period

Growth cycle lengths of crop/LUTs are matched with LGP_{t5} . The result of the matching provides optimum match when the growth cycle can generously be accommodated within LGP_{t5} . Otherwise, the match is considered not suitable.

Permafrost Screening

This new procedure is fully referred to GAEZ v4 documentation stating that areas considered as continuous or discontinuous permafrost classes are considered not suitable (Fischer et al., 2021). In PyAEZ, permafrost screening results in 'yes/no' filter for further calculations using permafrost class output from Module I (See Permafrost evaluation).

Accumulated temperature sum (TSUM screening)

The matching of the individual crop LUT heat unit requirements with the prevailing temperature sum is the purpose of temperature summation screening. TSUM is evaluated from base temperature of 0 °C for each individual cycle length duration. In version 2.1.0, the new algorithm for TSUM screening is introduced which is implemented with different inputs.

TSUM screening works to evaluate three conditions, each deciding the TSUM suitability termed as: "Optimum", "Sub-optimum" and "Not-suitable". Optimum condition requires no reduction factor to the calculated yield, while the rest of two conditions calculates the TSUM related reduction factor. Each condition has upper and lower boundaries (See Figure 6); defined as threshold points for the users to provide as below:

- LO : Lower boundary of optimum threshold
- HO : Upper boundary of optimum threshold
- LsO : Lower boundary of sub-optimum threshold
- HsO : Upper boundary of sub-optimum threshold
- LnS : Lower boundary of not-suitable threshold
- HnS : Upper boundary of not-suitable threshold

Any TSUM values within the optimum threshold range (green line) are considered as inducive to plant growth; thus, no reduction factor will be applied. TSUM values between sub-optimum threshold has two components (illustrated as yellow and blue lines). Within yellow range (between LsO – LO

or HO – HsO), reduction factor is calculated up to maximum 25%, obtained by linear interpolation between two end points of user-defined thresholds. Within brown range (between LnS – LsO or HsO – HnS), substantial amount of reduction factor up to maximum of 75% are obtained, from linear interpolation. Any TSUM lower than LnS threshold or beyond HnS threshold are considered as temperature too high or too low for plant growth, giving rise to 100% reduction to calculated yield.

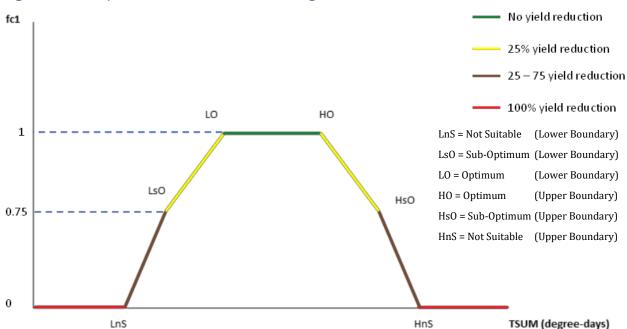


Figure 7 New Implementation for TSUM screening

Crop-Specific Rule Screening

The previous temperature profile screening in version 2.1.0 is substituted with renamed routine called "Crop-Specific Rule". This screening specifies conditions for crop cycle duration in terms of classes of daily mean temperature in 5°C intervals (See <u>Temperature profiles</u>). For activation, users require providing the external excel sheet to provide with corresponding column names. Similar to TSUM screening, users need to provide thresholds for three conditions: "Optimal", "Sub-Optimal" and "Not-Suitable". The unique combination of several temperature profile classes is to be provided as expressions as unique rules for a particular crop type. In PyAEZ, notations for temperature profile classes,

For all feasible crop calendars within the LGP (rain-fed) or within the year (irrigated), the temperature profile conditions are tested against optimum and suboptimum crop temperature profile requirements and in each case an "optimum" or "not suitable" match is established. Detailed calculations are fully referred to GAEZ v4 Model Documentation.

```
1 # Set parameters for Thermal Screening
2 aez.setThermalClimateScreening(tclimate,no_tclimate)
```

```
3 aez.setLGPTScreening(no_lgpt, optm_lgpt)
4 aez.setPermafrostScreening(permafrost_class)
5 aez.setupTypeBConstraint(file_path, crop_name)
```

Function Arguments		
tclimate	2D NumPy array, corresponding to thermal climate (an output of Module 1)	
no_tclimate	A numerical list, corresponding to pixel values of "not suitable" thermal climate zones	
no_lgpt	3-elements numerical list, "not suitable" 3 LGPt conditions (as in Module 1)	
optm_lgpt	3-elements numerical list, "optimum" 3 LGPt conditions (as in Module 1)	
permafrost_cl ass	2D numpy array, corresponding to permafrost classes (as in Module 1)	
file_path	The file path where the crop-specific rules are provided (String)	
crop_name	The unique ID of crop name to apply crop-specific rule (String)	
Function Returns		
None		

The crop specific rule excel-sheet setting is described in Table 11.

Table 11 Detailed excel setting for crop-specific rule setting

Abbreviation	Description	Data Type
Crop	Unique crop name	String
Constraint	Expression of different temperature profile classes combination	
	For instance, L61 + L4a.	String
	User must use the mathematical symbols used in python (+, -, **,	0
	*, /)	
Туре	Constraint type. Must be $[\geq, \leq, ==]$.	String
Optimal	The threshold value point for optimal condition.	Numerical
Sub-Optimal	The threshold value point for sub-optimal condition.	Numerical
Not-Suitable	The threshold value point for not-suitable condition.	Numerical

Perennial crop adjustments

If a perennial crop is introduced (based on excel setting for perennial flag as positive), PyAEZ will perform adjustment on the Leaf Area Index (LAI) and the Harvest Index (HI) based on the effective growing period. PyAEZ v2.1.0 have new a routine for the perennial crop simulations. In additional to Leaf Area Index and Harvest Index adjustment to perennial crops, new conditional logics are added to the simulation as below. The adjusted HI, LAI and cycle length will continue to use for next individual cycle's critical calculation of biomass estimation.

• Crop cycle length adjustment with temperature growing periods (LGPt) and LGP

Irrigated Condition

Irrigated perennial crops having minimum temperature (from excel sheet) less than or equal to 5° C will have their crop cycle length checked with LGPt5. If LGPt5 is less than the cycle length, LGPt5 will be chosen as effective cycle length (CYCeff) for the simulation. Otherwise, CYCeff will be the user-defined cycle length.

For minimum temperature greater than 5° C, the crop cycle length is cross-checked with LGPt10. If LGPt10 is less the cycle length, LGPt10 will be selected as effective cycle length (CYCeff). If that is not the case, Otherwise, CYCeff will be the user-defined cycle length.

Rainfed Condition

For rainfed perennial crops, the user-defined cycle length is compared with LGP of a particular location, if LGP is less than cycle length, the LGP will be used as effective cycle length (CYC_{eff}). If not, user-defined cycle length will be applied.

Leaf Area Index (LAI) and Harvest Index (HI) adjustment

The calculated effective cycle length will be used in perennial adjustment regarding LAI and HI, in addition to user-input adjustment parameterization. The pre-existing function of adjusted LAI and HI are calculated based Chapter 4 of the GAEZ v4 Model Documentation (Fischer et al., 2021).

To implement the mandatory feature of perennial adjustment, new function is introduced for users to initialize before the actual crop simulation.

```
1 # Set input layers for perennial adjustment
2 aez.ImportLGPandLGPTforPerennial(lgp, lgpt5, lgpt10)
```

Function Arguments	
lgp	A 2D NumPy array, corresponding to length of growing periods [days]
lgpt5	A 2D NumPy array, corresponding to temperature growing period at 5°C thresholds [days]

lgpt10	A 2D NumPy array, corresponding to temperature growing period at 10°C

thresholds [days]

Function Returns

None

Calculations and outputs

Crop cycle simulation

After setting up all of the related parameters, we can now run the crop cycle simulations/calculations by executing the function below:

```
1 # Crop cycle simulation
2 aez.simulateCropCycle(start_doy=1, end_doy=365, step_doy=1, leap_year=False)
```

Function Arg	guments
start_doy	A single value, corresponding to crop simulations starting Julian date. This is an optional argument. Default value is 1
end_doy	A single value, corresponding to crop simulations ending Julian date. This is an optional argument. Default value is 365
step_doy	A single value, corresponding to spacing (in days) between 2 adjacent crop simulations. This is an optional argument. Default value is 1
leap_year	 True or False, depending on whether the simulating year is a leap year or not. This allows handing leap and non-leap year differently.
	• This is only relevant for monthly climate data because this value will be used in interpolation processes.
	• In case of daily climate data inputs, length of daily climate data vector will be taken as number of days in a year.
	This is an optional argument, and the default value is False

Function Returns

None

Estimated Maximum Yield

These functions return the maximum attainable yield under the provided climate conditions in both rain-fed and irrigated conditions. The result's unit is in kilograms per hectare (kg/ha).

```
1 # Estimation of Maximum Yield for Rainfed scenario
2 yield_map_rain = aez.getEstimatedYieldRainfed()
3 # Estimation of Maximum Yield for Irrigated scenario
4 yield_map_irr = aez.getEstimatedYieldIrrigated()
```

Function Arguments

None

Function Retu	rns
yield_map_rai n	2D NumPy array, the maximum attainable yield under the provided climate conditions, under rain-fed conditions [kg/ha]
yield_map_irr	2D NumPy array, the maximum attainable yield under the provided climate conditions, under irrigated conditions [kg/ha]

Optimum Crop Calendar

```
1 # Optimum starting date for crop cycle
2 starting_date_rainfed = aez.getOptimumCycleStartDateRainfed()
3 starting_date_irrigated = aez.getOptimumCycleStartDateIrrigated()
```

Function Arguments

None

Function Returns

starting_date

2D NumPy array for each function for rainfed or irrigated conditions. Each pixel value corresponds to the Julian day of the optimum crop cycle starting date within user-defined time length for simulation.

Thermal Reduction Factor (fc1)

This new function provides the yield reduction factor due to thermal constraints derived from thermal screening procedures. Value ranges from 0 (most limiting) to 1 (very suitable).

```
1 # Obtaining fc1 factor map
2 factor= aez.getThermalReductionFactor()
```

Function Arguments

None

Function Returns

fc1

If perennial, a python list of two 2-D Numpy arrays, corresponding to fc1 maps such a manner as [fc1_rainfed, fc1_irrigated].

If non-perennial, a 2-D Numpy array of fc1 map for both rainfed and irrigated conditions.

Moisture Reduction Factor (fc2)

This new function provides the yield reduction factor due to moisture deficit derived from crop water requirement calculation. Value ranges from 0 (most limiting) to 1 (very suitable). Note that Fc2 map is only produced for non-perennial crops because moisture deficit does not apply limitation to perennials.

```
1 # Obtaining fc2 factor map
2 fc2_map = aez.getMoistureReductionFactor()
```

Function Arguments

None

Function Returns

fc2

2-D Numpy array, corresponding to yield reduction factor due to moisture deficit. (Only for non-perennial crops).

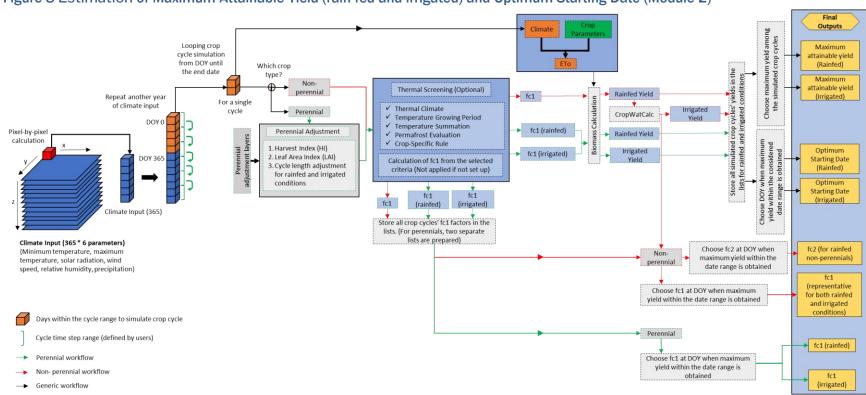


Figure 8 Estimation of Maximum Attainable Yield (rain-fed and irrigated) and Optimum Starting Date (Module 2)

MODULE 3: CLIMATE CONSTRAINTS

Introduction

In this module, various yield reduction factors will be applied to the maximum attainable yield estimated from Module 2 to consider the constraining effects which are difficult to simulate during the crop cycle simulation (Figure 9). For example, climatic effects can be pests, diseases, and poor workability due to excess soil moisture. These effects, in turn, depend on the different levels of inputs, LGP and LGP Equivalent.

All of the reduction factors used in Modules 3, 4, and 5 are located in 2 parameter files corresponding to irrigated and rain-fed conditions. These files MUST be edited with the reduction factors values corresponding to each crop and input level. Users are strongly encouraged to advise to use specific reduction factors based on national research for national-level analysis.

This module considers four types of agro-climatic constraints:

- Pests, diseases, and weeds damages on plant growth ('b' group).
- Pests, diseases, and weeds damages on produce's quality ('c' group).
- Climatic factors affecting the efficiency of farming operations ('d' group).
- Frost hazards ('e' group).

Note: The existing 'a' group corresponding with yield reduction due to rainfall variability (by GAEZ v4 documentation) is not considered in PyAEZ. See the *GAEZ v4 Model Documentation* for further details on the climate constraints (Fischer *et al.*, 2021).

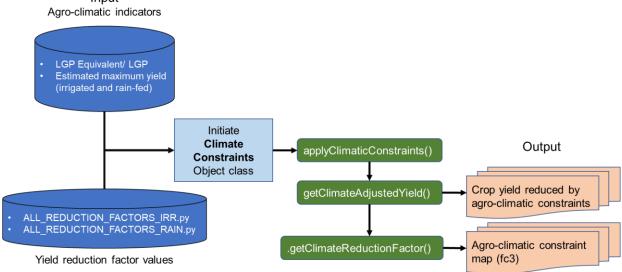
Similar to the previous modules, this module starts with importing and initiating the Class.

```
1 # Import and create a Class instance
2 import ClimateConstraints
3 obj_constraints = ClimaticConstraints.ClimateConstraints()
```

Figure 9 Overview of Module 3 (Climate Constraints) workflow

Input

Agro-climatic indicators



Setting up parameter files

The following two parameter files contains the reduction factors values to be used in Module 3, 4, and 5 and MUST be prepared before calling the module.

File	Remark
ALL_REDUCTION_FACTORS_IRR.py	Reduction factors for irrigated conditions
ALL_REDUCTION_FACTORS_RAIN.py	Reduction factors for rain-fed conditions

Within the two parameter files, the values related to climatic constraint are input as:

```
2'''Reduction Factors for Climatic Constraints'''
3 ****
4#defining yield reduction factors based on wetness indicator (LPGagc) & LGPt10
5# Class Intervals for LGPage
6lgp_eq_class = [[0,29], [30,59], [60,89], [90,119], [120,149],
7
                [150,179], [180,209], [210,239], [240,269],
8
                [270,299], [300,329], [330,364], [336, 366]]
10# Class Intervals for LGPt10
11lgpt10_class = [[0,29], [30,59], [60,89], [90,119], [120,149],
12
                [150,179], [180,209], [210,239], [240,269],
13
                [270,299], [300,329], [330,364], [336, 366]]
14
```

```
15# Lookup table when mean annual temperature > 20 deg Celsius
16 \log_{eq} - red_{fr} = [[0,0,0,0,0,0,0,0,0,25,25,25,25,50], # 'b' constraint
17
                            [0,0,0,0,0,0,0,0,0,0,0,25,50], # 'c' constraint
18
                            [0,0,0,0,0,0,0,10,30,30,30,30,30]] # 'd' constraint
19
20# Lookup table when mean annual temperature < 10 deg Celsius
211gp_eq_red_fr_lt_10deg = [[0,0,0,0,0,0,0,0,10,10,10,10,30], # 'b' constraint
22
                            [0,0,0,0,0,0,0,0,0,0,10,30], # 'c' constraint
23
                            [0,0,0,0,0,0,0,10,30,30,30,30,30]] # 'd' constraint
24
25# Look-up table for frost-free period for each day interval
26lgpt10 = [0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0] # 'e' constraint
```

It is important for users to provide the class intervals and the reduction factors corresponding to have the same number of elements during the data preparation. For instance, if a user provides 10 class interval values for lgp_eq_class, the related reduction factor tables must correspond to having the same number of elements.

Function Arguments

None

Parameters		
lgp_eq_class	[days]. This must corres	to the adjusted LGP (wetness indicator) classes spond to two reduction factor tables eg, lgp_eq_red_fr_lt_10_deg)
lgp_eq_red_fr_gt_20_deg lgp_eq_red_fr_lt_10_deg	Reduction factors for wetness indicator days interval when annual mean temperature is greater than 20°C Reduction factors for wetness indicator days interval when annual mean temperature is less than 10°C	2D List, corresponding to reduction factors to each adjusted LGP (wetness indicator) classes. The 3 rows are corresponding to 3 types of agro-climatic constraints ('b', 'c' and 'd' constraints respectively) which are mentioned in the above section and columns are corresponding to adjusted LGP classes as in lgp_eq_class.

lgpt10_class	2D List, corresponding to the frost-free periods (lgp_{t10}) class intervals, specifically for 'e' type constraint. Each class interval must correspond to reduction factor table: $lgpt10$
lgpt10	1D List, corresponding to reduction factors relevant of frost-free periods. Each element must correspond to the respective class interval of lgpt10_class.

Applying climate constraints

This function applies the climate-related yield reduction factors to produce the reduced yield:

```
1 # Apply agro-climatic constraints
2 yield_out = clim_con.applyClimaticConstraints(min_temp, max_temp, lgp, lgp_equv,
3 lgpt10, yield_input, irr_or_rain,
4 tclimate, no_tclimate)
```

Function Argu	uments
min_temp	3-D NumPy array, corresponding to minimum temperature (°C) (Daily or Monthly)
max_temp	3-D NumPy array, corresponding to maximum temperature (°C) (Daily or Monthly)
lgp	2-D NumPy array, corresponding to the length of growing period (days)
lgp_eq	2D NumPy array, corresponding to LGP Equivalent (days)
lgpt10	2-D NumPy array, corresponding to frost-free periods (days)
yield_in	2D NumPy array, corresponding to the yield before applying the climatic reduction factors
irr_or_rain	A single character (string), indicating whether yield_in is under irrigated('I') or rain-fed condition('R')
tclimate	2-D NumPy array, corresponding to thermal climate (as in Module I Thermal Climate)
no_tclimate	A list containing the thermal climate classes considered as "Not Suitable".
Function Returns	

None.

Climate Adjusted Yield

This function returns the yield map adjusted with agro-climatic constraints, based on the previous function setting.

```
1 # Getting climate adjusted yield
2 clim_adj_yield = clim_con.getClimateAdjustedYield()
```

Function Arguments

None.

Function Returns

clim_adj_yield A 2-D NumPy array, corresponding to the climate-adjusted yield (for user-defined condition)

Agro-Climatic Reduction Factor (fc3)

This function returns yield reduction factor due to agro-climatic constraints for a particular management level users defined. The value ranges from 0 to 1 (from the most limiting condition to the most suitable condition).

```
1 # Getting climate adjusted yield
2 fc3_map = clim_con.getClimateReductionFactor()
```

Function Arguments

None.

Function Returns

fc3 A 2-D NumPy array, corresponding to the yield reduction factor due to agroclimatic constraints.

MODULE 4: SOIL CONSTRAINTS

Introduction

After applying the agro-climatic constraints onto the maximum attainable yield, we will now apply the soil constraints (Figure 10).

The combination of 7 soil qualities (SQ), which are based on the soil characteristics of each soil unit, and the input level gives us a single yield reduction factor – *Soil Rating*, which will be applied to the remaining yield. For more details on this calculation, please refer to the *GAEZ v4 Model Documentation* (Fischer *et al.*, 2021).

- SQ1: Nutrient availability
- SQ2: Nutrient retention capacity
- SQ3: Rooting conditions
- SQ4: Oxygen availability to roots
- SQ5: Excess salts
- SQ6: Toxicity
- SQ7: Workability (constraining field management)

The soil characteristic of each soil unit must be prepared as outlined in Table 3. The two .csv files of the topsoil and subsoil characteristics are:

- soil_characteristics_topsoil.csv
- soil_characteristics_subsoil.csv

These two files are located in ./input_data/csv/ folder.

First, we must import Module 4 Class:

```
1 import SoilConstraints
2 soil_constraints = SoilConstraints.SoilConstraints()
```

Input Agro-climatic indicators Estimated maximum yield (irrigated and rainfed) calculateSoilQualities() Soil map Initiate Soil calculateSoilRating() Constraints Output Object class Crop yield reduced by applySoilConstraints() agro-edaphic (soil) constraints ALL REDUCTION FACTORS IRR.py ALL_REDUCTION_FACTORS_RAIN.py soil_characteristics_topsoil.csv soil_characteristics_subsoil.csv

Figure 10 Overview of Module 4 (Soil Constraints) workflow

Setting up parameter files

Yield reduction factor values & Soil characteristics

The following two parameter files contains the reduction factors values to be used in Module 3, 4, and 5.

File	Remark
ALL_REDUCTION_FACTORS_IRR.py	Reduction factors for irrigated conditions
ALL_REDUCTION_FACTORS_RAIN.py	Reduction factors for rain-fed conditions

```
1 '''Reduction Factors for Soil Constraints'''
2 # Value - values of soil characteristics (mush be ascending order)
3 # Factor - yield reduction factors corresponding to each value
4
5 # Soil texture for SQ1
6 TXT1_value = ['Fine', 'Medium', 'Coarse']
7 TXT1_factor = [90, 70, 30]
8
9 # Soil texture for SQ2
10 TXT2_value = ['Fine', 'Medium', 'Coarse']
11 TXT2_factor = [90, 70, 30]
12
13 # Soil texture for SQ7
```

```
14 TXT7_value = ['Fine', 'Medium', 'Coarse']
15 TXT7 factor = [90, 70, 30]
16
17 # Soil organic carbon
18 OC_value = [0, 0.8, 1.5, 2]
19 OC factor = [50, 70, 90, 100]
20
21 # Soil pH
22 pH_value = [3.6, 4.1, 4.5, 5, 5.5, 6]
23 pH_factor = [10, 30, 50, 70, 90, 100]
25 # Total exchangeable bases
26 TEB_value = [0, 1.6, 2.8, 4, 6.5]
27 TEB factor = [30, 50, 70, 90, 100]
28
29 # Base saturation
30 BS_value = [0, 35, 50, 80]
31 BS factor = [50, 70, 90, 100]
32
33 # Cation exchange capacity of soil
34 CECsoil value = [0, 2, 4, 8, 10]
35 CECsoil factor = [30, 50, 70, 90, 100]
36
37 # Cation exchange capacity of clay
38 CECclay value = [0, 16, 24]
39 CECclay factor = [70, 90, 100]
40
41 # Effective soil depth
42 RSD value = [35, 70, 85]
43 RSD factor = [50, 90, 100]
44
45 # Soil coarse material (Gravel)
46 GRC value = [10, 30, 90] # %
47 GRC factor = [100, 35, 10]
48
49 # Drainage. VP: very poor, P: Poor, I: Imperfectly, MW: Moderately well, W:
50 Well, SE: Somewhat Excessive, E: Excessive
51 DRG_value = ['VP', 'P', 'I', 'MW', 'W', 'SE', 'E']
52 DRG_factor = [50, 90, 100, 100, 100, 100, 100]
53
54 # Exchangeable sodium percentage
55 ESP_value = [10, 20, 30, 40, 100] # %
56 ESP_factor = [100, 90, 70, 50, 10]
```

```
57
58 # Electric conductivity
59 EC value = [1, 2, 4, 6, 12, 100] # dS/m
60 EC factor = [100, 90, 70, 50, 30, 10]
62 # Soil phase rating for SQ3
63 SPH3_value = ['Lithic', 'skeletic', 'hyperskeletic']
64 SPH3 factor = [100, 50, 30]
65
66 # Soil phase rating for SQ4
67 SPH4_value = ['Lithic', 'skeletic', 'hyperskeletic']
68 SPH4_factor = [100, 50, 30]
69
70 # Soil phase rating for SQ5
71 SPH5 value = ['Lithic', 'skeletic', 'hyperskeletic']
72 SPH5_factor = [100, 50, 30]
73
74 # Soil phase rating for SQ6
75 SPH6 value = ['Lithic', 'skeletic', 'hyperskeletic']
76 SPH6_factor = [100, 50, 30]
77
78 # Soil phase rating for SQ7
79 SPH7_value = ['Lithic', 'skeletic', 'hyperskeletic']
80 SPH7_factor = [100, 50, 30]
81
82 # Other soil depth/volume related characteristics rating
83 OSD_value = [0]
84 OSD factor = [100]
85
86 # Soil property rating - vertic or not
87 SPR value = [0, 1]
88 SPR factor = [100, 90]
89
90 # Calcium carbonate
91 CCB_value = [3, 6, 15, 25, 100] # %
92 CCB_factor = [100, 90, 70, 50, 10]
93
94 # Gypsum
95 GYP_value = [1, 3, 10, 15, 100] # %
96 GYP factor = [100, 90, 70, 50, 10]
97
98 # Vertical properties
99 VSP_value = [0, 1]
```

100 VSP_factor = [100, 90]

Table 12 Detailed description of soil parameters setting for seven soil qualities

Parameters			
Soil texture			
TXT1_value	List of Strings, corresponding to soil texture types for SQ1		
TXT1_factor	List of numerical values, corresponding to respective reduction factors to TXT1 value		
TXT2_value	List of Strings, corresponding to soil texture types for SQ2		
TXT2_factor	List of numerical values, corresponding to respective reduction factors to TXT2 value		
TXT7_value	List of Strings, corresponding to soil texture types for SQ7		
TXT7_factor	List of numerical values, corresponding to respective reduction factors to TXT7 value		
Soil organic car	bon		
OC_value	List of numerical values, corresponding to soil organic carbon. Values must me in ascending order		
OC_factor	List of numerical values, corresponding to respective reduction factors to OC_value		
Soil pH			
pH_value	List of numerical values, corresponding to soil pH. Values must me in ascending order		
pH_factor	List of numerical values, corresponding to respective reduction factors to pH_value		
Total exchangea	able bases		
TEB_value	List of numerical values, corresponding to total exchangeable bases. Values must me in ascending order		
TEB_factor	List of numerical values, corresponding to respective reduction factors to TEB_value		
Base saturation			
BS_value	List of numerical values, corresponding to base saturation. Values must me in ascending order		

BS_factor List of numerical values, corresponding to respective reduction factors to BS_value Cation exchange capacity of soil CECsoil_value List of numerical values, corresponding to cation exchange capacity of soil. Values must me in ascending order CECsoil_factor List of numerical values, corresponding to respective reduction factors to CECsoil_value Cation exchange capacity of clay CECclay_value List of numerical values, corresponding to cation exchange capacity of clay. Values must me in ascending order CECclay_factor List of numerical values, corresponding to respective reduction factors to CECclay_value Effective soil depth RSD_value List of numerical values, corresponding to effective soil depth. Values must in ascending order RSD_factor List of numerical values, corresponding to respective reduction factors to RSD_value Soil coarse material (Gravel) List of numerical values, corresponding to soil coarse material (Gravel) content as percentage. Values must me in ascending order
CECsoil_value List of numerical values, corresponding to cation exchange capacity of soil. Values must me in ascending order List of numerical values, corresponding to respective reduction factors to CECsoil_value Cation exchange capacity of clay CECclay_value List of numerical values, corresponding to cation exchange capacity of clay. Values must me in ascending order CECclay_factor List of numerical values, corresponding to respective reduction factors to CECclay_value Effective soil depth RSD_value List of numerical values, corresponding to effective soil depth. Values must in ascending order RSD_factor List of numerical values, corresponding to respective reduction factors to RSD_value Soil coarse material (Gravel) List of numerical values, corresponding to soil coarse material (Gravel)
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RSD_value Soil coarse material (Gravel) List of numerical values, corresponding to soil coarse material (Gravel)
List of numerical values, corresponding to soil coarse material (Gravel)
GRU VAINE
content as percentage, values must me mi ascending order
GRC_factor List of numerical values, corresponding to respective reduction factors to GRC_value
Drainage class
List of Strings, corresponding to drainage class (VP: very poor, P: Poor, I: DRG_value Imperfectly, MW: Moderately well, W: Well, SE: Somewhat Excessive, E: Excessive)
DRG_factor List of numerical values, corresponding to respective reduction factors to DRG_value
Exchangeable Sodium percentage
ESP_value List of numerical values, corresponding to exchangeable sodium percentag Values must me in ascending order
ESP_factor List of numerical values, corresponding to respective reduction factors to ESP_value

Parameters		
Electric conduc	tivity	
EC_value	List of numerical values, corresponding to electric conductivity. Values must me in ascending order	
EC_factor	List of numerical values, corresponding to respective reduction factors to EC_value	
Soil phase ratin	g – stagnic or gleyic, present or not	
SPH3_value	List of Strings, corresponding to soil phase class for SQ3	
SPH3_factor	List of numerical values, corresponding to respective reduction factors to SPH3_value	
SPH4_value	List of Strings, corresponding to soil phase class for SQ4	
SPH4_factor	List of numerical values, corresponding to respective reduction factors to SPH4_value	
SPH5_value	List of Strings, corresponding to soil phase class for SQ5	
SPH5_factor	List of numerical values, corresponding to respective reduction factors to SPH5_value	
SPH6_value	List of Strings, corresponding to soil phase class for SQ6	
SPH6_factor	List of numerical values, corresponding to respective reduction factors to SPH6_value	
SPH7_value	List of Strings, corresponding to soil phase class for SQ7	
SPH7_factor	List of numerical values, corresponding to respective reduction factors to SPH3_value	
OSD_value	List of numerical values, corresponding to other soil depth/volume related characteristics rating	
OSD_factor	List of numerical values, corresponding to respective reduction factors to OSD_value	
Soil property rating - vertic or not		
SPR_value	List of numerical values, corresponding to soil property rating. Values in the list can be either 0 or 1 depending on availability of particular soil phases. Values must me in ascending order	
SPR_factor	List of numerical values, corresponding to respective reduction factors to SPR_value	
Calcium carbon	ate	

Parameters		
CCB_value	List of numerical values, corresponding to calcium carbonate content as percentage. Values must me in ascending order	
CCB_factor	List of numerical values, corresponding to respective reduction factors to CCB_value	
Gypsum		
GYP_value	List of numerical values, corresponding to gypsum content as percentage. Values must me in ascending order	
GYP_factor	List of numerical values, corresponding to respective reduction factors to GYP_value	
Vertical proper	ties	
VSP_value List of numerical values, corresponding to vertical properties. Values in the can be either 0 or 1 depending on availability of vertical properties. Values must me in ascending order		
VSP_factor	List of numerical values, corresponding to respective reduction factors to VSP_value	

Calculate soil qualities

This function calculates 7 soil qualities for each soil unit based on the input soil characteristics.

```
1 # Soil Qualities
2 soil_constraints.calculateSoilQualities(irr_or_rain)
```

irr_or_rain Single character String, indicating calculations are considered under either rainfed condition or irrigated condition. 'R' is for rain-fed condition, and 'I' is for irrigated condition Function Returns None

Calculate soil ratings

This function calculates soil ratings for each soil unit, combining 7 soil qualities based on input level.

```
1 # Soil rating
2 soil_constraints.calculateSoilRating(input_level)
```

Function Arguments

input_level

Single character String, corresponding to input level. 'L' is for Low input level, 'I' is for Intermediate input level, and 'H' is for Hight input level. Please note that the reduction factors provided in *ALL_REDUCTION_FACTORS_IRR.py* and *ALL_REDUCTION_FACTORS_RAIN.py* must reflect on this input level condition.

Function Returns

None

Extracting soil qualities

This function returns 7 soil qualities calculated for each soil unit based on the input soil characteristics.

```
1 # Extracting soil qualities
2 soil_qualities = soil_constraints.getSoilQualities()
```

Function Arguments

None

Function Returns

soil_qualities

2D NumPy array. Each row corresponds to soil units. The first column corresponds to soil unit code. Column 2–8 correspond to the 7 soil qualities

Extracting soil ratings

This function returns 7 soil qualities calculated for each soil unit based on the input soil characteristics.

```
1 # Extracting soil qualities
2 soil_ratings = soil_constraints.getSoilRatings()
```

Function Arguments

None

Function Returns

soil_ratings	2D NumPy array. Each row	corresponds to soil units. The first column

corresponds to soil unit code. The second column corresponds to the soil rating $% \left(1\right) =\left(1\right) \left(1\right) \left$

of each soil unit.

Applying soil constraints

This function applies all soil-related yield reduction factors.

```
1 # Soil Constraints
2 yield_out = soil_constraints.applySoilConstraints(soil_map, yield_in)
```

Function Arguments	
soil_map	2D NumPy array, corresponding to soil unit. Each pixel value must be soil unit code. This code is used to match the soil rating with the input yield
yield_in	2D NumPy array, corresponding to the yield before applying the soil reduction factors
Function Returns	
yield_out	2D NumPy array. The yield reduced by soil-related factors [same unit as yield_in]

MODULE 5: TERRAIN CONSTRAINTS

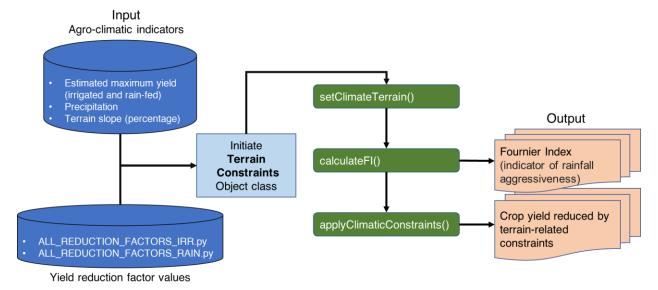
Introduction

This section introduces the yield reduction due to terrain slope, soil erosion, and Fournier Index (FI) (Figure 11). The FI is based on the monthly precipitation (climate-related). These yield reduction factors will be applied to the maximum attainable yield. For detailed calculations for this section, please refer to the *GAEZ v4 Model Documentation* (Fischer *et al.*, 2021).

First, we must import Module 5 Class:

```
1 import TerrainConstraints
2 terrain_constraints = TerrainConstraints.TerrainConstraints()
```

Figure 11 Overview of Module 5 (Terrain Constraints) workflow



Setting up parameter files

The following two parameter files contains the reduction factors values to be used in Module 3, 4, and 5.

File	Remark
ALL_REDUCTION_FACTORS_IRR.py	Reduction factors for irrigated conditions
ALL_REDUCTION_FACTORS_RAIN.py	Reduction factors for rain-fed conditions

```
1'''Reduction Factors for Terrain Constraints'''
3# Classes of slopes (Percentage Slope)
4Slope_class = [[0,0.5],[0.5,2],[2,5],[5,8],[8,16],[16,30],[30,45],[45,100]]
5# Classes of Fournier index
6FI_class=[[0,1300],[1300,1800],[1800,2200],[2200,2500],[2500,2700],[1700,100000]]
7# Sample data are for irrigated-intermediate input-wetland rice
8# Rows corresponding to FI class and columns corresponding to slope class
9Terrain_factor =[[100,
                            100,
                                    75,
                                             50,
                                                      25,
                                                               0,
                                                                                0],
10
                   [100,
                            100,
                                    100,
                                             100,
                                                      100,
                                                                                0],
                                                               75,
                                                                       0,
11
                   [100,
                            100,
                                    100,
                                             100,
                                                               25,
                                                                                0],
                                                      75,
                                                                       0,
12
                            100,
                                                      50,
                                                                                0],
                   [100,
                                    100,
                                             100,
                                                               0,
                                                                       0,
13
                   [100,
                            100,
                                    100,
                                             100,
                                                      25,
                                                                       0,
                                                                                0],
                                                               0,
14
                                     100,
                                             100,
                                                                                0]]
                   [100,
                            100,
                                                      25,
                                                               0.
                                                                       0,
```

Parameters	
slope_class	2D List, corresponding to slope classes. Slope unit must me Percentage Slope
FI_class	2D List, corresponding to Fournier index (FI) classes
Terrain_factor	2D List, corresponding to reduction factors. The rows are corresponding to FI classes and the columns correspond to slope classes

Setting up inputs

Climate and terrain inputs

This function allows users to set up the monthly precipitation and terrain slope data. This is a mandatory step before executing further calculations.

```
1 # Set up climate and slope data
2 terrain_constraints.setClimateTerrainData(precipitation, slope)
```

Function Arguments		
precipitation	3D NumPy array corresponding to monthly precipitation. Unit of monthly precipitation can be of any unit, since Fournier Index (FI) is a ratio, unit conversion factors will be cancelled out	
slope	2D NumPy array, corresponding to terrain slope. [Percentage Slope]	
Function Returns		

Calculate Fournier Index

This function calculates Fournier Index (FI) based on the input monthly precipitation. FI is a simple index that indicates the potential of soil erosion based on monthly precipitation.

```
1 # Calculate Fournier Index
2 terrain_constraints.calculateFI()
```

Function Arguments

None

Function Returns

None

Extract Fournier Index

This function returns Fournier Index (FI), which is based on the input monthly precipitation. This is an optional function. FI can be extracted with this function if required.

```
1 # Extract Fournier Index
2 fi = terrain_constraints.getFI()
```

Function Arguments

None

Function Returns

fi

2D NumPy array, corresponding to Fournier Index (FI) based on the input monthly precipitation

Applying terrain constraints

This function applies the terrain-related yield reduction factors.

```
1 # Apply Terrain Constraints
2 yield_out = terrain_constraints.applyTerrainConstraints(yield_in, irr_or_rain)
```

Function Arguments		
yield_in	2D NumPy array, corresponding to the yield before applying the terrain-related reduction factor. This can be the yield under either irrigated or rain-fed conditions from Module 4	
irr_or_rain	Single character String, indicating yield in is in either rain-fed or irrigated condition. 'R' is for rain-fed condition, and 'I' is for irrigated condition	
Function Returns		
yield_out	2D NumPy array. The yield reduced by soil-related factors [same unit as yield_in]	

MODULE 6: ECONOMIC SUITABILITY ANALYSIS

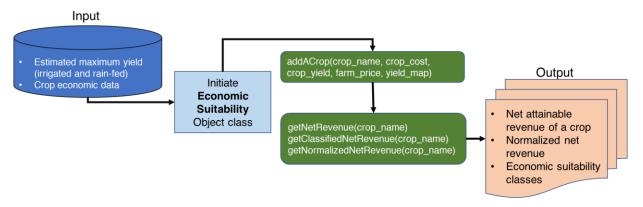
Introduction

Economical Suitability Analysis Module is the most recent addition to AEZ framework (Figure 12). This module converts AEZ's final crop suitability (a result of the previous 5 modules) into an economic suitability. Additionally, all crops of interest are compared to the umbrella crop (crop with the highest economical potential) in order to indicate and map out its comparative advantage in terms of an attainable net revenue relative to the best available option. For more detailed calculations, refer to Module 6 chapter in National Agro-Economic Zoning for Major Crops in Thailand (NAEZ) report (FAO, 2017a).

First, we have to import the Module 6 Class and create an instance of that Class as below.

```
1 import EconomicSuitability
2 econ_su = EconomicSuitability.EconomicSuitability()
```

Figure 12 Overview of Module 6 (Economic Suitability) workflow



Crop parameters inputs

This function allows users to set up the crop parameters for an economic analysis. The key inputs for Module 6 are the crop yield information generated from the previous 5 modules in PyAEZ, market prices, and the costs of the crop.

This function will be called multiple times as it performs a comparative economic analysis. This is a mandatory function to run before any further calculations.

```
1 # Crop parameter input
2 econ_su.addACrop(crop_name, crop_cost, crop_yield, farm_price, yield_map)
```

Function Arguments		
crop_name	A single string value, corresponding to the crop name that you are adding. This name will be used later to extract output for each crop	
crop_cost	 1D NumPy array, corresponding to the cost of production for each yield values in crop yield variable 	
	 Values of crop_cost and crop_yield must be corresponding to each other, and they must be in ascending order 	
	 Units of this variable must be in cost per hectare 	
	 All the costs and prices in this module must be in same currency 	
crop_yield	1D NumPy array, corresponding to the yield values	
	 Values of crop_cost and crop_yield must be corresponding to each other, and they must be in ascending order 	
	 Units of this variable must be in tonnes per hectare 	
farm_price	1D NumPy array, corresponding to the historical crop price that farmers sell	
	 The price array is used to calculate distribution (mean) of prices 	
	 Unit: price (same currency throughout unit per tonne) 	
yield_map	2D NumPy array, corresponding to yield map of the crop. Unit: tonnes per hectare	
Function Retu	rns	
None		

Net Revenue

This function returns net revenue from the crop identified with 'crop_name'.

```
1 # Get the Net Revenue
2 crop_rev = econ_su.getNetRevenue(crop_name)
```

Function Arguments		
crop_name A single string value, corresponding to the crop name		
Function Returns		
crop_rev	2D NumPy array, net revenue of the input crop_name. Unit: revenue per hectare	

Classified Net Revenue

This function returns classified net revenue for the crop 'crop_name'. The classification scheme for crop net revenue is outlined in Table 13.

```
1 # Net revenue classification
2 crop_rev_class = econ_su.getClassifiedNetRevenue(crop_name)
```

Function Arguments

crop_name A single string value, corresponding to the crop name

Function Returns

crop_rev_class 2D NumPy array, classified net revenue of the input crop_name

Table 13 Net Revenue Classification

Pixel value	Net Revenue Class	Description
0	Not suitable	Net revenue less than 0%
1	Very marginal	Net revenue between 0% and 10%
2	Marginal	Net revenue between 10% and 20%
3	Moderate	Net revenue between 20% and 30%
4	Medium	Net revenue between 40% and 50%
5	Good	Net revenue between 50% and 63%
6	High	Net revenue between 63% and 75%
7	Very high	Net revenue is equivalent to 75% or more than the overall maximum

Normalized Net Revenue

This function returns the normalized net revenue for the crop 'crop_name'. The normalization is done, firstly, by assigning the highest possible net revenue, among crops passed through the module, to 1 (i.e. an umbrella crop). Secondly, the net revenue values of other crops are normalized as a portion of the umbrella crop (0-1 scale). This normalization process is performed separately for each pixel.

```
1 # Normalized net revenue
2 crop_rev_norm = econ_su.getNormalizedNetRevenue(crop_name)
```

Function A	Arguments
------------	-----------

crop_name A single string value, corresponding to the crop name

Function Returns

 $crop_rev_nor \qquad 2D \ NumPy \ array, normalized \ net \ revenue \ of the \ input \ crop_name. \ Output \ values$

m between 0 and 1

UTILITY CALCULATIONS

Introduction

This section will outline the additional calculation routines used throughout the PyAEZ's 6 main modules. These functions are contained within a Class called 'UtilitiesCalc'.

The functions are as follows:

Functions in UtilitiesCalc	Description
interpMonthlyToDaily	Perform monthly-to-daily interpolation for climate data
averageDailyToMonthly	Aggregate daily climate data into monthly data
generateLatitudeMap	Generate latitude map as 2D NumPy array, by linearly interpolating the bottom and top latitudes of the study area
classifyFinalYield	Classify yield estimation and produce suitability map according to AEZ's classification scheme
saveRaster	Saving 2D NumPy arrays as GeoTIFF raster files
averageRasters	Averaging a list of rasters in the time-dimension
windSpeedAt2m	Convert windspeed from a particular altitude to 2m above the surface

To use this UtilitiesCalc Class, we first must import and create a Class instance:

```
1 import UtilitiesCalc
2 obj_utilities = UtilitiesCalc.UtilitiesCalc()
```

Monthly-to-daily interpolation

This function performs interpolation of monthly climate data into daily climate data with quadratic spline interpolation as recommended in AEZ framework. The interpolation is performed between cycle_begin and cycle_end Julian dates.

```
1 # Monthly-to-daily interpolation
2 daily_vector = obj_utilities.interpMonthlyToDaily(monthly_vector, cycle_begin,
3 cycle_end, no_minus_values=False)
```

Function Arguments

monthly_vecto r	1D NumPy array with 12 elements corresponding to the monthly climate data	
cycle_begin	A single value corresponding to the beginning Julian date of the crop cycle	
cycle_end	A single value corresponding to the ending Julian date of the crop cycle	
no_minus_valu es	True or False. If this argument is True, negative values will be forced to be zero. This helps getting rid of any unrealistic negative interpolated values in the climate parameters such as precipitation data. If this argument is False, then negative values are allowed. By default, this argument is set as False and it's not a mandatory argument to pass	
Function Returns		
daily_vector	1D NumPy array, corresponding to the output daily climate data between cycle_begin and cycle_end Julian dates	

Daily-to-monthly aggregation

This function aggregates daily climate data into monthly climate data. The aggregation is done by averaging the data in each month.

```
1 # Daily-to-monthly aggregation
2 monthly_vector = obj_utilities.averageDailyToMonthly(daily_vector)
```

Function Arguments		
daily_vector	1D NumPy array with 365 elements corresponding to the daily climate data	
Function Returns		
monthly_vecto	1D NumPy array with 12 elements corresponding to the aggregated monthly climate data	

Create latitude map

The latitude map is created by linearly interpolating the bottom and the top latitude values of the study area, as defined by the user's input.

```
1 # Generate latitude map
2 lat_map = obj_utilities.generateLatitudeMap(lat_min, lat_max, im_height, im_width)
```

Function Arguments	
lat_min	A single value corresponding to the minimum latitude as decimal degree

lat_max	A single value corresponding to the maximum latitude as decimal degree	
im_height	A single value corresponding to height of resulting latitude map as number of pixels	
im_width	A single value corresponding to width of resulting latitude map as number of pixels	
Function Returns		
lat_map	2D NumPy array, corresponding to latitude map. The resulting dimension of the latitude map will be im_height and im_width respectively	

Classify the final crop yield

This function classifies yield estimations and produces suitability maps according to classification scheme defined in AEZ framework. The classification scheme consists of 5 classes (very suitable, suitable, moderately suitable, marginally suitable, and not suitable) (Table 14).

```
1 # Classification of yield estimation
2 est_yield_class = obj_utilities.classifyFinalYield(est_yield)
```

Function Arguments		
est_yield	2D NumPy array corresponding to the estimated yield	
Function Returns		
est_yield_class 2D NumPy array, corresponding to the suitability map after yield classification.		

Table 14 Yield suitability classification

Pixel value	Suitability Class	Description
1	Not suitable	Yields between 0% and 20% of the overall maximum yield
2	Marginally suitable	Yields between 20% and 40% of the overall maximum yield
3	Moderately suitable	Yields between 40% and 60% of the overall maximum yield
4	Suitable	Yields between 60% and 80% of the overall maximum yield
5	Very suitable	Yields are equivalent to 80% or more of the overall maximum yield

Saving GeoTIFF rasters

This function allows saving 2D numpy array as GeoTIFF raster file. This function can be used to save any output of this PyAEZ package as a GeoTIFF raster file.

```
1 # Save 2D NumPy to GeoTIFF
2 obj_utilities.saveRaster(ref_raster_path, out_path, numpy_raster)
```

Function Arguments		
ref_raster_path	String, locating reference raster. This must be GeoTIFF raster file. Projection information is copied from this raster to final raster. Any input GeoTIFF raster to PyAEZ package with Projection information can be passed for this argument.	
out_path	String, the desired location to save the output GeoTIFF file (with .tif extension).	
numpy_raster	2D NumPy array, corresponding to the raster that user wants to save. Please make sure that the array dimensions for the reference GeoTIFF file and 2-D numpy array to insert Projection information the same to mitigate error.	
Function Returns		

None

Averaging raster files

This function averages list of raster files in time dimension. Some calculations in the AEZ framework are recommended to perform with averaged climate data for 30 years. This function can be used for such calculations.

```
1 # Averaging raster files
2 avg_raster = obj_utilities.averageRaster(raster_3d)
```

Function Arguments

raster_3d

3D NumPy array, corresponding to any climate data. The averaging will be done by the time dimension (across the years)

Function Returns	
avg_raster	2D NumPy array, the averaged climate data – into 'one year' worth of data

Calculate wind speed at 2m altitude

This function converts wind speed from a particular altitude to wind speed at 2m altitude. All of the wind speed values used in PyAEZ calculations are at 2m altitude, however, it is common for climate data services to offer the wind speed at 10m altitude, hence this conversion need to be applied in cause wind speed at 2 meters is not available.

```
1 # Converting to wind speed at 2m altitude
2 wind_speed_2m = obj_utilities.windSpeedAt2m(wind_speed, altitude)
```

Function Arguments		
wind_speed	A NumPy array (can be 1D, 2D or 3D), corresponding to wind speed	
altitude	A single value corresponding to the altitude (above ground) [m]	
Function Returns		
wind_speed_2 m	Converted wind speed at 2m altitude as a NumPy array. Units will be same as unit of wind_speed	

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