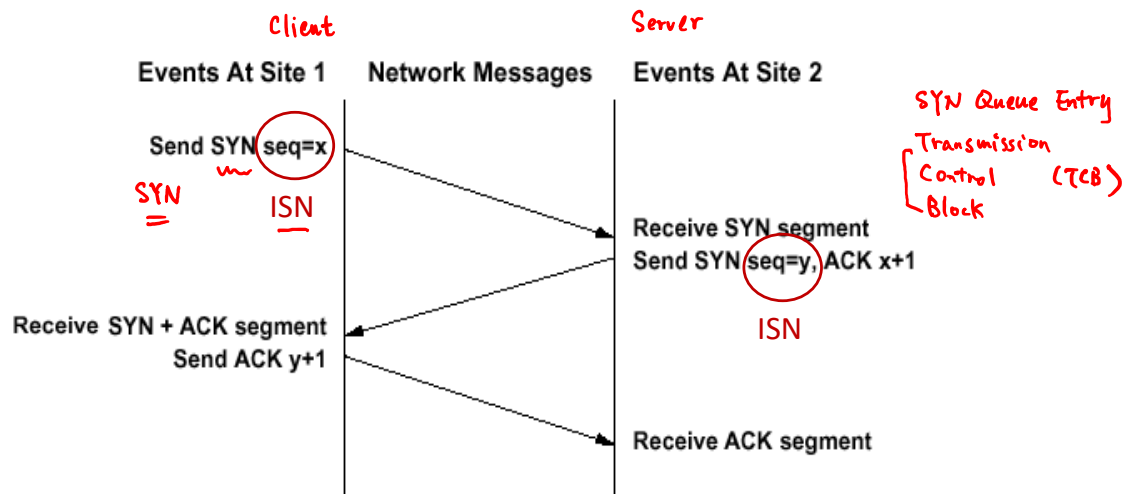


- ⊕ TCP uses **three-way handshake** to establish a connection



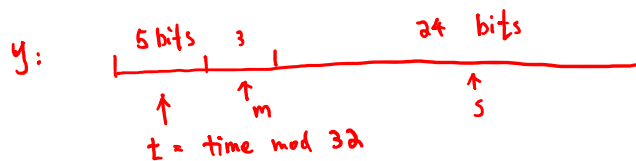
ISN: Initial Sequence Number (a byte index) *

"SYN Flood Attack"

"SYN cookies"

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ISN: 32 bits



m : 3 bits: 1 of 8 possible options on MSS (Max Segment Size)

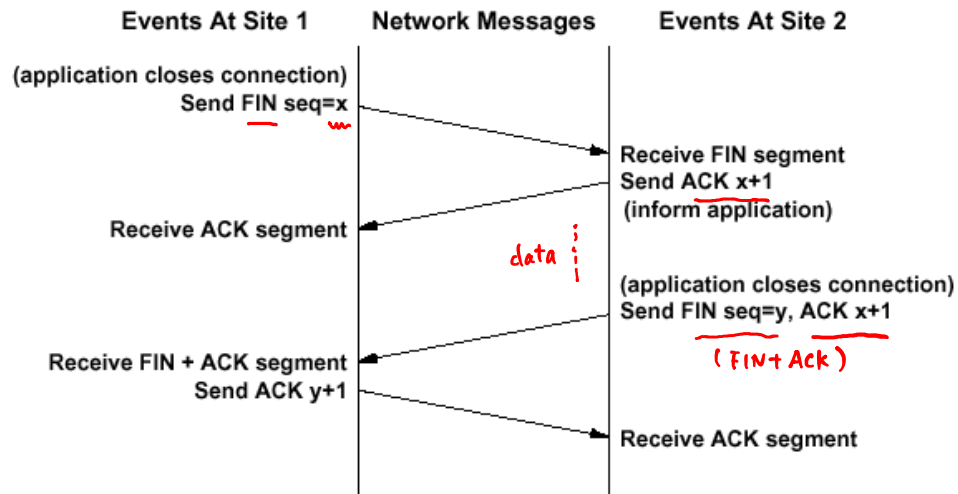
$s = \text{hash}(\text{server IP}, \text{server Port \#}, \text{client IP}, \text{client Port \#})$

At server side: get ACK(y+1)

- check t against current time to see if connection has expired
- recompute s to make sure this is a valid cookie
- decode m to figure out the MSS option

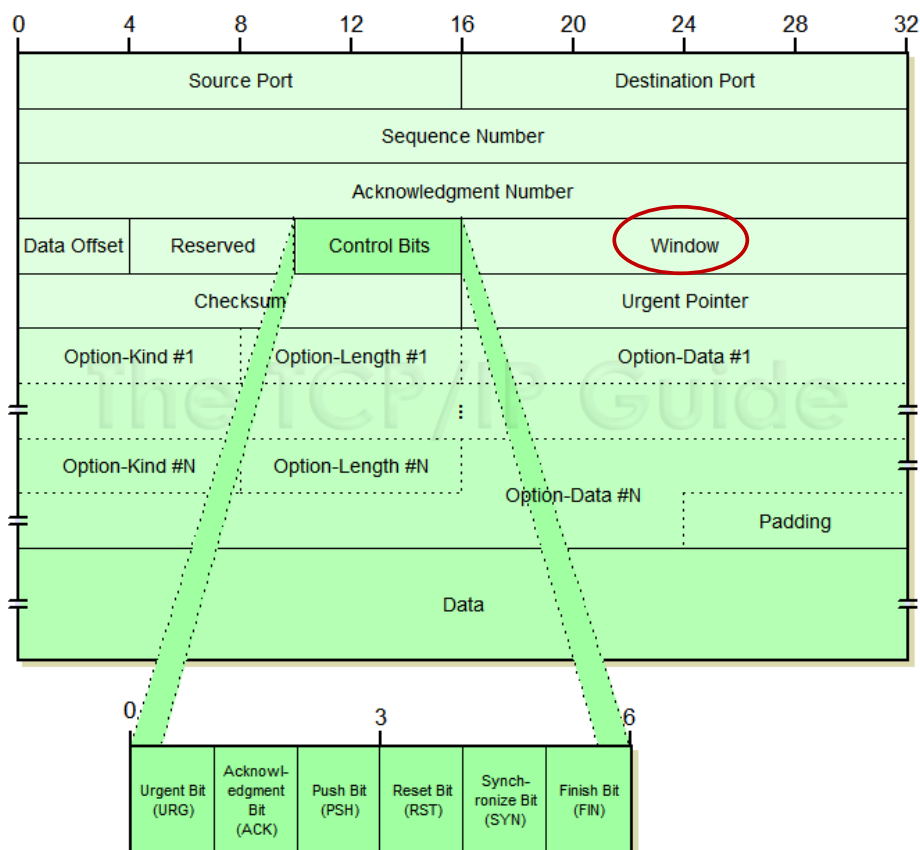
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- ✚ TCP uses **modified three-way handshake** to terminate a connection



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3. TCP Flow Control



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- ⊕ TCP flow control prevents the sender from overwhelming the receiver with too much data
 - ➡ Receiver advertises the available buffer space (rwnd)
"window" field
 - ➡ Sender makes sure that the amount of outstanding data (swnd) is less than the receiver-advertised buffer space
 - $swnd \leq rwnd$

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4. TCP Error Control

- ⊕ TCP Error Control
 - ➡ Special version of Selective Repeat ARQ (SR ARQ)
 - ACKs only
 - No NAKs
 - Retransmit upon
 - Retransmission Timeout (RTO)
 - Reception of the 4th ACK with the same sequence number
(3rd dup ACK)
implicit NAK

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5. TCP Retransmission Timeout (RTO)

- ⊕ Every time TCP sends a segment, it starts a timer and waits for an ACK
- ⊕ If the timer expires, TCP assumes that the segment was lost and retransmits it

- ⊕ TCP software must accommodate:
 - differences in the time required to reach various destinations
 - changes in time required to reach a given destination as traffic load varies

- ⊕ TCP accommodates varying Internet delays by **adapting the RTO**

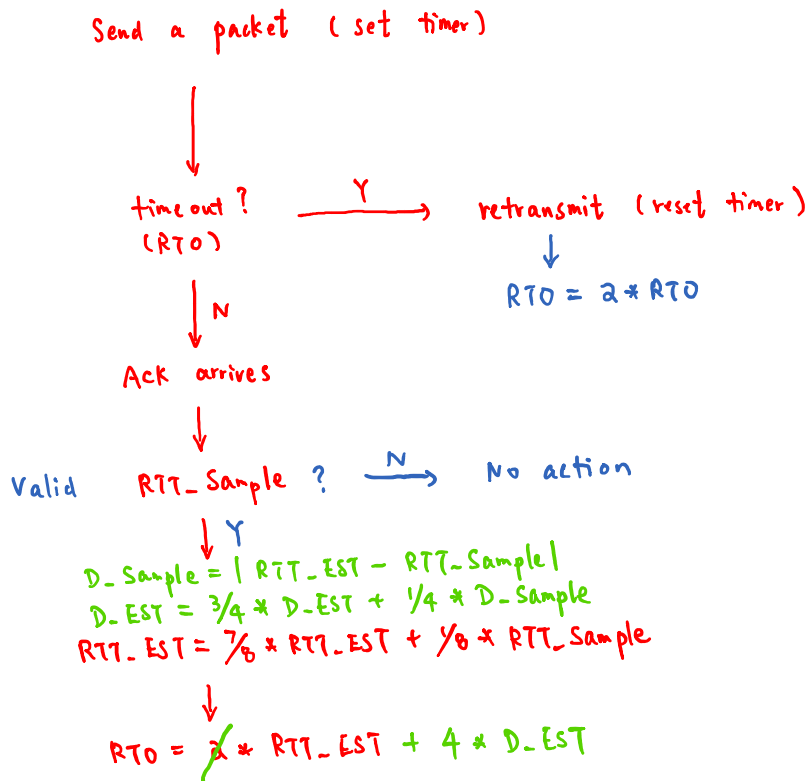
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TCP RTO

- ⊕ Implications of poorly-selected RTO
 - RTO too small → unnecessary retransmissions
 - RTO too big → low throughput

- ⊕ **RTO must adapt to RTT**
 - Using RTT estimates
 - $RTT_EST = \alpha \times RTT_EST + (1-\alpha) \times RTT_Sample$
 - α is typically 0.875
 - Exponential Weighted Average
 - $RTO = \beta \times RTT_EST$, where β is typically 2

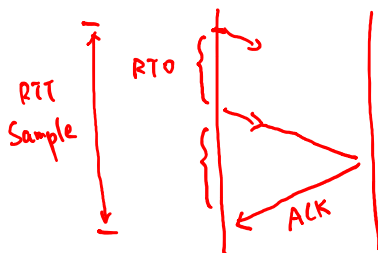
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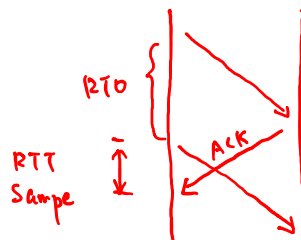
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Problem #1: ACK Ambiguity

- ✦ **ACK Ambiguity:** which packet to associate with an ACK in case of retransmission?
 - With the original transmission?
 - With the most recent retransmission?



$$\begin{aligned} \text{RTT-Sample} &> \text{RTO} \\ &> \text{True RTT} \end{aligned}$$



$$\begin{aligned} \text{True RTT} &> \text{RTO} \\ &> \text{Sample RTT} \end{aligned}$$

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Problem #1: ACK Ambiguity



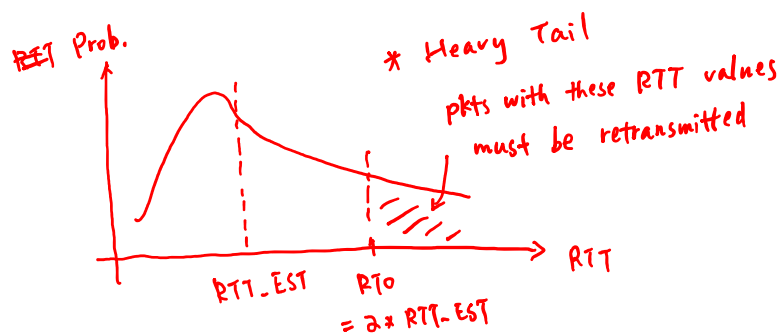
⊕ Karn's Algorithm

- ➡ Update RTT_EST only with **valid RTT samples** that are associated with non-retransmitted packets
- ➡ However, **ignoring** RTT of re-transmitted packets could lead to insensitivity to long delay
- ➡ Hence, **increase RTO upon each packet retransmission**:
 - $RTO = \gamma \times RTO$, where γ is typically 2
 - Until a **valid RTT sample** is obtained when RTO is reset using the newly-updated RTT_EST

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Problem #2: High Variance in RTT

- ⊕ RTT_EST as computed only gives a good mean
- ⊕ **High Variance in RTT**
 - ➡ Packets with RTT larger than $RTO = \beta \times RTT_EST$ must be retransmitted



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Problem #2: High Variance in RTT



✦ Jacobson's Algorithm (Modified RTO Computation)

[STEP 1] $D_EST = \delta \times D_EST + (1-\delta) \times \underbrace{|RTT_Sample - RTT_EST|}_{D_Sample}$

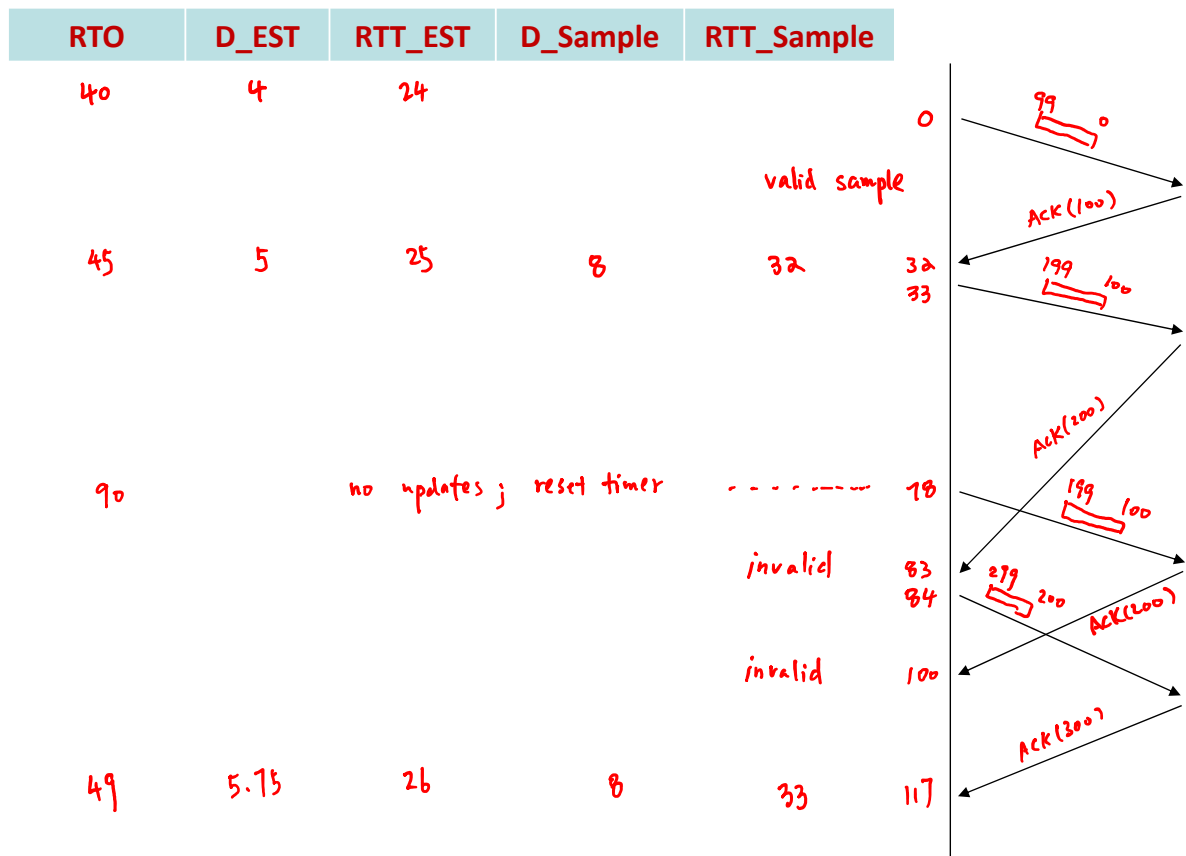
- δ is typically 0.75

[STEP 2] $RTT_EST = \alpha \times RTT_EST + (1-\alpha) \times RTT_Sample$

[STEP 3] $RTO = RTT_EST + \eta \times D_EST$

- η is typically 4

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