

浙江大学



本科实验报告

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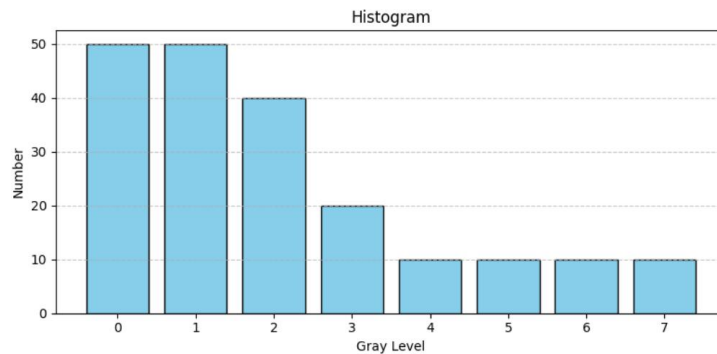
学号:

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2025 年 4 月 20 日

Homework1

- A grayscale image has the following histogram:



- Use **Otsu's method** to compute the optimal threshold that maximizes the between-class variance.

Otsu 方法是一种无监督的阈值选取算法，它基于类间方差最大化的原则。

通过选定一个灰度值作为阈值，大津法将像素分为两类：

前景（灰度值大于/等于阈值）

背景（灰度值小于阈值）

类间方差的计算方法为：

$$\sigma_b^2(T) = w_1(T) \cdot w_2(T) \cdot [\mu_1(T) - \mu_2(T)]^2$$

其中：

前景均值 $\mu_1(T)$ ：前景类中所有灰度级的加权平均值

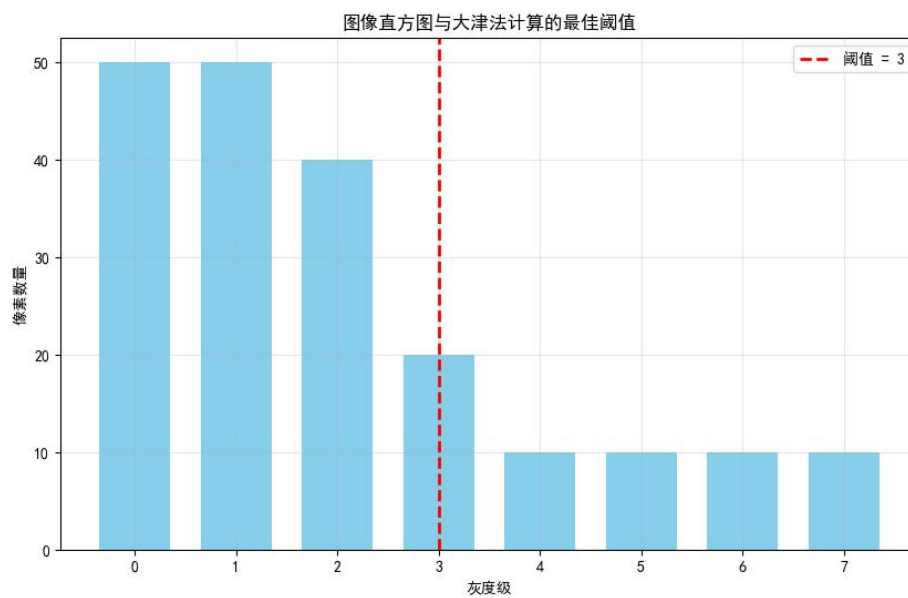
背景均值 $\mu_2(T)$ ：背景类中所有灰度级的加权平均值

前景概率 $w_1(T)$ ：前景类的总概率

背景概率 $w_2(T)$ ：背景类的总概率

阈值	ω_1	μ_1	ω_2	μ_2	类间方差
0	0.25	0	0.75	2.7333	1.400833
1	0.5	0.5	0.5	3.6	2.4025
2	0.7	0.9286	0.3	4.6667	2.934405
3	0.8	1.1875	0.2	5.5	2.975625

4	0.85	1.3529	0.15	6	2.753382
5	0.9	1.5556	0.1	6.5	2.200278
6	0.95	1.7895	0.05	7	1.289605



由计算得，Otsu 最优阈值为 3。

Homework2

- Modify the Sobel and Prewitt kernels to give the strongest gradient response for edges oriented at $\pm 45^\circ$:

-1	-1	-1	-1	0	1
0	0	0	-1	0	1
1	1	1	-1	0	1

Prewitt

-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

Sobel

- Show that the Sobel and Prewitt kernels above, and in (a) above, give iso-tropic results only for horizontal and vertical edges, and for edges oriented at $\pm 45^\circ$, respectively.

(a) 标准 Sobel/Prewitt 算子的水平（列向导数）和垂直（行向导数）模板为

$$G_x^{(\text{Sobel})} = \begin{pmatrix} -1 & 0 & +1 \\ -2 & 0 & +2 \\ -1 & 0 & +1 \end{pmatrix}, \quad G_y^{(\text{Sobel})} = \begin{pmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ +1 & +2 & +1 \end{pmatrix}$$

$$G_x^{(\text{Prewitt})} = \begin{pmatrix} -1 & 0 & +1 \\ -1 & 0 & +1 \\ -1 & 0 & +1 \end{pmatrix}, \quad G_y^{(\text{Prewitt})} = \begin{pmatrix} -1 & -1 & -1 \\ 0 & 0 & 0 \\ +1 & +1 & +1 \end{pmatrix}$$

Sobel/Prewitt 算子本质上是图像梯度的离散近似，对于任意方向 θ 的梯度分量可表示为：

$$G_\theta = G_x \cos \theta + G_y \sin \theta,$$

代入 $\theta = +45^\circ$ 时，

$$G_{45^\circ} = \frac{1}{\sqrt{2}}(G_x + G_y).$$

$$G_{45^\circ}^{(\text{Sobel})} = \frac{1}{\sqrt{2}} \left(\begin{pmatrix} -1 & 0 & +1 \\ -2 & 0 & +2 \\ -1 & 0 & +1 \end{pmatrix} + \begin{pmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ +1 & +2 & +1 \end{pmatrix} \right) = \frac{1}{\sqrt{2}} \begin{pmatrix} -2 & -2 & 0 \\ -2 & 0 & +2 \\ 0 & +2 & +2 \end{pmatrix}$$

$$G_{-45^\circ}^{(\text{Sobel})} = \frac{1}{\sqrt{2}} \left(\begin{pmatrix} -1 & 0 & +1 \\ -2 & 0 & +2 \\ -1 & 0 & +1 \end{pmatrix} - \begin{pmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ +1 & +2 & +1 \end{pmatrix} \right) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & +2 & +2 \\ -2 & 0 & +2 \\ -2 & -2 & 0 \end{pmatrix}$$

$$G_{45^\circ}^{(\text{Prewitt})} = \frac{1}{\sqrt{2}} \left(\begin{pmatrix} -1 & 0 & +1 \\ -1 & 0 & +1 \\ -1 & 0 & +1 \end{pmatrix} + \begin{pmatrix} -1 & -1 & -1 \\ 0 & 0 & 0 \\ +1 & +1 & +1 \end{pmatrix} \right) = \frac{1}{\sqrt{2}} \begin{pmatrix} -2 & -1 & 0 \\ -1 & 0 & +1 \\ 0 & +1 & +2 \end{pmatrix}$$

$$G_{-45^\circ}^{(\text{Prewitt})} = \frac{1}{\sqrt{2}} \left(\begin{pmatrix} -1 & 0 & +1 \\ -1 & 0 & +1 \\ -1 & 0 & +1 \end{pmatrix} - \begin{pmatrix} -1 & -1 & -1 \\ 0 & 0 & 0 \\ +1 & +1 & +1 \end{pmatrix} \right) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & +1 & +2 \\ -1 & 0 & +1 \\ -2 & -1 & 0 \end{pmatrix}$$

(b) 为证明各向同性，首先定义理想阶跃边缘：

水平边缘

$$f(x, y) = \begin{cases} a, & y < 0, \\ b, & y \geq 0. \end{cases}$$

垂直边缘

$$f(x, y) = \begin{cases} a, & x < 0, \\ b, & x \geq 0. \end{cases}$$

+45°边缘

$$f(x, y) = \begin{cases} a, & y - x < 0, \\ b, & y - x \geq 0, \end{cases}$$

-45°边缘

$$f(x, y) = \begin{cases} a, & y + x < 0, \\ b, & y + x \geq 0. \end{cases}$$

我们只需在 3×3 邻域内取 $(x, y) \in \{(-1, 1), (0, 1), (1, 1), \dots, (1, -1)\}$ 进行卷积。

对于 Sobel 算子，水平边缘凡 $y \geq 0$ 区域取 b ， $y < 0$ 区域取 a ，则 3×3 窗口内，第一行全是 a ，第三行全是 b ，卷积和为

$$G_h = \sum_{i,j} S_h(i,j) f(i,j) = [-1 - 2 - 1] \cdot a + [+1 + 2 + 1] \cdot b = 4(b - a).$$

垂直同理，也得 $G_v = 4(b - a)$

而对于 Prewitt 算子，在水平或垂直边缘 $G_v = G_h = 3(b - a)$

在 $\theta = \pm 45^\circ$ 时，省略掉归一化因子 $1/\sqrt{2}$ 。

令 $f(x,y)=a$ 当 $y-x < 0$ ， b 当 $y-x > 0$ (45°)，矩阵形式也即

$$\begin{array}{c|ccc} y \backslash x: & -1 & 0 & +1 \\ \hline 1 & a & a & b \\ 0 & a & b & b \\ -1 & b & b & b \end{array}$$

做卷积和：

$$G_{45} = \sum_{i,j} (S_h + S_v)(i,j) f(i,j)$$

展开后，所有含 a 的项系数之和与所有含 b 的项系数之和，都会恰好给出 $6(b - a)$ 。

-45° 同理，使用 $y+x$ 判定 a/b ，带入卷积，也得到 $6(b - a)$ 。

综上，原核在 $0^\circ / 90^\circ$ 两个方向各向同性；新核在 $\pm 45^\circ$ 两个方向各向同性。

Homework3

Given a 5 x 5 grayscale image I as follows:

$$I = \begin{bmatrix} 50 & 55 & 60 & 65 & 70 \\ 55 & 60 & 70 & 75 & 80 \\ 60 & 70 & 150 & 160 & 90 \\ 65 & 75 & 160 & 170 & 100 \\ 70 & 80 & 90 & 100 & 110 \end{bmatrix}$$

Please apply the main steps of the edge detection algorithm to extract edges from the image. The specific requirements are as follows:

- Compute Gradients: Use the 3 x 3 Sobel operator to compute the gradients G_x (horizontal) and G_y (vertical) of the image I. Apply zero-padding to handle the boundaries.
- Compute Gradient Magnitude and Direction: Based on G_x and G_y , calculate the gradient magnitude $\sqrt{G_x^2 + G_y^2}$ and the gradient direction $\theta = \arctan(G_y/G_x)$ for each pixel.

详细计算见 hw3. py

Gradient G_x :

```
[[ -170  -35  -35  -30  205]
 [ -245 -130 -130   30  375]
 [ -275 -290 -290  170  565]
 [ -300 -300 -300  160  600]
 [ -235 -135 -135   20  370]]
```

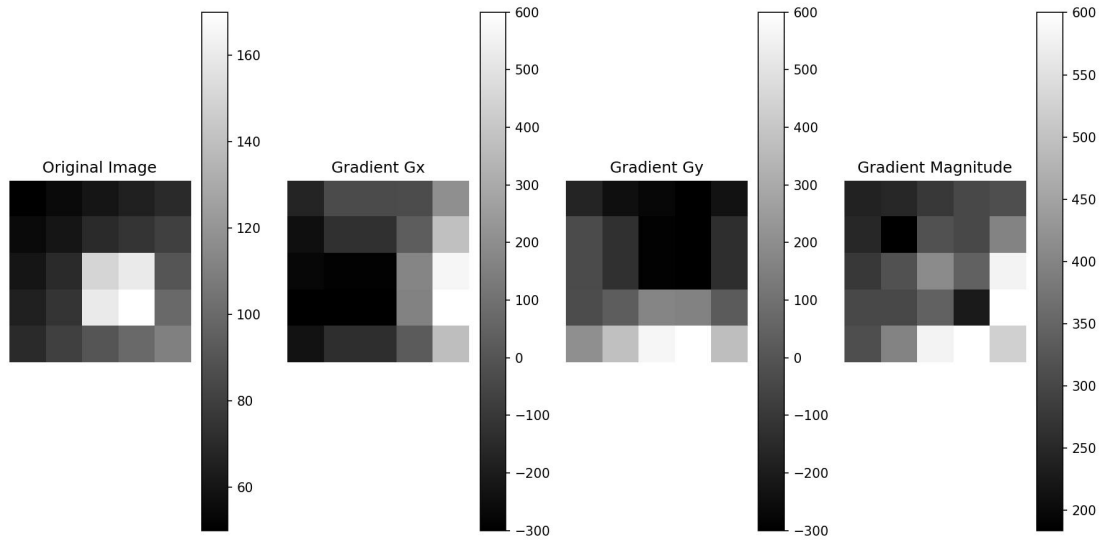
Gradient G_y :

```
[[ -170 -245 -275 -300 -235]
 [  -35 -130 -290 -300 -135]
 [  -35 -130 -290 -300 -135]
 [  -30   30  170  160   20]
 [  205  375  565  600  370]]
```

Gradient Magnitude:

```
[[240.4163056  247.48737342 277.21832551 301.49626863 311.84932259]
 [247.48737342 183.84776311 317.80497164 301.49626863 398.55990767]]
```

```
[277.21832551 317.80497164 410.12193309 344.81879299 580.90446719]
[301.49626863 301.49626863 344.81879299 226.27416998 600.33324079]
[311.84932259 398.55990767 580.90446719 600.33324079 523.25901808]]
```



Gradient Direction:

```
[[-2.35619449 -1.71269338 -1.69738845 -1.67046498 -0.85347462]
 [-2.9996956 -2.35619449 -1.99221553 -1.47112767 -0.34555558]
 [-3.01500053 -2.72017345 -2.35619449 -1.05524732 -0.23454063]
 [-3.041924 3.041924 2.62604365 0.78539816 0.033321]
 [ 2.42427095 1.91635191 1.80533696 1.53747533 0.78539816]]
```