

ADVERSARIAL ROBUSTNESS USING CLASS-CONDITIONAL GENERATIVE MODELS

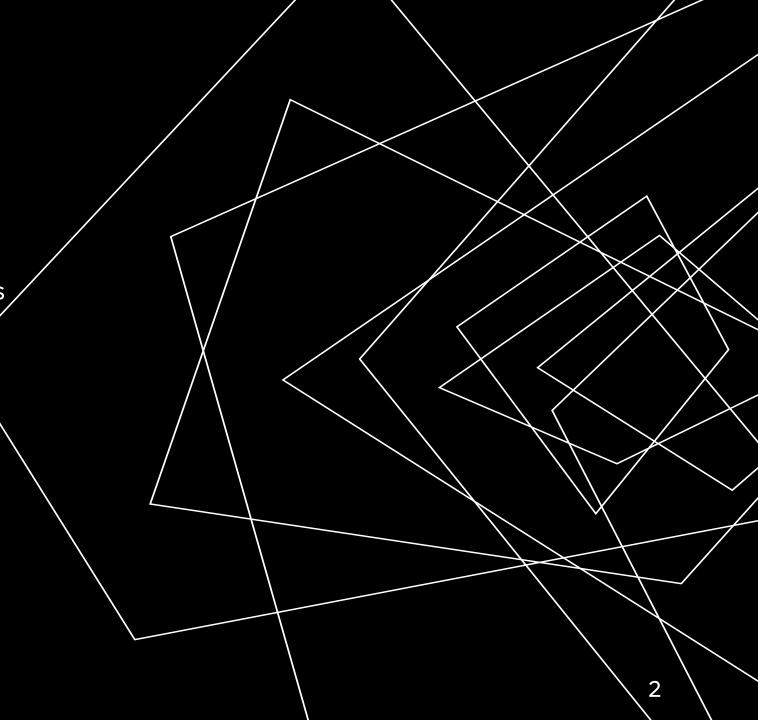
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#### **OVERVIEW**

- 1. Motivation
- 2. Introduction to Adversarial Attacks
- 3. Existing Adversarial Defense Methods
- 4. Proposed Method: ARCANE
- 5. Experimental Results
- 6. Conclusion & Future Work





#### **MOTIVATION**

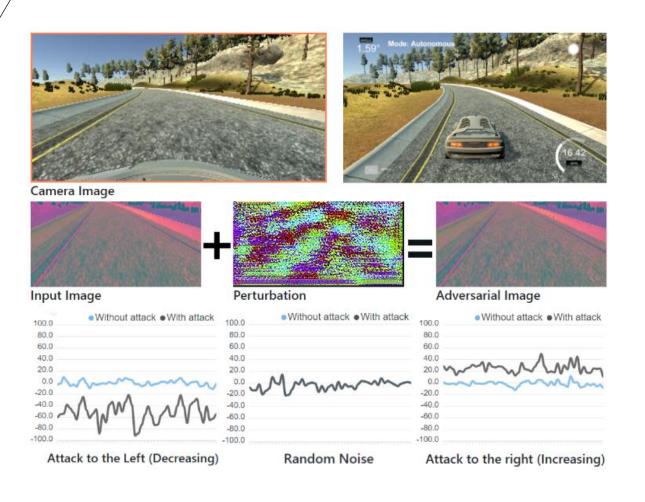
- Al systems are rapidly advancing across industries:
  - 77% of the devices used worldwide include at least one AI feature.

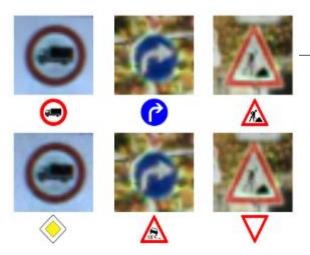
- In the US **50**% of the mobile users utilize voice search daily.
- By **2030**, it's estimated that **10**% of all vehicles will be self-driving.

#### MOTIVATION

- With AI so ingrained in our daily lives, it is important to ensure their safety against **potential cyberattacks**.
- A class of which, dubbed Adversarial Attacks, can manipulate AI
  models by adding imperceptible amounts of noise to an input.
- These attacks can affect a vast array of AI models:
  - Autonomous Vehicles
  - Facial Recognition Systems
  - Intrusion Detection Systems
  - Large Language Models
  - ...

#### **MOTIVATION**

















### INTRODUCTION TO ADVERSARIAL ATTACKS

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#### **Adversarial Attacks:**

"An adversarial attack is a technique where imperceptible noise is added to the input of an AI model with the intent of deceiving it into producing incorrect predictions."

#### Formally:

$$\hat{x} = x + \delta$$
  
 $s.t. \|\delta\| < \epsilon,$   
 $f_{\theta}(\hat{x}) \neq f_{\theta}(x)$  (confidence reduction)

or

$$\hat{y} = \arg \max_{c} f_{\theta}(\hat{x}) \neq y = \arg \max_{c} f_{\theta}(x)$$
 (misclassification)

#### INTRODUCTION TO ADVERSARIAL ATTACKS

#### White-box Attack:

 Attacker presumed to have total access to model, including it's output logits, gradients, training data, etc.

#### Black-box Attack:

 Attacker presumed to have limited access to the model, potentially only to the output predictions or at most, the raw logits.

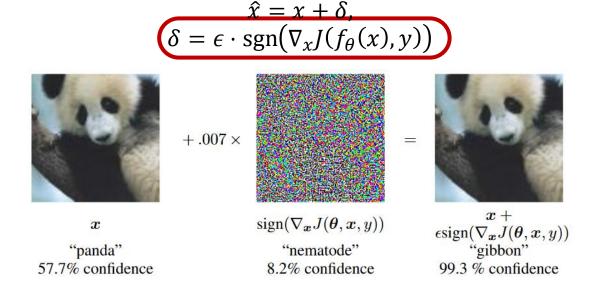
In this study we will be focusing on defense against white-box attacks.

#### INTRODUCTION TO ADVERSARIAL ATTACKS (FGSM)

#### Fast Gradient Sign Method (FGSM):

- One of the earliest discovered adversarial attacks.
- Generates adversarial examples by adding noise to input data in the direction
  of the gradient of the loss function with respect to the input, aiming to
  maximize the model's prediction error.

#### Formally:



#### INTRODUCTION TO ADVERSARIAL ATTACKS (CW)

#### Carlini-Wagner attack(CW):

 A strong optimization-based adversarial attack that generates adversarial examples by minimizing the perturbation added to the input while ensuring the modified input misleads the model.

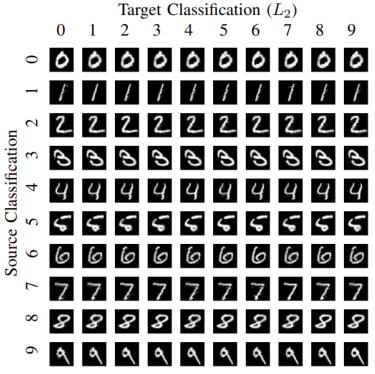
#### Formally:

$$\hat{x} = x + \delta$$
,

$$\delta$$
: arg min $\|\delta\|_{p} + c \cdot f(x + \delta)$ ,

$$\delta = \frac{1}{2}(\tanh(\omega) + 1) - x,$$

$$f(x) = \max(\max\{Z(x)_i : i \neq t\} - Z(x)_t, -\kappa)$$



#### **Adversarial Defense:**

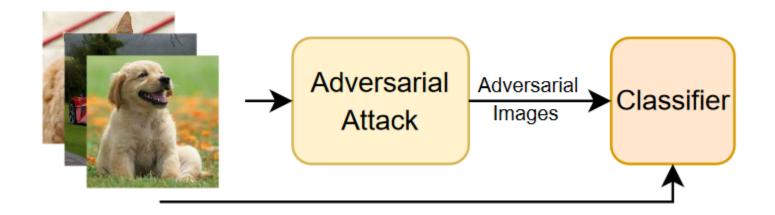
• **<u>Detection</u>**: Simply **detect** the existence of an attack.

• <u>Purification</u>: Make the <u>victim model more robust</u> so that it manages to deflect adversarial attacks or <u>use and auxiliary model to correct the prediction</u> output of the victim model.

Our focus: Detection + Purification using an auxiliary model

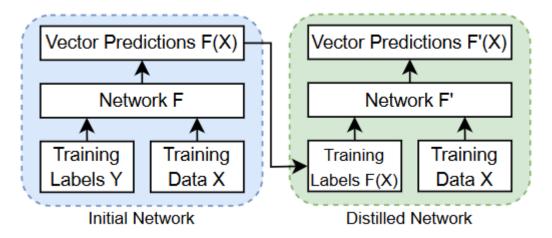
#### Adversarial Training:

- Make adversarial samples.
- Train model on a mix of normal and adversarial samples.



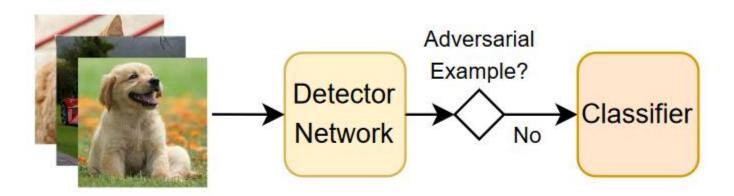
#### Defensive Distillation:

- Train model F on dataset D: (X, Y) to obtain predictions F(X).
- Train distilled model  $F_{distilled}$  on D': (X, F(X)).
- Compact model with relaxed labels → More robust against attacks with less risk of overfitting.



#### • Detectors:

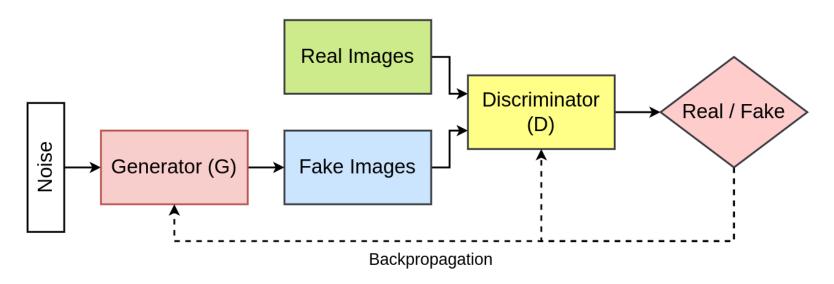
- Estimate the statistical features of clean samples with a mathematical model.
- Decide if given sample is adversarial through the trained model.



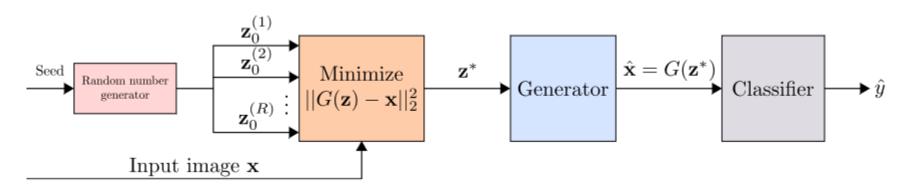
#### **DETOUR: GANS**

Generative Adversarial Networks (GANs):

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{x \sim p_{data}(x)} \left[ \log D(x) \right] + \mathbb{E}_{z \sim p_{z}(z)} \left[ \log (1 - D(G(z))) \right]$$

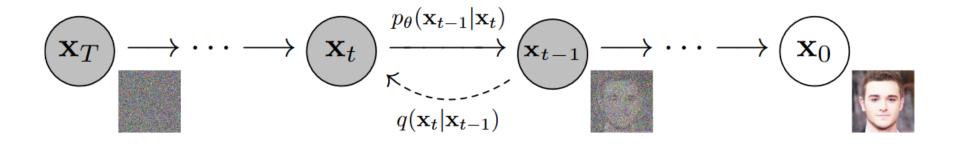


- Projection (Our Focus):
  - Train generative model G on clean samples.
  - At test time, project input image x onto the distribution learned by G.
  - I.e.,  $x_{clean} = \arg\min_{G(z)} ||x G(z)||_2^2$
  - Example: Defense-GAN



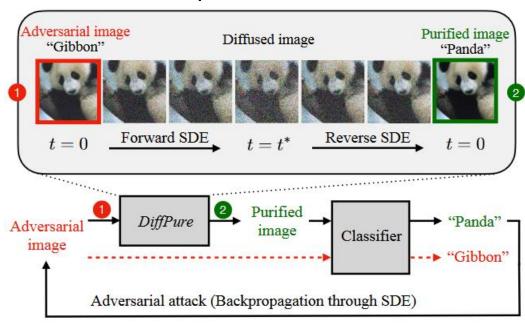
#### **DETOUR: DIFFUSION MODELS**

#### • Diffusion Models:



#### • Projection:

- Example: DiffPure
- ullet Train a generative diffusion model Diff on the clean samples.
- Forward diffusion for  $t^*$  timesteps.
- Backward diffusion for  $t^*$  timesteps.



## EXISTING ADVERSARIAL DEFENSE METHODS - PREDECESSOR

#### ACGAN-ADA:

- An extension to the prior work, Defense-GAN which employs an ACGAN instead of the vanilla GAN.
- Why class-conditional? Provably easier to model conditioned distributions.
- Uses the **class label** of the samples as a way to guide the purification and detection procedures.
- Uses 3 criteria to decide whether a given sample is adversarial:
  - $S_C = p_D(\hat{c}|x)$
  - $S_R = D(x)$
  - $S_g = \min_{z} ||x G(z|\hat{c})||_2^2$

#### **DETOUR: ACGAN**

#### • Auxiliary Classifier Generative Adversarial Networks (ACGANs):

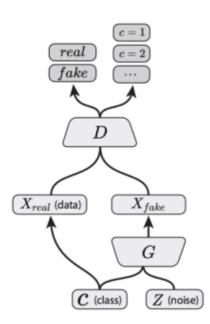
$$L_S = -\left(\mathbb{E}\left[P(S = real|X_{real})\right] + \mathbb{E}\left[P(S = fake|X_{fake})\right]\right)$$
$$L_C = -\left(\mathbb{E}\left[P(C = c|X_{real})\right] + \mathbb{E}\left[P(C = c|X_{fake})\right]\right)$$

#### **Generator Objective:**

$$\min L_C - L_S$$

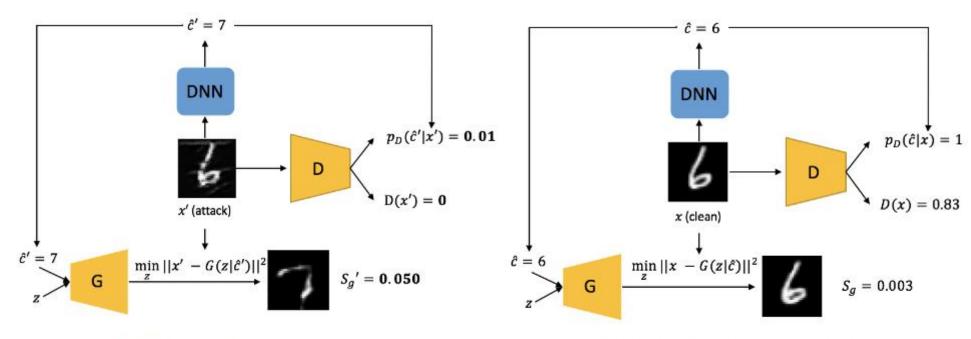
#### **Discriminator Objective:**

$$\min L_C + L_S$$



## EXISTING ADVERSARIAL DEFENSE METHODS - PREDECESSOR

#### • ACGAN-ADA:



(a) Detection for an attack image

(b) Detection for a clean image

## EXISTING ADVERSARIAL DEFENSE METHODS - PREDECESSOR

- ACGAN-ADA Shortcomings:
  - Poor performance when the dataset has **many modes**.
  - Poor generation quality for purification tasks.
  - Use of limited criteria with manually tuned hyperparameters.

# **PROPOSED** METHOD: ARCANE

#### PROPOSED METHOD: ARCANE

- We aim to improve ACGAN-ADA by:
  - 1. Employing a more advanced ACGAN architecture (ReACGAN) that boosts the performance in highly multi-modal settings.
  - 2. Adding **new decision criteria** for adversarial sample detection and use of a trained **XGBoost classifier** instead of manually tuned thresholds.
- Moreover, we investigate the use of Conditional Diffusion Models
  instead of GANs to see how they can affect purification performance.
- Finally, we present a novel **purification regime** to make use of the conditional generative models to the fullest.

- Our proposed methods: ARCANE-GAN and ARCANE-Diff
- For **Detection** we add the following criteria to the ones previously used by **ACGAN-ADA**:

1. 
$$S_C = p_D(\hat{c}|x)$$

2. 
$$S_R = D(x)$$

3. 
$$S_g = \min_{z} ||x - G(z|\hat{c})||_2^2$$

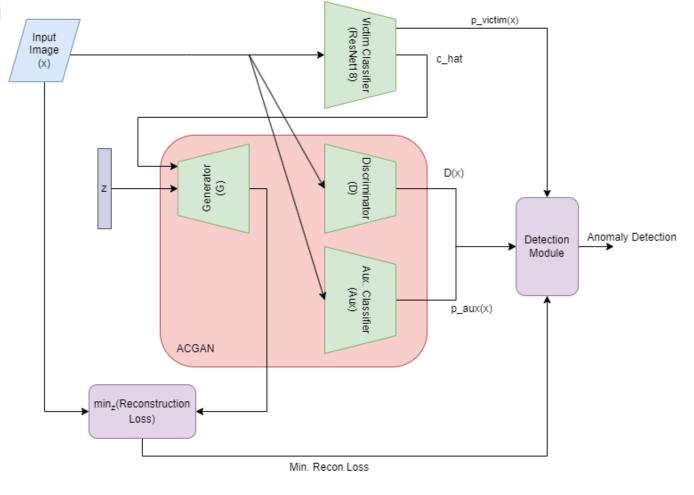
4. 
$$p_{victim}(\hat{c}|x)$$

5. 
$$JSD(p_D(x) \parallel p_{victim}(x))$$

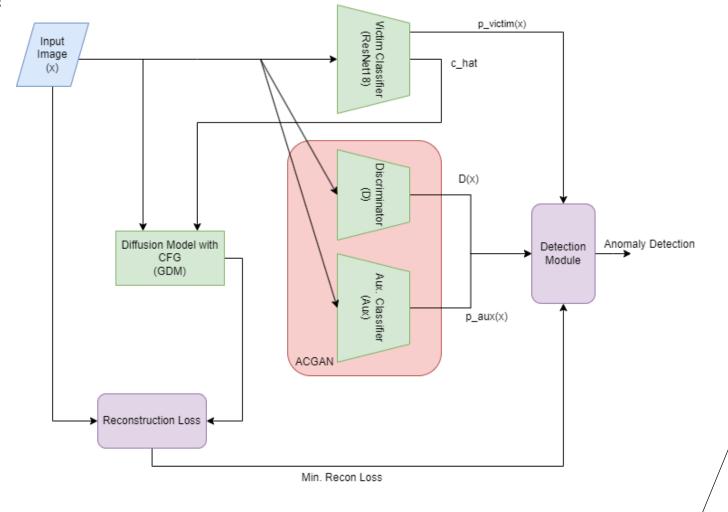
6. 
$$\log(p_D(\hat{c}|x)) + \log(D(x))$$

These 6 features are used to train a **XGBoost** classifier which then identifies whether a given sample is **adversarial or not**.

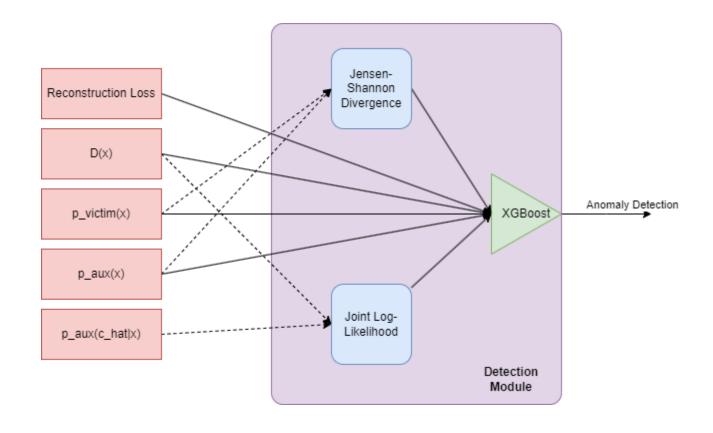
ARCANE-GAN



ARCANE-Diff



#### • Detection Module

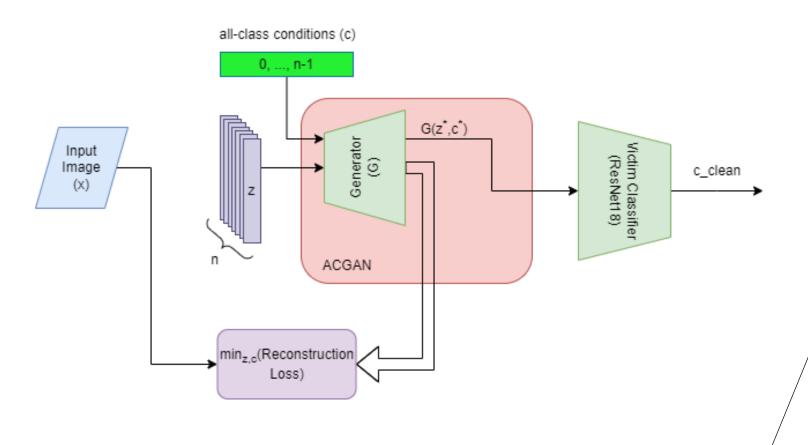


#### **ARCANE: PURIFICATION**

- For **purification** we aim to take advantage of the conditional generative models.
- Given an input sample x:
  - For ARCANE-GAN:
    - Cleaned Sampled:  $G(z^*|c^*) = \arg\min_{z,c} ||x G(z|c)||_2^2$
  - For ARCANE-Diff:
    - For each class *c*:
      - Forward diffusion for  $t^*$  steps.
      - Backward diffusion for  $t^*$  steps.
    - Cleaned Sample:  $GDM(z|c^*) = \arg\min_c ||x GDM(z|c)||_2^2$

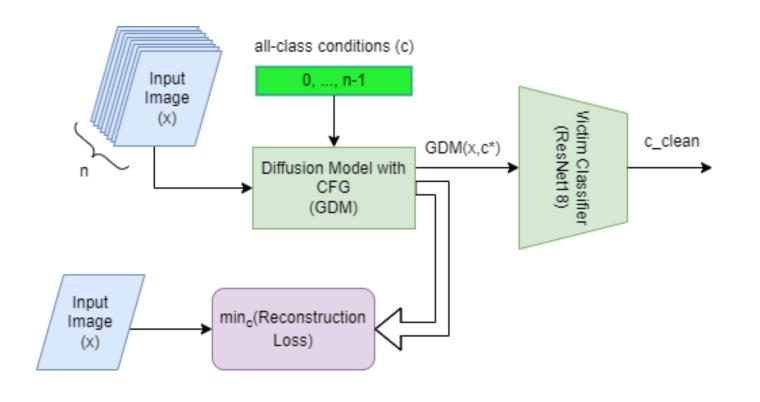
#### ARCANE: PURIFICATION

#### ARCANE-GAN



#### **ARCANE: PURIFICATION**

#### ARCANE-Diff



## EXPERIMENTAL RESULTS

#### EXPERIMENTAL RESULTS

- Implementation Overview:
  - Language: Python
  - Deep Learning Framework: PyTorch
  - **GPU:** 1 x NVIDIA 3090 (courtesy of IUT)
  - ACGAN Variant: ReACGAN
  - Diffusion Model Variant: Label-conditioned Diffusion Model with CFG
  - **Detection Head Model:** XGBoost
  - Attacks: FGSM (weak), CW (strong)
  - Datasets: CIFAR10 (easy), TinyImageNet (difficult)
  - Evaluation Metrics:
    - Detection: Partial Area Under Curve @ False Positive Rate ≤ 0.2 (pAUC-0.2)
    - Purification: Matched-classification Accuracy

#### **EXPERIMENTAL RESULTS**

#### • Training Method:

- **Generation:** Entire training splits of both datasets used to train the diffusion and GAN models.
- **Detection:** In order to train the XGBoost classification head:
  - 1. 1000 clean images balanced-sampled from each dataset.
  - 2. 1000 adversarial images for each attack method (CW, FGSM) created.
  - For each (dataset, attack) pair, a total of 2000 samples (1000 clean and 1000 adversarial) form the final training dataset.
  - **4. 5-fold cross-validation** across the dataset performed to tune the hyperparameters of the XGBoost model.

#### Evaluation Method:

• Same number of balanced samples as training (2000) used for each (attack, dataset) pair taken from the **test splits** of the datasets are used for evaluation.

# EXPERIMENTAL RESULTS: DETECTION

#### **Detection Results on CIFAR10**

	FGSM	CW
[۴۴] f-AnoGAN	0.0566	0.0576
[ <b>* V</b> ] <b>KD</b>	0.1642	0.0533
[&·] MD	0.1783	0.1042
[å\] ODDS	0.0436	0.0910
[at] SID	0.1388	0.1489
[FA] ADA	0.1782	0.1593
'[۴] ACGAN-ADA	0.1819	0.1881
ARCANE-GAN	0.1866	0.1999
ARCANE-Diff	0.1856	0.1999

# **EXPERIMENTAL RESULTS: DETECTION**

#### Detection Results on Tiny-ImageNet

CW	FGSM	
0.0571	0.0655	[۴۴] f-AnoGAN
0.0542	0.1168	[ * V ] KD
0.0918	0.1104	[\delta \cdot ] MD
0.1312	0.1385	[FA] ADA
0.1532	0.1496	[ *] ACGAN-ADA
0.1913	0.1985	ARCANE-GAN
0.1912	0.1986	ARCANE-Diff

# **EXPERIMENTAL RESULTS: PURIFICATION**

#### **Purification Results on CIFAR10 + CW**

Purification Accuracy	
0.3274	[٣٣] Defense-GAN
0.8423	[*] ACGAN-ADA
0.8632	'[\psi pix2pix
0.875	ARCANE-GAN
0.965	ARCANE-Diff

# **EXPERIMENTAL RESULTS: PURIFICATION**



#### EXPERIMENTAL RESULTS: SUMMARY

#### On detection:

- **CIFAR10:** ARCANE beats the SOTA by **6.27**% and **2.58**% on CW and FGSM respectively.
- Tiny-ImageNet: ARCANE beats the SOTA by 24.87% and 32.75% on CW and FGSM respectively.

#### • On purification:

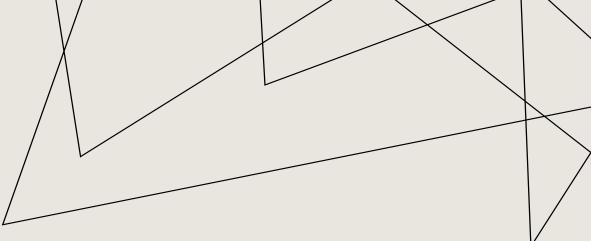
• CIFAR10: ARCANE beats the SOTA by 11.79% on CW.

# CONCLUSION & FUTURE WORK

#### CONCLUSION

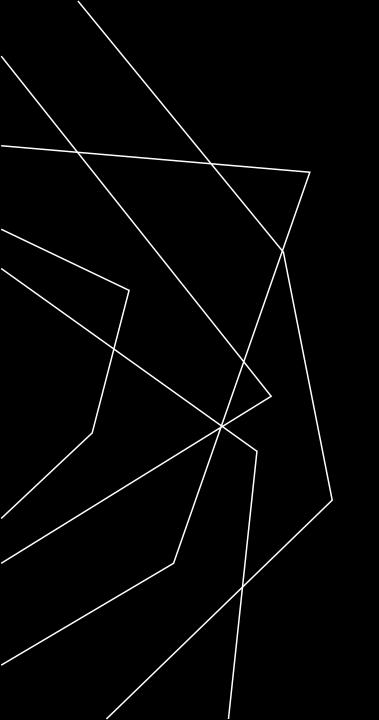
- In this work we presented ARCANE.
- A novel adversarial robustness framework based on class-conditional generative modelling.
- We used two new generative models in ReACGAN and a Conditional Diffusion Model.
- We evaluated ARCANE on CIFAR10 and Tiny-ImageNet dataset over FGSM and CW attacks.
- We have experimentally shown that ARCANE on average:
  - Performs 16.62% better on detection than the current SOTA.
  - Performs **11.8% better on purification** than the current SOTA.

#### **FUTURE WORK**



- Reconstruction Loss as a feature is not very impactful. Potential improvements:
  - Use of a better reconstruction loss measure.

- ARCANE is slow. Potential improvements:
  - Fasters sampling techniques on the diffusion model.

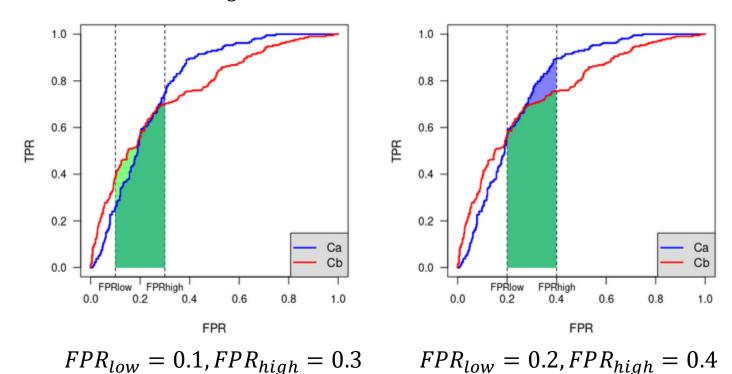


# THANKS FOR YOUR ATTENTION

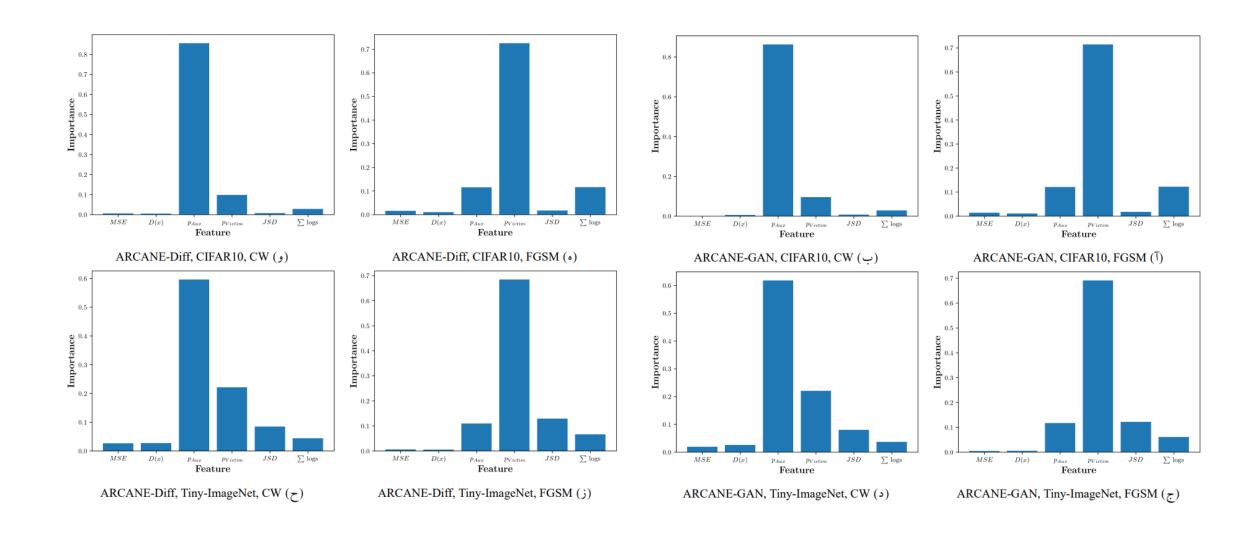


#### ADDENDUM I: P-AUC

- Proposed with the goal of restricting the evaluation of given ROC curves in the range of false positive rates that are considered interesting for diagnostic purposes.
- The partial AUC is computed as the area under the ROC curve in the vertical band of ROC space where FPR is in the range  $[FPR_{low}, FPR_{high}]$ .



#### ADDENDUM II: FEATURE IMPORTANCE



# ADDENDUM III: GENERATION EVALUATION RESULTS

CIFAR10			Tiny-I	mageNet		
↑IS	↓FID	# Training Steps	↑IS	↓FID	# Training Steps	
10.08	7.28	200000	18.48	15.73	200000	ReACGAN
9.17	3.62	100000	19.15	8.81	300000	<b>GDM</b>
11.54	_	_	34.11	_	_	Real Data

### ADDENDUM IV: XGBOOST

